

# GENETIC MUTATIONS ASSOCIATED WITH OCULAR DISEASES

EDITED BY: Minzhong Yu, Rachida Bouhenni, Wei He and Shree K. Kurup  
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# GENETIC MUTATIONS ASSOCIATED WITH OCULAR DISEASES

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# Editorial: Genetic Mutations Associated With Ocular Diseases

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## Editorial on the Research Topic

### Genetic Mutations Associated With Ocular Diseases

Hereditary eye diseases affecting ocular cells and tissues are caused by mutations encoding many different signaling and structural proteins. The devastating effects of these diseases are substantial as they are often associated with several comorbidities leading to a poor quality of life. Many genetic mutations associated with ocular diseases such as the inherited retinal diseases (IRD) remain to be discovered. These molecularly unresolved IRDs are simplex/multiplex or autosomal recessive. In addition, the mechanisms of development of ocular disease for identified genetic mutations are not fully understood. This editorial summarizes the contribution of 16 original research papers, 1 brief research report and 1 review paper from some leading laboratories in this Research Topic. All of which has provided insight on important aspects of clinical and research related to ocular diseases caused by genetic mutations including glaucoma, cornea, nystagmus, myopia, and retina.

Primary Congenital Glaucoma (PCG) is caused by abnormal development of the trabecular meshwork and the anterior segment of the eye (Francois, 1980; Edward and Bouhenni, 2011; Li et al., 2011). PCG can be autosomal recessive or sporadic. CYP1B1, which is the most common causative gene in PCG harbors more than 140 distinct mutations that have been reported among various ethnic backgrounds (Li et al., 2011). Most patients with PCG caused by mutations in CYP1B1 have a severe disease phenotype. The protein belongs to the cytochrome p450 enzyme family and is involved in the metabolism of a variety of substrates, including steroids and retinoids that can act as morphogens during development (Choudhary et al., 2004) but its role in the eye is unknown. Amirmokhtari et al. showed that absence of Cyp1b1 alone in a murine model is not sufficient to alter the visual function but does increase the retinal ganglion cells (RGC) susceptibility to axonopathy following pressure elevations. Thus, CYP1B1 may contribute to the ability of RGCs to respond to stress/injury through internal or external signaling mechanisms possibly mediated through bioactive metabolites.

Corneal dystrophies (CDs) are a group of genetic ocular diseases caused by abnormal substance accumulation in the cornea leading to a significant vision loss in some patients. Li et al. characterized the genetic landscape and mutation spectrum of patients with CDs in a large Han ethnic Chinese Cohort with IEDs and identified 2,334 distinct high-quality variants on 22 CD-related genes providing an important reference research baseline data for East Asia and other populations with DCs. Keratoconus is a type of CDs, that is challenging to be identified in early or subclinical stages. Chen et al. performed a mutational screening of VSX1, TGFBI, and ZEB1 genes and a full clinical assessment in 79 Chinese and 9 Greek families with keratoconus and found

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five variants in VSX1 and TGFBI genes that were identified in four families. Interestingly, very early corneal changes in corneal topography together with co-segregated variants were revealed in the relatives who were asymptomatic, suggesting that VSX1 and TGFBI genes may cause keratoconus through an autosomal dominant inheritance pattern with variable expressivity. Their study indicated that genetic testing is an important supplementary approach in re-classifying the disease manifestation and refining the pre-operative phase of refractive surgery.

Congenital nystagmus (CN) is a genetically and clinically heterogeneous ocular disorder that manifests as involuntary, periodic oscillations of the eye. Multiple modes of inheritance of CN have been reported, with the X-linked being the most common form. To date, only FRMD7 and GPR143 are considered the major disease-causing genes for CN. The FRMD7 gene was identified in both X-linked and sporadic CN about 15 years ago (Tarpey et al., 2006). Since then, more than 90 mutations in FRMD7 and 100 mutations in GPR143 have been reported. However, despite years of research, the pathogenesis of CN remains unclear. Results from Wang et al.'s study broadened the mutation spectrum of FRMD7 and GPR143, revealing significant differences in the screening rate between different groups of participants, thus providing new insights pursuing a precise diagnosis and genetic counseling for CN.

Using whole exome sequencing (WES), Liu et al. investigated genetic mutations in a cohort of 27 families with high myopia from Northwest China and found four new candidate genes, expanding the mutation spectrum and providing clues for further genetic study of high myopia. High myopia with alopecia areata in the occipital region has been reported in Knobloch syndrome and is caused by COL18A1 mutations. In the study of Wayng et al., other genetic causes of early onset high myopia (eoHM) in patients with alopecia areata in the cranial midline was studied. The scalp defects were found in patients with LAMA1 mutations. In six patients with eoHM and alopecia areata in the cranial midline, eight novel and one known loss-of-function mutations were detected. This included biallelic COL18A1 mutations in three patients with scalp leisure in the occipital region and biallelic LAMA1 mutations in the other three patients with scalp leisure in the parietal region. This study found that eoHM with midline alopecia areata could be associated with mutations in the LAMA1 in addition to COL18A1.

ARL3, a novel pathogenic gene of IRDs, encodes the ADP-ribosylation factor, (Arf)-like protein 3. Fu et al. identified two novel compound heterozygous pathogenic variants of ARL3. These variants could destabilize ARL3 protein and impair its interaction with RP2 protein. These findings suggested that the two compound heterozygous variants (c.91A>G, p.T31A; c.353G>T, p.C118F) were associated with early onset recessive cone-rod dystrophy (CRD), while c.91A>G might also be associated with a late onset of dominant CRD. This study extended both the genotype and phenotype of ARL3 associated IRD and highlighted the importance of protein stability and protein interactions in CRD pathogenesis. Using a multi-generation autosomal dominant family with progressive retinal degeneration and maculopathy, Ratnapriya et al. reported

a novel, rare, heterozygous variant (p.Asp67Val) in ARL3 as the associated mutation. This soluble small GTPase has been localized to photoreceptor cilia suggesting that mutations in ARL3 can cause retinal ciliopathy providing new insights into the mechanisms of the disease which may lead to novel therapeutic options.

By investigating ABCA4 variants associated with IRDs, Zhu et al. reported 30 unique variants including four novel ones in a cohort with different retinopathies. Profiling of the ABCA4 mutations and their related clinical phenotypes will be helpful in understanding the phenotype/genotype correlation, mutation screening, prognostic counseling, and making decisions in selecting cases for gene therapy. In addition, Bohórque et al. studied 92 patients with IRD and identified 120 pathogenic or likely pathogenic variants, in which 30 of them were novel. In their CRD patients, ABCA4 was the most common mutated gene, while USH2A was predominant among the retinitis pigmentosa patients. Ten families carried pathogenic variants in more than one inherited retinal dystrophy gene. Two deep-intronic variants previously described as pathogenic in ABCA4 and CEP290 were identified.

Habibi et al. identified six potentially pathogenic variants in four genes in four families with autosomal dominant retinal dystrophies (RD) in recessive generations. A new digenic combination was identified in an index patient with enhanced S-cone syndrome in one family: a heterozygous variant in RHO, and a homozygous pathogenic variant in NR2E3. Helicoid subretinal fibrosis associated with recessive NR2E3 variant p.[R311Q];[R311Q] was identified in another family. A new frameshift variant in RDH12 was identified in the third family with CRD. In the fourth family, the compound heterozygous variants p.[R964\*];[W758\*] were identified in IMPG2 associated with RP. Both affected parents and offspring were homozygous for the same variant in all families. These results suggest that the autosomal recessive trait of RD can be transmitted as pseudodominant inheritance in consanguineous families, extending the knowledge of pathogenic variants in RD genes.

Inactivating variants and a missense variant in the centrosomal CEP78 gene have been reported in autosomal recessive CRD with hearing loss (CRDHL); a rare syndromic inherited retinal disease which is distinct from Usher syndrome. A complex structural variant (SV) implicating CEP78 has been identified in CRDHL. Ascari et al. expanded the genetic architecture of typical CRDHL by the identification of complex SVs of the CEP78 region and characterization of their underlying mechanisms. Two novel canonical CEP78 splice variants and a frameshift single-nucleotide variant (SNV), two SVs affecting CEP78 were identified in three unrelated individuals with CRDHL. This study concluded that the CEP78 locus is prone to distinct SVs and that SV analysis is useful for genetic workup of CRDHL.

In retinitis pigmentosa (RP), the phenotype and genotype are heterogeneous making it challenging to investigate the genotype-phenotype correlation. EYS gene is one of the most prevalent causative genes of RP (Huang et al., 2015; Genet Med 2015). Xu et al. demonstrated the characteristics of RP genotypes and phenotypes by exploring an extensive global data from 420

IRD patients and 262 variants, including 19 novel mutations. This study expanded the genotypic landscape of EYS-associated IRD, and provided critical information for clinical genetic diagnosis and clinical consultation of EYS-associated IRD patients. Besides, RP1 truncation variants, including frameshift, nonsense, and splicing are common causes of RP. RP1 is a unique gene where truncations cause either autosomal dominant RP (adRP) or autosomal recessive RP (arRP) depending on the location of the variants. Wang et al. clarified the boundaries between adRP and arRP caused by RP1 truncation variants based on a systemic analysis of 165 RP1 variants from their in-house exome sequencing data of 7,092 individuals and a thorough review of 185 RP1 variants from the literature. The boundaries between dominant and recessive RP1 truncations were confirmed and refined. In addition, valuable questions for further investigations were suggested.

Central serous chorioretinopathy (CSC) is a common and heterogeneous chorioretinal disorder, while age-related macular degeneration is a disease characterized by a degenerative macula during aging. CSC and AMD have some similar clinical manifestations with CFH being the genetic risk locus for both suggesting some possible common pathophysiologic mechanisms. By comparing the genetic effects of 38 SNPs between CSC and AMD, Feng et al. revealed significant, complex genetic pleiotropic effects between the diseases.

Bardet-Biedl syndrome (BBS) is a rare genetic disease that affects multiple organs leading to a poor quality of life in late adolescence or early adulthood. In the study of Meng et al., the morphologic, functional and molecular characteristics of BBS were analyzed in 12 patients from 10 Chinese families. A total of five known and twelve novel variants in four BBS genes were identified. All patients displayed typical but heterogeneous

retinal images of RP, unrecordable or severely damaged fERG, mfERG, and PVEP. This study demonstrates the heterogeneity of the ocular characteristics of BBS. It also confirms the usefulness of a combination of the fERG and PVEP assessments of visual function in the advanced stage of retinopathy in BBS.

Circular RNAs (circRNAs) are generated by a back-splicing mechanism with a covalently closed loop structure. Zhang et al. summarized the role and mechanisms of action of circRNAs in regulating gene expression and epigenetic modification, translating into peptides, and producing pseudogenes. The authors emphasized the relationship of circRNA research in ophthalmologic diseases. CircRNAs are promising biomarkers for diagnosis, assessment of progression and prognosis. Interventions targeting circRNAs may help develop new therapies for these diseases.

Overall, the 18 articles in this Research Topic provide insight on the molecular mechanisms of IRD and developmental eye diseases.

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MY wrote the editorial. All authors read and edited the editorial, and approved the submitted version.

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# CircRNA Is a Rising Star in Researches of Ocular Diseases

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A newly rediscovered subclass of noncoding RNAs, circular RNAs (circRNAs), is produced by a back-splicing mechanism with a covalently closed loop structure. They not only serve as the sponge for microRNAs (miRNAs) and proteins but also regulate gene expression and epigenetic modification, translate into peptides, and generate pseudogenes. Dysregulation of circRNA expression has opened a new chapter in the etiology of various human disorders, including cancer and cardiovascular, neurodegenerative, and ocular diseases. Recent studies recognized the vital roles that circRNAs played in the pathogenesis of various eye diseases, highlighting circRNAs as promising biomarkers for diagnosis and assessment of progression and prognosis. Interventions targeting circRNAs provide insights for developing novel treatments for these ocular diseases. This review summarizes our current perception of the properties, biogenesis, and functions of circRNAs and the development of circRNA researches related to ophthalmologic diseases, including diabetic retinopathy, age-related macular degeneration, retinopathy of prematurity, glaucoma, corneal neovascularization, cataract, pterygium, proliferative vitreoretinopathy, retinoblastoma, and ocular melanoma.

**Keywords:** circular RNA, noncoding RNA, microRNA sponge, ocular diseases, ophthalmology

**Abbreviations:** ADAR1, adenosine deaminase acting on RNA 1; Ago, argonaute; AMD, age-related macular degeneration; ARCs, age-related cataracts; BAMBI, BMP and activin membrane bound inhibitor; CBS, cystathionine- $\beta$ -synthase; CDK2, cyclin-dependent kinase 2; circRNA, circular RNA; ciRNAs, intronic circRNAs; CM, conjunctival melanoma; CNV, choroidal neovascularization; CRYAA, crystallin alpha A; CSPG4, chondroitin sulfate proteoglycan 4; DM, diabetes mellitus; DNMT3B, DNA (cytosine-5-)-methyltransferase 3 beta; DR, diabetic retinopathy; dsRNA, double-stranded RNA; E2F3, E2F transcription factor 3; ecircRNAs, exonic circRNAs; ECs, endothelial cells; EICiRNAs, exon-intron circRNAs; EMT, epithelial-mesenchymal transition; ERM, epiretinal membranes; FVM, fibrovascular membranes; FVMs, fibrovascular membranes; FZD4, frizzled-4; HCECs, corneal epithelial keratinocytes; Hcy, homocysteine; HG, high glucose; HHcy, hyperhomocysteinemia; HIPK3, homeodomain interacting protein kinase 3; HNRNP, heterogeneous nuclear ribonucleoprotein K; HRMECs, human retinal microvascular endothelial cells; HRVECs, human retinal vascular endothelial cells; HuR, human antigen R; HUVECs, human umbilical vein endothelial cells; IOP, intraocular pressure; IRES, internal ribosome entry site; KIFAP3, kinesin-associated protein 3; lncRNAs, long noncoding RNAs; LOXL2, lysyl oxidase homolog 2; MBL, muscleblind; MEF2A, myocyte-specific enhancer factor 2A; METRN, meteorin; miRNAs, micro RNAs; MMP-2, matrix metalloproteinase 2; MREs, miRNA recognition elements; MTUS1, microtubule-associated scaffold protein 1; nAMD, neovascular age-related macular degeneration; ncRNAs, noncoding RNAs; NR3C1, nuclear receptor subfamily 3 group C member 1; nt, nucleotide; OIR, oxygen-induced retinopathy; PTEN, phosphatase and tensin homolog on chromosome ten; PVR, proliferative vitreoretinopathy; PWWP2A, PWWP domain containing 2A; QKI, quaking; RB, retinoblastoma; RBPs, RNA-binding proteins; RCMs, reverse complementary matches; RD, retinal detachment; RGCs, retinal ganglion cells; RISC, RNA-induced silencing complex; RNase R, ribonuclease R; ROP, retinopathy of prematurity; RPE, retinal pigment epithelium; RUNX2, runt-related transcription factor 2; SIRT1, sirtuin 1; SP1, specificity protein 1; ss, splice sites; STAT3, signal transducer and activator of transcription 3; UM, uveal melanoma; UTRs, untranslated regions; UV, ultraviolet radiation; VCAM1, vascular cell adhesion molecule 1; VEGF, vascular endothelial growth factor; WNT2, Wnt family member 2; XIAP, X-linked inhibitor of apoptosis; ZBTB44, zinc finger and BTB domain containing 44; ZNF, zinc finger protein; ZRANB1, zinc finger RAN-binding domain containing 1.

## INTRODUCTION

Noncoding RNAs (ncRNAs), containing microRNAs (miRNAs) and long noncoding RNAs (lncRNAs) comparatively studied extensively, are identified to have vital functions in the regulation of gene expression and development of many human diseases (Esteller, 2011). A rising star in studies of endogenous ncRNAs is circular RNA (circRNA), which has a single-stranded covalently closed structure without 5' caps and 3' poly-A tails (Lasda and Parker, 2014) and has attracted interest from many researchers. Although circRNAs were initially discovered in plant viroids four decades ago (Sanger et al., 1976) and then sporadically in hepatitis delta virus and transcripts of the DCC gene, SRY gene and cytochrome P450 2C24 gene (Patop et al., 2019), these molecules were not well understood and treated as by-products of aberrant RNA splicing because they appeared in low abundance and had unknown biological functions (Cocquerelle et al., 1993). However, due to advances in bioinformatics tools and RNA high-throughput sequencing technology, circRNAs have been confirmed to exist in high abundance and be highly diverse and conserved. It has also been confirmed that they are widely expressed in eukaryotes with a cell/tissue- and developmental stage-specific manner (Salzman et al., 2012, 2013; Jeck et al., 2013; Memczak et al., 2013). Since the circRNA called CDR1as/ciRS-7 has been first reported to function as the sponge for miR-7, circRNAs are becoming the focus of biomedical studies (Hansen et al., 2013a; Memczak et al., 2013). Their unique properties and mysterious functions are being uncovered gradually. For instance, it has been found that, in addition to acting as miRNA sponges, circRNAs can interact with RNA-binding proteins (RBPs) (Ashwal-Fluss et al., 2014; Westholm et al., 2014; Conn et al., 2015; Ivanov et al., 2015), regulate RNA splicing or transcription (Ashwal-Fluss et al., 2014; Li Z. et al., 2015), and translate into peptides or proteins (Legnini et al., 2017; Pamudurti et al., 2017; Yang et al., 2017). CircRNAs are also implicated in various biological processes, such as cell differentiation, proliferation, migration and death, carcinogenesis, angiogenesis, neuronal genesis, and innate immune responses (Guo et al., 2014; Lasda and Parker, 2014; Li X. et al., 2018). Furthermore, emerging studies have revealed that circRNAs play a vital role in the pathogenesis of various diseases, including cancer (Su et al., 2019), cardiovascular disease (Aufiero et al., 2019), neurodegenerative diseases (Kumar et al., 2017), and ocular diseases (Guo et al., 2019). Thus, circRNAs can serve as novel biomarkers and therapeutic targets for many diseases.

In recent years, circRNA studies have begun to shed light on studies in the ophthalmology area. Dysregulated circRNAs exert a significant influence on the development of eye tissue and the pathogenesis of ocular diseases (George et al., 2019; Guo et al., 2019). However, to fully reveal the importance of circRNAs, greater knowledge is required, which can be obtained through further researches. Here, we present an overview of the characteristics, biogenesis, and functions of circRNAs (Figure 1) and their current recognition of and development for regulating ocular diseases (Table 1).

## PROPERTIES OF CIRCULAR RNA

### Abundance

At first, most discoveries of circular isoforms in the genes of live beings were perceived as mis-splicing occurrences (Cocquerelle et al., 1993). A sea change occurred in 2012 when Salzman et al. (2012) applied RNA-sequencing and computation analysis to identify the global expression of circRNAs in diverse human cell lines. Since then, circRNAs have been found to be abundant and widespread not only in metazoans including mice, *Drosophila*, and zebrafish but also in protists, fungi, and plants (Li X. et al., 2018). Although circRNAs generally have a low expression level (Jeck et al., 2013; Memczak et al., 2013; Salzman et al., 2013; Guo et al., 2014), in some cases, their abundance can exceed that of cognate linear mRNAs due to higher expression or spatiotemporal accumulation (Ashwal-Fluss et al., 2014; Westholm et al., 2014; Conn et al., 2015; Rybak-Wolf et al., 2015; Szabo et al., 2015; Venø et al., 2015; You et al., 2015).

### Specificity

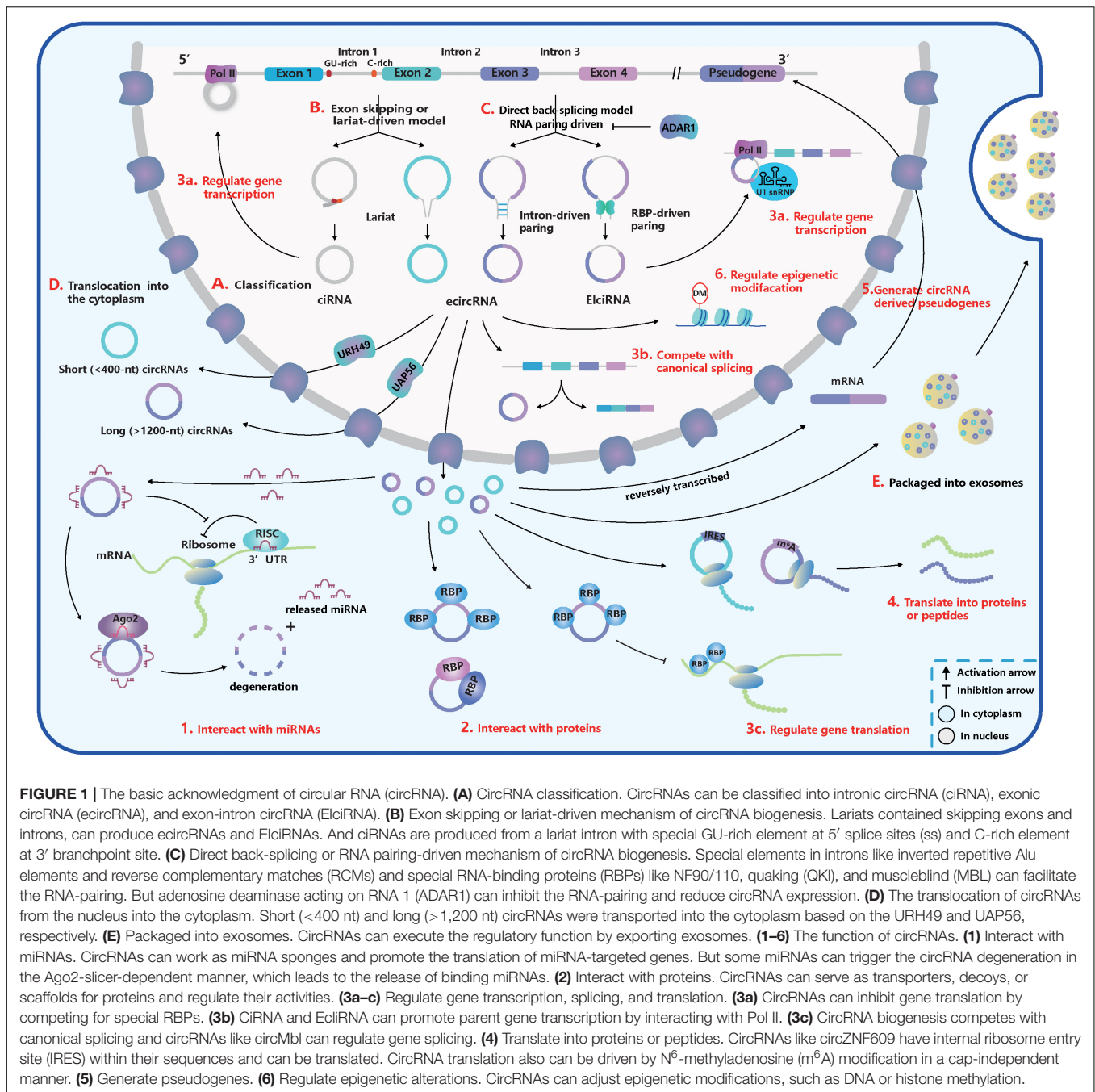
The expression patterns of circRNAs are very diverse in different cell and tissue types, as well as developmental stages (Han et al., 2018). As well known, the SRY gene in adult mouse testes produces a circular isoform exclusively instead of linear mRNA (Capel et al., 1993), and the expression of circRNAs is generally induced in the embryonic development stage (Westholm et al., 2014; Szabo et al., 2015). In humans, pigs, and mice, circRNAs are much more abundant in the brains, where the circRNA modulation is correlated with neuronal development and differentiation, homeostatic neuronal activity, and synaptogenesis (Rybak-Wolf et al., 2015; Szabo et al., 2015; Venø et al., 2015; You et al., 2015). Furthermore, the transcription of circRNAs is also dynamic during different biological processes, such as epithelial-mesenchymal transition (EMT) (Conn et al., 2015).

### Conservation

Wang et al. (2014) argued that the gene expression of circRNAs was either highly conserved or the result of repeated convergent evolution. Orthologous genes in mice and humans were estimated to produce approximately 5–30% of conserved circRNAs (Jeck et al., 2013; Memczak et al., 2013; Guo et al., 2014; Rybak-Wolf et al., 2015). In addition, nearly 20% of porcine splice sites (ss) correlated with circRNA production were functionally conserved between mice and humans (Venø et al., 2015). Moreover, mechanisms of circRNA biogenesis and similar structural features of circRNA were also judged to be conserved (Jeck et al., 2013; Ashwal-Fluss et al., 2014; Liang and Wilusz, 2014; Zhang et al., 2014). It is worth noting that circular exons were better conserved than flanking exons, which were more likely to be flanked by introns with reverse complementary matches (RCMs) (Rybak-Wolf et al., 2015).

### Stability

Ribonuclease R (RNase R) is a 3' to 5' exoribonuclease and is capable of catalyzing the degradation of linear RNA, so the



absence of 3' and 5' terminals in circRNAs allows them to resist RNase R degradation (Suzuki, 2006). Jeck et al. (2013) found circRNAs had half-lives exceeding 48 h, while linear transcripts occurred for less than 20 h. Thus, circRNAs are much more stable in cells, tissues, blood, saliva, urine, exosomes, etc., than their linear counterparts due to their covalently closed loop structure. In the aging process of the brain, stable circRNAs can accumulate and exist in richer abundance in quiescent and postmitotic cells (Westholm et al., 2014; Rybak-Wolf et al., 2015).

These characteristics make circRNA production another special feature of genomes rather than transcriptional noise.

Besides, circRNAs are delicately regulated, play a vital role in living organisms, and serve as potential biomarkers in bodily fluid for disease diagnosis.

## BIOGENESIS OF THE CIRCULAR RNA

### Classification

According to recent studies, most of the circRNAs in eukaryotes can be divided into three subtypes depending on their components (Figure 1A; Lasda and Parker, 2014;

Liu J. et al., 2017): (1) exonic circRNAs (ecircRNAs): generated by one or more exons circularization; (2) exon-intron circRNAs (EIciRNAs): circularized from exons with introns reserved; (3) intronic circRNAs (ciRNAs): composed only of introns. Different from the canonical splicing, circRNAs are generally formed by the joining of an upstream 3' acceptor and a downstream 5' donor, which is called "back-splicing" (Lasda and Parker, 2014). The sequence of ciRNAs is assembled head-to-tail by a 2', 5' phosphodiester bond (Zhang et al., 2013).

Furthermore, there are five subsets of circRNA classification based on the location relationship between circRNAs and adjacent coding RNA (Liu J. et al., 2017): (1) and (2) "exonic" and "intronic": formed by exons and introns, respectively; (3) "antisense": transcribed into the opposite strand from their gene locus being overlapped by linear isoforms; (4) "sense overlapping": produced by the same gene locus but does not belong to the "exonic" or "intronic" circRNA; (5) "intergenic": transcribed from the location that is outside the gene loci.

## Mechanism and Regulation

The process of back-splicing requires canonical splicing signals as well as spliceosome machinery (Ashwal-Fluss et al., 2014; Starke et al., 2015; Liang et al., 2017). Restricting canonical pre-mRNA splicing processes by inhibiting or deleting core spliceosome complexes has been reported to shift the steady-state production of linear mRNAs toward the preferred output of circRNAs (Liang et al., 2017; Wang et al., 2019). Thus, canonical splicing is a default choice for gene splicing in most cases.

Two classical models explain the biogenesis of circRNAs according to the order of canonical and back-splicing (Figures 1B,C):

(1) The "direct back-splicing" model or RNA pairing model (Chen and Yang, 2015; Li X. et al., 2018; Han et al., 2018): Back-splicing occurs first, then a circular structure with intermediate exons and introns are generated directly and are processed into the final product. In this model, RNA pairing across flanking introns brings back-splicing sites into closer proximity and promotes efficient biogenesis of circRNAs. RNA pairing can be driven by repetitive flanking intron sequences, such as inverted repetitive Alu elements, or RCMs (Liang and Wilusz, 2014; Zhang et al., 2014; Ivanov et al., 2015; Kramer et al., 2015). In addition, some double-stranded RBPs, such as immune factors NF90/110, can facilitate RNA pairing formation and some RBPs without dsRNA binding domains, such as muscleblind (MBL) and quaking (QKI), can bind to specific targets in introns (Ashwal-Fluss et al., 2014; Conn et al., 2015; Li et al., 2017; Liu J. et al., 2017). The QKI dimerization at binding sites in the flanking introns can increase the production of many circRNAs (Conn et al., 2015). However, adenosine deaminase acting on RNA 1 (ADAR1) can recognize and diminish the double-stranded RNA (dsRNA) pairing structure through A-to-I editing in dsRNA regions, exerting opposite influence on the biogenesis of circRNAs (Ivanov et al., 2015; Rybak-Wolf et al., 2015).

(2) The "exon skipping" or "lariat-driven" model (Barrett et al., 2015; Chen and Yang, 2015; Li X. et al., 2018; Han et al., 2018): In this model, canonical splicing occurs first, then a lariat structure is generated with skipping exons and introns. Following

introns being dislodged, retained components are ligated head-to-tail to produce ecircRNAs or EIciRNAs by internal splicing. Note that a lariat intron, which has a consistent motif containing a seven-nucleotide (nt) Adjacent bases of guanine and uracil (GU)-rich element at the 5' ss and an 11-nt C-rich element at the 3' branchpoint site, can be generated and can avoid debranching and degradation (Zhang et al., 2013). Then stable ciRNAs are produced from the lariat intron after removing the 3' tail in the downstream of the branchpoint site (Zhang et al., 2013). Interestingly, a circRNA from the *Arabidopsis* SEPALLATA3 gene can bind to the cognate DNA locus and form a DNA:RNA hybrid (R-loop), promoting further exon skipping (Conn et al., 2017).

In a single gene locus, alternative splicing occurs in both models to produce various circRNAs containing different combinations of introns and exons. And some circRNAs can be generated from readthrough transcription events (Liang et al., 2017).

## Subcellular Localization

Once generated, the vast majority of circRNAs are located in the cytoplasmic compartment (Salzman et al., 2012, 2013; Jeck et al., 2013; Memczak et al., 2013), but some subsets, such as EIciRNAs and ciRNAs, remain in the nucleus, which may regulate gene transcription or expression (Zhang et al., 2013; Li Z. et al., 2015; Venø et al., 2015; Conn et al., 2017). The translocation of circRNAs from the nucleus to cytoplasm through the nuclear pore complex relies on proteins – Hel25E homologs (UAP56/URH49 in humans) and is based on a length-dependent mechanism (Figure 1D; Huang et al., 2018). In humans, URH49 and UAP56 modulate the localization of short (<400 nt) and long (>1,200 nt) circRNAs, respectively (Huang et al., 2018). However, the localization of circRNAs is not stationary. You et al. (2015) showed circZEB1 was transited from the cytoplasm and perinuclear sites at E60 to the nucleus at E80, and many circRNAs were enriched in synapses. Moreover, circRNAs can be exported through exosomes and execute their functions (Figure 1E). And more than 1,000 circRNAs have been found enriched in human serum exosomes (Li Y. et al., 2015).

Adjustable expression levels and subcellular localization of circRNAs are indicated to be tightly correlated with their function manipulation. While mechanisms that modulate the biogenesis and translocation of many circRNAs have been discovered, molecular details are still poorly understood.

## FUNCTIONS OF CIRCULAR RNA

### MicroRNA Sponges or Reservoirs

The miRNA, a type of short single-stranded ncRNA, is capable of binding to the 3' untranslated regions (UTRs) of protein-coding mRNAs and inhibiting their translation by forming an RNA-induced silencing complex (RISC) with the argonaute 2 (Ago2) (Baek et al., 2008). CircRNAs contain miRNA recognition elements (MREs) and rescue mRNAs from miRNA combination through competitive binding. CDR1as/ciRS-7, containing more than 70 MREs of miR-7, was the first circRNA to be identified as a miRNA sponge (Figure 1.1; Hansen et al., 2013a;

Memczak et al., 2013). However, the cleavage of ciRS-7, triggered by miR-671 in an Ago2-slicer-dependent manner (Hansen et al., 2011), could lead to immediate spatiotemporal activation of miR-7 and repression of mRNA targets (Hansen et al., 2013b). Thus, ciRS-7 may function as the reservoir or storage of miR-7 and transport it to the specific subcellular location (Hansen et al., 2013b; Memczak et al., 2013).

## Interaction With Proteins

The interaction between proteins and circRNAs is much intricate, and circRNAs can serve as transporters, decoys, or scaffolds for proteins (Figure 1.2; Patop et al., 2019). CircFoxo3, for example, can have a high binding affinity to some transcription factors and inhibit their nuclear translocation and anti-tress function during cardiac stress (Du et al., 2017b). Another study found circFoxo3 facilitated the inhibition of CDK2 by p21 by generating a ternary complex with p21 and CDK2 (Du et al., 2016). It is first to show that circRNAs can act as a scaffold to form the protein-protein complex and modulate protein interactions (Du et al., 2016). And it has been determined that dynamic tertiary structures of circRNAs enable them to interact with various proteins (Du et al., 2017a,c). Many circRNAs are likely to create a molecular reservoir for antiviral proteins NF90/110 before a viral infection occurs. This behavior supports the hypothesis that circRNAs may regulate activities of proteins *via* cooperative actions (Li et al., 2017).

## Regulation of Transcription, Splicing, and Translation

Some circRNAs, such as EIciRNAs and ciRNAs, are positioned in the nucleus and regulate gene transcription and splicing (Figures 1.3a,b). For example, based on a specific RNA-RNA interaction, EIciRNAs-U1 snRNP complexes combine with RNA Pol II to promote cognate gene transcription (Li Z. et al., 2015). In addition, ciRNAs can perform cis-regulation by interacting with Pol II, and the depletion of ciRNAs can lead to a significant downregulation of parent gene transcription (Zhang et al., 2013). CircMBL, on the other hand, can strongly bind to MBL and promote back-splicing to produce more circMBL instead of MBL mRNA, which forms a negative-feedback regulation loop (Ashwal-Fluss et al., 2014). CircRNAs can also regulate mRNA translation (Figure 1.3c). For instance, circPABPN1 can reduce the expression of PABPN1 by competing with its cognate linear mRNA for human antigen R (HuR, an RBP) (Abdelmohsen et al., 2017).

## Translation Into Peptides or Proteins

Synthetic circRNAs implanted with an internal ribosome entry site (IRES) have already been proven to be translated *in vivo* and *in vitro* (Chen and Sarnow, 1995; Wang and Wang, 2015). CircZNF609 was the first natural circRNA discovered to translate to protein through the splicing-dependent and cap-independent mechanisms (Legnini et al., 2017). It was also discovered that circMBL from fly heads encodes a protein (Pamudurti et al., 2017). Both translatable circRNAs have IRES embedded within their sequences. The translation of circRNAs also can be driven by

N<sup>6</sup>-methyladenosine (m<sup>6</sup>A) modification in a cap-independent manner (Figure 1.4), which requires initiation factor eIF4G2 and m<sup>6</sup>A reader YTHDF3 (Yang et al., 2017).

## Generation of CircRNA-Derived Pseudogenes

Pseudogenes are important regulators at the DNA, RNA, or protein level in diverse physiological and pathological processes (Xiao-Jie et al., 2015). Similar to mRNAs, circRNAs have the potential to be reverse transcribed and integrated into their host genomes to generate pseudogenes (Figure 1.5). Dong et al. (2016) determined that circRNA-derived pseudogenes may reshape genome architecture by providing additional CCCTC binding factor-binding sites. However, the mysterious generation mechanism and functions of circRNA-derived pseudogenes are still unknown.

## Regulate Epigenetic Alterations

A few circRNAs have been found to affect epigenetic modifications by acting on DNA or histone methylation (Figure 1.6; Chen et al., 2018; Su et al., 2019). For instance, circFECR1 can bind to the promoter of FLI1 in cis and lead to DNA hypomethylation by recruiting a demethylase TET1 (Chen et al., 2018). It can also bind to and downregulate trans DNMT1, a methyltransferase that is essential for maintaining DNA methylation (Chen et al., 2018). Through epigenetic modification, circFECR1 positively activates the FLI1 and underlines the deep mechanism of tumor growth and metastasis (Chen et al., 2018, 1). Several circRNAs can indirectly regulate histone methylation by sponging miRNAs that inhibit the expression of an N-methyltransferase enzyme called EZH2 (Su et al., 2019).

Although most of the identified functional circRNAs act as miRNA sponges, they have varied biological functions that support our recognition of the importance of circRNAs in parental cell activities. Additionally, exosomal circRNAs may regulate biological processes in distant cells and tissues (Li Y. et al., 2015; Shi et al., 2020).

## INVOLVEMENT OF CIRCULAR RNA RESEARCH IN OCULAR DISEASES

Recently, in a study conducted by Sun et al. (2019), retinal circRNA repertoires showed conservation and variation across six vertebrate species, and the expression pattern of circRNAs had specific signatures in different stages of retinal development and degeneration (Chen X.-J. et al., 2020). The deficiency of circTulp4 in mice caused the mouse retinas to have abnormal structures and defective functions (Chen X.-J. et al., 2020). These studies further convince us to highlight the potential key biological functions of circRNAs in regulating eye development as well as ocular diseases. In this part, we focus on accumulative evidence that has identified that circRNAs play a crucial role in the pathogenesis and progression of some ocular diseases (Table 1). CircRNAs can serve as potentially diagnostic, progressive, and prognostic

**TABLE 1 |** Verified circRNAs involved in various ocular diseases.

| Ocular diseases            | circRNAs     | Expression  | Functions  | Related networks                     | References               |
|----------------------------|--------------|---|--|--------------------------------------|--------------------------|
| DR                         | circ_0005015 | Upregulated in the plasma, vitreous samples, and FVMs of DR patients.   | Promote the angiogenic function of HRVECs <i>in vitro</i> .  | miR-519d-3p-MMP-2/STAT3/XIAP         | Zhang S.-J. et al., 2017 |
|                            | circHIPK3    | Upregulated in HRVECs under HG conditions, DR models, the plasma, vitreous samples, and FVMs of DR patients.          | Promote the angiogenic function of HRVECs under HG conditions <i>in vitro</i> . Aggravate the DM-induced retinal vascular dysfunction <i>in vivo</i> .   | c-myb, miR-30a-3p/VEGFC/FZD4/WNT2    | Shan et al., 2017        |
|                            | circZNF609   | Upregulated in HUVECs under HG conditions, DR models, the plasma, and FVMs of DR patients.                            | Promote the angiogenic function of HUVECs under HG conditions <i>in vitro</i> . Aggravate the DM-induced retinal vascular dysfunction <i>in vivo</i> .   | miR-615-5p-MEF2A                     | Liu C. et al., 2017      |
|                            | circDMNT3B   | Downregulated in HRMECs under HG conditions and the FVMs of DR patients.  | Suppress the angiogenic function of HRMECs under HG conditions <i>in vitro</i> . Ameliorate DM-induced retinal vascular dysfunction and visual damage <i>in vivo</i> .   | miR-20b-5p-BAMBI                     | Zhu et al., 2019         |
|                            | circPWWP2A   | Upregulated in retinal pericytes under DM related stresses instead of HRVECs, DR models, and the FVMs of DR patients. | Promote the viability, proliferation, antiapoptosis, recruitment toward HRVECs of pericytes under DM-related stresses <i>in vitro</i> but affect HRVECs indirectly <i>via</i> exosomes. Ameliorate DM-induced retinal vascular dysfunction and pericyte dysfunction <i>in vivo</i> . | miR-579-angiopoietin1/occludin/SIRT1 | Liu et al., 2019         |
|                            | circZNF532   | Upregulated in retinal pericytes following DM-related stresses, DR models, and the vitreous samples of DR patients.   | Promote the viability, proliferation, antiapoptosis, recruitment toward HRVECs of pericytes under DM-related stresses <i>in vitro</i> . Ameliorate DM-induced retinal vascular dysfunction and pericyte dysfunction <i>in vivo</i> .   | SP1, miR-29a-3p-CSPG4/LOXL2/CDK2     | Jiang et al., 2020       |
| ROP                        | circZNF609   | Upregulated at the neovascularization stage of OIR models.  | Aggravate the oxygen-induced retinal vascular dysfunction <i>in vivo</i> .   | miR-615-5p-MEF2A                     | Liu C. et al., 2017      |
| Exudative AMD              | circZBTB44   | Upregulated in chorioretinal ECs under hypoxic conditions, CNV models, the aqueous humor of nAMD patients.            | Promote the angiogenic function of chorioretinal vascular ECs under hypoxic conditions <i>in vitro</i> . Aggravate the CNV development <i>in vivo</i> .  | miR-578-VEGFA/VCAM1                  | Zhou et al., 2020        |
| Atrophic AMD               | circNR3C1    | Downregulated in RPE cells under oxidative stress and the blood serum of AMD patients.                                | Maintain the RPE phenotypes and functions <i>in vitro</i> and <i>in vivo</i> to prevent AMD progression.   | miR-382-5p-PTEN-AKT/mTOR             | Chen X. et al., 2020     |
| Glaucoma                   | circZNRANB1  | Upregulated in glaucoma model and the aqueous humor of glaucoma patients.   | Promote the viability, proliferation, and activation of Müller cells directly, but indirectly inhibit RGC function <i>in vitro</i> . Promote the retinal reactive gliosis and glia cell activation (glaucomatous retinal neuropathy) <i>in vivo</i> .                                | miR-217-RUNX2                        | Wang et al., 2018b       |
|                            | circZNF609   | Upregulated in glaucoma model and the aqueous humor of glaucoma patients.   | Promote the viability, proliferation, and activation of Müller cells directly, but indirectly inhibit RGC function <i>in vitro</i> . Promote the retinal reactive gliosis and glia cell activation (glaucomatous retinal neuropathy), but inhibit RGC survival <i>in vivo</i> .      | miR-615-METRIN                       | Wang et al., 2018a       |
| ARC                        | circHIPK3    | Downregulated in lens capsules of patients with various types of ARC.   | Promote the viability, proliferation, EMT and antiapoptosis upon oxidative stress of HLECs <i>in vitro</i> .   | miR-193a-3p-CRYAA                    | Liu et al., 2018, 3      |
| Corneal neovascularization | circZNF609   | Upregulated in the corneal epithelium of corneal neovascularization models.   | Promote the angiogenic function of HCECs <i>in vivo</i> . Aggravate the corneal angiogenesis <i>in vivo</i> .  | miR-184-AKT/ $\beta$ -catenin/VEGF   | Wu et al., 2020          |
|                            | circKIFAP3   | Downregulated in alkali burn-induced neovascularization corneal models and patients' vascularized corneas.            | Suppress the angiogenic function of HUVECs <i>in vitro</i> .   |                                      | Zhou Y.-F. et al., 2019  |
| Pterygium                  | circ_0085020 | Upregulated in pterygium tissues  | Promote the viability, proliferation, migration, and antiapoptosis under UV exposure of pterygium fibroblasts and epithelial cells <i>in vitro</i> .   |                                      | Li X.-M. et al., 2018    |

(Continued)

TABLE 1 | Continued

| Ocular diseases       | circRNAs     | Expression   | Functions   | Related networks | References         |
|-----------------------|--------------|--|---|------------------|--------------------|
| PVR                   | circ_0043144 | Upregulated in serum ERMs of PVR patient.                  | Promote the proliferation, migration, and secretion ability of RPE cells <i>in vitro</i> .  |                  | Yao et al., 2019   |
| Retinoblastoma        | circ_0001649 | Downregulated in RB cell lines and RB tissues of patients. | Suppress the proliferation and antiapoptosis of RB cells <i>in vitro</i> . Suppress the xenograft tumor growth of RB <i>in vivo</i> . | AKT/mTOR         | Xing et al., 2018  |
|                       | circ-0075804 | Upregulated in RB cell lines and RB tissues of patients.   | Promote the proliferation and antiapoptosis of RB cells <i>in vitro</i> . Promote the xenograft tumor growth of RB <i>in vivo</i> .   | E2F3, HNRNPk     | Zhao et al., 2020  |
| Conjunctival melanoma | circMTUS1    | Upregulated in CM tissues.                                 | Promote the proliferation of CM cell lines <i>in vitro</i> . Promote the xenograft tumor growth of CM <i>in vivo</i> .                |                  | Shang et al., 2019 |

DR, Diabetic retinopathy; FVM, fibrovascular membrane; HRVECs, human retinal vascular ECs; MMP-2, matrix metalloproteinase 2; STAT3, signal transducer and activator of transcription 3; XIAP, x-linked inhibitor of apoptosis; HIPK3, homeodomain interacting protein kinase 3; HG, high glucose; DM, diabetes mellitus; VEGF, vascular endothelial growth factor; FZD4, frizzled-4; WNT2, Wnt family member 2; ZNF, zinc finger protein; HUVECs, human umbilical vein endothelial cells; MEF2A, myocyte-specific enhancer factor 2A; DNMT3B, DNA (cytosine-5)-methyltransferase 3 beta; ECs, endothelial cells; HRMECs, human retinal microvascular ECs; BAMBI, BMP and activin membrane bound inhibitor; PWWP2A, PWWP domain containing 2A; SIRT1, sirtuin 1; SP1, specificity protein 1; CSPG4, chondroitin sulfate proteoglycan 4; LOXL2, lysyl oxidase homolog 2; CDK2, cyclin-dependent kinase 2; ROP, Retinopathy of prematurity; OIR, oxygen-induced retinopathy; AMD, Age-related macular degeneration; ZBTB44, zinc finger and BTB domain containing 44; VCAM1, vascular cell adhesion protein 1; nAMD, neovascular AMD; CNV, choroidal neovascularization; NR3C1, nuclear receptor subfamily 3 group C member 1; RPE, retinal pigment epithelium; PTEN, phosphatase and tensin homolog on chromosome ten; mTOR, mammalian target of rapamycin; ZRANB1, zinc finger RAN-binding domain containing 1; RGCKs, retinal ganglion cells; RUNX2, runt-related transcription factor 2; METRN, metarion; APC, age-related cataracts; EMT, epithelial-mesenchymal transition; HLECs, human lens epithelial cells; CRYAA, crystallin alpha A; HCEKs, corneal epithelial keratinocytes; KIFAP3, kinesin-associated protein 3; UV, ultraviolet radiation; PVR, proliferative vitreoretinopathy; ERMs, epiretinal membranes; RB, retinoblastoma; E2F3, E2F transcription factor 3; HNRNPk, heterogeneous nuclear ribonucleoprotein K; MTUS1, microtubule-associated scaffold protein 1; CM, conjunctival melanoma.

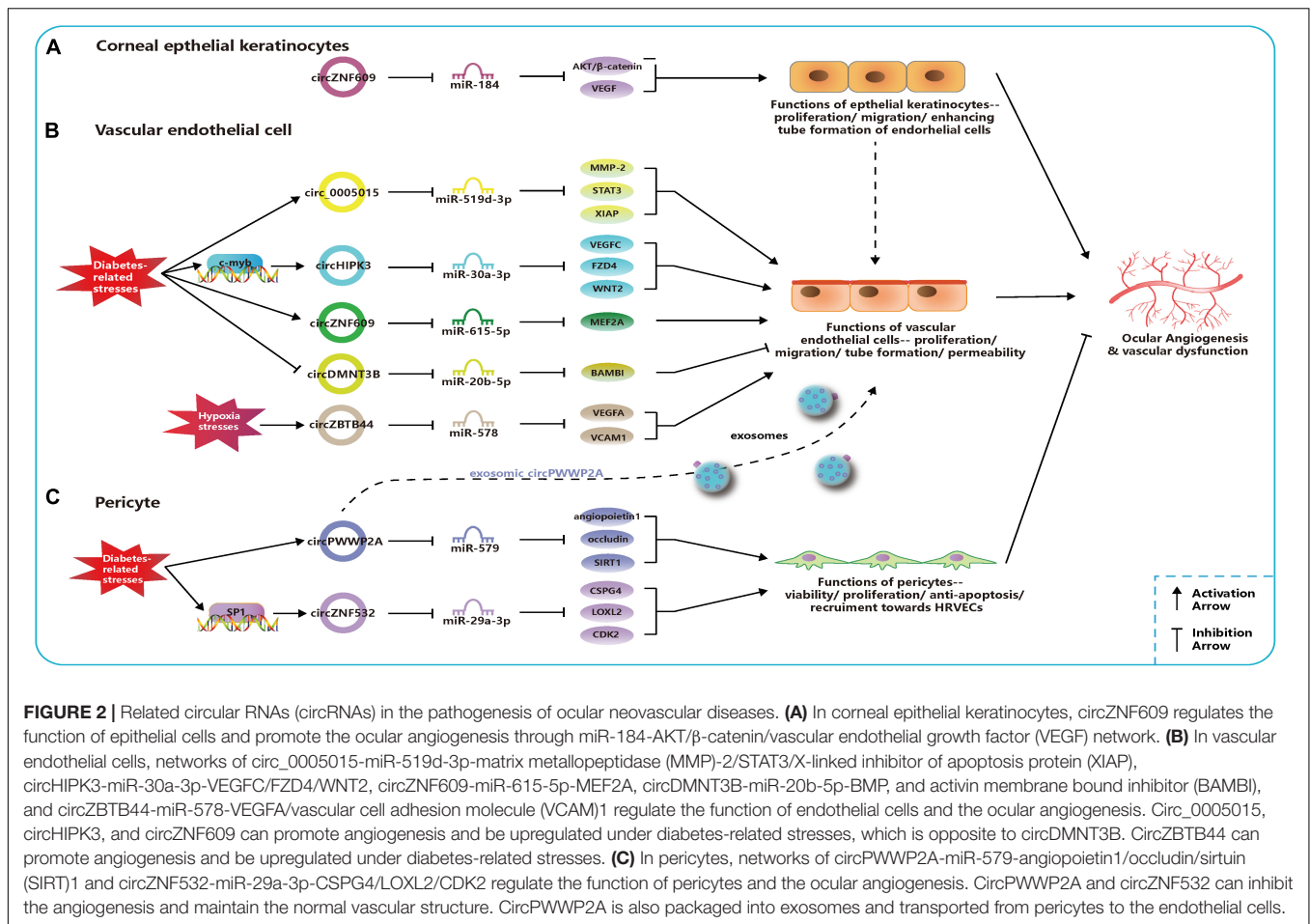
biomarkers for these diseases, and it is promising to treat them by intervening circRNA expression.

Retinal Diseases  
Diabetic Retinopathy

Diabetic retinopathy (DR) is a common microvascular complication among people with diabetes mellitus (DM) and is one of the main causes of visual damage and blindness for them (Yau et al., 2012). In DM, diabetes-related stresses can cause retinal vasculatures to undergo early and prevalent damage, and disequilibrium of endothelial cells (ECs) can increase vascular exudation and macula edema and promote retinal angiogenesis (Duh et al., 2017). The combination of abnormal vascular endothelial growth factor (VEGF) expression and other factors, such as advanced glycation end products, oxidative stress, activated protein kinase C, and Phosphatidylinositol 3-kinase (PI3K)/Akt signaling pathways, has also been identified to underlie vascular dysfunction in DR (Schalkwijk and Stehouwer, 2005; Potenza et al., 2009).

Circ\_0005015 has been identified as a putative circRNA biomarker in DR, which is significantly increased in the plasma, vitreous samples, and preretinal fibrovascular membranes (FVMs) of people with DR (Zhang S.-J. et al., 2017). In addition, circ\_0005015 can promote the retinal endothelial angiogenesis by working as the miR-519d-3p sponge, which regulates the expression of matrix metalloproteinase (MMP)-2, signal transducer and activator of transcription (STAT)3, and X-linked inhibitor of apoptosis protein (XIAP) (Figure 2; Zhang S.-J. et al., 2017). Similar to circ\_0005015, overexpressed circHIPK3 promotes cell viability, proliferation, migration, and tube formation in human retinal vascular ECs (HRVECs) and aggravates diabetic retinal vascular dysfunction by increasing vascular leakage and the number of the acellular capillary (Shan et al., 2017). The transcription factor c-myc is responsible for the upregulation of circHIPK3 under diabetes-related stresses, and then the regulatory network of circHIPK3-miR-30a-3p-VEGFC/FZD4/WNT2 is activated (Figure 2; Shan et al., 2017). cZNF609 is another highly expressed circRNA in patients with DR, and its defect suppresses pathological angiogenesis in the DR model (Figure 2; Liu C. et al., 2017). Conversely, circDNMT3B has a decreased concentration in FVMs and human retinal microvascular ECs (HRMECs) under the high-glucose (HG) treatment. MiR-20b-5p, targeted by circDNMT3B, has been found to positively regulate endothelial angiogenesis through modulating the expression of BAMBI (Figure 2; Zhu et al., 2019). Earlier works have shown that BAMBI is involved in capillary growth regulation and angiogenesis (Guillot et al., 2012).

The dysfunction of pericytes is a leading pathologic feature of inchoate DR and the unbalanced pericyte-EC crosstalk induces the dysfunction of the retinal microvasculature system in DR (Hammes et al., 2002; Armulik et al., 2005). Researchers have verified cPWWP2A and cZNF532 are attractive targets for alternative therapies of pericyte degeneration and DR (Liu et al., 2019; Jiang et al., 2020). Upregulated expressions of cPWWP2A and cZNF532 were found in pericytes under DM-related stresses and in clinical samples from people with DR, but not in



ECs, which were verified to antagonize the diabetes-induced disruption to vascular homeostasis (Liu et al., 2019; Jiang et al., 2020). And the severity of DR was also correlated to rising levels of cZNF532 in the vitreous (Jiang et al., 2020). The SP1, a transcription factor activated in diabetic condition, could bind to the promoter of cZNF532 and take charge of increasing cZNF532 expression (Jiang et al., 2020). In pericytes, cZNF532 can promote the expression of CSPG4, LOXL2, and CDK2 by sequestering the miR-29a-3p binding sites, which results in increased cell viability, proliferation, and recruitment toward HRVECs, ameliorated diabetic stress-induced cell apoptosis, and decreased the macromolecular permeability (Figure 2; Jiang et al., 2020). However, manipulating the cZNF532 expression had almost no effect on HRVECs (Jiang et al., 2020). cPWWP2A had similar effects to those of cZNF532 on the regulation of pericyte functions *via* a different network—cPWWP2A-miR-579-angiopoietin1/occludin/SIRT1, but, unlike cZNF532, it was able to indirectly modulate HRVEC angiogenic activities and pericyte-EC crosstalk through a paracrine approach in exosomes from pericytes (Figure 2; Liu et al., 2019). In conclusion, the overexpression of cZNF532 and cPWWP2A or the silencing of miR-29a-3p and miR-579 was found to alleviate the diabetes-related damage to pericyte functions *in vitro* and retinal vasculatures *in vivo*, as it resulted in an increase in pericyte

coverage and a reduction of vascular leakage, the acellular vascular area, and the amount of microaneurysm (Liu et al., 2019; Jiang et al., 2020).

Another two studies identified altered expression profiles of circRNAs in serum samples and the vitreous humor of people with DR, which could serve as a foundation for future studies on the role of circRNAs in DR (Gu et al., 2017a; He et al., 2020).

### Retinopathy of Prematurity

Retinopathy of prematurity (ROP), a retinal vasoproliferative disease, primarily occurs in premature infants and may cause blindness (Chen and Smith, 2007; Chan-Ling et al., 2018). The pathogenesis of ROP is known to have two phases: Phase 1 is hyperoxia-induced incomplete retinal vessel growth after premature birth, and Phase 2 is hypoxia-driven pathological vessel proliferation (Chen and Smith, 2007; Chan-Ling et al., 2018). Current ablation treatments, the injection of anti-VEGF agents, and other relatively effective treatments contribute to reduced blindness and improved long-term prognosis for people with ROP (Chan-Ling et al., 2018).

The oxygen-induced retinopathy (OIR) newborn mouse model is widely used for mimicking the development of ROP and studying the pathogenesis of retinal neovascularization (Scott and Fruttiger, 2010). Using the OIR model, it was found that cZNF609

was downregulated at the vaso-obliviation stage (Postnatal Days 7–12), but it was upregulated at the neovascularization stage (P12–17) (Liu C. et al., 2017). The reduction in cZNF609 dramatically shrunk the avascular area and suppressed pathologic angiogenesis *in vivo* at P12 and P17 (Liu C. et al., 2017). Decreased cZNF609 also positively regulated human umbilical vein endothelial cell (HUVEC) functions by targeting miR-615-5p-MEF2A network (Figure 2). Therefore, MEF2A silencing can have a mimicking effect on HUVECs as cZNF609 (Liu C. et al., 2017). Two more pieces of research contain a microarray analysis of circRNAs based on the OIR model, providing further research targets for ROP pathogenesis (Cao et al., 2019; Zhou H. et al., 2019).

## Age-Related Macular Degeneration

### Exudative age-related macular degeneration

Age-related macular degeneration (AMD) is one of the leading causes of irreversible blindness in the elderly. AMD is classified into two subtypes—exudative AMD and atrophic AMD—according to whether choroidal vessels disruptively invade the retina (Lim et al., 2012). Exudative AMD, also called neovascular AMD (nAMD) or wet AMD, is characterized by choroidal neovascularization (CNV) leading to retinal pigment epithelium (RPE) rupture, leaking lipids and blood, and fibrous scarring (Lim et al., 2012). Intraocular injection of anti-VEGF agents is the current main treatment for nAMD (Bloch et al., 2012). Whether dysregulated circRNA contributes to developing nAMD is being studied recently.

Liu et al. (2020) investigated circRNA expression profiles using the laser-induced CNV mouse model and constructed circRNA-miRNA-mRNA networks potentially regulating the CNV development. Zhou et al. (2020) identified that cZBTB44 could regulate the pathogenesis of CNV. They also found that cZBTB44, as well as VEGFA and vascular cell adhesion molecule (VCAM)1, was significantly upregulated not only in chorioretinal vascular ECs under hypoxic conditions and CNV lesions from mouse models but also in the aqueous humor of patients with nAMD (Zhou et al., 2020). Moreover, the silencing of cZBTB44 led to the reduction of the CNV lesion area *in vivo* and reduced the size of the choroidal capillary area and the sprouting area in choroidal sprouting assay *ex vivo* (Zhou et al., 2020). cZBTB44 can promote endothelial angiogenic effect by sponging the miR-578 and then modulating the level of VEGFA/VCAM1 indirectly in choroid-retinal ECs (Figure 2; Zhou et al., 2020).

### Atrophic age-related macular degeneration

Atrophic AMD, also called dry AMD, is characterized by persistent atrophy of the RPE, choriocapillaris, and photoreceptors (Lim et al., 2012). RPE cells can maintain retinal functions through vital activities, such as light absorption, nutrient or metabolic waste transportation, and growth factor secretion (Strauss, 2005; Chen X. et al., 2020). RPE abnormalities, like dedifferentiation and degeneration, underlines the pathogenesis of early atrophic AMD, and the strategies for RPE protection will be a promising therapy in the future (Strauss, 2005; Lim et al., 2012).

A recent study revealed that the expression of circNR3C1 was downregulated in serum samples of people with atrophic AMD patients and RPE cells under oxidative stress (Chen X. et al., 2020). CircNR3C1 was found to protect RPE functions *via* regulating the miR-382-5p-phosphatase and tension homolog on chromosome ten (PTEN)-AKT/mammalian target of rapamycin (mTOR) networks (Chen X. et al., 2020). More specifically, insufficient endogenous circNR3C1 expression could disturb RPE ultrastructure, reduce RPE markers, interrupt phagocytosis, and promote RPE proliferation (Chen X. et al., 2020). PTEN, an inhibitor of the AKT/mTOR signaling pathway, enables RPE cells to function normally, but the activation of the AKT/mTOR pathway triggers RPE dedifferentiation and retinal degeneration (Kim et al., 2008; Lee et al., 2011; Zhao et al., 2011; Jiang et al., 2016).

## Glaucoma

As circRNAs are abundant, well conserved, and dynamically expressed in the central nervous system (Rybak-Wolf et al., 2015; You et al., 2015), they play a vital role in the modulation of neurodegeneration disorders (Kumar et al., 2017). Glaucoma is an irreversible and progressive retinal neurodegenerative disease and is the second leading cause of blindness worldwide (Kingman, 2004). It is caused by the progressive loss of retinal ganglion cells (RGCs) (Weinreb et al., 2014). The only method to delay or halt the progression of glaucoma and help RGC survival is to reduce intraocular pressure through surgery and medication (Weinreb et al., 2014).

Although the pathogenesis of glaucoma is highly complex and still unclear, two recent studies have revealed that circRNAs played an important role in glaucoma (Wang et al., 2018a,b). Wang et al. determined that the expression levels of Wang et al. (2018a) and Wang et al. (2018b) were upregulated by elevating the intraocular pressure (IOP) in the rat microbead injection-induced glaucoma model, which was consistent with the detection results in aqueous humor from people with glaucoma. *In vivo*, knockdown of cZNF609 and cZNRANB1 inhibited the reaction of retinal gliosis and facilitated the survival of RGCs, but it did not affect the amacrine cells, photoreceptors, or bipolar cells. *In vitro*, silencing cZNF609 or cZNRANB1 suppressed the viability, proliferation, and activation of Müller cells. However, the silencing indirectly regulated RGC function by reducing the proapoptotic effects of Müller cells on RGCs under oxidative stress and glutamate toxicity stress. cZNF609 was validated to function as the sponge for miR-615, targeting METRN. In addition, the cZNRANB1-miR-217-RUNX2 network was uncovered in Müller cells. Moreover, circRNAs showed abnormal expression patterns in a much earlier stage, before the onset of retinal degeneration diseases, in *in vivo* models (Chen X.-J. et al., 2020). The RUNX2 is involved in mammalian neural development, and METRN influences glial cell differentiation and axonal network formation (Nishino et al., 2004; Zagami et al., 2009). A therapy targeting cZNF609 and cZNRANB1 that would indirectly regulate these target genes may be promising as a glaucoma treatment.

## Cataract

### Age-Related Cataract

Cataract is characterized by the loss of transparency of the human lens. Severe opacification leads to visual impairment or blindness at last. Cataracts can be categorized based on the etiology: age-related cataracts (ARCs), congenital cataracts, and secondary cataracts (cataracts caused by other factors, such as diabetic cataracts) (Liu Y.-C. et al., 2017). The etiopathogenesis of ARC can include the accumulation of insoluble crystallin and dysregulated biological activities of human lens epithelial cells (HLECs), such as abnormal cell growth, cell death, and differentiation (Michael and Bron, 2011). Although cataract surgery is an efficient way to manage cataracts, the rapidly increasing demand for cataract surgery poses a great economic burden on society (Rao et al., 2011; Liu Y.-C. et al., 2017). A more precise molecule mechanism is being studied to find promising alternative pharmacological means for ARC treatment.

Liu et al. (2018) found a general decrease in the expression of circHIPK3 in all three subtypes of ARC (cortical, nuclear, and posterior subcapsular ARC). CircHIPK3 is an abundant and highly conserved circRNA that is involved in a series of physiological or pathological activities (Xie et al., 2020). Silencing circHIPK3 led to the suppression of various cell activities in primary cultured HLECs, such as cell viability, proliferation, EMT, and anti-apoptosis under oxidative stress (Liu et al., 2018). The function of circHIPK3 was manipulated through the circHIPK3-miR-193a-3p-CRYAA axis in HLECs. The CRYAA encodes the  $\alpha$ A-crystallin, which is necessary for the maintenance of lens transparency and lens epithelium survival (Andley, 2009).

### Diabetic Cataract

Cataract often occurs at an earlier age and keeps progressing faster in people with DM (Harding et al., 1993). The proliferation, apoptosis, and autophagy of HLECs are often induced by the hyperglycemic and hyperosmotic microenvironment combined with impaired antioxidant systems (Pollreisz and Schmidt-Erfurth, 2010; Zhang L. et al., 2017). Cataract surgery for patients with DM should be carried out more cautiously and has higher complication rates (Pollreisz and Schmidt-Erfurth, 2010). Interventions that target the pathogenic mechanism of diabetic cataract to delay or confine its onset and progression remain not well understood.

Fan et al. (2019) identified the expression profiling of circRNAs in people with DR first. They detected that circKMT2E was significantly upregulated in circRNAs of the anterior capsular tissues of the lens in those patients, which was the opposite of the results for the promising miRNA target—miR-204-5p, which is related to cell autophagy (Cost and Czyzyk-Krzeska, 2015; Zhang L. et al., 2017; Fan et al., 2019). The autophagy-related circKMT2E/miRNA/mRNA interaction network was only putatively depicted in this study, which may affect the pathogenesis of diabetic cataract (Fan et al., 2019). Thus, the function of circKMT2E in cataract is still imprecise.

## Corneal Diseases

The cornea is a transparent and avascular part of the eye when it is healthy, while the corneal limbus has many blood

vessels to support the survival of stem cells (Chang et al., 2001). However, in people with immunologic, traumatic, or infectious disorders on the ocular surface, corneal neovascularization results from newborn blood vessels from the limbus invading the cornea (Casey and Li, 1997; Chang et al., 2001). Corneal neovascularization often leads to profound vision loss and increases the risk of graft failure and rejection after corneal transplantation (Chang et al., 2001; Bachmann et al., 2010).

The expressions of cZFP609 (cZNF609 in humans) and cKifap3 are the most upregulated and downregulated circRNAs, respectively, in the alkali burn-induced corneal neovascularization model, with results consistent with those of patients' vascularized corneas (Zhou Y.-F. et al., 2019). The phenomenon of persistent upregulated cZNF609 and downregulated miR-184 was also discovered in the rat cornea after corneal suture surgery (Wu et al., 2020). *In vitro*, cKifap3 knockdown improved the angiogenic function of HUVECs (Zhou Y.-F. et al., 2019). In addition, cZNF609 was found to promote angiogenesis by acting as a sponge for miR-184 and activating downstream AKT/ $\beta$ -catenin/VEGF in human corneal epithelial keratinocytes (HCEKs) (Figure 2). This circRNA was revealed to increase the proliferation and migration of HCEKs and enhance the tube formation of ECs (Wu et al., 2020). Thus, topical administration to upregulate the miR-184 or attenuate the cZNF609 was effective in decreasing corneal neovascularization in corneal sutured rats (Wu et al., 2020).

As mentioned above, enhanced expressions of circ\_0005015, cZNF609, circHIPK3, and cZBTB44 or decreased expressions of circDMNT3B can promote the angiogenic function of vascular endothelial cells. The overexpression of cZNF609 can strengthen the angiogenesis effect in corneal epithelial keratinocytes, and the overexpression of cPWWP2A or cZNF532 can regulate pericyte functions and alleviate retinal vascular dysfunction *in vivo*. These dysregulated circRNAs, together with their regulatory networks, disrupt the balance of angiogenic and angiostatic factors and modulate the cell activities of endothelial cells, epithelial keratinocytes, and pericytes (Figure 2). These findings provide new insights into the pathogenesis of vascular eye diseases.

## Ocular Surface Diseases

Pterygium, a common ocular surface disorder, is characterized by benign noncancerous overgrowth of conjunctiva over the sclera (Liu et al., 2013). Visual function is significantly affected if the hyperplastic fibrovascular tissue invades the cornea or causes inflammation (Liu et al., 2013). Genetic factors (e.g., DNA repair, cell proliferation and migration, and angiogenesis) and environmental factors [e.g., human papillomavirus infection and chronic ultraviolet radiation (UV) exposure] underlie the pathogenesis of pterygium (Liu et al., 2013). However, the precise molecular mechanism of pterygium formation is not clear, and pterygium has a relatively high recurrence ratio with the current treatments (Hacıoğlu and Erdöl, 2017).

In a recent study, 669 circRNAs were found to be abnormally expressed in pterygium tissues (Li X.-M. et al., 2018). Further analysis revealed that the most enriched biological process and regulatory network of dysregulated circRNAs are the extracellular

matrix organization and focal adhesion signaling pathways, respectively, which are highly correlated with the pathogenesis of pterygium (Li X.-M. et al., 2018). The researchers focused on significantly upregulated circ\_0085020 (circLAPTM4B) and found that its silencing weakened cellular viability, proliferation, and migration of pterygium fibroblasts and pterygium epithelial cells but increased UV-induced apoptosis (Li X.-M. et al., 2018). More researches are required to more thoroughly understand the regulatory network of circ\_0085020.

## Vitreous Diseases

Proliferative vitreoretinopathy (PVR) commonly occurs after retinal detachment (RD) surgery or other intraocular surgeries due to the genesis of membranes on the surface between the detached retina and the posterior hyaloid (Pastor et al., 2016). The migration and proliferation of RPE and glial cells have been considered essential elements of the pathogenesis of PVR (Pastor et al., 2016). Proliferating membranes may lead to further tractional RD, and current surgical treatments carry the risk of retina re-detachment (Charteris et al., 2002), so it is important to prevent the development of PVR.

Yao et al. (2019) screened 91 circRNAs that were dysregulated in the epiretinal membranes (ERMs) of people with rhegmatogenous RD who were diagnosed with PVR. Among the 91 circRNAs was circ\_0043144, which has a dynamic expression consistent with established PVR markers, and its circulating molecular concentration in serum samples positively increased in a PVR severity assessment, but there was no difference after the surgery for PVR (Yao et al., 2019). In *in vitro* studies, the silence of circ\_0043144 induced the dysfunction of RPE cells, such as suppressed proliferation and migration and attenuated secretion of cytokines and growth factors that may prevent the formation of ERMs (Yao et al., 2019). Circ\_0043144 may be able to perform as an indicator for the diagnosis and aggravation of PVR, as well as a potential therapeutic target.

## Hyperhomocysteinemia-Induced Ocular Diseases

Hyperhomocysteinemia (HHcy) is a type of metabolic disease in which there is an abnormally high level of homocysteine (Hcy) in the patient's plasma caused by a deficiency of cystathionine- $\beta$ -synthase (CBS), methionine synthase, and other factors involved in the metabolism, such as vitamins B6 and B12 (Ajith and Ranimenon, 2015). Many pieces of evidence have supported that HHcy can induce various ocular pathological changes correlated with glaucoma, cataract, retinopathy, optic neuropathy, retinal vascular diseases, and many other eye disorders (Ajith and Ranimenon, 2015).

A profile of differentially expressed circRNAs was identified in the eyes of the CBS-deficient murine model with HHcy (Singh et al., 2018). On the other hand, Singh et al. (2019) found 54 dysregulated circRNAs in the RPE cells exposed to high levels of Hcy. In the future, more functional circRNAs should be verified to help us better understand the role of circRNAs in HHcy-induced ocular diseases.

## Ocular Malignancies

### Retinoblastoma

Retinoblastoma (RB) is a progressive intraocular cancer that occurs most commonly in young children (Dimaras et al., 2012). This has an approximate mortality ratio of 70% in pediatric patients with RB in underdeveloped countries (Dimaras et al., 2012). The genetic etiology or pathogenesis of RB is suspected to be what is known as the Knudson's "two-hit" hypothesis. According to this hypothesis, there is first a mutation of the RB1 gene and then the second hit occurs in the RB1 alleles (Knudson, 1971). However, more research has revealed a more complex landscape of genetics and epigenetics in which other biological molecules and events affect the origin and prognosis of RB, including DNA methylation, miRNA, and circRNAs (Reis et al., 2012).

Has\_circ\_0001649, transcribed from an antioncogene SHPRH, is a novel cancer-associated circRNA found in several cancers, such as cholangiocarcinoma (Xing et al., 2018; Xu et al., 2018). The downregulation of circ\_0001649 was found in RB tissues and human RB cell lines, and it was correlated with a larger bulk of tumors, more severe retinoblastoma, and reduced 5-year survival rate after surgeries (Xing et al., 2018). Furthermore, overexpressed circ\_0001649 was shown to inhibit the proliferative ability of RB cells by modulating the signaling pathway of AKT/mTOR and slow down the xenograft growth of RB in the mouse model (Xing et al., 2018). In another study, circ\_0075804 and its homologous mRNA derived from gene E2F3 were highly expressed in RB cells (Zhao et al., 2020). Interestingly, circ\_0075804 was found to enhance the stability of E2F3 mRNA with the help of HNRNPK (a type of RBP) (Zhao et al., 2020). Thus, the level of E2F3 mRNA and coding protein was upregulated by circ\_0075804, but E2F3 had no impact on circ\_0075804 (Zhao et al., 2020). The silencing of circ\_0075804 can lead to downregulated E2F3 and then inhibit its proliferation, promote apoptosis of RB cells, and slow down RB progression (Zhao et al., 2020).

Lyu et al. (2019) found that there was a correlation between the parental genes of dysregulated circRNAs in RB samples and the function of chromatin modification, which is an important part of the pathogenesis of RB. A potential regulatory axis of has\_circ\_0093996-miR-183-PDCD4 is predicted to play a role in RB pathogenesis (Lyu et al., 2019).

### Ocular Melanoma

Malignant melanoma, a type of highly invasive tumor made up of melanocytes, often occurs in sun-exposed skin. Ocular melanoma occurs most commonly in the uvea, and uveal melanoma (UM) usually leads to unfavorable clinical outcomes and early metastasis (Shields, 2010; Krantz et al., 2017). Conjunctival melanoma (CM) is much rarer but also has a high degree of malignancy (Shields, 2000, 2010). The mortality rate following the initial diagnosis of UM is ~30% at 5 years, but only 8% of people with UM survived 2 years with tumor metastasis (Shields, 2010; Krantz et al., 2017). The rate of survival for CM is ~7% at 5 years (Shields, 2000). Due to the limitations in the treatment of these malignant tumors, there is an urgent need for a greater

understanding of the role of circular molecules in melanoma especially in UM and CM.

In a study on CM, researchers screened out circMTUS1 (has\_circ\_0083444) from the highly expressed circRNAs in CM tissues compared with those of adjacent normal tissues (Shang et al., 2019). The host gene MTUS1 of circMTUS1 has been recognized as an antioncogene in multiple types of cancer, such as lung cancer (Gu et al., 2017b). In addition, it was found that circMTUS1 knockdown inhibited melanoma cell proliferation *in vitro* and suppressed tumor growth and the final weight of xenograft tumors in the mouse model (Shang et al., 2019). Furthermore, the bioinformatic analyses suggested that circMTUS1 may participate in CM progression through the ErbB, mitogen-activated protein kinase (MAPK), and Wnt signaling pathways and by targeting miR-1208 and miR-622 (Shang et al., 2019). The miR-622 is a proven tumor suppressor in cutaneous melanoma (Dietrich et al., 2018).

Yang et al. (2018) investigated dysregulated circRNA profiles in UM. Of studied circRNAs, upregulated circ\_0128533 was predicted to work as a tumor promoter and protect UM cells from apoptosis by binding miR-145 whose overexpression could produce reverse effects (Li et al., 2014). Future studies should verify the function of these promising circRNAs.

In recent years, more and more circRNAs have been revealed to be involved in special characteristics of cancer, including resistance to cell death, limitless replication, sustained angiogenesis, tissue invasion, and metastasis (Su et al., 2019). Here, except for normal sponging miRNAs, circRNAs such as circ\_0075804 can also perform oncogenesis regulation in cells by interacting with RBPs (Zhao et al., 2020). Listed studies reveal a novel scale of the role of circRNAs in ocular malignancy pathogenesis. Circ\_0001649 and circ\_0075804 may serve as diagnostic biomarkers, prognostic indicators for RB in the clinical works in the future as well as circMTUS1 for CM. These promising findings suggest that new treatment targeting these functional circRNAs may cure or suppress the formation of tumors in the eyes.

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## CONCLUSION

In summary, through greater recognition of circular RNAs and powerful high-throughput sequencing combined with bioinformatic tools, more circRNAs are attracting the interest of researchers. CircRNAs play a vital role in regulating different molecules, signaling pathways, pathophysiological activities, and diseases. Herein, we depict the current landscape of the properties, biogenesis, and functions of circRNAs based on our understanding, and we introduce up-to-date advancements of circRNA studies in the scope of ocular diseases to help us focus on this rising star in research. The main finding is that dysregulation of specific circRNAs can act as potential biomarkers and make promising candidates for therapeutic intervention. However, except for circRNAs listed in **Table 1**, there are still many unknown circRNAs that can affect the development of eyes and the pathogenesis of ocular diseases (more than diseases that we discussed in this review), so more researches are urgently needed.

## AUTHOR CONTRIBUTIONS

CZ and YY collected the information and drafted and revised the manuscript. JH contributed to collecting information and editing the manuscript. YY directed the work and finalized the manuscript. All authors read and approved the final manuscript.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Variant Profiling of a Large Cohort of 138 Chinese Families With Autosomal Dominant Retinitis Pigmentosa

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Retinitis pigmentosa (RP) is the most common form of inherited retinal dystrophy, and 15–25% of RP is transmitted as an autosomal dominant (ad) trait. The objectives of this study were to establish the variant profile in a large cohort of adRP families and to elucidate the variant spectrum of each adRP gene in Chinese patients. A total of 138 probands clinically diagnosed with RP as a presumed autosomal dominant trait were recruited. All probands underwent ophthalmic examinations by specialists. A combination of molecular screening methods, including targeted next-generation sequencing, Sanger DNA sequencing, and multiplex ligation probe amplification assay, was used to detect variants. We identified heterozygous variants of 11 adRP genes in 73 probands, hemizygous, or heterozygous variants of X-linked RP genes in six patients, compound heterozygous variants of autosomal recessive RP genes in three pseudodominant families, and one heterozygous variant of one ad cone and rod dystrophy gene in one proband. One proband was found carrying both variants in *RPGR* and *FAM161A*. The overall detection rate was 59.4% (82/138). We detected 72 distinct disease-causing variants involving 16 RP genes and one cone-rod dystrophy gene; 33 of these variants have not been reported previously. Disease-causing variants were identified in the adRP genes in 52.9% of the families, followed by 4.3% in the X-linked RP genes, and 2.2% in the autosomal recessive genes. The most frequent mutant genes were *RHO*, *PRPF31*, *RP1*, *SNRNP200*, and *PRPF8*, which explained up to 78.0% of the genetically diagnosed families. Most of the variants identified in adRP genes were missense, and copy number variations were common (7/20) in the *PRPF31* gene. We established the profile of the mutated genes and the variant spectrum of adRP genes in a large cohort of Chinese patients, providing essential information for genetic counseling and future development of therapeutics for retinal dystrophy inherited as a dominant trait.

**Keywords:** autosomal dominant retinitis pigmentosa, next-generation sequencing, disease-causing variant, copy number variation, variant profile

## INTRODUCTION

Retinitis pigmentosa (RP) is the most common form of inherited retinal dystrophy (IRD), with a prevalence of about 1 in 4,000 (Ayuso and Millan, 2010). RP is a progressive disorder characterized by initial degeneration of rod photoreceptors, followed by degeneration of cone cells (Ayuso and Millan, 2010). Clinical features include night blindness (usually occurring in adolescence),

progressive defects of the peripheral visual field, and ultimately, severe damage to central visual acuity (Ayuso and Millan, 2010; Daiger et al., 2014a). The typical fundus appearance consists of black bone-spicule pigmentation in the midperipheral retina, attenuated retinal arterioles, and a pale optic disc. Electroretinograms (ERGs) present reduced or non-recordable signals (Ayuso and Millan, 2010; Daiger et al., 2014a). Most RP cases (about 70–80%) are non-syndromic, which means that patients display only ocular dysfunction. However, some patients may present highly variable clinical symptoms and progression, even if they come from the same family (Ayuso and Millan, 2010).

RP is a highly genetically heterogeneous disorder and can be transmitted in an autosomal recessive (ar), an autosomal dominant (ad), or an X-linked recessive pattern (xl) (Ayuso and Millan, 2010; Daiger et al., 2014a). Digenic inheritance has been reported in some rare cases (Ayuso and Millan, 2010). Autosomal dominant RP (adRP) accounts for about 15–25% of the total RP cases (Daiger et al., 2014a). At present, 30 causative genes have been identified for adRP (RetNet: <https://sph.uth.edu/retnet/home.htm>), and more than 1,000 different kinds of variants have been described in those genes (Daiger et al., 2014a; Dias et al., 2018). The most commonly mutated gene is *RHO*, which is responsible for ~20–30% of adRP cases (Daiger et al., 2014a; Dias et al., 2018). Most of the detected variants in the adRP genes are private variants, and a small fraction of the common variants are ethnicity specific; for example, the *RHO* variant p.(P23H) is a founder variant almost exclusively described in Americans of European origin (Sullivan et al., 2013; Daiger et al., 2014a,b; Dias et al., 2018). Copy number variants (CNVs) have also been reported in some adRP genes, and incomplete penetrance has been observed in some specific genes (Sullivan et al., 2013; Daiger et al., 2014a,b; Dias et al., 2018). Taken together, these findings for adRP increase the complexity of its genetic diagnosis, which is very crucial for genetic consulting and gene therapy in patients with this disorder.

In recent years, the application of next-generation sequencing (NGS), mostly as targeted exome sequencing (TES) and whole exome sequencing (WES), has greatly increased the genetic diagnosis rates of different form of IRD (Daiger et al., 2014b; Xu et al., 2014; Costa et al., 2017; Van Cauwenbergh et al., 2017; Martin-Merida et al., 2018; Gao et al., 2019). The profile of mutated genes and the variant spectrum of adRP genes have been established in European and American patients with variant detection rates between 50 and 75% (Daiger et al., 2014b; Costa et al., 2017; Van Cauwenbergh et al., 2017; Martin-Merida et al., 2018). One very recent study that reported genetic analysis using TES in a large cohort of Chinese patients has shown that 72% of the patients could receive a molecular diagnosis (Gao et al., 2019). However, the large cohort included several other IRD cases, such as Bietti crystalline retinopathy, Leber congenital amaurosis, and retinitis punctata albescens, and it did not report the gene profiles for adRP patients (Gao et al., 2019). Several previous studies have reported the gene profiles in the relatively small Chinese adRP cohort (not more than 78 families) (Li et al., 2010; Xu et al., 2014; Huang et al., 2017).

In the current study, we have described the outcomes of a comprehensive molecular analysis of 138 pedigrees with

possible adRP by a combination of methods, including TES, Sanger sequencing, and real-time quantitative polymerase chain reaction (q-PCR) analysis or multiplex ligation-dependent probe amplifications (MLPAs).

## SUBJECTS AND METHODS

### Patients

In total, 138 unrelated families with a clinical diagnosis of RP were enrolled at the Genetics Laboratory of the Beijing Institute of Ophthalmology, Beijing Tongren Ophthalmic Center. Dominant inheritance was presumed when each family had affected members in at least two consecutive generations. This cohort included four previously reported families (Pan et al., 2012; Dong et al., 2013). Patients were diagnosed with RP based on the following criteria: a history of night blindness, progressive visual field defects, fundus displaying bone spicule-like pigment clumping in the midperipheral or peripheral retina and attenuation of retinal vessels, and severe rod-cone dysfunction or non-recordable ERG recording (Ayuso and Millan, 2010). The molecular testing processes were prospectively evaluated and approved by the ethics committee of Beijing Tongren Hospital, and all tests were implemented under the official guidelines of the Beijing Tongren Hospital Joint Committee on Clinical Investigation in compliance with the Declaration of Helsinki. Informed consent was obtained from probands after a detailed explanation of the processes.

Each proband and available family members underwent a regular ophthalmic examination that included best-corrected visual acuity, slit-lamp biomicroscopy, and a fundus examination. Most participants also underwent optical coherence tomography, visual field, and ERG evaluations. Peripheral blood samples were collected from patients and their family members, and genomic DNA was extracted from the leukocytes with a genomic DNA extraction and purification kit (vigorous whole-blood genomic DNA extraction kit; Vigorous Beijing, China), according to the producer's protocol.

### PCR-Based Sequencing of the *RHO* Gene

Five exons and flanking splicing sites of the *RHO* gene were first sequenced for all probands diagnosed with adRP, except for the four families that we have previously reported (Pan et al., 2012; Dong et al., 2013). Those four previously reported families were analyzed by linkage mapping following Sanger sequencing (Pan et al., 2012; Dong et al., 2013). The PCR amplifications were performed with regular reaction mixtures, and the purified amplicons were sequenced on an ABI Prism 373A DNA sequencer (Applied Biosystems, Foster City, CA, USA). The sequencing outcomes were matched to the available cDNA sequence of *RHO* (GenBank NM\_000539).

### TES and Bioinformatics Analysis

We performed TES in 113 patients who did not carry any *RHO* variants using a capture panel developed and evaluated by our group (Sun et al., 2018). This panel comprised 188 known IRD genes, and 26 of them were adRP genes. Details of the procedures, which included the Illumina

library preparation, capture experiments, and the enrichment libraries sequencing, have been described previously (Sun et al., 2018). The raw sequencing data processing, calling, and evaluation were carried out as previously reported (Sun et al., 2018). The bioinformatics programs PolyPhen2 (<http://genetics.bwh.harvard.edu/pph/>), Mutation Taster (<http://www.mutationtaster.org/>), and SIFT (<http://sift.jcvi.org/>) were used to predict the pathogenicity of each variant. The programs NetGene2 Server (<http://www.cbs.dtu.dk/services/NetGene2/>), Human Splice Finder (<http://www.umd.be/HSF3/>), and Berkeley Drosophila Genome Project ([http://www.fruitfly.org/seq\\_tools/splice.html](http://www.fruitfly.org/seq_tools/splice.html)) were used to analyze any variants involving a splicing effect. We further determined the pathogenicity of each variant by searching the reported pathogenic variants in the HGMD database (<http://www.hgmd.cf.ac.uk/ac/index.php>) and the LOVD database (<https://www.lovd.nl/>). We ultimately classified the variants into pathogenic or likely pathogenic variants, variants of uncertain significance (VUS), and benign or likely benign variants according to the standards described by the American College of Medical Genetics and Genomics (ACMG) (Richards et al., 2015). Sanger sequencing was conducted to verify the supposed disease-causing variants and VUS. Segregation analysis was done for the probands and their family members.

## CNV Analysis and Validation

We employed the CNV kit software (<https://github.com/etal/cnvkit>) to identify CNVs from variations in the read depth for the patients who had TES data (Talevich et al., 2016). Real-time q-PCR was then performed to confirm the presence of the presumed CNVs of *PRPF31* in five families and of *FAM161A* in one family, as we previously reported (Dong et al., 2013). MLPA assays were conducted for three probands and their family members using the SALSA MLPA Kit P235 (Amsterdam, the Netherlands), following the producer's protocols.

## Supplementary PCR-Based Sequencing

We performed Sanger sequencing of exon 15 [open reading frame 15 (ORF15)] of *RPGR* in all male patients whose disease-causing variants were not found after TES and whose pedigrees could not exclude X-linked transmission.

## Statistical Analysis

All statistical analyses were performed using SPSS statistical software (version 25.0, SPSS Inc., Chicago, IL). The Kolmogorov–Smirnov test was used to evaluate whether the onset age of a single group conformed to a normal distribution. The Wilcoxon rank sum test was used to evaluate any difference in the onset age between two groups.  $P \leq 0.05$  was considered statistically significant.

# RESULTS

## Variant Detection Rate and Variant Spectrum

We identified heterozygous autosomal dominant variants that were pathogenic or likely pathogenic in 74 probands, hemizygous, or heterozygous in six probands, and compound

heterozygous in three patients, for a general variant detection rate of 59.4% (82/138) (**Table 1**, **Figure 1A**). We found a total of 72 different variants in 17 genes, including 11 adRP genes, two xLRP genes, three arRP genes, and one autosomal dominant cone and rod dystrophy (adCORD) gene (**Figure 1**). Four of the 72 variants were identified three times or more, and the remaining 68 variants were detected either once (88.9%) or twice (5.6%). The most common variants were p.(R135W) and p.(P347L) in *RHO*, with a gene-specific allele frequency of 17.4% (4/23), followed by p.(R677\*) in the *RP1* (37.5%, 3/8) and a whole *PRPF31* deletion (15.0%, 3/20) (**Supplementary Table 1**).

Of the 72 putative disease-causing variants, 33 variants were first identified in the current study (**Supplementary Table 1**). These 33 novel variants comprised 16 missense, five frameshift indel, four non-sense, three splicing effect, three CNV, one synonymous, and one stop-codon-lost variant. None of these novel variants were recorded in our in-house and any public databases, such as the Exome Variant Server and 1000 Genomes Database (**Supplementary Table 1**). The 16 novel missense variants were defined as pathogenic or likely pathogenic variants according to the ACMG guidelines and standards (**Supplementary Table 1**). One synonymous variant c.1146G>A, p.(E382E), located in the last base of the exon 11 of *PRPF31*, was predicted to alter the downstream splice effect by NetGene2, HSF, and Mutation Taster. The remaining variants (frameshift small indel, non-sense, splicing effect, and run-on variants) or CNVs were considered to be obviously pathogenic variants. Five variants of *PRPF8* were identified in this cohort, and they all were novel. These five variants contained four missense variants and one frameshift small deletion that escaped non-sense-mediated decay. Three of these variants were located in the C-terminal Jab1 domain, and the other two were in the Linker and RNaseH-like domain (**Figure 2A**). We also detected an unreported missense variant, p.(S216G), which is not located in any domains of *PRPF8* (**Figure 2A**). As p.(S216G) was predicted to be benign by both Polyphen2 and SIFT, we defined this variant as a VUS according to the ACMG guidelines and standards.

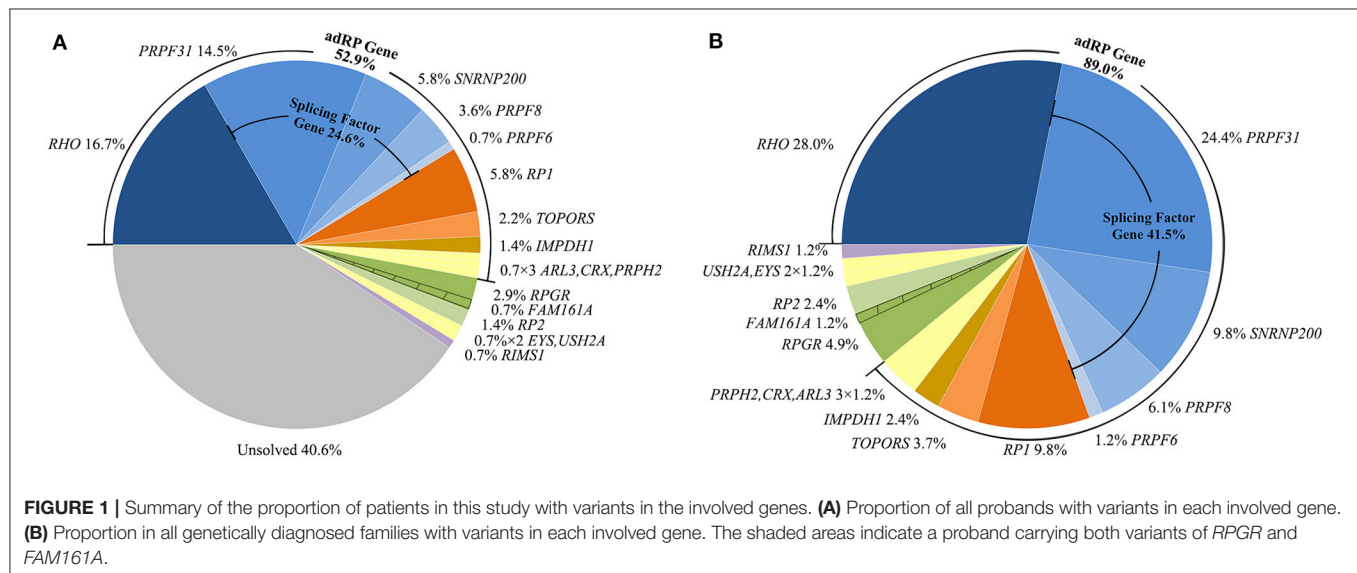
## Variant Profile in the adRP Genes and Related Clinical Features

The 59 putative disease-causing variants of the 11 adRP genes were identified in 73 pedigrees (52.9%, 73/138) and accounted for 89.0% (73/82) of the genetically diagnosed cases in this cohort (**Figure 1B**). Cosegregation analyses were performed in 65 pedigrees of the 73 families (**Supplementary Table 2**). The most frequently mutated gene was *RHO*, identified in 23 of the 138 probands (16.7%), followed by *PRPF31* in 20 unrelated patients (14.5%), *RP1* and *SNRNP200* each in 8 patients (5.8%), and *PRPF8* in 5 patients (3.6%) (**Figure 1A**). Variants in the pre-mRNA splicing factor genes accounted for 24.6% of the families and the variant locations in each gene are displayed in **Figure 2A**. Most of the variants in *RHO*, *SNRNP200*, *PRPF8*, and *IMPDH1* were missense variants, whereas loss-of-function variants, including non-sense, frameshift small indels, and CNVs, were more frequently observed in *PRPF31*, *RP1*, *CRX*, and *TOPORS* (**Table 2**). In the current cohort, CNVs were more

**TABLE 1** | Summary of Family Composition and Variant Screening Results in 138 Chinese adRP Families.

|                       | # Family with M to M | %    | # Family without M to M | %    | #Total | %    |
|-----------------------|----------------------|------|-------------------------|------|--------|------|
| adRP gene variant     | 40                   | 64.5 | 33                      | 43.4 | 73     | 52.9 |
| adCORD gene variant   | 1                    | 1.6  | 0                       | 0.0  | 1      | 0.7  |
| xLRP gene variant     | 0                    | 0.0  | 6*                      | 7.9  | 6*     | 4.3  |
| arRP gene variant     | 2                    | 3.2  | 1*                      | 1.3  | 3*     | 2.2  |
| No variant identified | 19                   | 30.7 | 37                      | 48.7 | 56     | 40.6 |
| Total                 | 62                   |      | 76                      |      | 138    | 59.4 |

ad, autosomal dominant; ar, autosomal recessive; CORD, cone and rod dystrophy; M to M, male to male transmission; RP, retinitis pigmentosa; xl, x-linked; \*one family carrying both variants of *RPGR* and *FAM161A*.



prevalent in *PRPF31*, with a gene-specific frequency of 35.0% (7/20) (**Figure 2B**).

All probands with the adRP gene variants had typical RP symptoms, and their representative fundus appearances of the patients with variants in the first five common genes are shown in **Figure 3**. The median onset age of all patients with adRP genes was 5 years (range, 1–45 years) (**Table 3**). The median onset age was significantly older for the probands carrying the variants of *RP1* than for all probands with adRP (**Table 3**). Incomplete penetrance was observed in five pedigrees—three carrying variants in *PRPF31*, one in *PRPF8*, and one in *TOPORS*.

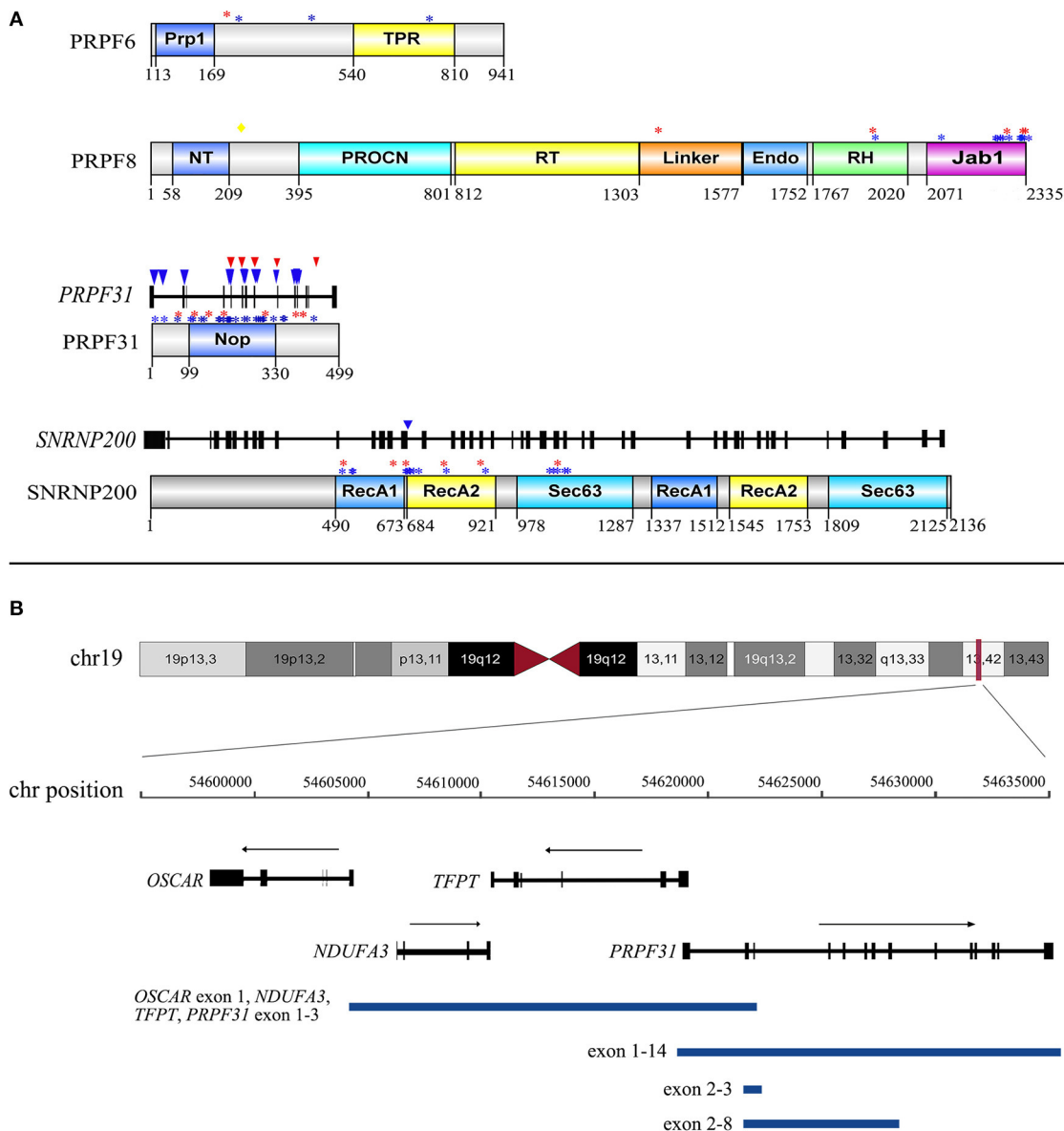
## Variant Profiles in the xLRP and arRP Genes

Six hemizygous or heterozygous variants of the two xLRP genes were identified in six probands (4.3%, 6/138) and three compound heterozygous variants of three arRP genes in three probands (2.2%) (**Figure 4**). All six families with xLRP gene variants had at least two generations of inheritance; however, none of them showed male-to-male transmission that would exclude X-linked inheritance (**Figure 4**). Proband 0191318 was found to carry one heterozygous variant, p.(Q565Rfs\*17), of *RPGR* and a compound heterozygous variant, M1:p.(Q548\*) and M2:exon 1-5del, of *FAM161A*. Cosegregation analysis showed

that his affected father carried a hemizygous variant of *RPGR* and a heterozygous variant, p.(Q548\*), of *FAM161A*. Pedigree 019207 was a small two-generation family with male-to-male transmission; however, the proband was found to harbor a compound heterozygous variant, p.[(G268R)]; [(P2811T)], of the *USH2A* gene. Cosegregation analysis showed that his affected father only carried a heterozygous variant, p.(G268R). We then conducted TES analysis on his affected father, but we did not detect any other disease-causing variant. Therefore, these two families were considered to be pseudodominant pedigrees (**Figure 4**). Proband 019645 was found to carry a compound heterozygous variant of *EYS*. During his family history review, he stated that his father and sister also had night blindness and visual acuity defects, but his father did not suffer from RP, according to a later ophthalmologic examination.

## Variants in Other IRD Genes

Proband 0191323 was found to carry a heterozygous variant, p.(S679T), of *RIMS1*, which is a disease-causing gene for adCORD. Cosegregation analysis showed that his affected mother also harbored this variant. The 51-year-old proband stated that he had experienced night-blindness since the age of 8 years and had developed obvious visual acuity loss and



**FIGURE 2 |** The distribution of 30 distinct variants of *PRPF31*, *SNRNP200*, *PRPF8*, and *PRPF6* identified in our study. **(A)** The distribution of 21 missense variants in the corresponding domain of *PRPF31*, *SNRNP200*, *PRPF8*, and *PRPF6* and the distribution of five splicing effect variants in the exons and introns of *PRPF31* and *SNRNP200*. Numbers under domains indicate amino acid location. Red asterisks indicate missense variants detected in the current cohort. Blue asterisks indicate missense variants reported in HGMD. Yellow diamond indicates the VUS of *PRPF8* detected in the current cohort. Red triangles indicate splicing effect variants detected in the current cohort. Blue triangles indicate splicing effect variants reported in HGMD. Prp1, pre-mRNA processing domain; TPR, tetratricopeptide repeat domain; NT, PRO8NT domain; RT, reverse transcriptase homology domain; Endo, restriction endonuclease homology domain; RH, RNase H homology domain; Jab1, Jun kinase activation binding protein; NOP, nucleolar protein domain; RecA1, repeat helicase ATP-binding domain; RecA2, repeat helicase C-terminal domain; Sec63, secretory-63 domain. **(B)** Lengths and positions of the four gross deletions involving *PRPF31* on chromosome 19q13.42.

photophobia at around age 40 years. His fundus examination showed macular atrophy and black spicule-like pigment in the posterior pole and peripheral retina, and his ERG recording was extinguished. This patient might be in the late stage of CORD, so his fundus examination presented an RP-like appearance.

## DISCUSSION

In the current study, we conducted a comprehensive molecular analysis in a large Chinese adRP cohort. We obtained an overall variant detection rate of 59.4% by means of several molecular methods, including linkage mapping, Sanger

**TABLE 2 |** Prevalence of Disease-Causing Variants in 138 Chinese adRP families and mutation type for each gene.

| Gene               | No.Families | %    | MS | FS | NS | SP | CNV | Others |
|--------------------|-------------|------|----|----|----|----|-----|--------|
| <b>adRP gene</b>   |             |      |    |    |    |    |     |        |
| <i>ARL3</i>        | 1           | 0.7  | 1  | 0  | 0  | 0  | 0   | 0      |
| <i>CRX</i>         | 1           | 0.7  | 0  | 1  | 0  | 0  | 0   | 0      |
| <i>IMPDH1</i>      | 2           | 1.4  | 2  | 0  | 0  | 0  | 0   | 0      |
| <i>PRPF31</i>      | 20          | 14.5 | 3  | 1  | 2  | 5  | 7   | 2      |
| <i>PRPF6</i>       | 1           | 0.7  | 1  | 0  | 0  | 0  | 0   | 0      |
| <i>PRPF8</i>       | 5           | 3.6  | 4  | 1  | 0  | 0  | 0   | 0      |
| <i>PRPH2</i>       | 1           | 0.7  | 0  | 1  | 0  | 0  | 0   | 0      |
| <i>RHO</i>         | 23          | 16.7 | 20 | 0  | 3  | 0  | 0   | 0      |
| <i>RP1</i>         | 8           | 5.8  | 1  | 3  | 4  | 0  | 0   | 0      |
| <i>SNRNP200</i>    | 8           | 5.8  | 8  | 0  | 0  | 0  | 0   | 0      |
| <i>TOPORS</i>      | 3           | 2.2  | 1  | 2  | 0  | 0  | 0   | 0      |
| <b>xLRP gene</b>   |             |      |    |    |    |    |     |        |
| <i>RP2</i>         | 2           | 1.4  | 1  | 0  | 1  | 0  | 0   | 0      |
| <i>RPGR</i>        | 4*          | 2.9  | 2  | 2  | 0  | 0  | 0   | 0      |
| <b>arRP gene</b>   |             |      |    |    |    |    |     |        |
| <i>EYS</i>         | 1           | 0.7  | 1  | 0  | 1  | 0  | 0   | 0      |
| <i>FAM161A</i>     | 1*          | 0.7  | 0  | 0  | 1  | 0  | 1   | 0      |
| <i>USH2A</i>       | 1           | 0.7  | 2  | 0  | 0  | 0  | 0   | 0      |
| <b>adCORD gene</b> |             |      |    |    |    |    |     |        |
| <i>RIMS1</i>       | 1           | 0.7  | 1  | 0  | 0  | 0  | 0   | 0      |
| <b>Not solved</b>  | 56          | 40.6 |    |    |    |    |     |        |
| <b>Total</b>       | 138         | 100  |    |    |    |    |     |        |

ad, autosomal dominant; ar, autosomal recessive; CORD, cone and rod dystrophy; FS, frameshift; MS, missense; NS, non-sense; Other, run-on or synonymous variant; RP, retinitis pigmentosa; SP, splicing effect; \*one proband carrying both variants of *RPGR* and *FAM161A*.

sequencing, targeted-exon sequencing, MLPA, and real-time q-PCR analysis. This detection rate is close to the rates recently reported in a large Spanish adRP cohort comprising 258 families (60%), as well as in a previously described small Belgian adRP cohort comprising 86 families (56%) (Van Cauwenbergh et al., 2017; Martin-Merida et al., 2018). However, it is much lower than the rate (70%) described previously in a large American adRP cohort that included 253 families (Daiger et al., 2014b). The variant detection rate is related to the accuracy of the probands' clinical diagnoses. In the current study, the solving rate was much higher for the families with male-to-male transmission (69.3%) than for the families without male-to-male transmission (51.3%). The variant detection rate is also related to molecular screening methods. For the large American adRP cohort, application of next-generation sequencing increased the detection rate from 65% (by only Sanger sequencing) to 70% (Daiger et al., 2014b).

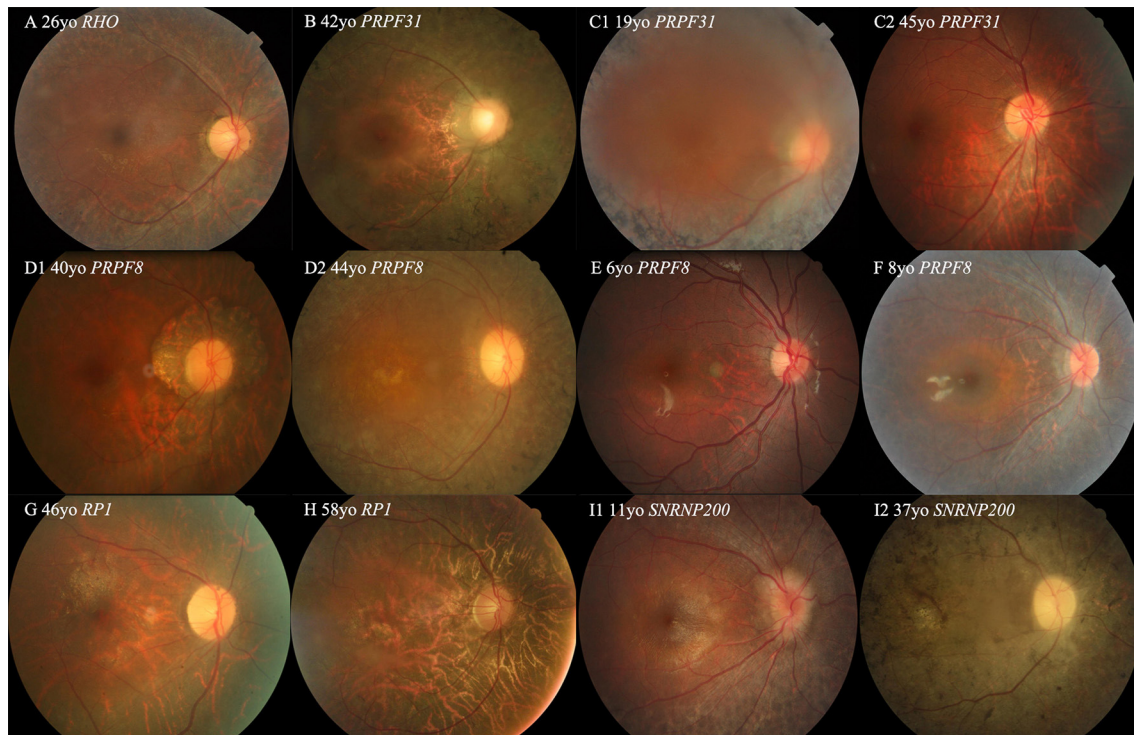
Consistent with several previous studies, *RHO* was the most frequently mutated gene in the current adRP cohort, and its proportion (16.7%) was higher than the proportions reported in Chinese (8.9%) and Japanese (11.5%) adRP cohorts (Xu et al., 2014; Koyanagi et al., 2019) and close to those reported in French (18.3%), Italian (16.0%), and Belgian (14.0%) adRP cohorts (Ziviello et al., 2005; Coussa et al., 2015; Van Cauwenbergh

et al., 2017), but much lower than those observed in American (26.9%) adRP cohorts (Daiger et al., 2014a,b). This difference might reflect, in part, the absence of the P23H variant, which is a founder variant and accounts for about 13.2% of the adRP in American families (Daiger et al., 2014a). The second most common mutated gene was *PRPF31*, which showed a 14.5% variant frequency in the current cohort. This frequency was higher than that observed in several adRP cohorts (6.8–10.5%) from Japan, Belgium, America, and Spain (Daiger et al., 2014a; Van Cauwenbergh et al., 2017; Martin-Merida et al., 2018; Koyanagi et al., 2019). The variant frequency of *SNRNP200* was also much higher in the current cohort than in the Spanish and American cohorts (5.8% vs. 2.3 or 1.5%) (Daiger et al., 2014a; Martin-Merida et al., 2018). Therefore, the findings that the variants in the pre-mRNA splicing factor genes were the major cause of the current Chinese adRP cohort and were responsible for 24.6% of the probands were not surprising (**Figure 1B**). By contrast, the variant frequency was much lower for *PRPH2* (0.7%) in our cohort than in the American, Belgian, and Spanish cohorts (7.0, 4.7, and 3.9%, respectively) (Daiger et al., 2014a; Van Cauwenbergh et al., 2017; Martin-Merida et al., 2018). The rate was also lower than the rate previously reported (3.7%, 3/79) in a small Chinese adRP cohort (Xu et al., 2014). Several previous studies have indicated that the variant frequency of *PRPH2* in adRP varies extensively with ethnicity, ranging from 0% (Mexican cohort) up to 10.3% (French cohort) (Sullivan et al., 2006; Matias-Florentino et al., 2009; Manes et al., 2015).

Our cohort showed a greater allelic diversity, and the majority of the observed variants (almost 90%) were private variants responsible for their own respective families. Only four variants in *RHO*, *PRPF31*, and *RP1* were detected three or more times. The most frequent variants, p.(R135W) and p.(P347L) in *RHO*, were variant hotspots that have been reported in several previous studies (Xu et al., 2014; Van Cauwenbergh et al., 2017; Martin-Merida et al., 2018). The common variant p.(R677\*) in *RP1* was also a variant hotspot that has been described in many adRP families with different ethnicities (Bowne et al., 1999; Jacobson et al., 2000; Audo et al., 2012; Daiger et al., 2014a,b; Martin-Merida et al., 2018).

The proportion of novel variants identified in the current cohort was relatively high. In the current study, two novel missense variants, p.(R1384W) and p.(T1931M) of *PRPF8*, were not located in the C-terminal Jab1 domain (**Figure 3A**), where almost all reported variants are clustered (Ružičková and Staněk, 2017). These two variants were situated in highly conserved regions and were predicted to be disease-causing by three *in silico* analysis programs and to cosegregate with their phenotype in the families. They were not found in any public databases, and we defined them as disease-causing variants according to the ACMG guidelines and standards. Additional functional studies are needed to verify their pathogenicity in the future.

Five distinct and large genomic DNA deletions of two genes were identified in eight probands. *PRPF31* showed a high prevalence (5.1%, 7/138) of CNVs in our adRP cohort; this prevalence was much higher than the prevalence of 1.9% recently reported in a large Spanish cohort (Martin-Merida et al., 2018). All five CNVs were in a heterozygous state; therefore, they were



**FIGURE 3 |** Colored fundus (CF) photographs of patients with variants of *RHO*, *PRPF31*, *SNRNP200*, *RP1*, and *PRPF8*. **(A)** CF image of proband 019875 with the missense variant of *RHO*. **(B)** CF photograph of proband 0191443 with exons 2–3 deletion of *PRPF31*. **(C1,C2)** CF photograph of proband 019417 with the non-sense variant of *PRPF31* shows dense black pigmentation in the midperipheral retina, but CF image of his 45-year-old father with the same variant displaying a normal fundus appearance **(D1,D2)** CF photographs of two affected sisters of pedigree 019917 with the missense variants of *PRPF8* located in the Jab1 domain. **(E,F)** CF photographs of two probands of 010215 and 019444 with the missense variants of *PRPF8* located in the Linker and RH region or domain. **(G,H)** CF photographs of probands 019545 and 0191212 with the variants of *RP1*. **(I1,I2)** CF images of two patients from pedigree 019756 with the missense variant of *SNRNP200*.

undetected by Sanger sequencing. Next-generation sequencing has the capability to detect CNVs, but this capability is related to the coverage depth. A previous study found that CNV analysis could generate ambiguous results in the target regions when the coverage was  $<250\times$  (Aparisi et al., 2014). In the current cohort, we used comprehensive screening methods, including linkage analysis in the early period, q-PCR, MLPA, and TES read count analysis, to detect the CNVs of *PRPF31*. This comprehensive analysis might be one of the reasons for the high CNV frequency for *PRPF31* observed in the present study.

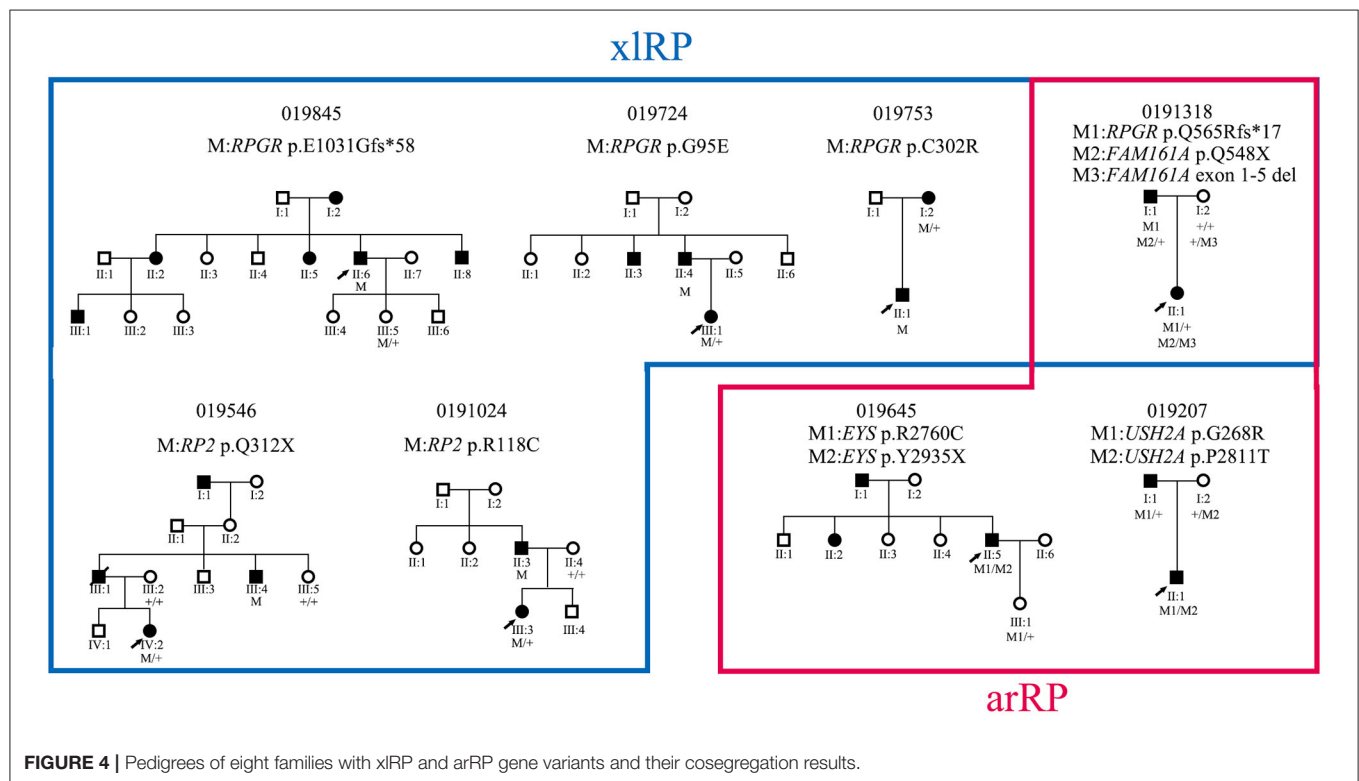
Six probands (4.3%, 6/138) in the current cohort were found to harbor variants of the xLRP genes (*RPGR* and *RP2*). Four of the six probands were female and did not present a milder phenotype than was observed for the affected individuals in their families. Variant p.(E1031fs\*58) (c.3092delA) located in the ORF15 of *RPGR* was identified in the supplementary PCR-based sequencing. The ORF15 where most *RPGR* variants are clustered is poorly covered in the NGS because of its highly repetitive sequence (Huang et al., 2015). Some variants in the ORF15 might be missed by NGS assays; therefore, alternative Sanger sequencing is necessary in patients from any adRP families without male-to-male transmission. A previous study using Sanger sequencing identified variants of *RPGR* and *RP2* in 8.5% (22/258) of adRP families (Churchill et al., 2013).

Obtaining a clear genotype–phenotype correlation is difficult because most probands harbor their own private variants in different genes. In the current cohort, we observed that patients with *RP1* variants had a late onset age and a mild phenotype when compared with patients with other adRP genes variants. This observation was consistent with previous descriptions (Jacobson et al., 2000; Audo et al., 2012). Incomplete penetrance was relatively common in the families with variants of *PRPF31*; however, its prevalence (15%, 3/20) was much lower than the 66.7% observed in Spanish patients (Martin-Merida et al., 2017). Three of our probands carried biallelic variants in three known arRP genes. Cosegregation analysis revealed pseudodominant inheritance in two families, with the remaining family unclear because of an incorrect family history report; this is one of the limitations—we did not perform ophthalmic evaluation in all affected family members of the current study.

Of the 56 probands whose disease-causing genes were not identified, 37 pedigrees did not show male-to-male transmission, and three families presented incomplete penetrance. In addition, 21 of the probands did not undergo ERG recording, which is another limitation of the current study as it means that the probability of a misdiagnosis could not be excluded. Their causal variants might be in areas not covered by

**TABLE 3** | Correlations between onset age of patients with different gene variants.

| Patients   | Number | Onset age, years old |                 |       |
|--|--------|----------------------|-----------------|-------|
|  |        | Mean $\pm$ SD        | Median          | Range |
| Total adRP gene  | 73     | 10.7 $\pm$ 11.7      | 5               | 1–45  |
| With <i>RHO</i> variants   | 23     | 7.5 $\pm$ 9.3        | 5               | 1–45  |
| With <i>PRPF31</i> variants  | 20     | 6.5 $\pm$ 5.0        | 5               | 1–23  |
| With <i>RP1</i> variants   | 8      | 33.1 $\pm$ 7.8       | 35 <sup>†</sup> | 20–41 |
| With <i>SNRNP200</i> variants  | 8      | 5.1 $\pm$ 3.5        | 5               | 1–12  |
| With <i>PRPF8</i> variants   | 5      | 6.6 $\pm$ 7.7        | 5               | 1–20  |
| With <i>TOPOORS</i> variants   | 3      | 10.0 $\pm$ 8.7       | 5               | 5–20  |
| With other adRP gene variants  | 6      | 17.7 $\pm$ 16.6      | 9               | 5–40  |
| With adRP gene variants except for <i>RP1</i> gene   | 65     | 7.9 $\pm$ 8.9        | 5 <sup>†</sup>  | 1–45  |
| With splicing factor gene variants ( <i>PRPF31</i> , <i>PRPF6</i> , <i>PRPF8</i> and <i>SNRNP200</i> ) | 34     | 7.1 $\pm$ 7.4        | 5               | 1–38  |
| Total xLRP   | 6      | 5.2 $\pm$ 0.4        | 5               | 5–6   |
| With <i>RPGR</i> variants  | 4      | 5.5 $\pm$ 0.7        | 5.5             | 5–6   |
| With <i>RP2</i> variants   | 2      | 5.0 $\pm$ 0          | 5               | 5     |

<sup>†</sup>*P* = 0.000.**FIGURE 4** | Pedigrees of eight families with xLRP and arRP gene variants and their cosegregation results.

our TES panel, such as the promoter or deep intronic regions of the targeted genes, or they may reside in newly identified genes that were not included in our TES panel. In the future, we will perform WES or WGS analyses for these patients.

In conclusion, we have established the profile of the mutated genes and the variant spectrum of adRP genes in a large cohort of Chinese patients, thereby providing essential information

for genetic counseling and future therapeutic development for retinal dystrophy inherited as a dominant trait.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author/s.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethics committee of Beijing Tongren Hospital. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

## AUTHOR CONTRIBUTIONS

YL and Z-BJ designed and supervised the whole study. TX, YX, XinZ, KX, and XiaZ performed the experiments and interpret the results. TX wrote the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Different Phenotypes in Pseudodominant Inherited Retinal Dystrophies

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Retinal dystrophies (RD) are a group of Mendelian disorders caused by rare genetic variations leading to blindness. A pathogenic variant may manifest in both dominant or recessive mode and clinical and genetic heterogeneity makes it difficult to establish a precise diagnosis. In this study, families with autosomal dominant RD in successive generations were identified, and we aimed to determine the disease's molecular origin in these consanguineous families. Whole exome sequencing was performed in the index patient of each family. The aim was to determine whether these cases truly represented examples of dominantly inherited RD, or whether another mode of inheritance might be applicable. Six potentially pathogenic variants in four genes were identified in four families. In index patient with enhanced S-cone syndrome in F1, we identified a new digenic combination: a heterozygous variant p.[G51A];[=] in *RHO* and a homozygous pathogenic variant p.[R311Q];[R311Q] in *NR2E3*. Helicoid subretinal fibrosis associated with recessive *NR2E3* variant p.[R311Q];[R311Q] was identified in F2. A new frameshift variant c.[105delG];[105delG] in *RDH12* was found in F3 with cone-rod dystrophy. In F4, the compound heterozygous variants p.[R964\*];[W758\*] were observed in *IMPG2* with a retinitis pigmentosa (RP) phenotype. We showed that both affected parents and the offspring, were homozygous for the same variants in all four families. Our results provide evidence that in consanguineous families, autosomal recessive can be transmitted as pseudodominant inheritance in RD patients, and further extend our knowledge of pathogenic variants in RD genes.

**Keywords:** retinal dystrophies, whole exome sequencing, pathogenic variants, pseudodominant inheritance, retinitis pigmentosa

## INTRODUCTION

Retinal dystrophies (RD), a group of heterogeneous hereditary diseases, are caused by perturbed photoreceptor function. It presents significant genetic heterogeneity and contribute notably to the etiology of blindness around the world (den Hollander et al., 2010). To date, more than 300 disease associated genes have been implicated in the pathogenesis of the disease, most of which are involved in the development and normal function of photoreceptor and cells from the retinal

pigment epithelium (RPE) (den Hollander et al., 2008, 2010). Clinical symptoms vary widely among different RD subtypes and disease genes (Ellingford et al., 2016). RD are generally classified based on the type of photoreceptor cells affected, i.e., rods or cones, and thus on the location, macula or peripheral retina (Nash et al., 2015).

The described modes of inheritance in RD are recessive (ar) or dominant (ad) and autosomal or X-linked. A few rare cases of RD exist, in which mitochondrial mutations, digenic inheritance, and pseudodominant transmission have been documented (Lewis et al., 1999; Liu et al., 2019).

In this study, we used whole exome sequencing (WES) and Sanger sequencing to investigate four consanguineous families with several members affected by RD, inherited in an apparent ad pattern. We aimed to identify potential gene variants underlining these cases and described the genotypic and phenotypic findings in these complicated RD pedigrees. Distinct inheritance patterns and disease-causing variants were identified in all families.

## MATERIALS AND METHODS

### Ethical Compliance

This study was approved by the Local Ethics Committee of the Hedi Rais Institute in Tunisia. Informed consent was obtained from all participants in the study. Analyses were done in accordance with local guidelines.

### Clinical Investigations

Our study contains four Tunisian families with non-syndromic RD (Table 1). All patients underwent detailed clinical examinations and their family history was collected. A comprehensive ophthalmological examination was performed at the Department B of Hedi Rais Institute of Ophthalmology, Tunis (Tunisia), including best-corrected visual acuity (BCVA), slit lamp, dilated fundus examination, and full-field electroretinography according to the International Society for Clinical Electrophysiology of Vision (ISCEV) standards (Métrovision, France), swept source optical coherence

**TABLE 1** | Clinical data of four families with gene-associated RD.

| Family | Patient | Gender | Age [years] | Age of onset  | Visual acuity OD/OS/OU | Ophthalmoscopy                                       | Optical coherence tomography   | Full-field ERG (OU)  | Diagnosis          |
|--------|---------|--------|-------------|---------------|------------------------|--|--------------------------------|--|--------------------|
| F1     | II.2    | F      | 19          | 6             | 1/20 OU                | Mid-peripheral nummular pigment clumping and atrophy | Diffuse macular cystoids edema | Similar waveforms under photopic and scotopic conditions   | Enhanced S-cone SD |
|        | I.1     | F      | 68          | Infancy       | LP LP                  | Diffuse retinal atrophy                              | Macular atrophy                | Extinct response   | Enhanced S-cone SD |
| F2     | II.1    | M      | 13          | Infancy       | 3/10 OU                | Circumferential fibrosis on the PP                   | Macular cysts                  | Similar responses under scotopic and photopic stimulations | Enhanced S-cone SD |
|        | I.1     | M      | 51          | 10            | 1/10 OU                | Circumferential fibrosis on the PP                   | Macular cystoid edema          | -  | Enhanced S-cone SD |
| F3     | II.1    | F      | 13          | Under 5       | 1/10 OU                | Macular atrophy, peripheral spicule deposits         | -                              | -  | Cone-rod dystrophy |
|        | I.2     | F      | 37          | Infancy       | LP                     | Diffuse macular and peripheral deposits              | -                              | -  | Cone-rod dystrophy |
| F4     | II.1    | F      | 37          | Second decade | 5/10 OU                | Preserved PP, peripheral spicule deposits            | Normal                         | Reduced rod responses                                      | RP                 |
|        |         |        |             |               |                        |  |                                | Preserved cone response                                    |                    |
|        | II.2    | F      | 32          |               | 7/10 OD<br>6/10 OS     |  | Normal                         | -  | RP                 |
|        | II.3    | F      | 28          |               | 6/10 OU                |  | Normal                         | -  | RP                 |
|        | II.4    | F      | 25          |               | 9/10 OU                |  | Normal                         | -  | RP                 |
|        | I.2     | F      | 63          |               | 1/10                   | Macular atrophy<br>Spicule deposits                  | Macular atrophy                | -  | Advanced RP        |

OD, right eye; OS, left eye; OU, both eyes; RP, retinitis pigmentosa; F, female; M, male; PP, posterior pole.

**TABLE 2 |** Classification of the identified variants.

| Variant ID               | Evidence of pathogenicity | Class             |
|--------------------------|---------------------------|-------------------|
| <i>RHO</i> : p.G51AS     | BS1, BS2, BP2             | Likely benign     |
| <i>NR2E3</i> : p.R311Q   | PS3, PM1, PP5, BS1        | Likely pathogenic |
| <i>RDH12</i> : c.105delG | PVS1, PM1, PM2, PM3, PP1  | Pathogenic        |
| <i>IMPG2</i> : p.R964*   | PVS1, PM2, PP3, PP5       | Likely pathogenic |
| <i>IMPG2</i> : p.W758*   | PVS1, PM2, PP3, PP5       | Likely pathogenic |

tomography (SS-OCT, Topcon, Swept Source DRI-OCT Triton, Japan), and fundus autofluorescence (FAF) imaging (Heidelberg, HRA 2 Spectralis, Germany).

## Genetic Analysis

Peripheral blood samples were obtained from 4 index patients and 13-related individuals, including parents and affected siblings. DNA was extracted from leukocytes according to the salting-out method (Miller et al., 1988).

We sequenced the exome in the index patient, then variants were confirmed by Sanger sequencing and segregation was established in all families.

## Whole Exome Sequencing (WES)

Next-generation sequencing was done at Sophia Genetics (SOPHiA GENETICS SA, Saint-Sulpice, Switzerland) using the clinical exome solution v.2 (CES2). The complete list of genes analyzed in CES2 can be obtained at [www.sophiagenetics.com](http://www.sophiagenetics.com). ~98.95% of target regions were covered at least 25×.

## Variant Assessment

All variants were first filtered against several public databases for the minor allele frequency (MAF) of <1%. dbSNP database served as a reference to exclude any known frequent variants occurring in coding (missense) and regulatory regions including splice site and promoter regions. **Table 2** lists the criteria to classify the variants described here.

A variant was considered novel if it has not been described in the medical literature or was not present in the Human Mutation Database ([www.hgmd.cf.ac.uk/ac](http://www.hgmd.cf.ac.uk/ac)). The variant frequency in the control population was evaluated using gnomAD (<http://gnomad.broadinstitute.org/>).

## Sanger Sequencing

All variants were confirmed by Sanger sequencing. PCR reactions and amplification conditions were performed using the following primers in **Table 3**.

# RESULTS

## Clinical Diagnosis

### Family 1

The index patient is a 19-year-old girl from consanguineous marriage with the diagnosis of enhanced S-cone syndrome. She had nyctalopia since the age of 6 years along with visual impairment. Her BCVA was limited to 1/20 in both eyes (OU). On fundus examination, she had mid-peripheral nummular

**TABLE 3 |** Primers.

| Primers                | Forward                          | Reverse                           |
|------------------------|----------------------------------|-----------------------------------|
| <i>RHO</i><br>exon 1   | TCA TCC AGC TGG AGC CCT<br>GAG T | AAC ATT GAC AGG ACA GGA<br>GAA GG |
| <i>NR2E3</i><br>exon 6 | TCT GAG CCT CTG GCT GAT<br>GTC A | AGA AGG GAG TCC AGC CTC<br>AC     |
| <i>IMPG2</i><br>exon13 | TGC CCA TCT TCG CAG ATA<br>CT    | TCC AAA CTC TCT CTG ATT<br>CTG G  |
| <i>IMPG2</i><br>exon14 | GGA AAA GTG AGG CAG GGT<br>CT    | TGG GTA GAG AAA GGA ATG<br>GAG G  |
| <i>RDH12</i><br>exon4  | CTT AGT GTG AGC TCG TGA<br>AGG A | TTG GAC TTG AAT CCC AGG<br>TT     |

pigment clumping, atrophy and cystoid macular edema better visualized on SS-OCT. FAF showed hyper FAF dots in the posterior pole (**Figures 1A–C**). In full-field ERG, reduced cone and rod responses with similar waveforms under photopic and scotopic conditions were detected (**Figure 2**). Affected mother had severe visual impairment with cataract and diffuse retinal atrophy (**Figures 1D,E**).

### Family 2

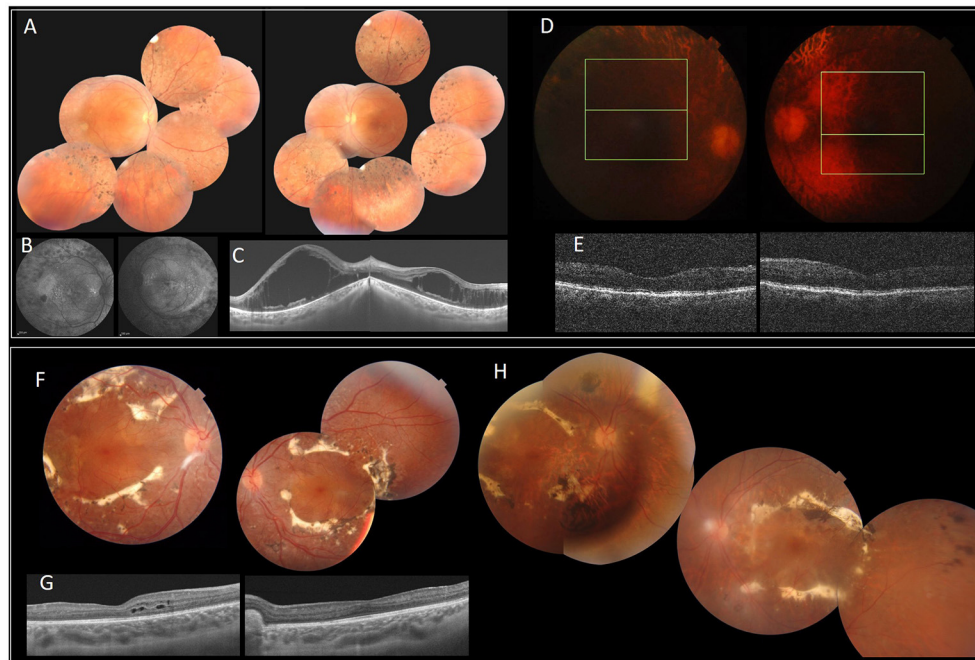
The index patient was a 13-year-old boy from consanguineous marriage presenting with retinal dystrophy. His BCVA was limited to 3/10 OU. On fundus examination, he had circumferential fibrosis, nummular pigment clumping on the posterior pole and peripheral spicule deposits with preserved macular region (**Figures 1F,G**). In full-field ERG, there were similar cone and rod responses under photopic and scotopic conditions with markedly reduced flicker, which allowed us to retain the diagnosis of enhanced S-cone syndrome (**Figure 2**). Affected father had similar retinal phenotype with bilateral cataract (**Figure 1H**).

### Family 3

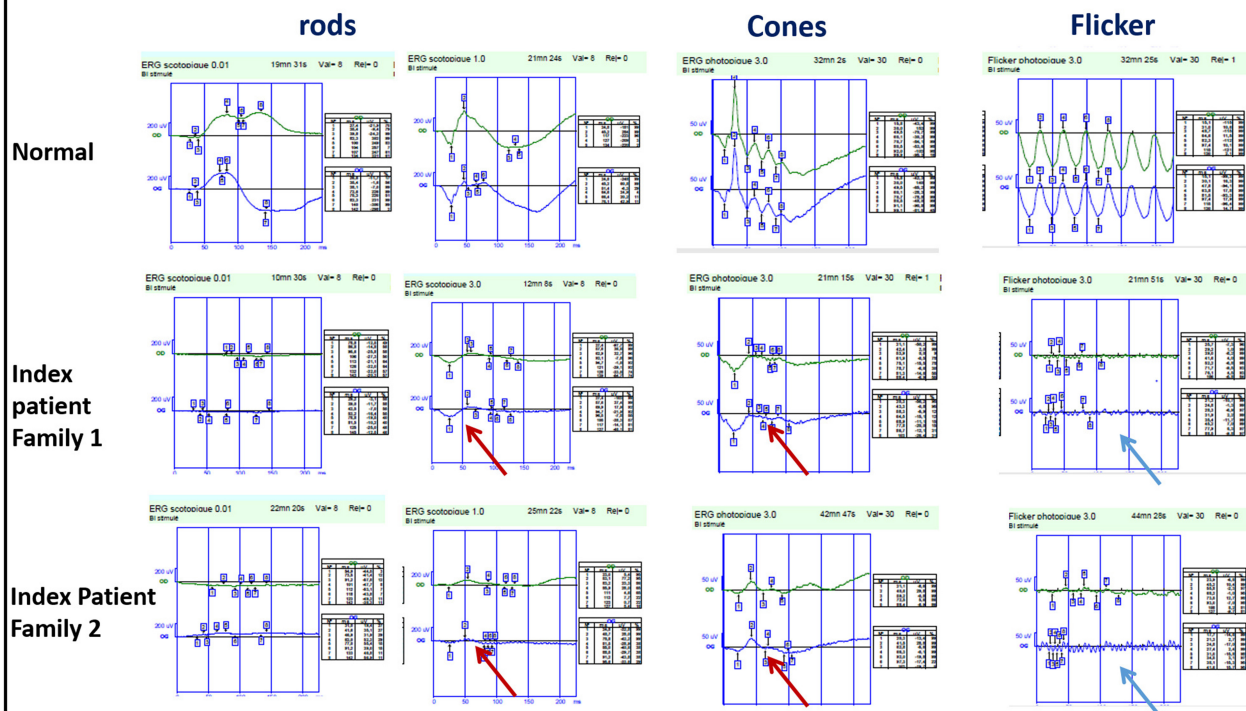
The index patient is a 13-year-old girl with impaired visual acuity (BCVA: 1/10 OU) and nyctalopia since her first decade of life. She had cone-rod dystrophy with macular atrophy and spicule deposits in the mid peripheral retina (**Figure 3A**). In full-field ERG, there were reduced cone and rod responses. Her mother had similar phenotype with BCVA limited to light perception and diffuse spicule deposits in fundus examination (**Figure 3B**).

### Family 4

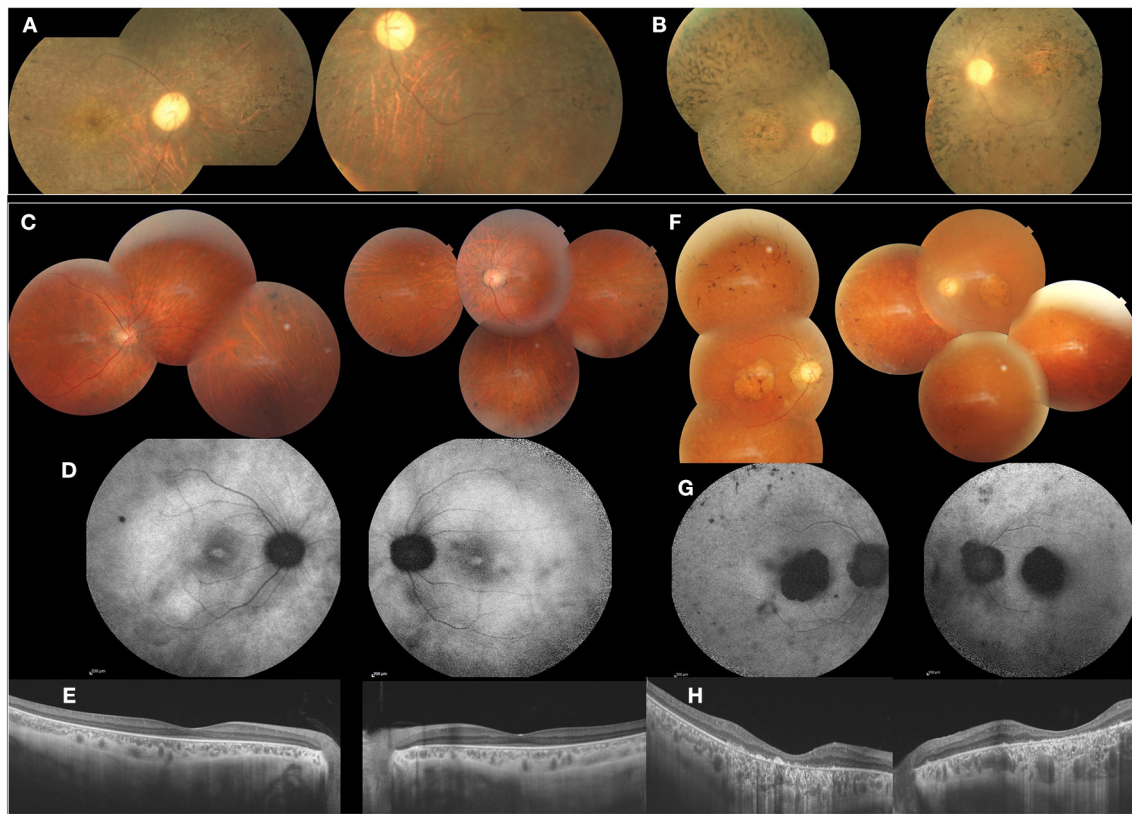
The index patient is a 38-year-old male diagnosed with familial retinitis pigmentosa (RP). BCVA was 5/10 s. On fundus examination, there were peripheral spicule migrations and preserved posterior pole (**Figures 3C–E**). The same phenotype was present in three-affected sisters. The mother, had BCVA



**FIGURE 1** | Clinical and imaging features of patients with *NR2E3* mutations. F1 (A–E). (A) FP of the index patient with peripheral nummular spicule deposits and atrophy. (B) FAF with peripheral hypo-autofluorescence spots and heterogeneous autofluorescence of the posterior pole. (C) SS-OCT. Bilateral cystoid macular edema. (D,E) Diffuse retinal atrophy. F2 (F–H). (F,H) FP of the index patient (II.1) (F) and his father (I.1) (H) with circumferential fibrosis and nummular pigment clumping and peripheral spicule deposits. (G) SS-OCT. intraretinal cysts (II.1). FP, Fundus photography.



**FIGURE 2** | Full-field ERG findings in enhanced-S cone syndrome patients from families 1 and 2 showing similar waveforms in both scotopic and photopic conditions (red arrow) with markedly reduced flicker (blue arrow).



**FIGURE 3 |** Clinical and imaging features of affected patients from F3 and F4, F3 (**A,B**). FP of the index patient (II.1) and her mother (II.2) showed cone-rod dystrophy with macular atrophy and spicule deposits in the mid peripheral retina. F4 (**C–H**). (**C**) FP of the index patient (II.2) with peripheral spicule migrations and preserved posterior pole. (**D**) Foveolar hyperautofluorescence, peripheral hypo-autofluorescence spots. (**E**) SS-OCT. Preserved retinal macular layers. (**F**) FP of the mother (II.2) showing macular atrophy and peripheral spicule deposits. (**G**) FAF with lack of autofluorescence in the macula. (**H**) SS-OCT. Severe and diffuse macular atrophy.

limited to 1/20 OU with macular atrophy and peripheral spicule deposits (**Figures 3F–H**).

## Molecular Diagnosis

### Identified Pathogenic (P) and Likely Pathogenic (LP) Variants

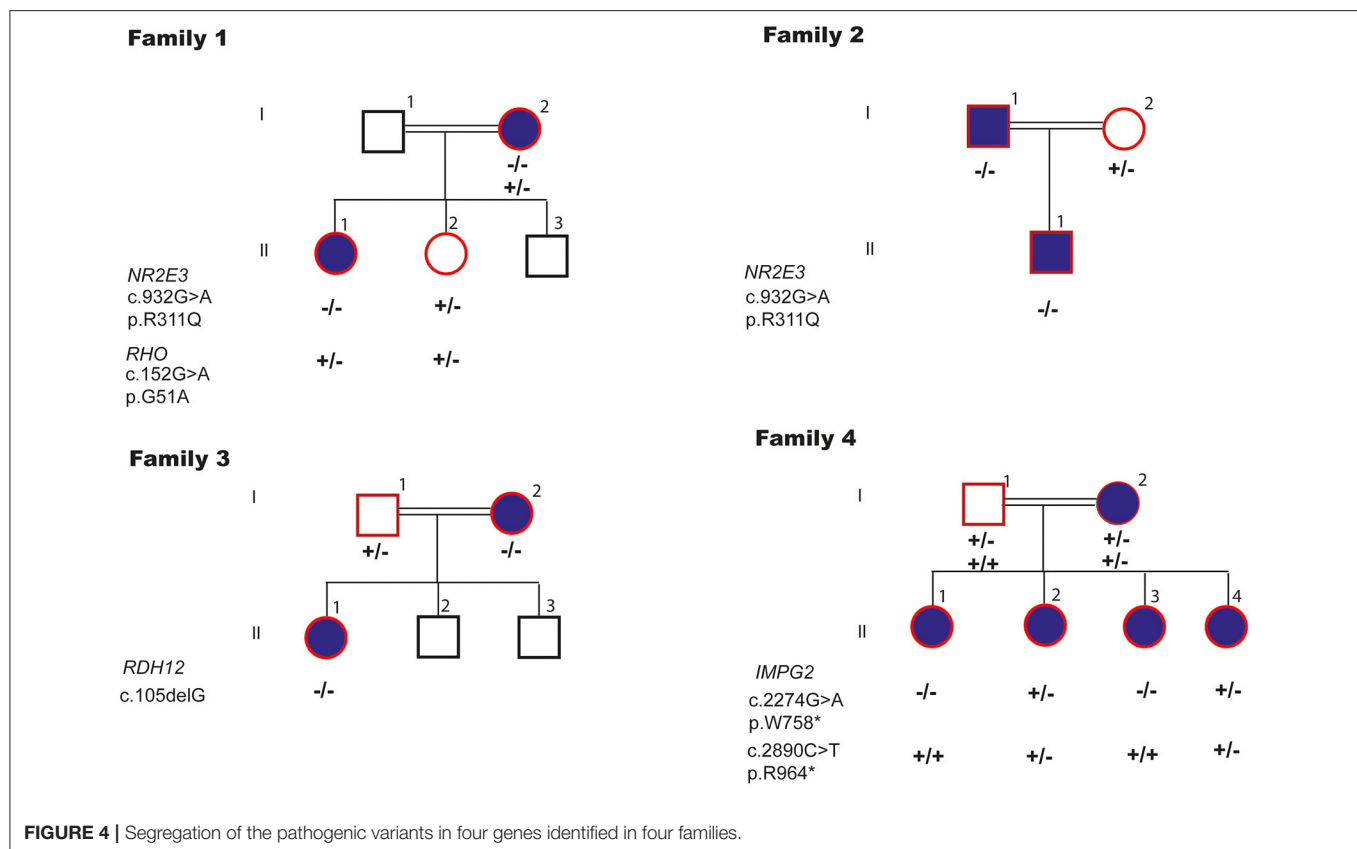
Six potentially pathogenic variants in four genes were identified in four families (**Figure 4**).

In the index patient with enhanced S-cone syndrome in F1, we identified, a new digenic combination: a heterozygous likely benign variant p.[G51A];[=] in *RHO* and homozygous LP variant p.[R311Q];[R311Q] in *NR2E3*. Helicoid subretinal fibrosis associated with a recessive *NR2E3* variant p.[R311Q];[R311Q] was identified in F2. New frameshift P variant c.[105delG];[105delG] in *RDH12* was found in the index patient of F3 with cone-rod dystrophy (CRD). Compound heterozygous LP variants p.[R964\*];[W758\*] in *IMPG2* were observed in the index patient with RP in F4. We have shown that both, the affected parents and the offspring, are homozygous for the same variant in the four families. These variants are classified as pathogenic according to the American College of Medical Genetics (ACMG) guidelines.

## DISCUSSION

In this report, molecular testing revealed the coexistence of pathogenic variants affecting distinct RD causing genes in four RD families. Among the five pathogenic variants identified in these families, one was novel (*RDH12* c.105delG, p.Q36Sfs\*6) and four were recurrent (*RHO* p.G51A, *NR2E3* p.R311Q and *IMPG2* p.W758\*, p.R964\*) (Macke et al., 1993; Haider et al., 2000; Bandah-Rozenfeld et al., 2010; Patel et al., 2016).

Two families, F1 and F2, carried the most frequent variant p.R311Q in *NR2E3*. Gerber et al. have previously identified this in patients who may have Enhanced S-cone syndrome (ESCS) (Gerber et al., 2000). Later, Haider et al. reported the same p.R311Q variant with 44.8% frequency in patients with ESCS (Haider et al., 2000) and it was also reported in a patient with Goldmann-Favre syndrome (GFS) (Bernal et al., 2008). The particularity in F1 is the presence of a second heterozygous variant p.G51A in *RHO*. Two diseases are associated with *RHO* variants, RP and congenital stationary night blindness (CSNB). However, in F1 the index patient presented with ESCS, which is more related to *NR2E3*. Interesting, and although *RHO*: p.G51A has been involved in retinitis pigmentosa (Macke et al., 1993), GnomAD mentions a frequency of 0.1% in the general



population and ClinVar classifies this variant as benign/likely benign. Family F1 would favor the latter classification.

New pathogenic homozygous variant c.[105delG];[105delG], p.[Q36Sfs\*6];[Q36Sfs\*6] in *RDH12* was detected in F3. Variants in *RDH12* have been previously linked to Leber congenital amaurosis (LCA) and ad-RP. Retinal pathologies resulting from variants in *RDH12* gene can be inherited in either ad or ar mode (Kumaran et al., 2017; Sarkar et al., 2020). Ar biallelic variants in *RDH12* were first identified in three consanguineous Austrian families with severe retinal dystrophy (Janecke et al., 2004).

F4 harbored compound heterozygous variants p.[W758\*];[R964\*] in *IMPG2*. This is the first time the association of these two variants is described. Biallelic variants in *IMPG2* have been shown to underlie recessive childhood-onset rod-cone dystrophy with early macular involvement in several families (Khan and Al Teneiji, 2019). At the heterozygous state, *IMPG2* variants have been associated with dominant vitelliform macular dystrophy (Brandl et al., 2017). In F4, the affected mother carried compound likely pathogenic variants, the unaffected father carried a p.W758\* heterozygous variant and the affected children a combination of these variants. Pseudodominant inheritance occurs when an individual with a known recessive disorder has a clinically unaffected partner, but then unexpectedly gives birth to children who are affected with the same recessive disorder as the affected parent (Thompson and Thompson, 1986). Although all the parents in the described families were first cousins, each disease followed a dominant pattern of

inheritance, with one affected parent and one or several affected children. Molecular analyses allowed us to correctly classify the mode of inheritance as pseudo-dominant, a mode usually associated with a high mutant frequency, like in hemochromatosis.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/supplementary material.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Local Ethics Committee of the Hedi Rais Institute in Tunisia. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

## AUTHOR CONTRIBUTIONS

DS and LE designed the research protocol. IH and DS performed the analyses. YF, HT, KE, AC, and LE performed the clinical

evaluation. IH, YF, LE, and DS wrote the manuscript. All authors reviewed the manuscript.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Dominant RP in the Middle While Recessive in Both the N- and C-Terminals Due to *RP1* Truncations: Confirmation, Refinement, and Questions

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*RP1* truncation variants, including frameshift, nonsense, and splicing, are a common cause of retinitis pigmentosa (RP). *RP1* is a unique gene where truncations cause either autosomal dominant RP (adRP) or autosomal recessive RP (arRP) depending on the location of the variants. This study aims to clarify the boundaries between adRP and arRP caused by *RP1* truncation variants based on a systemic analysis of 165 *RP1* variants from our in-house exome-sequencing data of 7,092 individuals as well as a thorough review of 185 *RP1* variants from published literature. In our cohort, potential pathogenic variants were detected in 16 families, including 11 new and five previously described families. Of the 16, seven families with adRP had heterozygous truncations in the middle portion, while nine families with either arRP (eight) or macular degeneration had biallelic variants in the N- and C-terminals, involving 10 known and seven novel variants. In the literature, 147 truncations in *RP1* were reported to be responsible for either arRP (85) or adRP (58) or both (four). An overall evaluation of *RP1* causative variants suggested three separate regions, i.e., the N-terminal from c.1 (p.1) to c.1837 (p.613), the middle portion from c.1981 (p.661) to c.2749 (p.917), and the C-terminal from c.2816 (p.939) to c.6471 (p.2157), where truncations in the middle portion were associated with adRP, while those in the N- and C-terminals were responsible for arRP. Heterozygous truncations alone in the N- and C- terminals were unlikely pathogenic. However, conflict reports with reverse situation were present for 13 variants, suggesting a complicated pathogenicity awaiting to be further elucidated. In addition, pathogenicity for homozygous truncations around c.5797 and thereafter might also need to be further clarified, so as for missense variants and for truncations located in the two gaps. Our data not only confirmed and refined the boundaries between dominant and recessive *RP1* truncations but also revealed unsolved questions valuable for further investigation. These findings remind us that great care is needed in interpreting the results of *RP1* variants in clinical gene testing as well as similar features may also be present in some other genes.

**Keywords:** *RP1*, retinitis pigmentosa, exome sequencing, variants, inheritance pattern

## INTRODUCTION

*RP1* (OMIM 603937), mapped to chromosome 8q11.2–12.1, is an axonemal microtubule-associated gene with four exons, where the protein-coding region is in the last three (Blanton et al., 1991; Pierce et al., 1999; Méndez-Vidal et al., 2014). It encodes a 2,156-amino acid protein that is specifically expressed in photoreceptor connecting cilia (Liu et al., 2002, 2003), participating in protein transport between the inner and outer segments of the photoreceptors via two domains: the doublecortin (DCX) domain and the bifocal (BIF) domain (Pierce et al., 1999; Liu et al., 2002; Siemiatkowska et al., 2012; Méndez-Vidal et al., 2014).

Variants in *RP1* were initially identified to be responsible for autosomal dominant retinitis pigmentosa (adRP) and later for autosomal recessive retinitis pigmentosa (arRP) (OMIM 180100) (Pierce et al., 1999; Sullivan et al., 1999; Khaliq et al., 2005). *RP1* is listed as the top seventh of the most frequently implicated genes in inherited retinal disease based on a large cohort (Pontikos et al., 2020). To date, a large number of potential pathogenic variants in *RP1* have been reported, demonstrating a unique correlation between mutation location and pattern of inheritance. Usually, heterozygous truncation variants in the middle portion contributed to adRP, while biallelic truncation variants in the N- and C- terminals were associated with arRP. The boundaries between dominant and recessive variants have been suggested in previous studies but conflict reports were also present (Chen et al., 2010; Al-Rashed et al., 2012; Siemiatkowska et al., 2012; Eisenberger et al., 2013; El Shamieh et al., 2015; Kabir et al., 2016; Nanda et al., 2019; Riera et al., 2020). It is expected to confirm and refine the boundaries as well as the genotype–phenotype correlation of *RP1* variants based on a large dataset, especially at the era of widespread application of clinical genetic testing.

In this study, *RP1* variants were selected and analyzed based on our in-house exome-sequencing data from 7,092 individuals with different forms of eye conditions. *RP1* variants in published literature were systematically reviewed. These data further confirmed and refined the boundaries of *RP1* truncation variants, in which adRP associated with heterozygous variants in the middle portion, while arRP associated with biallelic variants in the N- and C- terminals. Besides, conflict reports in a reverse situation may call attention and be studied further. In clinical gene testing, pathogenicity of individual truncation variants in *RP1* might be complicated and should be explained with great care, especially for novel variants as well as those variants with conflict consequence.

## MATERIALS AND METHODS

### Subjects

Individuals with various forms of eye conditions were collected by our team based on our ongoing program on genetic study of inherited eye diseases. Prior to their participation, written informed consent adhering to the tenets of the Declaration of Helsinki was obtained from participants or their guardians. Clinical data and peripheral venous blood samples were collected

from these individuals and their available family members. Genomic DNA was extracted from the leukocytes of peripheral blood based on procedures as described in our previously study (Wang et al., 2010). This study was approved by the institutional review board of the Zhongshan Ophthalmic Center.

### *RP1* Variant Identification From Our In-House Data

Exome sequencing was performed on genomic DNA samples from the 7,092 individuals, including whole-exome sequencing (WES) on 5,307 and targeting exome sequencing (TES) on 1,785. The procedures used to perform WES and TES were described in detail in our previous studies (Li et al., 2015; Wang et al., 2019).

*RP1* variants were collected from exome-sequencing data of 7,092 individuals with various forms of eye conditions, including 1,019 with RP, 1,217 with glaucoma, 1,299 with high myopia, 492 normal controls, and 3,065 with other eye conditions. *RP1* variants were initially filtered following the procedures described in our previous studies (Jiang et al., 2014; Li et al., 2015). The candidate variants in *RP1* were then annotated as the following steps: (1) the allelic frequency of each variant was annotated according to the gnomAD database<sup>1</sup>; (2) missense variants were predicted using five *in silico* online tools, including REVEL<sup>2</sup>, CADD<sup>3</sup>, SIFT<sup>4</sup>, PolyPhen2<sup>5</sup>, and PROVEAN<sup>6</sup>; (3) the splicing effect of variants in the intronic region as well as synonymous variants were predicted using the Berkeley Drosophila Genome Project (BDGP<sup>7</sup>); (4) genotype–phenotype correlation was used to identify potential pathogenic variants. Sanger sequencing was used to validate potential pathogenic variants and segregation analysis in available family members was carried out to further validate the pathogenicity. The primers used herein were designed using the Primer3 online tool<sup>8</sup>.

### Literature Review of *RP1* Variants

The “*RP1*” was used as the keyword to search PubMed<sup>9</sup>, Google Scholar<sup>10</sup>, and The Human Gene Mutation Database (HGMD<sup>11</sup>) on September 1, 2020. The *RP1* variants were collected from these resources and annotated as noted in the above section. The phenotypes associated with these *RP1* variants are summarized.

### Statistical Analysis

All statistical analyses were performed by using SPSS software version 25.0 (Armonk, NY: IBM Corp). The difference of proportion of macular abnormalities between the two groups

<sup>1</sup><https://gnomad.broadinstitute.org/>

<sup>2</sup><https://sites.google.com/site/revelgenomics/>

<sup>3</sup><https://cadd.gs.washington.edu/>

<sup>4</sup>[http://sift.jcvi.org/www/SIFT\\_enst\\_submit.html](http://sift.jcvi.org/www/SIFT_enst_submit.html)

<sup>5</sup><http://genetics.bwh.harvard.edu/pph2/index.shtml>

<sup>6</sup><http://provean.jcvi.org>

<sup>7</sup><http://www.fruitfly.org/>

<sup>8</sup><http://primer3.ut.ee/>

<sup>9</sup><https://www.ncbi.nlm.nih.gov/pubmed/>

<sup>10</sup><http://so.hiqq.com.cn/>

<sup>11</sup><http://www.hgmd.cf.ac.uk/ac/index.php>

of patients with adRP and arRP was analyzed using the Chi-square test. The difference of the frequency of truncation variants between in-house exome sequencing data and gnomAD database was tested via the Chi-square test. A Mann-Whitney *U*-test was used to determine the phenotypic differences between the two groups of patients because the data were not distributed normally. A *P*-value of less than 0.05 was considered statistically significant.

## RESULTS

### *RP1* Variants Detected in Our In-House Data

Totally, 165 variants were detected based on our exome sequencing data, including 143 missense variants, 20 truncation variants (10 nonsense, nine frameshift, and one splicing change variants), and two inframe variants. Potential pathogenic variants were detected in 16 families, including 11 new families and five previously described families (Xu et al., 2014; Wang et al., 2019). Of the 16 families, seven families with adRP had heterozygous truncation variants in the middle portion, while eight families with arRP and one family with macular degeneration (MD) had biallelic variants in the N- and C-terminals, involving 17 variants (Table 1 and Supplementary Table S1). Of the 17 variants, seven were novel, i.e., c.256C > A (p.Pro86Thr), c.1987A > T (p.Lys663\*), c.2062G > T (p.Gly688\*), c.2399\_2400del (p.Lys800Serfs\*6), c.2700dup (p.Pro901Thrfs\*2), c.5017del (p.Tyr1673Metfs\*37), and c.6341\_6343del (p.Ser2114del). These variants were confirmed by Sanger sequencing and co-segregated with the disease in families with available family members (Supplementary Figure S1). Biallelic missense variants were detected in five probands, in which one was with adRP, while the other four were with other conditions (Supplementary Table S2).

In addition, 12 single heterozygous truncation variants were identified in 44 individuals from our cohort. Of the 12 variants, 11 located at the N- and C- terminals were identified in 43 unrelated individuals and were considered non-pathogenic. Of the 43 individuals, seven were affected with RP and the remaining 36 with various conditions other than RP (Supplementary Table S3). Five different truncations involved in the seven patients with RP were unlikely pathogenic based on the following evidence: (1) the c.257dup (p.Arg87Serfs\*48) was detected in three patients with RP and four individuals with unrelated conditions; (2) the c.1826C > G (p.Ser609\*) was detected in one patient with RP who had biallelic pathogenic variants in *EYS*; (3) the c.4690del (p.Val1564\*) was detected in a patient with X-linked RP and a patient with other condition; (4) the c.5017del (p.Tyr1673Metfs\*37) was detected in one patient with isolated RP and four patients with other conditions; and (5) the c.5797C > T (p.Arg1933\*) was detected in one patient with RP and 19 unrelated individuals with other conditions. These heterozygous variants were not enriched in patients with RP, and their frequency in our cohort is comparable with that in the East Asian population in gnomAD database (*P* = 0.94), suggesting that these 11 variants were unlikely pathogenic for retinal degeneration in heterozygous status. Apart from the 11,

the remaining heterozygous c.2391\_2392del (p.Asp799\*) variant was located in the middle portion of *RP1* and was detected in a college student with late-onset high myopia without any sign of RP. The p.Asp799\* is a known variant associated with cone-rod dystrophy (CRD) in homozygous status in a previous study (El Shamieh et al., 2015). Besides, none of the heterozygous missense variants predicted to be damaging were associated with adRP in our cohort.

### *RP1* Variants Reported in the Literature

A total of 185 *RP1* variants have been reported in the literature, including 147 truncation variants (51 nonsense, 95 frameshift, and one splicing) (Supplementary Table S4) and 38 missense variants (Supplementary Table S5). Of the 147 truncation variants, 85 were reported to cause recessive diseases, 58 were reported to lead to dominant diseases, and four were identified in patients with both dominant and recessive diseases. Of the 38 missense variants, 22 were reported to be recessive, 15 were reported to be dominant, and one was reported to be both dominant and recessive (Supplementary Table S5). The diseases associated with the 185 variants of *RP1* included RP, CRD, Leber congenital amaurosis (LCA), MD, and unclassified inherited retinal dystrophy (IRD) (Supplementary Tables S4, S5).

### The Location of *RP1* Truncation Variants

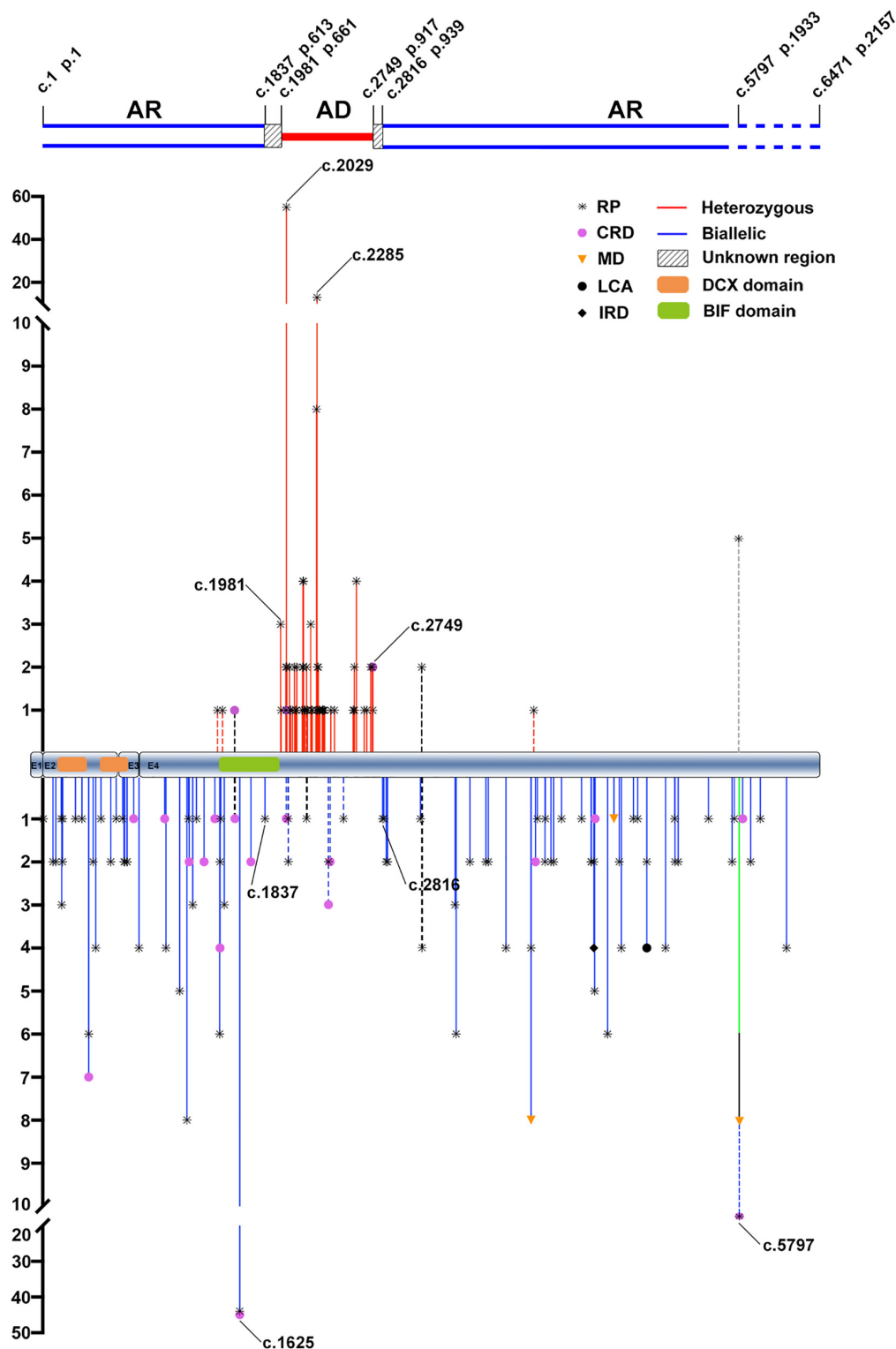
In our cohort, the six *RP1* heterozygous truncation variants associated with adRP in seven families were located in the region from c.1987 (p.663) to c.2700 (p.901), which was immediately downstream of the BIF domain (Table 1 and Figure 1). For the nine families with biallelic variants, five had homozygous truncation variants located in the C-terminal region, including c.4690del (p.Val1564\*) in three families, c.5017del (p.Tyr1673Metfs\*37) in one, and c.5797C > T (p.Arg1933\*) in one; one had compound heterozygous truncations, c.257dup (p.Arg87Serfs\*48) and c.4804C > T (p.Gln1602\*); two had compound heterozygous variants, one truncation and one missense; the remaining one had compound heterozygous variants, one inframe and one missense (Table 1 and Supplementary Table S1). In addition, 11 likely benign single heterozygous truncation variants were located at N- and C- terminals (Supplementary Table S3).

From the literature, 58 heterozygous truncation variants of *RP1* were reported to be associated with dominant diseases. Of the 58, 55 from 152 families were located in a region from c.1981 (p.661) to c.2749 (p.917) (Figure 1). Of the 85 biallelic variants from the literature, 79 from 117 families were located in the N- and C-terminal regions, namely, c.1 (p.1)–c.1837 (p.613) and c.2816 (p.939)–c.6471 (p.2157) (Figure 1). Combining our in-house data and the data from the literature, three regions in *RP1* were suggested, N-terminal from c.1 (p.1) to c.1837 (p.613), middle portion from c.1981 (p.661) to c.2749 (p.917), and C-terminal from c.2816 (p.939) to c.6471 (p.2157) (Figure 1). A common feature could be identified as follows: truncations in the middle portion are associated with adRP, while those in the N- and C- terminals are responsible for arRP, which was supported by most truncation variants (91.9%, 147/160).

**TABLE 1** | Potential pathogenic variants associated with retinitis pigmentosa (RP) in our cohort.

| Position                               | Exon | Nucleotide change<br>(NM_006269.1) | Effect            | Status | Family number<br>New + Reported <sup>#</sup> | Family ID<br>(Reported <sup>#</sup> ) | Allele in<br>gnomAD | HGMD | References   |
|--|------|------------------------------------|-------------------|--------|--|---------------------------------------|---------------------|------|--|
| <b>1. Single heterozygous variants</b> |      |                                    |                   |        |  |                                       |                     |      |  |
| 5,5538,429                             | 4    | c.1987A > T                        | p.Lys663*         | Het    | 1 + 0  | 20,455                                | /                   | /    | Novel  |
| 5,5538,471                             | 4    | c.2029C > T                        | p.Arg677*         | Het    | 2 + 0  | 7,948, 18,926                         | /                   | DM   | Guillonneau et al., 1999; Pierce et al., 1999; Martin-Merida et al., 2018        |
| 5,5538,504                             | 4    | c.2062G > T                        | p.Gly688*         | Het    | 1 + 0  | 9,053                                 | /                   | /    | Novel  |
| 5,5538,558                             | 4    | c.2117del                          | p.Gly706Valfs*7   | Het    | 0 + 1  | (4,293)                               | /                   | DM   | Xu et al., 2014  |
| 5,5538,841                             | 4    | c.2399_2400del                     | p.Lys800Serfs*6   | Het    | 1 + 0  | 12,426                                | /                   | /    | Novel  |
| 5,5539,142                             | 4    | c.2700dup                          | p.Pro901Thrfs*2   | Het    | 1 + 0  | 18,611                                | /                   | /    | Novel  |
| <b>2. Biallelic variants</b>           |      |                                    |                   |        |  |                                       |                     |      |  |
| 5,5533,782                             | 2    | c.256C > A                         | p.Pro86Thr        | ComHet | 1 + 0  | 12,349                                | 22/282,760          | /    | Novel  |
| 5,5533,779                             | 2    | c.257dup                           | p.Arg87Serfs*48   | ComHet | 0 + 1  | (14,948)                              | DM                  | /    | Huang et al., 2015; Wang et al., 2019  |
| 5,5533,952                             | 2    | c.426dup                           | p.Ala143Serfs*86  | ComHet | 0 + 1  | (6,170)                               | /                   | DM   | Xu et al., 2014  |
| 5,5534,133                             | 2    | c.607G > C                         | p.Gly203Arg       | ComHet | 0 + 1  | (6,170)                               | /                   | DM   | Xu et al., 2014  |
| 5,5538,558                             | 4    | c.2116G > C                        | p.Gly706Arg       | ComHet | 0 + 1  | (13,159)                              | 89/282,438          | DM   | Wang et al., 2019  |
| 5,5540,748                             | 4    | c.4306del                          | p.Ser1436Profs*16 | ComHet | 0 + 1  | (13,159)                              | /                   | DM   | Wang et al., 2019  |
| 5,5541,132                             | 4    | c.4690del                          | p.Val1564*        | Hom    | 3 + 0  | 6,609, 21,210, 21,311                 | /                   | DM   | Wang et al., 2019  |
| 5,5541,246                             | 4    | c.4804C > T                        | p.Gln1602*        | ComHet | 0 + 1  | (14,948)                              | 3/251,080           | DM   | Avila-Fernandez et al., 2012; Ezquerro-Inchausti et al., 2018; Wang et al., 2019 |
| 5,5541,459                             | 4    | c.5017del                          | p.Tyr1673Metfs*37 | Hom    | 1 + 0  | 8,089                                 | /                   | /    | Novel  |
| 5,5542,239                             | 4    | c.5797C > T                        | p.Arg1933*        | Hom    | 0 + 1  | (13,685)                              | 49/281,934          | DM?  | Yeung et al., 2001; Fujinami et al., 2016; Li et al., 2018; Wang et al., 2019    |
| 5,5542,783                             | 4    | c.6341_6343del                     | p.Ser2114del      | ComHet | 1 + 0  | 12,349                                | 10/280,914          | /    | Novel  |

<sup>#</sup>Families that have been reported by us previously. The genome build for these chromosomal positions was UCSC GRCh37/hg19. RP, retinitis pigmentosa; Het, heterozygous; Hom, homozygous; ComHet, compound heterozygous; DM, disease-causing mutations.



**FIGURE 1 |** The distribution and frequency of the potential pathogenic truncation variants in *RP1* identified in the present and previous studies. AR, autosomal recessive; AD, autosomal dominant; RP, retinitis pigmentosa; CRD, cone-rod dystrophy; MD, macular degeneration; LCA, Leber congenital amaurosis; IRD, inherited retinal disease. The positions and allele counts of the heterozygous *RP1* variants are displayed above, while those of the biallelic *RP1* variants are displayed below. The two blue lines above represent the autosomal recessive retinitis pigmentosa (arRP) region, the single red line represents the autosomal dominant retinitis pigmentosa (adRP) region, and the diagonal line between them represents the unknown regions. The two blue dashed lines are used to indicate the pathogenicity of homozygous truncations around the c.5797, and thereafter, need to be further clarified. DCX domain: c.106–354 (p.36–188) and c.460–699 (p.154–233). BIF domain: c.1456–1959 (p.486–653).

However, conflict results were reported for at least 13 truncation variants in *RP1* (Jacobson et al., 2000; Payne et al., 2000; Baum et al., 2001; Xiaoli et al., 2002; Kawamura et al., 2004; Eisenberger et al., 2013; Sullivan et al., 2013; El Shamieh et al., 2015; Yoon et al., 2015; Carrigan et al., 2016; Ellingford et al., 2016; Huang et al., 2017; Van Cauwenbergh et al., 2017; Li et al., 2018; Martin-Merida et al., 2019; Nikopoulos et al., 2019; Verbakel et al., 2019; Huckfeldt et al., 2020; **Supplementary Table S6**). Of the 13 variants, seven located in the middle portion were reported to be responsible for arRP rather than adRP, while six located in the N- and C-terminals caused adRP rather than arRP. Surprisingly, four of the 13 were involved in both adRP and arRP (**Supplementary Table S6**). In addition, the c.2391\_2392del (p.Asp799\*) located in the middle portion was reported to cause arCRD in homozygous status, which was supported by our study where a heterozygous carrier did not have any sign of RP. These raise questions on how to explain the common feature vs. the rare conflict results.

### The Missense Variants in *RP1*

For the 38 missense variants from the literature, 22 variants involved in recessive retinal degeneration, and all of them were located at the N- and C-terminals (**Supplementary Figure S2**). The c.606C > A (p.Asp202Glu) variant was the most common and was detected in 17 families in homozygous status, including 13 families with arMD (Huckfeldt et al., 2020; Riera et al., 2020), three families with arRP (Aldahmesh et al., 2009; Huckfeldt et al., 2020), and one family with arCRD (Huckfeldt et al., 2020). This variant was absent from the gnomAD database. In contrast, 15 missense variants were reported to cause adRP and distributed scattered across the whole coding region of *RP1*, but segregation information was not described for 13 of the 15 variants. The remaining one, c.1118C > T (p.Thr373Ile), with a frequency of 3434/282692 in gnomAD database, was reported in patients with either dominant or recessive retinal degeneration, which is apparently a non-pathogenic variant. No heterozygous missense variant predicted to be damaging was identified to be responsible for adRP in our cohort.

### Genotype–Phenotype Correlation of *RP1* Variants in our In-House Data and the Literature

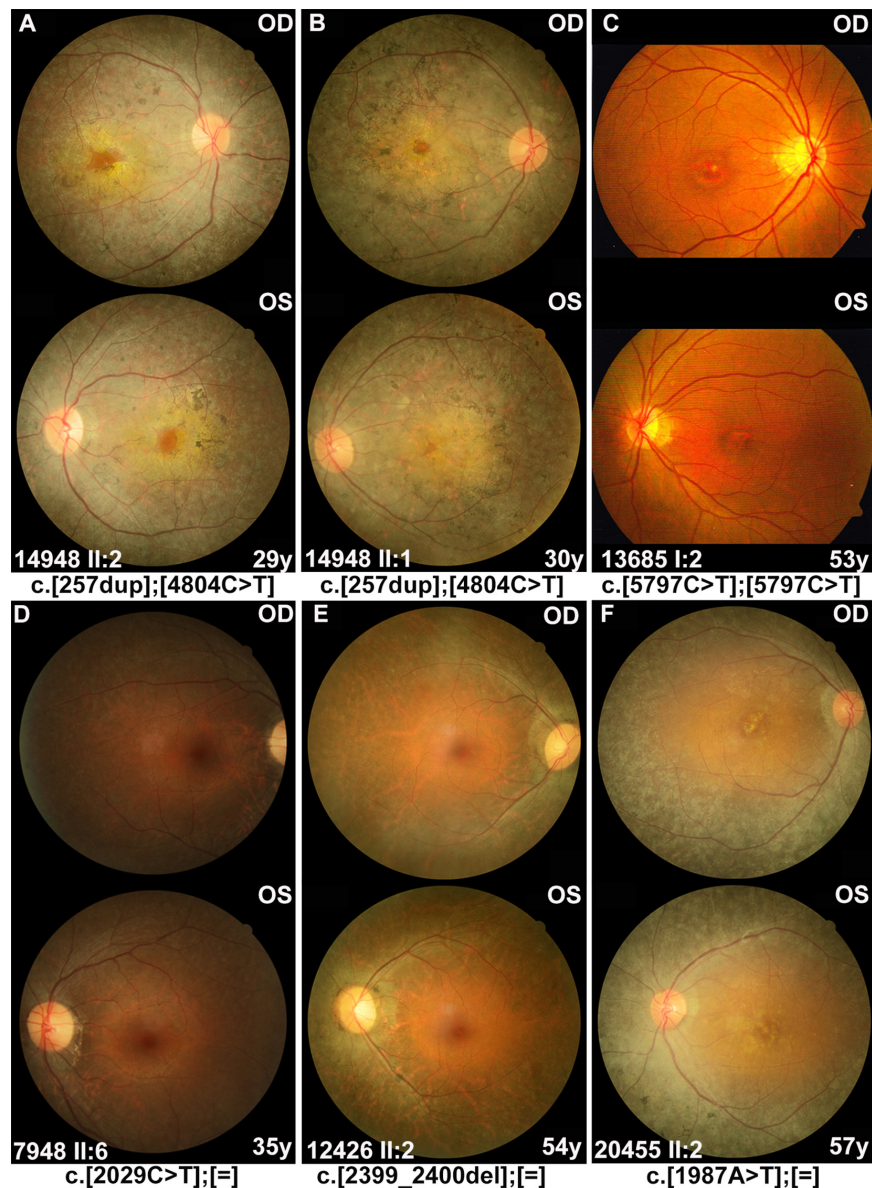
From our in-house data, a total of 21 individuals from 16 families were detected with potential pathogenic truncation variants in *RP1*. Clinical data were available in 17 of the 21 individuals. These individuals complained of a variety of initial clinical manifestation, including night blindness, decreased visual acuity, or narrowing of visual field. The age at onset of these individuals ranged from childhood to 52 years old. The age at examination ranged from 9 to 57 years with visual acuity varying from no light perception to 0.5 (Snellen equivalent). Sixteen of the 17 individuals with potential pathogenic variants showed typical RP fundus changes including waxy pale optic disc, attenuated vessels, and periphery degeneration with bone spicule pigmentation with or without obvious macula involvement (**Figure 2**). Electroretinogram recordings of four patients showed

severely decreased to distinguished responses for both of the rods and cones at the ages of 10, 34, 37, and 53 years old, respectively. The remaining one of the 17, a 53 year-old patient with a homozygous c.5797C > T (p.Arg1933\*) had macular degeneration rather than RP (**Figure 2C**). Combined with our in-house data and the data obtained from the literature review (**Figure 3**), patients with arRP due to biallelic *RP1* variants had a significantly earlier age at onset (**Figure 3B**,  $Z = -6.66$ ,  $P = 2.76 \times 10^{-11}$ ), worse visual acuity (**Figure 3C**,  $Z = -3.75$ ,  $P = 1.75 \times 10^{-4}$ ), and seemingly more likely to have degeneration involving both of the macular and mid-peripheral retina (**Figures 2, 3D**,  $P = 0.061$ ) (compared to adRP due to heterozygous *RP1* variants, in which mid-peripheral retina was mainly affected).

## DISCUSSION

In this study, results from a systemic analysis of *RP1* variants from our in-house data as well as those from published literature demonstrate some common features of pathogenic variants in this gene, including: (1) about 80% of pathogenic variants are truncation variants; (2) truncation variants in the middle portion (c.1981 to c.2749) are associated with adRP, while those in the N-terminal (c.1 to c.1837) and C-terminal (c.2816 to c.6471) are responsible for arRP, supported by 91.9% of truncations; and (3) heterozygous truncation variants alone in the N- and C-terminals are unlikely pathogenic. Several questions remain to be clarified in future studies regarding the pathogenicity of the following *RP1* variants: (1) truncation variants located in the two gaps between the N-terminal and middle portion as well as between the middle portion and C-terminal; (2) homozygous truncation variants around the c.5797 and thereafter; (3) missense variants, especially those with adRP; (4) the mechanism for the common features of *RP1* truncation variants; and (5) the possible reasons for 8.1% of truncation variants with phenotypes contrary to the common feature. Awareness of these common features and unsolved questions is important in this era of widespread application of clinical gene testing.

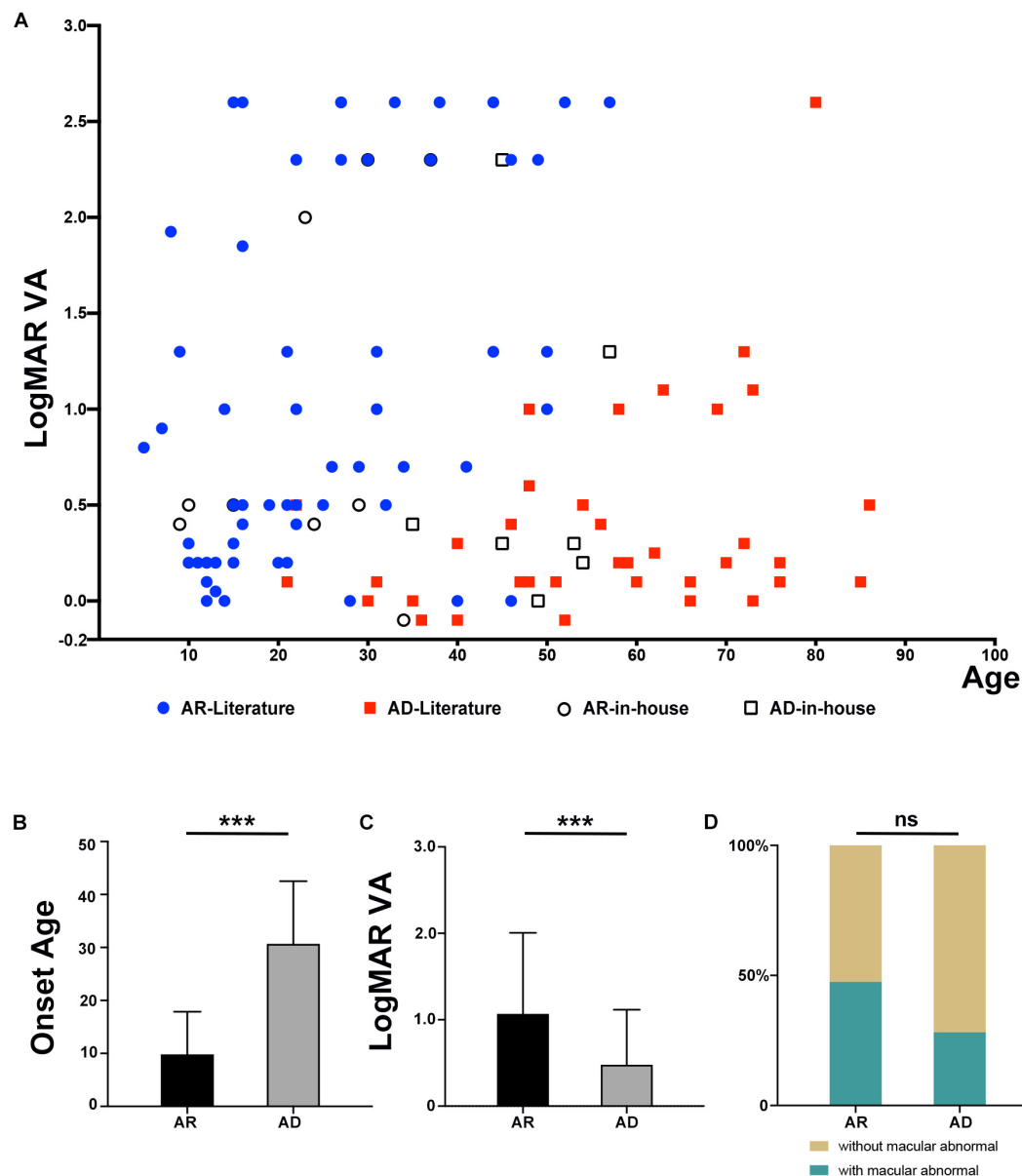
The pathogenicity of truncation variants of *RP1* has been previously reported to be related to the location of the gene. Chen et al. (2010) first defined four classes of truncation variants in *RP1*: Class I, from p.1 to p.263, does not cause RP; Class II, from p.500 to p.1053, causes adRP; Class III, from p.263 to p.500 and from p.1053 to p.1751, causes arRP; Class IV, from p.1816 to p.2156, does not cause RP. However, variants in the non-pathogenic Class I and Class IV regions have subsequently been identified in patients with arRP (Avila-Fernandez et al., 2012; Eisenberger et al., 2013; Xu et al., 2014; Bravo-Gil et al., 2016; Kabir et al., 2016; Perez-Carro et al., 2016; Verbakel et al., 2019; Riera et al., 2020; Silva et al., 2020). In addition, some variants in the Class II region, associated with adRP, have been reported to cause arRP in homozygous or compound heterozygous status (Avila-Fernandez et al., 2012; Corton et al., 2013; Bravo-Gil et al., 2017; Ezquerria-Inchausti et al., 2018; Li et al., 2018; Martin-Merida et al., 2019; Silva et al., 2020). Therefore, several studies reported that the *RP1* variants at N-terminal and C-terminal



**FIGURE 2 |** Fundus photographs of the affected individuals with *RP1* variants. **(A,B)** Severe phenotypes were shown in patients with biallelic *RP1* variants, including waxy pale optic disc, attenuated vessels, and periphery degeneration with bone spicule pigmentation and involving macular region. **(C)** A 53 year-old patient diagnosed with macular degeneration rather than RP, who carried homozygous variant c.5797C > T (p.Arg1933\*) in our cohort. **(D–F)** Patients with heterozygous *RP1* variants were characterized by peripheral pigment disorders and scattered distribution of bone spicule pigmentation, both of which were often absent in the macular region.

regions were associated with arRP and those at the middle region resulted in adRP (Kabir et al., 2016; Nanda et al., 2019), and Nanda et al. (2019) suggested that the boundary of the region associated with adRP was located between p.677 and p.917. In our cohort, the c.257dup (p.Arg87Serfs\*48) variant, located in the Class I region, was identified in two siblings from one family with typical RP changes in trans with the c.4804C > T (p.Gln1602\*) (**Figures 2A,B**). Furthermore, the boundaries of these three regions were refined based on a systemic analysis of our in-house exome-sequencing data and the literature review, namely, the arRP region, from c.1 (p.1) to c.1837 (p.613) in

the N-terminal and from c.2816 (p.939) to c.6471 (p.2157) in the C-terminal, and the adRP region, from c.1981 (p.661) to c.2749 (p.917) in the middle portion. The difference of the middle portion for adRP between this study and the previous studies is the extension of this region between p.677 and p.917 to p.661–p.917. The c.1981G > T (p.Glu661\*) variant is defined as the upstream boundary of the middle portion because it has been identified in three independent families with adRP and cosegregated with adRP in one family (Fernandez-San Jose et al., 2015; Martin-Merida et al., 2018; Riera et al., 2020). Although the boundaries among different regions have been well outlined



**FIGURE 3 |** Comparison of phenotypes in patients with an inheritance pattern for arRP and adRP. **(A)** Scatter plots of the LogMAR visual acuity of patients with AR and AD inheritance from our in-house data and the previously published literature. **(B)** Comparison of the onset ages of patients with AR and AD inheritance from our in-house data and the previously published literature.  $***P = 2.76 \times 10^{-11}$ . **(C)** Comparison of the LogMAR visual acuity of patients with AR and AD inheritance from our in-house data and the previously published literature.  $***P = 1.75 \times 10^{-4}$ . **(D)** Comparison of the macular abnormalities, including macular degeneration or macular atrophy, of patients with AR and AD inheritance from our in-house data and the previously published literature. ns mean not significant ( $P = 0.061$ ).

based on our data and data from at least 291 families, there are still two gaps in between without enough information. As for the 13 truncation variants contrary to the common feature, some might be problematic while the others may represent a variable expression of phenotypes. For example, four truncation variants were initially reported to cause dominant retinal degeneration and then were reported to cause recessive diseases in trans with the other allele in subsequent studies. Clinically variable expression from hardly identifiable to typical phenotypes have

been observed in RP patients from the same family with the same mutation in other gene like *RHO* (Luo et al., 2020), and such phenomenon could not be excluded for *RP1* variants. In addition, age-dependent expression of the diseases might also be considered. In these cases, wide-field examination of fundus such as scanning laser ophthalmoscope and electroretinogram may be of help in identifying mild or early signs of RP, especially in those carriers of individuals with variants associated with both dominant and recessive retinal degeneration. Co-segregation

of those variants as well as well-defined phenotypes in family members may provide additional information in clarifying these conflict results. Moreover, a few variants with conflict result may represent a unique point-dependent rather than region-dependent pathogenicity of dominant or recessive nature. For example, the c.2391\_2392del (p.Asp799\*), located in the middle portion and supposed to be causative for adRP, has been reported to cause arCRD with firm evidence in previous study (El Shamieh et al., 2015) and is identified in an adult without any sign of RP in heterozygous status in our cohort.

Besides, the c.5797C > T (p.Arg1933\*) variant located at the C-terminal has been reported to be non-pathogenic either in heterozygous or in homozygous status (Baum et al., 2001; Xiaoli et al., 2002; Nikopoulos et al., 2019). However, it can cause recessive diseases in trans with another truncation variant of *RP1* located upstream (Li et al., 2018; Nikopoulos et al., 2019; Verbakel et al., 2019). It has been suggested that the effect of the c.5797C > T (p.Arg1933\*) variant might be between monogenic and complex diseases (Baum et al., 2001; Xiaoli et al., 2002; Nikopoulos et al., 2019). In our cohort, this variant in homozygosis was identified in a 53 year-old singleton case with macular degeneration (**Figure 2C**). No pathogenic variants in other genes were detected in this patient based on the whole exome sequencing. Unfortunately, further clinical examination of the patients is unavailable except for fundus photographs. This raises questions on whether the c.5797C > T variant as well as other truncations downstream are pathogenic or not in homozygous status.

So far, it is unclear for the molecular mechanism about dominant in the middle while recessive in the N- and C-terminals for *RP1* truncation variants. Mutations in several other genes such as *GUCY2D* (Sharon et al., 2018), *RHO* (Luo et al., 2020), *CRX* (Yi et al., 2019), etc., are also associated with both dominant and recessive retinal degeneration, but the situation is a little different for them. For truncations in *CRX* and *RHO*, loss-of-function mutations are responsible for autosomal recessive diseases while dominant-negative mutations lead to autosomal dominant diseases (Yi et al., 2019; Luo et al., 2020). As for *GUCY2D*, most variants are associated with autosomal recessive LCA but the heterozygous substitution of the arginine at p.838 could cause autosomal dominant CRD (Sharon et al., 2018). The arginine at p.838 is the most sensitive position of *GUCY2D* protein. The mutants at arginine 838 shift the Ca<sup>2+</sup>-sensitivity in the guanylate cyclase-activating proteins mediated activation. This shift can be overactive and in some reported cases the activity level does not return to the basal level. The abnormal higher activity from the heterozygous 838 mutations leads to CRD, while the loss of partial or total function is tolerable in heterozygous status but is causative and leads LCA in biallelic status (Sharon et al., 2018). For *RP1* truncation variants, some studies have excluded haploinsufficiency and gain-of-function as the causative mechanism of *RP1* variants (Liu et al., 2012; Nanda et al., 2019). The variants involving the BIF domain, which is crucial for the photoreceptor (Bahri et al., 1997; Pierce et al., 1999), will lead to haploinsufficiency of *RP1* either by triggering nonsense-mediated decay or by producing a loss-of-function protein. Therefore, heterozygous variants located within or upstream of the BIF domain will not cause diseases. For

the variants associated with adRP, the truncated production will preserve the BIF domain and may cause disease via a dominant-negative effect (Chen et al., 2010; Nanda et al., 2019). However, the variants at the C-terminal recessive region, which can also produce a protein with the BIF domain, would not cause diseases in heterozygous status. It has been assumed that an unrecognized domain is present downstream of the BIF domain (Baum et al., 2001). The unknown domain may be important for the interaction of *RP1* with other proteins by cooperating with the BIF domain. It implies that the heterozygous variants will be non-pathogenic either loss of both the BIF domain and the unrecognized domain or preserve with both domains, while the heterozygous variants will cause retinal degeneration with preserved BIF domain but loss of the unrecognized domain. Functional studies are expected to disclose the exact mechanism of the unique feature associated with *RP1* variants.

Genotype-phenotype analysis revealed that patients with biallelic variants showed more severe phenotypes than those with heterozygous variants, including an earlier age at onset, worse visual acuity, and fundus changes especially in the macular region. The limitation of this study is not knowing the exact age at onset because of the nature of a retrospective study and lack of supporting evidence from functional studies.

In conclusion, in this study, a pooled analysis of our exome-sequencing data and the literature review confirmed and refined the common features and the boundaries between dominant and recessive truncation variants in *RP1*. It also raises unsolved problems that are worth investigating in the future. The unique features and questions identified in *RP1* may not only be valuable for its clinical application and further studies but also reminds us of the possibility of such features and questions in other genes that are awaited to be identified.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the institutional review board of the Zhongshan Ophthalmic Center. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

## AUTHOR CONTRIBUTIONS

XX, SL, and QZ recruited the individuals diagnosed with different forms of eye conditions. XX, JW, and QZ collected the clinical records. XX, SL, PW, and QZ performed the whole-exome analysis and targeted-exome sequencing. JW, WS, and QZ performed the bioinformatic analysis and designed the study and discussed the results and wrote the manuscript. JW and WS confirmed the variants by Sanger sequencing and did

the statistical analysis of clinical data. JW, WS, and QZ discussed the results and wrote the manuscript. All authors reviewed and approved the manuscript.

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## SUPPLEMENTARY MATERIAL

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**Supplementary Figure 1** | Pedigrees and Sanger sequencing chromatography of unrelated families with identified *RP1* variants in this study. For each family, the pedigree is shown in the left column and the sequence changes are shown in the right column. Mx, mutant allele; +, wild-type allele.

**Supplementary Figure 2** | The distributions and frequencies of the missense variants in *RP1*. The positions and allele counts of the heterozygous *RP1* variants are displayed above, while those of the biallelic *RP1* variants are displayed below. RP, retinitis pigmentosa; CRD, cone-rod dystrophy; MD, macular degeneration; IRD, inherited retinal disease; LCA, Leber congenital amaurosis; STGD, Stargardt disease. **DCX domain:** c.106–354 (p.36–188) and c.460–699 (p.154–233). **BIF domain:** c.1456–1959 (p.486–653). **(A)** Distributions and frequencies of the missense variants in the gnomAD database. **(B)** Distributions and frequencies of the missense variants in the published literature. **(C)** Distributions and frequencies of the missense variants in this study.

**Supplementary Table 1** | Clinical information of probands with *RP1* variants in our cohort.

**Supplementary Table 2** | Biallelic variants in *RP1* not associated with RP.

**Supplementary Table 3** | Single heterozygous truncation variants in *RP1* that were not associated with RP in our cohort.

**Supplementary Table 4** | Truncation *RP1* variants in published literature.

**Supplementary Table 5** | All missense *RP1* variants in published literature.

**Supplementary Table 6** | Truncation variants in *RP1* reported in patients with conflict pattern of inheritance.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Genotype-Phenotype Analysis and Mutation Spectrum in a Cohort of Chinese Patients With Congenital Nystagmus

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**Purpose:** Congenital nystagmus (CN) is a genetically and clinically heterogeneous ocular disorder that manifests as involuntary, periodic oscillations of the eyes. To date, only *FRMD7* and *GPR143* have been reported to be responsible for causing CN. Here, we aimed to identify the disease-causing mutations and describe the clinical features in the affected members in our study.

**Methods:** All the subjects underwent a detailed ophthalmic examination. Direct sequencing of all coding exons and splice site regions in *FRMD7* and *GPR143* and a mutation assessment were performed in each patient.

**Results:** We found 14 mutations in 14/37 (37.8%) probands, including nine mutations in the *FRMD7* gene and five mutations in the *GPR143* gene, seven of which are novel, including c.284G>A(R95K), c.964C>T(P322S), c.284+10T>G, c.901T>C (Y301H), and c.2014\_2023delTCACCCATGG(S672Pfs\*12) in *FRMD7*, and c.250+1G>C, and c.485G>A (W162\*) in *GPR143*. The mutation detection rate was 87.5% (7/8) of familial vs. 24.1% (7/29) of sporadic cases. Ten mutations in 24 (41.7%) non-syndromic subjects and 4 mutations in 13(30.8%) syndromic subjects were detected. A total of 77.8% (7/9) of mutations in *FRMD7* were concentrated within the FERM and FA domains, while all mutations in *GPR143* were located in exons 1, 2, 4 and 6. We observed that visual acuity tended to be worse in the *GPR143* group than in the *FRMD7* group, and no obvious difference in other clinical manifestations was found through comparisons in different groups of patients.

**Conclusions:** This study identified 14 mutations (seven novel and seven known) in eight familial and 29 sporadic patients with congenital nystagmus, expanding the mutational spectrum and validating *FRMD7* and *GPR143* as mutation hotspots. These findings also revealed a significant difference in the screening rate between different groups of participants, providing new insights for the strategy of genetic screening and early clinical diagnosis of CN.

**Keywords:** congenital nystagmus, *FRMD7*, *GPR143*, mutation, genotype-phenotype

## INTRODUCTION

Nystagmus is an involuntary, periodic oscillation of unilateral or bilateral eyes. It can be classified into congenital nystagmus (CN) and acquired nystagmus according to the age at onset. The prevalence of nystagmus in the general population has been estimated to be 24/10,000 of the population, and CN is the most common type of all forms of nystagmus (Sarvananthan et al., 2009; Watkins et al., 2012). CN usually appears at birth or in early childhood, and is predominantly characterized by horizontal pendular or jerk nystagmus with various degrees of visual impairment. Abnormal head position (AHP) that is often linked to an eccentric horizontal null position can be observed in patients with CN (Watkins et al., 2012; Brodsky and Dell'Osso, 2014; Papageorgiou et al., 2014; Richards and Wong, 2015). Previous studies have demonstrated that CN may occur as an isolated trait or may be accompanied by other ocular abnormalities such as ocular albinism, strabismus, aniridia, achromatopsia, congenital cataract, Leber congenital amaurosis, retinitis pigmentosa, cone-rod dystrophy and optic nerve hypoplasia (Sarvananthan et al., 2009; Brodsky and Dell'Osso, 2014; Richards and Wong, 2015). At present, although there have been a large number of studies focusing on CN over the past years, the mechanisms of pathogenesis remain unclear. A review in 2015 concluded two main hypotheses to explain this phenotype: dysfunction in the ocular motor control pathways and developmental abnormalities in the anterior visual pathway (Richards and Wong, 2015). Currently, no cure is available for CN, but many treatments, including non-surgical options (prisms, contact lenses, and afferent stimulation) and surgical extraocular muscle surgery, have been reported to improve visual acuity by directly or indirectly reducing but not eliminating nystagmus (Dell'Osso, 2002; Hertle et al., 2010).

Multiple modes of inheritance of CN have been reported in the literature, and X-linked CN is the most common form of hereditary nystagmus with significant clinical and genetic heterogeneity (Forssman, 1971). To date, only *FRMD7* and *GPR143* are considered the major disease-causing genes for CN. However, a recent study found that mutations within the C-terminal region of CASK disrupt the interaction, which is crucial for correct development of oculomotor control between *FRMD7* and CASK, leading to nystagmus (Schnur et al., 1998; Watkins et al., 2013). Mutations were first identified in the *FRMD7* (Xq26-27) gene in both X-linked and sporadic CN cases in 2006 (Tarpey et al., 2006). Since then, more than 90 mutations in the *FRMD7* gene have been reported to date. G-protein coupled receptor 143 (*GPR143*), also known as OA1, was primarily described to cause ocular albinism with nystagmus as a prominent concomitant symptom. Pigmentation loss in the iris and retina is usually not obvious in Asian individuals, therefore, nystagmus may be the main manifestation in patients with *GPR143* mutations (Zhou et al., 2008). Currently, over 100 mutations in *GPR143* have been collected in the HGMD (Human Gene Mutation Database) (<http://www.hgmd.cf.ac.uk>). The mutation detection rate was found to be 20–57% and 62.5–95% in *FRMD7* and *GPR143*, respectively, in X-linked

cases, and much higher than the rates in sporadic cases. The genetic causes of sporadic cases are still poorly understood (Richards and Wong, 2015; Jia et al., 2017a).

In our study, *FRMD7* and *GPR143* mutation analysis and detailed clinical characteristics evaluation were performed in a group of Chinese patients with CN. A total of 9 mutations in the *FRMD7* gene and five mutations in the *GPR143* gene were identified in this study, including 7 novel and 7 previously reported mutations.

## METHODS

### Patient Enrolment and Clinical Evaluation

This study was in compliance with the Declaration of Helsinki and was approved by the Institutional Review Board. Informed written consent was obtained from all participants. All participants were enrolled from The Affiliated Eye Hospital of Wenzhou Medical University in this study. The average age was  $12 \pm 10$  years, ranging between 1 year and 46 years old. After a detailed ophthalmic examination including visual acuity, intraocular pressure measurement, a slit-lamp examination, fundus photography, OCT and other specialist review. All the patients were diagnosed with congenital nystagmus by a specialist. Among these participants, 24 were non-syndromic CN cases, 13 were syndromic CN cases (11 cases with strabismus, four cases with ocular albinism, and two patients suffered from both strabismus and ocular albinism).

### DNA Extraction

Dna was extracted from each participant's peripheral blood using a DNA extraction kit (TIANGEN, Beijing, China) following the manufacturer's instructions. Nanodrop 2000 (Thermal Fisher Scientific, Delaware, USA) was used to determine the concentration and purity of the extracted DNA.

### Mutation Screening

The primers of all coding exons and splice site regions in *FRMD7* and *GPR143* were obtained from previous literature (Zhang Q. et al., 2007; Hu et al., 2011). After PCR amplification and direct sequencing of the coding regions and splice site junctions in *FRMD7* and *GPR143*, we analyzed the sequencing of results. The reference genomic sequence versions of *FRMD7* and *GPR143* used were NM\_194277.3 and NM\_000273.3 from the GenBank database. The potential pathogenicity of the detected mutations in this study was evaluated by the following bioinformatics tools: SIFT (<http://sift.jcvi.org/>), Polyphen-2 (<http://genetics.bwh.harvard.edu/pph2/>), Mutation Taster (<http://mutationtaster.org/>), and PROVEAN (<http://provean.jcvi.org/index.php>). Allele frequency was assessed by the ExAC database (<http://exac.broadinstitute.org/>), and 1,000 Genomes Project (<ftp://1000genomes.ebi.ac.uk/vol1/ftp>). Co-segregation analysis was performed for mutations detected in our study when members in families were available.

## Multiple Sequence Alignment and Molecular Structural Modeling of Missense Mutations

Protein sequences were obtained from the NCBI database (<https://www.ncbi.nlm.nih.gov/>), and multiple sequence alignments were performed using Clustalx1.83 software. Sequence logos were made with WebLogo3 (<http://weblogo.threeplusone.com/>). Schematic of protein domain structures were created using DOG 2.0 (Ren et al., 2009). The crystal structures of several wild-type and mutant proteins were predicted by Swiss Model (<https://swissmodel.expasy.org/>), and the predicted PDB files were visualized by PyMol software (version 2.1.1).

## Statistical Analysis

We used Mann–Whitney U-tests to compare the VA of the two groups. Onset age in two groups was analyzed using a *t*-test. Fisher's exact test was used to evaluate the significance of proportions (strabismus, stereopsis, and AHP) between groups. Non-parametric Kruskal-Wallis tests and Pearson chi-square tests were used to compare multiple groups.

## RESULTS

### Clinical Manifestation

A total of 37 patients with CN (29 male, eight female) were recruited for this study, and the mean age of the participants was  $12 \pm 10$  years. Pedigrees of the 8 (21.6%) families followed an X-linked pattern of inheritance, and the remaining 29 (78.4%) sporadic patients had no positive family history. Different degrees of reduced visual acuity, stereopsis, and AHP were observed among the patients: eleven of them had associated strabismus (29.7%), four of them had associated ocular albinism (10.8%), and two patients had both conditions. A total of 93.8% (30/32) of these patients presented horizontal nystagmus, indicating that

horizontal nystagmus was the most common form, which is consistent with previous reports (Zhao et al., 2016).

### Mutation Identified in This Study

Sanger sequencing of *FRMD7* and *GPR143* in this Chinese cohort revealed 14 different mutations (nine in *GPR143*, five in *FRMD7*) in seven unrelated families and five sporadic cases. Seven mutations are novel: c.284G>A(R95K), c.964C>T(P322S), c.901T>C(Y301H), c.2014\_2023delTCACCCATGG(S672Pfs\*12), and c.284+10T>G in *FRMD7* and c.250+1G>C, and c.485G>A(W162\*) in *GPR143*. The remaining seven mutations have been reported before. The molecular and clinical results of the 12 participants with identified mutation are shown in Table 1. Mutations identified in our study were assessed for pathogenicity with four different bioinformatics tools, as shown in Table 2. Figure 1 illustrates the pedigrees and the sequencing data. Figure 2 shows the sequence conservation of the *FRMD7* protein of three novel missense mutations and the structural modeling of the novel missense mutation c.284G>A.

### FRMD7 Mutations

We detected a reported missense c.782G>A mutation in exon 9 in family 1 (III1) and family 2 (III1 and II2), which causes the substitution of arginine to glutamine at position 261 (p.R261Q). Li et al. (2008) already identified R261Q in two Chinese families with CN in 2008, and the pathogenicity of this mutation is well-established. In family 2, the unaffected mother of the proband carried c.782G>A, and the results demonstrated the co-segregation of the c.782G>A mutation with CN in family 2. The known missense mutation c.781C>G in exon 9 was found in family 3 (III1), which results in the substitution of an amino acid at a highly conserved position, p.R261G, and has been reported three times in the Chinese population, indicating the high possibility that it is a Chinese-specific variant (Zhang B. et al., 2007; Song et al., 2013; Zhao et al., 2016). The novel missense

TABLE 1 | Clinical features of subjects.

| Subject   | Sex    | Age(years) | Onset age | BCVA      | Nystagmus           | AHP | Accompanying symptoms | Family history | Mutation gene |
|-----------|--------|------------|-----------|-----------|---------------------|-----|-----------------------|----------------|---------------|
| S1        | Male   | 14         | 1         | 0.6/0.7   | Horizontal jerk     | Y   | N                     | N              | <i>FRMD7</i>  |
| S2        | Male   | 15         | 2         | 0.9/0.9   | Horizontal jerk     | Y   | N                     | N              | <i>FRMD7</i>  |
| S3        | Male   | 6          | 1         | 0.5/0.5   | Horizontal jerk     | Y   | N                     | N              | <i>FRMD7</i>  |
| S4        | Male   | 10         | At birth  | 0.6/0.4   | Horizontal jerk     | Y   | N                     | N              | <i>FRMD7</i>  |
| S5        | Male   | 17         | 1         | 0.3/0.4   | Horizontal jerk     | Y   | Strabismus            | Y              | <i>GPR143</i> |
| S6        | Male   | 42         | 2         | 0.1/0.2   | Horizontal jerk     | Y   | Albinism              | N              | <i>GPR143</i> |
| S7        | Male   | 22         | NA        | 0.2/0.2   | NA                  | NA  | N                     | N              | <i>GPR143</i> |
| F1: III:1 | Male   | 6          | 1 month   | 1.0/1.0   | Horizontal jerk     | Y   | N                     | Y              | <i>FRMD7</i>  |
| F2: III:1 | Male   | 6          | 3 months  | NA        | NA                  | Y   | N                     | Y              | <i>FRMD7</i>  |
| F3: III:1 | Male   | 10         | 1         | 0.5/0.4   | Horizontal jerk     | Y   | N                     | Y              | <i>FRMD7</i>  |
| F4: II:3  | Female | 33         | 3         | 0.8/0.8   | NA                  | N   | N                     | Y              | <i>FRMD7</i>  |
| F5: III:1 | Female | 23         | 3         | 0.16/0.16 | Horizontal jerk     | N   | Strabismus            | Y              | <i>FRMD7</i>  |
| F6: III:1 | Male   | 6          | 1         | 0.1/0.1   | NA                  | N   | Albinism              | Y              | <i>GPR143</i> |
| F7: III:2 | Male   | 7          | 6 months  | 0.1/0.1   | Horizontal pendular | Y   | N                     | Y              | <i>GPR143</i> |

Y, present; N, absent; NA, not available.

TABLE 2 | Overview of the mutations found in *FRMD7* and *GPR143* and their assessment.

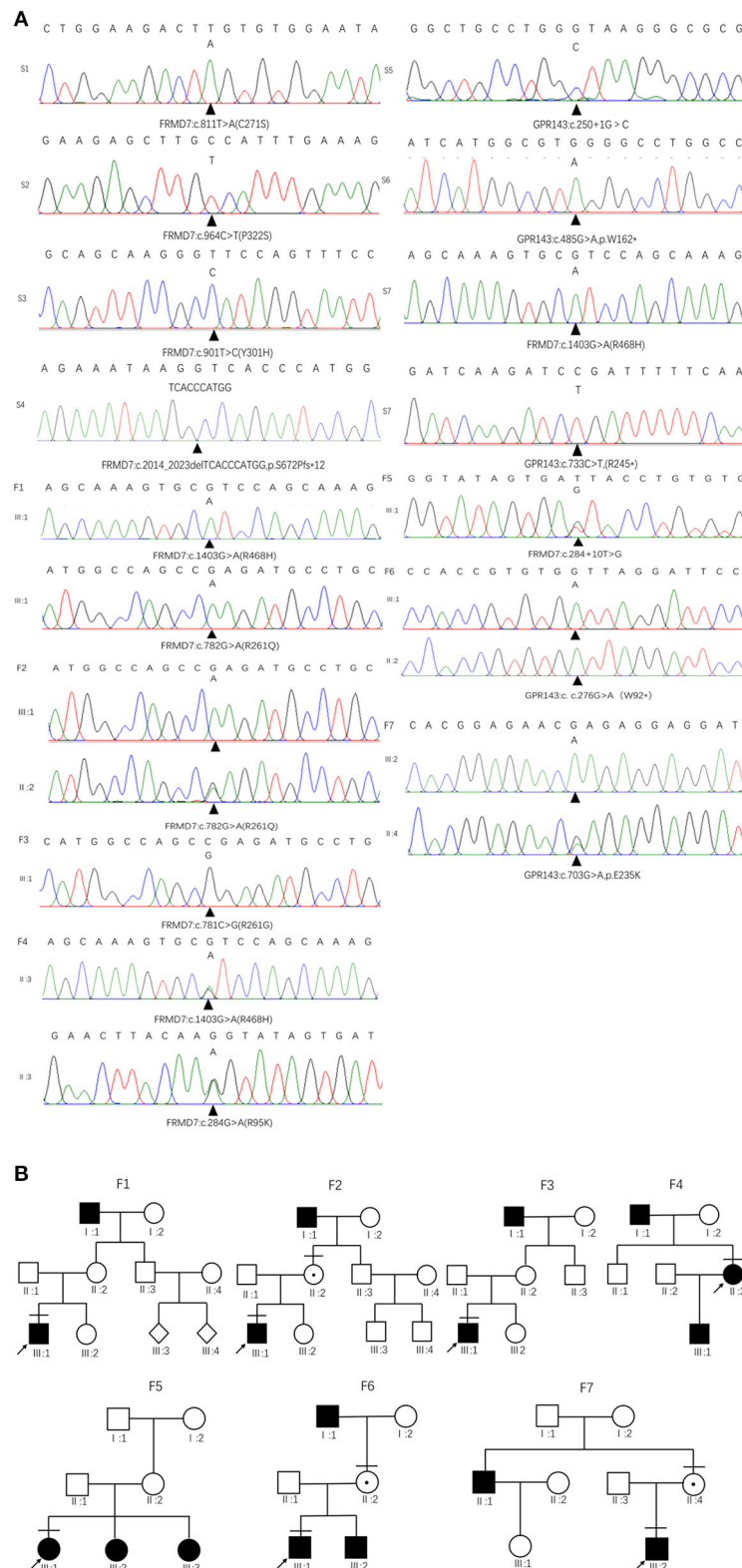
| Gene          | Exon/Intron | Domain     | Mutation                       | Protein change | Type       | State        | Prediction      |             |          |                   | Allele frequency |      | References            |
|---------------|-------------|------------|--------------------------------|----------------|------------|--------------|-----------------|-------------|----------|-------------------|------------------|------|-----------------------|
|               |             |            |                                |                |            |              | Mutation taster | PROVEAN     | SIFT     | PolyPhen-2        | 1000G            | EXAC |                       |
| <i>FRMD7</i>  | 9           | FERM-C     | 782G>A                         | R261Q          | Missense   | Hemizygous   | Disease causing | Deleterious | Damaging | probably damaging | 0                | 0    | Li et al., 2008       |
| <i>FRMD7</i>  | 9           | FERM-C     | 781C>G                         | R261G          | Missense   | Hemizygous   | Disease causing | Deleterious | Damaging | probably damaging | 0                | 0    | Zhang B. et al., 2007 |
| <i>FRMD7</i>  | 9           | FERM-C     | 811T>A                         | C271S          | Missense   | Hemizygous   | Disease causing | Deleterious | Damaging | probably damaging | 0                | 0    | Jia et al., 2017b     |
| <i>FRMD7</i>  | 9           | FA         | <b>901T&gt;C</b>               | Y301H          | Missense   | Hemizygous   | Disease causing | Deleterious | Damaging | probably damaging | 0                | 0    | This study            |
| <i>FRMD7</i>  | 4           | FERM-M     | <b>284G&gt;A</b>               | R95K           | Missense   | Heterozygous | Disease causing | Deleterious | Damaging | probably damaging | 0                | 0    | This study            |
| <i>FRMD7</i>  | Intron 4    | FERM-M     | <b>284+10T&gt;G</b>            | -              | Splice     | Heterozygous | Polymorphism    | -           | -        | -                 | 0                | 16   | This study            |
| <i>FRMD7</i>  | 10          | FA         | <b>c.964C&gt;T</b>             | P322S          | Missense   | Hemizygous   | Disease causing | Deleterious | Damaging | probably damaging | 0                | 0    | This study            |
| <i>FRMD7</i>  | 12          | C-terminal | <b>2014_2023del TCACCCATGG</b> | S672Pfs*12     | Frameshift | Hemizygous   | Disease causing | -           | -        | -                 | 0                | 0    | This study            |
| <i>FRMD7</i>  | 12          | C-terminal | c.1403G>A                      | R468H          | Missense   | Heterozygous | Polymorphism    | Neutral     | Damaging | possibly damaging | 6                | 2136 | Zhao et al., 2016     |
| <i>GPR143</i> | Intron 1    | -          | <b>c.250+1G&gt;C</b>           | -              | Splice     | Hemizygous   | Disease causing | -           | -        | -                 | 0                | 0    | This study            |
| <i>GPR143</i> | 2           | -          | c.276G>A                       | W92*           | Non-sense  | Hemizygous   | Disease causing | Deleterious | -        | -                 | 0                | 0    | Zou et al., 2017      |
| <i>GPR143</i> | 4           | -          | <b>c.485G&gt;A</b>             | W162*          | Non-sense  | Hemizygous   | Disease causing | Deleterious | -        | -                 | 0                | 0    | This study            |
| <i>GPR143</i> | 6           | -          | c.703G>A                       | E235K          | Missense   | Hemizygous   | Disease causing | Deleterious | Damaging | probably damaging | 0                | 0    | Schnur et al., 1998   |
| <i>GPR143</i> | 6           | -          | c.733C>T                       | R245*          | Non-sense  | Hemizygous   | Disease causing | Deleterious | -        | -                 | 0                | 0    | Kim et al., 2016      |

Novel mutations are highlighted in bold. \* = X.

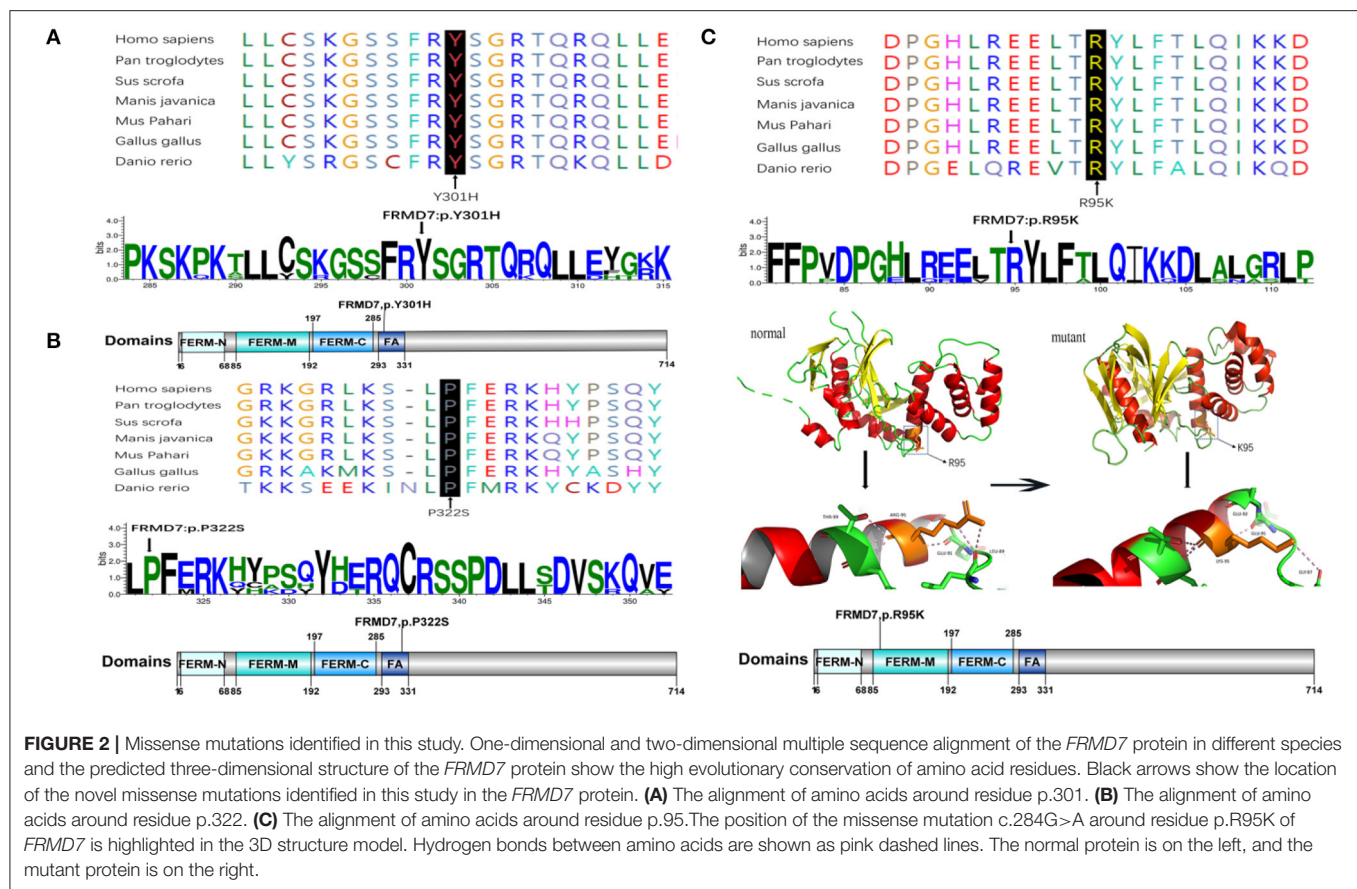
mutation c.284G>A, leading to a replacement of arginine by lysine at position 95, was detected in a female proband in family 4. A different substitution of amino acid arginine to methionine at 95 has ever been reported by Bai et al. (2017). The substitution occurred in the highly conserved domain FERM-M, and the predicted three-dimensional structure showed a change in the mutant protein. The Arg-95 residue was predicted to locate in the alpha helix of the FERM domain, and the side chain of Arg-95 projected outwards from the alpha helix forming hydrogen bonds with the side chains of Leu-89. In the mutant protein, two hydrogen bonds disappeared between Lys-95 and Leu-89, and Lys-95 side chains formed another one hydrogen bond with the amino acid residues Glu-92 and Gly-87, respectively (Figure 2C). Furthermore, all four software tools predicted the p.R95K mutation to be pathogenic (Table 2). In family 5, the heterozygous donor splice site mutation c.284+10T>G in intron4 was identified in the female proband (III1). This variant was considered to be possibly pathogenic in this family, because the frequency was <0.01. The other two family members (III2, III3) who were unable to give a peripheral blood sample in this study also showed similar clinical signs of CN and esotropia. In sporadic cases, four mutations, c.811T>A, c.901T>C, c.964C>T, and c.2014\_2023delTCACCCATGG, were found in S1, S2, S3, and S4, respectively; the latter three are first reported here. The missense mutation c.811T>A in exon 9 which caused a protein change at p.C271S, has been described before in a previous Chinese study (Jia et al., 2017b). The two novel missense variants resulted in amino acid substitutions at p.Y301H and p.P322S, and both of them occurred in the highly conserved residue of the FERM-adjacent (FA) domain. Multiple sequence alignment suggested that these sites were evolutionarily conserved from Danio to humans (Figures 2A,B). The 10-bp deletion mutation (c.2014\_2023delTCACCCATGG) in exon 12 caused a frameshift in the ORF, leading to pre-mature translation termination of the *FRMD7* protein at position 683 (p. S672Pfs\*12) (Figure 1A). Apart from the mutations above, the c.1403G>A mutation that changed arginine to histidine at position 468 (p.R468H) was identified in three unrelated probands [III1 in family 1, III1 in family 4 and sporadic 2 (S2)] in our study, which was predicted to be non-pathogenic by PROVEAN and Mutation Taster and damaging by SIFT and PolyPhen-2. Based on the fact that its minor allele frequency (MAF)>0.01 and all three probands harbored another disease-causing mutation, in addition to published report (Zhao et al., 2016), the c.1403G>A mutation did not appear to be the main causative mutation in the three probands.

GPR143 Mutations

We detected the known non-sense mutation c.276G>A in exon 2 in the proband (III1) and his unaffected mother (II2) in family 6, which introduced a pre-mature stop codon into the ORF and generated a truncated protein. In family 7, III2 and his asymptomatic mother had the missense mutation c.703G>A (from glutamate to lysine), which was first described in a North American family (Schnur et al., 1998). All the pedigrees in which mothers' blood samples were available are consistent with X-linked transmission. In sporadic patients, two new mutations,



**FIGURE 1 |** Family pedigrees and Sanger sequencing results. **(A)** The chromatograms of sequencing results: F 1-F 7 represent family 1 to family 7, S 1-S 7 represent sporadic 1 to sporadic 7. **(B)** Pedigrees of *FRMD7* and *GPR143* mutation-positive families: F 1 Family 1; F 2, family 2; F 3, family 3; F 4, family 4; F5, family 5; F 6, family 6; F 7, family 7. Filled symbols indicate affected individuals, unfilled symbols indicate unaffected individuals, and a dotted circle indicates a heterozygous carrier. Bars over the symbols indicate subjects enrolled in this study. Arrows indicate the probands.



a splice mutation c.250+1G>C on intron1 and a non-sense mutation c.485G>A truncating the translated protein at position 162, which were not present in the 1,000 Genomes Project or ExAC database and assessed to be pathogenic by prediction tools, were identified in S5 and S6. The known non-sense mutation c.733C>T created an early termination in the *GPR143* protein was present in S7.

## Genotype-Phenotype Correlation Analysis

In our cohort of 37 participants with CN, 9 patients with *FRMD7* mutations and five patients with *GPR143* mutations were identified here. To further investigate the relationship of phenotype-to-genotype, we compared whether there was a statistical difference in terms of clinical characteristics between different groups of patients.

### Onset Age

We found no significant difference ( $p > 0.05$ ) in onset age was observed between the *FRMD7* group and the *GPR143* group ( $t$ -test,  $P = 0.2846$ ) or between the *FRMD7* group and the non-*FRMD7* group ( $t$ -test,  $P = 0.4009 > 0.05$ ). The mean age of onset in our study was later than that in other studies.

### Visual Acuity

We tested eight of nine patients (accurate visual acuity was not available for one child) in the *FRMD7* group and five

patients in the *GPR143* group. The median logMAR visual acuity was  $-0.22$  and  $-1.00$  in these two groups of patients, respectively. The group with *GPR143* mutations had worse vision than in the group with *FRMD7* mutations (Mann-Whitney  $U$ -test,  $P = 0.0062 < 0.05$ ). However, we did not see an obvious difference in patients' acuity between the *FRMD7* group and non-*FRMD7* group (Mann-Whitney  $U$ -test,  $P = 0.5279 > 0.05$ ).

### Strabismus

Only 1 case (11.11%) had esotropia in the *FRMD7* group. By comparison, in the *GPR143* group, one patient (20%) had exotropia, and two patients (40%) had ocular albinism (OA). No observable difference was found in the incidence of strabismus between the two groups (Fisher's exact test,  $P > 0.99$ ). In the non-*FRMD7* group, strabismus was identified in nine of 23 (39.13%) patients (five with esotropia, four with exotropia), and no significant difference was observed between the *FRMD7* group and the non-*FRMD7* group (Fisher's exact test,  $P = 0.21 > 0.05$ ).

### Stereopsis

Seven of nine subjects were tested for stereopsis in the *FRMD7* group, and one subject (14.29%) with by esotropia demonstrated no stereopsis by the TNO and Titmus tests. In the *GPR143* group, two patients (100%) (test data were not available in the

other three patients) with OA and exotropia were found to have no stereopsis in either TNO or Titmus test. In the non-*FRMD7* group, 59.09% (13/22) and 54.55% (12/22) did not have stereopsis by TNO test and Titmus test, respectively, and 61.54% (8/13) and 58.33% (7/12) of which had manifested strabismus in the TNO and Titmus tests, respectively. Fisher's exact test was performed between the *FRMD7* group and non-*FRMD7* group ( $p = 0.08 > 0.05$ ), and statistical comparison between the *GPR143* group and *FRMD7* group was not considered due to the meager data.

### Anomalous Head Posture

AHP was recorded in 7 (77.78%) patients in the *FRMD7* group compared with 3 (75%) patients in the *GPR143* group (Fisher's exact test,  $P > 0.99$ ). Likewise, a similar proportion of AHP was achieved between the *FRMD7* group (7/9, 77.78%) and the non-*FRMD7* group (13/23, 56.52%) (Fisher's exact test,  $p = 0.42 > 0.05$ ).

In addition to the subjects in our study, we also reviewed all samples as well as mutations in *FRMD7* reported in literature and conducted correlation analysis on patients with CN.

A total of 110 patients were recorded in the literature. We conducted two sets of analysis depending on the mutation locations and types in the patients in terms of VA, onset age, and the proportion of AHP. One-way analysis of variance (ANOVA) was performed and there were no significant differences among the FERM domain group, FA domain group, and other domain group (Kruskal-Wallis test,  $p > 0.05$ ; Pearson chi-square test,  $p > 0.05$ ). Similarly, we did not observe any differences among the missense group, non-sense group, deletion/insertion group, and splice group (Kruskal-Wallis test,  $p > 0.05$ ; Pearson chi-square test,  $p > 0.05$ ).

## DISCUSSION

In this study, a total of fourteen mutations (nine mutations in the *FRMD7* gene and five mutations in the *GPR143* gene) in 37.8% (14/37) of the probands were identified. Seven of them were new mutations.

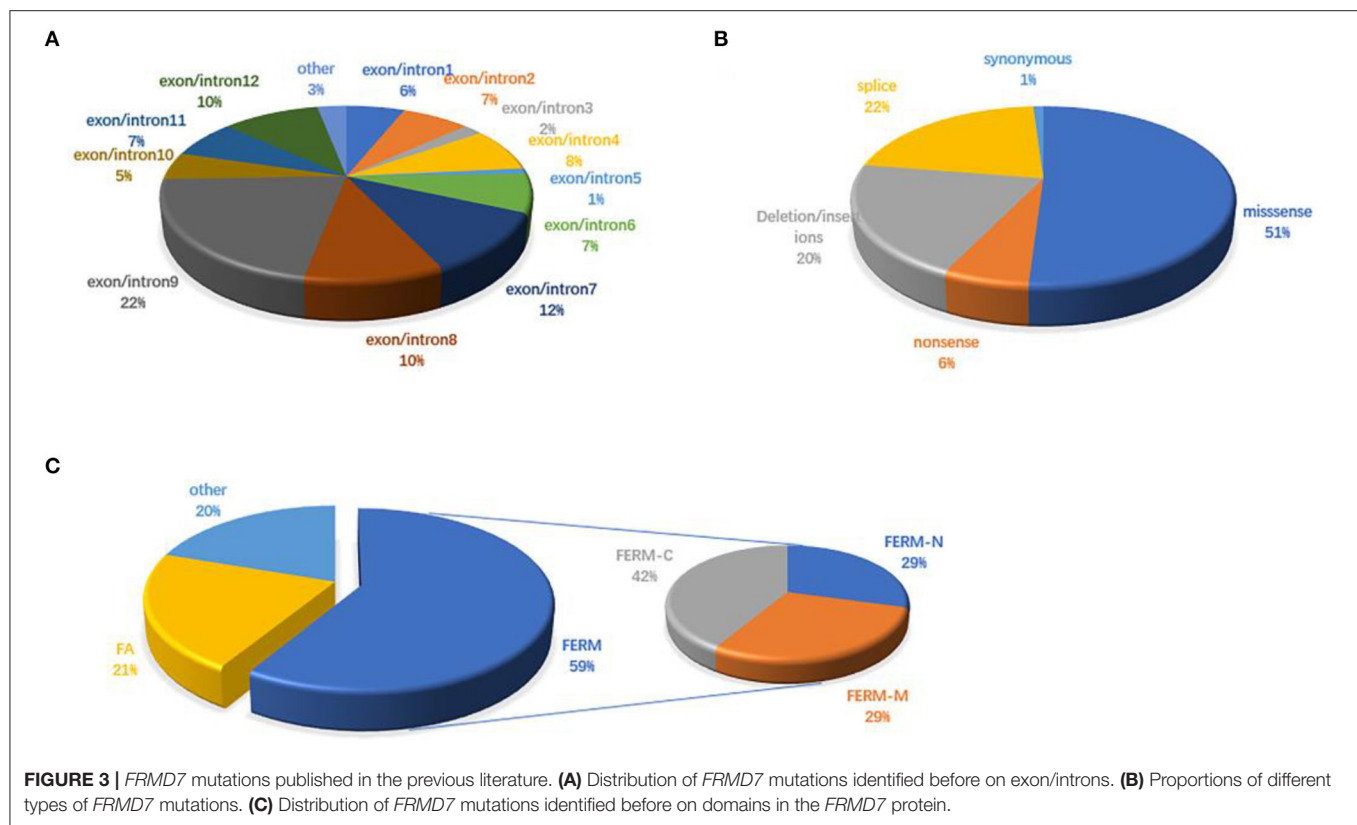
The mutation detection rate differs significantly between the familial cases (87.5%, 7/8) and the sporadic cases (24.1%, 7/29), and is higher than published studies. These data further support that *FRMD7* and *GPR143* are two major causative genes for CN. Tarpey et al. (2006) first detected mutations in 84.6% (22/26) of the familial cases and 7% (3/42) of the sporadic cases. Zhang Q. et al. (2007) detected mutations in 4 of 14 (28.5%) Chinese families with X-linked nystagmus. Self et al. (2007) identified mutations in 20% (2 of 10) of apparent X-linked families and 3.6% (1/28) singleton cases. A total of 33.3% (7/21) familial and 14.3% (4/28) of sporadic cases had mutations. In 2015, 40% (2/5) of X-linked IN families were found to have mutations, while no mutations were identified in 15 sporadic cases (AlMoallem et al., 2015). The screening rate was 38.89% (7/18) in another Chinese cohort published in 2017 (Jia et al., 2017b). Unexpectedly, 41.7% (10/24) of simple cases had mutations, comparable to 30.8% (4/13) of syndromic cases in this study. This illustrates that mutation

screening is equally necessary in both X-linked cases and sporadic cases.

The *FRMD7* gene contains 12 exons and encodes a 714 amino acid protein that is composed of an N-terminal FERM domain, FERM-adjacent domain (FA), and C-terminal domain. The conserved FERM domain comprises three tightly folded cloverleaf structures (F1, F2, F3). Despite several studies, the specific functions of *FRMD7* protein are still uncertain. However, the two closest homologous proteins FARP1 and FARP2 have been found to be involved in the regulation of neural development, indicating that *FRMD7* may play a role in this process. A later study discovered that knockdown of *FRMD7* can cause abnormalities in neurite development, partially confirming the above conjecture, thus, mutations in *FRMD7* can influence neuronal functions, consequently leading to nystagmus (Betts-Henderson et al., 2010).

There are 98 mutations reported to date (Supplementary Excel 1 in **Supplementary Material**). Over half (51.02%) of the mutations are missense and are predicted to change the protein conformation, resulting in affecting the function of *FRMD7*. Non-sense, deletion/insertion, and splice mutations accounted for 6.12, 20.41, and 21.43%, respectively (**Figure 3B**). A significant number of mutations (80.6%) are primarily concentrated in the highly conserved FERM and FA domains (**Figure 3C**). In our study, 77.8% (7/9) were missense mutations, and all of them were located in the FERM and FA domains. Notably, the predicted molecular structural modeling of the c.284G>A mutation was similar with the normal protein. Lysine and arginine are both alkaline amino acids with similar molecular properties, but previous study has ever reported mutation of this locus causing nystagmus, and amino acids are well-conserved in the FERM domain, we therefore speculated that this variation of chemical bonds may affect the overall stability and functions of the protein (Bai et al., 2017). Of these 12 exons, exon 9 has been regarded as the mutational hot spot region, containing 21.4% of mutations (**Figure 3A**). Here, we found a higher proportion, with 4 (44.4%) mutations in exon 9. This indicates that alterations in this region severely affect the function of *FRMD7*. Remarkably, a new 10-bp deletion (c.2014\_2023delTCACCCATGG) in exon 12 of C-terminal of *FRMD7* was detected in S4 in our study. As a whole, ten mutations have been identified in exon 12, all of which are predicted to result in severe loss of function of *FRMD7* due to the pre-mature termination of the protein. Only gross structural defects in this region may be responsible for the nystagmus phenotype. Therefore, we speculate that the specific biological function of this region may not be as important as the FERM and FA domains. In addition, we also noticed that c.781C>G and c.782G>A were most frequently reported in the Chinese population, and have not been found in other populations to date. c.782G>A were observed twice in 2 male probands of two unrelated families in our patients. The above findings to some extent suggest that these mutations are unique to Chinese people.

The *GPR143* gene consists of 9 exons and encodes the G protein-coupled receptor 143 that is present on the membrane of melanosomes in pigment cells. Recent research has proven that *GPR143* expression can be detected during the earliest stage of melanosome formation in the RPE. Mutations in *GPR143*



may cause isolated albinism in the eye or a series of other abnormalities, such as reduced visual acuity, nystagmus, and strabismus (Surace et al., 2000). The underlying pathogenic mechanisms of ocular abnormalities caused by the *GPR143* mutation have not been fully studied. It is well-known that melanin synthesis is disrupted in albinism, when this pathogenic condition occurs in the RPE, leading to severe defects in development and maintenance of vision eyes, including foveal hypoplasia, decreased numbers of photoreceptors and ganglion cells and misrouting of the optic tracts at the chiasm. However, mutations in *GPR143*, one of the genes associated with albinism, result in the impairment of visual pathway, but with intact melanin synthesis machinery (Schiaffino, 2010). This suggests that it is not the melanin synthesis and accumulation but the *GPR143* signaling activity responsible for the developmental defects in retina. Researchers proposed that *GPR143* signaling was the downstream of pigmentation, and all forms of albinism were dependent upon *GPR143* signaling pathway to affect retinal development. Later studies found *GPR143* signaling in RPE regulated the secretion of pigment epithelium-derived factor (PEDF) and vascular endothelial growth factor (VEGF) and exosome release. All of these signaling activities were involved in the protection from retinal diseases. However, it remains unclear that how the exosome release influences the retina (Locke et al., 2014; McKay, 2019; Figueroa and McKay, 2020). Mutations in *GPR143* contributing to the aberrant protein function might disrupt the *GPR143* signaling pathway leading to the developmental visual disorder. It was concluded that

mutations in *GPR143* tend to cluster in exons 1-7; similarly, in this paper, all of these mutations in *GPR143* were found in exons 1, 2, 4, and 6. These results suggested that exons 1-7 are the most frequently mutated regions in the *GPR143* gene (Schnur et al., 1998; Fang et al., 2008).

However, several studies have reported that Chinese patients with *GPR143* mutations manifested CN as the most dominant, consistent phenotype. Researchers have proposed that the typical symptoms of OA caused by *GPR143* mutations are seldom observed in Asian populations, mainly because they have dark irises (Table 3) (Preising et al., 2001; Liu et al., 2007; Zhou et al., 2008; Peng et al., 2009; Xiao and Zhang, 2009; Hu et al., 2011; Gao et al., 2019). Three of five mutations (c.703G>A, c.733C>T, c.250+1G>C) in *GPR143* were detected in three cases without ocular albinism in our study. Unlike the study here, c.703G>A was reported to cause typical ocular albinism, while patients with the c.733C>T mutation exhibited foveal hypoplasia in previous studies (Schnur et al., 1998; Kim et al., 2016). In addition, Janecke et al. (2012) reported a patient misdiagnosed with CN first the time who was examined to have slight hypopigmentation in the fundus and was finally identified as ocular albinism through genetic screening. Herein, we summarized all the mutations in *GPR143* identified in Chinese populations and phenotypes of the probands. It is believed that nystagmus, foveal hypoplasia, and hypopigmentation in the fundus are all associated with *GPR143* mutations (Table 3). We did not perform OCT to re-evaluate the three subjects more meticulously in our study due to the difficulty in children's examinations. Early and precise

**TABLE 3 |** Mutations identified in *GPR143* and the clinical characteristics of the probands in the Chinese population.

| Mutation                | Sex    | Age(years) | BCVA      | CN | Iris hypopigmentation | Fundus hypopigmentation | Macular hypoplasia | References           |
|-------------------------|--------|------------|-----------|----|-----------------------|-------------------------|--------------------|----------------------|
| c.360+5G>T              | Male   | 23         | 0.25/0.25 | Y  | Y                     | Y                       | Y                  | Gao et al., 2019     |
| g.4572_5239del668bp     | Male   | 5          | 0.2/0.2   | Y  | Y                     | Y                       | Y                  | Jiang et al., 2019   |
| c.208_218del            | Male   | 10         | 0.2/0.3   | Y  | Mild                  | N                       | Y                  | Jiang et al., 2019   |
| g.4709_5711del1010bp    | Male   | 52         | 0.1/0.1   | Y  | Mild                  | Y                       | Y                  | Jiang et al., 2019   |
| c.659-2A>C              | Male   | 10         | 0.1/0.1   | Y  | Mild                  | N                       | Y                  | Jiang et al., 2019   |
| c.251G>A                | Male   | 9          | 0.2/0.3   | Y  | Mild                  | N                       | Y                  | Jiang et al., 2019   |
| c.733C>T                | Male   | 7          | 0.1/0.1   | Y  | Mild                  | N                       | Y                  | Jiang et al., 2019   |
| c.333G>A                | Male   | 6 months   | LP/LP     | Y  | Mild                  | Y                       | NA                 | Jia et al., 2017a    |
| c.353G>A                | Male   | 7          | 0.2/0.2   | Y  | N                     | Y                       | NA                 | Jia et al., 2017a    |
| c.658+2T>G              | Male   | 8 months   | LP/LP     | Y  | Mild                  | Y                       | NA                 | Jia et al., 2017a    |
| c.215_216 ins CGCTGC    | Male   | 2 months   | NA        | Y  | Mild                  | Y                       | NA                 | Jia et al., 2017a    |
| c.17T>C                 | Male   | 10         | 0.3/0.3   | Y  | Mild                  | Y                       | NA                 | Jia et al., 2017a    |
| c.333_360+14del42insCTT | Male   | 4          | NA        | Y  | N                     | Y                       | Y                  | Zou et al., 2017     |
| c.276G>A                | Male   | 3          | LP/LP     | Y  | N                     | Y                       | Y                  | Zou et al., 2017     |
| c.793C>T                | Male   | 24         | 0.15/0.15 | Y  | Y                     | Y                       | Y                  | Zou et al., 2017     |
| exon 3_9del             | Male   | NA         | NA        | Y  | N                     | N                       | N                  | Bu et al., 2016      |
| c.494C>A                | Male   | 4 months   | NA        | Y  | N                     | Y                       | Y                  | Pan et al., 2016     |
| c.333G>A                | Female | 36         | 0.1/0.1   | Y  | N                     | Y                       | Y                  | Han et al., 2015     |
| c.360+1G>C              | Male   | 7          | 0.1/0.1   | Y  | N                     | Y                       | Y                  | Han et al., 2015     |
| c.659-1G>A              | Male   | 10         | 0.3/0.2   | Y  | N                     | Y                       | Y                  | Han et al., 2015     |
| c.43_50dupGACGCAGC      | Male   | 8          | 0.3/0.3   | Y  | N                     | Y                       | Y                  | Han et al., 2015     |
| c.703G> A               | Male   | 29         | 0.3/0.4   | Y  | N                     | Y                       | Y                  | Han et al., 2015     |
| g.24422G>C              | Male   | 34         | 0.3/0.5   | Y  | Mild                  | Y                       | Y                  | Cai et al., 2013     |
| c.943G>T                | Male   | 6 months   | NA        | Y  | Y                     | Y                       | Y                  | Wang et al., 2009    |
| c.266C>T                | Male   | 18         | 0.3/0.4   | Y  | N                     | N                       | N                  | Liu et al., 2007     |
| c.807T>A                | Male   | 42         | 0.2/0.2   | Y  | Mild                  | N                       | Y                  | Janecke et al., 2012 |
| exon 1_2                | Male   | 8          | 0.2/0.2   | Y  | N                     | Mild                    | Y                  | Xiao and Zhang, 2009 |
| c.658+1G>T              | Male   | 7          | 0.1/0.2   | Y  | Mild                  | Y                       | Y                  | Hu et al., 2011      |
| c.849delT               | Male   | 8          | 0.2/0.2   | Y  | N                     | N                       | N                  | Fang et al., 2008    |
| c.238_240delCTC         | Male   | 4          | 0.1/0.1   | Y  | NA                    | NA                      | NA                 | Fang et al., 2008    |
| c.658+1G>A              | Male   | 4          | NA        | Y  | Mild                  | N                       | N                  | Fang et al., 2008    |
| c.353G>A                | Male   | 7          | 0.2/0.2   | Y  | Mild                  | N                       | Y                  | Fang et al., 2008    |
| g.1103_7266del6164bp    | Male   | 12         | 0.2/0.2   | Y  | N                     | N                       | N                  | Fang et al., 2008    |
| g.25985_26546del562bp   | Male   | 4          | ND        | Y  | Mild                  | N                       | Y                  | Fang et al., 2008    |

Y, present; N, absent; NA, not available.

diagnosis is crucial to individual management and correct therapy. Several studies have shown that ultrahigh resolution OCT could detect minor differences in structural changes in the retina between albinism- and *FRMD7*- associated nystagmus (Thomas et al., 2014). Thus, comprehensive fundus examinations (OCT, fundus photograph) and molecular analysis are all required to distinguish between CN caused by *FRMD7* mutations and atypical OA caused by *GPR143* mutations more accurately. Targeted screening will be helpful to substantially increase the detection rate.

We did witness a considerable difference in visual acuity between the *FRMD7*-group and the *GPR143*-group. This result was in keeping with previous observational studies in which patients with *GPR143* mutations suffered more severe

damage to vision, which may be explained by sensory defects in albinism-associated mechanisms, although this was not determined till now. *GPR143* signaling might be essential for the process of RPE pigmentation protecting neurosensory retina. Although no typical albinism symptoms were observed, *GPR143* mutation screening should be required for patients with poor visual function. In contrast to the earlier findings in 2011, comparisons of other clinical characteristics between the two groups are similar in our groups (Kumar et al., 2011).

Thomas et al. has described a lower proportion of AHP in the *FRMD7* group than the non-*FRMD7* group, which was not observed in our study. In addition, the remaining features of strabismus and stereopsis in the different groups here did not exhibit significant differences, which is compatible

with previous studies (Thomas et al., 2008; Kumar et al., 2011). Noticeably, 100% of patients in *FRMD7* group and 61.54% (8/13) by TNO test and 58.33% (7/12) by Titmus test in the non-*FRMD7* group manifested strabismus in the group in which no stereopsis was recorded. This implies that strabismus has a strong adverse effect on binocular visual function. Excluding those with strabismus could be beneficial to improve the reliability of stereopsis phenotype analysis in different groups.

Unexpectedly, subjects with different mutation types exhibited no clear phenotypic-mutant link. In our study, we analyzed the clinical features of all the subjects reported before, but we did not conclude any differences among the non-sense, missense, deletion/insertion, and splice mutation groups. It seems that mutation types do not response to the severity of phenotype, and research by Thomas et al. (2008) also supported this finding. Other factors including the environment, modifier genes, and other unknown factors contributing to modulating the disease, may explain the phenotypic diversity.

In this research, we identified 14 mutations in two different genes, *FRMD7* and *GPR143*, and seven of them are novel. However, we cannot exclude the possibility that mutations are present in non-coding regions of *FRMD7* and *GPR143*. The combination of whole-genome and whole-exon sequencing is needed to identify novel candidate genes or variants in our unsolved cases.

However, several limitations in this study need to be acknowledged. First, it was difficult to obtain peripheral blood from some family members, and family segregation analysis was performed in only three families. Second, we did not re-evaluate patients without perfect clinical information, and the sample size may not be large enough, which might affect the credibility of the genotype-phenotype associations. Finally, the pathogenicity of new mutations was predicted by bioinformatic tools, and further experiments on cells and animals were not been performed in this study given the limited time and cost.

In conclusion, despite the limitations of this study, our research certainly provides another large-scale cohort with CN since the first four cohorts (Tarpey et al., 2006; Thomas et al., 2008; AlMoallem et al., 2015; Choi et al., 2018). For the first time, we compared the phenotypes between groups with *FRMD7* and *GPR143* mutations and reviewed all the patients reported to explore the relationship of mutations and clinical characteristics. Here, 14 mutations were detected in 37.8% (14/37) of the probands (seven familiar cases, seven sporadic cases), seven of which are novel, demonstrating that mutations in *FRMD7* and *GPR143* appear to be two major causative factors

in both X-linked and sporadic cases. Worse visual acuity was observed in patients with *GPR143* mutations than in patients with *FRMD7* mutations. These results broaden the mutation spectrum of *FRMD7* and *GPR143*, and add to our knowledge on Chinese patients with congenital nystagmus, providing new insights for the strategy of precise diagnosis and genetic counseling of CN.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethics Committee of Eye Hospital of Wenzhou Medical University. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

## AUTHOR CONTRIBUTIONS

Z-BJ conceived, supervised the study, and provided funding supports. H-YY and HC evaluated clinical characteristics for the enrolled patients. X-FW, P-JH, Z-KE, Z-QH, XF, X-TX, FH, and R-JS carried out the experiments. X-FW performed the data analysis and wrote the manuscript. Z-BJ and YL revised the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.627295/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Identification of Four Novel Variants and Determination of Genotype–Phenotype Correlations for ABCA4 Variants Associated With Inherited Retinal Degenerations

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**Purpose:** The purpose of the study is to describe the genetic and clinical features of 17 patients with ABCA4-related inherited retinal degenerations (IRDs) and define the phenotype–genotype correlations.

**Methods:** In this multicenter retrospective study, 17 patients from 16 families were enrolled, and ABCA4 gene variants were detected using targeted next-generation sequencing using a custom designed panel for IRDs. Sanger sequencing and co-segregation analysis of the suspected pathogenic variants were performed with the family members. The pathogenicities of variants were evaluated according to the American College of Medical Genetics and Genomics guidelines (ACMG). Protein structure modifications mediated by the variants were studied using bioinformatic analyses.

**Results:** The probands were diagnosed with Stargardt disease 1 (7), cone-rod dystrophy type 3 (8), cone dystrophy (1), and retinitis pigmentosa 19 (1). Onset of symptoms occurred between 5 and 27 years of age (median age = 12.4 years). A total of 30 unique ABCA4 suspicious pathogenic variations were observed, including 18 missense mutations, seven frameshift mutations, two nonsense mutations, one canonical splice site mutation, one small in-frame deletion, and one insertion. Four novel ABCA4 variants were identified. Two novel frameshift variants, c.1290dupC (p.W431fs), and c.2967dupT (G990fs), were determined to be pathogenic. A novel missense variant c.G5761T (p.V1921L) was likely pathogenic, and another novel missense c.C170G (p.P57R) variant was of undetermined significance. All ABCA4 variants tested in this study inordinately changed the physico-chemical parameters and structure of protein based on *in silico* analysis.

**Conclusion:** ABCA4-related IRD is genetically and clinically highly heterogeneous. Four novel ABCA4 variants were identified. This study will expand the spectrum of disease-causing variants in ABCA4, which will further facilitate genetic counseling.

**Keywords:** ABCA4, inherited retinal degeneration, Stargardt diseases, cone-rod dystrophy, photoreceptor degeneration

## INTRODUCTION

Inherited retinal degenerations (IRDs) are a group of blinding diseases that cause severe impairments of visual functions such as visual acuity and visual field. More than 270 disease-causing genes are associated with IRD (RetNet)<sup>1</sup>. Among them, ABCA4 is the most frequently identified gene (Kim et al., 2019; Pontikos et al., 2020). Mutations in the ABCA4 gene can cause various retinal diseases such as autosomal recessive (ar)-Stargardt disease (STGD1, OMIM # 248200), ar-cone-rod dystrophy (CORD3, OMIM # 604116), ar-cone dystrophy (COD), ar-retinitis pigmentosa (RP19, OMIM # 601718), and age-related macular degeneration-2 (ARMD2, OMIM # 153800) (van Driel et al., 1998; Baum et al., 2003; Burke and Tsang, 2011; Xin et al., 2015; Wang et al., 2018; Koyanagi et al., 2019).

ATP-binding cassette subfamily A member 4, denoted as ABCA4 (OMIM #601691), was first cloned by Allikmets et al. (Allikmets et al., 1997). The gene contains 50 exons, and it maps to position 22.1 (1p22.1) of the short (p) arm of chromosome 1 (Nasonkin et al., 1998). ABCA4 gene encodes a transmembrane protein that is exclusively expressed in photoreceptors and retina pigment epithelial cells (Lenis et al., 2018). The protein is composed of two non-equivalent tandem halves, and each half contains six transmembrane helices, a glycosylated extracytoplasmic domain (ECD) in the endolysosomes or disks, and a nucleotide binding domain in the cytoplasm. ATP-binding cassette transporter unidirectionally flips various compounds obtained from enzyme-catalyzed reactions of the visual cycle to the cytoplasm using the energy released from ATP hydrolysis (Kos and Ford, 2009; Quazi et al., 2012; Lenis et al., 2018). The misfolding and loss of functional activity of the transporter, caused by gene mutations, lead to accumulation of toxic substances such as all-trans-retinal and 11-cis-retinal in the outer segment of photoreceptor cells. Following diurnal phagocytosis of the distal outer segment of photoreceptors, excessive deposition of secondary toxic products, such as bisretinoid, eventually leads to the death of retinal pigment epithelium (Young and Bok, 1969; Weng et al., 1999; Quazi and Molday, 2014; Lenis et al., 2018). Different ABCA4 mutations lead to a broad range of IRD phenotypes (Rozet et al., 1998; van Driel et al., 1998; Xu et al., 2014; Garcés et al., 2018). Phenotype severity mainly depends on the degree of influence of variations on protein functions (Fujinami et al., 2015).

Rapid advances in high-throughput next-generation sequencing technology have enabled an efficient and credible detection of gene mutations (Glöckle et al., 2014; Rong et al., 2018). Currently, 1,467 ABCA4 gene variants, containing a broad spectrum of IRD phenotypes, are present in the Human Gene Mutation Database<sup>2</sup> (updated on April, 2019). However, the pathogenicities of numerous reported variants have not been

elucidated yet, making their accurate clinical diagnoses difficult, let alone those of novel variants. Additionally, the analyses of genotype–phenotype correlations for the highly heterogeneous variants and clinical features are a challenge.

Therefore, we conducted this retrospective study to determine the pathogenicities of ABCA4 gene variants and novel genotype–phenotype correlations.

## MATERIALS AND METHODS

### Ethical Approval

This multicenter retrospective study was conducted at the Henan Eye Hospital and the Ningxia Eye Hospital. The study was approved by the Medical Ethics Committees of both institutions, and it was conducted in accordance with the 1975 Declaration of Helsinki guidelines. Written informed consent was obtained from all included subjects or their guardians.

### Subjects

Seventeen patients with retinal degeneration, carrying the ABCA4 gene variants and belonging to 16 unrelated Chinese families, were recruited in the study from ophthalmic clinics. Of these, 11 patients were enrolled from Henan Eye Hospital (B1–11) and six patients from Ningxia Eye Hospital (A1–6). Family history, if available, was obtained from the patients and unaffected family members. Detailed clinical data, including age of onset, disease duration, best-corrected visual acuity (BCVA), and result of color vision testing, fundus photography, fundus autofluorescence imaging, fundus fluorescein angiography, optical coherence tomography, and full-field electroretinogram, were collected. Diagnostic criteria were adapted from previous studies (Fishman, 1976; Hamel, 2007; Sahel et al., 2014; Aboshiha et al., 2016; Verbakel et al., 2018). Retina specialists performed phenotype subgroup classification on all 17 included subjects.

### Mutation Screening

Whole genomic DNA was extracted from the peripheral blood of the subjects using the TIANamp Blood DNA kit DP318 (TIANGEN, Beijing, China) according to the manufacturer's protocol. A custom-designed posterior segment gene detection kit (PS400), containing 376 known causative IRD genes, their coding exons, flanking intronic sequences (50 bp), and all known intron mutations, was used for mutation screening. Sequence reads were aligned to the reference human genome (GRCh37/hg19) from the UCSC Genome Browser (Kent et al., 2002)<sup>3</sup> using the XYGeneRanger2.0 software. Suspicious disease-relevant gene variants were routinely confirmed using Sanger sequencing, and co-segregation analyses were conducted for all affected families.

### In silico Analysis

All variants were classified according to the American College of Medical Genetics and Genomics (ACMG) standards and guidelines (Richards et al., 2015). To exclude the possibility of non-pathogenic polymorphism, the frequency of variations

<sup>1</sup><https://sph.uth.edu/retnet/>

<sup>2</sup><http://www.hgmd.cf.ac.uk/ac/index.php>

**Abbreviations:** IRDs, inherited retinal degenerations; ar, autosomal recessive; ACMG, American College of Medical Genetics and Genomics; ECD, extracytoplasmic domain; BCVA, best-corrected visual acuity.

<sup>3</sup><http://genome.ucsc.edu/>

in the healthy control population was determined using the 1,000 Genomes Project (1,000 Genomes)<sup>4</sup> and the Genome Aggregation Database<sup>5</sup>. A variant was classified as benign if its minor allele frequency was  $\geq 0.005$ . Prediction algorithms of the programs Polyphen-2<sup>6</sup>, SIFT<sup>7</sup>, PROVEAN<sup>8</sup>, CADD<sup>9</sup>, FATHMM (Shihab et al., 2013)<sup>10</sup>, and MutationTaster<sup>11</sup> were used to test variants for disease relevance. Clustal Omega<sup>12</sup> was used to analyze the conservative loci. For the variants of uncertain significance. Human Splicing Finder (Desmet et al., 2009)<sup>13</sup> and Project HOPE (Venselaar et al., 2010)<sup>14</sup> were used to predict the splicing defects and structural effects of variants.

## RESULTS

Clinical characteristics of patients are summarized in **Table 1**. Seven subjects were diagnosed with STGD1 (7/17, 41.2%), eight with CORD3 (8/17, 47%), one with RP19 (1/17, 5.9%), and one

with COD (1/17, 5.9%). Hypopsia was the chief complaint in all 17 patients. The age of onset of diseases in patients ranged from 5 to 27 years with a median age of 12.4 years. The BCVA of the most recent evaluation ranged from 0.3 to 0.02 across the group. One RP19 patient (A1) and three patients with cone/cone-rod dystrophy had color vision disorders (A2, A4, and A5), all others possessed normal color vision.

Genotypic and phenotypic variations were observed in three patients, and others possessed a typical ABCA4-associated disease phenotype. Patient A1 was asymptomatic until 27 years of age. The patient was diagnosed with retinitis pigmentosa along with BCVA of 0.3 bilaterally. The patient carried heterozygous mutations for both ABCA4 (NM\_000350: c.G2473A, p.G825R and c.G673A, p.V225M) and AHI1 (NM\_001134830: c.G3267A, p.W1089X and c.T1979G, p.L660R), and color-vision testing revealed errors in the red-green axis. The cone-rod dystrophy patient (B1), who carried compound heterozygous variants (NM\_000350: c.A2894G, p.N965S and c.2063dupA, p.N688fs) in the ABCA4 gene, complained of visual defects with strabismus for 25 years. Her latest BCVA was 0.05 bilaterally. Retinal degenerative features were observed in the fundus, and exposure of the underlying sclera was observed in the fovea of both eyes. Spectral domain optical coherence tomography scans through the fovea revealed retinal rupture with a subretinal cavitation in the fovea of the left eye (**Figure 1**). Patient B4, with two heterozygous missense mutations in ABCA4, had blurred vision in both eyes for 10 years, and fundus autofluorescence exhibited diffuse patches of macular atrophy with nascent fleck development in the mid-periphery (**Figure 1**), which is similar

**TABLE 1** | Clinical characteristics, including ABCA4 variations, of the 17 patients in the study.

| Patient         | Diagnosis | Onset (y) | DD (y) | BCVA      | ffERG <sup>b</sup> |            | ABCA4 Variation(s)                         |
|-----------------|-----------|-----------|--------|-----------|--------------------|------------|--|
|                 |           |           |        |           | OD/OS              | Rods Cones |  |
| A1              | RP19      | 27        | 7      | 0.3/0.3 + | NA                 | NA         | c.G2473A; c.G673A                          |
| B1              | CORD3     | 13        | 25     | 0.05/0.05 | ↓↓↓                | ↓↓↓        | c.A2894G; c.2063dupA                       |
| B2              | CORD3     | 20        | 13     | 0.04/0.05 | ↓↓                 | ↓↓↓        | c.A2894G; c.1290dupC                       |
| B3              | CORD3     | 5         | 2      | 0.05/0.05 | ↓↓↓↓               | ↓↓↓↓       | c.2967dupT; c.T4748C                       |
| B4              | CORD3     | 17        | 10     | 0.06/0.05 | ↓                  | ↓↓         | c.C3322T; c.G1648A                         |
| B5              | CORD3     | 12        | 6      | 0.05/0.02 | ↓                  | ↓↓         | c.A2894G; c.G3106A; c.A983T                |
| A2              | CORD3     | 9         | 1      | 0.15/0.15 | ↓↓                 | ↓↓         | 1761-2A > G; c.C5512T                      |
| A3              | CORD3     | 5         | 17     | 0.06/0.02 | ↓↓↓↓               | ↓↓↓↓       | c.170_171insAA; c.C170G                    |
| A4              | CORD3     | 10        | 10     | 0.05/0.15 | NA                 | NA         | c.1006delT; c.618_619insAAGGACATCGCCTGCAGC |
| A5              | COD       | 13        | 4      | 0.15/0.12 | ↓                  | ↓          | c.A2894G; c.A1034G                         |
| B6 <sup>a</sup> | STGD1     | 12        | 5      | 0.05/0.05 | ↓↓                 | ↓↓↓        | c.T1035G; c.A1034C                         |
| B7 <sup>a</sup> | STGD1     | 12        | 0      | 0.05/0.05 | ↓                  | ↓↓         | c.T1035G; c.A1034C                         |
| B8              | STGD1     | 11        | 1      | 0.05/0.07 | NA                 | NA         | c.C6118T; c.101_106del                     |
| B9              | STGD1     | 6         | 10     | 0.04/0.05 | NA                 | NA         | c.4203delC; c.C203T                        |
| B10             | STGD1     | 10        | 2      | 0.08/0.1  | Normal             | ↓↓↓        | c.1561delG; c.1760G > A                    |
| B11             | STGD1     | 12        | 10     | 0.08/0.06 | NA                 | NA         | c.C5593T; c.G5761T; c.G3106A; c.C5318T     |
| A6              | STGD1     | 17        | 4      | 0.12/0.12 | Normal             | ↓          | c.C4070T; c.C4070T                         |

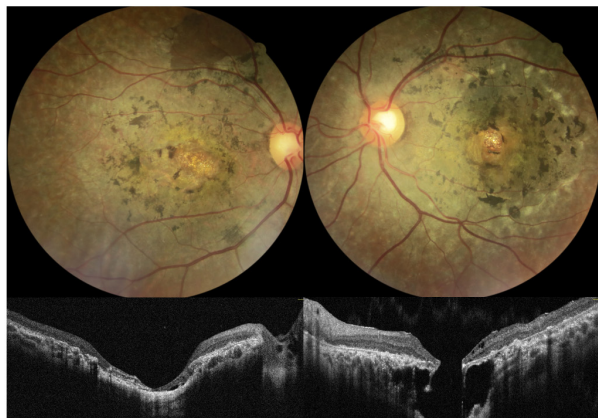
y, years; DD, disease duration; OD, right eye; OS, left eye; ffERG, full-field electroretinogram; RP19, retinitis pigmentosa 19; CORD3, cone-rod dystrophy 3; COD, cone dystrophy; STGD1, Stargardt disease 1; NA, not available; ↓↓↓, severely attenuated; ↓↓, moderate-severely attenuated; ↓, moderately/mild-moderately attenuated; ↓, mildly attenuated.

<sup>a</sup>Patients B4 and B5 are siblings.

<sup>b</sup>The right eye.

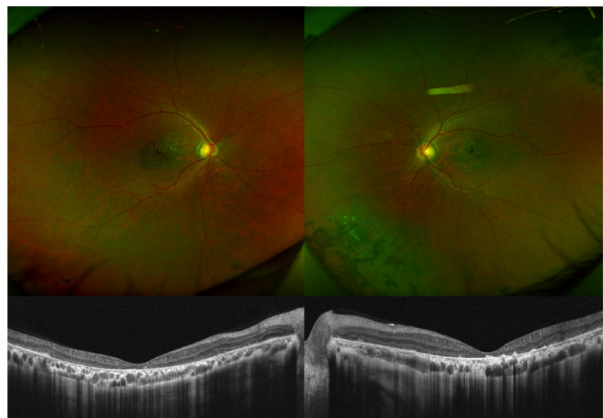
Patient B1, CORD3, 38y, 0.05/0.05

*ABCA4*: [c.A2894G, p.N965S]; [c.2063dupA, p.N688fs]

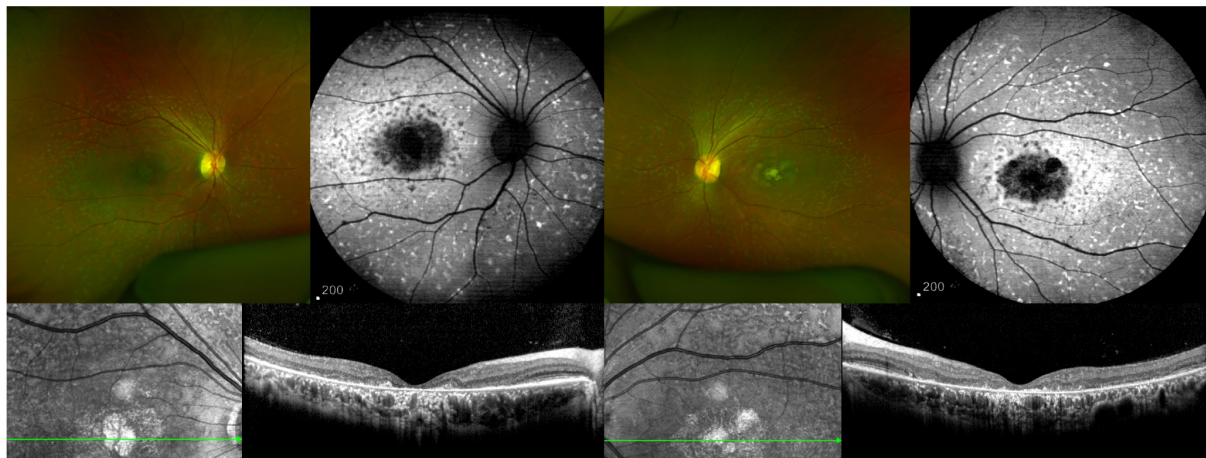


Patient B2, CORD3, 33y, 0.04/0.05

*ABCA4*: [c.A2894G, p.N965S]; [c.1290dupC, p.W431fs]



Patient B4, CORD3, 27y, 0.06/0.05, *ABCA4*: [c.C3322T, p.R1108C]; [c.G1648A, p.G550R]



**FIGURE 1** | Different *ABCA4* mutations lead to a broad range of phenotypes of cone-rod dystrophy. Fundus of patient B1 exhibited a relatively pale and blonde appearance due to exposure of the underlying sclera and nummular pigmentary deposition in the fovea of both eyes. The fundus retinal pigmentation in patient B2 was mainly distributed in the mid-periphery. Wide-field color fundus photograph of patient B4 demonstrated diffuse pisciform flecks throughout the posterior pole, macular atrophy, and no obvious retinal pigmentation.

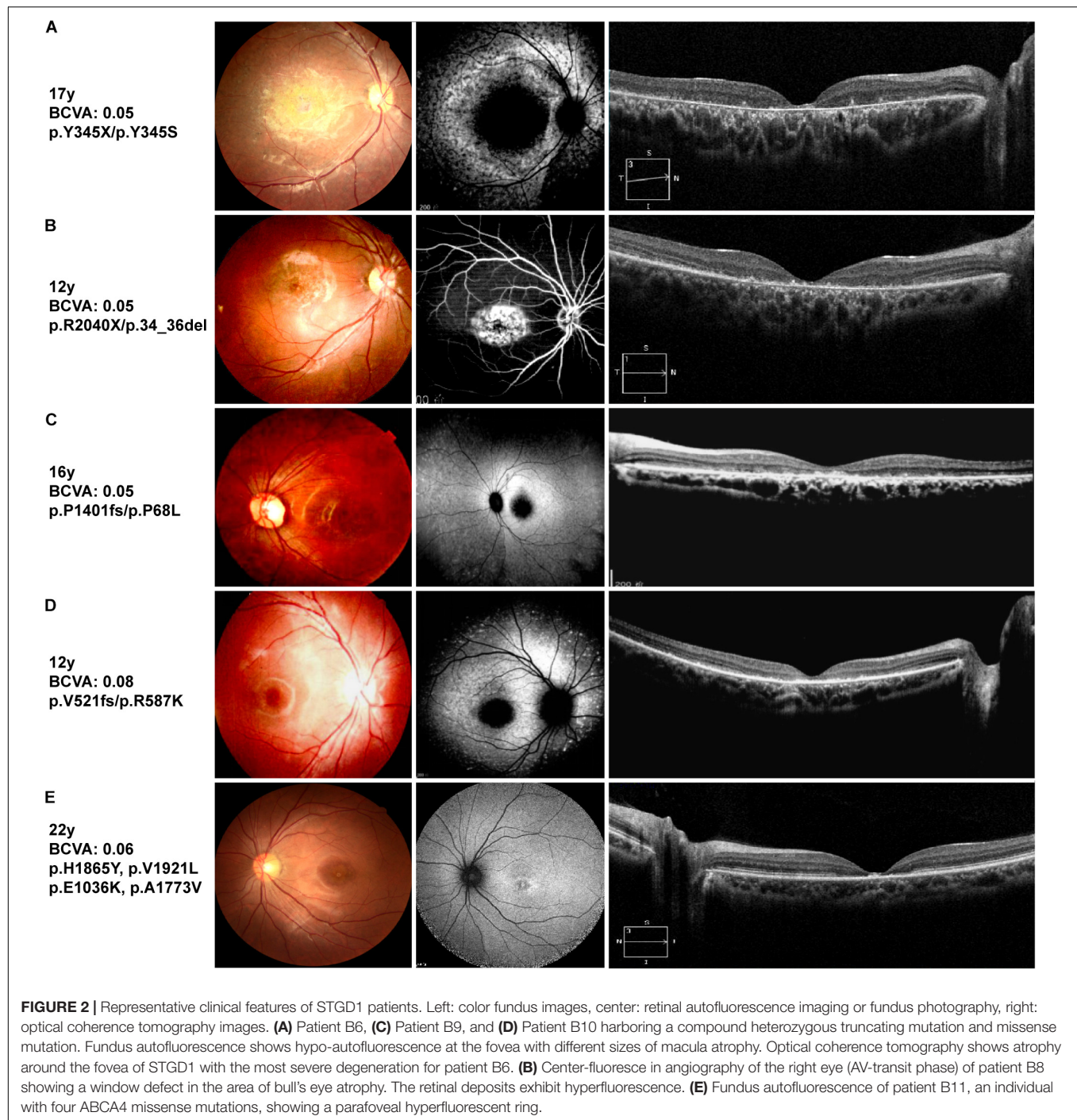
to the *ABCA4* phenotype in the early stage of rapid-onset chorioretinopathy (Tanaka et al., 2018). Additionally, the STGD1 patients demonstrated typical fundus manifestations of macular atrophy with miscellaneous sizes (Figure 2).

We detected a total of 30 unique *ABCA4* variants, including missense mutations (18), frameshift mutations (7), non-sense mutations (2), a splice site mutation (1), a small deletion (1), and an insertion (1). The 17 individuals, including one sibling pair, with at least two variants in the *ABCA4* gene belonged to 16 families. Of the 30 rare *ABCA4* variants, 24 were classified as pathogenic or likely pathogenic, and six were of uncertain significance. The pathogenicity of other mutated genes, except for the *AHI1* in patient A1, was ruled out by phenotypic analysis. Frameshift mutations [c.1290dupC (p.W431fs) and c.2967dupT (G990fs)] and missense mutations [c.C170G (p.P57R) and c.G5761T (p.V1921L)] were novel unpublished variants (Table 2). The missense mutation c.A2894G

(p.N965S) was detected four times without any exception in patients with cone/cone-rod dystrophy. The amino acid changes were primarily concentrated in ECD1 (47%, 14/30), and the amino acid variants were randomly distributed (Figure 3). Moreover, the HOPE online software revealed that all missense variants in this study inordinately changed the physico-chemical parameters or structure of *ABCA4* (Appendix 1).

## DISCUSSION

We identified four novel variants of the *ABCA4* gene (Figure 4). According to ACMG standards and guidelines, two novel *ABCA4* frameshift variants were pathogenic, one missense variant c.G5761T (p.V1921L) was likely pathogenic, and another missense variant c.C170G (p.P57R) was a variant of uncertain significance (Appendix 2).



The well-conserved VFFVNF motif (amino acids 2244–2249) present within the C-terminal of ABCA4 enables folding of the polypeptide into a functionally active protein (Zhong et al., 2009). Deletion of the C-terminal domain, including the VFFVNF motif, leads to a loss of ABCA4 functions, such as N-retinylidene-phosphatidylethanolamine substrate binding, ATP photoaffinity labeling, retinal-stimulated ATPase activity, and nucleotide binding domain interactions (Zhong et al., 2009; Patel et al., 2019). All frameshift and nonsense mutations of

ABCA4 caused a removal of the C-terminal conserved sequence VFFVNF in the polypeptide. The downstream sequence of the VFFVNF motif also plays a role in regulating the functions of ABCA4 (Zhong et al., 2009). Protein-truncating mutations in ABCA4 leads to truncated protein products or protein loss due to nonsense-mediated RNA decay (Khajavi et al., 2006; Makelainen et al., 2019). Hence, the frameshift and nonsense ABCA4 mutations, which are defined as pathogenic according to ACMG guidelines, may have a great impact on gene expression

**TABLE 2 |** Pathogenicity analyses of ABCA4 (NM\_000350) variants in the 17 Chinese patients.

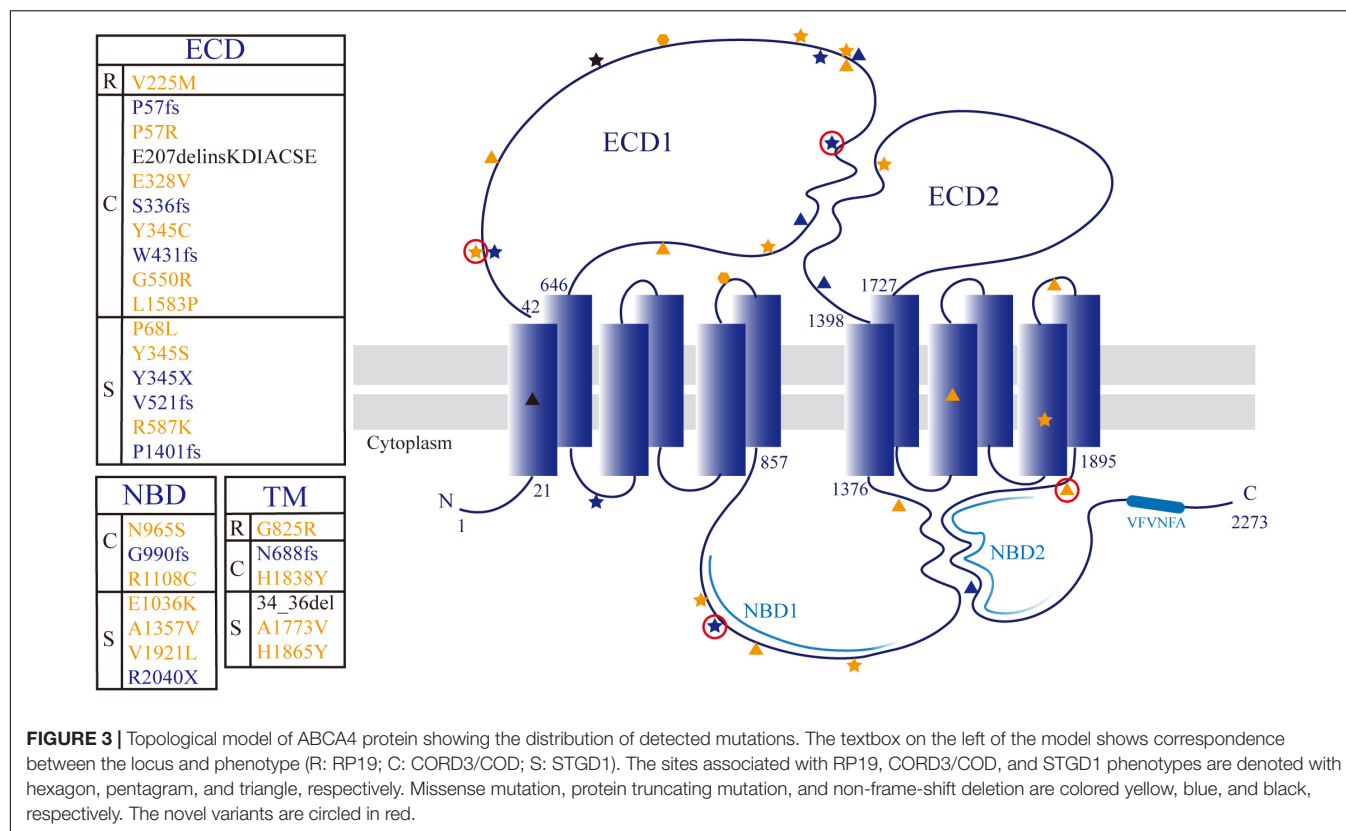
| Proband | Exon/<br>Intron | Nucleotide<br>change                   | Protein<br>Variant      | Polyphen <sup>c</sup><br>(Score) | SIFT<br>(Score) | Online Prediction       |                                    |                     |                                       |                                   |                 | Source <sup>f</sup>   | Category |
|---------|-----------------|--|-------------------------|----------------------------------|-----------------|-------------------------|------------------------------------|---------------------|---------------------------------------|-----------------------------------|-----------------|---|----------|
|         |                 |  |                         |                                  |                 | PROVEAN<br>(Score)      | CADD_Phred<br>(Score) <sup>d</sup> | FATHMM<br>(Score)   | Mutation<br>Taster                    | MAF in<br>gnomAD <sup>e</sup> (%) | MAF in<br>1000G |   |          |
| A1      | 16              | c.G2473A                               | p.G825R                 | B (0.022)                        | T(0.411)        | Neutral<br>(−1.139)     | 12.55                              | Damaging<br>(−2.4)  | DC                                    | 0.0208                            | NA              | rs368367104   | VUS      |
|         | 6               | c.G673A                                | p.V225M                 | PrD (0.965)                      | D(0.006)        | Neutral<br>(−1.336)     | 17.34                              | Damaging<br>(−2.9)  | DC                                    | 0.2807                            | —               |   | VUS      |
| B1      | 19              | c.A2894G                               | p.N965S                 | PrD (0.961)                      | D(0.012)        | Deleterious<br>(−4.624) | 25.9                               | Damaging<br>(−3.59) | DC                                    | 0.04009                           | NA              | Koyanagi<br>et al., 2019<br>Rosenberg<br>et al., 2007<br>Xu et al.,<br>2014     | P        |
|         | 14              | c.2063dupA                             | p.N688fs                | —                                | —               | —                       | —                                  | —                   | DC(NMD)                               | NA                                | NA              |   | P        |
| B2      | 19              | c.A2894G                               | p.N965S                 | PrD (0.961)                      | D(0.012)        | Deleterious<br>(−4.624) | 25.9                               | Damaging<br>(−3.59) | DC                                    | 0.04009                           | NA              | Rosenberg<br>et al., 2007<br>Novel  | P        |
|         | 10              | c.1290dupC                             | p.W431fs                | —                                | —               | —                       | —                                  | —                   | DC(NMD)                               | NA                                | NA              |   | P        |
| B3      | 20              | c.2967dupT                             | p.G990fs                | —                                | —               | —                       | —                                  | —                   | DC(NMD)                               | NA                                | NA              | Novel<br>Kim et al.,<br>2019  | P        |
|         | 33              | c.T4748C                               | p.L1583P                | PoD (0.878)                      | D(0.002)        | Deleterious<br>(−4.466) | 17.4                               | Damaging<br>(−2.89) | DC                                    | 0.01087                           | NA              |   | VUS      |
| B4      | 22              | c.C3322T                               | p.R1108C                | PrD (0.937)                      | D(0.000)        | Deleterious<br>(−7.002) | 25.8                               | Damaging<br>(−3.73) | DC                                    | 0.005013                          | 0.0599          | Rozet et al.,<br>1998   | LP       |
|         | 12              | c.G1648A                               | p.G550R                 | PoD (0.523)                      | D(0.003)        | Deleterious<br>(−5.955) | 18.07                              | Damaging<br>(−5.12) | DC                                    | 0.000                             | NA              |   | LP       |
| B5      | 19              | c.A2894G                               | p.N965S                 | PrD (0.961)                      | D(0.012)        | Deleterious<br>(−4.624) | 25.9                               | Damaging<br>(−3.59) | DC                                    | 0.04009                           | NA              | Rosenberg<br>et al., 2007<br>Nasonkin<br>et al., 1998<br>Rivera<br>et al., 2000 | P        |
|         | 21              | c.G3106A                               | p.E1036K                | B (0.010)                        | T(0.681)        | Neutral<br>(−0.820)     | 7.787                              | Damaging<br>(−3.16) | DC                                    | 0.01631                           | NA              |   | LP       |
|         | 8               | c.A983T                                | p.E328V                 | B (0.236)                        | D(0.014)        | Deleterious<br>(−4.199) | 15.46                              | Damaging<br>(−2.83) | DC                                    | 0.005437                          | NA              |   | VUS      |
| A2      | IVS12           | 1761−2A > G                            | —                       | —                                | —               | —                       | 21.9                               | —                   | Acceptor<br>splice sites<br>abolished | 0.005472                          | NA              | Jiang et al.,<br>2016<br>Lewis et al.,<br>1999                                  | P        |
|         | 39              | c.C5512T                               | p.H1838Y                | PrD (0.989)                      | D(0.001)        | Deleterious<br>(−4.625) | 27.3                               | Damaging<br>(−2.2)  | DC                                    | NA                                | NA              |   | LP       |
| A3      | 3               | c.170_171insAA                         | p.P57fs                 | —                                | —               | —                       | —                                  | —                   | DC(NMD)                               | NA                                | NA              | Rong et al.,<br>2018<br>Novel   | P        |
|         | 3               | c.C170G                                | p.P57R                  | PoD(0.602)                       | D(0.006)        | Deleterious<br>(−5.667) | 23                                 | Damaging<br>(−5.02) | DC                                    | NA                                | NA              |   | VUS      |
| A4      | 8               | c.1006delT                             | p.S336fs                | —                                | —               | —                       | —                                  | —                   | DC(NMD)                               | NA                                | NA              | Hu et al.,<br>2019<br>Tian et al.,<br>2016                                      | P        |
|         | 6               | c.618_619ins<br>AAGGACAT<br>CGCCTGCAGC | p.E207delins<br>KDIACSE | —                                | —               | Deleterious<br>(−7.314) | —                                  | —                   | p                                     | NA                                | NA              |   | LP       |

(Continued)

TABLE 2 | Continued

| Online Prediction |                 |                      |                    |                                  |                 |                          |                                    |                     |                    |                                   |                 |                                    |          |
|-------------------|-----------------|----------------------|--------------------|----------------------------------|-----------------|--------------------------|------------------------------------|---------------------|--------------------|-----------------------------------|-----------------|------------------------------------|----------|
| Proband           | Exon/<br>Intron | Nucleotide<br>change | Protein<br>Variant | Polyphen <sup>c</sup><br>(Score) | SIFT<br>(Score) | PROVEAN<br>(Score)       | CADD_Phred<br>(Score) <sup>d</sup> | FATHMM<br>(Score)   | Mutation<br>Taster | MAF in<br>gnomAD <sup>e</sup> (%) | MAF in<br>1000G | Source <sup>f</sup>                | Category |
| A5                | 19              | c.A2894G             | p.N965S            | PrD (0.961)                      | D(0.012)        | Deleterious<br>(−4.624)  | 25.9                               | Damaging<br>(−3.59) | DC                 | 0.04009                           | NA              | Rosenberg<br>et al., 2007          | P        |
|                   | 8               | c.A1034G             | p.Y345C            | PoD(0.808)                       | D(0.002)        | Deleterious<br>(−4.541)  | 21.6                               | Damaging<br>(−2.77) | DC                 | NA                                | NA              | rs1417184535                       | LP       |
| B6a               | 8               | c.T1035G             | p.Y345X            | —                                | —               | —                        | 36                                 | —                   | DC(NMD)            | NA                                | NA              | Wang et al.,<br>2018               | P        |
|                   | 8               | c.A1034C             | p.Y345S            | B (0.035)                        | D(0.003)        | Deleterious<br>(−4.529)  | 16.5                               | Damaging<br>(−2.7)  | DC                 | NA                                | NA              | Jiang et al.,<br>2016              | LP       |
| B7a               | 8               | c.T1035G             | p.Y345X            | —                                | —               | —                        | 36                                 | —                   | DC(NMD)            | NA                                | NA              | Wang et al.,<br>2018               | P        |
|                   | 8               | c.A1034C             | p.Y345S            | B (0.035)                        | D(0.003)        | Deleterious<br>(−4.529)  | 16.5                               | Damaging<br>(−2.7)  | DC                 | NA                                | NA              | Jiang et al.,<br>2016              | LP       |
| B8                | 44              | c.C6118T             | p.R2040X           | —                                | —               | —                        | 36                                 | —                   | DC(NMD)            | 0.01504                           | NA              | Baum<br>et al., 2003               | P        |
|                   | 2               | c.101_106del         | p.34_36del         | —                                | —               | Deleterious<br>(−16.717) | —                                  | —                   | DC                 | 0.02719                           | NA              | Huang<br>et al., 2018              | P        |
| B9                | 28              | c.4203delC           | p.P1401fs          | —                                | —               | —                        | —                                  | —                   | DC(NMD)            | NA                                | NA              | Jiang et al.,<br>2016              | P        |
|                   | 3               | c.C203T              | p.P68L             | PoD(0.826)                       | D(0.003)        | Deleterious<br>(−6.677)  | 31                                 | Damaging<br>(−7.45) | DC                 | 0.000                             | NA              | Rivera<br>et al., 2000             | LP       |
| B10               | 12              | c.1561delG           | p.V521fs           | —                                | —               | —                        | —                                  | —                   | DC(NMD)            | 0.005437                          | NA              | Xin et al.,<br>2015                | P        |
|                   | 12              | c.1760G > A          | p.R587K            | B (0.270)                        | T(0.510)        | Neutral<br>(−1.224)      | 10.66                              | Damaging<br>(−4.03) | DC                 | NA                                | NA              | Fujinami<br>et al., 2015           | LP       |
| B11               | 40              | c.C5593T             | p.H1865Y           | B (0.006)                        | T(0.903)        | Neutral<br>(−0.888)      | 0.006                              | Damaging<br>(−2.63) | p                  | 0.1631                            | —               | rs201707267                        | VUS      |
|                   | 41              | c.G5761T             | p.V1921L           | PoD(0.625)                       | D(0.003)        | Deleterious<br>(−2.696)  | 27.9                               | Damaging<br>(−3.66) | DC                 | NA                                | NA              | Novel                              | LP       |
|                   | 21              | c.G3106A             | p.E1036K           | B (0.01)                         | T(0.681)        | Neutral<br>(−0.820)      | 7.787                              | Damaging<br>(−3.16) | DC                 | 0.01631                           | NA              | Nasonkin<br>et al., 1998           | LP       |
|                   | 38              | c.C5318T             | p.A1773V           | PoD(0.892)                       | D(0.044)        | Deleterious<br>(−3.030)  | 21.3                               | Damaging<br>(−1.73) | DC                 | 0.005437                          | NA              | Chacon-<br>Camacho<br>et al., 2013 | P        |
| A6                | 27              | c.C4070T             | p.A1357V           | PoD(0.889)                       | D(0.001)        | Deleterious<br>(−3.710)  | 29.4                               | Damaging<br>(−3.36) | DC                 | NA                                | NA              | Noupuu<br>et al., 2016             | LP       |
|                   | 27              | c.C4070T             | p.A1357V           | PoD(0.889)                       | D(0.001)        | Deleterious<br>(−3.711)  | 29.4                               | Damaging<br>(−3.36) | DC                 | NA                                | NA              | Noupuu<br>et al., 2016             | LP       |

MAF, minor allele frequency; B, benign; PrD, probably damaging; PoD, possibly damaging; T, tolerated; D, deleterious (<0.05); DC, disease causing; NMD, nonsense-mediated decay; p, polymorphism; NA, not applicable; —, not done; VUS, uncertain significance; LP, likely pathogenic; P, pathogenic. <sup>a</sup>Siblings. <sup>c</sup>HumVar. <sup>d</sup>The threshold level was set to 10. <sup>e</sup>Refer to the frequency in East Asian population. <sup>f</sup>Publication or in dbSNP.

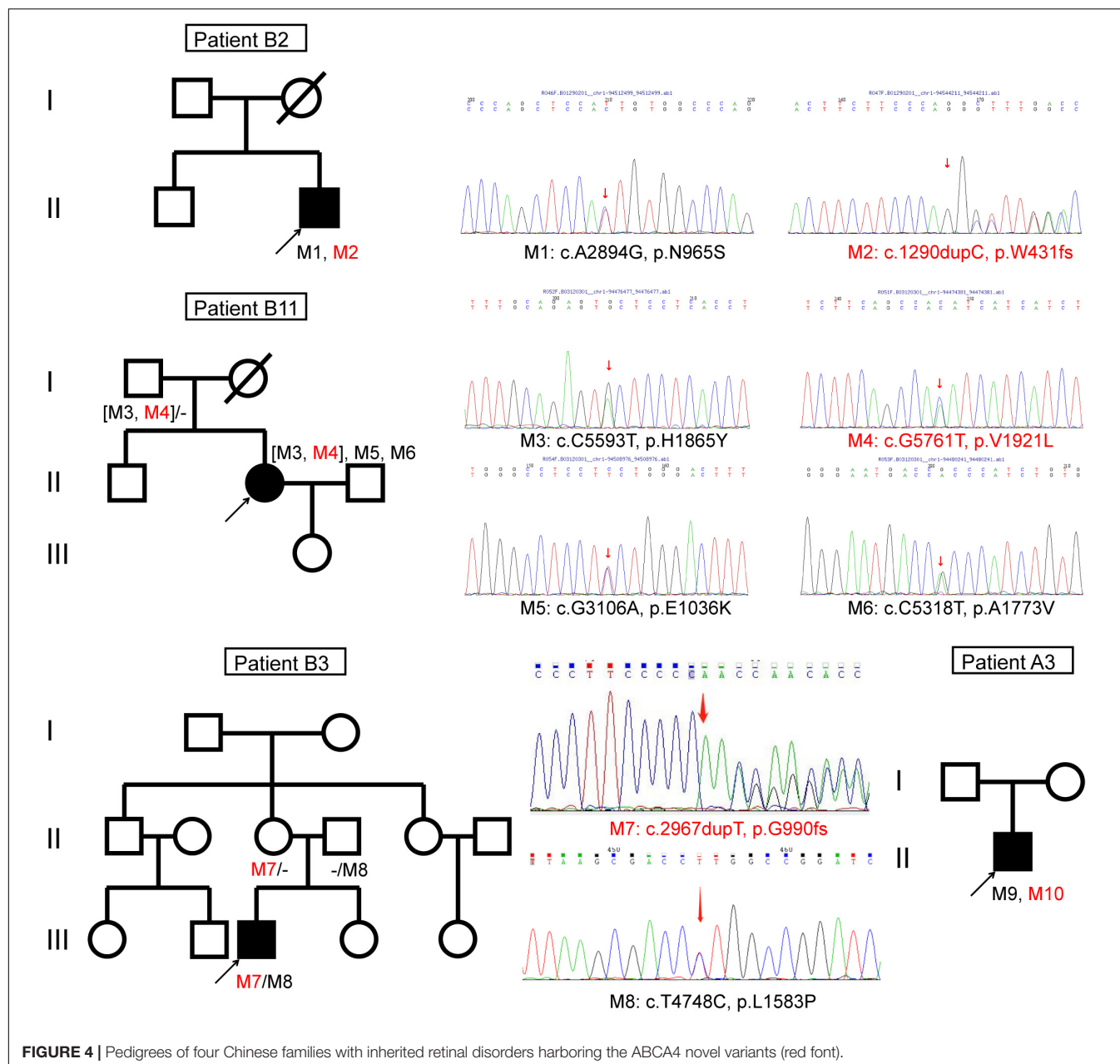


and protein functions (Table 2). The allele frequencies of the small deletion mutation c.101\_106del (p.34\_36del) in two Chinese patient cohorts were 3.1% (Jiang et al., 2016) and 10.5% (Hu et al., 2019). This mutation, located in the transmembrane domain, is predicted to break the transmembrane helical structure, leading to ABCA4 transport dysfunction. The variant c.618\_619insAAGGACATCGCCTGCAGC (p.E207delinsKDIACSE) inserted six amino acids in ECD1 of ABCA4, which was predicted to make ECD1 more hydrophobic and alter the protein structure. The mutation is associated with STGD1 disease (Tian et al., 2016).

The majority of identified ABCA4 sequence variants are missense mutations. Therefore, analysis of ABCA4-associated retinal degeneration is difficult. Additionally, determination of the pathogenicity of a particular variant is difficult. Testing the phenotypic associations of all amino acid substitutions along with experimental characterizations of their effects on protein functions would be extremely expensive and time consuming. Therefore, an online study of their putative effects would be conducive to prioritize the most probable disease-causing variations associated with these diseases. To further study the pathogenesis and possibility of amino acid substitutions that damage the protein, we analyzed the effects of variants on the protein using an online software (Appendix 1). Biochemical studies on ABCA4 missense mutations have reported that insertion of charged amino acids in transmembrane domains leads to a decrease in protein expression (Sun et al., 2000). Apart from the variations located in nucleotide-binding domains

(Sun et al., 2000; Ahn et al., 2003), the missense mutations of ABCA4 gene, in or close to the transmembrane region, causing protein conformational changes can impair azido-ATP labeling to the nucleotide binding domains (Sun et al., 2000; Garces et al., 2018). Three cone-rod dystrophy patients (B1, B2, and B5) and one cone dystrophy patient (A5) harbored the missense mutation c.A2894G (p.N965S). The prevalence of the c.A2894G (p.N965S) mutation was higher in STGD1-affected individuals than in controls (Rosenberg et al., 2007; Jiang et al., 2016; Hu et al., 2019). Therefore, we hypothesized that patients carrying c.A2894G (p.N965S) might more likely manifest cone-rod dystrophy as the disease progresses, although the early stage may be an STGD1-like phenotype. The mutation, which is a replacement of asparagine with serine, resides in the conserved WalkerA sequence of nucleotide binding domain 1, which participates in nucleotide binding (Tsybovsky et al., 2013). An *in vivo* experiment concluded that p.N965S mutation causes a loss of substrate-dependent ATPase activity of ABCA4 and protein misfolding (Molday et al., 2018).

Patient B11 harbored four unique ABCA4 gene missense variants. The variant c.C5593T (p.H1865Y) and a novel variant c.G5761T (p.V1921L) were inherited from his healthy father. The missense variant c.G5761T (p.V1921L), adjacent to the transmembrane region, was likely pathogenic according to ACMG standards (Table 2). The variant c.C5593T (p.H1865Y), which has not been reported to date, was predicted as “tolerated” using a five-function prediction software. The other two missense mutations c.G3106A (p.E1036K) and c.C5318T (p.A1773V)



**FIGURE 4 |** Pedigrees of four Chinese families with inherited retinal disorders harboring the ABCA4 novel variants (red font).

were classified as STGD1 disease-related variants (Rivera et al., 2000; Chacon-Camacho et al., 2013). The variant c.C5318T (p.A1773V) was conserved and located in the transmembrane domain of the ABCA4 protein. The allele frequency of this mutation in an STGD1 patient cohort is significantly higher than that in the control group (Chacon-Camacho et al., 2013). According to bioinformatic analysis, it was disease causing. Homozygous p.Ala1773Val mutation leads to a severe phenotype, which is similar to that of patients harboring null ABCA4 variants (Lopez-Rubio et al., 2018). However, the fundus autofluorescence of patient B11 did not reveal extensive retinal atrophy (Figure 2), which may be related to the heterozygous state of the c.C5318T (p.A1773V) mutation. The trans variant

may be a “milder” mutation. Patient A6 harbored a highly conserved ABCA4 homozygous mutation c.C4070T (p.A1357V), which was revealed to be consistently pathogenic using the six-function software. A patient harboring compound heterozygous variants of p.A1357V and p.G1961E has been reported to possess a foveal sparing (Noupuu et al., 2016). The p.G1961E mutation is usually associated with a late onset and mild phenotype (Lewis et al., 1999; Burke et al., 2012). Patient A6 was asymptomatic until 17 years of age, and the phenotype was milder compared with that of other patients. Considering this, we hypothesized that p.A1357V is not a severe mutation.

Genetic studies revealed that the patient A1 harbored variations in both ABCA4 and AHI1 genes. The two missense

mutations c.G2473A (p.G825R) and c.G673A (p.V225M), located in ABCA4, were two variants of uncertain significance according to ACMG standards. Based on the analyses of the ABCA4 protein structure, p.G825R was located in the torsion angles of the transmembrane domain, which will force the local backbone into an incorrect conformation to disturb the local structure. p.V225M was located in ECD1, which will slightly destabilize the local conformation. The c.G3267A (p.W1089X) and c.T1979G (p.L660R) variants were identified in the AHI1 gene. The nonsense mutation was located in the SH3 domain of AHI1, and the missense mutation was located in the WD40 domain. Missense mutations in the WD40 domain of the AHI1 gene can underlie non-syndromic retinitis pigmentosa (Nguyen et al., 2017). ABCA4-related retinitis pigmentosa in patients is frequently caused by combinations of ABCA4 null mutations such as frameshift and splicing site mutations (van Driel et al., 1998; Shroyer et al., 2001; Huang et al., 2018). Thus, we suspect that the phenotype of patient A1 with retinitis pigmentosa may be a result of variations in both ABCA4 and AHI1 genes, which highlights the genotypic variability associated with ABCA4-related retinitis pigmentosa.

The missense mutations c.C203T (p.P68L), c.A1034G (p.Y345C), c.A1034C (p.Y345S), c.C5512T (p.H1838Y), c.G1648A (p.G550R), c.C4070T (p.A1357V), and c.C3322T (p.R1108C) have been conserved during evolution. The minor allele frequencies of these mutations in 1,000 Genomes and gnomAD database were less than 0.005. They were predicted as “deleterious” using SIFT, PROVEAN, CADD, FATHMM, and MutationTaster. Considering the lack of functional experiments to verify the effect of mutant residues and based on the results of HOPE, we classified them as “likely pathogenic” in accordance with the ACMG guidelines (Table 2). The missense mutations c.A983T (p.E328V) and c.T4748C (p.L1583P), and novel mutation c.C170G (p.P57R) were all located in ECD1 of ABCA4. The biological functions of the ECDs of ABCA4 were unknown, and the results of computer prediction were contradictory. The clinical significance of the three missense mutations was unclear.

According to the genotype–phenotype correlation model proposed by van Driel et al., the severity of the phenotype of ABCA4-related diseases is inversely proportional to the residual function of the mutant protein (van Driel et al., 1998). Hence, we could evaluate the severity and prognosis of ABCA4-related diseases using the genotype. Because the protein-truncating mutations cause nearly a complete loss of protein function, the residual function of protein caused by trans mutations primarily determines the severity of the phenotype. In this study, all 11 patients (B1, B2, B3, A3, A4, B6, B7, B8, B9, and B10) carried a severe ABCA4 gene variant, including frameshift mutations, nonsense mutations, and the missense mutation c.C5318T (p.A1773V). Additionally, the severity of the phenotype was related to the course of the disease; therefore, follow-up was highly recommended.

ABCA4-related retinal degeneration is genetically and clinically heterogeneous. The high allelic heterogeneity makes molecular genetic analysis of ABCA4-associated retinal disease challenging. We described the findings of mutational profiling of the ABCA4 gene and related clinical phenotypes, and we

predicted the pathogenicities of newly discovered variants, which will expand the spectrum of disease-causing variants in ABCA4, and further facilitate genetic counseling. For the variants of unknown significance due to limited data, further experimental verification is needed to provide new insights into the molecular mechanisms of the disease, which may help in the development of precision medicine.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: [https://databases.lovd.nl/shared/individuals?search\\_owned\\_by\\_=%3D%22Qing%20Zhu%22](https://databases.lovd.nl/shared/individuals?search_owned_by_=%3D%22Qing%20Zhu%22).

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The Medical Ethics Committee of People's Hospital of Ningxia Hui Autonomous Region and Henan Provincial Eye Hospital. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

## AUTHOR CONTRIBUTIONS

BL and X-LS conceived and designed this study, directed the work, and finalized the manuscript. QZ and XR collected the clinical samples and clinical data. YL, YY, and QZ analyzed the sequencing data. QZ collected the information and drafted and revised the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.634843/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Autosomal Recessive Rod-Cone Dystrophy Associated With Compound Heterozygous Variants in *ARL3* Gene

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**Purpose:** *ARL3* (ADP-ribosylation factor-like 3) variants cause autosomal dominant retinitis pigmentosa (RP) or autosomal recessive Joubert syndrome. We found a family with rod-cone dystrophy (RCD) and verified it was associated with compound heterozygous variants in *ARL3* gene.

**Methods:** Ophthalmic examinations including optical coherence tomography and electroretinogram (ERG) were performed. Targeted next generation sequencing (NGS) was performed for the proband using a custom designed panel. Sanger sequencing and co-segregation were conducted in the family members. Changes of protein structure mediated by the variants were studied *in vitro*. *ARL3* protein stability and its interaction with RP2 protein were assessed by cycloheximide chase assay and co-immunoprecipitation (Co-IP) assay.

**Results:** Visual acuity of the 18-year-old male proband was 0.25 in the right and 0.20 in the left eye, while his non-consanguineous parents and sister was normal. The proband showed signs of RCD, including nyctalopia, peripheral field loss, bone-spicule deposits in the retina, and reduced ERG responses. The father, aged 50 years old, showed visual acuity of 1.0 in both eyes. Unlike the proband, he presented late onset and mild cone-rod dystrophy (CRD), including macular atrophy, central scotomata, moderate reduction in photopic ERG responses. None of all the family members had hearing abnormality, mental dysplasia or gait instability. We identified two novel compound heterozygous variants (c.91A>G, p.T31A; c.353G>T, p.C118F) in *ARL3* in the proband, while his father only had variant c.91A>G. Bioinformatics analysis indicated amino acid positions of the two variants are highly conserved among species. The *in silico* tools predicted the variants to be harmful. Protein structure analysis showed the two variants had potential to alter the protein structure. Based on the ACMG guidelines, the two variants were likely pathogenic. In addition, the *ARL3* mutations destabilized *ARL3* protein, and the mutation c.353G>T disrupted the interaction between *ARL3* and RP2 in HEK293T cells.

**Conclusions:** We showed novel compound heterozygous variants in *ARL3* were associated with early onset of autosomal recessive RCD, while c.91A>G along may be associated with a late onset of dominant CRD. The two variants in *ARL3* could be causative by destabilizing ARL3 protein and impairing its interaction with RP2 protein.

**Keywords:** *ARL3*, compound heterozygous variants, rod-cone dystrophy, cone-rod dystrophy, RP2

## INTRODUCTION

Retinitis pigmentosa (RP) is a group of highly heterogeneous inherited retinal diseases, and it is one of the most important causes of blindness worldwide (Narayan et al., 2016). Almost 2.5 million individuals suffered with RP around the world (Hu et al., 2019). RP is typically characterized by night blindness (nyctalopia), progressive constriction of visual field, changes in the fundus and reduced electroretinogram (ERG), and ultimately resulting in complete blindness (Ali et al., 2017). Rod-cone dystrophy (RCD) is a common form of RP due to primary degeneration of rod cells followed by degeneration of cone cells (Pagon, 1988). RP manifests in a syndromic or a non-syndromic form. Non-syndromic RP can be in different traits, including autosomal dominant RP (adRP), autosomal recessive RP (arRP), X-linked recessive form and simplex/sporadic type. Nowadays, around 90 genes were identified to be associated with non-syndromic RP (<https://sph.uth.tmc.edu/retnet/>). The proteins encoded by RP-associated genes exert different roles in transcription, retina phototransduction, transport processes via the photoreceptor connecting cilium, cell growth, cellular structure, and metabolism of vitamin A (Collin et al., 2011; Bhatia et al., 2019).

Human *ARL3* (ADP-ribosylation factor-like 3; MIM:604695) gene is mapped to chromosome 10q24.32, and contains 6 exons. The encoded protein ARL3 is a small molecule GTP-binding protein belonging to the ADP-ribosylation factor (ARF) family. In the mammalian retina, ARL3 is mainly localized to microtubule structures throughout the retina and is enriched in the connecting cilium of rod and cone photoreceptors (Grayson et al., 2002). ARL3 is crucial for ciliogenesis and axoneme formation, as well as cargo displacement of lipidated proteins in the cilium (Hanke-Gogokhia et al., 2016). Besides, ARL3 also acts as an allosteric factor for the release of lipidated proteins bound to PDE6D (delta subunit of phosphodiesterase) and UNC119A/B in an apparent GTP dependent manner (Hanke-Gogokhia et al., 2018). The activity of ARL3 is regulated by a GTP-exchange factor ARL13B (ADP-ribosylation factor-like protein 13B) (Gotthardt et al., 2015) and a GTPase-activating protein RP2 (Retinitis Pigmentosa 2) (Veltel et al., 2008).

A missense variant in *ARL3* (NM\_004311.3) (c.269A>G, p.Tyr90Cys) has previously been reported as a possible cause of non-syndromic autosomal dominant RP in two families (Strom et al., 2016; Holtan et al., 2019). In addition, homozygosity for two different *ARL3* Arg149 missense variants (c.445C>T, p.Arg149Cys; c.446G>A, p.Arg149His) were reported to cause Joubert syndrome (Alkanderi et al., 2018). A most recent report indicated that a homozygous variant in *ARL3*

(c.296G>T, p. Arg99Ile) caused cone-rod dystrophy (CRD) in two consanguineous families (Sheikh et al., 2019). In this study, we found a Chinese family with typical rod-cone dystrophy (RCD), which was associated with novel compound heterozygous variants in *ARL3*. In addition, we presumed that one of the variants might be associated with a late onset of dominant CRD. This study expanded both the phenotype and genotype of *ARL3* associated retinal dystrophy. Furthermore, our data suggested that the pathogenicity of the variants were related with destabilizing of ARL3 protein, as well as impairing its interaction with RP2, another protein that is associated with RP.

## MATERIALS AND METHODS

### Subjects and Clinical Assessment

The study was performed in accordance with the Declaration of Helsinki and approved by the Ethics Committee of Henan Eye Hospital for the release of clinical information, family history, and blood extraction for genetic testing [IRB approval number: HNEECKY-2019 (15)]. Written informed consent was obtained from all participants after the study risks and benefits were thoroughly explained.

All the members were enquired about the family and medical history. Each member was accepted a complete ocular examination, including best corrected visual acuity (BCVA), intraocular pressure (IOP), slit-lamp biomicroscopy, color vision, fundus photography, visual field, swept-source optical coherence tomography (SS-OCT, VG200D SVision Imaging, China), and full-field electroretinogram (ERG).

### Targeted Gene Sequencing and Data Analysis

Genomic DNA was extracted from peripheral blood of all family members with the TIANGEN Blood DNA Kit (DP304, TIANGEN, China). Targeted next generation sequencing (NGS) was performed for the proband using a custom designed panel (PS400) containing 376 known genes associated with inherited retinal diseases (Zhu et al., 2021). The Nextseq 500 (Illumina, San Diego, CA, USA) platform was used for paired-end sequencing with read lengths of 150 bp and average sequencing depth of almost 300 X. Raw reads were mapped to the human genome reference (UCSC hg19) using three commercial software including XYGeneRanger 2.0 (Xunyun, Shanghai, China), TGen (LifeMap Sciences, Alameda, CA, USA) and Efficient Genosome Interpretation System, EGIS (SierraVast Bio-Medical Technology Co., Ltd, Shanghai, China). Variant-filtering was based on public and in-house SNP databases, including 1000Genome project, HGMD, ExAC and ClinVar, as well as our internal database.

The non-synonymous and splicing variants with MAF < 2% were kept for further analysis. Sanger sequencing and co-segregation analysis were performed for the verification of suspicious disease-relevant gene variants in the available family members. Primer sequences and PCR conditions were shown in **Supplementary Tables 1, 2**, respectively.

## **In silico Molecular Genetic Analysis and Bioinformatics Analysis**

To assess the possible pathogenicity of these mutations, and to predict whether a protein sequence variation affected protein function, the following web applications was used: LRT (Likelihood Ratio Test), PolyPhen-2 (Polymorphism Phenotyping v2), Mutation Taster (Schwarz et al., 2010), SIFT (Sorting Intolerant From Tolerant) (Kumar et al., 2009), FATHMM (Functional Analysis Through Hidden Markov Models) (Rogers et al., 2018), and CADD (Combined Annotation Dependent Depletion) (Kircher et al., 2014). Multiple protein sequence alignment among various species was carried out by Clustal Omega (Sievers and Higgins, 2018). The tertiary structure of protein was predicted by the Swiss-Model workspace (<http://swissmodel.expasy.org>) (Biasini et al., 2014). CDD online software (<https://www.ncbi.nlm.nih.gov/Structure/cdd/wrpsb.cgi>) was used to conduct domains analysis. HOPE online software (<http://www.cmbi.umcn.nl/hope>) was used to analyze the structural effects of mutation. Superimposition of homology models of ARL3 was constructed using PyMOL software (<https://pymol.org/2/>). The protein stability of ARL3 mutations was predicted with the online tools MUPRO (<http://mupro.proteomics.ics.uci.edu/>) (Cheng et al., 2006) and I-Mutant v2.0 (<http://folding.biofold.org/i-mutant/i-mutant2.0>) (Capriotti et al., 2005). All the sources were provided in the public domain.

## **Cell Culture**

HEK293T cell line was purchased from American Type Culture Collection. Cells were cultured in DMEM/HIGH GLUCOSE medium (SH30022.01, Hyclone, USA) containing 10% fetal bovine serum (35-081-CV, Corning, USA) with 100 units/mL penicillin and 100 µg/mL streptomycin at 37°C with 5% CO<sub>2</sub>.

## **Plasmids and Transfection**

The wild-type, c.91 A>G (p.T31A), and c.353G>T (p.C118F) CDS sequences of the ARL3 gene with the Flag tag at the C-terminal were synthesized and subcloned into the pcDNA3.1 (+) expression vector (Invitrogen, USA), respectively. The wild-type RP2 cDNA with the HA tag at the N-terminal was subcloned into the pcDNA3.1 (+) expression vector. All plasmids were confirmed by DNA sequencing and WB analysis. When cells grow to 80–90% confluence, cell transfection was performed using Lipofectamine 3000 (L3000015, Invitrogen, USA). After transfection, the cells were harvested at 24 h for protein extraction and further analysis.

## **Western Blots and Antibodies**

The primary antibodies used were as follows: the flag antibody (147935, CST, USA), β-actin antibody (200068-8F10, ZENBIO, China), RP2 antibody (ab174840, Abcam, UK). Cells were

lysed by RIPA lysis buffer (PC101, EpiZyme, China) with 1% protease inhibitor cocktail (GRF101, EpiZyme, China). The samples were resolved in 12% SDS-PAGE gel and transferred to a polyvinylidene difluoride (PVDF) membrane (IPVH00010, MILLIPORE, Ireland). The membranes were blocked with 5% non-fat dry milk for 1 h and then incubated with the primary antibody overnight at 4°C. After three washes with TBST (containing 1% Tween-20), the membranes were treated with horseradish peroxidase-conjugated secondary antibody at room temperature for 2 h. Then the membranes were exposed to X-ray film after washed for three times.

## **Protein Stability Assay**

Protein stability was assessed by cycloheximide chase assay. Cycloheximide (CHX, 66-81-9, Selleck, USA), a protein synthesis inhibitor, was used. HEK293T cells were transfected with empty vector, wild-type, T31A and C118F mutation ARL3 vectors for 24 h. The cells were subsequently treated with 100 µg/ml CHX for 1, 3, and 6 h, respectively. The total protein was extracted for Western blot. Wild-type and mutant ARL3 protein levels were detected using flag antibody. The protein levels of β-actin were the endogenous control.

## **Co-immunoprecipitation (Co-IP) Assay**

HEK293T cells were co-transfected with 6.25 µg of HA-RP2-WT plasmid and 6.25 µg of either wild-type or mutant Flag-ARL3 plasmids, and harvested for protein extraction after 24 h. Total protein lysate was extracted by immunoprecipitation buffer (BL509A, Biosharp, China), and the concentration of the supernatants was quantified with a BCA protein assay kit (P0011, Beyotime, China). Total proteins of 300 µg were added with 5× SDS to prepare as the input sample. Total proteins of 500 µg were mixed with 10 µg anti-Flag magnetic beads (HY-K0207, MCE, USA) and shaken for 4 h at 4°C on rotor. The beads were collected by Magnetic Separator and washed three times by immunoprecipitation buffer with 1% protease inhibitor. The beads were mixed with sample loading buffer (1×) and boiled for 10 min. The supernatant was collected and used for western blot analysis.

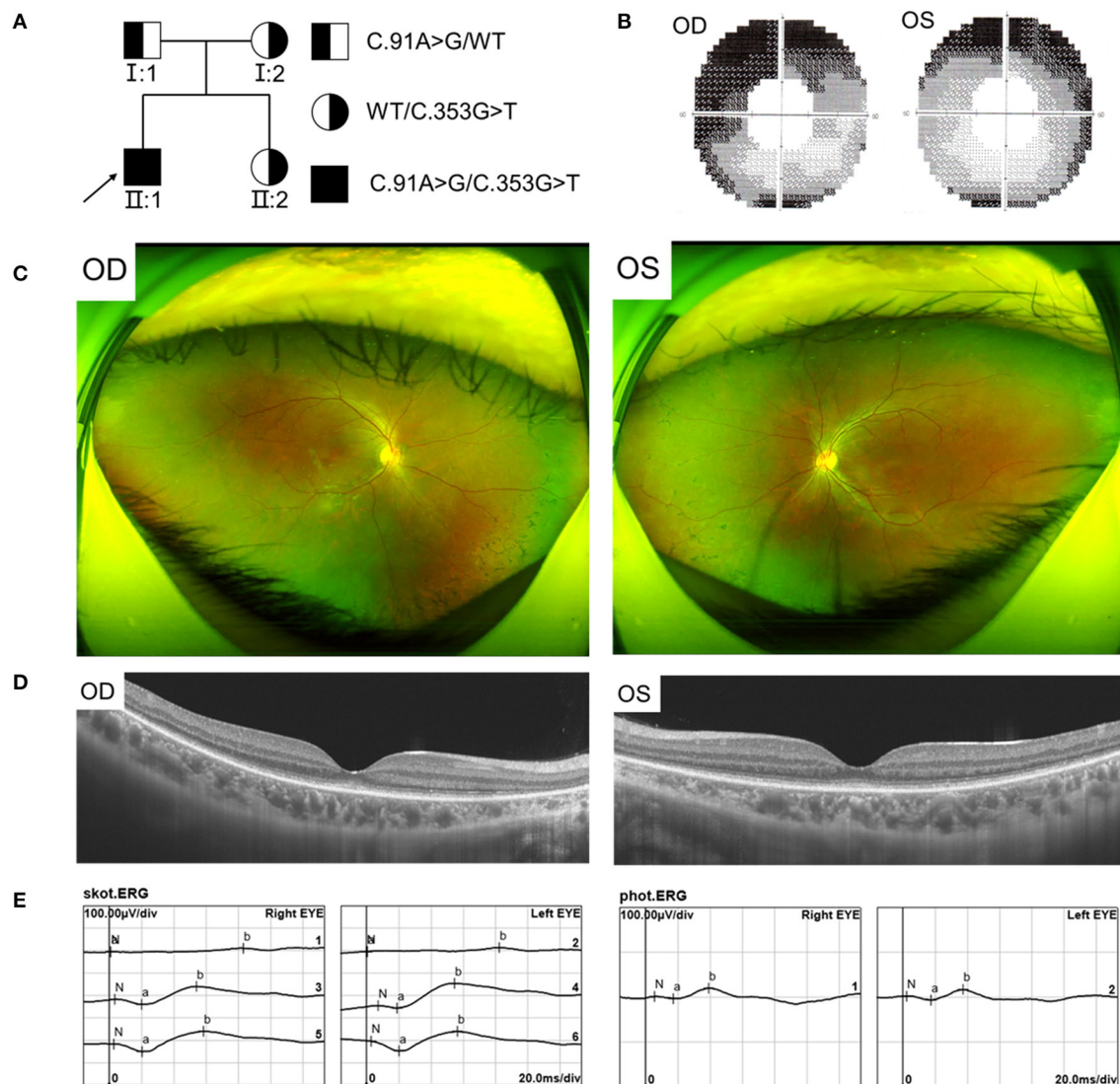
## **Statistical Analysis**

We normalized the data from each group by dividing the measurement of the tested variant from that of the references. The value of the control was set to one and tested measurement was adjusted accordingly. GraphPad Prism 8.0 was used for the statistical analysis. Student's *t*-test and one-way analysis of variance (ANOVA) were performed with a 95% confidence level to evaluate the differences. *P*-value < 0.05 was considered statistically significant. All quantitative data were displayed as mean ± standard deviation (SD).

# **RESULTS**

## **Clinical Features**

We found a Chinese family with RCD, the pedigree was presented in **Figure 1A**. In this family, the proband showed typical RCD but his father present with a late onset and mild CRD, while



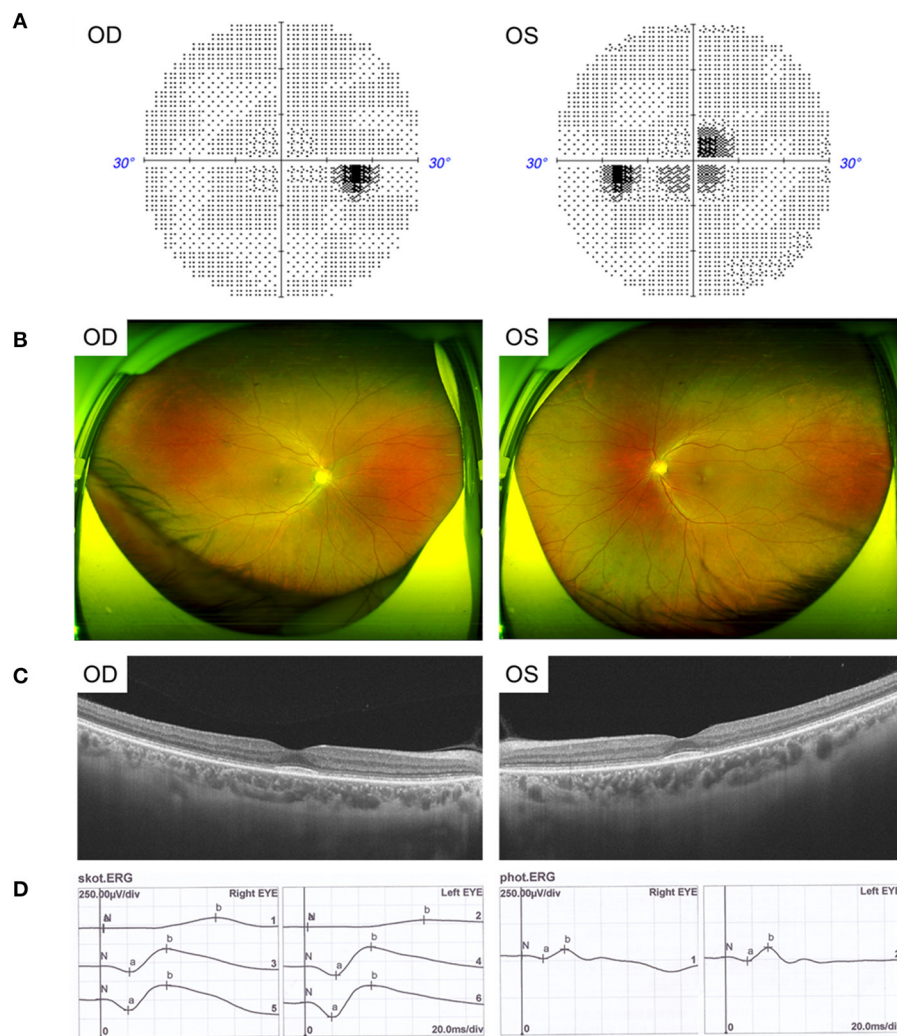
**FIGURE 1 |** Pedigree and Clinical features of the proband with RCD. **(A)** Pedigree of the family with autosomal recessive RCD. **(B)** Visual field showed tunnel vision. **(C)** Fundus photographs showed peripheral bone spicule pigmentation. **(D)** SS-OCT showed atrophy of the retinal outer layers of the binocular macular area. **(E)** ERG showed severe reduced rod responses and to less extent cone responses.

the mother and sister were unaffected. The proband, a 19-year-old male, had decrease of vision and nyctalopia for 4 years. The BCVA was 0.25 in the right and 0.20 in the left eye. The proband also had dyserythrochloropsia. Visual field testing revealed tunnel visual field (**Figure 1B**). Fundus photography showed peripheral bone spicule pigmentation (**Figure 1C**). SS-OCT presented atrophy of the retinal outer layers of the binocular macular area where the light reflection signal of ellipsoid zone and chimeric zone was weakened, coarse and interrupted. The reflection of RPE/Bruch membrane was rough (**Figure 1D**). ERG showed severe reduction in scotopic ERG responses and to a less extent in photopic ERG responses (**Figure 1E**).

The father, aged 50 years old, showed normal visual acuity of 1.0 in both eyes and had mild dyserythrochloropsia. Unlike

the proband, the father's vision field appeared as central scotoma (**Figure 2A**). Fundus examination revealed circular degeneration around macular fovea without pigmentation of the peripheral retina (**Figure 2B**). SS-OCT revealed the binocular outer retinal layers of the macular area was thinned with macular sparing, and the light reflection signal of the ellipsoid zone in the parafovea was weakened and discontinuous (**Figure 2C**). The ERG showed a moderate decrease in the binocular cone and rod systems (**Figure 2D**).

Other examinations including anterior segment and IOP of the proband and his father were normal. Neither the proband's mother nor sister showed any abnormalities in the eyes. None of all the family members, including the proband, presented hearing abnormality, mental dysplasia, or gait instability.



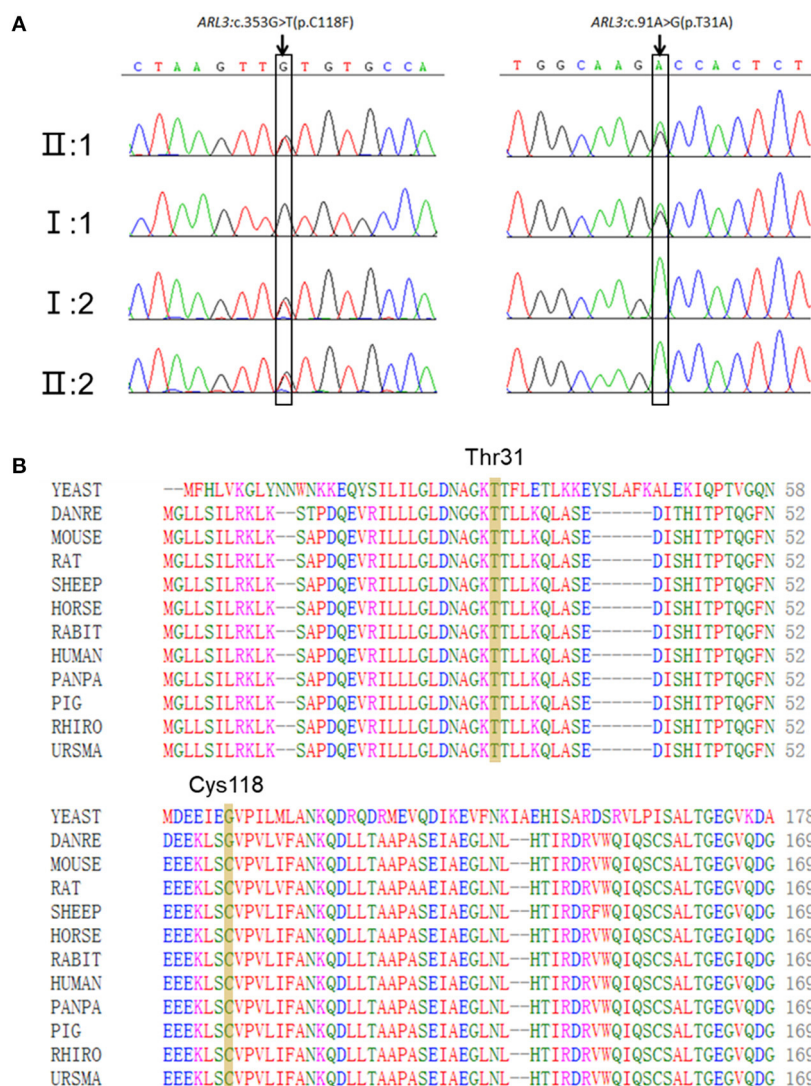
**FIGURE 2 |** Clinical features of the father with CRD. **(A)** Visual field showed central scotoma. **(B)** Fundus photographs showed circular degeneration around macular fovea. **(C)** SS-OCT revealed the binocular macular area outer retinal was thinned by atrophy. **(D)** ERG showed a moderate decrease in the binocular cone and rod systems.

## Two Novel Missense Variants in *ARL3* Were Identified

Targeted NGS identified two novel compound heterozygous variants (c.91A>G, p.T31A; c.353G>T, p.C118F) in *ARL3* in the proband. Sanger sequencing of *ARL3* revealed segregation of the two variants with the RCD phenotype in participating individuals (**Figure 3A**). Neither variant had been reported in the human gene mutation database (HGMD). The reported allele frequency for c.353G>T (p.C118F) was concentrated in the East Asian populations almost 0.0010 in the Genome Aggregation Database (GnomAD), while no record for the c.91A>G (p.T31A) mutation was found. Furthermore, c.353G>T (p.C118F) has not been reported homozygous in GnomAD. Multiple alignments of amino acid sequences of *ARL3* protein from different species revealed that Thr31 is evolutionally conserved among species, while Cys118 is only conserved in mammals, but not in zebrafish

and yeast (**Figure 3B**). Moreover, both of the variants T31A and C118F were considered “Deleterious” as predicted by LRT, Mutation Taster, SIFT, FATHMM, and CADD, but Polyphen-2 predicted the pathogenicity for the variant C118F benign (**Table 1**).

In addition, other variants in the proband were screened out, including two heterozygous missense variants (c.13491T>A, p.F4497L; c.11197A>G, p.N3733D) in *USH2A* and a heterozygous missense variant (c.2432T>C, p.I811T) in *FBN2* (**Supplementary Table 3**), all of which were inherited from his mother (**Supplementary Figure 1**). These two mutations in *USH2A* were cis-mutations, and the results predicted by *in silico* prediction such as SIFT, Polyphen-2, Mutation Taster, and CADD indicated that these two missense mutations might be benign. Moreover, neither the proband nor his mother showed sensorineural deafness. On the other hand, we noticed



**FIGURE 3 |** Two novel *ARL3* variants (c.91A>G, p.T31A; c.353G>T, p.C118F) were identified in the RCD family. **(A)** Sanger sequencing in all family members. **(B)** Multiple alignments of Thr31 and Cys118 of *ARL3* protein from different species. Both variants occurred on the conserved residues of the *ARL3* protein.

**TABLE 1 |** *In silico* pathogenicity prediction.

| Algorithm       | <i>ARL3</i> : c.91A>G (p.T31A) | <i>ARL3</i> : c.353G>T (p.C118F) |
|-----------------|--------------------------------|----------------------------------|
| LRT             | 0 (Deleterious)                | 0 (Deleterious)                  |
| PolyPhen-2_HDIV | 0.99 (Probably damaging)       | 0.105 (Benign)                   |
| PolyPhen-2_HVAR | 0.756 (Probably damaging)      | 0.125 (Benign)                   |
| Mutation Taster | 1 (Disease-causing)            | 0.998 (Disease-causing)          |
| SIFT            | 0.023 (Damaging)               | 0 (Damaging)                     |
| FATHMM          | -2.34 (Damaging)               | -1.55 (Damaging)                 |
| CADD            | 26.8 (Damaging)                | 25.4 (Damaging)                  |

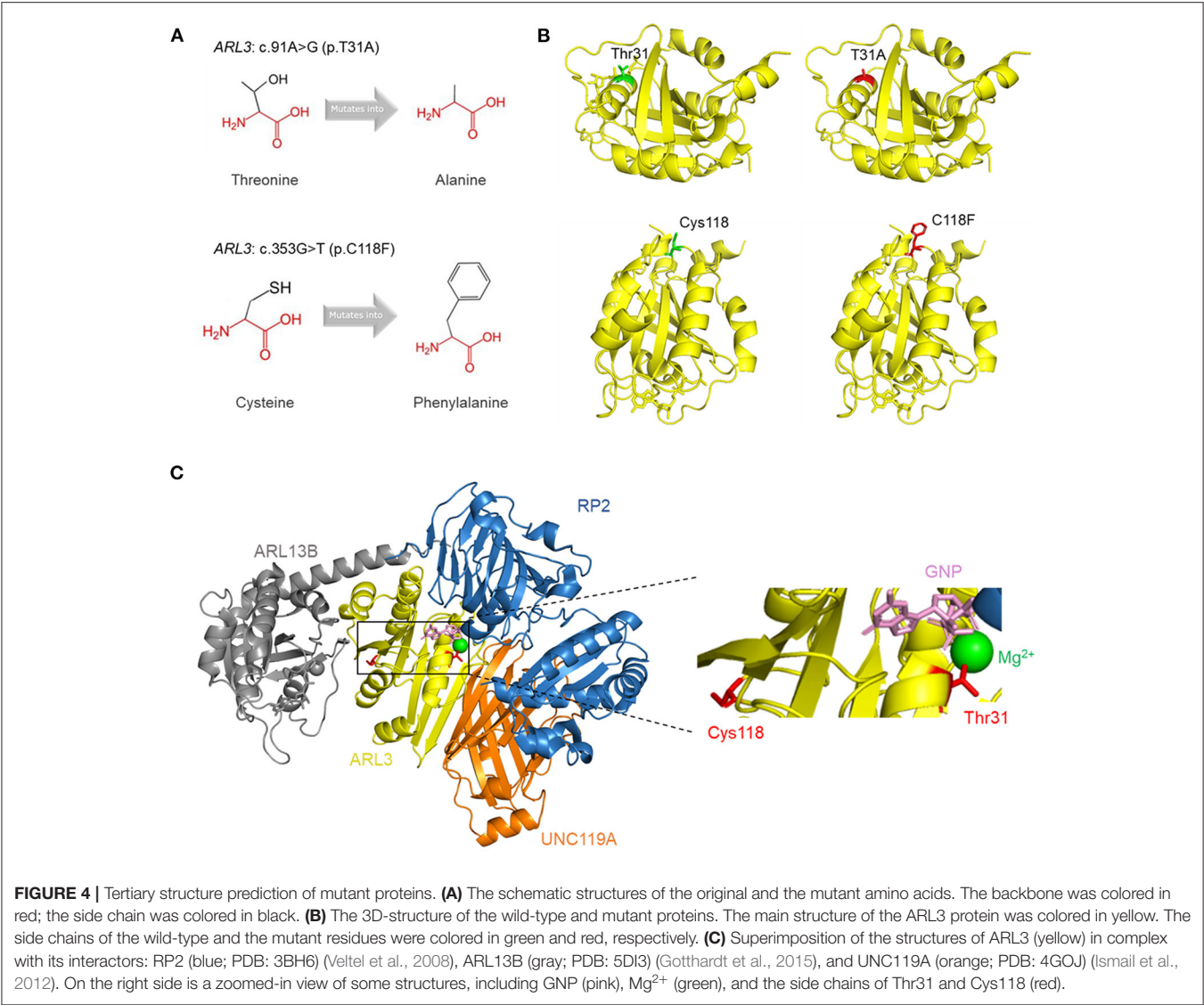
Results for the variants c.91A>G (p.T31A) and c.353G>T (p.C118F) in *ARL3*.

that the variant c.2432T>C in *FBN2* was predicted to be possibly damaging by *in silico* prediction. Variants in *FBN2* have been reported to associated with congenital contractural

arachnodactyly (CCA) (Putnam et al., 1995) and early-onset macular degeneration (MD) (Ratnapriya et al., 2014), whereas the proband's mother and sister who carried the heterozygous missense variant (c.2432T>C, p.I811T) in *FBN2* didn't have any phenotypes of CCA or MD.

## Protein Structure and Function Prediction

To visualize the structure changes of the protein mediated by p.T31A and p.C118F mutations, we used HOPE online software. The schematic structures of the original and the mutant amino acid were shown in **Figure 4A**. The 3D-structure of wild-type and mutant proteins were shown in **Figure 4B**. Compared with the wild-type residue, the mutant residue at position 31 was smaller and more hydrophobic, while the mutant residue at position 118 was bigger and more hydrophobic. Among the interactors of *ARL3* are RP2, *ARL13B*, *UNC119A*, who interact with *ARL3* in



a GTP dependent manner. In order to visualize the interactions, we superimposed the known structures of ARL3 in complex with the interactors (Figure 4C).

### T31A and C118F Mutations Impaired the Stabilities of ARL3 Proteins

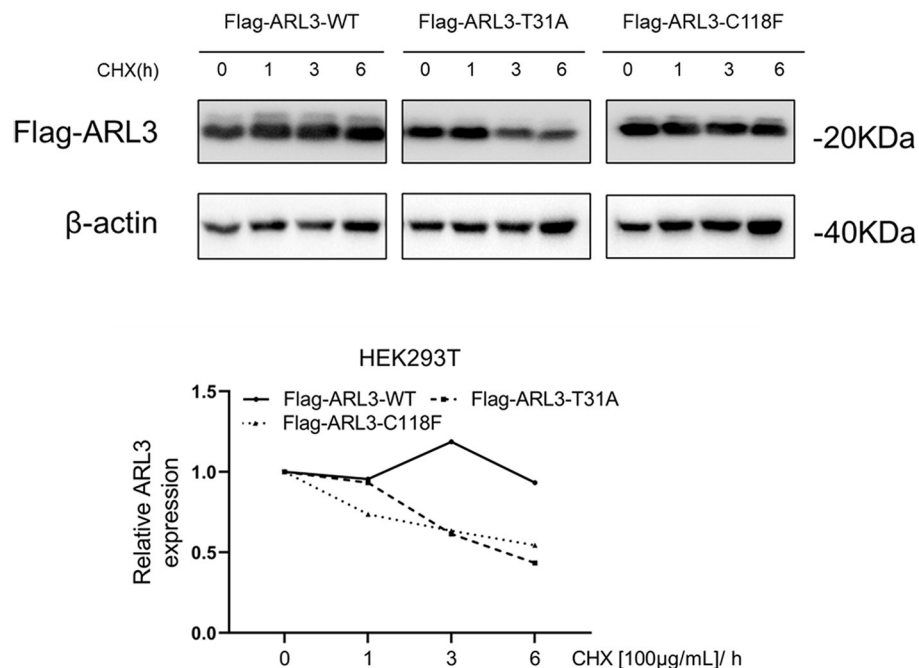
The conformational change of protein caused by the change of amino acid properties was closely related to the stability of protein. Thus, we wondered whether ARL3 T31A and C118F mutations affected the stability of the protein. The online tools MUpuro and I-Mutant v2.0 were used to predict protein stability and results suggested that the two mutant ARL3 proteins showed decrease of stability (Table 2).

To determine whether ARL3 T31A and C118F mutations destabilize ARL3 protein, CHX chase assays was performed. HEK293T cells were transfected with empty vector, wild-type and two mutant ARL3 vectors for 24 h, followed by treatment with

| TABLE 2   Prediction of the protein stability with online tools. |   |   |
|--|---|---|
| Online tools   | ARL3: c.91A> G (p.T31A)                       | ARL3: c.353G> T (p.C118F)                     |
| Mupuro   | $\Delta\Delta G$ : -0.19 (DECREASE stability) | $\Delta\Delta G$ : -0.23 (DECREASE stability) |
| I-Mutant v2.0  | $\Delta\Delta G$ : -0.50 (Decrease stability) | $\Delta\Delta G$ : -0.13 (Decrease stability) |

$\Delta\Delta G$ :  $\Delta G$  (mutated protein) -  $\Delta G$  (wild type protein) in kcal/mol.  $\Delta\Delta G < 0$ : decrease stability.  $\Delta\Delta G > 0$ : increase stability.

cycloheximide (CHX) for 1, 3, and 6 h, respectively. Wild-type and two mutant ARL3 protein levels were analyzed using the flag antibody with Western blot. In Figure 5, both the half-lives of ARL3 T31A and ARL3 C118F protein showed a decreasing trend compared with the wild-type ARL3 protein. These results indicated that both T31A and C118F mutations decreased the stability of ARL3 protein.



**FIGURE 5 |** The ARL3 variants T31A and C118F impaired the stabilities of the encoded proteins in HEK293T cells. HEK293T cells were transfected with empty vector, wild-type, *ARL3* T31A and C118F vectors for 24 h and then treated with 100 μg/ml cycloheximide (CHX) for 1, 3, and 6 h, respectively. ARL3 protein levels were detected using the flag antibody. The protein levels of β-actin were used as endogenous control ( $n = 1$ ).

## C118F Mutation Disrupted the Protein Interaction With RP2

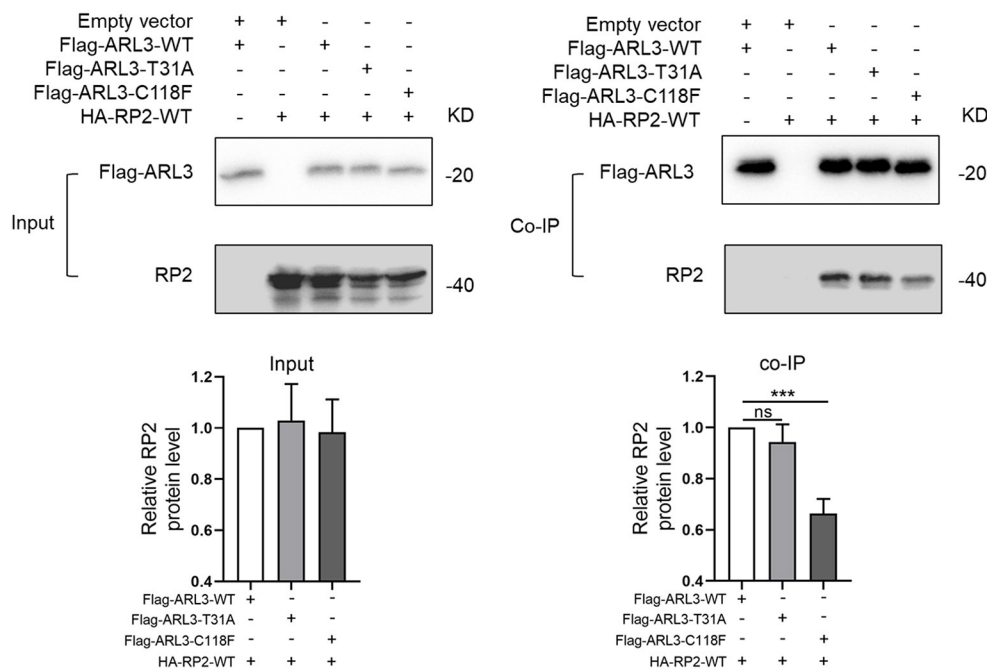
As a small molecule GTP-binding protein, ARL3 was activated when combined with GTP. But it depends on the presence of functional guanine nucleotide exchange factor, ARL13B (Gotthardt et al., 2015). Protein RP2 could interact with ARL3, and it was identified as a negative regulator by hydrolyzing GTP. In addition, it was reported that the impaired interaction between RP2 and ARL3 induced by RP2 mutation could cause RP (Kuhnel et al., 2006). To investigate whether the novel ARL3 variants affected the interaction between ARL3 and RP2, we conducted co-IP analysis. Plasmid of HA-RP2-WT was co-transfected with Flag-ARL3-WT, Flag-ARL3-T31A, or Flag-ARL3-C118F in HEK293T cells. As in **Figure 6**, RP2 was detected after Flag-ARL3 pull-down, suggesting interaction between ARL3 and RP2 in HEK293T cells. The relative level of RP2 protein was significantly reduced in the C118F mutant group compared with the control group, while there was no significant change in the T31A mutant group. The results demonstrated that *ARL3* mutation C118F inhibited the interaction between ARL3 and RP2 proteins.

## DISCUSSION

In this study, we identified two novel variants (c.91A>G, p.T31A; c.353G>T, p.C118F) in *ARL3* gene in a Chinese RCD family. According to the American College of Medical Genetics and Genomics (ACMG) standards and guidelines, the two variants

were “likely pathogenic,” which was supported by the following evidences. The two variants, (1) were supported by functional tests *in vitro* (PS3); (2) located in an important domain that acts as GTP/Mg<sup>2+</sup> binding site and GAP interaction site (PM1); (3) not found in the 200 unrelated health controls and absent from any databases (PM2); (4) The two variants were considered as “Deleterious” by function prediction software, and were highly conserved during evolution (PP3).

The ARL3 Thr31 and Cys118 residues are highly conserved among species, and *in silico* prediction tools suggested that either missense change was likely to be pathogenic. HOPE analysis showed that, compared with the wild-type residues (Threonine and Cysteine), the mutant residue (Alanine) of p.T31A was smaller and more hydrophobic, and the mutant residue (Phenylalanine) of p.C118F was bigger and more hydrophobic. The changes of size and hydrophobicity may cause the loss of hydrogen bonds in the core of the protein, and disturbing protein folding, and then destabilize ARL3 protein. In CHX chase assays, our results revealed that the T31A and C118F protein showed a rapid degradation while the wild-type ARL3 protein was stabilized, indicating the T31A and C118F mutation decreased the stability of ARL3 protein. On the other hand, we noticed that p. Thr31 was central to the P-loop NTPase domain in ARL3, and involved in direct binding of the beta and gamma phosphate moieties of GXP (GTP or GDP) and Mg<sup>2+</sup> cation (**Figure 4C**). Another substitution at T31, T31N, has been widely used as a synthetic ARL3-GDP conformational mimic. In addition, the



**FIGURE 6 |** ARL3 variants T31A and C118F disrupted the combination between ARL3 and RP2. Plasmids of HA-RP2-WT were co-transfected with wild-type or mutant Flag-ARL3 plasmids into HEK293T cells. ARL3 was immunoprecipitated with anti-Flag antibody. Western blotting was performed to detect the specific proteins indicated on the left side of each panel (Error bars indicate means  $\pm$  SD;  $n = 3$ , \*\*\* $p < 0.001$ ).

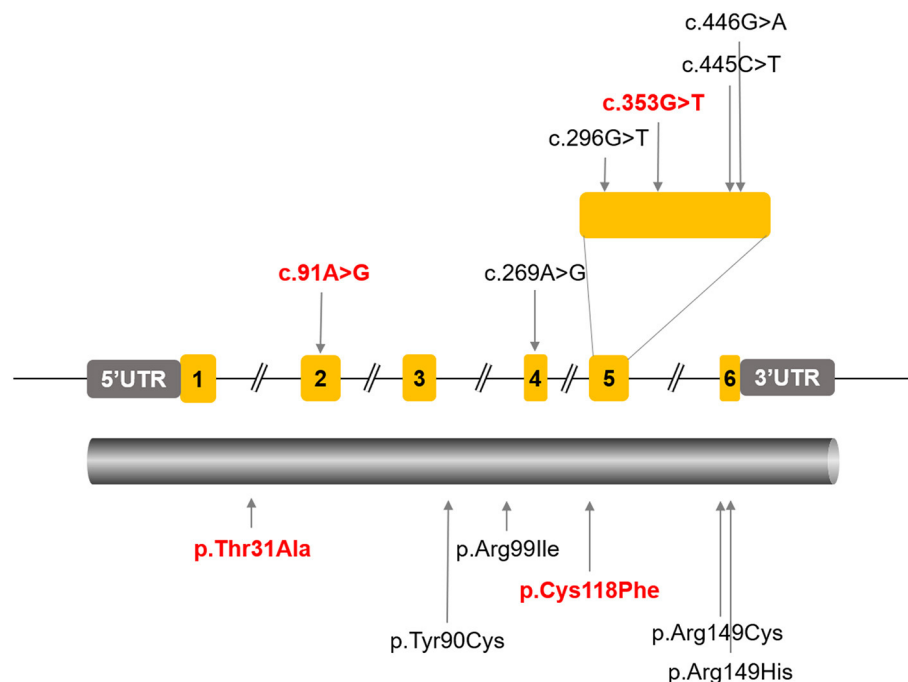
known interaction partners of ARL3 mainly include PDE6D, UNC119A/B, RP2 and ARL13B, whose interactions with ARL3 are GTP-dependent. Therefore, the replacement of Threonine with Arginine at residue 31 variant may impact GTP binding or exchange and thereby affected the normal interactions with these molecules or other parts of the protein, which is most likely a loss-of-function mechanism.

In the mammalian retina, ARL3 functions as a cargo displacement factor and plays an important role in the transport of lipidated protein to the outer segment of the photoreceptors. In addition, RP2 and ARL3 co-localize to the ciliary apparatus (Grayson et al., 2002), and RP2 could stimulate the exchange of GTP to GDP on ARL3, stimulating the release of the lipidated proteins bound to PDE6D and UNC119A/B (Hanke-Gogokhia et al., 2018). On the other hand, ARL3 and RP2 regulated the trafficking of specific ciliary tip kinesins, Kif7 and Kif17, independently of lipidated protein trafficking (Schwarz et al., 2017). The well-regulated protein trafficking in photoreceptors is crucial for normal visual function. The connecting cilium is a key player for the vectorial transport of proteins from the endoplasmic reticulum to the outer segment. Unsurprisingly, mutations in several connecting cilium-associated proteins have been shown to be associated with various types of retinal dystrophy like Joubert syndrome-associated RP, dominant RP, X-linked RP and dominant CRD (Liu et al., 2002; Cantagrel et al., 2008; Thomas et al., 2014; Zhang et al., 2019). It indicates that close cooperation of related

proteins is necessary for normal operation of protein transport in photoreceptors.

Our co-IP results revealed that C118F mutant showed a reduced affinity for RP2. But it is unknown how C118F mutant contributes to the occurrence and development of RCD. Significantly, the reported allele frequency of c.353G>T (p.C118F) in GnomAD is concentrated in the East Asian population with almost 1:1,000. This allele frequency is borderline as being too common to cause a very rare disease like RCD. Furthermore, C118F is only conserved in mammals, but not in zebrafish and yeast, and not all the *in silico* prediction tools predicted the C118F variant would be pathogenic. Given that the mother and sister who carried the variant of C118F were unaffected, the mutation C118F may not cause disease when it exists alone as a heterozygote.

On the other hand, when T31A was carried by the father as a heterozygote, he showed a late onset and relatively mild phenotype of CRD. Significantly, the proband who carried the compound heterozygous variants of T31A and C118F typically presented with poor visual acuity, nyctalopia and peripheral field loss when he was young. ERG revealed severely reduced rod and cone system functions. We presumed these two variants may work together to promote the occurrence and development of RCD. Thus, compound heterozygous variants T31A and C118F in ARL3 caused earlier and severer RCD condition. However, considering the limitation of cell



**FIGURE 7 |** All the reported *ARL3* pathogenic mutations were labeled in the schematic diagram of *ARL3* gene and protein. The *ARL3* mutations c.91A>G (p.T31A) and c.353G>T (p.C118F) were indicated in red.

model, the *in vivo* tests of the two variants could not be inferred with confidence at this moment. And it could be helpful to identify whether his father had other gene mutation that caused this phenotype. Further functional studies are still necessary.

So far, four *ARL3* mutations have been identified (**Figure 7**), most of which locate at the important domain, affecting the stability of encoded protein or the affinity with interactors. Previously, two different *ARL3* Arg149 missense variants (c.445C>T, p.Arg149Cys; c.446G>A, p.Arg149His) were reported to cause recessive Joubert syndrome, characterized by hypoplasia of the cerebellar vermis, developmental delay, renal anomalies, and RCD in two families (Alkanderi et al., 2018). The study showed that substitution of arginine at position 149 disrupted the known interaction between *ARL3* and *ARL13B* and thus prevented the correct release of intracellular cargos. Patients with heterozygous missense variant (c.269A>G, p.Tyr90Cys) in *ARL3* have recently been found to cause non-syndromic autosomal dominant RP, confirming earlier reports of this missense variant causing retinal disease. The missense variant is predicted to disrupt protein folding and compromise GTP binding or exchange. A most recent report indicated that a homozygous variant in *ARL3* (c.296G>T, p.Arg99Ile) caused CRD in two consanguineous families. The study suggested that the mutation p.Arg99Ile may alter the affinity of encoded protein for guanine nucleotides, resulting in a much less stable protein. Thus, although *ARL3* is not a common cause of retinal degeneration in humans, it is a strong prior candidate gene.

In conclusion, our results extended both genotype and phenotype of *ARL3* associated retinal dystrophy. We defined two novel pathogenic variants (c.91A>G, p.T31A; c.353G>T, p.C118F) of *ARL3* in a Chinese family with typical RCD. We presumed that these compound heterozygous variants were associated with the early onset of recessive RCD, while c.91A>G along might be associated with a late onset of dominant CRD. We further found the *ARL3* variants T31A and C118F destabilized *ARL3* protein, and the C118F disrupted the interaction with RP2 in HEK293T cells. On the other hand, considering the limitation of cell model and number of patients, the detailed mechanisms that the two *ARL3* variants causing RCD deserve further studies.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: [https://databases.lovd.nl/shared/individuals?search\\_owned\\_by=%3D%22Leming%20Fu%22](https://databases.lovd.nl/shared/individuals?search_owned_by=%3D%22Leming%20Fu%22).

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The Ethics Committee of Henan Eye Hospital. Written informed consent to participate in this study was

provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

## AUTHOR CONTRIBUTIONS

BL conceptualized and designed the study. YL, QG, and YY collected the clinical samples and clinical data. LF, YL, and YY performed the genetic analysis and bioinformatics evaluations. LF conducted the molecular biology experiments and drafted the manuscript. BL, SY, and XZ interpreted the results. BL and XZ reviewed and edited the draft. All authors agreed to be accountable for the content of the work and approved the final manuscript.

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## SUPPLEMENTARY MATERIAL

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Absence of Cytochrome P450-1b1 Increases Susceptibility of Pressure-Induced Axonopathy in the Murine Retinal Projection

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Mutations in the cytochrome P450-1B1 (Cyp1b1) gene is a common genetic predisposition associated with various human glaucomas, most prominently in primary congenital glaucoma (PCG). The role of Cyp1b1 in the eye is largely unknown, however, its absence appears to drive the maldevelopment of anterior eye structures responsible for aqueous fluid drainage in murine models. Nevertheless, vision loss in glaucoma ultimately results from the structural and functional loss of retinal ganglion cells (RGCs). Cyp1b1's influence in the development and support of retinal ganglion cell structure and function under normal conditions or during stress, such as elevated ocular pressure; the most common risk factor in glaucoma, remains grossly unknown. Thus, to determine the role of Cyp1b1 in normal retinal projection development we first assessed the structural integrity of RGCs in the retina, optic nerve, and superior colliculus in un-manipulated (naïve) Cyp1b1-knockout (Cyp1b1<sup>-/-</sup>) mice. In addition, in a separate cohort of Cyp1b1<sup>-/-</sup> and wildtype mice, we elevated and maintained intraocular pressure (IOP) at glaucomatous levels for 5-weeks, after which we compared RGC density, node of Ranvier morphology, and axonal transport between the genotypes. Our results demonstrate that naïve Cyp1b1<sup>-/-</sup> mice develop an anatomically intact retinal projection absent of overt glaucomatous pathology. Following pressure elevation, Cyp1b1<sup>-/-</sup> accelerated degradation of axonal transport from the retina to the superior colliculus and altered morphology of the nodes of Ranvier and adjacent paranodes in the optic nerves. Together this data suggests the absence Cyp1b1 expression alone is insufficient to drive murine glaucomatous pathology, however, may increase the vulnerability of retinal axons to disease relevant elevations in IOP.

**Keywords:** glaucoma, retinal ganglion cell, microbead occlusion model, nodes of Ranvier, axonal transport disruption

## INTRODUCTION

Glaucoma is a group of heterogeneous neuro-ophthalmologic conditions that impair vision through the functional disruption and eventual degeneration of retinal ganglion cells (RGCs), the neuronal substrates responsible for eye-brain communication (Davis et al., 2016; Quigley and Broman, 2006). Glaucoma is most often attributed to the aging adult (Davis et al., 2016; Tham et al., 2014), however, befalls pediatric and adolescent populations (Kaur et al., 2011), thereby placing it in a unique group of neurodegenerative conditions that afflict populations on both ends of the lifespan.

Primary congenital glaucoma (PCG) is the most prevalent form of pediatric glaucoma manifesting at birth or within 3 years of age (Aponte et al., 2010). PCG is characterized most often by elevated intraocular pressure (IOP), buphthalmos (enlarged globe), and significant maldeveloped ocular drainage structures (i.e., trabecular meshwork and iridocorneal angle) (Hoskins et al., 1984; Libby et al., 2003). Much like other forms of glaucoma, the etiology of PCG remains unknown but likely arises from an orchestration of genetic and post-translational factors. PCG can be difficult to diagnose and if not managed effectively will result in progressive vision loss which can have critical ramifications on a child's overall development and long-term quality of life (Mandal et al., 2004; Khitri et al., 2012).

Genetic mapping of PCG populations over the last decade has identified recessive inheritance of several mutations in the cytochrome P450-1B1 (Cyp1b1) gene on the GLC3A locus (Sarfarazi et al., 1995; Akarsu et al., 1996) as a common predisposition. This has led many to assert Cyp1b1 as a causative gene for PCG. Interestingly, additional evidence suggests the involvement of Cyp1b1 mutations in several other forms of glaucoma including juvenile and adult primary open angle glaucoma (POAG) (Vincent et al., 2002; Su et al., 2012). Cyp1b1 is a membrane bound protein located in the endoplasmic reticulum or the inner mitochondrial membrane of cells found in most parts of the body (Stoilov et al., 2001; Bansal et al., 2014). Its general somatic involvement is in both endogenous and exogenous metabolism of xenobiotics and steroid synthesis (Murray et al., 2001). The role of Cyp1b1 in the eye is not well understood, however, its mRNA and protein expression in various ocular tissues including the cornea, ciliary body, trabecular meshwork, and the retina is evident (Muskhelishvili et al., 2001; Bejjani et al., 2002; Doshi et al., 2006).

The majority of studies seeking to understand the role of Cyp1b1 in the eye and its influence on the onset of PCG have focused primarily on signaling pathways integral in anterior eye structure development necessary for aqueous humor drainage. Cyp1b1 is involved in the metabolism of vitamin A (retinol) to the bioactive retinoic acid (RA). RA serves as a signaling molecule during a number of developmental and physiological processes, playing multiple roles during embryonic ocular and retinal development (Molotkov et al., 2006; Matt et al., 2008; Vasiliou and Gonzalez, 2008). Of the few murine studies conducted, deletion of Cyp1b1 *in vivo* yielded modest dysgenic anterior ocular drainage structures (trabecular meshwork and ciliary body) resembling defects

seen in human PCG patients (Libby et al., 2003; Teixeira et al., 2015). While understanding the role of Cyp1b1 in anterior eye structure maldevelopment and causative signaling mechanisms holds significant value in understanding the onset and development of PCG; an avenue that remains overlooked is the contribution of Cyp1b1 in the retina and retinal projection dysfunction and degeneration underlying glaucomatous pathophysiology.

Glaucoma including PCG, involves an increased sensitivity of RGCs to changes in IOP. This increased sensitivity to elevations in IOP alters the axons of the RGCs (axonopathy) which encompass but are not limited to cytoskeletal alterations (Wilson et al., 2016), synaptic hypertrophy (Smith et al., 2016), aberrant morphology of axonal nodes of Ranvier (Smith et al., 2018) and defects in axonal transport (Dengler-Crish et al., 2014). The latter two appearing as the earliest pathological manifestations preceding overt degeneration of RGC axons in the optic nerve and somal loss in the retina (Smith et al., 2016). These pre-degenerative axonopathies represent key factors in the pathophysiological sequelae of glaucoma, which likely drive or follow changes in RGC physiology that is equally necessary for maintaining proper eye-brain communication (Baltan et al., 2010; Risner et al., 2018; Smith et al., 2018). To our knowledge no prior examination has been completed to determine the integrity of the retinal projections in the absence of Cyp1b1 under normal and stressed conditions (i.e., pathological elevation in IOP).

To address this gap in understanding of the role of Cyp1b1 in glaucoma, we compared the progression of RGC axonopathy in Cyp1b1<sup>-/-</sup> and wildtype mice following pathological elevation in IOP using the magnetic microbead occlusion model. We found more pronounced RGC axonal transport deficits and abnormal node of Ranvier morphometry in Cyp1b1<sup>-/-</sup> compared to wildtypes subjects following 5-weeks IOP elevation. We propose that the absence of Cyp1b1 expression alone is insufficient to drive murine glaucomatous pathology, however, the lack of its expression may increase the vulnerability of retinal neurons to disease relevant mechanisms following pathological elevations in IOP.

## MATERIALS AND METHODS

### Subjects

Adult mixed sex Cyp1b1<sup>-/-</sup> [129S6.129 × 1(B6)-Cyp1b1<sup>TM1Gonz</sup>/Mmnc] mice were obtained from the Mutant Mouse Resource and Research Center (MMRRC) at JAX (Jackson Laboratories) and genotyped before experimentation to confirm the transgene. These mice have a targeted mutation caused by a disrupted coding sequence associated with exon three of the Cyp1b1 gene. Age-matched 129S6.129 × 1(B6) mice were used as wildtype controls (Buters et al., 1999; Libby et al., 2003). Mice were maintained in the Comparative Medicine Unit at Northeast Ohio Medical University on a 12-hour light/dark cycle with standard rodent chow available *ad libitum*. All experimental procedures were approved by the Northeast Ohio Medical University Institutional Animal Care and Use

Committee and conducted in accordance with the Guide for Care and Use of Laboratory Animals published by the National Institutes of Health.

## Groups, Sample Size, and Ages

Thirty-six mice were used for this study to create equivalent groups of mice based on genotype, and experimental conditions. For initial studies assessing Cyp1b1<sup>-/-</sup> optic projection development a total combined cohort of twelve Cyp1b1<sup>-/-</sup> ( $n = 6$ ) and wildtype ( $n = 6$ ) subjects were used. Each retina, optic nerve, and corresponding contralateral superior colliculus (SC) were analyzed as independent measures within each animal, as glaucomatous pathophysiology is known to differentially affect each eye and projection (Schlamp et al., 2006; Crish et al., 2010). Therefore, from the total cohort of twelve subjects, a total of 24 ( $n = 12$  per group) optic projections were collected and analyzed. All tissues were collected in 4–6-month old subjects across both genotypes.

For subsequent studies aimed at determining the impact of Cyp1b1<sup>-/-</sup> in the optic projection under conditions of ocular stress (i.e., induced ocular hypertension), an additional cohort of twenty-four 8–10 month old Cyp1b1<sup>-/-</sup> ( $n = 12$ ) and wildtype ( $n = 12$ ) subjects were utilized. Each retina, optic nerve, and corresponding contralateral superior colliculus were analyzed as separate, independent measures within each animal. The left eye/projections across both genotype groups underwent microbeads occlusion to induced ocular pressure elevation constituting the “hypertensive” subgroup while the right eye/projection remained un-elevated, “normotensive” to serve as internal sham control.

## Intraocular Pressure Elevation Induction in Subjects

Intraocular pressure was raised to glaucomatous levels in our Cyp1b1<sup>-/-</sup> and wildtype subjects using the microbead occlusion method as described in Lambert et al. (2019) and Smith et al. (2018) (Figure 2). Briefly, twelve Cyp1b1<sup>-/-</sup> mice and twelve wildtype subjects were anesthetized using inhaled isoflurane (3% to induce and 1.5% to maintain sedation) and secured in a custom-made mount to reduce head and body movement during procedure. Baseline IOP readings were taken using a tonometer (TonoLab, Icare). Topical tropicamide (1%) was applied to both eyes for pupillary dilation, and a glass pipette (borosilicate glass capillaries 1.0/0.75 mm OD/ID pulled to 150- $\mu$ m diameter; World Precision Instruments) attached to a Micro4 MicroSyringe Pump Injection system (World Precision Instruments) was used to deliver 1  $\mu$ l ( $2.4 \times 10^6$  beads/ml) of COMPEL magnetic microspheres (mean diameter 7.90  $\mu$ m; Bangs Laboratories Inc.) into the anterior chamber of the left eye for each animal. After completion of injections, a small neodymium magnet (Amazing Magnets) was used to pull beads to the margin of the eye. Each animal received a sham injection in the right eye with sterile saline used in place of microspheres as a procedural control. Animals were allowed to recover and IOP readings were recorded at 24- and 72-h and each week post injection for a 5-week

survival time. After 5-weeks of IOP elevation, subjects underwent anterograde transport labeling procedures as detailed in section “Anterograde Axonal Transport Labeling” before being sacrificed following procedures detailed in section “Tissue Collection and Preparation.”

## Anterograde Axonal Transport Labeling

In order to assess the integrity of RGC anterograde axonal transport mechanisms in our subjects, we used axonal transport labeling techniques as we previously described (Dengler-Crish et al., 2014; Smith et al., 2016). Anterograde transport labeling was performed in all subjects across genotype and conditions. Subjects were placed prone in a stereotaxic device (Stoelting, Wood Dale, IL, United States) equipped with a nose cone that delivered 2.5% isoflurane at 0.8 ml/min. Injections (1.5  $\mu$ l) of 0.1% cholera toxin subunit B (CTB) conjugated to Alexa Fluor-488 (Molecular Probes, C-34775) in sterile phosphate buffered saline (PBS) were administered into the vitreal chamber of each eye using a 33-ga needle attached to a 25  $\mu$ l Hamilton syringe.

## Tissue Collection and Preparation

All subjects were sacrificed via an overdose of Beuthanasia-D (300 mg/kg, i.p.) and transcardially perfused with PBS followed by 4% paraformaldehyde (PFA). Retinas, optic nerves, and brains were dissected and submerged into 4% PFA for an additional post-fixation step. Post-fixation time was dependent on the tissue type. Retinas underwent 30-min post-fixation, whereas optic nerves and brains were post-fixed overnight. After post-fixation, tissues were cryoprotected in 20% sucrose/PBS overnight. Using a freezing sliding microtome, 50  $\mu$ m-thick coronal serial sections through the rostral-caudal extent of the superior colliculus, and 15  $\mu$ m-thick longitudinal optic nerve sections were collected. Retina were dissected from the eye and prepared as flattened whole-mounts.

## Examining the Structural Integrity of the Retinal Projections

In order to assess whether any anatomical differences exist regarding RGCs in the retinal-brain projections of the Cyp1b1<sup>-/-</sup> mouse, we used immunohistochemistry to label all components of the RGCs including somas in the retina, nodes of Ranvier in the optic nerve, and the distal projection axons and terminals in the superior colliculus. Retina, optic nerve, and brain tissues were incubated at 37°C for 1 h in a blocking solution containing 5% normal donkey serum and 0.1% Triton-X 100 in PBS, followed by overnight incubation at room temperature in a primary antibody solution containing 3% serum, 0.1% Triton. Primary antibodies used were: Brn3a (mouse 1:500; Santa Cruz Biotecnology) to label the retinal ganglion cell nuclei, Caspr (mouse 1:500; Millipore) for axon paranode junctions, Nav1.6 (rabbit 1:500; Alamone) for axonal sodium channels at the nodes of Ranvier, ERR $\beta$  (rabbit 1:500; Sigma-Aldrich) for terminating retinal ganglion cell axons, Vglut2 (guinea pig 1:500; Synaptic Systems) for the synaptic terminals in the superior colliculus. After primary antibody incubations, tissues were

washed, and then incubated at room temperature for 2 h in CF-Dye conjugated (488, 594, and 647 dyes) secondary antibody solution (Biotium) at a dilution of 1:200 in 1% serum/0.1% Triton/PBS. Following the final washes, tissues were mounted onto slides and coverslipped with ProLong Glass mounting media (Thermo Fisher).

## Microscopy

All images were collected on a Zeiss Axio Imager M2 equipped with a digital high-resolution camera (Hamamatsu Flash4.0 V3 Digital CMOS; Japan), motorized Z and X-Y stage and an Apotome. Two structured illumination systems using 20×/0.8, 63×/1.4, and 150×/1.35 NA Plan-Apochromat objectives (Zeiss, Jena, Germany); all ran from the Zen operating software equipped with deconvolution and extended-depth-of-field modules. For retina imaging, multi-frame z-stacked acquisition was used to create a montage image composite of the entire retinal surface (Simons et al., 2021). For the optic nerve, optical sections (0.3 μm) through longitudinal sections were collected using the Apotome 2. Images were collected at two distinct regions at the center length of each nerve (Smith et al., 2018). For the superior colliculus, every third section of the serial right superior colliculus section was imaged.

## Image Analysis

All analysis detailed in the section was conducted by multiple participants that were blinded to the genotype and condition from which the tissue was derived as slides were de-identified and assignment of an arbitrary numerical sequence was used to allow for minimal identification across subjects and study groups.

To determine the density of the RGC somas in the retina of Cyp1b1<sup>-/-</sup> compared to wildtype controls we used ImagePro (Media Cybernetics; Rockville, MD, United States) software to count and calculate Brn3a-labeled RGCs in the retina. Densities (cells/mm<sup>2</sup>) were derived by dividing the total number of Brn3a-positive RGCs counted in each retina by the total area of that retina.

To assess the integrity of axonal transport, and intactness of distal RGC axons in naïve, normotensive and hypertensive Cyp1b1<sup>-/-</sup> mice, we quantified CTB, ERRβ, and Vglut2 signal density in multiple slices of the superior colliculus using a custom-written macro for NIH ImageJ. We set background intensity for each superior colliculus section by selecting a region of non-retinorecipient (i.e., periaqueductal gray) for comparison with the retinorecipient superior colliculus. We selected only the retinorecipient layers of the superior colliculus and binned pixels running from medial to lateral superior colliculus. The number of pixels within bins with CTB, ERRβ, or Vglut2 signal brighter than background was divided by the total number of pixels in the bin to provide a signal density for each serial section. The signal density from each serial superior colliculus section was averaged for each animal and compared across all genotypes and manipulation.

Node and paranode lengths, were derived from raw image z-stacks analyzed with the Zen 2 software analysis module as previously described (Smith et al., 2018). Node of Ranvier length was defined as the minimum distance separating adjacent Caspr

terminal ends with the paranode length defined as the distance across a single unilateral Caspr signal determined from z-stacks of nodes that were linearly oriented to the imaging plane.

## Statistical Analysis

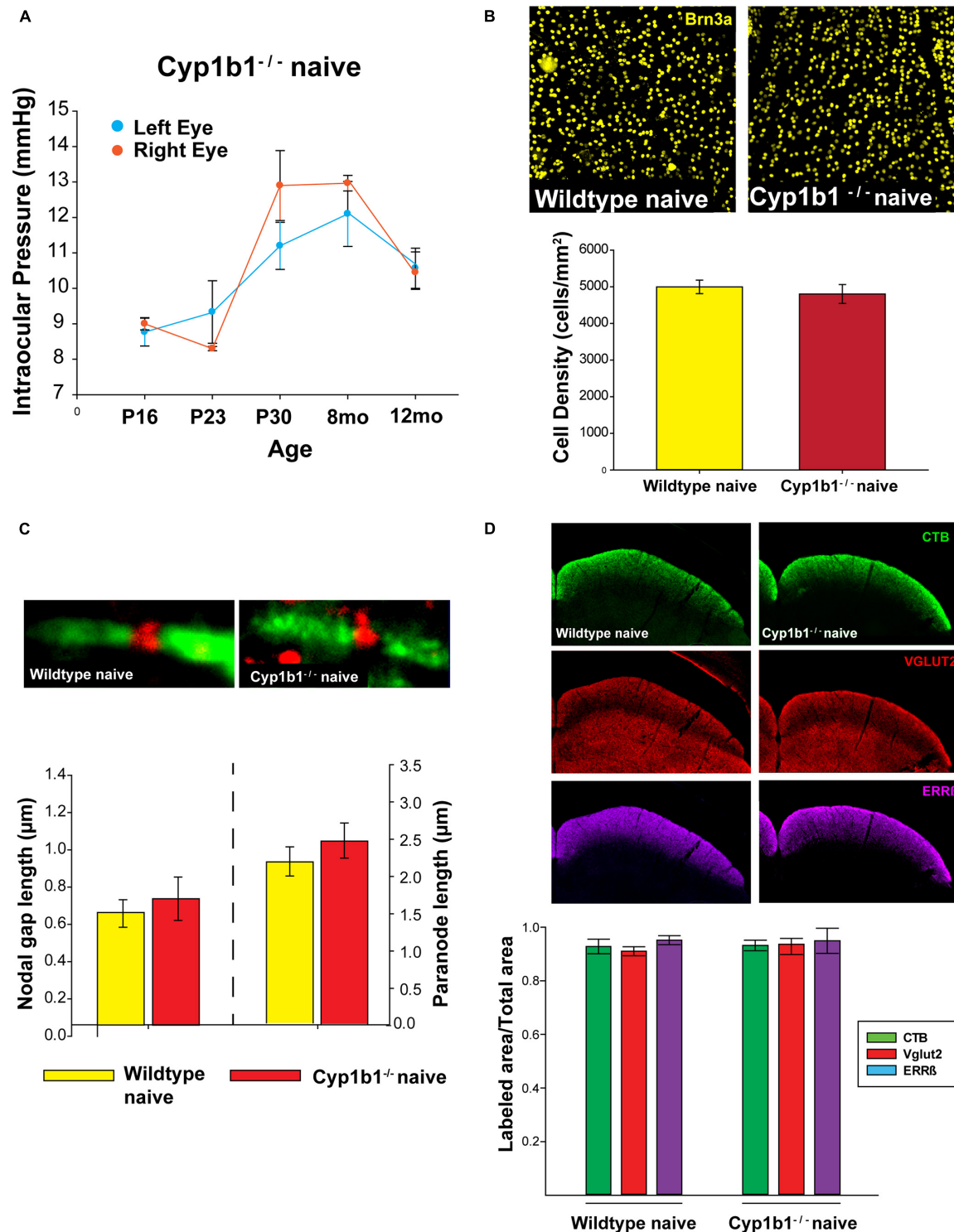
Statistical data analysis was performed using IBM SPSS 26 Software. Raw data were screened for outliers, normalcy, and homogeneity of variance. We used two-way factorial analyses of variance (ANOVA) to determine differences in the magnitude of transport and structural label in the superior colliculus and retina between genotypes. Only CTB label was analyzed across subjects between genotypes in microbead occlusion experiments. Average node and paranode lengths were compared between genotype/microbead occlusions groups using factorial ANOVA models with Bonferroni's corrected pairwise comparisons to elucidate subgroup differences. Microbead occlusions IOP data were analyzed using an omnibus mixed model within subjects (ANOVA) to determine whether IOP changed in each eye after model induction as a function of bead implantation (hypertensive) or control saline injection (normotensive).

## RESULTS

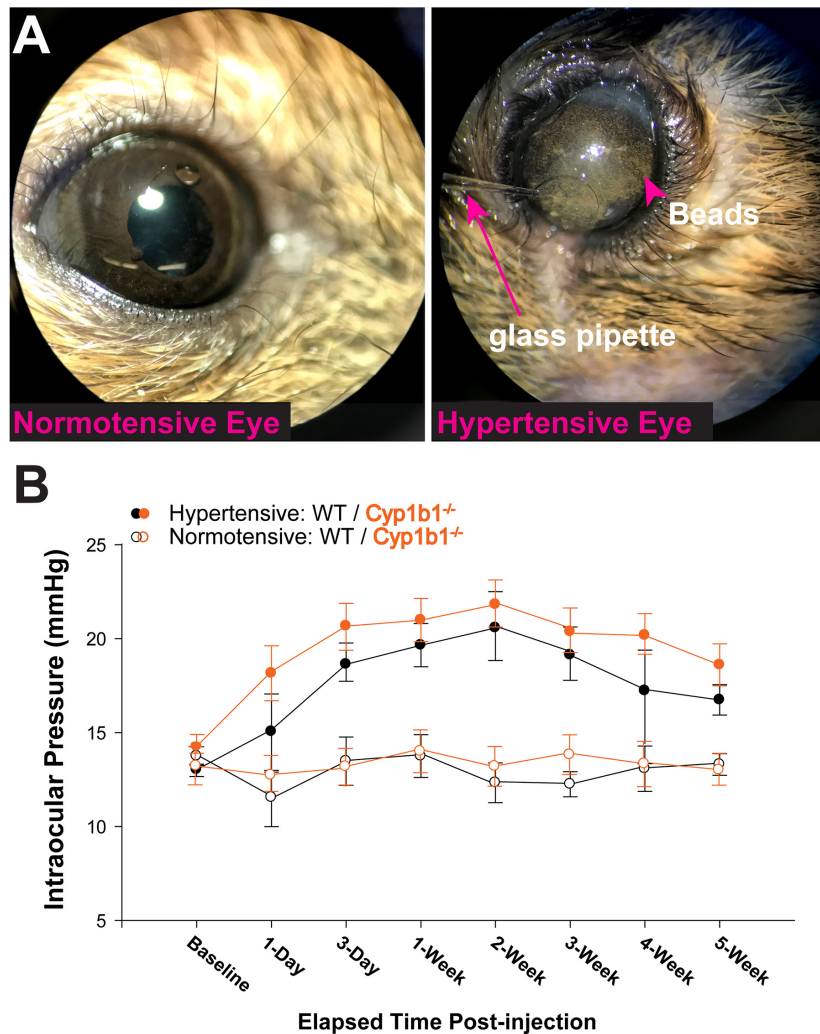
### CYP1B1<sup>-/-</sup> Develop Structurally Intact Visual Projections

As summarized in **Figure 1**, we did not find any major differences in the structural integrity of RGC at the level of the retina, optic nerve, or superior colliculus in naïve Cyp1b1<sup>-/-</sup> mice compared to wildtype subjects. Using tonometry to record IOPs in both left and right eyes in Cyp1b1<sup>-/-</sup> mice aging from postnatal day 16 (P16) to 12-months there was no significant difference in IOP between the left and right eyes across all ages (**Figure 1A**;  $F_{1,28} = 0.18$ ,  $p > 0.1$ ). Qualitatively, recorded IOPs from Cyp1b1<sup>-/-</sup> mice at all examined ages did not extend outside of physiological ranges of 10–15 mmHg as reported in mice of similar genetic background with normal ocular phenotypes (Savinova et al., 2001).

In comparing RGC densities across flat-mount naïve Cyp1b1<sup>-/-</sup> and wildtype retina, no statistical difference was observed in retinal Brn3a cell density (**Figure 1B**, upper panel) between the two genotypes (**Figure 1B**;  $F_{1,22} = 0.23$ ,  $p > 0.1$ ). Using the optic nerves to compare node of Ranvier and paranode lengths (**Figure 1C**, upper image) across naïve wildtype and Cyp1b1<sup>-/-</sup> subjects yielded no significant differences in node (**Figure 1C**, lower left;  $F_{1,388} = 0.36$ ,  $p > 0.1$ ) or paranode length (**Figure 1C**, lower right;  $F_{1,388} = 0.46$ ,  $p > 0.1$ ). Cyp1b1<sup>-/-</sup> node and paranode lengths adhered to expected ranges for mice of equivalent age (Stahon et al., 2016). Although not quantified, sodium channels (**Figure 1C**, upper image; red) appeared present and normally distributed within the nodes. Lastly, to determine anterograde transport integrity and distal RGC axon/synaptic connectivity to/in the superior colliculus within Cyp1b1<sup>-/-</sup> subjects; CTB, Vglut2, and Errβ label were independently compared across subjects. No differences were observed in the percent area fraction of CTB, Vglut2, and Errβ label in the superior colliculus of naïve Cyp1b1<sup>-/-</sup> subjects



**FIGURE 1 |** Cyp1b1<sup>-/-</sup> develop structurally intact visual projections. **(A)** Cyp1b1<sup>-/-</sup> ocular pressure readings across the lifespan (P16 to 12-mo,  $n = 6$ ) maintained within normal physiological range in both eyes. **(B)** Retinal ganglion cell density in naive wildtype vs. Cyp1b1<sup>-/-</sup> mice. High magnification retinal whole-mount images immunostained for Brn3a, a marker for RGC nuclei. Naive Cyp1b1<sup>-/-</sup> (red;  $n = 12$ ) RGC densities do not differ from naive wildtype retina (yellow;  $n = 12$ ). **(C)** Cyp1b1<sup>-/-</sup> nodes of Ranvier appear absent of major morphometric changes in the node (Nav1.6, red;  $n = 200$ ) and paranode (Caspr, green;  $n = 200$ ). **(D)** A 50 µm coronal cross section through the superior colliculus of a Cyp1b1<sup>-/-</sup> mouse that received an intravitreal injection of cholera toxin-B conjugated -alexafluor 488 (CTB488, green) and immunostained for VGlut2 (red, RGC synapses) and estrogen related receptor-β (magenta, RGC axon + axon terminals). Graph depicts superior colliculus label density (labeled area/total area) for each marker. No differences in label density were present between Cyp1b1<sup>-/-</sup> and wildtype ( $n = 24$ ).



**FIGURE 2 |** Microbead occlusion model. **(A)** Example image of procedure being performed. Left shows saline injected eye, right shows glass pipette insertion into anterior chamber of subject delivering a solution containing 8  $\mu$ m magnetic microbeads. **(B)** Intraocular pressure (IOP) readings from Cyp1b1<sup>-/-</sup> subjects (orange lines) and wildtype (black lines) following injection of microbeads (hypertensive and solid circles) or saline (normotensive and open circles) over 5 weeks ( $n = 24$ ).

compared to wildtypes (Figure 1D; CTB;  $F_{1,22} = 1.23$ ,  $p > 0.1$ ), Vglut2;  $F_{1,22} = 1.34$ ,  $p > 0.1$ ), and ERR $\beta$ ,  $F_{1,22} = 1.23$ ,  $p > 0.1$ ).

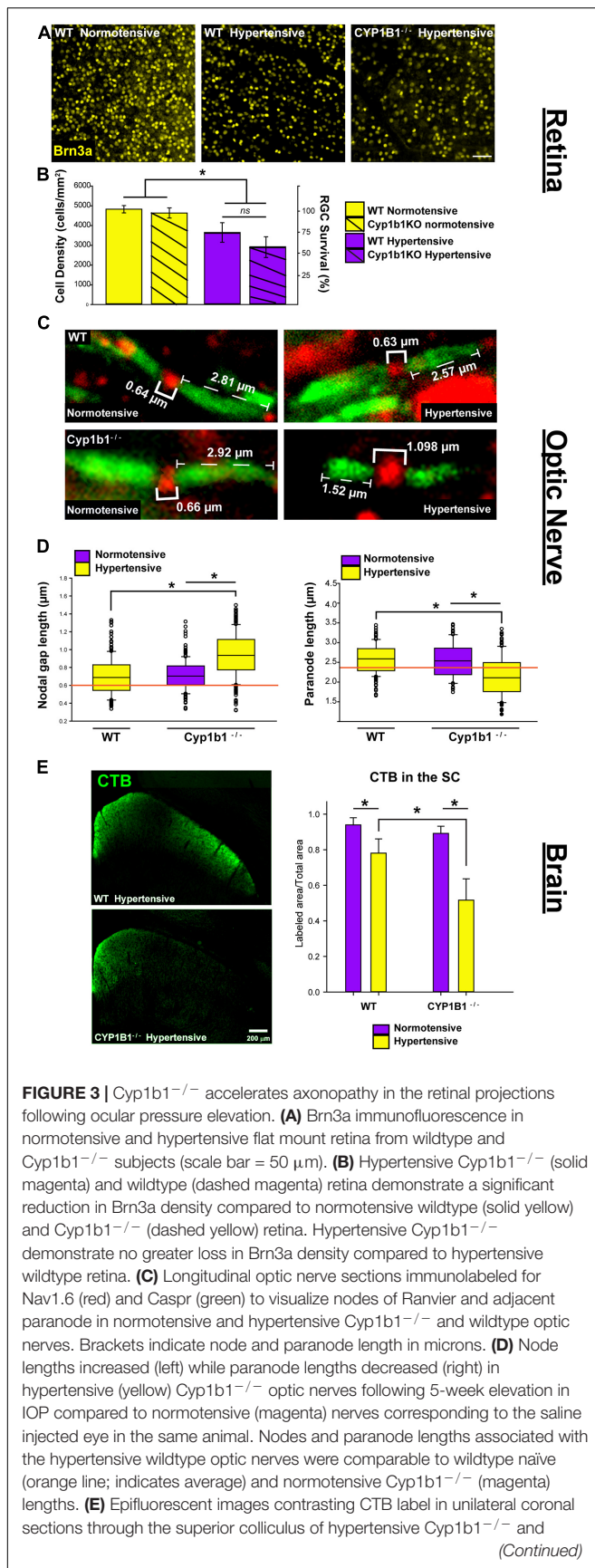
### CYP1B1<sup>-/-</sup> Accelerates Axonopathy in the Retinal Projection Following Ocular Pressure Elevation

A single unilateral injection of magnetic microbeads (Figure 2A) significantly elevated IOP in both Cyp1b1<sup>-/-</sup> and wildtype eyes by 38% for 5-weeks compared to the corresponding saline injected (normotensive) eyes (Figure 2B,  $F_{3,26} = 1.70$ ,  $p > 0.1$ ). Cyp1b1<sup>-/-</sup> IOPs in the injected eye (hypertensive) (Figure 2B, solid circle- orange) was not significantly different from hypertensive wildtype eyes (Figure 2B, solid circle-black;  $F_{1,26} = 1.70$ ,  $p > 0.1$ ).

As summarized in Figure 3, we observed significant differences in the structural integrity of RGCs at the level of the retina, optic nerve, and superior colliculus following ocular

hypertension in Cyp1b1<sup>-/-</sup> and wildtype subjects. In the retina, following ocular hypertension, hypertensive Cyp1b1<sup>-/-</sup> and hypertensive wildtype subjects exhibited substantial reductions (75 and 60%, respectively) in Brn3a density compared to saline injected controls (Figures 3A,B;  $F_{3,44} = 101.1$ ,  $p < 0.01$ ). However, hypertensive Cyp1b1<sup>-/-</sup> retina did not exhibit any difference in Brn3a density compared to hypertensive wildtype retina (Figures 3A,B;  $F_{3,44} = 3.08$ ,  $p = 0.09$ ).

In the optic nerve, significant alterations in node and paranode lengths were observed (Figure 3C). Hypertensive Cyp1b1<sup>-/-</sup> optic nerve nodes of Ranvier were 27% longer compared to nodes within the hypertensive wildtype optic nerve (Figure 3D left;  $F_{1,446} = 226.76$ ,  $p < 0.05$ ) and the contralateral normotensive Cyp1b1<sup>-/-</sup> optic nerve ( $F_{1,446} = 203.23$ ,  $p < 0.05$ ). Hypertensive Cyp1b1<sup>-/-</sup> paranode lengths were 21% shorter in comparison to hypertensive wildtype (Figure 3D right;  $F_{1,446} = 207.94$ ,  $p < 0.05$ ) and normotensive Cyp1b1<sup>-/-</sup> optic nerves ( $F_{1,446} = 220.65$ ,  $p < 0.05$ ). Although not directly quantified, qualitatively, sodium

**FIGURE 3 |** Continued

wildtype subjects. Superior colliculi corresponding to hypertensive eyes (yellow) in both Cyp1b1<sup>-/-</sup> and wildtype subjects demonstrate significant deficits in axonal transport evidenced by reduced CTB labels compared to colliculi corresponding to the saline injected normotensive eye (magenta). Collicular CTB drop-out was more pronounced in Cyp1b1<sup>-/-</sup> subjects compared to wildtypes following equivalent period of IOP elevation. Asterisk denotes statistical significance ( $p < 0.01$ ).

channel density across axons and distribution within the node appeared consistent across groups and conditions.

Lastly, we compared the progression of anterograde transport deficits in the superior colliculus of Cyp1b1<sup>-/-</sup> and wildtype mice following induced ocular hypertension (Figure 3E). Following the 5-weeks period of IOP elevation, the superior colliculus of both Cyp1b1<sup>-/-</sup> and wildtype subjects demonstrated 45% ( $F_{1,22} = 299.57$ ,  $p < 0.01$ ) and 20% ( $F_{1,22} = 104.54$ ,  $p < 0.01$ ) depletion of CTB coverage, respectively. However, most interestingly, Cyp1b1<sup>-/-</sup> demonstrated a 33% greater depletion in CTB transport in the superior colliculus compared to hypertensive wildtype subjects ( $F_{1,22} = 106.47$ ,  $p < 0.01$ ). Colliculi corresponding to the saline injected normotensive eyes in both subjects revealed >95% CTB coverage with statistical difference reflected across genotypes.

## DISCUSSION

Axonopathy is one of the earliest hallmarks of neurodegeneration in glaucoma which encompasses degradation of active transport from the retina to the colliculus, and alterations in node of Ranvier morphology, both of which occur before outright axon degeneration in the optic nerve and soma loss in the retina (Dengler-Criss et al., 2014; Smith et al., 2016; Smith et al., 2018). In the current study, we showed evidence that absence of Cyp1b1 alone is insufficient to drive glaucomatous-like axonopathy and RGC neurodegeneration in the mouse visual system. This finding was not surprising given that these animals do not develop abnormal elevations in IOP over their lifespan. This seems to align with previous studies that also did not report abnormal IOPs in these mice, and provide evidence suggesting that the abnormal development in anterior eye structures is restricted to only modest focal points across these drainage structures and therefore are not substantial enough to alter the aqueous fluid drainage (Libby et al., 2003).

Our most interesting finding is that after a 5-weeks period of an equivalent pathological elevation in IOP, deficits in anterograde transport to the colliculus were more severe in Cyp1b1<sup>-/-</sup> mice than in age-matched wildtype mice. Additionally, IOP elevation altered node of Ranvier morphology, where node gap lengths were longer and paranode lengths were shorter in Cyp1b1<sup>-/-</sup> hypertensive optic nerves compared to equivalently stressed wildtype subjects. This observation is further intriguing given that alterations in node of Ranvier morphology are not typically reported following IOP elevation

induced by microbead occlusion, but rather in naturally occurring animal models such as the DBA2/J mouse (Smith et al., 2018). It is important to note that despite the nuanced alterations in node/paranode morphology and the exacerbated transport loss, Cyp1b1<sup>-/-</sup> subjects did not demonstrate any greater difference in RGC somal loss in the retina compared to wildtypes following ocular hypertension. Given that RGC degeneration in glaucoma occurs in a retrograde fashion occurring first in the distal axon and dying back toward cell bodies at varied rates, timing is critical. Our data represent a single time point following elevation whereby the percent change in RGC densities would have to be analyzed across multiple earlier and later time points to elucidate effects on RGC apoptosis in the retina. In any case, clinical manifestation and treatment strategies are not wholly left to preventing RGC loss and these exacerbated deficits in axonal transport and node of Ranvier alterations stand to have immense impact on RGC function.

In terms of possible mechanisms, our findings lack the support of additional literature therefore, much is left to speculation until further studies are conducted. Nevertheless, we assert that interesting linkages can be made across our findings by focusing on the bioactive metabolites produced by Cyp1b1. As mentioned, Cyp1b1 participates in the conversion of retinol to retinoic acid (RA) and metabolism of unbound arachidonic acid (AA) (Choudhary et al., 2004). Several studies have proposed various beneficial effects of RA in central nervous system injury noting RAs ability to downregulate pro-inflammatory cytokines such as IL-1 $\beta$  and TNF $\alpha$  in order to alter macrophage and microglial activation and reactive oxygen species production (Choi et al., 2005; Dheen et al., 2005; Xu and Drew, 2006; De La Rosa-Reyes et al., 2019) and in mediating RGC survival following optic nerve injury (Duprey-Díaz et al., 2016). RA has been shown to be released from NG2 cells in the central nervous system (Mey et al., 2005). NG2 cells play an intimate supporting role at the node of Ranvier where their processes are shown to insert (Serwanski et al., 2017) in order to serve as sensors to communicate changes in neuron environment in times of stress/injury (Wu et al., 2008). While it is not understood, we can speculate that RA release from NG2 cells at the node may provide additional support to mediate anti-inflammatory processes following injury or stress (Palenski et al., 2013). In the absence of Cyp1b1, diminished RA levels may lead to increased neuroinflammation (Falero-Perez et al., 2019) at the node altering their morphology in response to stress placed on axons following IOP elevation.

In addition, arachidonic acid (AA), a fatty acid that is released from cell membrane phospholipids following mechanical stimulation or hypoxia is partly metabolized by Cyp1b1. AA concentrations have been described in the retina, optic nerve, and brain and may play a role in other axonopathies/neurodegenerative disorders such as Alzheimer's disease which share several similarities to glaucoma (Conquet et al., 1975; Corcoran et al., 2004). AA has been shown to influence synaptic functions by acting as a retrograde messenger stimulating opening Kv channels (Angelova and Müller, 2009). Given that Cyp1b1 appears localized within

the inner plexiform layer of the retina, it would in theory allow for maintained high concentration of AA levels at the bipolar-RGC synapse. This may alter RGC activity in Cyp1b1<sup>-/-</sup> projections whereby increased levels of AA could alter RGC excitability through retrograde synaptic signaling through inhibited sodium/potassium currents and synaptic transmission (Fraser et al., 1993). Additionally, AA has been described to be involved in tau hyperphosphorylation (King et al., 2000). AA activates several kinases, including protein kinase  $\alpha$ , which directly contribute to increased tau phosphorylation levels (Kochs et al., 1993). Tau hyperphosphorylation is evident in the glaucomatous optic nerve and can disrupt axonal transport mechanisms (Wilson et al., 2016). It is possible that absence of Cyp1b1 exacerbates axonal transport deficits through increasing intra-axonal RGC tau hyperphosphorylation.

## CONCLUSION

Absence of Cyp1b1 alone is not sufficient to alter visual function but does increase RGC susceptibility to axonopathy following pressure elevation. Thus, Cyp1b1 may contribute to the ability of RGCs to respond to stress or injury through internal or external signaling mechanisms mediated through bioactive metabolites.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

## ETHICS STATEMENT

The animal study was reviewed and approved by the Northeast Ohio Medical University Institutional Animal Care and Use Committee.

## AUTHOR CONTRIBUTIONS

NA contributed extensively to experimental design, the data collection, reporting of initial results, and writing of the manuscript. BF and SD assisted with immunofluorescence assays and the data analysis. RB assisted in the data collection, interpretation of the results, and manuscript review. MS designed the study, performed and assisted in the data collection and analysis, interpreted results, and prepared the manuscript. All authors contributed to the article and approved the submitted version.

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# Ocular Characteristics of Patients With Bardet–Biedl Syndrome Caused by Pathogenic BBS Gene Variation in a Chinese Cohort

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Bardet–Biedl syndrome (BBS; OMIM 209900) is a rare genetic disease causing damage to multiple organs and affecting patients' quality of life in late adolescence or early adulthood. In this study, the ocular characteristics including morphology and function, were analyzed in 12 BBS patients from 10 Chinese families by molecular diagnostics. A total of five known and twelve novel variants in four *BBS* genes (*BBS2*, 58.33%; *BBS4*, 8.33%; *BBS7*, 16.67%; and *BBS9*, 16.67%) were identified in 10 Chinese families with BBS. All patients had typical phenotypes of retinitis pigmentosa with unrecordable or severely damaged cone and rod responses on full-field flash electroretinography (ffERG). Most of the patients showed unremarkable reactions in pattern visual evoked potential (PVEP) and multifocal electroretinography (mfERG), while their flash visual evoked potentials (FVEP) indicated display residual visual function. Changes in the fundus morphology, including color fundus photography and autofluorescence (AF) imaging, were heterogeneous and not consistent with the patients' functional tests. Overall, our study expands the variation spectrum of the *BBS* gene, showing that the ocular characteristics of BBS patients are clinically highly heterogeneous, and demonstrates the usefulness of a combination of the ffERG and FVEP assessments of visual function in the advanced stage of retinopathy in BBS.

**Keywords:** Bardet–Biedl syndrome, ocular characteristics, morphology, visual function, gene variation

## INTRODUCTION

Bardet–Biedl syndrome (BBS; OMIM 209900) is a genetic disease causing damage to multiple organs, including early onset progressive retinitis pigmentosa (RP), obesity, hypogonadal hypoplasia, hand and/or foot polydactyly, intellectual disability, abnormal renal development (Bardet, 1995; Biedl, 1995). Therefore, BBS patients' lives can be seriously threatened. However, while the diagnosis of BBS is often missed, it is usually fully recognized when patients visit an ophthalmologic clinic due to impaired vision or night blindness.

The ocular symptoms of BBS mainly manifest as RP since childhood. Fundus manifestations include optic disk pallor, bone spicule pigment deposits, thinning of the blood vessels, and

retinal osteocyte pigmentation (Riise et al., 1996; Moore et al., 2005). Moreover, scotopic rod and maximal responses as well as cone responses are non-detectable in most BBS patients (Berezovsky et al., 2012; Scheidecker et al., 2015). A total of 24 causative genes of BBS have thus far been discovered (Weihbrecht et al., 2017; Bölükbaşı et al., 2018; Mary et al., 2019; Wormser et al., 2019). Most of them encode proteins necessary for the formation of the BBSome multi-subunit complex which localized at the cilia and basal body, and their connecting the cilium in the outer segment of photoreceptor has been thought to play a role in protein trafficking (Wei et al., 2012; Xu et al., 2015). Defects in any one subunit will adversely affect the formation or function of the BBSome, causing the BBS phenotype (Khan et al., 2016; Priya et al., 2016; Weihbrecht et al., 2017). Moreover, rhodopsin in BBS gene mutant mice was incorrectly located in the cells of the inner segment (IS) and outer nuclear layer (ONL), and the OS, IS, and ONL exhibited progressive loss (Nishimura et al., 2004; Datta et al., 2015). Currently, BBS treatment focuses on managing diabetes, hypertension, and metabolic syndrome to minimize the secondary effects of the disease on vulnerable organs.

Most BBS cases reported worldwide are concentrated in Europe and the Middle East (Farg and Teebi, 1988, 1989), whereas the disorder is very rare among the Chinese population. In this study, we conducted clinical and genetic analyses of 10 Chinese families (12 patients) with BBS and comprehensively evaluated visual function and fundus changes in these patients. We also investigated BBS genotype-phenotype differences between Chinese reports and those from abroad.

## MATERIALS AND METHODS

### Patient Recruitment

A total of 12 patients from 10 unrelated families with BBS treated at the Ophthalmology Department, Southwest Hospital, Army Medical University, Chongqing, China from 2012 to 2018 were retrospectively included in this study. The clinical diagnosis of BBS was made based on the potential patients presenting with four primary features or three primary features plus two secondary features. The primary features included retinal dystrophy, obesity, postaxial polydactyly, intellectual disability, gonadal abnormalities, and renal abnormalities. The secondary features included speech disorder/delay, strabismus/cataracts/astigmatism, brachydactyly/syndactyly, developmental delay, polyuria/polydipsia (nephrogenic diabetes insipidus), ataxia/poor coordination/imbalance, mild spasticity (especially in the lower limbs), diabetes mellitus, dental crowding/hypodontia/small roots/high arched palate, left ventricular hypertrophy/congenital heart disease, hepatic fibrosis, short stature and hearing loss (Beales et al., 1999). If a patient was highly suspected to have BBS but one or two cardinal symptoms were missing, genetic analyses were performed for comprehensive analysis. We could clinically exclude the other syndromes such as Usher syndrome, Alström syndrome, McKusick-Kaufman syndrome, Prader-Willi syndrome, and Joubert syndrome. Available family members of the probands were invited for clinical examination and genetic analysis. The

research protocol was approved by the Ethics Review Board of Southwest Hospital (Chongqing, China, KY2020096), and the study was conducted in accordance with the provisions of the Declaration of Helsinki. Written informed consent was obtained from all participants. Assessment of ocular characteristics.

All patients underwent comprehensive ophthalmologic examinations, including best-corrected visual acuity (BCVA) tests with a decimal chart, indirect ophthalmoscopy, and slit lamp biomicroscopy. Color fundus photography, fundus fluorescein angiography (FFA), fundus autofluorescence (FAF; excitation: 488 nm), and Spectralis HRA-optical coherent tomography (OCT, Heidelberg Engineering, Dossenheim, Germany) imaging were used. Full-field flash electroretinography (ffERG; Diagnosys LLC, Lowell, MA, United States) and multifocal electroretinography (mfERG; VERIS Science 6.3.2; Electro-Diagnostic Imaging, Inc., Milpitas, CA, United States) were performed according to the ISCEV standard protocol (Hood et al., 2012; McCulloch et al., 2015). Pattern visual evoked potentials (PVEP) and flash visual evoked potentials (FVEP) were also recorded.

### Molecular Genetic Analysis

Genomic DNA was extracted from the patients' peripheral blood using the Tiangen blood kit (Tiangen Biotech, Beijing, China) following the manufacturer's standard sequencing protocols. Targeted next generation sequencing (NGS) was then performed using a capture panel including 131 known inherited retinal disease (IRD) genes (**Supplementary Table 1**). All coding exons of these genes were captured using the GenCap Liquid Phase Capture Kit (MyGenostics Inc.) and sequenced on the Illumina NextSeq 500. Then, the sequencing data processing, single nucleotide variants (SNVs) and insertions/deletions (InDels) calling and annotation were performed based on the hg19/GRCh37 human reference genome by using Haplotype Caller in GATK software (V.3.7), VariantFiltration in GATK software (V.3.7) and ANNOVAR software (V.3.4), respectively. Then, the online bioinformatics analysis software used to classify the pathogenicity of the candidate variants according to the American College of Medical Genetics and Genomics (ACMG) guidelines, including Exome Aggregation Consortium (ExAC), 1000Genomes database (1000G), and Genome Aggregation Database (gnomAD) to check the allele frequency; The rs number were found in Single Nucleotide Polymorphism Database (dbSNP); The pathogenicity of missense and synonymous variations were analyzed using ClinVar, HGMD Professional and four software prediction programs: Sorting Intolerant from Tolerance (SIFT), Protein Variation Effect Analyzer (PROVEAN) (Choi and Chan, 2015), Polymorphism Phenotyping v2 (PolyPhen2), and MutationTaster (Schwarz et al., 2014). The predicted effect on the splicing of all missense and synonymous variations was assessed with the Human Splicing finder program version 3.1 (Desmet et al., 2009). Finally, the disease-causing variants were identified while fully considering the clinical phenotypic findings and co-segregation analysis (by Sanger sequencing) of the affected subjects.

**TABLE 1** | Systemic manifestations of patients with BBS.

| Patient              | Sex | Age at diagnostic exam | Initial eye symptom | Age of onset of NB | Ocular disease | BMI (Kg/m <sup>2</sup> ) | Polydactyly | Intellectual disability | Gonad | Renal | Hear | Tooth | Short stature | Abnormal |             |                |        |
|----------------------|-----|------------------------|---------------------|--------------------|----------------|--------------------------|-------------|-------------------------|-------|-------|------|-------|---------------|----------|-------------|----------------|--------|
|                      |     |                        |                     |                    |                |                          |             |                         |       |       |      |       |               | Heart    | Blood sugar | Blood pressure | Lipids |
| F1-II:1              | M   | 21 y                   | NB                  | Since birth        | RP             | 33.2                     | Yes         | Yes                     | No    | No    | Yes  | Yes   | Yes           | No       | No          | No             | No     |
| F2-II:1 <sup>#</sup> | M   | 13 y                   | NB                  | Since birth        | RP             | 19.53                    | No          | Yes                     | No    | No    | No   | Yes   | No            | No       | No          | No             | No     |
| F2-II:2              | F   | 19 y                   | NB                  | Childhood          | RP             | 24.44                    | Yes         | No                      | OD    | No    | No   | No    | Yes           | No       | No          | No             | No     |
| F3-II:1 <sup>#</sup> | M   | 30 y                   | NB                  | Since birth        | RP             | 26.72                    | No          | No                      | EGD   | No    | Yes  | No    | No            | No       | No          | No             | No     |
| F3-II:2 <sup>*</sup> | M   | 29 y                   | NB                  | Since birth        | RP             | 29.05                    | No          | No                      | EGD   | No    | Yes  | No    | No            | HSHR     | No          | SH             | No     |
| F4-II:1              | F   | 37 y                   | NB                  | Since birth        | RP             | 28.76                    | No          | No                      | No    | No    | No   | Yes   | No            | No       | No          | SH             | No     |
| F5-II:1              | M   | 26 y                   | NB                  | Since birth        | RP             | 32.30                    | Yes         | No                      | -     | -     | -    | Yes   | Yes           | -        | -           | -              | -      |
| F6-II:1              | F   | 13 y                   | NB                  | 3 y                | RP             | 30.00                    | Yes         | Yes                     | OC    | -     | -    | Yes   | Yes           | -        | SH          | -              | SH     |
| F7-II:1              | M   | 8 y                    | NB                  | Since birth        | RP             | 26.30                    | -           | No                      | -     | -     | -    | Yes   | Yes           | -        | -           | -              | -      |
| F8-II:1              | F   | 8 y                    | NB                  | 8 y                | RP             | 41.42                    | Yes         | Yes                     | No    | No    | No   | Yes   | Yes           | No       | No          | No             | No     |
| F9-II:1              | M   | 27 y                   | NB                  | 6 y                | RP             | 26.12                    | Yes         | No                      | No    | No    | No   | Yes   | No            | No       | No          | No             | No     |
| F11F10-II:1          | M   | 18 y                   | NB                  | Since birth        | RP             | 30.42                    | Yes         | No                      | No    | RC    | No   | No    | No            | No       | No          | No             | SH     |

EGD, external genital dysplasia; HSHR, high sinus heart rate; NB, night blindness; OC, ovarian cyst; OD, ovarian dysplasia; RC, renal cyst; SH, slightly higher.

<sup>#</sup>Bones and joints change. <sup>\*</sup>Epilepsy, dorsal hemangioma. Not available.



**FIGURE 1** | Systemic manifestations of BBS patients. The Wechsler Intelligence Score showed that this patient (F1-II:1) only had a 6-year-old intellectual level, his physical signs are: **(A)** Obesity (BMI 33.2 Kg/m<sup>2</sup>). **(B)** Polydactyly of the right hand and left foot. **(C)** Sexual organ dysplasia. **(D)** Dental dysplasia. **(E)** Abnormal tooth development.

## RESULTS

### Clinical Findings of BBS

We enrolled four women and eight men from 10 unrelated families. Their average age was 20.75 years (range: 8–37 years). The physical examination findings included obesity (12/12), hand and foot polydactyly (7/12), intellectual disability (4/12), abnormal tooth development (8/12), short stature (6/12), hearing loss (3/12), gonadal dysplasia (4/12), epilepsy (2/12), dorsal hemangioma (2/12), renal dysplasia (1/12), spinal dysplasia (1/12), gallstones (1/12), hyperlipidemia (1/12), hypertension (2/12), osteoarthritis-like changes (1/12), and heart abnormalities (1/12) (Table 1 and Figure 1). Five patients had undergone surgical extra toe removal at an early age, and one side ovary was removed from F6-II:1 due to acute torsion of a unilateral polycystic ovary cyst. Three patients dropped out of school at an early age due to intellectual disability.

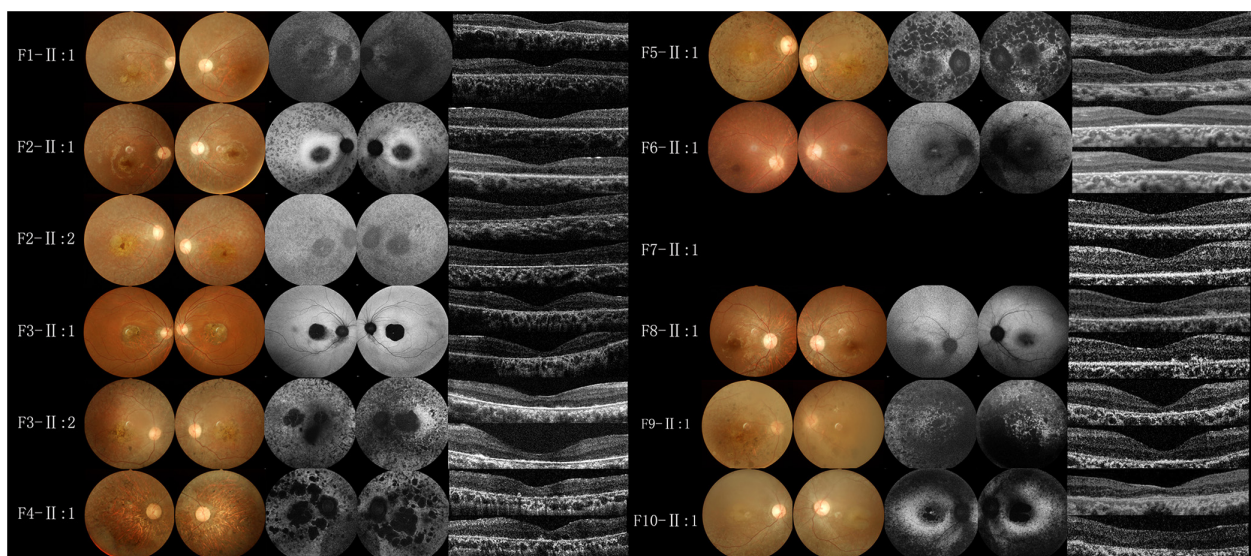
### Ocular Characteristics

All BBS patients were misdiagnosed with amblyopia upon their first visit to our hospital, and their common initial eye symptom was night blindness, and most of them have vision decline and vision field defect. Three patients (F-II:1, F2-II:2, and F8-II:1) had strabismus, and four (F1-II:1, F4-II:1, F5-II:1, and F8-II:1) had concomitant cataracts. F1-II:1 had nystagmus and concomitant cataract, and F2-II:2 had nystagmus with strabismus. The BCVA ranged from light perception (LP) to 0.3, including low vision (10/24 eyes) and legal blindness (16/24 eyes). All patients presented with typical RP features, including pigmentary changes in their peripheral

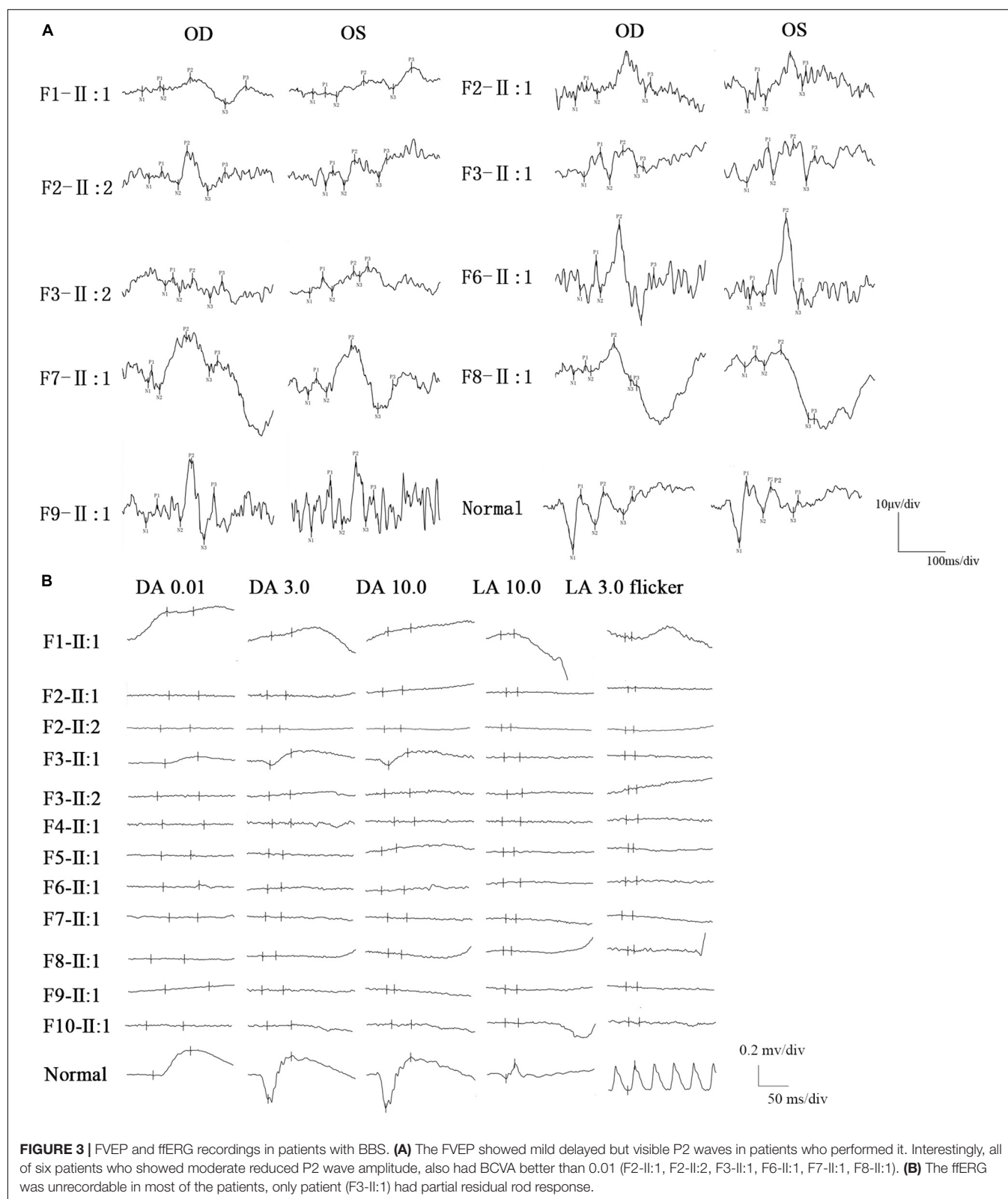
and midperipheral retina, attenuated arteriolar vessels, pallor of the optic disk, and macular lesions (**Figure 2**; Fundus). Solitary macular atrophy was found in F3-II:1 and F8-II:1, which was characterized by pigment disorder, retinal thinning, and local retinal pigment epithelial atrophy, with a normal pigment change in the posterior pole retina. The AF of all patients showed plaque hypoautofluorescence in the posterior pole. Plaque hypoautofluorescence in the macular area was enlarged in F1-II:1, F2-II:1, F2-II:2, F3-II:2, F4-II:1, F5-II:1, and F9-II:1 (**Figure 2**; FAF). Patients' fundus (F3-II:1 and F8-II:1) showed solitary enlarged macular lesions, while hypoautofluorescence of the macular area seemed to be normal in F10-II:1. OCT showed that the outer structure of the subfovea, including the myoid zone, ellipsoid zone, and external limiting membranes, was completely absent in F1-II:1; while most of the outer structure of the subfovea remained in F6-II:1; the rest of the patients showed different degrees of deletion of the outer structure of the subfovea (**Figure 2**; OCT). In the objective visual functional test, the fERG displayed unrecordable waveforms in most of the patients (**Figure 3A**), and only F3-II:1 exhibited partial residual rod response. The mfERG waves were unrecordable in all patients assessed. The PVEP results showed a severely decreased amplitude and moderate delayed peak time in the P100 wave of F6-II:1, while the other patients had unrecordable PVEP due to nystagmus and fixation loss (**Supplementary Figure 2**). The FVEP showed mild delayed but visible P2 waves in patients assessed. Interestingly, all of the six patients who showed moderately reduced P2 wave amplitude, also had BCVA better than 0.01 (F2-II:1, F2-II:2, F3-II:1, F6-II:1, F7-II:1, and F8-II:1) (**Figure 3B** and **Table 2**).

## Variant and Pedigree Analysis

Consanguineous marriage (F2) was noted in one of the 10 families in our cohort (**Figure 4**). After variant calling and data filtering, we identified 15 compound variants and two homozygous variants in the 12 patients with *BBS2* (F1–F5), *BBS4* (F6), *BBS7* (F7–F8), and *BBS9* (F9–F10) gene (**Table 3**), including five splice variations (c.263 + 2delT, c.534 + 1G > T, c.1198 + 1G > A, c.2059 + 1G > C, and c.2059 + 1G > T), three frameshift variations c.72delT(p.L25Cfs\*16), c.563delT(p.I188Tfs\*13), and c.1002delT(p.N335Ifs\*47), four nonsense variations c.31C > T(p.Q11\*), c.445C > T(p.R149\*), c.1015C > T(p.R339\*), and c.1395T > A(p.Y465\*), four missense variations c.79A > C(p.T27P), c.728G > A(p.C243Y), c.932G > A(p.G311D), and c.944G > A(p.R315Q), one synonymous variation c.1278A > G(p.E426E). These variants were confirmed as perfectly co-segregated with the disease within the families in which they occurred (**Figure 4**) based on a recessive pattern of inheritance as ascertained by Sanger sequencing. Among the identified variants, c.563delT(p.I188Tfs\*13), c.944G > A(p.R315Q), and c.1015C > T(p.R339\*) in the *BBS2* gene (Katsanis et al., 2001; Xing et al., 2014; Shaheen et al., 2016), c.728G > A(p.C243Y) and c.1002delT(p.N335Ifs\*47) in the *BBS7* gene (Chen et al., 2013; Wang et al., 2013) have been reported to be related to RP or BBS, while the rest of the 12 variants in the four genes were never been reported in ClinVar or HGMD Professional, so they were considered novel (*BBS2*, 41.67%; *BBS4*, 16.67%; *BBS7*, 8.33%; and *BBS9*, 33.33%). Moreover, according to the ACMG guidelines, except for c.79A > C(p.T27P) and c.1278A > G(p.E426E) which were



**FIGURE 2 |** Fundus photography, fundus autofluorescence imaging, and foveal optical coherence tomography scans of probands from the BBS families. All patients had macular lesions, with pigmentary changes in the peripheral and midperipheral retina, attenuated arteriolar vessels, and pallor of the optic disk (Fundus). Plaque hypoautofluorescence in the macular area was enlarged in F1-II:1, F2-II:1, F2-II:2, F3-II:2, F4-II:1, F5-II:1, F9-II:1 (FAF). OCT (OD and OS in the vertical order) showed the outer structure of the subfovea was completely absent in F1-II:1, most of the outer structure remained in F6-II:1, and different degrees of deletion of the outer structure of the subfovea were observed in the rest of the patients (OCT).



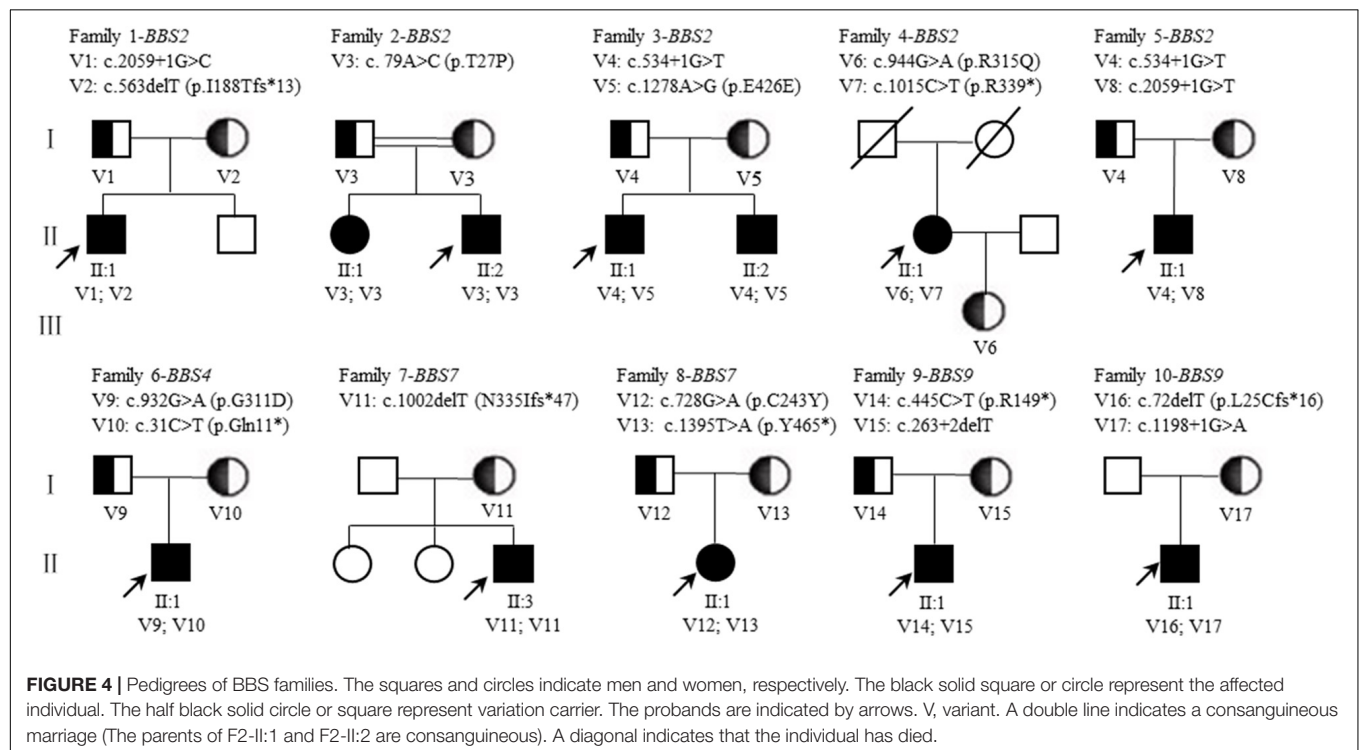
classified as uncertain significance, we classify the other 15 variants as pathogenic. Furthermore, in this study, the novel heterozygous variation c.534 + 1G > T in *BBS2*

was detected in two families (F3 and F5), and, among the pathogenic *BBS* genes in the 10 probands, *BBS2* was the most common.

**TABLE 2 |** Fundus manifestation and evaluation of visual function in patients with BBS.

| Patient | Ocular complications | BCVA RE/LE | Fundus               | PVEP (P100 wave)  | FVEP (P2 wave)                                    | ffERG                         | mfERG |
|---------|----------------------|------------|----------------------|---|---|-------------------------------|-------|
| F1-II:1 | Nystagmus, cataract  | CF/HM      | MA, ODP, OCLPD       | UR  | Severe reduce amplitude, mild delay               | UR                            | UR    |
| F2-II:1 | Strabism             | 0.05/0.2   | MA, ODP, OCLPD       | Severe decreased amplitude and moderate delay peak time | Moderate reduced amplitude, mild delay            | UR                            | UR    |
| F2-II:2 | Nystagmus, strabism  | 0.05/0.1   | MA, ODP, OCLPD       | UR  | Moderate reduced amplitude, mild delay            | UR                            | UR    |
| F3-II:1 | –                    | 0.02/0.01  | MA, ODP, OCLPD       | UR  | Moderate reduced amplitude, mild delay            | Partial residual rod response | UR    |
| F3-II:2 | –                    | LP/LP      | MA, ODP, OCLPD       | UR  | Severe reduced amplitude, without delay           | UR                            | UR    |
| F4-II:1 | Cataract             | HM/HM      | CS, RPE atrophy, ODP | –   | –   | UR                            | UR    |
| F5-II:1 | Cataract             | 0.05/0.05  | MA, ODP, OCLPD       | UR  | –   | UR                            | UR    |
| F6-II:1 | –                    | 0.1/0.15   | ODP, OCLPD           | Moderately decreased amplitude and delayed peak time    | Moderate reduce amplitude, mild delay             | UR                            | –     |
| F7-II:1 | –                    | 0.2/0.2    | MA, ODP, OCLPD       | –   | Moderate reduce amplitude, mild delay             | UR                            | –     |
| F8-II:1 | Exotropia            | 0.3/0.3    | RPD                  | –   | Moderate reduce amplitude, mild delay             | UR                            | –     |
| F9-II:1 | Cataract             | HM/HM      | ODP, OCLPD,          | –   | Unstable and severe reduced amplitude, mild delay | UR                            | UR    |
| F10-II  | –                    | 0.2/0.1    | MA, ODP, OCLPD       | –   | –   | UR                            | UR    |

BCVA, best-corrected visual acuity; CF, count finger; CS, choroid sclerosis; HM, hand move; LE, left eye; LP, light perception; MA, macular atrophy; RE, right eye; OCLPD, osteocyte cell-like pigment deposition; ODP, optic disk pallor; RPD, retinitis pigment disorder; UR, unrecorded; –, not available.



**FIGURE 4 |** Pedigrees of BBS families. The squares and circles indicate men and women, respectively. The black solid square or circle represent the affected individual. The half black solid circle or square represent variation carrier. The probands are indicated by arrows. V, variant. A double line indicates a consanguineous marriage (The parents of F2-II:1 and F2-II:2 are consanguineous). A diagonal indicates that the individual has died.

**TABLE 3 |** Identified variants in patients with BBS.

| Patient          | Gene* | Exon/<br>Intron | Nucleotide<br>change | Protein<br>change | Hom/<br>Het | PolyPhen-<br>2 | PROVEAN     | SIFT      | Mutation<br>Taster | HSF       | gnomAD     | Rs           | Report                | ACMG                        |
|------------------|-------|-----------------|----------------------|-------------------|-------------|----------------|-------------|-----------|--------------------|-----------|------------|--------------|-----------------------|-----------------------------|
| F1-II:1          | BBS2  | Intron16        | c.2059 + 1G > C      |                   | het         | –              | –           | –         | DC                 | MPADS     | –          | –            | Novel                 | P (PVS1, PM2, PM3, PP1)     |
|                  |       | Exon5           | c.563delT            | p.I188Tfs*13      | het         | –              | –           | –         | DC                 | PADS      | 0.00001083 | rs1367927635 | Xing et al., 2014     | P (PVS1, PS1, PM2, PM3)     |
| F2-II:1, F2-II:2 | BBS2  | Exon1           | c.79A > C            | p.T27P            | hom         | benign         | Neutral     | Tolerated | Polymorphism       | PADS      | –          | –            | Novel                 | US (PM1, PM2, PP1, BP4)     |
| F3-II:1, F3-II:2 | BBS2  | Intron4         | c.534 + 1G > T       |                   | het         | –              | –           | –         | DC                 | MPADS     | 0.000101   | rs773862084  | Novel                 | P (PVS1, PM2, PP1)          |
|                  |       | Exon11          | c.1278A > G          | p.E426E           | het         | –              | Neutral     | Tolerated | DC                 | PADS      | –          | –            | Novel                 | US (PM2, PM3, PP1, BP4)     |
| F4-II:1          | BBS2  | Exon9           | c.944G > A           | p.R315Q           | het         | PRD            | Deleterious | Damaging  | DC                 | PAAS      | –          | rs544773389  | Katsanis et al., 2001 | P (PS1, PM1, PM2, PM3, PP3) |
|                  |       | Exon9           | c.1015C > T          | p.R339*           | het         | –              | –           | –         | DC                 | No impact | –          | rs193922710  | Shaheen et al., 2016  | P (PVS1, PS1)               |
| F5-II:1          | BBS2  | Intron16        | c.2059 + 1G > T      |                   | het         | –              | –           | –         | DC                 | MPADS     | –          | –            | Novel                 | P (PVS1, PM2, PM3, PP1)     |
|                  |       | Intron4         | c.534 + 1G > T       |                   | het         | –              | –           | –         | DC                 | MPADS     | 0.000101   | rs773862084  | Novel                 | P (PVS1, PM2, PP1)          |
| F6-II:1          | BBS4  | Exon12          | c.932G > A           | p.G311D           | het         | PRD            | Deleterious | Damaging  | DC                 | No impact | –          | –            | Novel                 | P (PM1, PM2, PM3, PP1, PP3) |
|                  |       | Exon2           | c.31C > T            | p.Q11*            | het         | –              | –           | –         | DC                 | PADS      | –          | –            | Novel                 | P (PVS1, PM2, PP1)          |
| F7-II:1          | BBS7  | Exon10          | c.1002delT           | p.N335Ifs*47      | hom         | –              | –           | –         | DC                 | No impact | –          | –            | Chen et al., 2013     | P (PVS1, PS1)               |
| F8-II:1          | BBS7  | Exon8           | c.728G > A           | p.C243Y           | het         | PRD            | Deleterious | Damaging  | DC                 | No impact | 0.00003974 | rs727503821  | Wang et al., 2013     | P (PS1, PM2, PM3, PP1, PP3) |
|                  |       | Exon14          | c.1395T > A          | p.Y465*           | het         | –              | –           | –         | DC                 | PADS      | –          | –            | Novel                 | P (PVS1, PM2, PP1)          |
| F9-II:1          | BBS9  | Exon6           | c.445C > T           | p.R149*           | het         | –              | –           | –         | DC                 | PAAS      | 0.00001224 | rs781174906  | Novel                 | P (PVS1, PM2, PP1)          |
|                  |       | Intron3         | c.263 + 2delT        |                   | het         | –              | –           | –         | DC                 | MPADS     | –          | –            | Novel                 | P (PVS1, PM2, PM3, PP1)     |
| F10-II:1         | BBS9  | Exon2           | c.72delT             | p.L25Cfs*16       | het         | –              | –           | –         | DC                 | No impact | –          | –            | Novel                 | P (PVS1, PM2, PM3, PP1)     |
|                  |       | Intron10        | c.1198 + 1G > A      |                   | het         | –              | –           | –         | DC                 | MPADS     | –          | –            | Novel                 | P (PVS1, PM2, PM3)          |

DC, disease causing; LP, likely pathogenic; MPADS, most probably affecting donor splicing; P, pathogenic; PAAS, potential alteration of acceptor splice site; PADS, potential alteration of donor splice site; POD, possibly damaging; PRD, probable damaging; –, not available; US, uncertain significance. \*The transcripts of the four genes we used in this study for sequencing and refer were BBS2 (NM\_031885.3), BBS4 (NM\_033028.4), BBS7 (NM\_176824.2), and BBS9 (NM\_198428.2).

## Analysis of BBS Related Literatures

We performed a literature analysis of the BBS related literatures on both Chinese and foreigner patients (number of cases  $\geq 7$ ), focusing on the ocular characteristics shown in **Table 4**. The first report of a BBS case in the Chinese literature was in 1954. So far, more than 100 BBS patients distributed among 17 provinces in China have been reported (Wei et al., 1998). Among these cases, the initial eye symptoms were most of night blindness, vision decline, so as the foreign reports (Deveault et al., 2011; Berezovsky et al., 2012; Castro-Sánchez et al., 2015; Scheidecker et al., 2015; Esposito et al., 2017). The age at diagnostic exam ranged from 1 to 65 years, and most were later the actual age of onset. The consanguineous mating rate (10% in our study) ranged between 35 and 39% in these previous studies. Among these reports (**Table 4**), not all BBS cases met the criteria of four main characteristics or three main characteristics plus two secondary characteristics (Hulleman et al., 2016). However, the high penetrance of RP seemed to be universal among all the BBS patients. Moreover, compared with the other five main clinical manifestations, the proportion of renal abnormalities was found to be relatively low (Wei et al., 1998). Variations in *BBS1*, *BBS2*, and *BBS10* are the most common disease-causing genes among BBS patients in abroad (responsible for 50% of all cases). Thus far, our report, together with other local Chinese patient reports, suggests that the *BBS2* (20%) and *BBS7* (14.29%) genes are hot spot genes among Chinese BBS patients.

## DISCUSSION

The detailed clinical and genetic characteristics of a cohort of 12 affected subjects from 10 Chinese families with BBS are illustrated in this study. To our knowledge, this is largest cohort of BBS patients by diagnosis using comprehensive clinical and genetic analysis to date in China. Although BBS has similar features and molecular overlap with Usher syndrome, Alström syndrome (OMIM 203800), McKusick-Kauffman (OMIM 604896) syndrome, Joubert syndrome (OMIM 213300, 608091, 608629, 609583, 610688, 611560, 612229, 612285, and 300804) or Meckel Gruber syndrome (OMIM 249000, 603294, 607361, and 611134), they are considered distinct clinical diseases, such as Alström syndrome (Pereiro et al., 2010) and Usher syndrome (Tsang et al., 2018) no polydactyly, or hypogonadism. Advanced gene sequencing technology has played an important role in helping distinguish between these similar genetic diseases. Although, *ALMS1* gene which belong to Alström syndrome was not tested genetically specially by the patients (F1-II:1, F3-II:1, and F3-II:2) which have hearing disturbances, the disease-causing gene of BBS we identified was co-segregated with the family members and phenotype. The BBS patients in our study did not all fully meet the clinical diagnosis criteria for BBS, especially with the low proportion of renal abnormalities. Wei et al. (1998) reviewed 65 Chinese cases published from 1956 to 1998, finding that renal abnormalities of patients accounted for only 10.6%, and only 44.6% of patients had five main features. Furthermore, the

ratio of renal abnormalities in cases reported abroad has been very different (**Table 4**) (Imhoff et al., 2011), as the phenotypes of BBS cases in different regions have differed, including the unexpected high frequency of tooth anomalies in our cohort, which further confirmed the high heterogeneity phenotype of BBS patients.

In this study, we focus on the ocular characteristics of BBS patients and explore the similarities and differences between the cohort we reported and previous cases reported in China and abroad. It is always challenging for doctors to access the complete information regarding the development of the retina of BBS patients, since patients present with visual symptoms at a young age and their visit to an ocular department usually occurs later than their actual onset. Impaired vision and night blindness have been found to be the most common initial eye symptom in patients worldwide. In our study, 12 patients exhibited low vision and night blindness which was independent age and gender. Weihbrecht et al. (2017) reported more than 90% of individuals with BBS displayed rod-cone dystrophy with general early macular involvement. They also observed the retina morphology by fundus, FAF, and OCT, and accessed the retinal function via fERG and mfERG. We found that the visual field could not be measured accurately in these BBS patients because the vision of these patients was considered too poor to perform the kinetic visual field testing. And in the objective vision function assessment via visual electrophysiology, the fERG wave was mostly unrecordable. Scheidecker et al. (2015) reported macular atrophy in all BBS patients, and severely reduced and delayed ERG-light-adapted responses were found in most BBS patients. In general, FVEP testing can appraise the residual visual function of BBS patients, and FVEP changes seem to be consistent with BCVA impairment, suggesting the feasibility of using FVEP to assess residual visual function in patients with rod-cone dystrophy without detection of FERG response.

Researcher made effort to find the genotype-phenotype correlations in BBS families (**Table 4**) (Deveault et al., 2011; Daniels et al., 2012; Niederlova et al., 2019), but actually, it is high diversity among affected patients that existed the same genotype from one family (F2 and F3 in our study). In our study, we found that the clinical manifestations of the different genes did not differ significantly, and five patients with the same disease-causing gene in *BBS2* had completely different fundus morphological and functional changes. This indicated that the *BBS* genes and phenotypes are highly heterogeneous, which is consistent with previous findings (**Table 4**). In our study, we identified four pathogenic *BBS* genes (*BBS2*, *BBS4*, *BBS7*, and *BBS9*). Of those, *BBS2* was the most common in our cohort. We also identified a novel *BBS2* heterozygous variant c.534 + 1G > T in two families. Due to the small number of cases included in this study, it was not clear whether this is a hot spot variant of *BBS* in the Chinese population. However, the gene and its regional distributions, and even the distributions of hot spot variants have differed among studies (Mykytyn et al., 2002; Katsanis, 2004; Stoetzel et al., 2006; Ajmal et al., 2013). Yet variation in *BBS1*, *BBS2*, and *BBS10* are the most common

**TABLE 4 |** Clinical manifestations and disease-causing genes of patients with BBS from previous reports<sup>#</sup>.

| Number<br>(male/<br>female) | Age at<br>diagnostic<br>exam           | Initial eye<br>symptoms                                       | RP   | Polydactyly | Obesity | Intellectual<br>disability | Abnormal                 |                              | Disease-<br>causing gene<br>(Top 3)  | Report                       |
|-----------------------------|--|---|------|-------------|---------|----------------------------|--------------------------|------------------------------|--|------------------------------|
|                             |  |   |      |             |         |                            | Renal                    | Gonad                        |  |                              |
| Cases outside China         |  |   |      |             |         |                            |                          |                              |  |                              |
| 83 (42/41)                  | mean age of 19 y, age range 50–64 y    | Most are vision decline, nystagmus                            | 100% | 97%         | 92%     | 61%                        | 53%                      | 59%                          | BBS10 ( <i>N</i> = 23), BBS1/BBS2 ( <i>N</i> = 16), BBS12 ( <i>N</i> = 12) | Deveault et al. (2011)       |
| 23 (15/8)                   | mean age of 15y, age range of 6~36 y   | Most are night blindness, vision decline, vision field defect | 100% | 78%         | 91%     | 78%                        | 30%                      | –                            | –  | Berezovsky et al. (2012)     |
| 62 (30/32)                  | mean age of 21 y, age range 16–56 y    | –   | 100% | –           | –       | –                          | –                        |                              | BBS1 ( <i>N</i> = 35), BBS2 ( <i>N</i> = 6), BBS10 ( <i>N</i> = 5)         | Denniston et al. (2014)      |
| 52 (28/24)                  | mean age 6y                            | Most are night blindness, vision decline, vision field defect | 100% | 79%         | 88%     | 56%                        | 23%                      | 33%                          | BBS1( <i>N</i> = 33), BBS10 ( <i>N</i> = 9), BBS12 ( <i>N</i> = 8)         | Castro-Sánchez et al. (2015) |
| 7 (6/1)                     | mean age of 37 y, age range of 25~54 y | Most are vision decline                                       | 100% | 100%        | 83%     | 42%                        | 14%                      | 42%                          | BBS10 ( <i>N</i> = 3), BBS1/BBS5/BBS6/BBS12 ( <i>N</i> = 1)                | Scheidecker et al. (2015)    |
| 12                          | mean age of 25y, age range of 9–65 y   | vision decline  | 100% | –           | –       | –                          | 75%                      | –                            | BBS1( <i>N</i> = 6), BBS1( <i>N</i> = 4), BBS2 ( <i>N</i> = 2)             | Esposito et al. (2017)       |
| Domestic cases in China     |  |   |      |             |         |                            |                          |                              |  |                              |
| *65 (45/20)                 | 1~37 y                                 | –   | 72%  | 75%         | 89%     | 90%                        | 10%                      | –                            | –  | Wei et al. (1998)            |
| 2 (2/0)                     | 32 y, 37 y                             | –   | Yes  | Yes         | Yes     | Yes                        | Yes                      | Yes                          | BBS7 ( <i>N</i> = 2)   | Yang et al. (2008)           |
| 6 (4/2)                     | –                                      | –   | Yes  | Yes         | Yes     | Yes                        | –                        | 16%                          | BBS2/BBS3 ( <i>N</i> = 2), BBS6/BBS13 ( <i>N</i> = 1)                      | Xing et al. (2014)           |
| 1 (0/1)                     | 4 y                                    | Night blindness, Vision decline                               | Yes  | Yes         | Yes     | Yes                        | –                        | No                           | BBS4 ( <i>N</i> = 1)   | Li et al. (2014)             |
| 2 (1/1)                     | 6 y                                    | –   | Yes  | Yes         | NO      | Yes                        | Yes                      | Yes                          | BBS6 ( <i>N</i> = 2)   | Qi et al. (2017)             |
| 3 (1/2)                     | 35 y, 37 y, 39 y                       | –   | Yes  | Yes         | Yes     | Yes                        | Yes                      | 1M, the rest one are unknown | BBS7( <i>N</i> = 3)  | Shen et al. (2019)           |
| 1 (1/0)                     | 4 y                                    | Night blindness, Vision decline, Vision field defect          | Yes  | Yes         | Yes     | NO                         | –                        | –                            | BBS2 ( <i>N</i> = 1)   | Dan et al. (2020)            |
| 5                           | –                                      | –   | 20%  | 60%         | 80%     | 60%                        | –                        | –                            | BBS2 ( <i>N</i> = 2), TM1M67/BBS12 ( <i>N</i> = 1)                         | Tang et al. (2020)           |
| 7 (6/1)                     | Mean age of 12 y, age range of 5~22 y  | Night blindness, Vision field defect                          | Yes  | Yes         | Yes     | Yes                        | 1M, the rest are unknown | –                            | BBS2/BBS10 ( <i>N</i> = 2)   | Tao et al. (2020)            |

*N*, number; –, not available. <sup>#</sup>Study abroad: number of patients  $\geq 7$ , study in China: no limitation of number of patients. \*This letter summarizes all cases of BBS reported in China since 1954.

disease-causing genes among BBS patients abroad (responsible for 50% of all cases).

Overall, our study had some limitations. First, the number of enrolled patients was small. Second, we did not perform a longitudinal study regarding visual impairment. The changes in

morphology and function of the retina should be followed up and correlated with different genes. Therefore, future studies with a larger sample size of BBS patients with BBS variants should be conducted using longitudinal clinical assessments and genotype-phenotype correlation analyses, not only in retina but

also nephrology and endocrinology investigations and others to find some late syndromic symptoms and signs (follow up by ophthalmologist, nephrologist and internist).

## CONCLUSION

In our study, it demonstrated heterogeneous visual function and retinal morphology changes in these BBS patients. Our study expands the variation spectrum of BBS, and indicates that a combination of mfERG and FVEP may be helpful in evaluating the residual bioelectric activity of retina and visual pathway. To our knowledge, this is the largest cohort study with BBS patients in the Chinese population until now, we may conduct a large-scale screening BBS clinical cohort study among RP patients in China in the future.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author/s.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethics Review Board of Southwest Hospital, Army Medical University (Third Military Medical University) (Chongqing, China, KY2020096). Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

## AUTHOR CONTRIBUTIONS

XM collected cases, evaluated clinical characteristics, molecular diagnosis, and wrote the manuscript. YL made

the figures and tables, and management data. GW helped in data evaluating. JR and XY collected and organized the data. SL collected cases, and wrote and revised the manuscript.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.635216/full#supplementary-material>

**Supplementary Figure 1** | The mfERG recordings in patients with BBS. The mfERG waves were unrecordable in all patients accessed.

**Supplementary Figure 2** | PVEP recordings in patients with BBS. The PVEP results showed a severely decreased amplitude and moderate delayed peak time in the P100 wave of BBS patient F6-II:1, while the other patients had unrecordable PVEP due to nystagmus and fixation loss.

**Supplementary Figure 3** | Sanger sequencing of disease-causing variants were identified in BBS patients.

**Supplementary Table 1** | 131 inherited retinal disease genes analyzed by targeted NGS diagnostic testing.

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# Evaluation of the Genetic Variation Spectrum Related to Corneal Dystrophy in a Large Cohort

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**Aims:** To characterize the genetic landscape and mutation spectrum of patients with corneal dystrophies (CDs) in a large Han ethnic Chinese Cohort with inherited eye diseases (IEDs).

**Methods:** Retrospective study. A large IED cohort was recruited in this study, including 69 clinically diagnosed CD patients, as well as other types of eye diseases patients and healthy family members as controls. The 792 genes on the Target\_Eye\_792\_V2 chip were used to screen all common IEDs in our studies, including 22 CD-related genes.

**Results:** We identified 2334 distinct high-quality variants on 22 CD-related genes in a large IEDs cohort. A total of 21 distinct pathogenic or likely pathogenic mutations were identified, and the remaining 2313 variants in our IED cohort had no evidence of CD-related pathogenicity. Overall, 81.16% ( $n = 56/69$ ) of CD patients received definite molecular diagnoses, and transforming growth factor-beta-induced protein (*TGFB1*), *CHTS6*, and *SLC4A11* genes covered 91.07, 7.14, and 1.79% of the diagnosed cases, respectively. Twelve distinct disease-associated mutations in the *TGFB1* gene were identified, 11 of which were previously reported and one is novel. Four of these *TGFB1* mutations (p.D123H, p.M502V, p.P501T, and p.P501A) were redefined as likely benign in our Han ethnic Chinese IED cohort after performing clinical variant interpretation. These four *TGFB1* mutations were detected in asymptomatic individuals but not in CD patients, especially the previously reported disease-causing mutation p.P501T. Among 56 CD patients with positive detected mutations, the recurrent *TGFB1* mutations were p.R124H, p.R555W, p.R124C, p.R555Q, and p.R124L, and the proportions were 32.14, 19.64, 14.29, 10.71, and 3.57%, respectively. Twelve distinct pathogenic or likely pathogenic mutations of *CHTS6* were detected in 28 individuals. The recurrent mutations were p.Y358H, p.R140X, and p.R205W, and the proportions were 25.00, 21.43, and 14.29%, respectively. All individuals associated with *TGFB1* were missense

mutations; 74.19% associated with *CHTS6* mutations were missense mutations, and 25.81% were non-sense mutations. Hot regions were located in exons 4 and 12 of *TGFBI* individuals and located in exon 3 of *CHTS6* individuals. No *de novo* mutations were identified.

**Conclusion:** For the first time, our large cohort study systematically described the variation spectrum of 22 CD-related genes and evaluated the frequency and pathogenicity of all 2334 distinct high-quality variants in our IED cohort. Our research will provide East Asia and other populations with baseline data from a Han ethnic population-specific level.

**Keywords:** corneal dystrophy, NGS-panel, mutation spectrum, population-specific level, baseline data

## INTRODUCTION

Corneal dystrophies (CDs) are genetically heterogeneous disorders characterized by the gradual accumulation of deposits within different corneal layers, resulting in changes in corneal transparency and refractive index (Bron, 1990).

Clinically, these diseases are divided into anatomical categories according to the specific corneal layer involved. According to the current International Committee for Classification of Corneal Dystrophies (IC3D), CDs can be divided into 4 categories and 22 subcategories. CDs can classify into one of the following anatomical categories (Weiss et al., 2008, 2015): (a) epithelial and subepithelial CDs; (b) epithelial–stromal transforming growth factor-beta-induced protein (*TGFBI*) CDs; (c) stromal CDs; and (d) endothelial CDs. At present, corneal transplants are the most effective method for the treatment of CDs. Due to lack of clinical symptoms, some patients may be misdiagnosed before phototherapeutic keratectomy (PTK) treatment or neglected before refractive surgery, which highlights the urgent need to understand the disease mechanism of CDs (Zeng et al., 2017).

Genetically, CDs are autosomal dominant, autosomal recessive or X-linked modes. Autosomal dominant inheritance accounts for most cases and is accompanied by a high degree of penetrance (Pieramici and Afshari, 2006). To date, studies have identified disease-causing mutations in 18 genes associated with CDs, many of which have established genotype–phenotype associations. For example, mutations in six genes (*CHST6*, OMIM 605294; *UBIAD1*, OMIM 611632; *SLC4A11*, OMIM 610206; *PIKFYVE*, OMIM 609414; *TACSTD2*, OMIM 137290; *DCN*, and OMIM 125255) have found a direct genetic association with macular corneal dystrophies (MCD), Schnyder CD (SCD), congenital hereditary endothelial dystrophy (CHED), fleck CD (FCD), gelatinous drop-like CD (GDLD), and congenital stromal CD (CSCD), respectively, (Zhang et al., 2013). At the same time, there are significant heterogeneities in distinct mutations of the same gene. For instance, according to the second edition of IC3D, five distinct *TGFBI* mutations (p.R124H, p.R555W, p.R124C, p.R555Q, and p.R124L) cause different types of CDs, including Granular corneal dystrophy, type 2; Granular corneal dystrophy, type 1; Lattice corneal dystrophy, type 1 (LCD1); Thiel-Behnke corneal dystrophy (TBCD); and Reis-Bücklers corneal dystrophy, respectively, (Weiss et al., 2008, 2015).

Panel-based targeted exon sequencing has proven to have excellent performance in the molecular diagnosis of heterogeneous genetic diseases. Studies have confirmed that targeted enrichment based on multi-gene panels are highly sensitive, accurate, and reproducible (Adams and Eng, 2018). A comprehensive overview of the genetic landscape associated with the CD phenotype have been provided based on sequencing hundreds of potentially related disease-causing genes. In this study, we conducted a comprehensive CD-related genetic mutation spectrum evaluation on a large IED group, including 69 patients with clinically diagnosed CDs. Our results can provide an accurate and reliable diagnosis, and comprehensively describe the detection and carrying of disease-causing mutations in the Chinese population. These findings provide a useful reference for the pre-clinical diagnosis and treatment of patients with suspected CDs, as well as carrier screening before PKT treatment or refractive surgery.

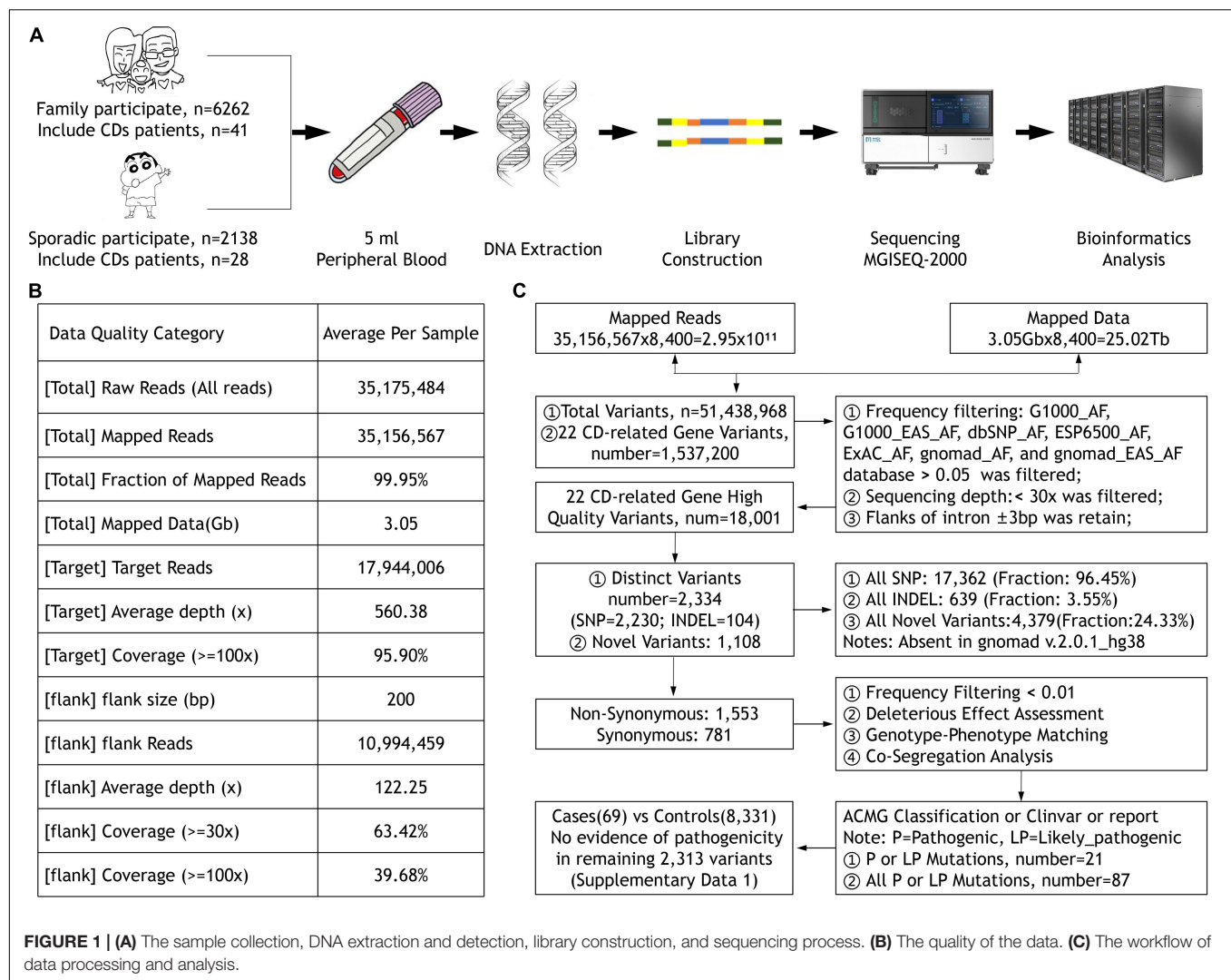
## MATERIALS AND METHODS

### Subjects and Ethics Statement

The Ethics Committee of the He Eye Specialists Hospital of He University approved the study, and the studies complied with the tenets of the Declaration of Helsinki. A large IED group and their available family members were recruited from the genetic department between July 2016 and December 2019, including 69 CD patients from 50 unrelated families diagnosed in the He Eye Specialists Hospital (**Figure 1A**). All members participating in the study collected 5 ml of peripheral blood and signed informed consent forms.

### Clinical Assessment

Corneal dystrophies are initially classified according to their corneal phenotypic characteristics and anatomical invasion and then combined with genetic testing results to obtain a more accurate diagnosis. According to the second edition of IC3D classification, individuals who meet the diagnostic criteria of CDs were included in this study (Weiss et al., 2015). All participants received comprehensive, detailed clinical examinations and obtained a family history, previous surgical history, and a description of the patient's symptoms. Clinical examination includes the following categories: best-corrected



visual acuity, slit-lamp biomicroscopic, intraocular pressure (IOP, Goldmann tonometry), fundus autofluorescence, full-field electroretinography, typical *in vivo* confocal microscopy, and spectral-domain optical coherence tomography images recorded.

The classification of CD subtypes was based on phenotypic appearance and anatomical location, and the precise diagnostic criteria referred to the second edition of IC3D (Weiss et al., 2015). Patients with extraocular somatic cell defects or other ocular or developmental abnormalities were excluded from this study, and 69 patients with suspected congenital CDs were included.

## Genetic Analysis

All participants' blood were collected and DNA were extracted using the FlexiGene DNA Kit (Qiagen, Venlo, Netherlands) according to the manufacturer's protocol. Our high-throughput targeted enrichment method analyzes 792 genes. **Supplementary Table 1A** lists 792 genes used for common inherited eye diseases (IEDs). A custom-made capture panel (Target\_Eye\_792\_V2 chip) was designed and produced by Beijing Genomics Institute (Shenzhen, China). The panel covers the exon-capture region of

792 genes associated with common IEDs and its flank  $\pm 200$  bp. Overall, the average depth of target region was more than  $560.38\times$ , that of the flank region was  $122.25\times$ , and the coverage of the target region greater than  $100\times$  was close to 96% using the MGISEQ-2000 (DNBSEQ-G400) platform (MGI, Inc., BGI-Shenzhen, China; **Figure 1B**). We used the Burrows-Wheeler aligner version 0.7.10 (BWA-MEM) to align sequence reads to the reference human genome (UCSC hg 38). Previously reported variants were determined using the Human Gene Mutation Database (HGMD; professional version 2019.3), ClinVar, and locus-specific databases. As previously reported (Richards et al., 2015), according to the American Medical Genetics and Genomics guidelines (ACMG), mutations were classified as pathogenic, likely pathogenic, and uncertain of significance. We used Sanger sequencing to verify whether clinically uncertain novel variants that passed the initial filtration were co-segregated among members of the same family. **Figure 1C** presents the workflow of data processing and analysis. **Supplementary Table 1B** lists 22 CD-related genes on the Target\_Eye\_792\_V2 chip and their clinical significance.

## RESULTS

### Cohort Characteristics

A total of 8400 individuals were included in this cohort, including 69 clinically diagnosed CD patients, as well as 8331 other types of eye disease patients and healthy family members as controls. All patients were classified into three subgroups according to different clinical characteristics: anterior segment disorders (ASDs,  $n = 1001$ ), posterior segment disorders (PSDs,  $n = 3390$ ), and other phenotypes ( $n = 462$ ). The ASD subgroup includes three types of phenotypic abnormalities of the lens ( $n = 892$ ), the cornea ( $n = 69$ ), and iris ( $n = 40$ ). The PSD subgroup includes choroid dystrophy ( $n = 40$ ), retina dystrophy ( $n = 2,570$ ), glaucoma ( $n = 173$ ), optic neurophenotypes ( $n = 382$ ), retinoblastoma ( $n = 40$ ), and vitreoretinopathy ( $n = 185$ ). All IEDs that do not meet the above two groups are divided into other phenotypes, including myopia, nystagmus, and microphthalmia. Based on these IEDs studies, we collected 69 patients with clinically definite diagnosed CDs, including two patients from two unrelated families with atypical corneal signs but positive family history, and *TGFBI* mutation was detected. These CD patients come from 50 unrelated families (total patients: 69, total participants: 91). The average age of diagnosis was  $44.72 \pm 19.97$  (range: 3–83, median: 44), and the ratio of male patients was 49.3%.

### Diagnostic Yield

Collectively, 81.16% (56/69) of patients with a clinical diagnosis of CD received a definite molecular diagnosis, and the detection rate of genetically confirmed diagnosis in families (77.27%,  $n = 17/22$ ) did not differ greatly from sporadic cases (75%,  $n = 21/28$ ). *TGFBI* mutations were most frequently detected. Eight distinct pathogenic mutations account for 91.07% (51/56) of the cases. Five distinct *CHTS6* pathogenic or likely pathogenic mutations were detected in 7.14% (4/56) of the cases, including three compound heterozygous mutations (p.D203Y/p.R211W, p.D203Y/p.W232X, and p.R140X/p.R205W) and one homozygous mutation (p.R205W). A homozygous mutation (p.E170K) in the *SLC4A11* gene was detected in 1.79% (1/56) of the cases (Figure 2A). No mutations of interest were detected in the remaining 13 cases.

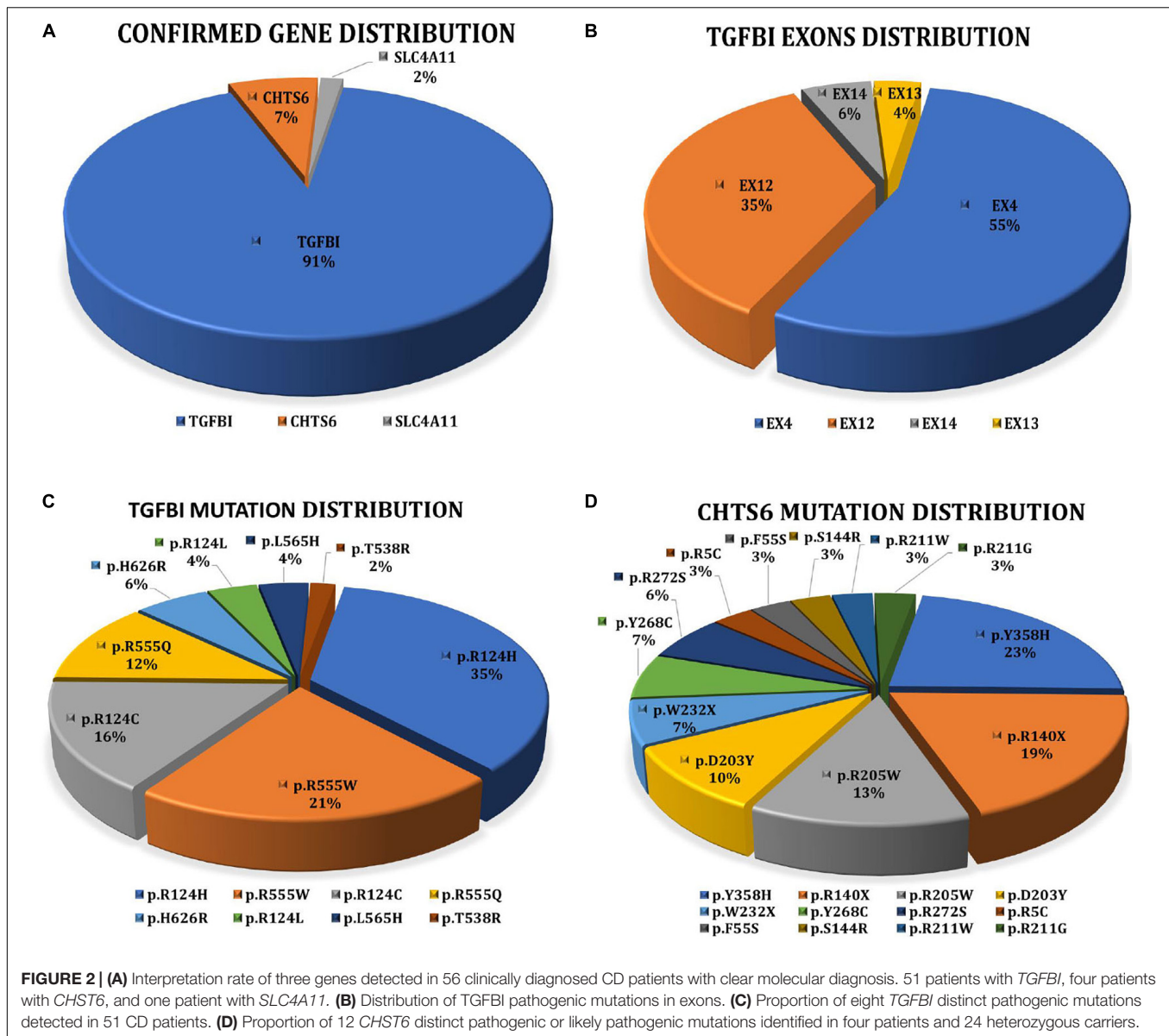
### Genetic Spectrum

Overall, 2334 distinct high-quality variants on 22 CD-related genes in 8400 individuals were identified. 21 distinct pathogenic or likely pathogenic mutations were detected, and the remaining 2313 variants in our IED cohort had no evidence of CD-related pathogenicity (Supplementary Data 1). Of them, 8 had *TGFBI* mutations, 12 had *CHTS6* mutations, and 1 had *SLC4A11* mutation. The collective explanation rate in our study was 81.16% ( $n = 56/69$ ; Table 1). Pathogenic variants in *TGFBI* individuals were distributed across exons 4, 12, 13, and 14; 90.20% of mutations were distributed across exons 4 (55%, 28/51) and 12 (35%, 18/51; Figure 2B). All *CHTS6* mutations were located in exon 3. Eight *TGFBI* pathogenic mutations were detected in 51 CD patients, and 12 *CHTS6* pathogenic or likely pathogenic

mutations were detected in 4 CD patients and 24 heterozygous carriers. The frequency of each pathogenic or likely pathogenic mutation is shown in Figures 2C,D.

The transforming growth factor-beta-induced protein (*TGFBI*; OMIM 601692, also known as  $\beta$ ig-H3, and keratoepithelin) is a 68-kD extracellular matrix protein. It has an *N*-terminal signal peptide (SP), *N*-terminal cysteine-rich (EMI) domain, four homologous FAS1 domains, and C-terminal arg-gly-aspartate (RGD) motif. It is autosomal dominant (Ivanov et al., 2008). According to previous reports (Ha et al., 2003b; Zenteno et al., 2009; Groppe, 2013), four *TGFBI* disease-associated mutations (p.D123H, p.M502V, p.P501T, and p.P501A) were classified as likely benign in this cohort after performing clinical variant interpretation. All these four *TGFBI* mutations occurred in individuals without clinical symptoms of CDs, especially the previously reported disease-causing mutation p.P501T that was detected in 71 individuals, which include both IEDs and healthy family members without CD symptoms. Most *TGFBI* mutations (88.25%, 45/51) identified in 51 CD patients were in one of the two recurrent mutations of the first FAS1 domain codon p.R124 or the fourth FAS1 domain p.R555 (Figure 3A). Fifty-one patients with *TGFBI* corneal dystrophy were detected, including 8 patients with p.R124C, 18 patients with p.R124H (15 patients with heterozygous mutations and 3 patients with homozygous mutations), 2 patients with p.R124L, 1 patient with p.T538R, 11 patients with p.R555W, 6 patients with p.R555Q, 2 patients with p.L565H, and 3 patients with p.H626R. Among them, the heterozygous and homozygous mutations of recurrent p.R124H were detected in 16 individuals and 3 individuals, respectively, and only 1 heterozygous individual showed a normal phenotype. The results indicate that the penetrance of heterozygous carriers of p.R124H mutation was 93.75% (15/16). The other four recurrent mutations (p.R555W, p.R124C, p.R555Q, and p.R124L) were all heterozygous, and the penetrance was 100%. Of the five individuals who carried the heterozygous p.L565H mutation, 3 showed a normal phenotype, indicating that the penetrance of the mutation is 40% in this IED cohort. p.T538R and p.H626R were not detected in individuals with normal phenotype. The p.R124H homozygous mutation was detected in three CD patients.

The Carbohydrate Sulfotransferase 6 protein (*CHST6*; OMIM 605294) encodes an enzyme that mediates keratan sulfate in the cornea and plays a role in maintaining corneal transparency. The defects in *CHST6* can cause MCD (OMIM 217800), an autosomal recessive genetic disease characterized by bilateral progressive stromal opacity and loss of vision, which ultimately requires corneal transplantation. A total of 12 distinct pathogenic mutations were detected in 28 individuals, including 10 missense, and 2 non-sense mutations. The recurrent mutations were p.Y358H ( $n = 7$ ), p.R140X ( $n = 6$ ), p.R205W ( $n = 4$ ), which represent 25.00, 21.43, and 14.29%, respectively, (Figure 3B). Among 28 individuals with detected *CHTS6* mutation, there were 4 CDs patients and 24 heterozygous carriers with non-CD phenotype or normal phenotype. Among these four clinically diagnosed CD patients, three had compound heterozygous mutations p.D203Y and p.R211W, p.R140X and p.R205W, p.D203Y and p.W232X, and one had compound mutation



p.R205W. In this IED cohort, the proportion of carriers of *CHST6* pathogenic mutations is 0.39%, so we speculate that the prevalence of *CHST6* pathogenic mutations in the Han ethnic Chinese general population is less than 1.5/100,000.

The Solute Carrier Family 4 Member 11 protein (*SLC4A11*; OMIM 610206) encodes the membrane transport protein of the basolateral corneal endothelium, causing CHED and Fuchs endothelial corneal dystrophy (FECD). In this study, we detected an *SLC4A11* homozygous mutation in one case, and no carrier was detected.

All mutations associated with *TGFBI* are missense mutations; 74.19% (23/31) with *CHST6* mutations are missense mutations, and 25.81% (8/31) are non-sense mutations. Moreover, of the four patients with *CHST6* mutations, 2 (50%) had missense + missense mutation (M + M), and 2 (50%) had missense + non-sense mutation (M + N). Furthermore, 5.35%

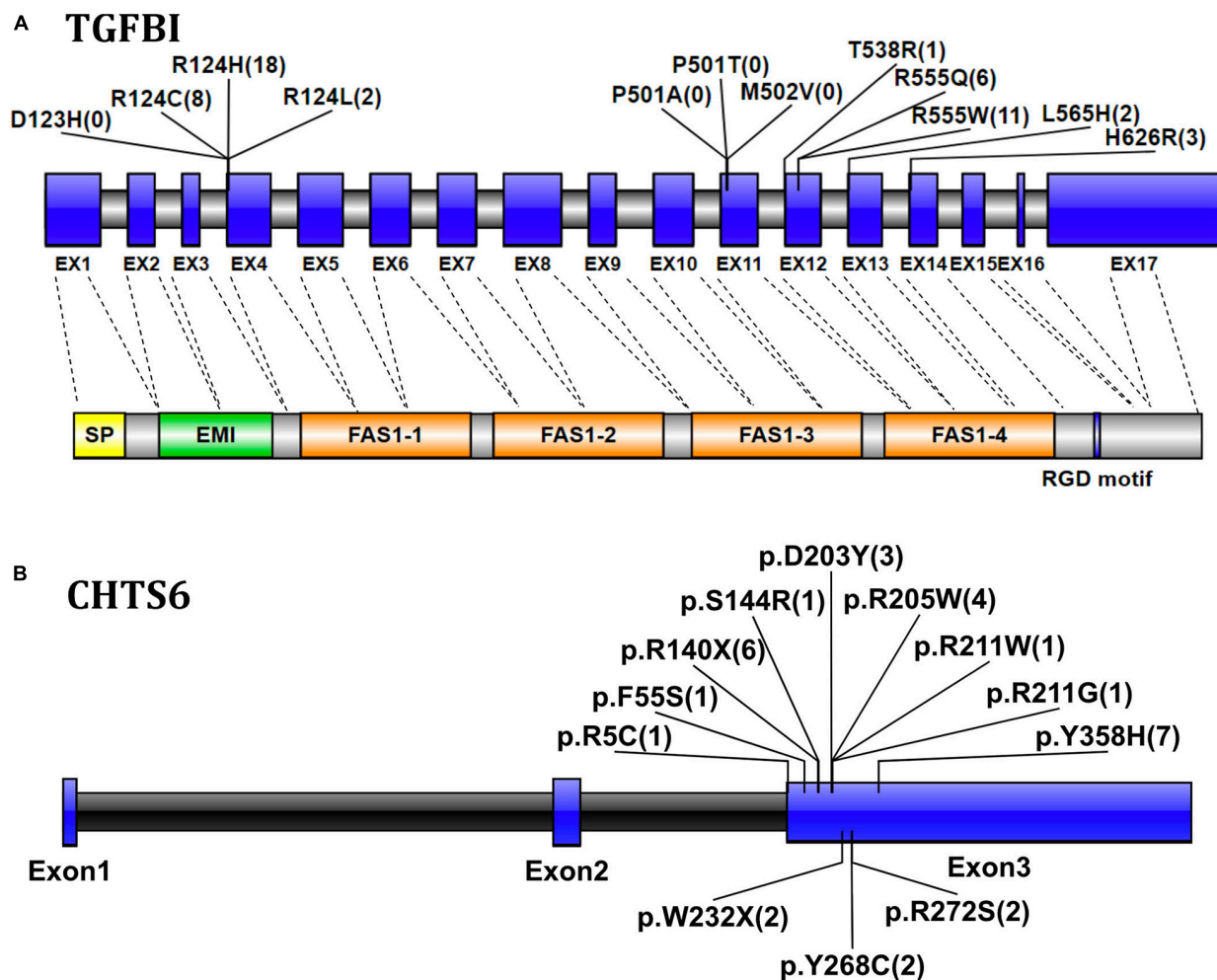
( $n = 2$ ) of the CD patients who received molecular diagnoses had refinement in the initial clinical diagnoses. These cases showed atypical clinical symptoms, but had positive family history of *TGFBI* mutations. The remaining 95.65% received definite genetic subtypes of CDs.

**Supplementary Data 1** shows the result of 2334 distinct high-quality variants on 22 CD-related genes, including 2230 SNPs and 104 indels, with the total number of variants being 18,001. A total of 781 synonymous mutations and 1553 non-synonymous variants were discovered, of which 1108 novel variants that do not exist in the gnomad database (v2.0.1.hg38) were discovered in this study. After frequency filtering, deleterious effect assessment, genotype–phenotype matching, and co-segregation analysis, 21 pathogenic mutations were found through ACMG classification, ClinVar, and or were previously reported. The remaining 2313 variants in our IED cohort had no evidence of CD-related

**TABLE 1** | Variations included in HGMD or ClinVar or previously reported were identified in this study.

| Gene Refseq ID       | Mut_Name    | Amino_Acid_Change    | Exon/<br>intron ID | Chrs:por:mut         | Mutation<br>type | <i>In silico</i><br>prediction | ACMG<br>classification | References            | Zygote (number of<br>carriers/number of<br>CD patients) |
|----------------------|-------------|----------------------|--------------------|----------------------|------------------|--------------------------------|------------------------|-----------------------|---|
| TGFB1 NM_000358      | c.367G > C  | p.Asp123His  p.D123H | EX4                | chr5:136046403:G > C | Missense         | D,D,D,D                        | Likely_benign          | Ha et al., 2003b      | Het (6/0)   |
|                      | c.370C > T  | p.Arg124Cys  p.R124C | EX4                | chr5:136046406:C > T | Missense         | D,D,D,D                        | Pathogenic             | Munier et al., 1997   | Het (8/8)   |
|                      | c.371G > A  | p.Arg124His  p.R124H | EX4                | chr5:136046407:G > A | Missense         | D,D,D,D                        | Pathogenic             | Munier et al., 1997   | Het (16/15); Hom (3/3)                                  |
|                      | c.371G > T  | p.Arg124Leu  p.R124L | EX4                | chr5:136046407:G > T | Missense         | D,D,D,D                        | Pathogenic             | Okada et al., 1998    | Het (2/2)   |
|                      | c.1504A > G | p.Met502Val  p.M502V | EX11               | chr5:136055773:A > G | Missense         | T,N,P,D                        | Likely_benign          | Zenteno et al., 2009  | Het (4/0)   |
|                      | c.1501C > A | p.Pro501Thr  p.P501T | EX11               | chr5:136055770:C > A | Missense         | D,D,D,T                        | Likely_benign          | Yamamoto et al., 1998 | Het (71/0)  |
|                      | c.1501C > G | p.Pro501Ala  p.P501A | EX11               | chr5:136055770:C > G | Missense         | T,D,D,T                        | Likely_benign          | Novel                 | Het (2/0)   |
|                      | c.1613C > G | p.Thr538Arg  p.T538R | EX12               | chr5:136056730:C > G | Missense         | D,D,D,D                        | Pathogenic             | Munier et al., 1997   | Het (1/1)   |
|                      | c.1663C > T | p.Arg555Trp  p.R555W | EX12               | chr5:136056780:C > T | Missense         | D,D,D,D                        | Pathogenic             | Munier et al., 1997   | Het (11/11)   |
|                      | c.1664G > A | p.Arg555Gln  p.R555Q | EX12               | chr5:136056781:G > A | Missense         | T,D,D,D                        | Pathogenic             | El-Ashry et al., 2005 | Het (6/6)   |
|                      | c.1694T > A | p.Leu565His  p.L565H | EX13               | chr5:136059105:T > A | Missense         | D,D,D,D                        | Pathogenic             | Zhang et al., 2013    | Het (5/2)   |
|                      | c.1877A > G | p.His626Arg  p.H626R | EX14               | chr5:136060907:A > G | Missense         | D,D,D,D                        | Pathogenic             | Stewart et al., 2015  | Het (3/3)   |
|                      | c.13C > T   | p.Arg5Cys  p.R5C     | EX3                | chr16:75479816:G > A | Missense         | D,D,P,D                        | Likely_pathogenic      | Dudakova et al., 2014 | Het (1/0)   |
|                      | c.164T > C  | p.Phe55Ser  p.F55S   | EX3                | chr16:75479665:A > G | Missense         | D,D,D,D                        | Likely_pathogenic      | Zhang et al., 2013    | Het (1/0)   |
| CHST6 NM_021615      | c.418C > T  | p.Arg140Ter  p.R140X | EX3                | chr16:75479411:G > A | Non-sense        | -,D,-                          | Pathogenic             | Liu et al., 2006      | Het (6/1)   |
|                      | c.432C > G  | p.Ser144Arg  p.S144R | EX3                | chr16:75479397:G > C | Missense         | T,D,D,D                        | Likely_pathogenic      | Wang et al., 2017     | Het (1/0)   |
|                      | c.607G > T  | p.Asp203Tyr  p.D203Y | EX3                | chr16:75479222:C > A | Missense         | D,D,D,D                        | Pathogenic             | Birgani et al., 2009  | Het (3/2)   |
|                      | c.613C > T  | p.Arg205Trp  p.R205W | EX3                | chr16:75479216:G > A | Missense         | D,D,D,D                        | Pathogenic             | Park et al., 2015     | Het (3/1); Hom (1/1)                                    |
|                      | c.631C > T  | p.Arg211Trp  p.R211W | EX3                | chr16:75479198:G > A | Missense         | D,D,D,D                        | Pathogenic             | Liu et al., 2006      | Het (1/1)   |
|                      | c.631C > G  | p.Arg211Gly  p.R211G | EX3                | chr16:75479198:G > C | Missense         | D,D,D,D                        | Pathogenic             | Zhang et al., 2019    | Het (1/0)   |
|                      | c.696G > A  | p.Trp232Ter  p.W232X | EX3                | chr16:75479133:C > T | Non-sense        | -,N,-                          | Pathogenic             | Ha et al., 2003a      | Het (2/1)   |
|                      | c.803A > G  | p.Tyr268Cys  p.Y268C | EX3                | chr16:75479026:T > C | Missense         | D,D,D,D                        | Pathogenic             | Thanh et al., 2003    | Het (2/0)   |
|                      | c.814C > A  | p.Arg272Ser  p.R272S | EX3                | chr16:75479015:G > T | Missense         | D,D,D,D                        | Pathogenic             | Liu et al., 2010      | Het (2/0)   |
|                      | c.1072T > C | p.Tyr358His  p.Y358H | EX3                | chr16:75478757:A > G | Missense         | D,D,D,D                        | Pathogenic             | Dang et al., 2009     | Het (7/0)   |
|                      | c.508G > A  | p.Glu170Lys  p.E170K | EX5                | chr20:3234227:C > T  | Missense         | D,D,D,T                        | Pathogenic             | Ramprasad, 2010       | Hom (1/1)   |
|                      |             |                      |                    |                      |                  |                                |                        |                       |   |
|                      |             |                      |                    |                      |                  |                                |                        |                       |   |
|                      |             |                      |                    |                      |                  |                                |                        |                       |   |
| SLC4A11 NM_001174090 |             |                      |                    |                      |                  |                                |                        |                       |   |

D, damaging; T, tolerant; N, Neutral; and P, polymorphism. *In silico* prediction was performed by SIFT, LRT, Mutation Taster, and FATHMM. EX, exon.



**FIGURE 3 | (A)** Graphical representation of the *TGFBI* gene and protein structure, showing the position of the detected mutation exon and the corresponding domain. The numbers in parentheses represent the number of CD patients with mutations detected. Exons are drawn to scale; introns are not drawn to scale, indicating exon–intron boundaries. Transcript NM\_000358. **(B)** The location and number of *CHTS6* mutations detected in the IED population, the numbers in parentheses represent the number of individuals with mutations detected, including 4 CD patients and 24 individuals with non-CD phenotype. Transcript NM\_021615.

pathogenicity. Part of the variants included in HGMD with the symbol “DM or DM?,” or in ClinVar with “likely\_pathogenic or pathogenic,” was reported to cause other non-CD phenotype eye disease, but there is no evidence of CD-related pathogenicity in this cohort. Moreover, 111 distinct variants of *TGFBI* were identified in 919 individuals, and only 8 distinct pathogenic mutations were determined to cause CD disease in 51 individuals. 83 distinct variants of *CHTS6* were identified in 235 individuals, and only 12 distinct pathogenic or likely pathogenic mutations were detected in 4 CD patients and 24 heterozygous carriers. The allele homogeneity of nine recurrent mutations was evaluated, the frequency of alleles obtained from the gnomAD database (v.2.1.0), and their ethnic group distribution was determined through published literature. Six hotspot mutations and three founder mutations were identified, including two novel suspected founder mutations and one novel suspected hotspot mutation (Table 2).

## DISCUSSION

To our knowledge, this is the first mutation spectrum study that focuses on genes associated with CDs in a large IED cohort. The customized panel design contains 792 genes associated with IEDs, which provides us with an accurate molecular diagnosis for identifying cases with overlapping phenotypes. Quick and accurate genetic testing can be used for the early detection and diagnosis of different types of CDs. Considering the correlation between the type of CDs and treatment options, it is essential to perform genetic testing before refractive surgery or PTK. Comprehensive molecular screening might contribute to higher overall mutation detection rate (81.16%  $n = 56/69$ ) and will contribute to better general understanding of the population carriers of autosomal recessive genetic disease-causing mutations (less than 1.5/100,000). According to the IC3D classification, there are up to 22 distinct clinical classifications of CDs

**TABLE 2 |** The allele homogeneity of nine recurrent mutations was evaluated in this study.

| Gene  | Mut_Name    | Amino_Acid_Change | gnomAD_AF# | AFR_AF | AMR_AF | ASJ_AF | EAS_AF    | FIN_AF | NFE_AF    | OTH_AF | SAS_AF | Ratio* | Ethnic group distribution (references)   | Founder mutation or hot spot mutation? (references) |
|-------|-------------|-------------------|------------|--------|--------|--------|-----------|--------|-----------|--------|--------|--------|--|---|
| CHST6 | c.1072T > C | p.Y358H           | 0          | 0      | 0      | 0      | 0         | 0      | 0         | 0      | 0      | 0      | China, South Korea (Liu et al., 2010; Park et al., 2015; Wang et al., 2017)          | Founder mutation (Wang et al., 2017)                |
| CHST6 | c.418C > T  | p.R140*           | 8.058E-06  | 0      | 0      | 0      | 0         | 0      | 1.795E-05 | 0      | 0      | 0      | China, British, American (Liu et al., 2005; Sultana et al., 2005; Wang et al., 2017) | Hot spot mutation, this study                       |
| CHST6 | c.613C > T  | p.R205W           | 8.274E-06  | 0      | 0      | 0      | 1.117E-04 | 0      | 0         | 0      | 0      | 13.50  | China, South Korea (Liu et al., 2010; Park et al., 2015; Wang et al., 2017)          | Founder mutation, this study                        |
| TGFBI | c.370C > T  | p.R124C           | 4.014E-06  | 0      | 0      | 0      | 0         | 0      | 8.857E-06 | 0      | 0      | 0      | World Widely dispersed (Fukuoka et al., 2010)  | Hot spot mutation (Kheir et al., 2019)              |
| TGFBI | c.371G > A  | p.R124H           | 4.015E-05  | 0      | 0      | 0      | 5.007E-04 | 0      | 8.860E-06 | 0      | 0      | 12.47  | World Widely dispersed (Fukuoka et al., 2010)  | Hot spot mutation (Kheir et al., 2019)              |
| TGFBI | c.371G > T  | p.R124L           | 0          | 0      | 0      | 0      | 0         | 0      | 0         | 0      | 0      | 0      | World Widely dispersed (Fukuoka et al., 2010)  | Hot spot mutation (Kheir et al., 2019)              |
| TGFBI | c.1663C > T | p.R555W           | 0          | 0      | 0      | 0      | 0         | 0      | 0         | 0      | 0      | 0      | World Widely dispersed (Fukuoka et al., 2010)  | Hot spot mutation (Kheir et al., 2019)              |
| TGFBI | c.1664G > A | p.R555Q           | 0          | 0      | 0      | 0      | 0         | 0      | 0         | 0      | 0      | 0      | World Widely dispersed (Fukuoka et al., 2010)  | Hot spot mutation (Kheir et al., 2019)              |
| TGFBI | c.1694T > A | p.L565H           | 0          | 0      | 0      | 0      | 0         | 0      | 0         | 0      | 0      | 0      | China (Zhang et al., 2013)   | Founder mutation, this study                        |

The allele frequencies in the respective ethnic group was determined from gnomAD database (v2.1.0).

#gnomAD\_AFR\_AF: African/African American; gnomAD\_AMR\_AF: American Admixed/Latino; gnomAD\_ASJ\_AF: Ashkenazi Jewish; gnomAD\_EAS\_AF: East Asian; gnomAD\_FIN\_AF: Finnish; gnomAD\_NFE\_AF: Non-Finnish European; gnomAD\_SAS\_AF: South Asian; gnomAD\_OTH\_AF: Individuals were classified as "OTHER" (OTH) if they did not unambiguously cluster with the major populations in a principal component analysis (PCA).

\*Ratio=gnomAD\_EAS\_AF/gnomAD\_AF.

with overlapping clinical manifestations, and a small number of patients displaying no apparent clinical symptoms. These ambiguities pose a huge challenge for clinicians to accurately diagnose patients (Weiss et al., 2008, 2015).

The Han ethnic group accounts for 91.51% of China's population and are also the dominant ethnic group in Taiwan, Hong Kong, and Singapore. Accounting for a total population of about 1.5 billion in the world, they are about 19% of the global population (Groppe, 2013). The World Health Organization (WHO) released the first version of the World Report on Vision in October 2019, indicating that moderate to severe distance vision impairment or blindness due to corneal opacities is estimated to be at least 4.2 million worldwide (Bourne et al., 2017; Flaxman et al., 2017; Fricke et al., 2018). Due to clinical manifestations varying widely between the different categories, whenever corneal transparency is lost or corneal opacity occurs spontaneously, especially in two corneas, or in offspring with a positive family history or consanguineous marriage, CD should be suspected. The most common causes of corneal opacity were injuries, vitamin A deficiency, and measles infection (Song P. et al., 2017). Existing data cannot provide accurate statistics on the global prevalence of CD. A retrospective histopathological analysis was performed on the corneal specimens of 3112 patients in China. Among the 637 specimens of non-infectious corneal diseases, 7.85% (50/637) of the cases were caused by CD (Li et al., 2014). Another study analyzed 2068 prospective cases of candidates for refractive surgery. Slit-lamp examinations of four cases with corneal opacity in both eyes and one case without corneal opacity were detected with heterozygous p.R124H mutation of *TGFBI*. The prevalence rate was 0.24% (5/2068 = 24.2/10,000; Song Y. et al., 2017). Analysis of commercial test data used for pre-refractive surgery screening from 600,000 samples from around the world, most of which are from Korea and Japan, demonstrated that the detection rate of *TGFBI* CDs in Korea is approximately 15/10,000, which is similar to the previously reported detection rate in the Korean population, which is about 11.5/10,000, and higher than the detection rate in the Japanese population 3/10,000 (Lee et al., 2010; Chao-Shern et al., 2019). In another United States study, almost 8 million eye care visit records in the national managed-care network were analyzed. A total of 27,372 unique individuals have received two or more diagnoses records of some type of corneal dystrophy, and the overall prevalence of corneal dystrophy is 8.97/10,000 (Møller and Sunde, 2013). Considering that our research group focuses on IED, the detection rate is relatively higher, and the overall prevalence is 66.7/10,000 (56/8400). The essential reason for the difference observed in prevalence across different groups is due to different countries' adoption of different genetic screening strategies. In Korea and Japan, genetic tests are part of the practice guidelines for refractive surgery in clinics/hospitals and are used for screening purposes. In the United States, when performing refractive surgery, some clinics/hospitals use genetic testing for screening, while other clinics/hospitals confirm the clinical diagnosis or exclude *TGFBI* mutations in individuals with the abnormal cornea. European clinics mainly perform clinical diagnosis (Chao-Shern et al., 2019).

The overall detection rate of molecular diagnoses was 81.16% (56/69), which was obviously higher than the previous study, with a mutation detection rate of 59.2% (42/71; Zhang et al., 2013). There was no significant difference between the two subgroups of family (77.27%,  $n = 17/22$ ) and sporadic (75%,  $n = 21/28$ ) cases. A total of 21 distinct pathogenic or likely pathogenic mutations were detected in our IED cohort, including 8 *TGFBI* mutations, 12 *CHTS6* mutations, and 1 *SLC4A11* mutation. Moreover, three previously reported disease-associated mutations (p.D123H, p.M502V, and p.P501T) and one novel multiple allele mutation (p.P501A) was detected but was defined as likely\_benign mutations in this IED cohort (Ha et al., 2003b; Zenteno et al., 2009; Groppe, 2013). Among them, the p.P501T mutation was detected in 71 individuals with non-CD phenotype or normal phenotype; however, this mutation was found in all 18 patients with Lattice Corneal Dystrophies Type IIIA (LCDIIIA) examined in Japan. LCDIIIA accounts for 11.0% of corneal dystrophy in Japan, while only a few cases of LCDIIIA have been reported in Western countries. Interestingly, the p.P501T mutation was detected in individuals with non-CD phenotype or normal phenotype in China, but not in CD patients, indicating that the pathogenicity may vary between different races (Yamamoto et al., 1998; Tsujikawa et al., 2010; Kheir et al., 2019). Twelve distinct *CHTS6* mutations were detected in 28 individuals, including 3 CD patients with M + M mutation, 1 CD patient with M + N mutation, and the remaining 24 heterozygous carriers with non-CD phenotype or normal phenotypes.

We further verified and clarified the distribution of gene mutations for the Han ethnic Chinese population in three genes: *TGFBI*, *CHTS6*, and *SLC4A11* genes, which account for 91.07% (51/56), 7.14% (4/56), and 1.79% (1/56) of diagnosed cases, respectively. No pathogenic mutations in other 19 CD-related genes were found in this IED cohort. The frequency and pathogenicity of all 2334 distinct high-quality variants in our IED cohort of 8400 individuals were evaluated on 22 CD-related genes, of which 2313 variants had no evidence of CD-related pathogenicity. However, in recent studies of the Chinese Han ethnic, the distribution of gene mutations was mainly observed in five genes: *TGFBI*, *CHTS6*, *SLC4A11*, *AGBL1*, and *COL17A1*, and the proportions were 52.38% (22/42), 30.95% (13/42), 9.52% (4/42), 4.76% (2/42), and 2.38% (1/42), respectively, (Zhang et al., 2013). In our study, *TGFBI* mutations were found in 51 CDs patients, and most of these patients (88.23%, 45/51) harbored mutations in one of two recurrent codons: p.Arg124 of the first FAS1 domain or p.Arg555 of the fourth FAS1 domain. These results are similar to previous studies in the Han ethnic Chinese population (81.82%, 18/22; Zhang et al., 2013). *CHTS6* mutation is considered to be the most critical genetic factor in MCD (Zhang et al., 2019). In our group of IEDs, we found that the incidence of *CHTS6* pathogenic mutations in the general population is less than 1.5/100,000, so this is consistent with our conclusion that *CHTS6* mutations account for less than one-tenth of confirmed cases. The *CHTS6* mutation has a low incidence in the Han ethnic, despite having a high prevalence in India, Saudi Arabia, and Iceland (Aggarwal et al., 2018). In our study, the proportion of *TGFBI* (91.07%, 51/56) in confirmed cases is significantly higher than the *CHTS6* gene (7.14%, 4/56). It may be due to

differences in the types of CDs of recruited patients, and the number of patients with different types of CDs.

Allelic homogeneity is the main factor leading to TGFBI-related corneal dystrophy. It occurs when a few different alleles within the same gene cause similar phenotypic expression (Tsujikawa et al., 2007, 2010). The homogeneity of alleles is explained by two different mechanisms: mutation hotspot and founder mutation. Allelic heterogeneity is a typical feature of CHST6-related corneal dystrophy, which occurs when tens or even hundreds of different alleles within the same gene cause similar phenotypes. A total of 189 distinct disease-causing mutations were found in 375 MCD patients worldwide, including 134 missense mutations, 18 non-sense mutations, and 37 indels (Safari et al., 2020). We evaluated the allele homogeneity of nine recurrent mutations, two novel suspected founder mutations, and one novel hot spot mutation. The p.R124H mutation of the *TGFBI* gene is significantly higher in East Asian populations than other populations, but it is widely reported by various ethnic groups in the world (Fukuoka et al., 2010). We speculate that it had originated in East Asia and spread to all parts of the world over many years.

In conclusion, our results reveal the mutational spectrum of 22 CD-related genes in a large cohort of IEDs. Our large reference study systematically described the variation spectrum of 22 genes relevant to CD and evaluated the frequency and pathogenicity of all 2334 distinct high-quality variants in our IED cohort of 8,400 individuals. Our research will provide East Asia and other populations with baseline data from a Han ethnic population-specific level. We believe that our work not only provides theoretical guidance and frequent genotype profiles for Han ethnic Chinese population but also provides an effective reference for genetic counseling and accurate and early diagnosis of CD patients.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation. The data that support the findings of this study have been deposited in the CNSA (<https://db.cngb.org/cnsa/>) of CNGBdb with ccession code CNP CNP0000503.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The Ethics Committee of the He Eye Specialists Hospital of He University. The patients/participants provided their written informed consent to participate in this study.

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## AUTHOR CONTRIBUTIONS

WL, NQ, J-KL, W-PQ, L-SW, and WH: conception and design. WL, NQ, W-PQ, WH, and Z-SW: clinical examinations and interpretation. WL, NQ, J-KL, Y-XL, D-MH, Z-WW, Y-XC, LT, XC, X-YJ, and CL: acquisition of data. WL, KS, and WY: analysis and interpretation of data. WL, NQ, and J-KL: writing, review, and revision of the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.632946/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Long-Read Sequencing to Unravel Complex Structural Variants of *CEP78* Leading to Cone-Rod Dystrophy and Hearing Loss

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Inactivating variants as well as a missense variant in the centrosomal *CEP78* gene have been identified in autosomal recessive cone-rod dystrophy with hearing loss (CRDHL), a rare syndromic inherited retinal disease distinct from Usher syndrome. Apart from this, a complex structural variant (SV) implicating *CEP78* has been reported in CRDHL. Here we aimed to expand the genetic architecture of typical CRDHL by the identification of complex SVs of the *CEP78* region and characterization of their underlying mechanisms. Approaches used for the identification of the SVs are shallow whole-genome sequencing (sWGS) combined with quantitative polymerase chain reaction (PCR) and long-range PCR, or ExomeDepth analysis on whole-exome sequencing (WES) data. Targeted or whole-genome nanopore long-read sequencing (LRS) was used to delineate breakpoint junctions at the nucleotide level. For all SVs cases, the effect of the SVs on *CEP78* expression was assessed using quantitative PCR on patient-derived RNA. Apart from two novel canonical *CEP78* splice variants and a frameshifting single-nucleotide variant (SNV), two SVs affecting *CEP78* were identified in three unrelated individuals with CRDHL: a heterozygous total gene deletion of 235 kb and a partial gene deletion of 15 kb in a heterozygous and homozygous state, respectively. Assessment of the molecular consequences of the SVs on patient's materials displayed a loss-of-function effect. Delineation and characterization of the

15-kb deletion using targeted LRS revealed the previously described complex *CEP78* SV, suggestive of a recurrent genomic rearrangement. A founder haplotype was demonstrated for the latter SV in cases of Belgian and British origin, respectively. The novel 235-kb deletion was delineated using whole-genome LRS. Breakpoint analysis showed microhomology and pointed to a replication-based underlying mechanism. Moreover, data mining of bulk and single-cell human and mouse transcriptional datasets, together with *CEP78* immunostaining on human retina, linked the *CEP78* expression domain with its phenotypic manifestations. Overall, this study supports that the *CEP78* locus is prone to distinct SVs and that SV analysis should be considered in a genetic workup of CRDHL. Finally, it demonstrated the power of sWGS and both targeted and whole-genome LRS in identifying and characterizing complex SVs in patients with ocular diseases.

**Keywords:** *CEP78*, inherited retinal disease, cone-rod dystrophy with hearing loss, long-read sequencing, structural variants, single-cell gene expression analysis

## INTRODUCTION

During the last years, next-generation sequencing (NGS) techniques mostly relying on short-read sequencing (SRS) have accelerated molecular diagnoses in individuals with inherited retinal diseases (IRDs) (Jespersgaard et al., 2019). IRD is characterized by a tremendous genetic heterogeneity with variants identified in more than 270 genes [RetNet (Retinal Information Network)<sup>1</sup>]. Different types of variants can give rise to IRD, both single-nucleotide variants (SNVs) as well as structural variants (SVs), of which copy number variants (CNVs) have been most frequently reported (Khateb et al., 2016; Ellingford et al., 2018; Van Schil et al., 2018; Daiger et al., 2019; Zampaglione et al., 2020). The latter are estimated to contribute to at least 7%–10% of pathogenic alleles in IRD (Khateb et al., 2016; Ellingford et al., 2018; Daiger et al., 2019; Zampaglione et al., 2020). Interestingly, genomic features such as gene size have been shown to correlate with CNV occurrence in IRD genes (Van Schil et al., 2018).

Structural variant detection based on standard molecular karyotyping and on sequencing depth algorithms is capable of detecting only large CNVs, such as deletions and duplications greater than 50 kb in size, whereas cryptic SVs, such as smaller CNVs, copy neutral, and complex rearrangements, may be missed (Lindstrand et al., 2019). Nowadays, it is possible to detect approximately 27,000 SVs (>50 bp) per human genome only using a combination of technologies, where the majority of these SVs is located in the non-coding part of the genome and missed using exome-based approaches (Belkadi et al., 2015; Chaisson et al., 2019; Mahmoud et al., 2019). Whole-genome sequencing (WGS) proved to be particularly powerful to detect SVs, variants in GC-rich regions, and variants in non-coding regulatory regions (Nishiguchi et al., 2013; Ellingford et al., 2016; Carss et al., 2017; de Bruijn et al., 2020). While predictions are indicating that at least 48% of deletions and 83% of insertions are routinely missed by short-read-calling algorithms (Eichler, 2019),

long-read sequencing (LRS) is particularly valuable for detecting SVs, as the long reads provide the necessary context to call and resolve SVs, regardless of their sequence composition (De Coster and Van Broeckhoven, 2019).

One of the more recently identified disease genes that contribute to the genetic heterogeneity of IRD is the centrosomal gene *CEP78* (MIM# 617110), in which several types of variants, inactivating sequence variants as well as a unique missense variant have been found in autosomal recessive cone-rod dystrophy with hearing loss (CRDHL; MIM# 617236), a recognizable phenotype distinct from Usher syndrome (Fu et al., 2016; Namburi et al., 2016; Nikopoulos et al., 2016; Ascarì et al., 2020). Apart from CRDHL, sperm abnormalities causing infertility have been reported in two unrelated affected males (Ascarì et al., 2020). Functional studies pointed to a loss-of-function effect with decreased amounts of protein, normal subcellular localization, and elongated primary cilia in patients' cells (Namburi et al., 2016; Nikopoulos et al., 2016; Ascarì et al., 2020). Interestingly, a complex SV implicating *CEP78* has been reported in one individual with CRDHL, being a homozygous deletion–inversion–deletion overlapping *CEP78* (Sanchis-Juan et al., 2018). *CEP78* localizes to the mature centrioles (Brunk et al., 2016), which are the main components of the centrosomes, key microtubule-organizing hubs in eukaryotic cells, with the mother centriole acting as the basal body during cilia formation (Gönczy and Hatzopoulos, 2019). Centrioles duplicate once per cell cycle, and irregularities in their structure, or number, are associated with several diseases including cancer or ciliopathies (Nigg and Raff, 2009; Gönczy, 2015).

Here, we report *CEP78* SVs and SNVs in four unrelated CRDHL families of Belgian, Danish, and Turkish origin. Interestingly, two distinct SVs were identified in three of the four CRDHL families using a combination of NGS technologies. Targeted or whole-genome nanopore LRS was used to delineate breakpoint junctions at the nucleotide level. For the smallest SV, a founder effect was shown. Overall, this study supports that the *CEP78* locus is prone to distinct SVs and emphasizes the importance of SV analysis in the genetic workup of CRDHL,

<sup>1</sup><https://sph.uth.edu/retnet>

leveraging the power of shallow whole-genome sequencing (sWGS) and both targeted and whole-genome LRS. Furthermore, data mining of bulk and single-cell (sc) transcriptional datasets, in combination with CEP78 immunostaining, displayed a CEP78 expression domain in agreement with the phenotypic manifestations of CRDHL.

## MATERIALS AND METHODS

### Ethics Statement

This study followed the tenets of the Declaration of Helsinki, and ethical approval was given by the local ethics committee (Ghent University Hospital, EC UZG 2017/1540). All individuals involved gave their informed consent prior to inclusion in this study.

### Phenotypic Evaluation

Affected individuals were subjected to ophthalmologic evaluation including best-corrected visual acuity measurement, funduscopy, visual field assessment, infrared and blue light reflectance and autofluorescence imaging, spectral-domain optical coherence tomography, and electroretinography, when possible. In addition, an audiological assessment was performed before or after the molecular diagnosis.

### Whole-Exome Sequencing and Variant Validation

Genomic DNA (gDNA) was extracted from leukocytes according to the manufacturer's guidelines. Whole-exome sequencing (WES) was performed using SureSelectXT Human All Exon V6 enrichment (Agilent Technologies) and HiSeq 3000 sequencing (Illumina) (F1 and F4), or SureSelectXT Low Input Human All Exon V7 kit (Agilent Technologies) and NovaSeq 6000 sequencing (Illumina) (F3). Reads were aligned to the human hg38 reference genome with BWA (v0.7.15) (Li and Durbin, 2009). SNVs and small insertions and deletions were detected with the GATK HaplotypeCaller (v3.8<sup>2</sup>). The VCF (variant call format) files were annotated with the Ensembl Variant Effect Predictor (release 95) and the dbNSFP (v3.4a) and dbSNP (v1.1) databases. Variants were scored heterozygous or homozygous and were assessed with our in-house variant filtering and visualization tool. A selection of 272 and 275 RetNet genes was assessed, respectively (versions 3 and 4 of the RetNet panel). Nucleotide numbering was done following HGVS guidelines<sup>3</sup> with nucleotide "A" of the ATG as "c.1." Classification of variants was based on the ACMG and ACGS guidelines with adaptations (Richards et al., 2015; Nykamp et al., 2017; Abou Tayoun et al., 2018; Ellard et al., 2019). CNVs were assessed using ExomeDepth (Plagnol et al., 2012) (v1.1.10) and Pindel (Ye et al., 2009). Pathogenic (likely) variants were confirmed *via* Sanger sequencing. Sanger sequencing was performed using an ABI 3730xl DNA Analyzer (Applied

Biosystems) with the BigDye Terminator Cycle Sequencing Kit (Applied Biosystems).

For F2, II:1 gene panel sequencing of 13 genes [*ABHD12*, *ADGRV1* (*GPR98*), *CDH23*, *CIB2*, *CLRN1*, *DFNB31* (*WHRN*), *HARS1*, *MYO7A*, *PCDH15*, *PDZD7*, *USH1C*, *USH1G*, and *USH2A*] associated with Usher syndrome was performed using a HaloPlex custom design created by use of Agilent SureDesign and included exons and 50 bp of the intron at each intron-exon boundary. The enrichment library from patient DNA was sequenced on a MiSeq sequencer (Illumina). FASTQ files were analyzed using SureCall v.3.0.1.4 (Agilent Technologies) using default settings. Whole-exome trio sequencing was performed for F2, II:1 and her parents. Library preparation was done using the Ion AmpliSeq exome kit (Thermo Fisher), and libraries were sequenced using the IonProton system (Thermo Fisher). Base calling, read alignment, and variant calling were performed using the Torrent Suite including the Torrent Variant Caller (Thermo Fisher). VarSeq (GoldenHelix) was used for annotation and filtering of the variants. Confirmation of the identified *CEP78* variant was performed *via* Sanger sequencing using NM\_001098802.2 as reference sequence.

### Shallow WGS

Shallow whole-genome sequencing was performed using the HiSeq3000 (Illumina), starting from 200 ng of gDNA. For library construction the NEXTflex Rapid DNA Sequencing kit (Bio Scientific) was used. Pipetting steps were automated using a Hamilton Star robot (Hamilton). Library concentrations were measured by the Qubit High-Sensitivity kit (Thermo Fisher Scientific), and equimolar concentrations were pooled before sequencing. The minimal number of mapped reads was set at 50 million. Copy number analysis was performed with WisecondorX and further visualized with the ViVar platform (Sante et al., 2014; Raman et al., 2019).

### Quantitative and Long-Range Polymerase Chain Reaction for Deletion Confirmation and Delineation

Copy number variants were confirmed by quantitative polymerase chain reaction (qPCR), and primers were designed in coding exons or intronic regions as previously described (D'haene et al., 2010). Assays were prepared using SsoAdvanced Universal SYBR Green Supermix (Bio-Rad Laboratories) and run on LightCycler 480 System (Roche). Data were analyzed with qbase + software (Biogazelle). *ZNF80* and *GPR15* were used as reference genes. Subsequent to iterative qPCRs (long-range), PCR was performed to obtain junction deletion products using Phusion High-Fidelity PCR kit (New England Biolabs) and visualized on 1% UltraPure Agarose (Thermo Fisher Scientific) gels. Primer design was done using Primer3Plus. Primers sequences are listed in **Supplementary Table 1**.

### Targeted LRS

Amplified (long-range) PCR product was quality checked using DropSense (Trinean), Qubit (ThermoFisher), and Fragment

<sup>2</sup><https://www.biorxiv.org/content/10.1101/201178v3>

<sup>3</sup><http://www.hgvs.org>

Analyzer (Agilent), using DNF-492 Large Fragment analysis kit (Agilent). A library was constructed according to the Ligation sequencing protocol (SQK-LSK109, Oxford Nanopore Technologies, ONT; GDE\_9063\_v109\_revU\_14Aug2019) with minor adaptations. DNA repair and end-prep using ONT consumables (SQK-LSK109) and NEBNext FFPE DNA repair mix and NEBNext Ultra II End repair/dA tailing Module (M6630, E7546 both from New England Biolabs) started with 100 fmol of the PCR amplicon, with extended enzymatic incubation times, 30 min at 20°C and 30 min at 65°C. Repaired and end-prepped amplicon was Ampure XP (Beckman Coulter) cleaned up for increased adaptor ligation efficiency, using a ratio of 1:1 (vol/vol) and extended incubation (10 min on Hulamixer). After bead cleanup, the pellet was eluted in 32 µL Nuclease Free Water, of which 30 µL was used for adapter ligation. Adapter ligation using SQK-LSK109, ONT, and NEBNext Quick Ligation Module (E6056, New England Biolabs) was performed according to the protocol with extension of the ligation incubation to 30 min at room temperature. The ligation reaction was cleaned up using Ampure XP (Beckman Coulter) in vol/vol ratio of 0.4 with extended incubation (10 min on Hulamixer). A purified pellet was eluted in 10 µL of MilliQ water with the final yield of library calculated using concentration and size information (F1, II:1 yield = 508.2 ng/72.6 fmol and F3, II:1 yield = 462 ng/61 fmol). Ten fmol (64 ng for F1, II:1) and 20 fmol (151.5 ng for F3, II:1) amount of the library was loaded and sequenced on MinION using Flongle Flowcell (both Oxford Nanopore Technologies) with, respectively, 85 (F1, II:1) and 47 (F3, II:1) number of pores sequencing after loading of the library. Sequencing was complete in 24 h and generated in total 1.08 Gb (F1, II:1) and 827.9 Mb (F3, II:1) of data, equaling 172.75 K (F1, II:1) and 140.99 K (F3, II:1) reads with an estimated N50 of 10.55 kb (F1, II:1) and 10.7 kb (F3, II:1).

## Whole-Genome LRS

Extracted DNA was checked for concentration, purity, and integrity using DropSense (Trinean), Qubit (ThermoFisher), and Fragment Analyzer (Agilent), using 464 High Sensitivity Large Fragment 50-Kb kit (Agilent). The sample was sheared using Mega3 (Diagenode) to the final average size of the peak 21,015 bp (smear analysis 25,230 bp). Short fragments were eliminated using SRE XS (Circulomics). Sheared and size-selected DNA sample was used in library prep following the protocol gDNA by Ligation (SQK-LSK109, GDE\_9063\_v109\_revU\_14Aug2019, Oxford Nanopore Technologies) with minor adaptations. DNA repair and end-prep using ONT consumables (SQK-LSK109) and NEBNext FFPE DNA repair mix and NEBNext Ultra II End repair/dA tailing Module (M6630, E7546 both New England Biolabs) started with 182 fmol of the PCR amplicon, with extended enzymatic incubation times, 30 min at 20°C and 30 min at 65°C. Repaired and end-prepped amplicon was Ampure XP (Beckman Coulter) cleaned up for increased adaptor ligation efficiency, using a ratio of 1:1 (vol/vol) and extended incubation (10 min on Hulamixer). After bead cleanup, the pellet was eluted in 63 µL nuclease-free water, of which 60 µL was used for adapter ligation. Adapter ligation using

SQK-LSK109, ONT, and NEBNext Quick Ligation Module (E6056, New England Biolabs) was performed according to the protocol with extension of the ligation incubation to 30 min at room temperature. The ligation reaction was cleaned up using Ampure XP (Beckman Coulter) in vol/vol ratio of 0.4 with extended incubation (10 min on Hulamixer). A purified pellet was eluted in 40 µL of MilliQ water with final yield of library calculated using concentration and size information (yield = 1,627.4 ng/105.6 fmol). Thirty femtomoles of the final library prep was loaded onto PromethION Flow cells (Oxford Nanopore Technologies). In order to generate enough data, the sample was loaded on three flow cells (FCs). Runs started with 6,844 (FC1), 5,835 (FC2), and 6,314 (FC3) sequenceable pores and ran for 72 h, generating in total 32.55 Gb (FC1), 36.18 Gb (FC2), and 40.99 Gb (FC3) of data, equaling 2.12 M (FC1), 2.39 M (FC2), and 2.68 M (FC3) reads with an estimated N50 of 27.86 kb (FC1), 28.76 kb (FC2), and 28.96 kb (FC3).

## Data Analysis LRS

Base calling of the Nanopore data was performed using the Guppy base caller (v4.0.9 + 92ae093). Further analysis was performed using a pipeline integrated in GenomeComb (0.101.0) (Reumers et al., 2012). Reads were aligned to the hg38 genome reference (Schneider et al., 2017) using minimap2 (2.17-r941) (Li, 2018), and the resulting SAM file sorted and converted to BAM using Samtools (1.10) (Li et al., 2009). SVs were called using Sniffles (1.0.11) (Sedlazeck et al., 2018). The region of interest was filtered out and scanned for well-supported SVs using GenomeComb (Reumers et al., 2012).

## Expression Analysis on Patient's Material and Splicing Assessment

For quantitative reverse transcription-PCR (RT-PCR), total RNA was extracted from short-term cultured lymphocytes or fibroblasts using MagCore according to the manufacturer's guidelines. cDNA was synthesized with the iScript cDNA Synthesis Kit (Bio-Rad Laboratories). For each cDNA sample, assays were prepared using SsoAdvanced Universal SYBR Green Supermix (Bio-Rad Laboratories) and run on LightCycler 480 System (Roche). Data were analyzed with qbase + and normalized to the *YWHAZ* and *HMBS* or *SDHA* genes. Primers were designed using Primer3plus, and sequences are listed in **Supplementary Table 1**. For non-quantitative RT-PCR, cDNA was synthesized using SuperScript IV Reverse Transcriptase kit (Thermo Fisher) and underwent standard PCR, loaded on 2% UltraPure Agarose gel, and Sanger sequenced.

## Haplotype Analysis

In total, 18 single-nucleotide polymorphisms (SNPs) (dbSNP, build 151) were selected for haplotype reconstruction in the upstream and downstream areas flanking *CEP78*. SNPs were Sanger sequenced according to the standard procedures (see above). PCR primers were designed with Primer3Plus, and sequences can be found in **Supplementary Table 2**.

## CEP78 Expression in Mouse and Human Retina and Inner Ear

### Data Mining in Single-Cell Retinal and Cochlear Transcriptional Datasets

Human adult retinal and murine P1 cochlear sc transcriptional datasets were mined for evaluating *CEP78* expression at the sc level. Expression matrices derived from pooling three donor neural retinal (Cowan et al., 2020) and four cochlear samples (Kolla et al., 2020) were retrieved and processed separately using SCANPY (v1.4.6) (Wolf et al., 2018). Preprocessing and quality control were conducted to remove outlier cells. Briefly, we considered only genes with counts of at least three cells and filtered out cells that had unique feature counts <200 or >2,500 and/or that expressed >5% mitochondrial counts. The data were then total-count normalized, logarithmized, filtered for highly variable features, and scaled to unit variance. After quality control preprocessing, a total of 19,768 retinal and 11,332 cochlear cells were kept for subsequent dimensionality reduction, embedding, and clustering. Markers associated with major neural retina and cochlear cell populations were used to assess *CEP78* expression at the sc level.

### Data Mining in Bulk Retinal and Cochlear Transcriptional Datasets

**Retinal** Expression levels by transcripts per million (TPMs) were retrieved from postmortem retina samples characterized in Ratnapriya et al. (2019). From 453 samples that passed quality control, we only considered donor retinas that showed no age-related macular degeneration progression ( $n = 102$ ) to avoid confounding variables in downstream analyses. To remove potential noise, 20% of genes with the lowest mean expression across all samples were filtered out. TPM values were then filtered for a set of candidate genes, which included all genes reported to cause IRD (RetNet) and ciliary genes (SCGSv1) (van Dam et al., 2013). A total of 519 genes were eventually considered. Before evaluating correlations in the expression of the candidate genes, the set was subjected to a variance-stabilizing transformation to correct for mean-variance dependency (Zwiener et al., 2014). We then examined the expression of *CEP78* and several ciliary genes listed in the top 10 of the Human Gene Connectome (HGC) (Itan et al., 2013) (*SCLT1*, *MKS1*, *CEP57*, *CEP76*, *CEP135*, *CEP152*, *CEP63*, *CEP164*, *OFD1*, and *CEP250*). Spearman correlations were computed along with pairwise  $p$  values adjusted for multiple comparisons (Holm method). A correlogram was then generated for visualization.

**Cochlea** We retrieved paired-end FASTQ files (GSE111348) derived from adult (P28-P32) mouse inner (IHC) and outer (OHC) cochlear hair cells (~1,000 cells per sample;  $n = 4$  and 6 for IHC and OHC, respectively) (Li et al., 2018). Transcripts were quantified through pseudoalignment by Kallisto (v0.46.1), for which default parameters were used for both index build and transcript quantification (Bray et al., 2016). Additionally, data generated by Schrauwen et al. (2016) were used to retrieve expression values of

*CEP78* in adult human cochlea and components of the vestibular labyrinth.

## CEP78 Immunostaining on Human Retina

Human retina used for immunohistochemistry was fixed in 10% neutral buffered formaldehyde and embedded in paraffin. Staining for *CEP78* was performed on 3- $\mu$ m-thick sections using an automatic immunostainer (BenchMark Ultra, Ventana Medical Systems, Tucson, AZ, United States). The rabbit polyclonal antibody anti-*CEP78* (1:100; LN2004459, LabNed) was used, and visualization was achieved with the OptiView Amplification Kit (Ventana Medical Systems). Heat-induced epitope retrieval was performed using Cell Conditioning 2 (Ventana Medical Systems).

## RESULTS

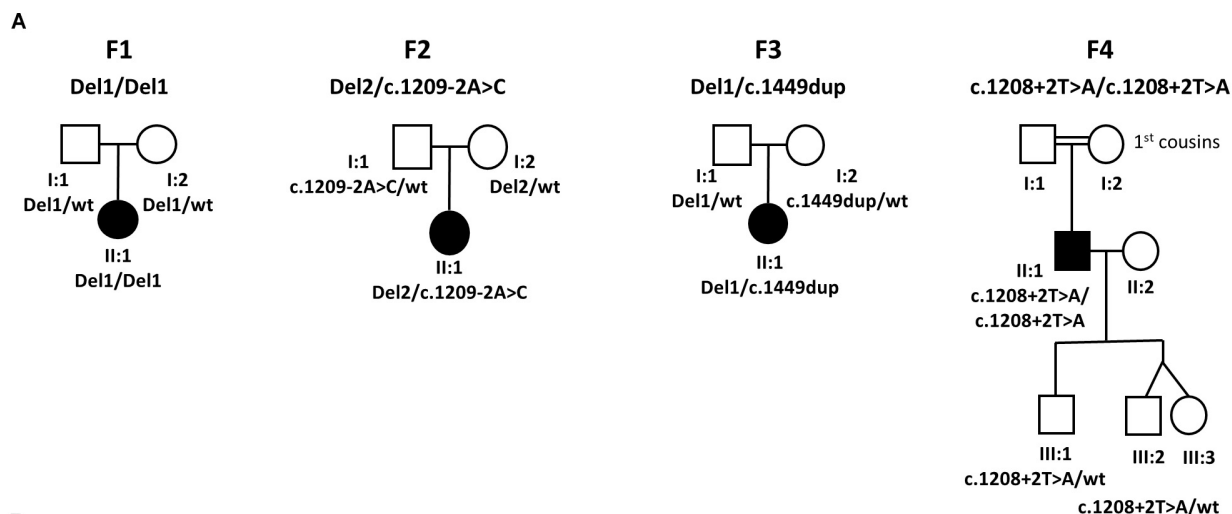
The CRDHL families included in this study are of Belgian (F1 and F3), Danish (F2), and Turkish origin (F4). Consanguinity was reported for F4. Pedigrees are represented in **Figure 1**.

### CNV Analysis on WES Data, sWGS, Long-Range PCR, and Targeted LRS in F1

For F1, II:1 CNV analysis on WES data using ExomeDepth revealed a potential homozygous deletion overlapping *CEP78* [initial coordinates (hg38) chr9: g.78236351–78243636]. Subsequent sWGS confirmed the homozygous deletion spanning exons 1–5 of *CEP78* [new coordinates (hg38) chr9:g.78230001–78245000], covering a region of 15–20 kb. The sWGS output is available in **Supplementary Table 3**. The deletion could be refined up to ~12 kb using iterative qPCRs, and a junction product could be obtained *via* long-range PCR (**Supplementary Figure 1**). Targeted LRS on the long-range PCR amplicon allowed final delineation, identifying a complex deletion–inversion–deletion (**Figure 2**). The left breakpoint is located at (hg38) chr9:78228782, whereas the right breakpoint at chr9:78244762. The inverted segment spans chr9:78234546–78234844 [nearby an L1ME3Cz repetitive element (chr9:78234521–78234902)]. The SV overlaps with the one previously described in a British patient by Sanchis-Juan et al. (2018), delineated using microarray and Sanger sequencing (Sanchis-Juan et al., 2018). A smaller deletion, overlapping exons 2 and 3 in *CEP78*, is reported in gnomAD SV (DEL\_9\_103019; gnomAD SVs v2.1). Segregation analysis was performed *via* qPCR and confirmed both parents as heterozygous carriers of the deletion. Expression analysis of *CEP78* mRNA on available lymphocytes (F1, II:1) and three controls showed complete loss of *CEP78* expression due to the homozygous deletion (**Supplementary Figure 2**).

### Segregation Analysis, sWGS, and Whole-Genome LRS in F2

For F2, II:1, initial targeted gene panel sequencing was negative, as it was carried out before the *CEP78* gene had been associated with CRDHL. Thus, trio WES was performed for the proband

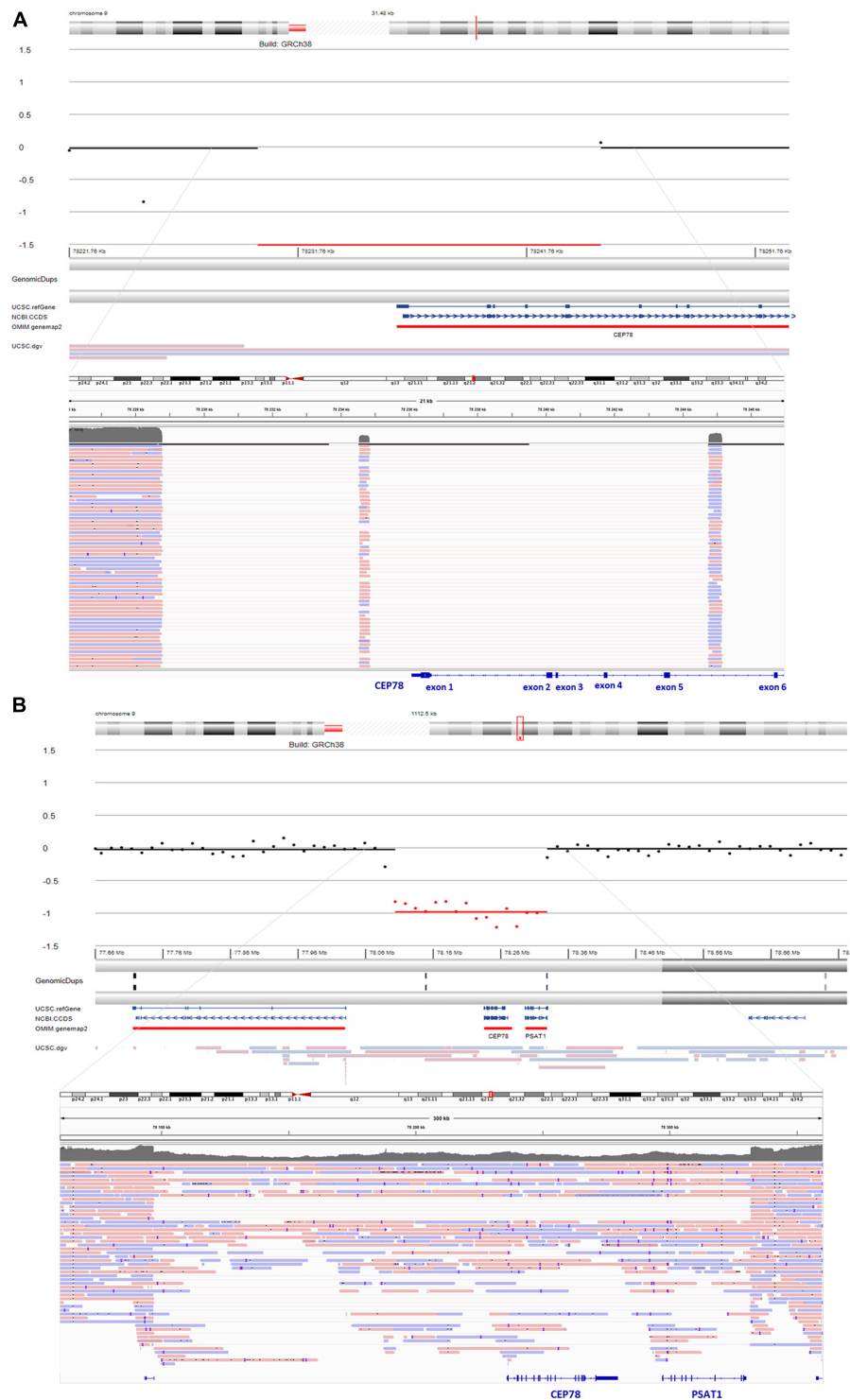


| Marker       | F1, II:1  | F3, II:1       | F3, I:2      | F3, I:1 | SV<br>Sanchis-Juan <i>et al.</i> |
|--------------|-----------|----------------|--------------|---------|----------------------------------|
| rs10156442   | GG        | GA             | GG           | AA      | AA                               |
| rs1953019    | AA        | AC             | CC           | AA      | CC                               |
| rs2026000    | GG        | GG             | GA           | GG      | GG                               |
| rs4877499    | NA        | GG             | CG           | CC      | NA                               |
| <b>CEP78</b> | Del1/Del1 | Del1/c.1449dup | c.1449dup/wt | Del1/wt | Del1/Del1                        |
| rs2521904    | GG        | GG             | GA           | GG      | GG                               |
| rs7869495    | GG        | GC             | CG           | GC      | GG                               |
| rs11792810   | TT        | TT             | TC           | TT      | TT                               |
| rs10780305   | GG        | GG             | GA           | GG      | GG                               |
| rs946806     | AA        | AG             | GG           | AG      | AA                               |
| rs7039267    | AA        | AG             | GG           | AG      | AA                               |
| rs7041989    | TT        | TG             | TT           | TG      | TT                               |

**FIGURE 1 |** Pedigrees segregating the *CEP78* structural and sequence variants and haplotype reconstruction for the shared SV. **(A)** F1, II:1 is homozygous for a complex deletion-inversion-deletion involving exons 1–5 of *CEP78*. F2, II:1 is compound heterozygous for a deletion of 235 kb overlapping *CEP78* and *PSAT1* and for splice site variant c.1209-2A>C. F3, II:1 is compound heterozygous for the same deletion-inversion-deletion of F1, II:1 and the c.1449dup variant in *CEP78*. F4, II:1 is homozygous for splice site variant c.1208+2T>A. Extended F4 pedigree available in **Supplementary Clinical Data 2**. **(B)** Genotyping of 18 flanking single-nucleotide polymorphisms (SNPs) revealed a common haplotype of 1.9 Mb, between the individuals carrying the deletion-inversion-deletion [F1, F3 and the case originally described by Sanchis-Juan *et al.* (2018)]. Extended version available in **Supplementary Table 5**. Abbreviations: Del, deletion (or deletion-inversion-deletion); wt, wild type.

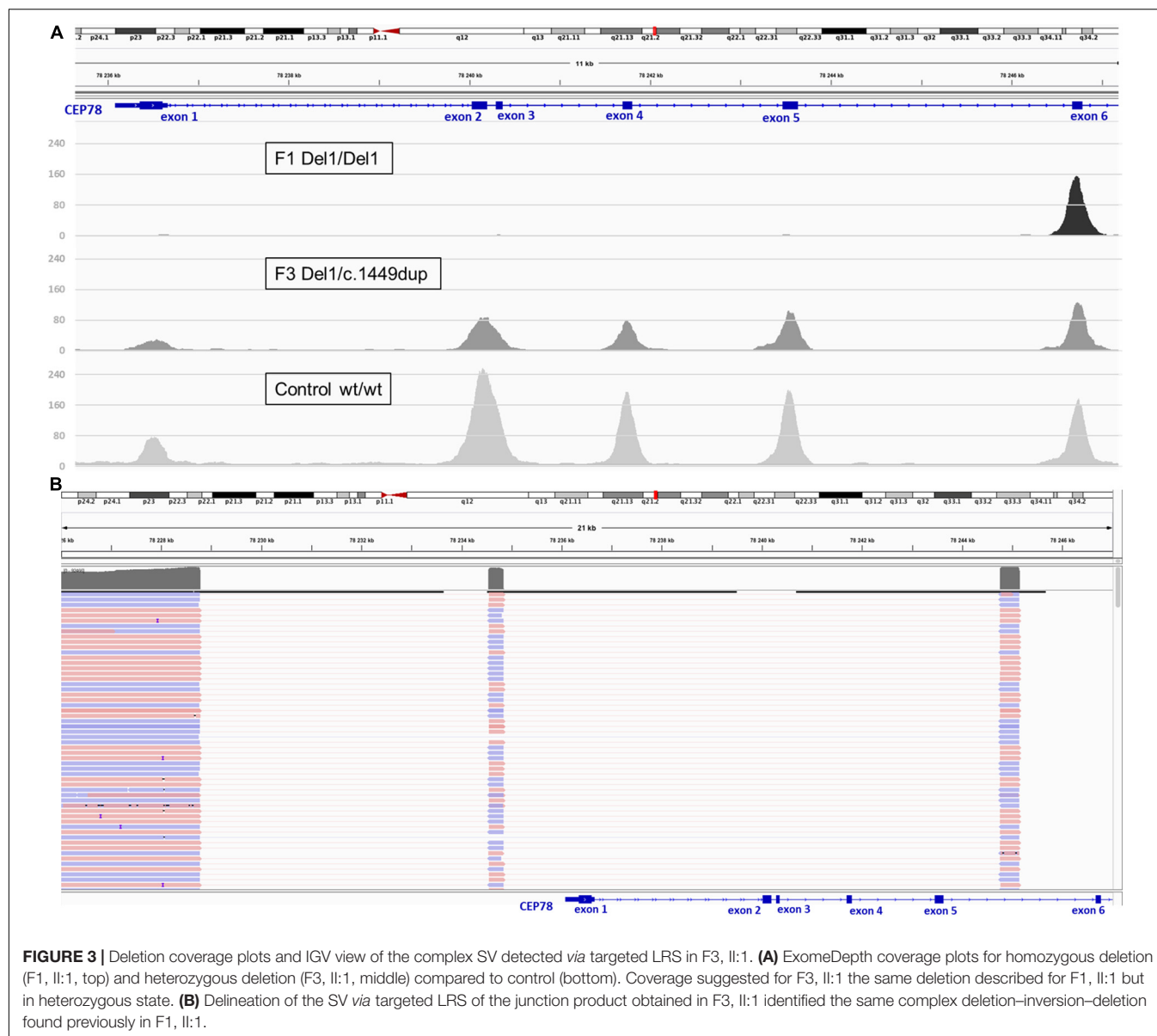
and revealed a novel heterozygous splice variant c.1209-2A>C p.(?) (NM\_001098802.2) in *CEP78*. The c.1209-2A>C variant in *CEP78* was confirmed *via* Sanger sequencing, where the proband appeared homozygous, the father heterozygous and the mother wild-type (**Supplementary Figure 3**). No additional family members have been tested. The splice variant is located in the acceptor splice site of intron 9, reported in dbSNP (rs778035330) with a frequency of 0.00002628 (gnomAD), and predictions suggest a skip of exon 10. It is predicted to disrupt the consensus splice site and likely results in an absent or disrupted protein product and loss of function. Subsequent RT-PCR confirmed the skip of exon 10 (**Supplementary Figure 3**). Population frequencies and *in silico* predictions of the

*CEP78* splice variant are listed in **Supplementary Table 4** and **Supplementary Figure 4**. To our knowledge, this variant has not been reported in literature, but is reported as likely pathogenic in one case in ClinVar (ID 851351). Segregation analysis suggested a heterozygous genomic deletion of the *CEP78* region in F2, II:1, *in trans* with the splice variant. The heterozygous deletion was confirmed by sWGS, showing an interval of 225–240 kb spanning the entire *CEP78* and *PSAT1* genes (chr9: g.78105001–78330000). The sWGS output is available in **Supplementary Table 3**. The final delineation was obtained *via* whole-genome LRS allowing the identification of a heterozygous (13 reference sequence reads, 21 deletion reads) deletion spanning the region chr9:78096930–78331887 and covering 235 kb (**Figure 2**). Breakpoint junction



**FIGURE 2 |** SWGS output and integrative genomics viewer (IGV) view of the structural variants (SVs) detected via long-read sequencing (LRS) in F1 and F2.

**(A)** SWGS in F1, II:1 revealed a homozygous deletion of the region spanning exons 1–5 of *CEP78* (top). Subsequent delineation of the SV via targeted LRS of the junction product identified a complex deletion–inversion–deletion (bottom). The left breakpoint is located at (hg38) chr9:78228782, whereas the right breakpoint is located at chr9:78244762. The inverted segment spans chr9:78234546–78234844 [nearby an L1ME3Cz repetitive element (chr9:78234521–78234902)]. The SV overlaps with a previously described SV affecting *CEP78* (Sanchis-Juan et al., 2018). **(B)** SWGS in F2, II:1 identified a heterozygous deletion spanning the entire *CEP78* and *PSAT1* genes (top). Final delineation was obtained via whole-genome LRS, coordinates are crossing chr9: 78096930–78331887 and cover 235 kb (bottom).



analysis highlighted microhomology (Supplementary Figure 5). Expression analysis of *CEP78* mRNA on available fibroblasts (F2, II:1) and two controls showed loss of *CEP78* expression due to the *CEP78* genotype (Supplementary Figure 2).

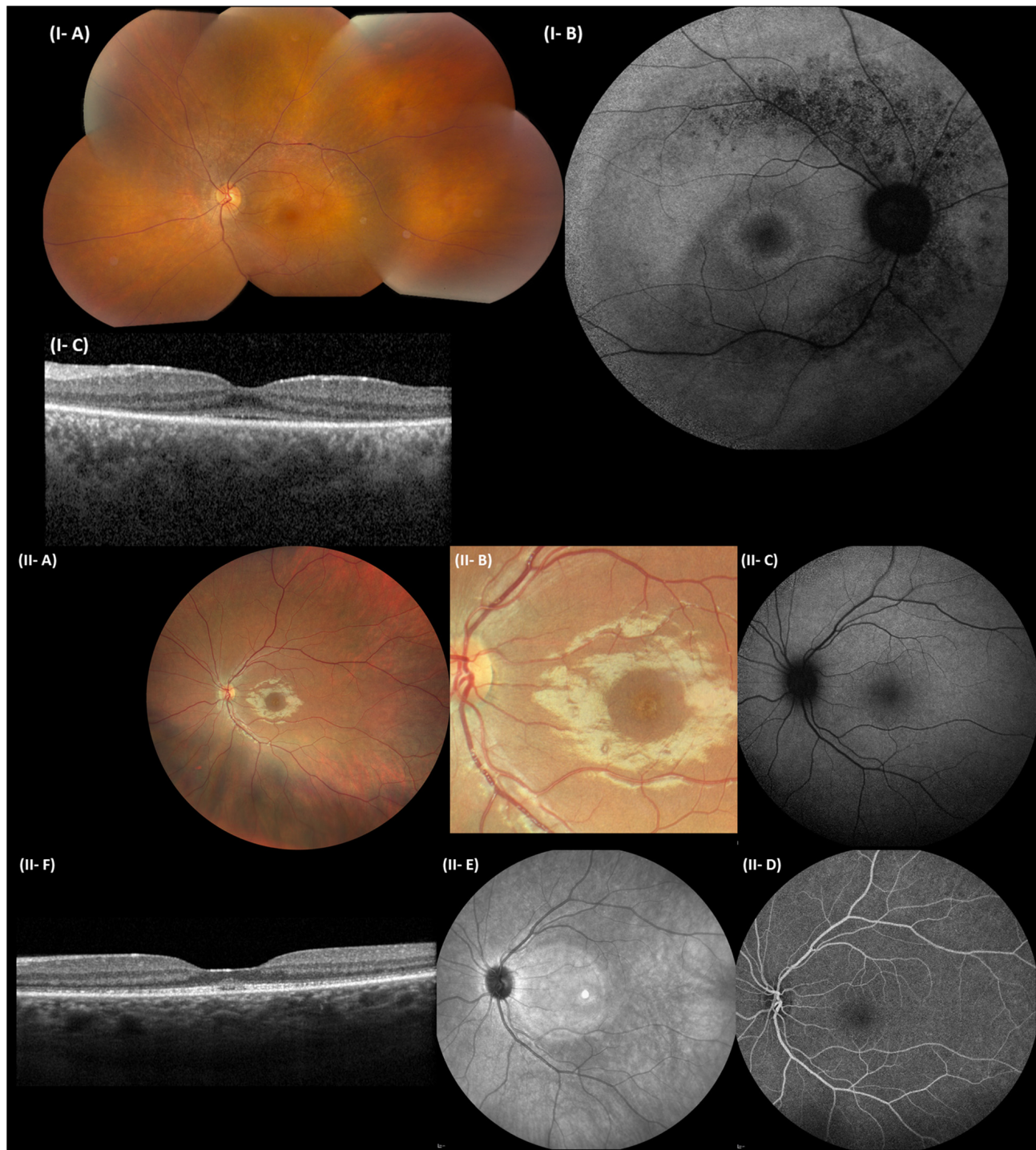
### CNV Analysis on WES Data, Long-Range PCR, and Targeted LRS in F3

For F3, II:1 CNV analysis on WES data using ExomeDepth revealed a heterozygous deletion overlapping *CEP78* with the same coordinates of the deletion in F1, II:1 (Figure 3) in combination with c.1449dup [p.(Arg484Thrfs\*4)]. The latter is a novel 1-bp duplication in exon 12, creating a frameshift starting at codon Arg484. The new reading frame ends in a stop codon at position 4 (Supplementary Table 4). Segregation analysis confirmed the presence of c.1449dup on the maternal allele,

while the deletion has paternal origin (Supplementary Figure 6). A junction product could be obtained via long-range PCR using the same primers used for F1, II:1. Targeted LRS on the long-range PCR amplicon allowed a final delineation, identifying once more the same complex deletion-inversion-deletion as found in F1, II:1 (Figure 3). Expression analysis of *CEP78* mRNA on available lymphocytes (F3 I:1, I:2, and II:1) and three controls showed extremely reduced *CEP78* expression due to the variants in the index case (Supplementary Figure 2).

### Haplotype Reconstruction for Recurrent Deletion-Inversion-Deletion of *CEP78* Identified in F1 and F3

The same deletion-inversion-deletion, originally described by Sanchis-Juan et al. (2018), has been identified here in F1



**FIGURE 4 |** Representative ophthalmological pictures of individuals from F1 and F3 carrying *CEP78* structural variants. **(I)** F1, II:1. **(I-A)** Color fundus photograph of LE with normal optic disk, minimal narrowing of retinal arterioles, RPE mottling around the temporal vascular arcades, no bone spiculae. **(I-B)** BAF of the RE with hyperautofluorescent ring surrounding the fovea and granular hypo-autofluorescence around the temporal vascular arcades. **(I-C)** Spectral-domain OCT of the LE depicting loss of perifoveal photoreceptor layer and better foveal quality with loss, however, of integrity of photoreceptor outer segments. **(II)** F3, II:1. **(II-A)** Eye fundus of the left eye with perifoveal fine, granular, yellowish material upon close inspection. Normal aspect of optic disk, peripheral retina, and retinal vessels. **(II-B)** Close-up of the macular area, demonstrating the fine, granular, yellowish material encircling the fovea. **(II-C)** BAF of the LE without obvious abnormalities. **(II-D)** Fluorescein angiography of the LE with normal fluorescence. **(II-E)** Near-infrared imaging of the LE (hyperintense circular area is an artifact). **(II-F)** Spectral-domain OCT of the LE, revealing a mottled aspect of the ellipsoid zone and subfoveal collection of fluffy material. Enlarged and flat foveal depression. BAF, blue-light autofluorescence imaging; OCT, spectral-domain optical coherence tomography; RE, right eye; LE, left eye; RPE, retinal pigment epithelium.

(homozygous) and F3 (heterozygous, with paternal inheritance); therefore, haplotype reconstruction using genotyping of 18 SNPs was performed in the proband of F1 (II:1) and in the proband and parents of F3 (II:1, I:1, and I:2). In addition, the haplotype of the homozygous *CEP78* SV reported by Sanchis-Juan et al. (2018), was reconstructed here on the basis of available WGS data. A common shared haplotype of at least 1.9 Mb was identified (Supplementary Table 5).

## Phenotypic Expression of *CEP78* Disease Caused by SVs and SNVs

In F4, II:1 WES filtering revealed a novel homozygous splice variant c.1208+2T>A [p.(?)] (NM\_001098802.2), located in the donor splice site of intron 9 and predicted to cause skipping of exon 9. The variant involves the same intron as the one affected by c.1209-2A>C in F2, II:1 and is not reported in gnomAD. Segregation analysis in two of the obligate carrier children confirmed they are heterozygous carriers. Population frequencies, *in silico* predictions, and variant classification according to ACMG and ACGS criteria are listed in Supplementary Table 4 and Supplementary Figure 4. All patients included received an initial (F1, F2, and F3) or *post hoc*, genotype-driven (F4) clinical diagnosis compatible with CRDHL. In agreement with previous studies, the IRD phenotype displays a more pronounced cone dysfunction at the onset. The age at onset of the IRD ranged from the second to third decade and, of the hearing loss, from congenital to the first decade of life. More detailed clinical features are provided in Figure 4, Table 1, and Supplementary Clinical Data 1, 2. Affected individuals from F1 to F3 are females, whereas the affected individual from F4 is a male, without signs of subfertility/infertility. Apart from CRDHL, no additional clinical features were reported except for a balance disorder in F1. Overall, no genotype–phenotype correlation could be observed for the IRD phenotype in probands with biallelic *CEP78* SVs, SNVs, or a combination of both.

## *CEP78* Expression in Human and Mouse Tissues Affected in CRDHL

In line with the main systems affected in CRDHL, i.e., neural retina and the inner ear, we examined *CEP78* expression in sc transcriptional datasets of human neural retina together with mouse and human cochlear or inner ear cells. This showed that *CEP78* is predominantly expressed in cone photoreceptor and in hair cell clusters, respectively (Figure 5 and Supplementary Figures 7, 8). Next, the expression patterns of *CEP78* and other ciliary genes listed in the top 10 of the HGC (Itan et al., 2013), *SCLT1*, *MKS1*, *CEP57*, *CEP76*, *CEP135*, *CEP152*, *CEP63*, *CEP164*, *OFD1*, and *CEP250*, in human adult bulk retinal transcriptional datasets were assessed for coordinated correlation, reasoning that this could offer insight into possible regulatory interactions. Interestingly, a matrix correlation plot (Supplementary Figure 9) showed *CEP78* expression to be correlated with *SCLT1* ( $\rho = 0.63$ ,  $p < 0.001$ ) and to be anticorrelated with *CEP250* ( $\rho = -0.53$ ,  $p = 0.0007$ ), both of them already associated with IRD (Khateb et al., 2014; Tonda et al., 2016; Kubota et al., 2018). Immunohistochemistry analysis

of human retina shows predominant cytoplasmic expression of *CEP78* in both cones and rods, with strong staining at the base of the inner segments, concordant with previous findings (Figure 5; Namburi et al., 2016; Nikopoulos et al., 2016).

## DISCUSSION

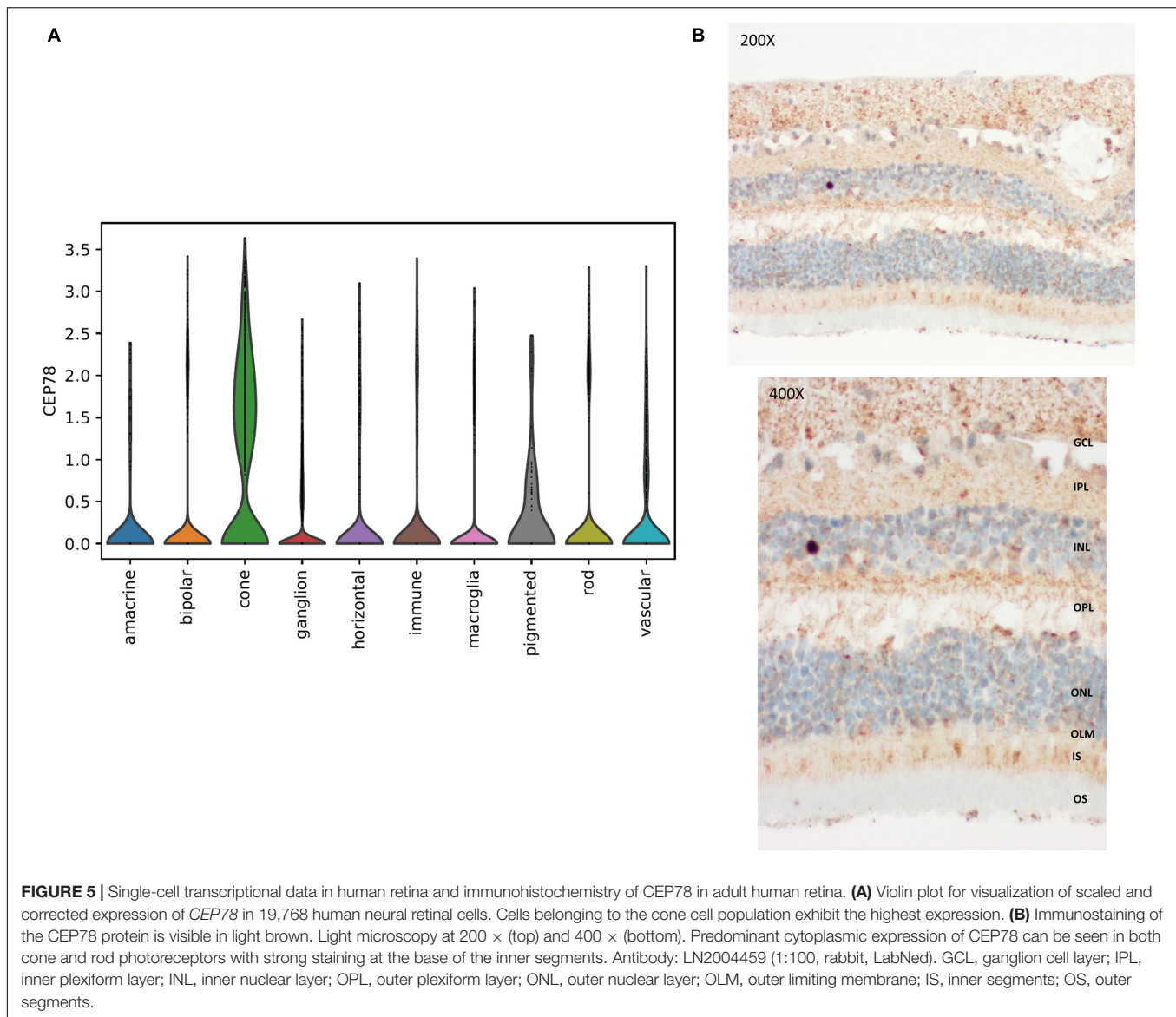
In this study, we focused on *CEP78*-associated IRD, of which several studies have linked *CEP78* variants with a presumed loss-of-function effect to CRDHL. Interestingly, a homozygous complex SV affecting *CEP78*, i.e., a deletion–inversion–deletion, was recently described in a case of CRDHL (Sanchis-Juan et al., 2018). We assessed the role of SVs in CRDHL cases and found two distinct SVs affecting the *CEP78* region, emphasizing their role in *CEP78* disease. We showed the efficacy of CNV analysis of WES data to identify subtle CNVs; more specifically, we identified a deletion spanning exons 1–5 of *CEP78*, in both homozygous and heterozygous states, leading to loss of function. Furthermore, we showed the power of combined sWGS, qPCR, and targeted or whole-genome LRS to delineate and characterize SVs at the nucleotide level. This approach revealed a complex 15-kb deletion–inversion–deletion, which proved to be the same as the previously reported *CEP78* SV that was found and characterized by combined molecular karyotyping and Sanger sequencing. As this deletion was identified in the two families of Belgian origin and in a previously published case of a British patient, haplotype reconstruction was performed and suggested a founder effect. Apart from this, a distinct larger SV, being a 235-kb heterozygous deletion, was identified using molecular karyotyping and characterized using whole-genome LRS. This deletion encompasses the *CEP78* and *PSAT1* (MIM #610936) genes, the latter of which has so far only been implicated in recessive phosphoserine aminotransferase deficiency (MIM #610992) and Neu-Laxova syndrome 2 (MIM #616038) (Hart et al., 2007; Acuna-Hidalgo et al., 2014). Overall, for all cases with *CEP78* SVs identified by us, loss of *CEP78* expression was confirmed on patient-derived material. The latter is in line with the molecular effects of the previously reported *CEP78* sequence variants.

Sanchis-Juan et al. (2018) reported that the sequences surrounding all the breakpoints of the described SV in *CEP78* present high similarity to long interspersed nuclear elements (LINEs). It was hypothesized that repetitive elements enable replication-based SV formation, providing the necessary microhomology islands or increasing the vulnerability of the region to the formation of secondary DNA structures, which can lead to replication fork collapse (Sanchis-Juan et al., 2018). We performed a similar extensive *in silico* analysis of all breakpoints and junctions of the SVs identified in this study (Supplementary Figures 5, 10 and Supplementary Tables 6, 7). Interestingly, all breakpoint junctions, including the 235-kb *CEP78*–*PSAT1* deletion, showed microhomology, supporting repetitive element-mediated replication-based SV formation underlying *CEP78* SVs/complex SVs. Our findings underscore that SVs contribute to the genetic diversity of the human genome and are of high relevance for the molecular pathogenesis of rare diseases. Very

**TABLE 1** | Overview of clinical findings in CEP78-associated CRDHL.

|         | Age/sex | Origin  | Age at onset | BCVA<br>(RE/LE) | Goldmann<br>visual fields   | Fundus imaging  | BAF  | OCT   | ERG   | Hearing<br>impairment                             | Other findings<br>reported                    |
|---------|---------|---------|--------------|-----------------|---|---|--|---|---|---|---|
| F1 II:1 | 45 y/F  | Belgian | 22 y         | 0.1/0.1         | Small central island of less than 5°, surrounded by large absolute (mid)peripheral scotoma (100° horizontal, 70° vertical) and normal peripheral limits | Normal optic disk, normal veins, narrowing of the arteries, perimacular grayish mottling around the vascular arcades and midperiphery, absence of bone spiculae | Mottled hypoautofluorescence around the large vascular arcades, perifoveal hyperfluorescent ring             | Loss of outer retinal layers with sparing of subfoveal region with foveal swelling and blending | Maximum tendency toward electronegativity, scotopic function flat, cone function: both 30-Hz flicker and single flash present but delayed and reduced | Present since the age of 12 y, hearing aids       | No fertility problems, balance disorder       |
| F2 II:1 | 52 y/F  | Danish  | 30 y         | 0.25/0.2 (49 y) | Paracentral scotomas, normal outer borders (49 y)   | Normal optic disk, attenuated vessels, absence of hyperpigmentations (49 y)   | Mottled appearance midperiphery (49 y)   | Attenuation of outer retinal layers (49 y)  | Reduced cone and rod responses (39 y)   | Congenital progressive hearing loss, hearing aids | None  |
| F3 II:1 | 25 y/F  | Belgian | 24 y         | 0.3/0.2         | Pericentral concentric scotoma  | Discrete macular mottling   | Unremarkable   | Wide foveal depression  | Lowered cone and rod responses, but worse for cones   | High frequencies                                  | Subtle mottling in central macula on infrared |
| F4 II:1 | 57 y/M  | Turkish | NA           | 0.08/0.08       | Small central island less than 5°, currently stable   | Pale optic disk, narrow blood vessels, grayish discoloration, some bone spiculae in midperiphery  | Mottled hypoautofluorescence around the large vascular arcades and nasally, perifoveal hyperfluorescent ring | Attenuation of outer retina   | Extinguished responses of cones and rods  | Present since childhood, not progressive          | None  |

BAF, blue-light autofluorescence imaging; BCVA, best-corrected visual acuity; CF, counting fingers; ERG, full-field flash electroretinography; F, female; HM, hand movements; M, male; NA, not available; OCT, spectral-domain optical coherence tomography; RE, right eye; LE, left eye.



recently, SVs were mapped and characterized in 17,795 deeply sequenced human genomes (Abel et al., 2020). It is estimated that SVs account for 17.2% of rare alleles genome-wide and that approximately 90% of such SVs are non-coding deletions. The number of complex SVs as a cause of genetic diseases is emerging. This is illustrated by *de novo* interspersed repeat insertions found in 124 cases with a genetic disease, 76 of which are caused by *Alu* short interspersed elements (SINEs), and 30 can be attributed to LINE-1 insertions (Payer et al., 2017). Two remarkable examples of complex SVs as a cause of IRD are SVA retrotransposon insertions in *MFSD8*- and *BBS1*-associated disease, of which the first SV served as a target for antisense oligonucleotide treatment, and the second appears to be a frequent cause of Bardet-Biedl syndrome (Kim et al., 2019; Delvallée et al., 2020). Another recent example is the identification of 33 pathogenic SVs in a cohort of 722 patients with autosomal dominant retinitis pigmentosa (adRP). Indeed, eight distinct complex non-coding

SVs were found as the underlying mechanism of RP17-linked adRP in 22 affected families with >300 affected individuals, clearly emphasizing the importance of the non-coding genome as target for SVs in IRD (de Bruijn et al., 2020).

Given a high contribution of CNVs to ~7%–10% of the pathogenic alleles in IRD, the fact that the majority of the SVs in our genome are non-coding and the emerging number of non-coding or complex SVs causing IRD (Abel et al., 2020; Zampaglione et al., 2020), it can be expected that SVs represent an important part of missing heritability of IRD. Hence, there is a need to assess the impact and frequency of SVs in IRD cohorts more systematically. Here, we showed how CNV calling on WES data, sWGS, and targeted or whole-genome LRS led to a confirmed molecular diagnosis in *CEP78*-associated IRD cases. CNV assessment using NGS-based algorithms has been already described as a reliable method to enhance the diagnostic rate of IRD (Khateb et al., 2016; Ellingford et al., 2018). Furthermore,

this study supports the superiority of LRS for fast characterization of complex SVs. Massively parallel sequencing is currently dominated by second-generation sequencing technology, mainly relying on SRS, having its limitations for the identification of cryptic SVs, sequencing repetitive regions, phasing of alleles, and distinguishing highly homologous genomic regions, mainly due to its short-read lengths. Third-generation or LRS technologies offer improvements in the characterization of genetic variation and regions that are difficult to assess with the current SRS approaches. The main advantage of LRS compared to SRS technologies comes from the use of long reads (>10 kb on average), originating from single-DNA molecules. Apart from this, the sequencing occurs in real time without the need of PCR amplification, therefore being mostly free from PCR-related bias. The power of LRS approaches to overcome specific limitations of second generation-based analyses will further become clear when LRS will be implemented in routine genetic testing workflows.

Apart from the *CEP78* SVs, the two novel splice variants found here expand the spectrum of *CEP78* (likely) pathogenic SNVs: a novel canonical acceptor and donor splice variant of intron 9, respectively, c.1209-2A>C (p.), predicted to lead to an exon 10 skip, and c.1208 + 2T > A (p.), predicted to cause an exon 9 skip. Interestingly, skipping of the 46-bp exon 10 was previously reported for c.1254 + 5G>A (*CEP78*, NM\_032171) (Fu et al., 2016). When comparing the phenotypes found in CRDHL cases with SNV and SVs, no apparent genotype-phenotype correlation could be demonstrated that would allow discriminating between the two classes of variants, emphasizing the need for a systematic SV assessment in the genetic workup of CRDHL cases, in which a *CEP78* genotype is suspected or in “atypical” Usher syndrome cases.

Using data mining of bulk or sc transcriptional datasets from human retina, mouse cochlea, and human inner ear, we showed expression in cone photoreceptors and in hair cell clusters. These expression domains are in agreement with the main systems affected in CRDHL, i.e., neural retina and the inner ear. Apart from this, a correlation study of *CEP78* expression strengthened the importance of *CEP78* in the ciliary machinery.

To conclude, this study supports that the *CEP78* locus is prone to microhomology-mediated, replication-based SV formation and that (complex) SV analysis should be included in molecular genetic testing of CRDHL or “atypical” Usher syndrome. Finally, it demonstrates the power of WES-based CNV assessment, sWGS, and whole or targeted LRS in identifying and characterizing suspected complex SVs in patients with *CEP78*-associated IRD. Systematic SV assessment in IRD will certainly close a diagnostic gap and will contribute to precision medicine in IRD.

## DATA AVAILABILITY STATEMENT

The variants presented in this study can be found in online repositories. The name of the repository and accession numbers can be found below: Leiden Open Variation Database (LOVD), <https://databases.lovd.nl/shared/individuals/00332412>; <https://databases.lovd.nl/shared/individuals/00332413>; and <https://databases.lovd.nl/shared/individuals/00332414>.

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## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethics Committee Ghent University Hospital, Ghent University Hospital, Ghent. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

## AUTHOR CONTRIBUTIONS

GAs and EDB designed the study and wrote the overall manuscript. GAs, NR, MDB, MVH, DC, JVD, TVL, TDP, AR, and EDB performed the research. JDZ, MVL, JJ, MBe, and LT clinically evaluated and described the families included in the study. GAs, NR, MDB, MBa, MVH, GAr, DC, JVD, TR, TDP, PDR, WDC, BM, AR, MS, and EDB analyzed and described the data. All authors reviewed the manuscript.

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# Genetic Screening Revealed Latent Keratoconus in Asymptomatic Individuals

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**Purpose:** To adopt molecular screening in asymptomatic individuals at high risk of developing keratoconus as a combinative approach to prevent subclinical patients from post-refractive surgery progressive corneal ectasia.

**Methods:** In this study, 79 Chinese and nine Greek families with keratoconus were recruited, including 91 patients with clinically diagnosed keratoconus as well as their asymptomatic but assumptive high-risk first-degree relatives based on underlying genetic factor. Mutational screening of *VSX1*, *TGFB1*, and *ZEB1* genes and full clinical assessment including Pentacam Scheimpflug tomography were carried out in these individuals.

**Results:** Five variants in *VSX1* and *TGFB1* genes were identified in three Chinese families and one Greek family, and four of them were novel ones. Surprisingly, ultra-early corneal changes in Belin/Ambrosio Enhanced Ectasia Display of Pentacam corneal topography together with co-segregated variants were revealed in the relatives who had no self-reported symptoms.

**Conclusions:** Variants of *VSX1* and *TGFB1* genes identified in both the clinically diagnosed and subclinical patients may cause the keratoconus through an autosomal dominant inheritance pattern, with different variable expressivity. Combining genetic with Belin/Ambrosio Enhanced Ectasia Display can be used to identify patients with latent keratoconus. This study indicates that genetic testing may play an important supplementary role in re-classifying the disease manifestation and evaluating the preoperative examination of refractive surgery.

**Keywords:** keratoconus, genetics, latent, asymptomatic, refractive surgery

**Abbreviations:** ARTmax, Ambrosio relational thickness maximum; BAD, Belin/Ambrosio enhanced ectasia display; BAD-D, total deviation value of Belin/Ambrosio enhanced ectasia display; B.Ele.Th, back elevation at the corneal thinnest location; Da, deviation of Ambrosio relational thickness maximum; Db, deviation of back elevation difference map; Df, deviation of front elevation difference map; Dp, deviation of average pachymetric progression; Dt, deviation of minimum thickness; ExAC, exome aggregation consortium; F.Ele.Th, front elevation at the corneal thinnest location; gnomAD, genome aggregation database; KC, keratoconus; MAE, minor allele frequency; PCA, principal component analysis; PPIavg, pachymetric progression index average; TP, thinnest pachymetry; WES, whole-exome sequencing; 1000G, 1,000 genome.

## INTRODUCTION

Keratoconus (KC, OMIM 148300) is a bilateral non-inflammatory ectasia disorder, sometimes asymmetric and characterized by progressive thinning, bulging, and a conical protrusion of the cornea (Krachmer et al., 1984; Rabinowitz, 1998). It is a relatively common disease with a prevalence ranging from 0.3 to 2,300 per 100,000, affecting both genders and diverse populations around the world (Gokhale, 2013), with higher frequency among certain ethnicities such as in Asians and Middle Easterns (Kok et al., 2012; Gokhale, 2013). It has been known that both genetic and environmental factors contribute to the disease occurrence (Gomes et al., 2015). Although most KC cases are sporadic, the incidence of familial aggregation in KC patients ranges from 6 to 23.5%, and first-degree relatives of KC patients have higher prevalence than the general population. High concordance of KC has been reported in studies of monozygotic twins. Most studies suggest an autosomal dominant mode of inheritance with different variable expressivity (Wang et al., 2000; Rabinowitz, 2003; Romero-Jimenez et al., 2010). These findings support the dominant role of a genetic factor in the pathogenesis of KC.

Over the years, many studies have postulated multiple chromosomal regions and genes (e.g., 5q31, 15q22.32-24.2, *VSX1*, *TGFBI*, *ZEB1*, *MIR184*, *SOD1*, and *ZNF469*) to be causative; therefore, KC is suspected to be a genetically heterogeneous disease (Rabinowitz, 2003; Valgaeren et al., 2018). The *VSX1* (OMIM 605020) gene is the most extensively studied gene as a candidate KC gene. An association has been established between *VSX1* gene and the pathogenesis of posterior polymorphous dystrophy (Bykhovskaya et al., 2016). Although the role of *VSX1* gene in KC remains controversial, various *VSX1* gene variants have been identified to be associated with KC in different populations (Shetty et al., 2015; Bykhovskaya et al., 2016; Karolak et al., 2016). It is reported that the expression of *VSX1* has been detected in adult corneas during corneal wound healing (Barbaro et al., 2006). The second candidate KC gene is the *TGFBI* (OMIM 601692) gene, which is responsible for Fuchs endothelial corneal dystrophy. *TGFβ1* is reported to be involved in corneal scar formation and fibrosis (Zahir-Jouzdani et al., 2018), and recently, a study found that downregulation of the core elements of the TGF-β pathway influences corneal organization in KC cornea (Kabza et al., 2017). Recently, several mutations in *TGFBI* were identified in Chinese and Polish KC patients, indicating a potential role of *TGFBI* gene in KC pathogenesis (Guan et al., 2012; Bykhovskaya et al., 2016; Karolak et al., 2016). The *ZEB1* (OMIM 189909) gene is also a candidate gene of KC. This gene has been repeatedly reported in corneal dystrophy. Lechner et al. and Mazzotta et al. have identified the same missense variant c.1920G > T of *ZEB1* gene in different patients with KC and other corneal dystrophies, suggesting a potentially significant role of *ZEB1* gene in KC pathogenesis. The above three genes (*VSX1*, *TGFBI*, and *ZEB1*) have been widely studied and have been reported to be related to KC as well as other corneal genetic diseases. Consequently, we selected the above three genes as the target genes for preliminary molecular sequencing, for exploring genetic etiology of KC patients in this study.

In the present study, both genetic and clinical examinations were carried out in clinically diagnosed patients and all relatives. We focused on the genetic etiology and clinical phenotype of asymptomatic relatives, who were at high risk of developing KC, to explore whether molecular screening could be adopted as a combined approach to prevent subclinical patients from post-refractive surgery progressive corneal ectasia.

## MATERIALS AND METHODS

This multicenter descriptive cross-sectional study was approved by the Institutional Review Board of The Eye Hospital of Wenzhou Medical University and The Emmetropia Mediterranean Eye Institute. The study adhered to the tenets of the Declaration of Helsinki. Written informed consent was obtained from all subjects prior to this study.

### Participant Recruitment

For this study, participants were recruited through patients who were admitted to The Eye Hospital of Wenzhou Medical University or The Emmetropia Mediterranean Eye Institute. A total of 88 families with KC, including 79 Chinese and nine Greek families, were recruited. Each family included a proband with clinically diagnosed KC and first-degree relatives of the proband; the parents of the proband must be included at least, regardless of whether they were asymptomatic or diagnosed as having KC. All the subjects were diagnosed by specialists from China and Greece, respectively. The diagnosis of KC is based on the following criteria: (1) at least one typical clinical feature of KC (e.g., regional stromal thinning, Vogt striae, Fleischer ring, Munson sign); (2) inferior-superior (I-S) index > 1.5, maximum keratometry (Kmax) > 47 D, and the difference in Kmax between the two eyes > 1 D; and (3) abnormal topographic criteria such as asymmetric bow tie, central or inferior steepening (Rabinowitz and McDonnell, 1989).

### Mutational Screening

Genomic DNA was isolated from peripheral blood and bidirectional sequencing of all coding regions of *VSX1* (including two additional novel exons) (Hosseini et al., 2008), *TGFBI*, and *ZEB1* genes was screened as previously described (Rao et al., 2017). The primers were designed based on the sequence information from the University of California, Santa Cruz (UCSC) genome browser (**Supplementary Table 1**). Genetic cosegregation of identified variants was confirmed in the families.

### Computational Assessment

To assess the functional effect of the identified variants, we employed a series of computational tools as described previously (Huang et al., 2015, 2017; Jin et al., 2017, 2018; Rao et al., 2017), including MutationTaster<sup>1</sup> (Schwarz et al., 2014), Polyphen-2<sup>2</sup> (Adzhubei et al., 2010), and SIFT<sup>3</sup>

<sup>1</sup><http://mutationtaster.org/>

<sup>2</sup><http://genetics.bwh.harvard.edu/pph2/>

<sup>3</sup>[http://sift.jcvi.org/www/SIFT\\_enst\\_submit.html](http://sift.jcvi.org/www/SIFT_enst_submit.html)

(Kumar et al., 2009). In addition, minor allele frequency (MAF) of variants was obtained from 1,000 Genome (1000G) database, Exome Aggregation Consortium (ExAC) database, and Genome Aggregation Database (gnomAD) (Genomes Project et al., 2010; Lek et al., 2016). To evaluate the conservation of variants, multiple-sequence alignment of *TGFBI* in different species was produced by Clustal X (Version 2.1) and edited by BioEdit (Version 7.2.5.0), and amino acid sequences were obtained from National Center for Biotechnology Information<sup>4</sup>. Furthermore, protein structures of mutant and wild-type proteins of *TGFBI* were modeled by Phyre2<sup>5</sup> and visualized by PyMOL (version 2.7) (Kelley et al., 2015).

## Whole-Exome Sequencing

Whole-exome sequencing (WES), as a complementary validation method, was performed on the samples of patients where variants in *VSX1*, *TGFBI*, and *ZEB1* genes were identified by Sanger sequencing. For each individual, at least 3 µg genomic DNA was broken into fragments to generate the Illumina libraries. The whole-exome region libraries were enriched by using an Exome Enrichment V5 Kit (Agilent Technologies, United States) following the manufacturer's protocol and were sequenced by using paired-end 100–300 bp reads on a HiSeq 2000 sequencer (Illumina, United States). After quality control, WES sequence data were mapped to the reference human genome (hg19). Single nucleotide variants and indel variants were identified by GATK and annotated by ANNOVAR. For variant analyses, we summarized 40 candidate genes related to KC (Supplementary Table 2), based on several genetics reviews of KC and PubMed database (Bykhovskaya et al., 2016; Loukovitis et al., 2018; Panahi et al., 2019). The pathogenicity of variants in candidate genes with MAF ≤ 0.01 in all of the variant databases, including gnomAD, ExAC, and 1,000 Genomes, was compared with that of variants identified by Sanger sequencing.

## Corneal Examinations

In case that a candidate variant was identified in a family, the first-degree relatives of the patient were examined by slit-lamp, Pentacam rotating Scheimpflug tomography (software version 1.19r11; Oculus, Wetzlar, Germany), and other routine ophthalmological examinations. Multiple images and parameters were collected from the Pentacam data, based on corneal three-dimensional (3-D) tomography, including the “refractive maps” and the “Belin/Ambrosio Enhanced Ectasia Display (BAD)” maps, which had a good ability to detect the latent changes in early and subclinical stages (Ambrosio et al., 2011; Ruisenor Vazquez et al., 2014), and several parameters from BAD maps: thinnest pachymetry (TP), front and back elevation at the corneal thinnest location (F.Ele.Th and B.Ele.Th), pachymetric progression index average (PPIavg), Ambrosio relational thickness maximum (ARTmax), deviation of front elevation difference map and back elevation difference map (Df and Db), deviation of average pachymetric progression (Dp), deviation of minimum thickness (Dt), deviation of Ambrosio

relational thickness maximum (Da), and total deviation value (BAD-D). All clinical data, including corneal signs by slit-lamp and images and parameters by 3-D tomography, were analyzed to compare phenotypic and subclinical phenotypic differences between KC patients and their first-degree relatives, especially the differences between the parents of the KC patient.

## Additional Data for Principal Component Analysis

For principal component analysis (PCA), additional 3-D tomography data of BAD maps were obtained from patients who were admitted to the Eye Hospital from November 2016 to October 2017. A total of 332 eyes in 187 patients with KC, 140 eyes in 70 patients with subclinical KC, and 490 eyes in 245 patients with myopia were enrolled. The myopic patients without other ocular diseases served as a control group. The subclinical group included the patients at high risk of developing KC or post-refractive surgery progressive corneal ectasia from refractive surgery candidates; they had at least three or more of the following conditions in at least one eye: (1) the total deviation parameter from BAD map (BAD-D) ≥ 1.6; (2) The back elevation at the corneal thinnest location parameter from BAD map (B.Ele.Th) ≥ 12; (3) abnormal (red) and/or suspicious (yellow) region(s) found in back elevation difference map from BAD map; (4) thinnest pachymetry < 510 µm; and (5) abnormal pattern in back elevation map from the “refractive maps,” such as island shape, tongue shape, or asymmetric hourglass shape. PCA was performed and visualized by Spyder (Version 2.3.8) with Python 2.7.

## RESULTS

### Clinical Manifestations

In this study, all the 88 families included at least the proband and proband's parents, and the number of participants in these families ranged from three to five. According to the preliminary diagnosis, 91 patients were diagnosed as having KC, including 88 probands in all families and three first-degree relatives in two Greek families (G1, G2). All the rest of first-degree relatives in 88 families were excluded from the diagnosis of clinical KC, without any self-reported complaints or symptoms.

### Genetic Variants in Patients With KC

Among the 88 probands with clinical KC, we identified five variants in *VSX1* and *TGFBI* genes. To summarize, a frameshift variant c.758-765delTCAACTCC (p.L253Rfs\*18) was found in *VSX1*, and 4 missense variants c.471C > G (p.D157E), c.805C > T (p.L269F), c.1870G > A (p.V624M) and c.1998G > C (p.R666S) were found in *TGFBI* (Table 1 and Figure 1A). Notably, c.805C > T (p.L269F) and c.1998G > C (p.R666S) in *TGFBI* were identified in a single Greek individual (family G1), and other variants were found in different patients from China (F1, F2, and F3).

Identified variants were assessed by computational algorithms and human genome aggregation databases (Table 1). All variants

<sup>4</sup><http://www.ncbi.nlm.nih.gov/protein>

<sup>5</sup><http://www.sbg.bio.ic.ac.uk/servers/phyre2/html/page.cgi?id=index>

**TABLE 1 |** Bioinformatics data of variants identified by Sanger sequencing of the four probands.

| Family | Source   | Genotype | Gene         | Amino acid change                    | 1000 G | ExAC    | gnomAD  | MutationTaster <sup>a</sup> | Polyphen2 <sup>b</sup> | SIFT <sup>c</sup> |
|--------|----------|----------|--------------|--------------------------------------|--------|---------|---------|-----------------------------|------------------------|-------------------|
| F1     | Maternal | Het      | <i>TGFBI</i> | c.471C > G:p.D157E                   | 0.0008 | 0.00009 | 0.00012 | D                           | P                      | T                 |
| F2     | Maternal | Het      | <i>TGFBI</i> | c.1870G > A:p.V624M                  | 0.001  | 0.0003  | 0.00024 | D                           | D                      | D                 |
| F3     | Paternal | Het      | <i>VSX1</i>  | c.758-765delTCAACTCC<br>p.L253Rfs*18 | NI     | 0.00013 | 0.0002  | D                           | NI                     | NI                |
| G1     | Maternal | Het      | <i>TGFBI</i> | c.805C > T:p.L269F                   | 0.0024 | 0.0018  | 0.00165 | D                           | D                      | D                 |
|        | Paternal | Het      | <i>TGFBI</i> | c.1998G > C:p.R666S                  | 0.0008 | 0.0016  | 0.00117 | A                           | B                      | T                 |

Het, heterozygosis; NI, no information.

<sup>a</sup>MutationTaster: A, known to be deleterious; D, probably deleterious; N, probably harmless; P, known to be harmless.

<sup>b</sup>Polyphen2: D, probably damaging; P, possibly damaging; B, benign.

<sup>c</sup>SIFT: D, deleterious; T, tolerate.

were novel except variant c.1998G > C in *TGFBI*, which had been previously reported (Boutboul et al., 2006). All these variants were predicted deleterious, and the MAF of each one was less than 0.005 in gnomAD, ExAC, and 1000G. In addition, all missense variants in the *TGFBI* were highly conserved across different species (Figure 1B). Meanwhile, we predicted the three-dimensional structure of mutant proteins and wild-type ones for missense variants in *TGFBI*. Structure models demonstrated that D157E and L269F were located in a  $\beta$ -sheet and V624M in an  $\alpha$ -helix, while L269F and R666S had a change in the hydrogen bonds (Figure 1C). In summary, we identified five variants in *VSX1* and *TGFBI* genes in four unrelated keratoconic families, four of which are reported for the first time.

## Whole-Exome Sequencing

To further verify the pathogenicity of the variants identified in *VSX1* and *TGFBI* genes, WES was performed in the four families (Supplementary Table 3). The mean depth of the WES targeted regions was > 60 $\times$ , and the median coverage reached > 95%. As a result, WES captured the variants successfully, again confirming the variants in the *VSX1* and *TGFBI* genes. In addition, no better explainable variant was found in other known candidate genes responsible for KC, based on a comprehensive analysis of MAF, pathogenicity prediction, and co-segregation. In the absence of further functional studies, these data collectively indicate that the variants identified in both the patients and asymptomatic parents may be major genetic predisposing factors.

## Asymptomatic Relatives in the Families With Genetic Variants

We hypothesized that the relatives in the families are at high risk of developing KC even though they did not have self-reported symptoms. To test this hypothesis, we performed mutational screening in the four families with defined variants as mentioned above. Surprisingly, four asymptomatic relatives were found to carry disease-causing variants in an autosomal dominant inheritance pattern (Figure 1A).

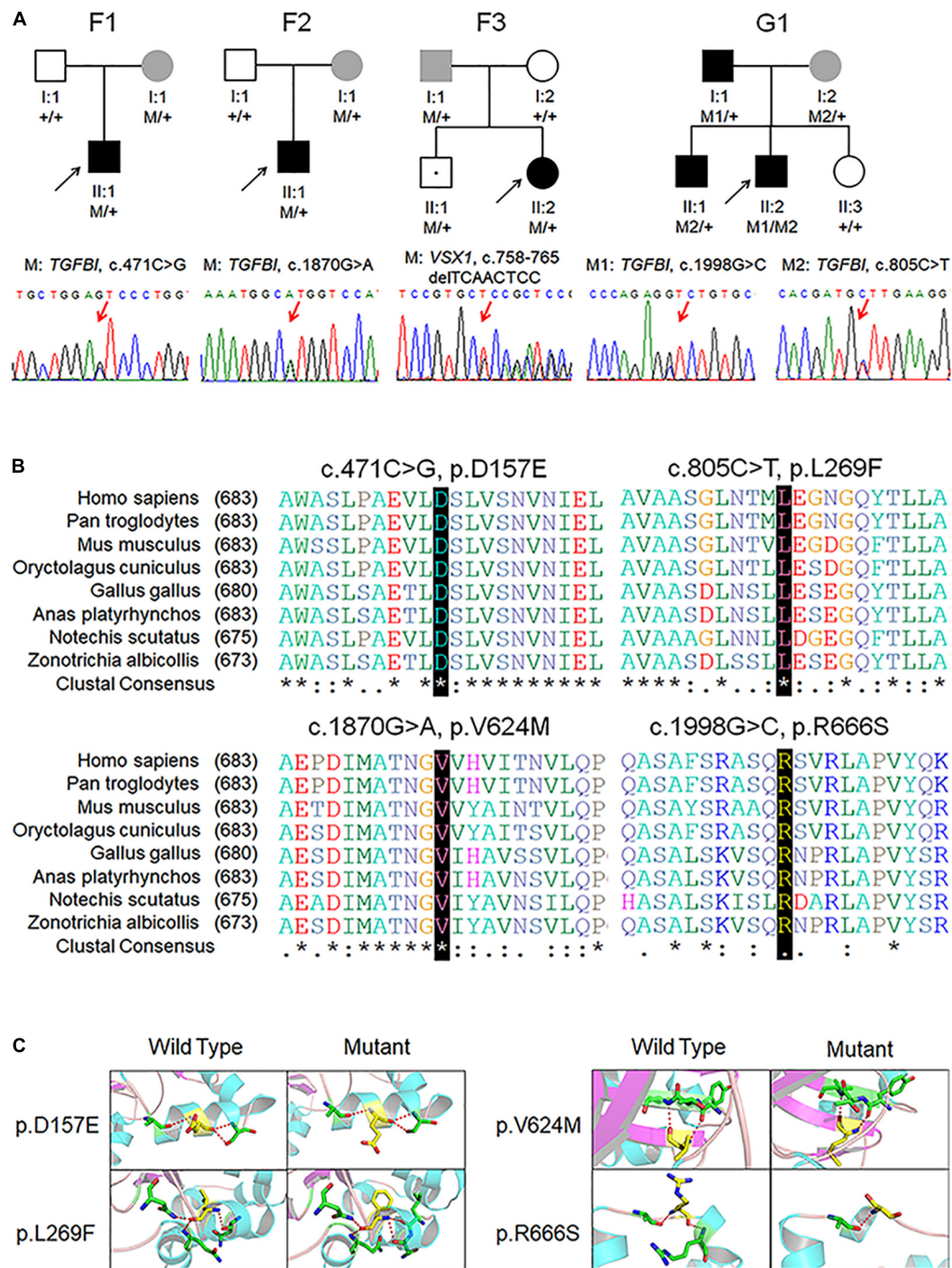
In Chinese family F1, the asymptomatic mother (F1:I:2) who carried the missense variant c.471C > G in *TGFBI* gene transmitted it to the KC patient (F1:II:1). Clinical examination of the mother showed transverse diffused pigmentations in the central region of the cornea, and topographical examination showed five suspicious parameters (PPIavg, ARTmax, Dp, Da,

and BAD-D) in the BAD maps of the right eye. Furthermore, there was a suspicious circular region highlighted in yellow in the “difference elevation map” of the front surface of cornea in the left eye with two suspicious parameters (Dt and BAD-D). The topographic parameters of the unaffected father (F1:I:1) were almost normal except a slightly abnormal BAD-D parameter in both eyes (Supplementary Figure 1 and Supplementary Table 4).

In Chinese family F2, the *TGFBI* variant c.1870G > A was identified in both the KC patient (F2:II:1) and the mother (F2:I:2) who had no self-conscious symptom. The mother was found to have dour suspicious parameters (B.Ele.Th, PPIavg, Dp, and BAD-D) in BAD maps of the right eye, while a yellow region in the back “difference elevation map” with five suspicious parameters (B.Ele.Th, PPIavg, Db, Dp, and BAD-D) was found in the left eye. The father (F2: I:1) was unaffected with KC but had an explicit history of trauma and pterygium in the right eye (Figure 2, Supplementary Figure 2, and Supplementary Table 4).

In the third Chinese family (F3), two siblings (F3:II:2 and F3:II:1) carried the frameshift variant c.758-765delTCAACTCC in *VSX1* gene which was inferably inherited from the asymptomatic father (F3:I:1) who was found to have transverse diffused pigmentations in the inferior region of the cornea in both eyes and a suspicious region in the back “difference elevation map” in the right eye. The father was found to have three suspicious parameters (ARTmax, Da, and BAD-D) and three abnormal parameters (B.Ele.Th, PPIavg, and Dp) in BAD maps of the right eye, while three suspicious parameters (PPIavg, Dp, and BAD-D) were found in the left eye. In the mother (F3:I:2), only a slightly suspicious BAD-D value (1.61) was found in the left eye. The brother (F3:II:1) also had no suspicious clinical finding (Supplementary Figure 3 and Supplementary Table 4).

In the Greek family (G1), the proband (G1:II:2) carried two different heterozygous variants in the same gene *TGFBI*. The variant c.1998G > C was inherited from the affected father (G1:I:1) who had received cross-linking therapy in both eyes, while the variant c.805C > T was shared with the affected brother (G1:II:1), and both inherited from the asymptomatic mother (G1:I:2) who was found to have three suspicious parameters (TP, Dt, and BAD-D) in BAD maps of the right eye and four suspicious parameters (TP, B.Ele.Th, Dt, and BAD-D) in the left eye. The sister (G1:II:3), without detectable variant, had no suspicious clinical finding. In addition, compared to the father and brother,

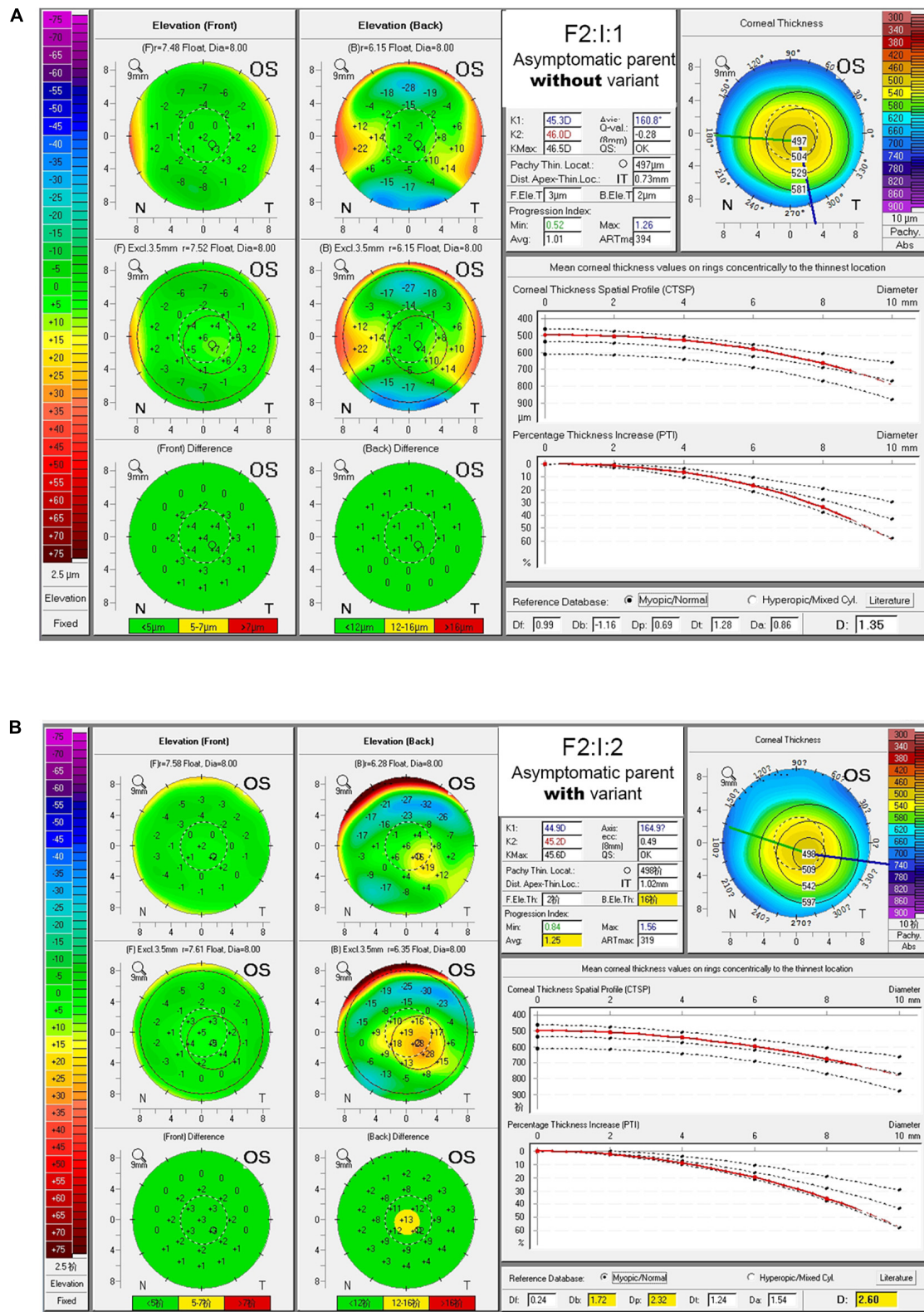


**FIGURE 1 |** Pedigree, genotype, and clinical data of four probands with keratoconus. **(A)** Pedigree charts. Black symbol indicates affected member, white symbol indicates unaffected individual, gray symbol indicates member with subclinical keratoconus, and dotted symbol denotes variant carrier without any clinical feature. M represents a variant, and + indicates normal allele. The sequence chromatogram with red arrow represents mutant type. **(B)** Conservation analysis revealed evolutionary conservation of the variants. **(C)** Predicted three-dimensional structure of proteins.

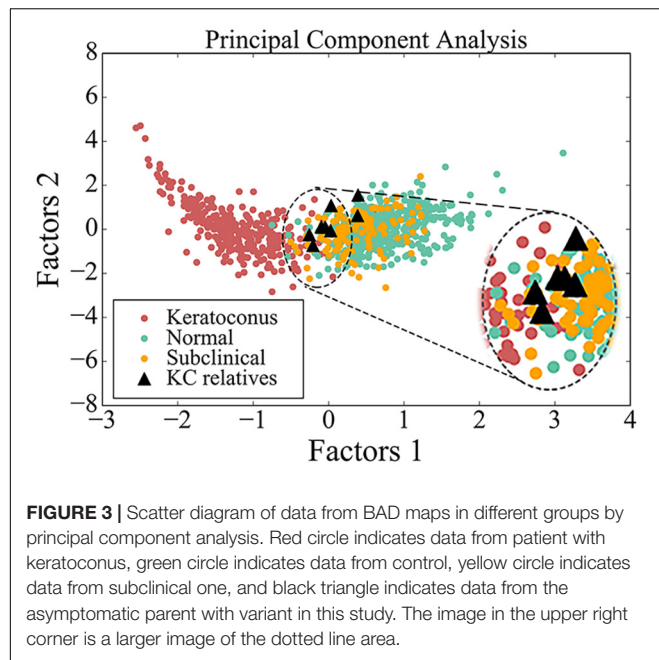
the proband had more severe symptoms and earlier onset age of the KC (**Supplementary Figure 4** and **Supplementary Table 4**).

PCA had been employed to compare corneal parameters in BAD maps of the KC group, subclinical group, myopia group, and

eight eyes in four subclinical parents in this study (**Figure 3**). We observed that most eyes (6/8) from the four subclinical parents just happen to be in the border region (dotted line area) between myopia group and KC group.



**FIGURE 2 |** BAD maps of left eye of the asymptomatic parents with different genotypes in an example family. **(A)** Tomographic display from “Belin/Ambrosio Enhanced Ectasia Display (BAD)” maps of the asymptomatic parent without variant (F2:I:1). **(B)** Tomographic display from BAD maps of the asymptomatic parent with variant (F2:I:2). Region highlighted in yellow in the “difference elevation map” indicates suspicious region. OD, right eye; OS, left eye.



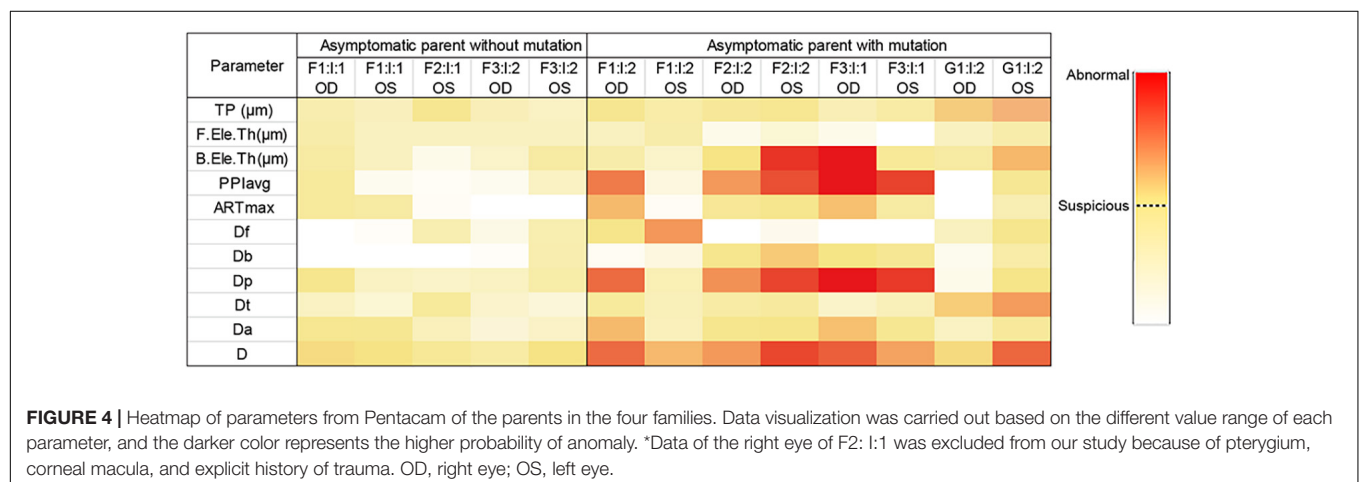
Taken together, genetic variants together with ultra-early corneal topographical changes were found in asymptomatic parents (**Figure 4**), supporting that the relatives in KC families are of higher risk of developing a latent subclinical KC.

## DISCUSSION

Patients with KC often exhibit a range of disease manifestations of varying severity (Rabinowitz, 1998). Importantly, post-refractive surgery progressive corneal ectasia had occurred in many patients who did not exhibit any clinical sign of risk factors prior to surgery (Klein et al., 2006). Based on the fact that KC has a clear genetic tendency, previous studies have found a large number of families with dominant inheritance (Paliwal et al., 2011). Given the genetic basis of this disease, we screened the

genetic variants in two cohorts from China and Greek. With the identified variants in KC patients by Sanger sequencing and WES, we found the same variants in the asymptomatic parents in these families. Further corneal topographical examination discovered the subclinical abnormalities in these parents.

Most patients with KC were sporadic in previous reports (Romero-Jimenez et al., 2010); however, familial aggregation of KC and high prevalence of first-degree relatives of patients with KC had been widely reported. In addition, several scholars had conducted in-depth studies on the corneal topography of first-degree relatives. Awwad et al. (2019) recruited 183 first-degree relatives aged 6 to 18 years of patients with KC for clinical research. Finally, 32 relatives (17.5%) were revealed as having KC by tomographic evaluation, and the unilateral KC accounted for 37.5%. They concluded that first-degree relatives of KC patients were at high risk of developing KC, and the high rate of unilateral KC suggested a high likelihood of developing KC from normal eyes of first-degree relatives. Kaya et al. (2008) analyzed the corneal topographic features of 72 first-degree relatives of patients with KC and found that eight of them were diagnosed as having KC. Meanwhile, in the remaining 64 asymptomatic relatives, more abnormal corneal parameters could be detected, compared with the control group. Shneor et al. (2020) also found that 18% of first-degree relatives were diagnosed with KC or KC suspect, and 34% had at least one abnormal corneal parameter. Steele et al. (2008) found that KC traits were more likely to appear in the corneal topography of first-degree relatives of patients with KC and suggested an autosomal dominant pattern of inheritance with variable expressivity in some families. Karimian et al. (2008) found that relatives of patients with KC had high incidence of latent KC through topographic evaluation. In our study, we found four parents without self-reported symptoms indeed carry genetic variants in the *VSX1* and *TGFBI* genes. Furthermore, corneal examinations revealed subclinical changes in the cornea. These findings are suggestive of disease predisposition by the same genetic variants with various degrees of disease expressivity and, more importantly, warrant further study on asymptomatic family members and early diagnosis of KC. We speculate that the asymptomatic family members of the KC patients are high-risk



individuals based on the significant genetic trend of this disease and further hypothesize that there are many similarly high-risk individuals in the population.

From PCA diagram (Figure 3), we can observe the continuity between the patient group and the control group, which is consistent with the clinical situation, because there is no clear boundary between KC patients and normal people. In addition, most eyes of the four subclinical parents (6/8) just happen to be in the critical region (dotted line area) between the two groups, as well as many from the subclinical population, so we speculate that many people similar to them may be at potential risk.

With the sharp increase of myopic population globally, there is a huge demand for refractive surgery, which makes a large number of high-risk individuals face the risk of postoperative corneal ectasia after refractive surgery. Post-refractive surgery progressive corneal ectasia is a rare but severe complication of refractive surgery. In the absence of recognized classification system for KC, especially for the subclinical KC, it is important to find a way to accurately screen these high-risk groups. However, despite the rapid development of corneal measurement technology, it is still hard to find a clinical approach with sufficient sensitivity and specificity to identify subclinical KC. Our study supports that genetic screening is important in detecting subclinical KC in extremely early stage or even suspects without any clinical signs.

In conclusion, we performed genetic screening in a large cohort of Chinese and Greek patients with KC and suggested that variants in the *VSX1* and *TGFBI* genes might be responsible for KC through autosomal dominant inheritance pattern with variable expressivity. Further functional studies will be conducted in the future to verify the results of this study. At the same time, the asymptomatic members in keratoconic families were at high risk to carry subclinical KC and should be encouraged to undertake molecular screening and Pentacam Scheimpflug tomography. Our finding suggested that “Belin/Ambrosio Enhanced Ectasia Display” on the Pentacam plays an important role in detecting the latent subclinical changes, and genetic screening is of great value in establishing disease classification system of subclinical and early-stage KC and for the preoperative screening of refractive surgery individuals to prevent postoperative corneal ectasia.

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## DATA AVAILABILITY STATEMENT

The data presented in the study are deposited in the Figshare repository. It can be found here: <https://figshare.com/s/3658e55605f0f001ca74> (doi: 10.6084/m9.figshare.14378519).

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Institutional Review Board of The Eye Hospital of Wenzhou Medical University and the Emmetropia Mediterranean Eye Institute. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

## AUTHOR CONTRIBUTIONS

Z-BJ designed the experiments and supervised the whole study. SC, X-YL, J-JJ, Z-JC, J-YM, R-JS, EL, SV, and IA performed the experiments. SC, X-YL, F-FC, and IA analyzed the data. SC and X-YL wrote the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.650344/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Genotype Profile of Global EYS-Associated Inherited Retinal Dystrophy and Clinical Findings in a Large Chinese Cohort

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**Purpose:** The aim of this study was to probe the global profile of the EYS-associated genotype-phenotype trait in the worldwide reported IRD cases and to build a model for predicting disease progression as a reference for clinical consultation.

**Methods:** This retrospective study of 420 well-documented IRD cases with mutations in the EYS gene included 39 patients from a genotype-phenotype study of inherited retinal dystrophy (IRD) conducted at the Beijing Institute of Ophthalmology and 381 cases retrieved from global reports. All patients underwent ophthalmic evaluation. Mutations were revealed using next-generation sequencing, followed by Sanger DNA sequencing and real-time quantitative PCR analysis. Multiple regression models and statistical analysis were used to assess the genotype and phenotype characteristics and traits in this large cohort.

**Results:** A total of 420 well-defined patients with 841 identified mutations in the EYS gene were successfully obtained. The most common pathogenic variant was a frameshift c.4957dupA (p.S1653Kfs\*2) in exon 26, with an allele frequency of 12.7% (107/841), followed by c.8805C > A (p.Y2935X) in exon 43, with an allele frequency of 5.9% (50/841). Two new hot spots were identified in the Chinese cohort, c.1750G > T (p.E584X) and c.7492G > C (p.A2498P). Several EYS mutation types were identified, with CNV being relatively common. The mean age of onset was 20.54 ± 11.33 (4–46) years. Clinical examinations revealed a typical progression of RPE atrophy from the peripheral area to the macula.

**Conclusion:** This large global cohort of 420 IRD cases, with 262 distinct variants, identified genotype-phenotype correlations and mutation spectra with hotspots in the EYS gene.

**Keywords:** inherited retinal dystrophy, copy number variations, mutation spectrum, hot spot mutation, genotype-phenotype trait, EYS mutation

## INTRODUCTION

Inherited Retinal Dystrophy (IRD) includes a variety of genetic retinal disorders linked with disease-causing mutations. It is characterized by progressive retinal degeneration and photoreceptor loss (Bernardis et al., 2016; Broadgate et al., 2017), and has a global prevalence rate of 1 in 2000–3000 (Hartong et al., 2006). The primary form of progressive IRD is retinitis pigmentosa (RP) (OMIM #268000), with a global incidence of ~1 in 4,000 births and 2.5 million affected patients (Hartong et al., 2006; Dias et al., 2018). RP is a highly heterogeneous genetic disorder in humans (Bandah-Rozenfeld et al., 2010), with more than 100 causative genes identified (a detailed list is available at the RetNet database<sup>1</sup> and the RetinoGenetics database<sup>2</sup>) (Ran et al., 2014). RP shows a significant genetic heterogeneity, with multiple inherited patterns including autosomal recessive (AR), autosomal dominant (AD), and X-linked (XL) forms (Bunker et al., 1984; Grøndahl, 1987).

Mutations in the eyes shut homolog (*EYS*) gene, one of the most prevalent causes of RP, account for about 5–10% of ARRP (Audo et al., 2010; Barragán et al., 2010; Littink et al., 2010; Hosono et al., 2012). The *EYS* mutations were first reported as a genetic cause of ARRP in 2008 (Abd El-Aziz et al., 2008; Collin et al., 2008). The *EYS* gene has 43 exons and is located on chromosome 6q12. Spanning approximately 2 MB, this gene is one of the largest genes identified in the human genome (Abd El-Aziz et al., 2008; Barragán et al., 2010; Littink et al., 2010). The gene encodes a protein located in the outer segment of the photoreceptor cells (Abd El-Aziz et al., 2008) and is an ortholog of the *Drosophila* spacemaker (*spam*) protein. The protein is encoded by the longest isoform of the gene, containing 3165 amino acids, and is subdivided into 28 epidermal growth factor-like (EGF) domains and 5 Laminin A G-like domains at the C-terminus (Abd El-Aziz et al., 2008; Bandah-Rozenfeld et al., 2010; Barragán et al., 2010). Functionally, the *EYS* protein is indispensable for sustaining the morphological stability of the photoreceptor (Abd El-Aziz et al., 2008). However, the exact pathogenic role of the protein requires further elucidation.

The large number of *EYS* mutations reported to date in many independent studies prompted the present study aimed at assessing the effects of each mutation. The correlations between genotype and phenotype have not yet been well defined in patients with *EYS* mutations. We included 420 participants with *EYS* mutations in the present study to explore the possible correlations between genotypes and clinical phenotypes. Our findings provide a global profile of *EYS*-associated genotype-phenotype traits in patients with IRD and a model for predicting the progression of the disease as a reference for clinical consultation.

<sup>1</sup><https://sph.uth.edu/retnet/>

<sup>2</sup><http://www.retino-genetics.org/>

## SUBJECTS AND METHODS

### Study Subjects

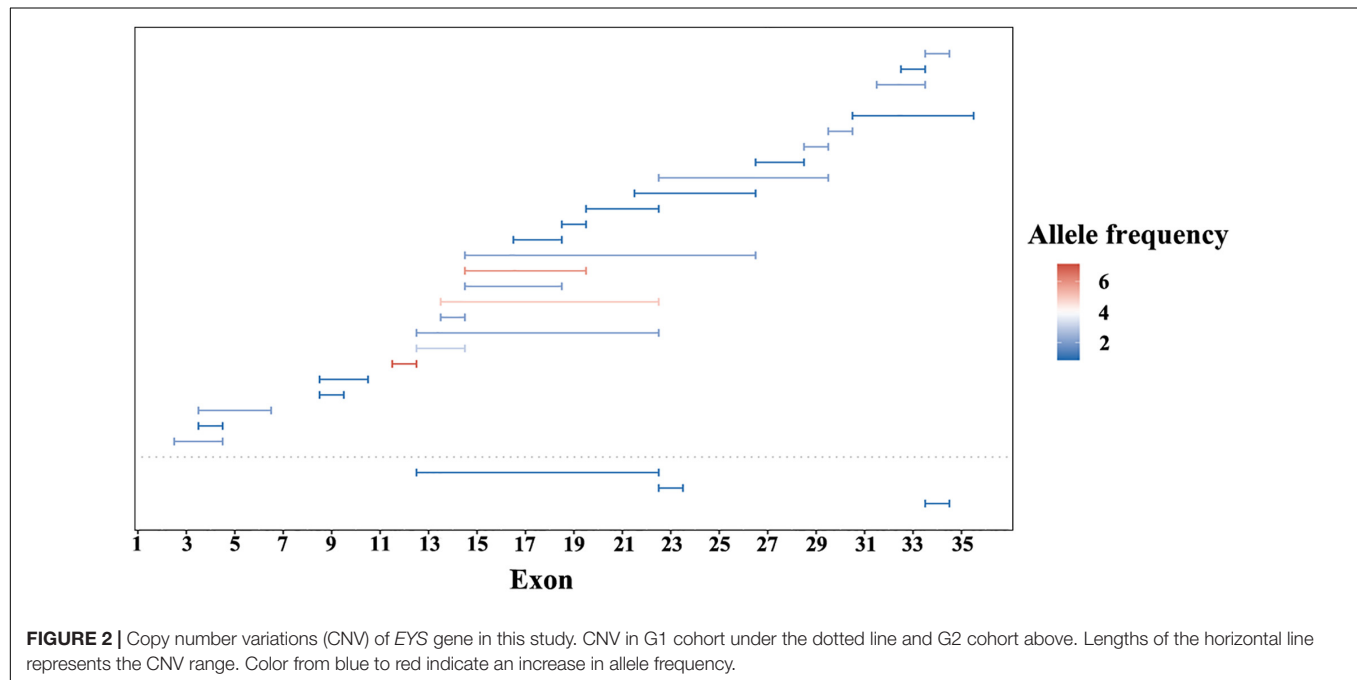
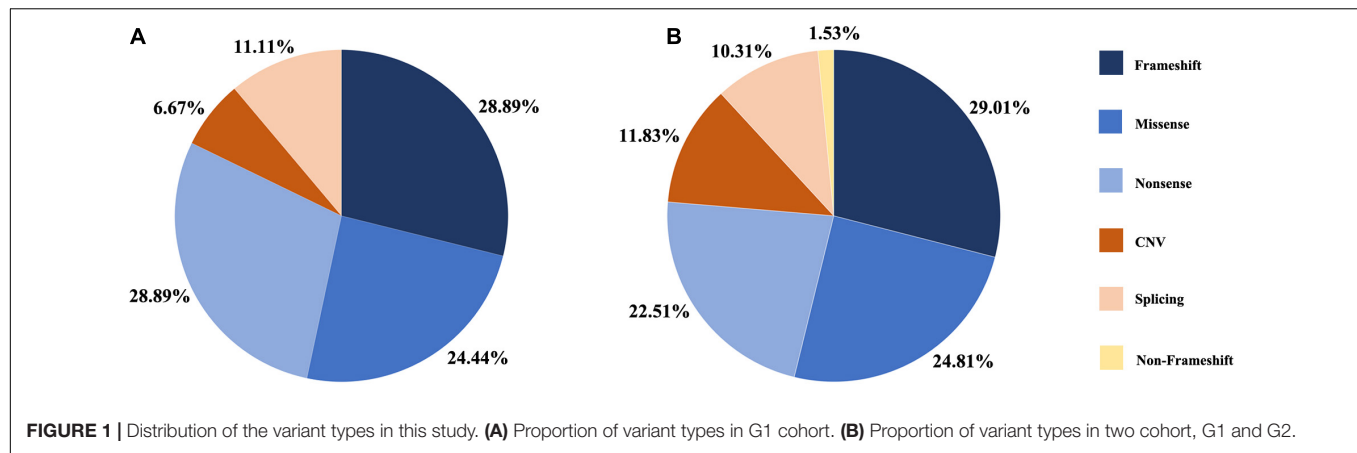
The study was conducted in compliance with the protocols of the Medical Ethics Committee of Beijing Tongren Hospital and with the tenets of the Declaration of Helsinki. We classified 39 unrelated patients with *EYS* gene variants who had a diagnosis of *EYS*-IRD as group one (G1). This group included nine probands with family histories and 30 sporadic cases, making it the largest cohort of *EYS* patients with genetic and clinical information in Asia to date. Every study participant provided informed consent for the clinical and genetic studies. All patients' medical and family histories were taken carefully; these histories mainly covered the chief complaints of the initial ocular symptoms (such as low vision or night blindness), onset age of the disease, and clinical information about their available relatives. Ophthalmic examinations, including best-corrected visual acuity, slit-lamp biomicroscopy, subjective and objective optometry, and fundus photography, were performed for each participant. Some patients also underwent optical coherence tomography (OCT), full-field electroretinography (ERG), and autofluorescence imaging (AF).

We also retrospectively investigated 381 previously reported index probands from various populations who carried *EYS* mutations and included their available clinical information published until June 2020; these patients were classified as group two (G2). Detailed literature sources for the G2 group variants are provided in **Supplementary Table 1**. In brief, our study included 420 patients divided into two cohorts.

### Targeted Exome Sequencing and Bioinformatics Analysis

Peripheral venous blood samples of each participant and their available family members were collected. Genomic DNA was extracted from the peripheral leukocytes according to standard extraction protocols using the Whole Blood Genomic DNA Extraction Kit (Vigorous, Beijing, China). The DNA concentration was quantified with a NanoDrop 2000 device (Thermal Fisher Scientific, DE) (Chen et al., 2020).

The participants' genetic information was analyzed with subsequent targeted exon sequencing (TES) to develop a sequencing panel including 188 known inherited retinal degeneration (IRD) genes (Sun et al., 2018). The Illumina library preparation, capture experiments, sequencing raw data analysis, and subsequent bioinformatics evaluation have been described previously (Sun et al., 2018). Multiple databases were used for annotation and evaluation of the variants, and the pathogenicity of missense variants was predicted *in silico* by Mutation Taster, PolyPhen-2, and SIFT. Variants with a potential splicing effect were predicted based on their ada-boost and random forest scores. We appraised the sequence variant classifications based on the American College of Medical Genetics and Genomics (ACMG) policies and guidelines (Richards et al., 2015). The HGMD database was used to query reported pathogenic mutations. Real-time quantitative PCR was performed for three probands to confirm the presumed copy number variations (CNV) of *EYS*. All the putative pathogenic *EYS* variants



(NM\_001142800) were validated using Sanger sequencing. The available family members were evaluated for co-segregation.

## Statistical Analysis

Statistical analyses were performed using SPSS version 22.0 software (IBM, Corp., Armonk, NY, United States). The best corrected visual acuity (BCVA) was converted to the logarithm of minimum angle of resolution (logMAR) unit for statistical analysis. Logistic regression analysis was performed to evaluate the visual progression by calculating the probability of low vision or blindness observed at different ages. The WHO criteria were used to set low vision and legal blindness as  $0.05 \leq \text{BCVA} < 0.3$  and  $\text{BCVA} < 0.05$ , respectively. A  $p$ -value  $< 0.05$  was considered statistically significant. The Kolmogorov-Smirnov test was performed to assess the normal distribution of visual acuity. The Wilcoxon Rank Sum Test was performed to evaluate the difference between genotypes.

## RESULTS

### Molecular Diagnosis in *EYS* Gene

In the G1 cohort, we identified 45 distinct causal or likely causal variants, including 11 missense, 13 nonsense, 13 frameshift, 5 splice-site, and 3 CNV mutations (**Figure 1A**). Of these 45 mutations, the most prevalent was c.6557G > A (p.G2186E), identified 7 times with an allele frequency 9% (7/78), followed by c.1750G > T (p.E584X) and c.7492G > C (p.A2498P) with allele frequencies of 6.4% (5/78), and variants c.7228 + 1G > A and c.8805C > A (p.Y2935X) with allele frequencies of 5.1% (4/78). This was the first report of 19 of these 45 variants and included 4 new missense, 7 new nonsense, 6 new frameshift, and 3 new CNV mutations. Two novel missense variants, c.359C > G (p.T120R) and c.9002C > T (p.A3001V), were identified in two unrelated probands. The *in silico* analysis programs of SIFT showed that these mutations could be damaging, while the

Mutation Taster and Polyphen2 programs indicated they were likely benign. The frequency of variant p.T120R was less than 0.005 in database ExAC and gnomAD, and the frequency of variant p.A3001V was not recorded in any public databases; therefore, according to the ACMG guidelines, the classification of these two mutations is uncertain. Detailed results of the frequency and pathogenicity analyses of G1 group variants are presented in **Supplementary Table 2**. Cosegregation analysis was performed in 39 families.

A summary of the genetic data from G1 and G2 revealed 262 variants among the 420 probands. The mutations included 76 frameshift, 65 missense, 59 nonsense, 31 CNV, 27 splicing change, and 4 non-frameshift indel mutations (**Figure 1B**). Most of these variants were clustered in exon 26 and exon 43. The most common pathogenic variant was a frameshift c.4957dupA (p.S1653Kfs\*2) in exon 26, with an allele frequency of 12.7% (107/841), followed by a c.8805C > A (p.Y2935X) variant in exon 43, with an allele frequency was 5.9% (50/841). Mutations in exons 4, 16, and 32 were also common, whereas the remaining variants were distributed across the whole gene. CNV was more common in exons 12–22 (**Figure 2**).

## Evaluation of Genotype–Phenotype Correlations

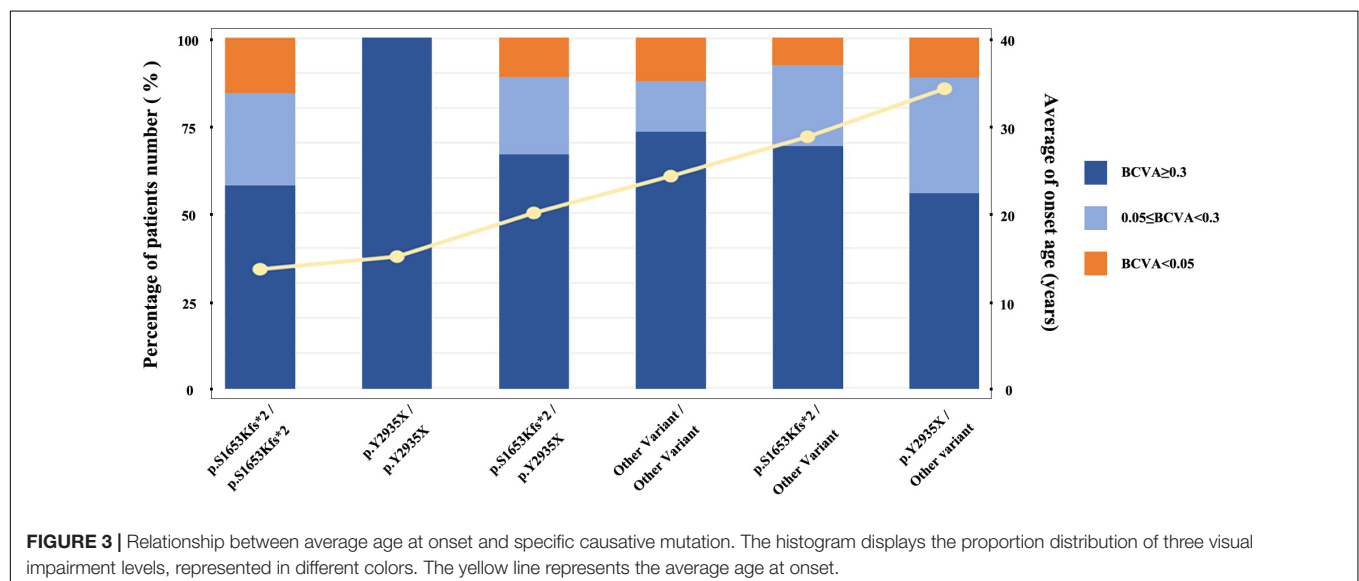
We stratified all patients into six groups to obtain a better assessment of the relationship between the genotypes of two mostly common pathogenic variants (M1: p.S1653Kfs\*2 and M2: p.Y2935X) and the EYS-IRD phenotypes (e.g., BCVA, and onset age). Grouped as follows: group I, 40 patients, carried only one of the two mutation hot spots, M1; group II, 24 patients, carried M1 homozygous mutation; group III, 16 patients, carried only one of the two mutation hot spots, M2; group IV, 7 patients, carried M2 homozygous mutation; group V, 18 patients, carried M1 and M2 compound heterozygosity mutation; group VI, 315 patients, without carried the above two common mutation. Comparison of the differences in age of onset and the severity of the loss of

visual acuity of six groups and sorting the age of onset from left to right (**Figure 3**) revealed that group I and group IV had an earlier onset age than the other groups. No significant difference was noted in the proportion of patients with specific degrees of visual impairment in each group.

## Participants and Clinical Profiles in G1

In total, 39 affected EYS-IRD subjects were included in this study (G1 cohort); 30 were sporadic cases, 9 were ARRP, and all of them were Chinese. The clinical diagnosis was RP in 38 patients, and cone-rod dystrophy (CORD) in 1 patient (**Supplementary Table 1**). The average age of onset and age at first visit were  $20.54 \pm 11.33$  (4–46) and  $37.33 \pm 11.36$  (14–48) years, respectively. The average best corrected visual acuity (LogMAR) was  $0.74 \pm 1.06$  (0–5) in this cohort. We identified the visual prognosis of EYS-IRD subjects by exploring the probability of low vision and blindness at different ages. The regression model was as follows: probability of low vision is  $\ln(P/1 - P) = 0.145 \times \text{age} - 7.163$  and probability of blindness is  $\ln(P/1 - P) = 0.098 \times \text{age} - 6.56$ . When the cut-off probability was delimited as > 50%, the age of visual acuity when low vision and blindness were reached was 50 and 55 years.

All 38 probands with EYS-RP presented typical RP symptoms, with the most common initial symptom being nyctalopia. The visual field subsequently constricted and vision decreased. Lens opacities were present in 13 patients, with the youngest being 35 years old. No patients presented with nystagmus. Observation of the patients with different courses of disease by fundus photography revealed atrophy of the RPE in the peripheral retina and typical progression of RPE atrophy from the periphery to the macula, with disease aggravation and classic bone spicule pigmentation consistently appearing in the mid-peripheral retina; the macula was also sometimes affected in the later stages (**Figure 4**). The OCT images showed thinning of the outer retina, RPE atrophy with high reflection sediments, gradually reduction of the thickness of the ellipsoid and interdigitation zone until



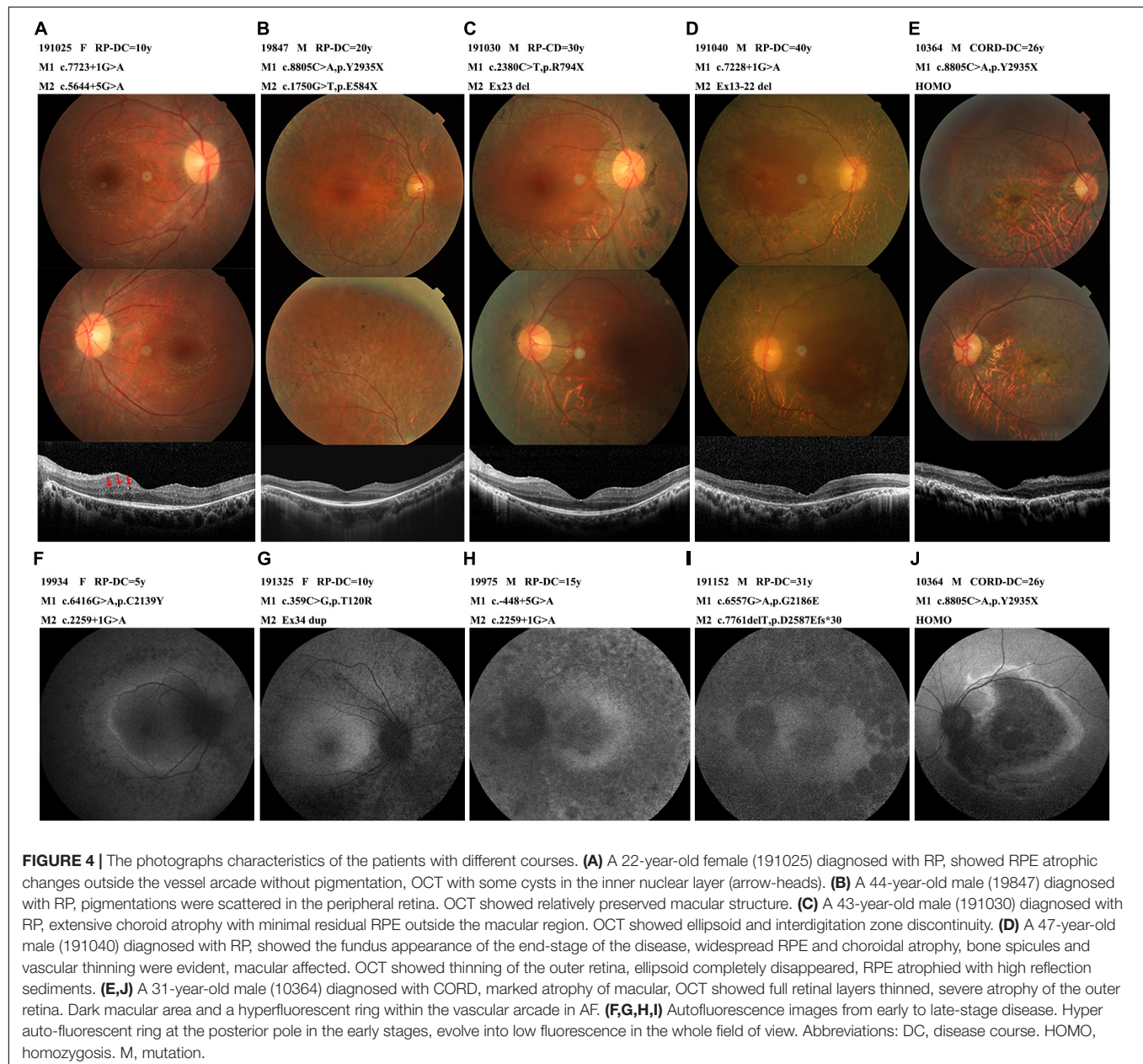
it completely disappeared from the peripheral region to the macular fovea, and a relative preservation of the retinal layers in the central macula. In patient 191,025, we noticed some cysts in the left OCT, located in the inner nuclear layer. Four *EYS*-RP probands underwent AF examination. The course of their disease ranged from 5 to 31 years, and they showed progression of abnormal fundus autofluorescence consistent with the fundus appearance (**Figure 4**). ERGs were all extinguished except in patient 19,562, whose ERGs showed a severe decrease in rod and cone function.

Patient 10,364, carrying homozygous c.8805C > A (p.Y2935X), was the only *EYS*-CORD patient. This patient was 31 years old and had experienced vision impairment at 5 years old, without nyctalopia. Fundus photography showed a

marked atrophy of the macula and pigment deposition in the peripheral retina. His OCT shown thinning of the full retinal layers, disappearance of the ellipsoid zone, atrophy of the RPE layer with high granular reflection, and enhancement of the reflection bands of the choroid tissue. AF showed a dark macular area and a hyperfluorescent ring within the vascular arcade. The ERG showed a severely decreased amplitude (**Figure 4**).

## DISCUSSION

The eyes shut homolog (*EYS*; OMIM: 612424) is a major disease-causing gene for autosomal recessive retinitis pigmentosa, and is mainly found in Chinese and Japanese populations



(Pontikos et al., 2020), where it has a prevalence ratio of about 11% (Abd El-Aziz et al., 2010; Xiao et al., 2019) and 32.8% (Hosono et al., 2012; Iwanami et al., 2012; Arai et al., 2015; Yang et al., 2020), respectively. In this study, we performed a comprehensive analysis of the phenotype characteristics in the largest cohort to date of 39 Chinese *EYS* gene mutant patients. We also combined data from 381 patients from all over the world to summarize the mutation spectrum and genotype–phenotype correlations of the *EYS* gene.

The *EYS* gene was first reported to cause retinitis pigmentosa and associated phenotypes in 2008 (Abd El-Aziz et al., 2008; Collin et al., 2008). At present, at least 390 disease-causing mutations of the *EYS* gene have been reported, according to the HGMD database. In the present study, 42% (19/45) of the mutations in G1 cohort were novel, suggesting that the *EYS* gene mutation spectrum remains to be explored. This extensive mutation spectrum may provide a basis for more effective targeting for future gene therapy.

Mutations of c.6557G > A (p.G2186E) were the most common in the G1 cohort, with a frequency of 9%. This mutation has been reported as a hotspot in Chinese patients with RP (Gu et al., 2016). Previous studies have also identified c.6557G > A (p.G2186E) mutations as a major cause of ARRP in Japanese and Korean populations (Suto et al., 2014; Yoon et al., 2015; Yang et al., 2020), this mutation has also been detected in Dutch patients (Littink et al., 2010; Pierrache et al., 2019). In the present study, we discovered that 6.4% of the Chinese patients in this group displayed a c.1750G > T (p.E584X) mutation, and this seems to be a frequent mutation in the Chinese population. By contrast, the prevalence of this variant was lower in the other Asian ethnic groups, including Japanese and Korean patients (Yoon et al., 2015; Hirashima et al., 2017). Another reported causal mutation, c.7492G > C (p.A2498P), shared the same allele frequency of 6.4%, but has been identified only in Chinese populations. These two mutations, c.1750G > T (p.E584X) and c.7492G > C (p.A2498P), were only rarely mentioned in previous reports, probably due to the small sample sizes of the studies. In the present study, the larger sample size identified these two mutations as additional hotspots in Chinese populations. Two Japanese hotspot mutations, c.4957\_4958insA and c.8805C > A (Numa et al., 2020), were detected less frequently in the G1 cohort, indicating differences between the hotspots among patients in the Asian population.

We detected *EYS* gene mutations of various types, including missense, nonsense, splicing, frameshift, CNV, and non-frameshift mutations, but the proportions of different mutation types were similar (Figure 1). The ratio of CNV identified in this study was 12%. The regions from exon 12 to exon 22 and the C-terminal part of the amino acid chain are prone to produce large deletion or duplication variants; however, CNV analysis and validation is a challenging task, as traditional sequencing technologies, such as PCR-based or target enrichment sequencing, have limitations in detecting CNV (Huang et al., 2017; Jin et al., 2018). Therefore, the proportion of CNV in the *EYS* gene may be underestimated. Compared with the other pathogenic genes of autosomal recessive IRD, CNV is a relatively common event in *EYS* gene (Pieras et al., 2011).

In this study, we have identified novel genotype–phenotype correlations among globally distributed patients with *EYS*-IRD. Although the analysis is limited by the case numbers and the amount of clinical information, these results demonstrated that the onset age of patients with *EYS* gene mutation might be affected by the specific mutation, but the overall average onset age of patients with *EYS* mutations is 21 years old. Compared with *USH2A*, the most common pathogenic gene of IRD, the onset of *EYS* patients occurs at a later age, and the visual acuity is preserved until the fifth decade in most patients (Huang et al., 2015).

Overall, the fundus of all the patients showed a relatively consistent phenotype. Our comparison of the fundus features of patients in different periods. In the early stage of the disease, the peripheral retinal pigment epithelium underwent extensive atrophy, the posterior pole was relatively preserved, the macular area of the fundus was generally normal, and the fovea reflection disappeared before 50 years of age. In the later stage of the disease, the macular area atrophied. Bone spicules showed increasing density with disease progression. The OCT of patient 191,025 showed some small cysts in the inner nuclear layer; these cysts were caused by Muller cell atrophy and necrosis in the inner core layer, as previously described (Makiyama et al., 2014; Mucciolo et al., 2018), but most patients do not show these cysts; they are not typical OCT characteristics of patients with *EYS* gene mutations.

The *EYS* gene mutations are mainly related to RP, but some subjects show autosomal recessive CORD (Pierrache et al., 2019; Tian et al., 2020). In our study, only one patient in the G1 cohort had a CORD diagnosis (an incidence of 2.6%). Our CORD patient carried a homozygous c.8805C > A (p.Y2935X) mutant, the same mutation identified in a Japanese CORD patient reported previously, who carried compound heterozygous variants c.8805C > A (p.Y2935X) and c.4957dupA (p.S1653Kfs\*2) (Katagiri et al., 2014). When compared with other *EYS*-RP patients, our CORD patient developed symptoms earlier, accompanied with vision damage. His fundus revealed a severe RPE dystrophy in the fovea; however, due to the relatively good condition of the posterior pole, the visual acuity of this patient was maintained at the same level as the other *EYS*-RP patients. Yang et al. reported on 7 cases of CORD who carried c.8805C > A (p.Y2935X) heterozygous variant, the median onset age of these subjects was 40.0 (range 29.0–43.0), with a later age of onset compare with our CORD patient and other *EYS*-RP cohort. The fundus of all *EYS*-CORD patients showed earlier progressive and more severe RPE dystrophy in the fovea than peripheral retina, unanimously (Yang et al., 2020). Unfortunately, the reasons for the identical genotypes associated different phenotypes in both RP and CRD remain unclear.

## CONCLUSION

In conclusion, our analysis of the extensive global data from 420 IRD patients and 262 distinct variants demonstrated the characteristic genotypes and phenotypes and mutation spectra with hotspots in the *EYS* gene. Our findings provide critical

information for clinical genetic diagnosis, as well as for therapeutic management of EYS-associated retinal disease.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author/s.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Institutional Medical Ethics Committee. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

## AUTHOR CONTRIBUTIONS

Z-BJ designed and supervised whole study. KX and D-FC collected the data and interpreted the results. HC, R-JS, HG,

X-FW, Z-KF, XZ, and YX conducted the data analysis. KX wrote the manuscript. YL and Z-BJ revised the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.634220/full#supplementary-material>

**Supplementary Figure 1** | Family pedigrees and identified EYS variations for G1 cohort. The generation number and EYS genotypes for probands and their family members are demonstrated under their symbols. The Black filled square s (male) and circles (female) represent the affected patients, and unaffected family members are represented by unfilled icons. The slash symbol indicates deceased member. Probands are marked by arrows.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Whole-Exome Sequencing in a Cohort of High Myopia Patients in Northwest China

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High myopia (HM) is one of the leading causes of visual impairment worldwide. In order to expand the myopia gene spectrum in the Chinese population, we investigated genetic mutations in a cohort of 27 families with HM from Northwest China by using whole-exome sequencing (WES). Genetic variations were filtered using bioinformatics tools and cosegregation analysis. A total of 201 candidate mutations were detected, and 139 were cosegregated with the disease in the families. Multistep analysis revealed four missense variants in four unrelated families, including c.904C>T (p.R302C) in *CSMD1*, c.860G>A (p.R287H) in *PARP8*, c.G848A (p.G283D) in *ADAMTSL1*, and c.686A>G (p.H229R) in *FNDC3B*. These mutations were rare or absent in the Exome Aggregation Consortium (ExAC), 1000 Genomes Project, and Genome Aggregation Database (gnomAD), indicating that they are new candidate disease-causing genes. Our findings not only expand the myopia gene spectrum but also provide reference information for further genetic study of heritable HM.

**Keywords:** high myopia, cohort, mutation, gene, Northwest China

## INTRODUCTION

Myopia, also known as shortsightedness, is globally increasing in prevalence. Approximately 108 million people are currently affected, and myopia is expected to become the leading cause of blindness across the globe (Bourne et al., 2013). In East Asian countries, the prevalence of myopia has been reported to be twice as high as in western countries (Pan et al., 2015).

High myopia (HM), which is the extreme form of myopia, is diagnosed in cases with refractive error worse than or equal to  $-6$  diopters (D) and/or ocular axial length (AL)  $> 26.00$  mm (Percival, 1987; Young et al., 2007). Recently meta-analyses have estimated that the prevalence of myopia will rise to 49.8% in 2050 and that the prevalence of HM will increase to 9.8% (Holden et al., 2016). The complications associated with HM, such as cataract, glaucoma, retinal detachment, chorioretinal degeneration, and choroidal neovascularization, may lead to severe visual impairment and blindness (Morgan et al., 2012; Tham et al., 2018).

Both environmental and genetic factors are known to contribute to HM (Morgan et al., 2012).

Familial aggregation and twin studies have demonstrated that genetic factors play critical roles in the development of HM (Katz et al., 1997; Hammond et al., 2001). To date, 25 loci (MYP1–MYP3, MYP5–MYP26) associated with HM have been discovered *via* genome-wide association studies (GWAS), family-based linkage analyses, and whole-exome sequencing (WES) and documented in the Online Mendelian Inheritance in Man (OMIM) (Zhang, 2015; Fan et al., 2016; Cai et al., 2019a). However, only a limited number of causative genes have been identified (Cai et al., 2019a). WES has identified several genes responsible for HM, including two X-linked genes, *OPN1LW* (Li et al., 2015) and *ARR3* (Xiao et al., 2016); six autosomal dominant genes, *ZNF644* (Shi et al., 2011a), *SCO2* (Tran-Viet et al., 2013), *SLC39A5* (Guo et al., 2014), *P4HA2* (Guo et al., 2015), *BSG* (Jin et al., 2017), and *CCDC111* (Zhao et al., 2013); and three autosomal recessive genes, *LEPREL1* (Mordechai et al., 2011), *LRPAP1* (Aldahmesh et al., 2013), and *CTSH* (Jiang et al., 2015). Nevertheless, these genes explain the pathogenesis of HM in a limited number of patients (Kloss et al., 2017). Therefore, numerous HM-related genes surely remain to be discovered. In this study, we identified candidate mutations and genes in 27 non-syndromic families with HM from Northwest China.

## MATERIALS AND METHODS

### Patient Subjects

Twenty-seven families with HM were recruited in this study. The study was performed in accordance with the tenets of the Declaration of Helsinki. Written informed consent was obtained from the participants or their statutory guardians, with approval from the institutional review board of the People's Hospital of Ningxia Hui Autonomous Region, Third Clinical Medical College of Ningxia Medical University. Refractive error and AL of the patients in each family were concisely recorded in **Table 1**, a collection of the upper and lower limits of clinical data of all patients in each of the 27 families. The pedigree and clinical information of the 27 families were integrated in **Supplementary Figure 1**. Genomic DNAs were extracted from peripheral blood samples.

All 27 families included in this study were from Ningxia Hui Autonomous Region.

Patients were recruited according to the following inclusion criteria: (1) refractive error worse than or equal to  $-6$  D and/or AL  $> 26.00$  mm; and (2) no other known ocular diseases or systemic disorders.

### Whole-Exome Sequencing

Whole-exome sequencing was performed as previously described with the Exome Enrichment V5 Kit (Agilent Technologies, United States) (Jin et al., 2018; Cai et al., 2019b; Zhou et al., 2020). DNA fragments were sequenced on Illumina HiSeq 2000 Analyzers (90 cycles per read). The Illumina libraries were prepared and generated using a sequencing platform (Hiseq2000; Illumina, Inc.), according to the manufacturer's instructions. Local realignments, quality control text, and variant calling were assembled using the Genome Analysis Toolkit (GATK).

All sequencing reads were mapped against a human reference genome (hg19/GRCH37) with BWA-MEM software as described (Kumar et al., 2009). WES yielded a mean read depth of  $\sim 30\times$ . Median coverage of the targeted regions was  $> 95\%$ .

### Variant Filtering

We focused on rare variants in ocular diseases and HM; the ocular disease genes were assembled from the databases: genes associated with the myopia phenotype term (HP:0000545) in Human Phenotype Ontology (HPO<sup>1</sup>) or ocular disease genes from RetNet database<sup>2</sup> and OMIM<sup>3</sup>. The complete list of genes associated with myopia and ocular disease was shown in **Supplementary Table 1**.

The WES results from patients with HM were filtered as follows: (1) variants outside of the exonic splicing site predicted by the Berkeley Drosophila Genome Project<sup>4</sup> were excluded; (2) synonymous variants were excluded without altering splice-site regions; (3) variants with minor allele frequencies (MAFs)

<sup>1</sup><http://human-phenotype-ontology.github.io>

<sup>2</sup><http://www.sph.uth.tmc.edu/retnet/>

<sup>3</sup><https://www.omim.org/>

<sup>4</sup><http://www.fruitfly.org/>

**TABLE 1** | Clinical data from 27 families with high myopia.

| Family | Proband age | Affected individuals | SE range      | SE median | AL range    | AL median |
|--------|-------------|----------------------|---------------|-----------|-------------|-----------|
| 85     | 57          | 2                    | -19.50/-23.75 | -20.25    | 29.61/31.72 | 30.85     |
| 89     | 19          | 1                    | -9.75/-10.11  | -9.93     | 27.80/27.90 | 27.85     |
| 90     | 19          | 1                    | -7.75/-8.25   | -8.01     | 28.17/28.35 | 28.26     |
| 91     | 19          | 2                    | -8.50/-16.50  | -11.88    | 27.52/28.67 | 27.81     |
| 92     | 23          | 1                    | -7.75/-8.75   | -8.25     | 26.85/26.87 | 26.86     |
| 93     | 21          | 2                    | -10.00/-11.75 | -10.81    | 24.75/27.22 | 25.85     |
| 94     | 22          | 2                    | -8.00/-9.25   | -8.50     | 27.01/27.53 | 27.32     |
| 95     | 21          | 1                    | -6.75/-7.25   | -7.00     | 26.45/26.75 | 26.60     |
| 96     | 18          | 1                    | -6.75/-7.00   | -6.86     | 26.65/26.71 | 26.68     |
| 97     | 23          | 2                    | -6.25/-14.00  | -11.25    | 26.58/29.86 | 28.03     |
| 99     | 19          | 1                    | -8.75/-9.00   | -8.88     | 27.97/28.18 | 28.08     |
| 100    | 22          | 2                    | -6.00/-7.00   | -6.50     | 24.98/26.27 | 25.60     |
| 101    | 20          | 1                    | -8.50/-8.75   | -8.63     | 27.32/27.37 | 27.35     |
| 102    | 17          | 1                    | -7.50/-7.50   | -7.50     | 27.46/27.50 | 27.48     |
| 103    | 18          | 1                    | -8.00/-8.75   | -8.38     | 26.96/27.28 | 27.12     |
| 104    | 15          | 2                    | -5.75/-10.50  | -6.71     | 26.12/26.91 | 26.28     |
| 105    | 33          | 1                    | -8.25/-9.25   | -8.75     | 27.43/27.63 | 27.53     |
| 106    | 27          | 2                    | -5.50/-11.50  | -8.56     | 26.05/27.33 | 26.62     |
| 107    | 20          | 3                    | -6.50/-13.00  | -9.63     | 25.10/27.33 | 25.85     |
| 109    | 19          | 1                    | -7.25/-8.25   | -7.75     | 27.14/27.75 | 27.45     |
| 110    | 24          | 1                    | -7.75/-9.25   | -8.50     | 26.51/26.79 | 26.65     |
| 111    | 18          | 1                    | -6.00/-6.00   | -6.00     | 26.65/26.76 | 26.71     |
| 112    | 23          | 1                    | -10.00/-10.50 | -10.25    | 27.35/27.36 | 27.36     |
| 113    | 21          | 2                    | -12.75/-14.50 | -9.31     | 26.64/26.91 | 26.42     |
| 114    | 18          | 1                    | -7.75/-9.00   | -8.38     | 26.85/27.16 | 27.01     |
| 115    | 22          | 2                    | -6.00/-9.75   | -8.28     | 26.60/28.55 | 27.62     |
| 116    | 20          | 1                    | -10.00/-10.50 | -10.25    | 27.96/28.17 | 28.07     |

SE, refractive error; AL, axial length.

greater than or equal to 0.01 in any of the three public population databases [Exome Aggregation Consortium (ExAC), 1000 Genomes Project (1000G), and Genome Aggregation Database (gnomAD)] were excluded; (4) variants that were not heterozygous in all AD families were excluded, as the variants that were not homozygous in all AR families. Meanwhile, *de novo* variants were also excluded, and these *de novo* variants were displayed in **Supplementary Table 2**. After these filtering steps, cosegregation analysis was performed for all family members. Finally, variant scores corresponding to the quality of biological and statistical evidence were assigned. Strong candidates were validated by Sanger sequencing (**Figure 1**).

All identified variants were assessed with the following tools and databases. The pathogenicity of gene mutations was predicted with 10 bioinformatics tools:

SIFT (Adzhubei et al., 2010)<sup>5</sup>, PolyPhen-2-HDIV, PolyPhen-2-HVAR (Schwarz et al., 2010)<sup>6</sup>, Mutation Taster (Desmet et al., 2009)<sup>7</sup>, LRT, Mutation Assessor, FATHMM, Radial SVM, LR, and DANN. Splicing mutations were analyzed with Human Splicing Finder software (Huang et al., 2015)<sup>8</sup>. Mutations with a MAF were evaluated with the ExAC<sup>9</sup>, 1000G (Bahcall, 2015) (1000G<sup>10</sup>), and gnomAD<sup>11</sup>. The RaptorX structure prediction web server<sup>12</sup> was used to simulate the wild-type and mutant protein three-dimensional (3D) models. Changes in protein folding and crystal structure were visualized with PyMol software (version 1.5).

## RESULTS

### Strong Candidate Genetic Causes Yielded by Computational Assessments and Cosegregation Analysis

A total of 201 potential variants were identified in 27 families: 139 were well cosegregated with the disease in 15 families. Sixty-two variants not cosegregated were excluded (**Figure 2**). Each variant was reported at a frequency of less than 1 in 100 alleles in the ExAC, 1000G, and gnomAD. For the 139 genes that cosegregated, none of the variants were identified in unaffected family members (refractive error less than 2.00 D). Fifty-eight of the 139 variants were novel changes that were unreported in public databases (**Supplementary Table 3**). Eighty-one of the 139 variants were rare changes (less than 1 in 1,000 alleles in any population) (**Supplementary Table 4**). Among 139 variants, 59 had been presented in 10 pathogenicity prediction tools with biological relevance (**Supplementary Figure 2**).

The 20 variants with the highest scores are shown (**Figure 3**). Four missense variants in the *CSMD1*, *PARP8*, *ADAMTSL1*, and *FNDC3B* genes in four unrelated families achieved the highest

scores, including c.904C>T (p.R302C) in *CSMD1*, c.860G>A (p.R287H) in *PARP8*, c.G848A (p.G283D) in *ADAMTSL1*, and c.686A>G (p.H229R) in *FNDC3B*. These variants were well cosegregated with the disease. Further analysis was performed according to the guidelines of the American College of Medical Genetics and Genomics (Bahcall, 2015). After these multistep systematic analyses, these genetic variants were estimated as potentially pathogenic and were rare or absent from the ExAC, 1000G, and gnomAD. The scores of DANN in *CSMD1*, *PARP8*, *ADAMTSL1*, and *FNDC3B* were 0.999, 0.915, 0.998, and 0.98, respectively.

### Candidate Genes Identified

The p.R302C substitution (c.904C>T) in *CSMD1* was detected in a 22-year-old man and his father (**Figure 4A**). The individual and his father had refractive error less than −8.50 D in both eyes, with AL >27 mm, without oculopathy or systemic diseases. Interestingly, proband and their affected family member both had HM before school age according to their self-report. Electrorretinogram (ERG) and optical coherence tomography (OCT) examinations revealed the normal retinal appearance of proband and his father (**Figure 5** and **Supplementary Figure 3**), as did his mother in family 94 (**Supplementary Figure 4**). This mutation was predicted to be pathogenic by eight pathogenicity prediction tools (SIFT, Polyphen-2, Mutation Taster, Mutation Assessor, FATHMM, RadialSVM, LR, and DANN) and listed as novel in the ExAC, 1000G, and gnomAD. The substitution p.R302C occurred at a highly conserved region in multiple orthologous sequence alignments, which demonstrates that the associated protein plays an important role (**Figure 6A**), and this site may have an important effect on protein function. Three-dimensional modeling demonstrated the absence of a bond between the mutated cysteine at residue 302 and the arginine at residue 301 (**Figure 7A**).

A heterozygous mutation in *PARP8* was detected in a 25-year-old woman and her mother (**Figure 4B**), both of whom had extreme myopia, with average spherical equivalent refractive error greater than −20 D and AL >29 mm. This mutation was also detected in the proband's younger brother, who had AL of 25.06 mm in the right eye and 25.14 mm in the left eye. But there were no other symptoms of oculopathy or systemic diseases. The c.860G>A mutation is a rare single-nucleotide polymorphism (SNP) (rs142224685) located in exon 11. The pathogenicity of the p.R287H mutation in *PARP8* (c.860G>A) was verified by SIFT, Mutation Taster, and PolyPhen-2. Furthermore, the p.R287H variant was detected as an SNP with a MAF of 0.0014 in ExAC. This amino acid mutation is evolutionarily conserved among species (**Figure 6B**). Three-dimensional protein modeling demonstrated the absence of a bond between mutated residue 287 and residues 285 and 291 (**Figure 7B**).

The c.848G>A, p.G283D variant in *ADAMTSL1* was identified in a 21-year-old female (**Figure 4C**), whose spherical equivalent refractive error was −15.25 D in the right eye, with an AL of 26.64 mm and −13.50 D in the left eye with AL 26.91 mm. This mutation in *ADAMTSL1* was a novel conservative mutation (**Figure 6C**) that was predicted to be disease-causing by SIFT, Polyphen-2, and Mutation Taster. Three-dimensional structural

<sup>5</sup><http://sift.jcvi.org/>

<sup>6</sup><http://genetics.bwh.harvard.edu/pph2/index.shtml>

<sup>7</sup><http://www.mutationtaster.org/>

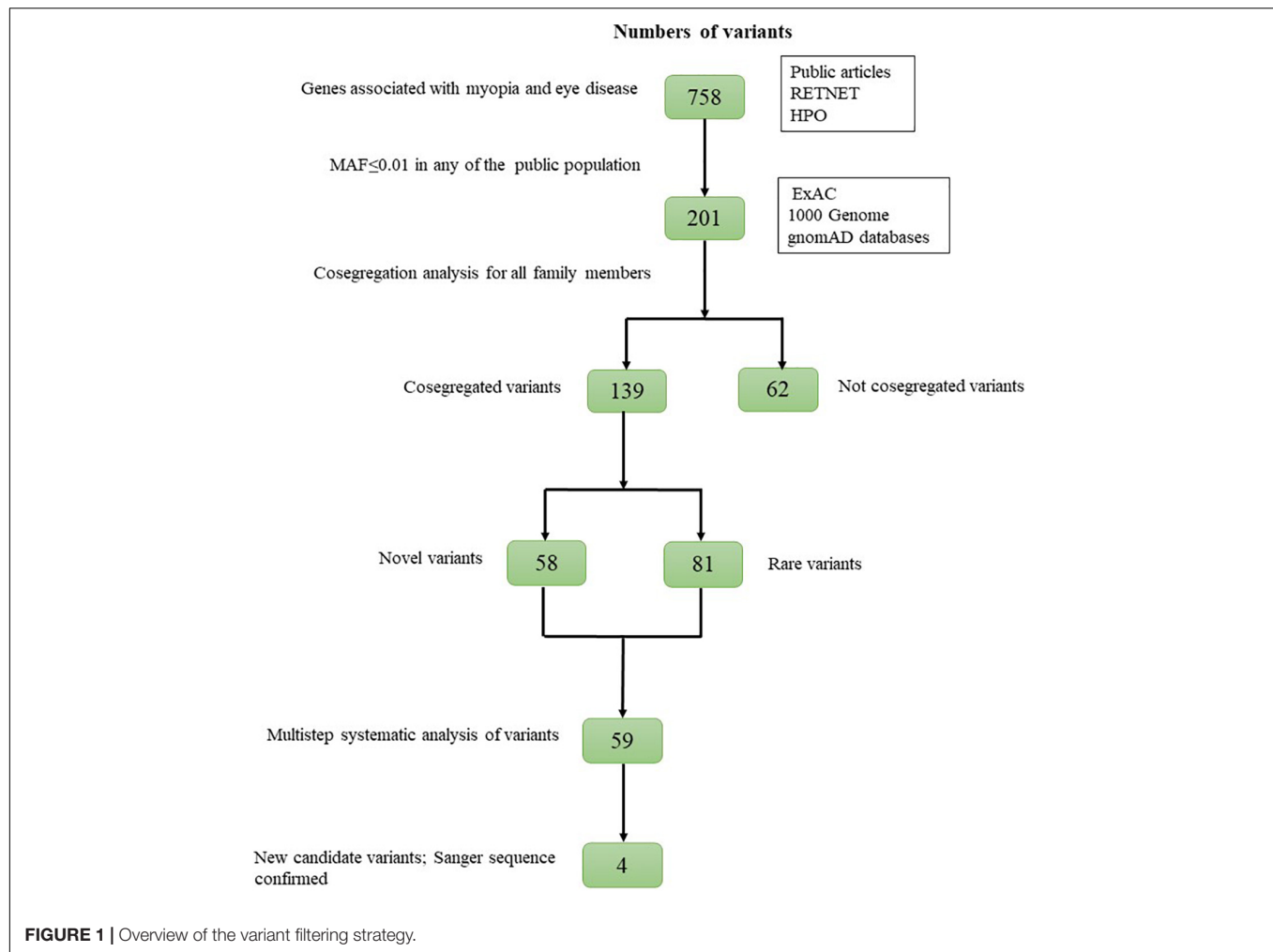
<sup>8</sup><http://www.umid.be/HSF/>

<sup>9</sup><http://ExAC.broadinstitute.org/>

<sup>10</sup><http://1000genomes.ebi.ac.uk/vol1/ftp>

<sup>11</sup><https://gnomad.broadinstitute.org/>

<sup>12</sup><http://raptorx.uchicago.edu/>



modeling revealed a newly formed bond between residue 283 and residues 282 and 284 (**Figure 7C**), and this mutation is absent in the ExAC, 1000G, and gnomAD, indicating its disease causality.

A heterozygous mutation (c.686A>G; p.H229R) in *FNDC3B* was found in a proband from Family 104 and her mother (**Figure 4D**). The proband, a 15-year-old female, presented with concomitant severe anisometropia. Her spherical equivalent refractive error was  $-6.25$  D in the right eye and  $-10.50$  D in the left eye. According to the results of the corneal topography, the thickness of the thinnest point is  $504\ \mu\text{m}$ , and  $K_m$  is  $56.2$  D for her left eye (**Supplementary Figure 5**). The p.H229R mutation in *FNDC3B* (c.686A>G) was predicted to be pathogenic by six *in silico* tools (SIFT, Polphen-2, Mutation Taster, LRT, Mutation Assessor, and DANN). The mutation was in a region extremely conserved across eight homologous species (**Figure 6D**), in line with the changes of 3D protein folding (**Figure 7D**).

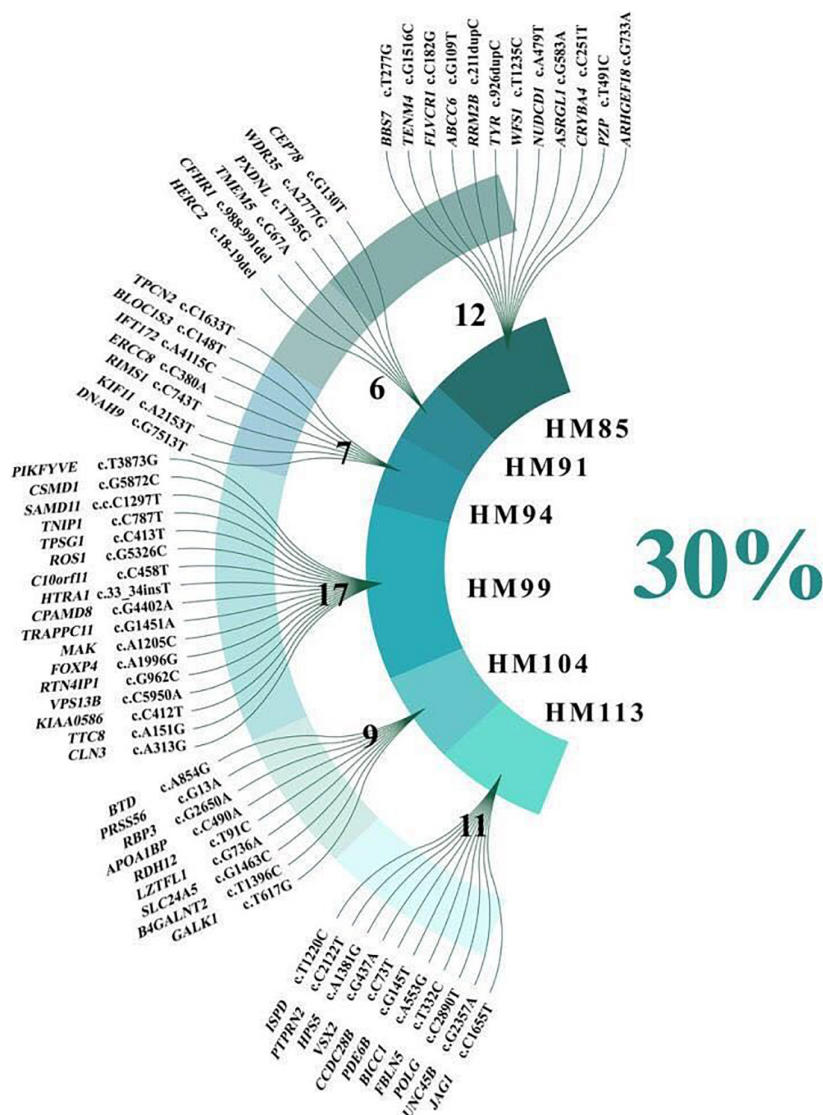
## Mutations in Known Genes Responsible for High Myopia

The screening of 11 known HM genes revealed four variants to be highly pathogenic, including c.518A>T (p.D173V) in *SCO2*,

c.3266A>G (p.Y1089C) in *ZNF644*, c.758C>A (p. A253E) in *SLC39A5* (Family 89), and c.577G>A (p. D193N) in *SLC39A5* (Family 95). Notably, Families 89 and 95 exhibited an AR mode of inheritance. We detected the same mutation in the mother of the proband from Family 89 and the father of the proband from Family 95. The c.758C>A mutation in *SLC39A5*, which was predicted to be deleterious by eight of the 10 computational tools, was absent from the 1000G, ExAC, and gnomAD East Asian databases. The c.577G>A mutation in *SLC39A5*, which was predicted to be deleterious by Polyphen2\_HDIV and MutationTaster, was extremely rare in healthy populations. The 1000G and ExAC databases listed MAF as 0.001 and 0.00007462 and MAF in gnomAD is 0.00008761, well below the Popmax Filtering AF 0.0001781 in the East Asian population.

*SCO2*, located at MYP6, was identified as an AD gene. The c.518A>T (p.D173V) mutation in *SCO2* was detected in Family 111, with a recessive mode of inheritance.

This variant was listed as novel in 1000G and gnomAD, associated with (MAF) 0.0000269 in the ExAC database. The SIFT and FATHMM predicted that this mutation would be deleterious. The MAF of c.3266A>G (p.Y1089C) in *ZNF644*, identified in the proband and his father of Family 115, was 0.001 in 1000G,



**FIGURE 2 |** Sixty-two variants related to high myopia and ocular disease that were not cosegregated with high myopia.

0.0003 in the ExAC, and 0.002456 in gnomAD. This mutation was predicted to be deleterious by five of 10 computational tools.

## DISCUSSION

Up to now, only a few genes responsible for non-syndromic myopia have been discovered. In our previous study of large-scale screening of eight causative genes in 731 patients with HM, we merely identified mutations in 6.16% cases (Cai et al., 2019c). In this study, we identified four new candidate genes associated with HM. All mutations in these genes affect the function of the coding region according to the 3D structure model, and *CSMD1*, *ADAMTSL1*, and *FNDC3B* were absent in three examined databases (ExAC, 1000G, gnomAD). SIFT, PolyPhen-2-HDIV, PolyPhen-2-HVAR, Mutation Taster, LRT, Mutation

Assessor, FATHMM, RadialSVM, and LR were employed to assess the mutation changes in protein function and mutation changes in protein spatial conformation, which further cause harmful changes in physiological functions (Mahfuz and Khan, 2020).

*CSMD1*, located on MYP10 and mapped to chromosome 8p23.2, was highly expressed in the central nervous system and epithelial tissues. Furthermore, Wan et al. (2018) found that the *CSMD1* gene is expressed at extremely high levels in peripheral retina and the area surrounding the macula. It seems that *CSMD1* plays a critical role in the growth of cones, including signal transduction and matrix adhesion (Kraus et al., 2006). The *de novo* mutations of *CSMD1* have been reported in a Chinese family with early-onset high myopia (EOHM) (Jin et al., 2017). We hypothesize *CSMD1* is also a candidate gene for HM in addition to neurological effects. In our study, a heterozygous missense mutation (c.904C>T) in

|                 | 0 | Σ                   | filter condition |                  |                      |                       | pathogenicity                                    | annotation              |                             | expression                              |   | frequency              |                       |
|-----------------|---|---------------------|------------------|------------------|----------------------|-----------------------|--|-------------------------|-----------------------------|---|---|------------------------|-----------------------|
|                 |   |                     | 4                | 3                | 2                    | 1                     |  | 1                       | 1                           | 1                                       | 1   | 2                      | 1                     |
| loci name       |   | Gene priority score | Known HM genes   | HM related genes | Ocular disease genes | Localized at MYP site | More than two mutation predict tools show damage | Exonic-protein altering | Exonic-non-protein altering | Expression in human adult ocular tissue | Expression in human embryonic ocular tissue | Frequency global-novel | Frequency global-rare |
| <i>CSMD1</i>    |   | 9                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>FNDC3B</i>   |   | 8                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>PARP8</i>    |   | 7                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>ADAMTSL1</i> |   | 7                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>SGCA</i>     |   | 7                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>ADAMTSL4</i> |   | 7                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>TENM4</i>    |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>VCAN</i>     |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>BBS1</i>     |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>SLC26A3</i>  |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>AMY2B</i>    |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>IDUA</i>     |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>TNC</i>      |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>COL16A1</i>  |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>ARHGEF6</i>  |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>CYP11B1</i>  |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>FOXL2</i>    |   | 6                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>RPGR</i>     |   | 5                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>TRANK1</i>   |   | 5                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |
| <i>FAAH2</i>    |   | 5                   |                  |                  |                      |                       |  |                         |                             |   |   |                        |                       |

**FIGURE 3 |** Genes ranked according to biological and statistical evidence. Genes were ranked based on 11 categories that can be divided into five categories: filter condition [green: genes are related to known high myopia (HM) genes, HM-related genes, ocular disease genes, or localized at the MYP site], pathogenicity (light yellow: more than two mutation predict tools show damage), annotation (light blue: genetic variant harboring an exonic protein altering variant or non-protein-altering variant), expression (dark yellow: expression in adult human ocular tissue, expression in human embryonic ocular tissue), and frequency [light dark: frequency global in Exome Aggregation Consortium (ExAC) database, novel; frequency global in ExAC database, rare].

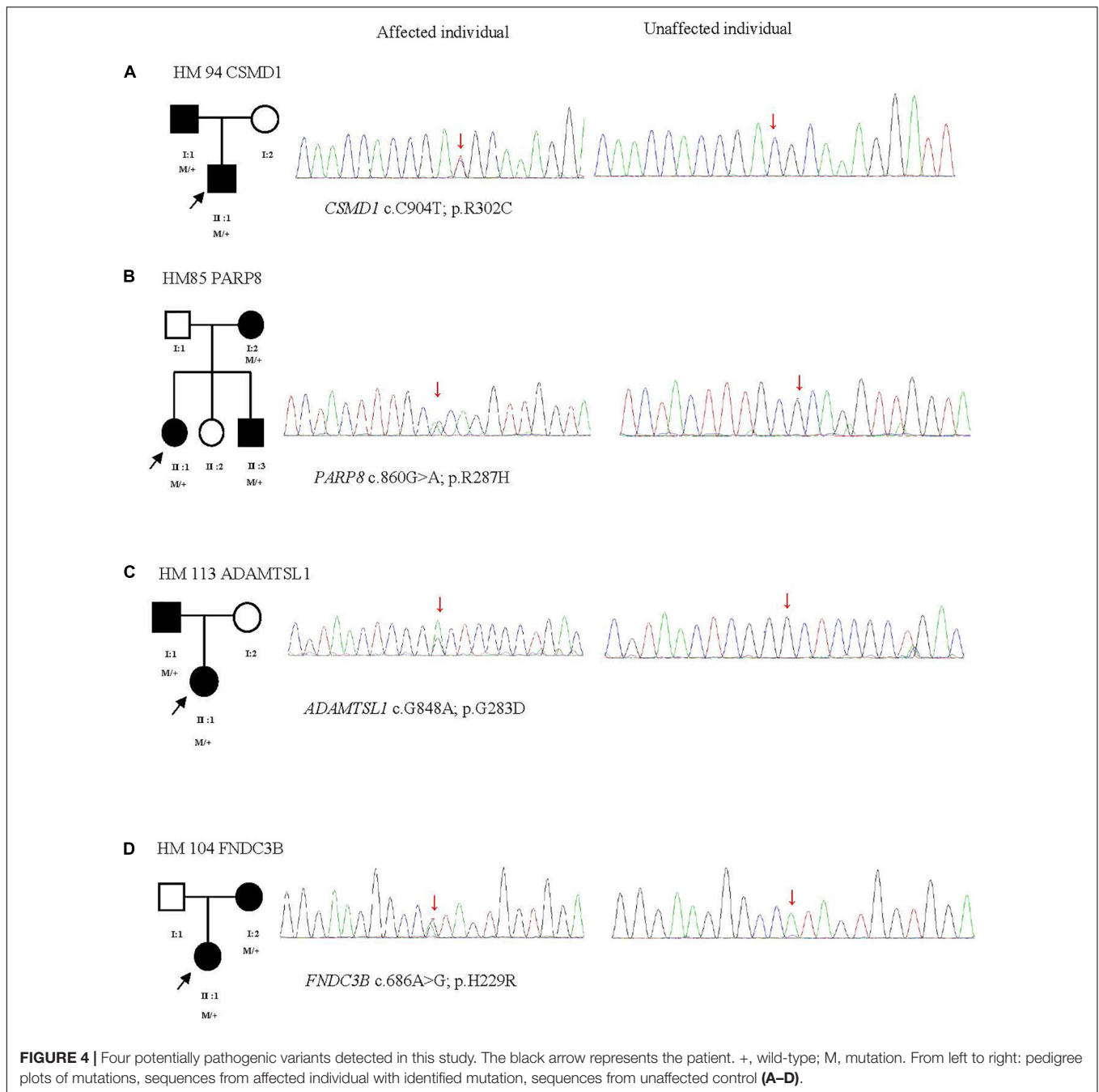
*CSMD1* was found in two patients of Family 94, which shows the characteristics of dominant inheritance and is consistent with family cosegregation. However, there were no significant retina changes from the OCT and ERG results of the proband and his father. Further molecular mechanism study about how *CSMD1* plays a role in the development of HM is needed. Meanwhile, our results provide more genetic evidence for the hypothesis that *CSMD1* is the causative gene for HM.

*PARP8* is a critical regulator of eukaryotic physiology, localized to the nuclear envelope, and resulted in cell morphology defects that showed potential functions for PARPs in the assembly or maintenance of membranous organelles (Vyas et al., 2013). As reported, *PARP* is the major risk factor associated with age-related cataract in central India (Ughade et al., 1998). Fan et al. (2012) first investigated the SNPs rs282544, rs2404958, rs32396,

rs12055210, and rs11954386 on *PARP8*, which is closely related to the AL with  $p$ -values  $< 1 \times 10^{-5}$ .

Dongyan (2019) subsequently identified SNPs in *PARP8* gene including rs1195438, rs2404958, rs282544, and rs32396, which are significantly associated with HM in the Han southwest Chinese population. In this study, we also found a rare SNP (rs142224685) as a heterozygous missense mutation c.860G>A in *PARP8*. The research on the association between SNP on *PARP8* and HM needs to be further explored.

A recent study reported a heterozygous c.124T>C (p.Trp42Arg) mutation in the first thrombospondin type 1 (TSR) motif of *ADAMTSL1*, which is responsible for a complex disorder with features including developmental glaucoma, myopia, and/or retinal defects (Hendee et al., 2017). Moreover, *ADAMTSL1* caused the dislocation of microspherophakic lens

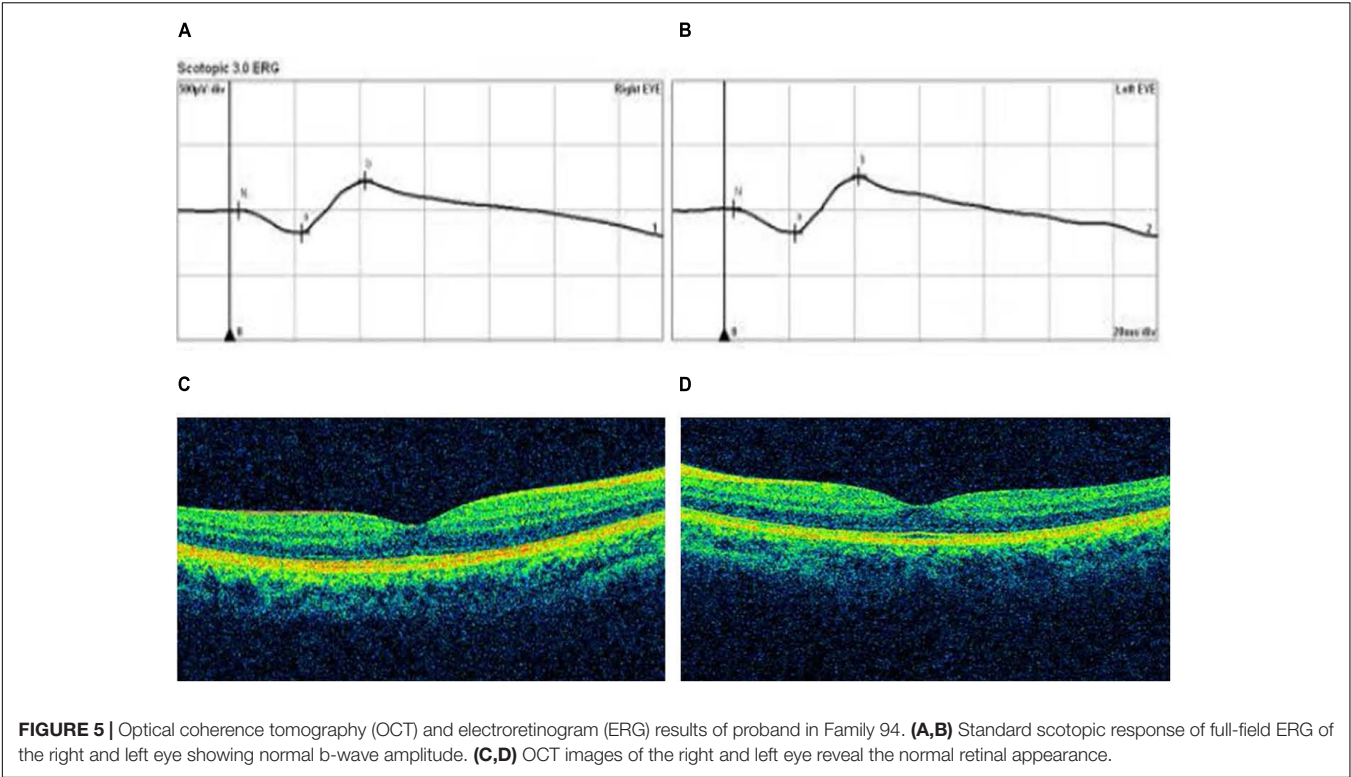


that causes severe myopia, glaucoma, or cataract. Two modes of inheritance have been reported: autosomal dominant and autosomal recessive (Delhon et al., 2016).

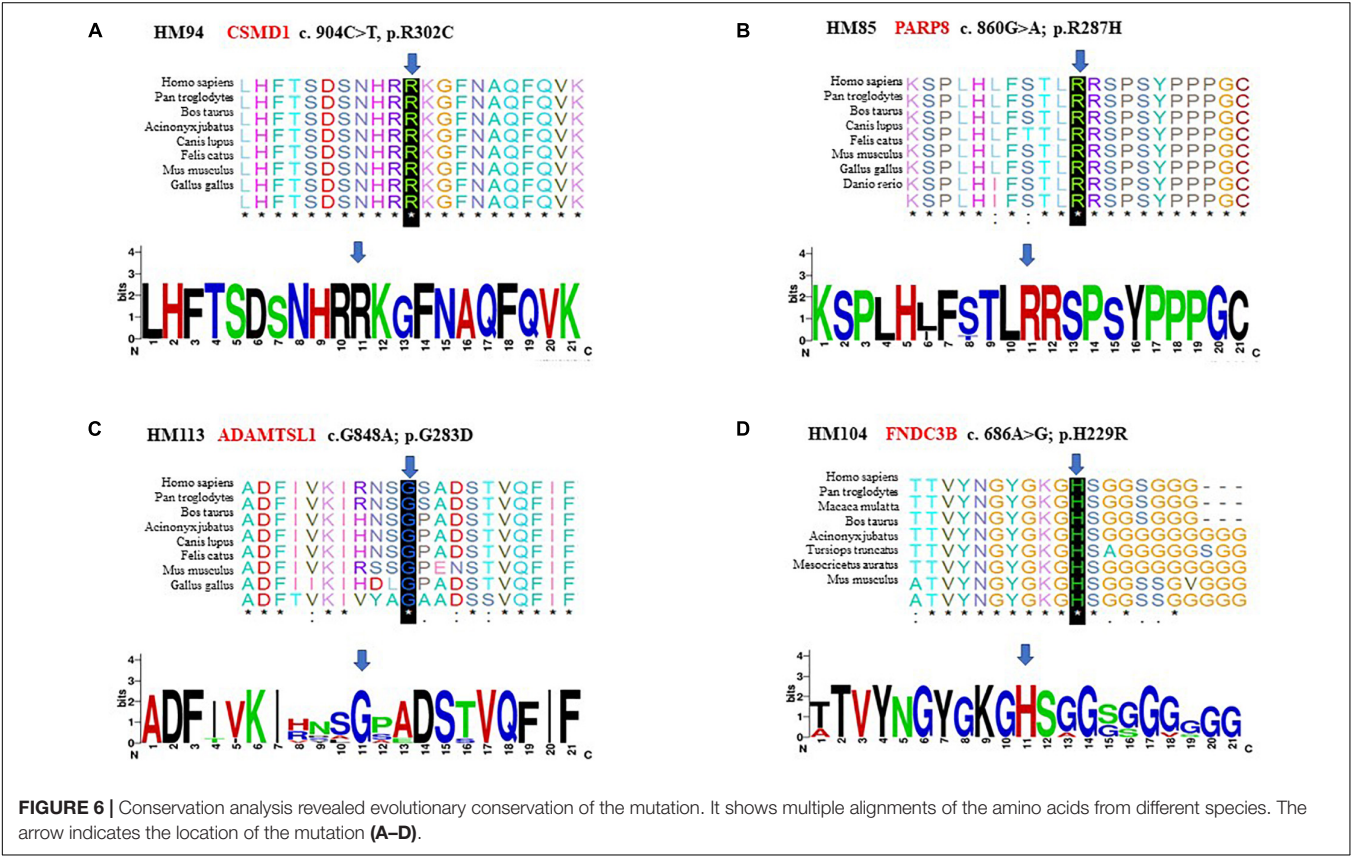
The c.848G>A (p.G283D) variant in *ADAMTSL1*, identified in the proband and her father in Family 113 accompanied by extremely HM, shows a dominant inheritance model. This result is consistent with the previous study in that *ADAMTSL1* accounts for the myopia, especially for severe HM (Hendee et al., 2017).

*FNDC3B*, located on MYP8, which maps to chromosome 3q26.31, plays an important role in central corneal thickness (CCT), intraocular pressure, and anterior chamber angle depth

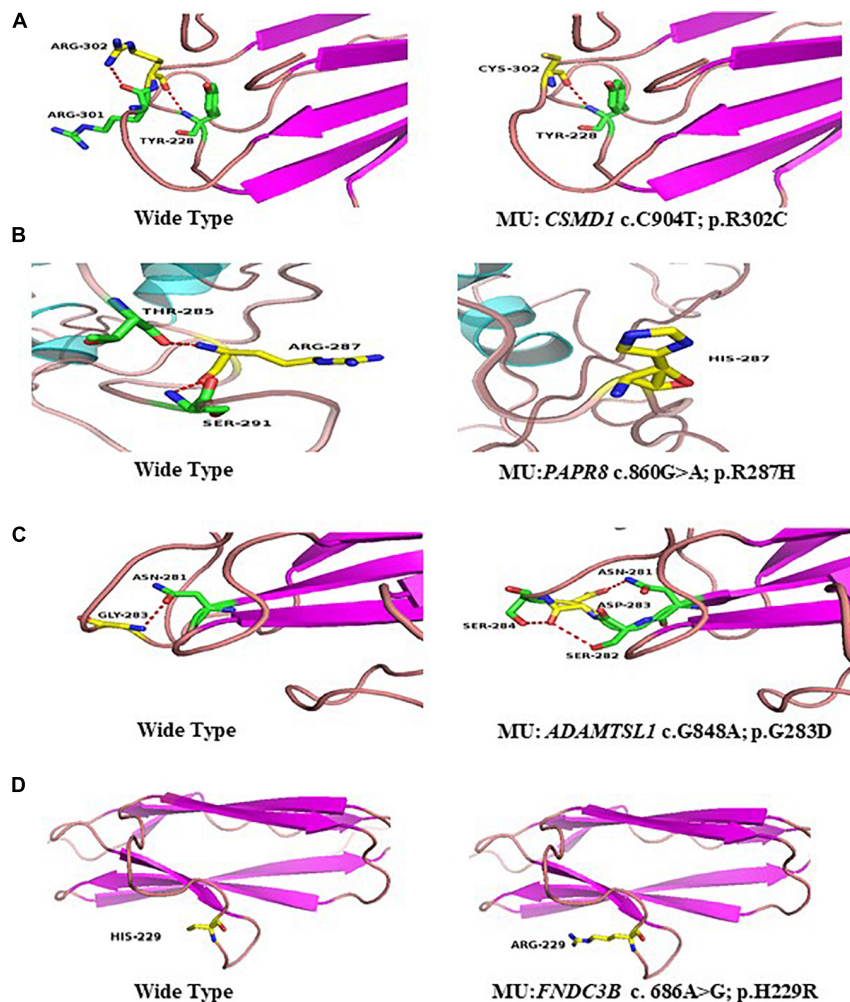
(Rong et al., 2017). As we know, previous studies mainly focused on the association of *FNDC3B* with glaucoma and keratoconus (Shi et al., 2011b). CCT as one of the most heritable human traits was related to many eye diseases, especially for myopia (Pedersen et al., 2005). CCT reduction related to myopia was also reported (Hao et al., 2015; Rong et al., 2017). In our study, we identified a heterozygous mutation (c.686A>G; p.H229R) in *FNDC3B* from Family 104, and the left eye of the proband with higher refractive error showed typical keratoconus symptoms (−6.25 D/−10.50 D). Based on our findings, we speculate that the clinical phenotype of mutations in *FNDC3B* may be HM for



**FIGURE 5 |** Optical coherence tomography (OCT) and electroretinogram (ERG) results of proband in Family 94. **(A,B)** Standard scotopic response of full-field ERG of the right and left eye showing normal b-wave amplitude. **(C,D)** OCT images of the right and left eye reveal the normal retinal appearance.



**FIGURE 6 |** Conservation analysis revealed evolutionary conservation of the mutation. It shows multiple alignments of the amino acids from different species. The arrow indicates the location of the mutation **(A–D)**.



**FIGURE 7 |** Predicted three-dimensional (3D) structure of proteins. Predicted crystal structures of wild-type (left) and mutant (right) proteins. Yellow shows residue of wild-type and mutant; green represents residues interact with wild-type (left), and mutant residue (right) (A–D).

some people, and it may also be keratoconus for other people, so whether it is an HM candidate disease-causing gene remains to be further evaluated.

The association of known HM genes with HM has been previously investigated in several studies (Jiang et al., 2015; Rong et al., 2017). For this study, we examined the associations within our own HM cohort. The screening of 11 known HM genes revealed four variants in *SCO2*, *ZNF644*, and *SLC39A5* to be highly potentially pathogenic.

*SCO2* was found to be related to HM in a large three-generation family in Europe, with nine affected individuals with HM (average spherical refractive error of  $-22.00$  D). Four heterozygous mutations, p.G53\*, p.A114H, p.G140L, and p.A259V, in *SCO2* were identified; these mutations may truncate or destabilize the protein structure and lead to retinal neuronal thinning (Tran-Viet et al., 2013). Moreover, R120W, R112W, and A97V were reported in subsequent studies (Jiang et al., 2015; Wakazono et al., 2016). Tran-Viet et al. (2013) observed *Sco2* protein localization in the retina, retinal pigment epithelium

(RPE), and scleral wall in mouse ocular tissues. We further identified mutations A201P and I221V in *SCO2* in 2019 (Cai et al., 2019c). Here, a new variant c.518A>T (p.D173V) in *SCO2* is detected.

*ZNF644*, which is located at 1p22.2, can inhibit histone methyltransferase complex through G9a/GLP, functioning as a regulator of histone methyltransferase complex (Bian et al., 2015). *ZNF644* is widely expressed in eye, placenta, and liver and is assumed to play a pivotal role in changes in ocular wall. Mutations in this gene have been reported in previous studies to be associated with HM (Shi et al., 2011a; Jin et al., 2017). In this study, we detected a missense mutation c.3266A>G (p.Y1089C) in *ZNF644* from the proband and his father. Interestingly, while the son had HM, the father had normal vision. It seems that this situation does not correspond to the cosegregation of the clinical phenotype. Further functional studies are needed to elucidate this.

*SLC39A5*, located at 12q13.3, encodes zinc transporter (Xia et al., 2020). A heterozygous truncation mutation in the *SLC39A5*

gene p.Y47\* was first reported in 2014 (Guo et al., 2014). Subsequently, the missense mutation p.M304T was detected in the same family; p.G413A (Jiang et al., 2015), p.A84T, p.P87L, p.A319T, and p.A243fs\*140 were found to be responsible for HM (Feng et al., 2017; Liu et al., 2020). Other studies have shown that the bone morphogenetic protein (BMP)/transforming growth factor-beta (TGF- $\beta$ ) pathway is related to myopia, and c.141C>G, p.Y47\* mutation may cause the loss of function (Jobling et al., 2009; Guo et al., 2014). It has been demonstrated that destruction of BMP/TGF- $\beta$  pathway may lead to refractive errors (Wu et al., 2018). In the present study, two missense mutations, c.758C>A (p. A253E) and c.577G>A (p.D193N), in *SLC39A5* were detected in two unrelated families. The relationship between these mutations and functions needs to be further studied.

In our study, 62 variants that were highly potentially pathogenic and related to ocular disease or HM were incompatible with cosegregation; they may be used as references for subsequent research. Notably, our technology failed to detect variants in 12 families. On one hand, we set stringent screening criteria, which may have reduced the number of genes for selection. On the other hand, most published articles on HM genetics are focused on families with dominant patterns of inheritance, which means that families with dominant genetic patterns of inheritance may be easier to screen for genes associated with HM. In our study, 18 of 27 families had a recessively inherited clinical phenotype. HM is a very clinically heterogeneous disease, even between patients in the same family. Refractive status and AL are different between patients. This point was also verified in our investigation of the four families in the sibships (Families 85, 99, 100, and 107). In Family 85, the 14-year-old brother of the patient showed the eye AL above 25 mm, and his diopter was less than 3.00 D; with the increase in age, the patient's brother was very likely to develop into HM characterized by excessive AL. The younger brother of the proband in Family 99 is now 13 years old with refractive error  $-1.75$  D in the right eye and  $-2.25$  D in the left eye. On the other hand, the AL of his eyes is about 25 mm. There is also a risk of developing axial HM. Interestingly, the situation of Family 107 is opposite to that of Families 85 and 99. The three sisters are patients, and all showed HM with high diopter and low AL.

Especially the two sisters of the proband showed the diopter was greater than  $-6.00$  D with the AL less than 26 mm. The two patients in Family 100 are of similar age; the older sister is 22 years old and the younger brother is 21 years old. The refractive error and AL of their eyes are relatively similar, in addition to the common genetic factors. The consistency of their living background may also play an important role in the development of their myopia for HM is a disease in which environmental and genetic factors work together. Different life backgrounds, dietary habits, and near-work habits may all contribute to the development of HM.

This study had some limitations. Because environmental and genetic factors determine the manifestation of HM, environmental factors may be an important influencing factor that causes inconsistency between the genotype and the clinical phenotype. This study did not focus on the impact of

environmental factors, which may explain our observations of non-cosegregated genes, and we did not carry out functional verification tests. However, analysis of the pathogenicity of gene mutations based on the interpretation standards and guidelines of gene mutations developed by the American College of Medical Genetics and Genomics (ACMG) showed that four new candidate genes met the evidence criteria for possibly pathogenic mutations. The mechanisms underlying the effects of these genetic variants in the context of HM require further elucidation through additional studies. In addition, we have not investigated the impact of copy number variation (Huang et al., 2017) and somatic mosaicism (Jin et al., 2007) in these patients. Finally, targeted exome sequencing including a panel of disease-causing genes, likewise the strategy of inherited retinal dystrophy (Huang et al., 2013, 2015; Xing et al., 2014; Chen et al., 2020), might be more appropriate for future genetic diagnosis of genetic HM.

## CONCLUSION

In conclusion, we identified 201 genetic variants related to the development of HM by WES and bioinformatics analysis. To our knowledge, this is the first study of WES in patients with HM from Northwest China. The findings presented four new candidate genes associated with HM, providing additional evidence of heritable HM in Chinese patients. These findings expand the mutation spectrum of myopia genes and provide clues for further genetic study of HM.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: [https://figshare.com/articles/dataset/Whole-exome\\_sequencing\\_in\\_a\\_cohort\\_of\\_high\\_myopia\\_patients\\_in\\_northwest\\_China/14480316](https://figshare.com/articles/dataset/Whole-exome_sequencing_in_a_cohort_of_high_myopia_patients_in_northwest_China/14480316), accession doi: 10.6084/m9.figshare.14480316.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Institutional Review Board of People's Hospital of Ningxia Hui Autonomous Region, Third Clinical Medical College of Ningxia Medical University. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

## AUTHOR CONTRIBUTIONS

W-JZ, Z-BJ, and JL designed and supervised the whole study. YL, J-JZ, and S-YP performed the experiments. YL, R-JS, YM, Z-QX,

and WZ interpreted the results. YL drafted the manuscript. Z-BJ and W-JZ revised the manuscript. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# An Early Diagnostic Clue for *COL18A1*- and *LAMA1*-Associated Diseases: High Myopia With Alopecia Areata in the Cranial Midline

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**Background:** High myopia with alopecia areata in the occipital region has been observed in patients with Knobloch syndrome caused by *COL18A1* mutations. This study investigated other possible genetic causes of high myopia in patients with alopecia areata in the cranial midline.

**Methods:** Six patients with early onset high myopia and alopecia areata in the cranial midline were recruited. Targeted high-throughput sequencing was performed on the proband's DNA to detect potential pathogenic variants. Cosegregation analysis was performed for available family members. Minigene assay and RNA Sequencing were used to validate the abnormality of possible splicing change and gross deletion. Ophthalmological and neuroimaging examinations were performed.

**Results:** Eight novel and one known loss-of-function mutants were detected in all six patients, including a gross deletion detected by RNA sequencing. Four *COL18A1* mutants in three patients with scalp lesions in the occipital region; and five *LAMA1* mutations in three patients with scalp lesions in the parietal region. Further assessments indicated that patients with *COL18A1* mutations had Knobloch syndrome, and the patients with *LAMA1* mutations had Poretti-Boltshauser syndrome.

**Conclusion:** Our study found that early onset high myopia with midline alopecia areata could be caused not only by mutations of the *COL18A1* gene but also by mutations in the *LAMA1* gene. To our knowledge, we are the first to observe scalp defects in patients with *LAMA1* mutations. High myopia with alopecia areata in the cranial midline could be treated as an early diagnostic clue for ophthalmologists to consider the two kinds of rare diseases.

**Keywords:** alopecia areata, cranial midline, syndromic high myopia, molecular genetics, diagnostic techniques

## INTRODUCTION

Myopia, particularly high myopia, could occur independently or as a feature in hundreds of ocular and systemic syndromes. Myopic syndromes have a wide range of clinical manifestations that affect patients' daily lives in various ways, from mild discomfort to even death shortly after birth or in childhood. The nervous system is one of the most common parts of the body

involved in these syndromes in addition to myopia; when affected, it can present with typical signs such as intellectual disability, microcephaly, cerebellar hypoplasia, special facial features, and hearing loss. These syndromes are usually rare and complex and include Coffin–Siris syndrome 1 (OMIM\_135900) (Hoyer et al., 2012); Donnai–Barrow syndrome (OMIM\_222448) (Kantarci et al., 2007); DOOR syndrome (deafness, onychodystrophy, osteodystrophy, mental retardation and seizures; OMIM\_220500) (James et al., 2007); Hamamy syndrome (OMIM\_611174) (Hamamy et al., 2007); Knobloch syndrome (KNO; OMIM\_267750) (Passos-Bueno et al., 1994; Sertie et al., 2000); muscular dystrophy-dystroglycanopathy (congenital with brain and eye anomalies), type A, 10 (OMIM\_615041) (Vuillaumier-Barrot et al., 2012); Pitt–Hopkins syndrome (OMIM\_610954) (Whalen et al., 2012); Poretti–Boltshauser syndrome (PBS; OMIM\_615960) (Aldinger et al., 2014; Micalizzi et al., 2016); Rubinstein–Taybi syndrome 2 (OMIM\_613684) (Bartsch et al., 2010); Schuurs–Hoeijmakers syndrome (OMIM\_615009) (Schuurs–Hoeijmakers et al., 2012); Shprintzen–Goldberg syndrome (OMIM\_182212) (Doyle et al., 2012); and Temtamy syndrome (OMIM\_218340) (Zahrani et al., 2013). Because high myopia might be an early feature in these syndromes or due to unawareness of the atypical manifestations of other signs, it is challenging for ophthalmologists to recognize these myopic syndromes, especially in children under 10 years of age. It would be helpful if certain signs could be obtained by physical examination to help doctors consider myopic syndromes with nervous system involvement, as is possible with Marfan syndrome.

KNO, with a prevalence estimated to be less than 1/1,000,000 (Orphanet, 2020a), is an autosomal recessive developmental disorder characterized by typical eye abnormalities with occipital skull defects. Linkage analysis successfully identified that KNO1 is mainly caused by mutations in the *COL18A1* gene (OMIM\_120328) (Sertie et al., 2000), which plays a role in embryonic eye development and neural tube closure (Aikio et al., 2013; Banerjee et al., 2013). The eye abnormalities included high myopia, cataracts, dislocated lenses, vitreoretinal degeneration, and retinal detachment, while the occipital skull defect ranges from encephalocele to occipital bone fenestrae, meningocele, and cutis aplasia. Other rare phenotypes, including nervous system defects and missing nails, have also been reported (Keren et al., 2007; Williams et al., 2008; Ackerman et al., 2012; Abouelhoda et al., 2016). Congenital midline scalp defects have been described for most patients, including small areas of alopecia with a flat, wine-color hemangioma, occipital cutis aplasia (Kliemann et al., 2003), pigmented lesion in the occipital area (Balikova et al., 2020), midline occipital soft tissue swelling and alopecia hypotonia (Mahajan et al., 2010), and hair abnormalities (Williams et al., 2008; Hull et al., 2016). Physical examination of the skin of the scalp could be a fast and convenient way for ophthalmologists to detect signs for considering KNO and *COL18A1* mutations when treating children with high myopia.

In this study, we performed targeted high-throughput sequencing on patients with early onset high myopia with cutis aplasia in the skull to identify possible causative genes. In addition, we sought to determine whether a simple physical

examination of the skin of the scalp could provide meaningful clues for further examination for myopic syndrome with nervous system involvement.

## METHODS

### Patient Selection

This study was approved by the Institutional Review Board of the Zhongshan Ophthalmic Center. Unrelated probands with early onset high myopia were collected from the Zhongshan Ophthalmic Center as part of the project to identify genetic loci and genes for high myopia. Patients presenting with skull defects due to cutis aplasia not only in the occipital region but also extending to the cranial midline were recruited. Informed consent conforming to the tenets of the Declaration of Helsinki was obtained from the involved individuals or their guardians prior to the study. Genomic DNA was prepared from the venous blood of the patients and available family members. Standard ophthalmological examinations including visual acuity, refractive error examination after mydriasis, slit lamp, and fundus photography were performed on all probands and available family members. Electroretinogram (ERG), B-scan, X-ray, CT, and MRI were performed on selected patients when possible. The ERG response was recorded according to the International Society for Clinical Electrophysiology of Vision (ISCEV) standards Marmor et al. (1989).

### Mutation Screening and Analyses

Whole-exome sequencing (WES) or targeted exome sequencing (TES) was performed on genomic DNA from six probands to screen genetic defects. The WES or TES data were analyzed through multistep bioinformatics analysis as described previously (Li et al., 2015; Wang et al., 2019). The genes of target panel are listed in **Supplementary Table 1**. The possible influence of splicing change was predicted by software including Human Splicing Finder<sup>1</sup> and Berkeley Drosophila Genome Project (BDGP)<sup>2</sup>. Potential pathogenic variants (PPVs) were further classified according to the guidelines of the American College of Medical Genetics and Genomics (ACMG) (Richards et al., 2015); and variants of uncertain significance (VUSs), likely benign, and benign variants were ruled out. Sanger sequencing was used to confirm PPVs and to validate their cosegregation in available family members. The PPVs were described according to the nomenclature for sequence variations (Human Genome Variation Society) (den Dunnen and Antonarakis, 2000). The transcript, NM\_130444.2, was used to nomenclature variants detected in *COL18A1*; and the transcript, NM\_005559.4, was used to nomenclature variants detected in *LAMA1*.

### Splicing Change Confirmed by Minigene Assay

Three rounds of PCR were performed using nested primers (**Supplementary Table 2**): the first PCR was performed using

<sup>1</sup><http://www.umd.be/HSF3/>

<sup>2</sup><http://www.fruitfly.org>

genomic DNA (a total of two sets of DNA) as a template, with two pairs of primers, 49029-COL18A1-F and 51125-COL18A1-R (product length: 2,096 bp), as well as 49321-COL18A1-F and 50763-COL18A1-R (product length: 1,443 bp) with annealing temperature of 57°C, treating the products with size of 1,443 bp as a template. The second PCR was performed to produce human COL18A1 fragment covering exon 35 (145 bp)–intron 35 (79 bp)–exon 36 (74 bp)–intron 36 (406 bp)–exon 37 (129 bp), as follows: pcDNA3.1-COL18A1-*KpnI*-F and pcDNA3.1-COL18A1-*Bam*HI-R as primers were used to produce pcDNA3.1-wt (wild type) fragment of 833 bp; pcDNA3.1-COL18A1-*KpnI*-F and COL18A1-MUT-R as primers were used to produce mutant fragment 1; and COL18A1-MUT-F and pcDNA3.1-COL18A1-*Bam*HI-R as primers were used to

produce mutant fragment 2. Finally, mutant fragments 1 and 2 were mixed together with ratio of 1:1 and were treated as template to amplify pcDNA3.1-mut (mutant fragment) of 798 bp (c.4259-28\_4265del) with primers of pcDNA3.1-COL18A1-*KpnI*-F and pcDNA3.1-COL18A1-*Bam*HI-R.

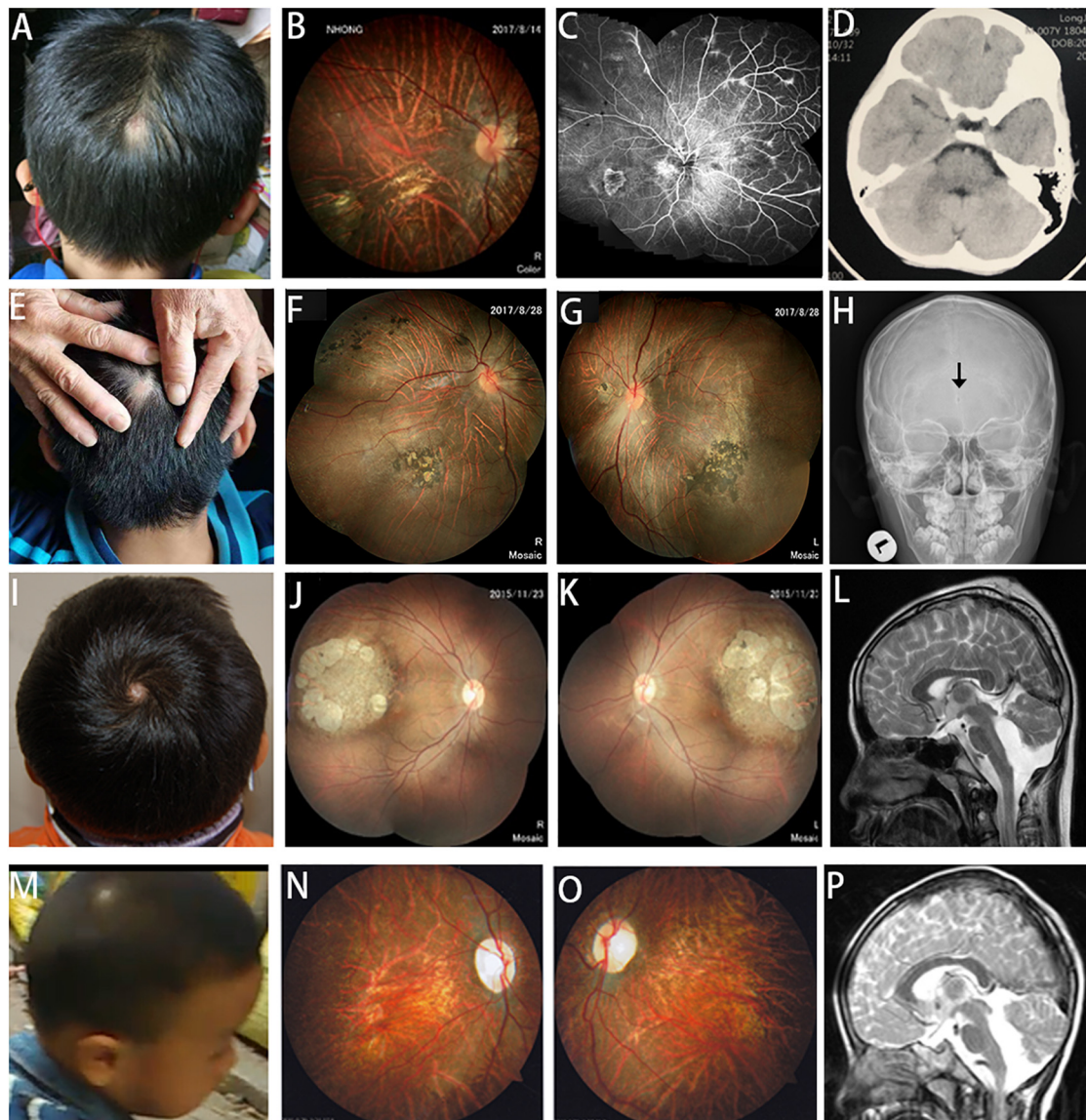
The PCR products pcDNA3.1-wt and pcDNA3.1-mut were purified (DNA Gel Extraction Kit, SIMGEN, Hangzhou, China) and inserted into the eukaryotic expression vector pcDNA3.1 using *KpnI*/*Bam*HI to construct two sets of plasmids: pcDNA3.1-COL18A1-wt and pcDNA3.1-COL18A1-mut. Fragments were verified by Sanger sequencing.

The four kinds of recombinant vectors were transiently transfer into human embryonic kidney cells (HEK-293) and cervical cancer cells (HeLa) according to the manufacturer's

**TABLE 1** | Summary of clinical data in high myopia patients with alopecia areata in the cranial midline.

|                                     | 14410                 | 14518                 | 20204                   | 5176  | 7856  | 19618                  |
|-------------------------------------|-----------------------|-----------------------|-------------------------|---|---|------------------------|
| Gene                                | <i>COL18A1</i>        | <i>COL18A1</i>        | <i>COL18A1</i>          | <i>LAMA1</i>  | <i>LAMA1</i>  | <i>LAMA1</i>           |
| Gender                              | Male                  | Male                  | Male                    | Male  | Male  | Female                 |
| Age at onset of disease (years)     | 5                     | 2                     | 4                       | 2   | 0.5   | 0.4                    |
| First complain sign                 | Strabismus            | Strabismus            | Nystagmus<br>Strabismus | Strabismus  | Strabismus  | Strabismus             |
| Age when examined                   | 6                     | 8                     | 17                      | 3   | 2.75  | 3                      |
| Visual acuity (R/L)                 | 0.06/0.12             | 0.06/0.03             | 0.05/0.05               | 0.2/0.1   | NA  | NA                     |
| High myopia (R/L)                   | −10.25/−7.75          | −11.50/−11.25         | −18.00/−10.25           | −11.50/−13.25   | −12.25/−10.50                                       | −15.00/−14.00          |
| Anterior segment                    | (−)                   | (−)                   | Cataract OU             | (−)   | (−)   | (−)                    |
| Optic disc                          | Peripapillary atrophy | Peripapillary atrophy | Peripapillary atrophy   | Disc pallor   | Disc pallor   | Disc pallor            |
| Leopard fundus                      | +++                   | +++                   | +++                     | +   | +++   | +++                    |
| Chorioretinal sclerosis and atrophy | +++                   | +++                   | +++                     | +   | +++   | +++                    |
| Macular atrophy                     | ++                    | +++                   | +++                     | +++   | +   | +++                    |
| Pigment distribution                | −                     | Center++/periphery++  | Periphery++             | Center+   | −   | Periphery++            |
| Strabismus                          | +                     | +                     | +                       | +   | +   | +                      |
| Nystagmus                           | +                     | +                     | +                       | +   | +   | NA                     |
| Axial length (OD/OSmm)              | NA                    | 26.16/26.44           | NA                      | 26.32/26.01   | NA  | NA                     |
| ERG                                 | NA                    | NA                    | Moderately reduced      | Moderately reduced  | Severe reduced                                      | Moderately reduced     |
| Scalp defect region                 | Occipital             | Occipital             | Occipital               | Parietal  | Parietal  | Parietal               |
| CSF leak                            | Transient             | Transient             | Transient               | Denied  | Denied  | Denied                 |
| Skull                               | Normal                | Occipital bone defect | Normal                  | Parietal bone defect  | Normal  | NA                     |
| MRI                                 | Normal                | NA                    | NA                      | Cerebellar dysplasia with enlarged fourth ventricle; cortical-subcortical cysts | Cerebellar dysplasia with enlarged fourth ventricle | NA                     |
| Other system defects                | −                     | −                     | −                       | Accessory ear in the left   | Motor delay and ataxia                              | Motor delay and ataxia |

NA, not available; ERG, electroretinogram; CSF, cerebrospinal fluid.



**FIGURE 1 |** Scalp defects, fundus changes, and neuroimaging in patients with *COL18A1* and *LAMA1* mutations. **(A,E)** Alopecia areata appears in the midline of the occipital region of patients with *COL18A1* mutations. **(I,M)** Alopecia areata appears in the midline of the parietal region (in the center of hair whorl) in patients with *LAMA1* mutations. **(B,C,F,G,J,K,N,O)** In addition to the typical high myopia fundus leopard-like pattern, dysplasia of the papilla, and macular degeneration, characteristic fundus degeneration can be observed for all patients including optic degeneration, chorioretinal sclerosis and atrophy, foveal hypoplasia, atrophic patch with extra bone spicule accumulation, and loss of pigmentation temporal to the macula. **(C)** Fluorescein angiograms reveal vascular leakage in patient 14410. **(D)** MRI reveals a normal brain image for patient 14410. **(H)** Black arrow indicates a small hole in the occipital region of patient 14518 by X-ray. **(L,P)** Cerebellar dysplasia and obvious enlargement of the fourth ventricle are observed in patients 5176 and 7856.

instruction (Rapid Plasmid Mini Kit, SIMGEN). The transfected cells were cultured for 48 h and then collected for transcript expression analysis.

TRIzol method (RNAiso PLUS, TaKaRa, Dalian, China) was used to extract total RNA from HEK-293 cells and HeLa cells. Reverse transcription polymerase chain reaction (RT-PCR) was carried out [Hifair<sup>TM</sup> 1st Strand Cdna Synthesis SuperMix for qPCR (Gdna digester plus), YEASEN, Shanghai, China] to check the splicing method and verified through Sanger sequencing.

## RNA Sequencing

RNA sequencing was carried out by commercial company (Shanghai Cino Medical Laboratory Co., Ltd., Shanghai, China). Peripheral blood was collected in PAXgene Blood RNA Tube (BD Biosciences, San Jose, CA, United States), and RNA was isolated by MagMAX for Stabilized Blood Tubes RNA Isolation Kit according to the kit's instruction. All RNA sample were measured for quantity and quality by NanodropOne (Thermo Fisher Scientific, Waltham, MA, United States) and Qseq400 (BiOptic,

New Taipei City, Taiwan). Samples with RNA quality score (RQS) above 7 were proceeded with RNA-seq library preparation by the KAPA RNA HyperPrep Kit (Kapa Biosystems, Inc., Woburn, MA, United States) after depletion of rRNA with the KAPA RNA HyperPrep Kit (Kapa Biosystems). The total RNA input was 1  $\mu$ g. Sequencing was performed on Illumina HiSeq 2000 instrument with PE150, and 15-G raw data were achieved per sample. The RNA sequencing data were checked for quality using FastQC and mapped and analyzed using the VIPER Snakemake pipeline (Cornwell et al., 2018). Briefly, VIPER aligns the files to the hg19 transcriptome using STAR, followed by differential expression analysis using DESeq2. Visualization and sashimi plot of the *COL18A1* gene were performed by Integrative Genomics Viewer (IGV) (Thorvaldsdottir et al., 2013). The abnormality mRNA of *COL18A1* was further validate by RT-PCR and Sanger sequencing after being amplified with temple of cDNA.

## RESULTS

A total of six unrelated probands (five males and one female) were recruited. The first complaint sign of strabismus was noticed in all patients, with an average age of 1.89 years (from 0.4 to 5). The average refractive error was  $-10.92 \pm 1.83$ , and the best corrected vision was less than 0.2 (Table 1). All of them had skull defect from cutis aplasia in the cranial midline, three of which were located in the occipital region, while the rest were located in the parietal region (in the center of the hair whorl) (Figures 1A,E,I,M).

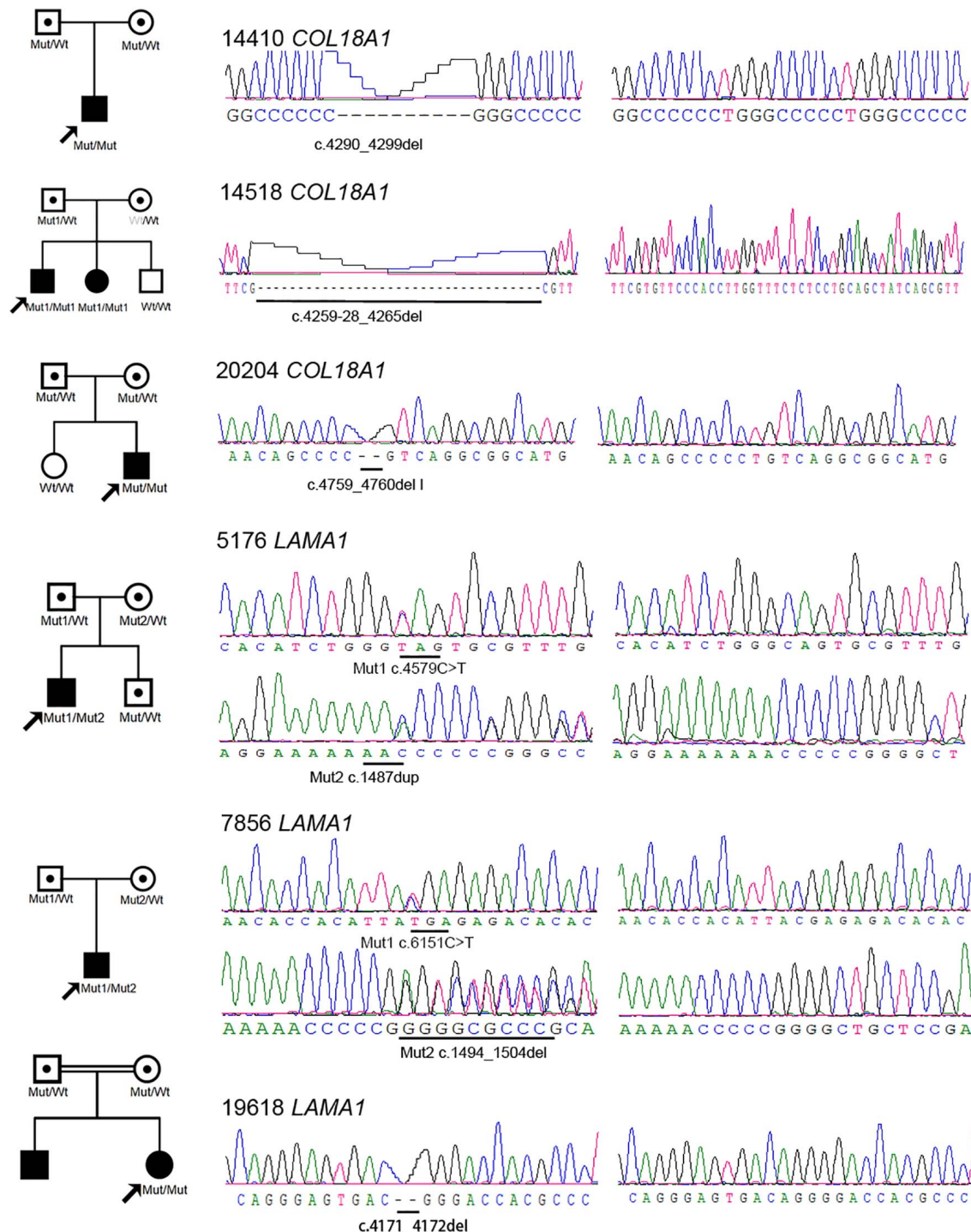
Eight novel and one known potential pathogenic mutants were identified in these six probands (Table 2). Of these mutations, two were homozygous and one was compound heterozygous in the *COL18A1* gene, including c. [4290\_4299del];[4290\_4299del][p.(Gly1016Glufs\*9)]; [( Gly1016Glufs\*9)], c.[4259-28\_4265del]; and c.[ex.32-36del] and a known mutation c.[4759\_4760del]; [4759\_4760del]p.[(Leu1587Valfs\*72)]; [(Leu1587Valfs\*72)]. The remaining mutations were all located in the *LAMA1* gene, including c.[4579C>T]; [1487dup]p.[(Gln1527\*)]; [(Asn496Lysfs\*15)], c.[6151C>T];[1494\_1504del]p.[(Arg2051\*)];[(Gly499Valfs\*8)], and c.[4171\_4172del]; [4171\_4172del]p.[(Arg1391Glyfs\*19)]; [(Arg1391Glyfs\*19)]. The mutation c.4259-28\_4265del was predicted to activate a new cryptic Acceptor site and by HSF, and the confidence scores of the old Acceptor site were diminished from 0.88 to 0 before and after the mutation by FF. All eight mutations were predicted to result in complete loss of function (LOF) for the corresponding proteins. All mutations were confirmed with Sanger sequencing and segregated by disease in the available family members (Figure 2 and Table 2).

A minigene splicing assay was built to validate whether the splicing change detected in *COL18A1*, c.4259-28\_4265del, affects splicing products (Figures 3A–D). A total of eight samples were harvested after 48 h of transfection. The gel view of the reverse transcription PCR showed that band b (mut) migrated faster than band a (wt), which meant that the mut fragment (274 bp) was smaller than that of wt (348 bp) (Figure 3B). DNA sequencing

TABLE 2 | Eight potential pathogenic mutations in *COL18A1* and *LAMA1* genes.

| Patient ID | Gene    | Position                | Transcript  | Nucleotide change | Exon     | Status | Amino acid change   | Effect                    | Origin  | GenomAD-EAS | Sequencing strategy |
|------------|---------|-------------------------|-------------|-------------------|----------|--------|---------------------|---------------------------|---------|-------------|---------------------|
| 14410      | COL18A1 | chr21:46925303_46925312 | NM_130444.2 | c.4290_4299del    | E35/41   | Hmz    | p.(Gly1431Glufs*9)  | Frameshift                | Parents | None        | TES                 |
| 14518      | COL18A1 | chr21:46925244_46925278 | NM_130444.2 | c.4259-28_4265del | IVS34/41 | Hmz    | –                   | Splice site broken -86.23 | Father  | None        | TES                 |
| 20204      | COL18A1 | ?                       | NM_130444.2 | ?                 | E32–E36  | Het    | Gross deletion      | Frameshift                | Mother  | None        | RNA sequencing      |
| 5176       | LAMA1   | chr21:46929996_46929997 | NM_130444.2 | c.4759_4760del    | E39/41   | Hmz    | p.(Leu1587Valfs*72) | Frameshift                | Parents | None        | TES                 |
| 7856       | LAMA1   | chr18:6999528           | NM_005559.4 | c.4579C>T         | E32/63   | Het    | p.(Gln1527*)        | Non-sense                 | Father  | 0           | WES                 |
| 19618      | LAMA1   | chr18:703885            | NM_005559.4 | c.1487dup         | E11/63   | Het    | p.(Asn496Lysfs*15)  | Frameshift                | Mother  | 5.45E–05    | WES                 |
|            |         | chr18:6978234           | NM_005559.4 | c.6151C>T         | E43/63   | Het    | p.(Arg2051*)        | Non-sense                 | Father  | 5.01E–05    | WES                 |
|            |         | chr18:7038868_7038878   |             | c.1494_1504del    | E11/63   | Het    | p.(Gly499Valfs*8)   | Frameshift                | Mother  | None        | TES                 |
|            |         | chr18:7007226_7007227   | NM_005559.4 | c.4171_4172del    | E29/63   | Hmz    | p.(Arg1391Glyfs*19) | Frameshift                | Parents | 1.50E–04    | TES                 |

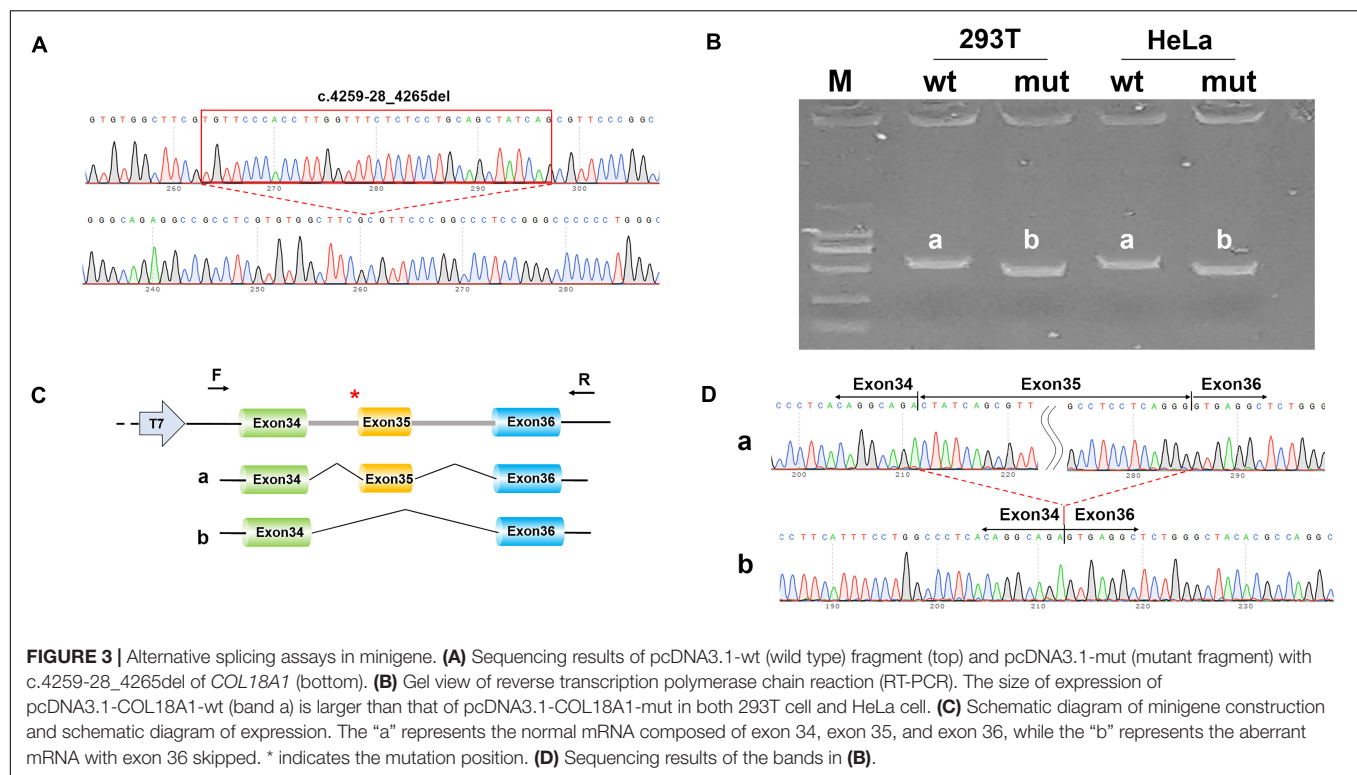
TES, targeted exome sequencing; WES, whole-exome sequencing; \*, Termination codon.



**FIGURE 2 |** Pedigrees and sequences of eight novel loss-of-function mutations in the *COL18A1* and *LAMA1* genes. The genotypes of all probands and available family members are shown below each individual. The black symbols represent the affected individuals. The white symbols with black points represent carriers. Mut, mutation; wt, wild type. One allele of the wt in the mother of 14518 is marked as gray because it is a pseudo-wild type caused by gross deletion cross missing by Sanger sequencing.

indicated that the wild-type minigene expressed normal mRNA composed of exon 34, exon 35, and exon 36; the mutant type minigene expressed a shorter mRNA composed of exon 34

and exon 36 (**Figures 3C,D**). The mutation c.4259-28\_4265del damaged the Acceptor of Exon 35 and resulted in the skipping of exon 35. The result is consistent with the *in silico* prediction.

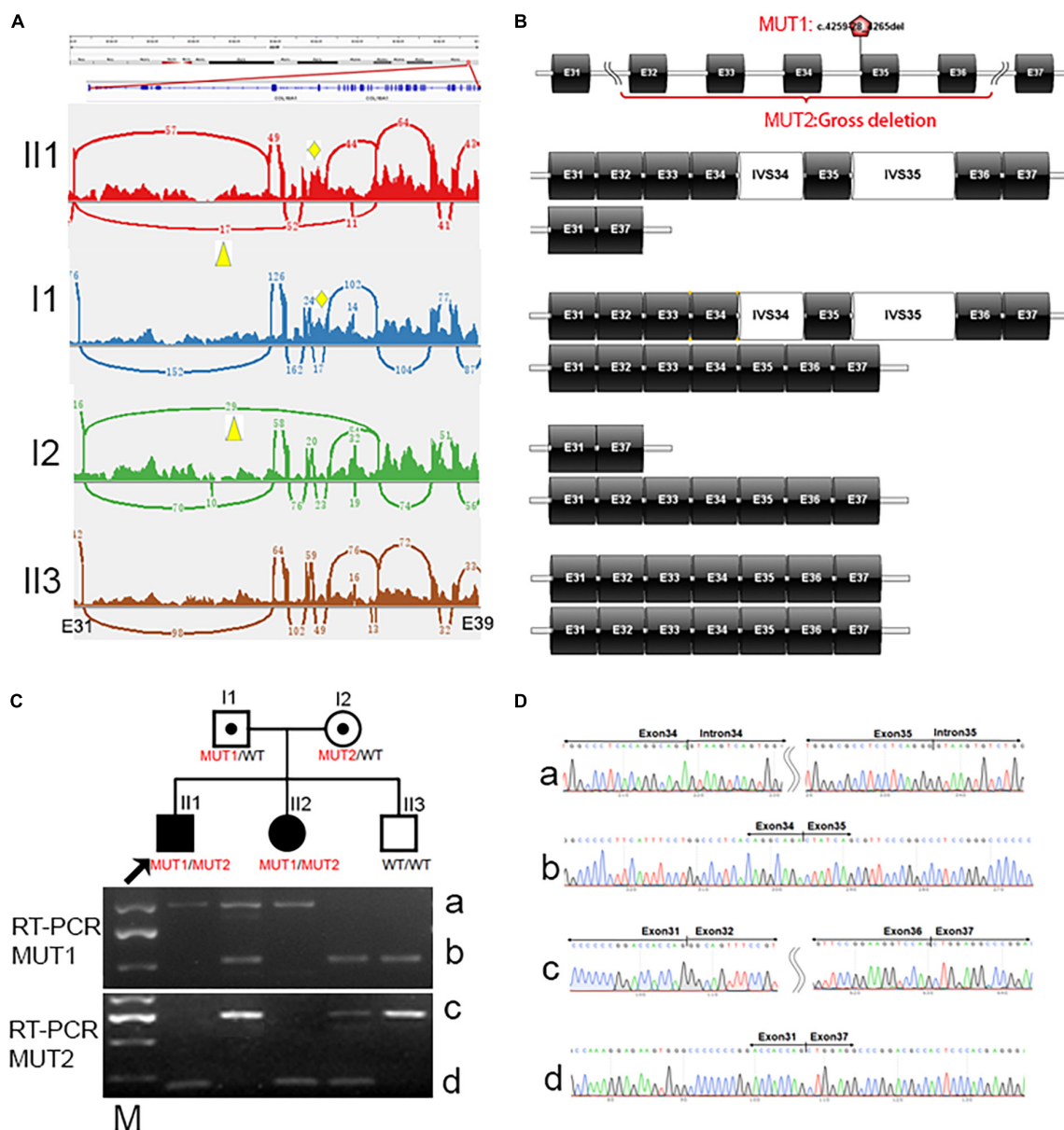


In family 14518, cosegregation analysis showed that the proband and sister harbored homozygous mutation, c.4259-28\_4265del, in *COL18A1*; the father harbored heterozygous mutation, while the mother and youngest brother were normal by Sanger sequencing. Since allele dropout has been ruled out by change amplify primers, it was reasonable to suspect potential heterozygous deletions involving one or more exons in a mother or independent uniparental disomy (UPD) that happened twice in this family from the father. To solve the question, RNA sequencing was performed on all five family members of 14518. By RNA sequencing, we identified a heterozygous intron inclusion event in proband II1 and his father I1 (**Figures 4A,B**). Different from *in silico* prediction, the Acceptor splice site change variant, c.4259-28\_4265del in *COL18A1*, created two splicing-in of the intronic segments of IVS34 and IVS35, leading to an in-frame pseudoexon. Unexpectedly, another heterozygous aberrant exon deletion in proband II1 and his mother I2 was simultaneously observed (**Figures 4A,B**). The exon of exon 33 to exon 37 of *COL18A1* was skipped besides a normal transcript way. Both events were absent in II3, who is clinically normal. The results were further proved by electrophoresis (**Figure 4C**) and Sanger sequencing (**Figure 4D**). The gross deletion legitimately explained the phenotype that the mother seems normal in c.4259-28\_4265del by Sanger sequencing. The proband harbored biallelic different mutants: one was c.4259-28\_4265del, which was inherited from the father, and another was a gross deletion crossing exon 32 and exon 36, which was inherited from the mother. A similar situation happened in his younger sister, who also suffered from early onset high myopia and alopecia areata that appeared

in the midline of the occipital regions, with encephalocele revealed by MRI when she was 3 months old. Cosegregation supported the pathogenetic biallelic mutants discovered in these families.

The ocular and systemic manifestations of the six probands are summarized in **Table 1**. Congenital cataracts were recorded in one patient (20204) with *COL18A1* mutant. In addition to the typical high myopia fundus characteristics, such as a leopard pattern, dysplasia of the papilla, and macular degeneration, characteristic fundus degeneration was noticed in all patients, including optic degeneration, chorioretinal sclerosis and atrophy, foveal hypoplasia, atrophic patch with extra bone spicule accumulation, and loss of pigmentation temporal to the macula (**Figures 1B,C,F,G,J,K,N,O**). Fluorescein angiograms exhibited vascular leakage in patient 14410 (**Figure 1C**). ERG indicates a moderate-to-severe decrease in cone and rod cells.

Skin thickening in the regions of alopecia areata was observed in all patients. Furthermore, alopecia areata appeared in the midline of the occipital regions of three patients with *COL18A1* mutations (**Figures 1A,E**). A small hole was found in the occipital bone of patient 14518 by X-ray (**Figure 1H**), while the other two had normal occipital bone. Transient leakage of liquid in the region of alopecia areata was reported by the parents of all three patients while they were infants. Normal brain images were exhibited on MRI for patient 14410 (**Figure 1D**). No extra abnormality was noticed in the other systems of all three patients. High myopia, defects in the occipital region, and *COL18A1* mutation supported a diagnosis of KNO for all three patients. For the three patients with *LAMA1* mutations, alopecia areata



**FIGURE 4 |** Identification of biallelic mutants for *COL18A1* in family 14518 by RNA sequencing. **(A)** Splicing-in of the pseudoexon, IVS34 and IVS35, is observed in proband II1 and his father I1, indicated by a yellow rhombus. Gross deletion crossing Exon 32 to exon 36 is observed in proband II1 and his mother, indicated by a yellow triangle. **(B)** Schematic diagram of four different kinds of transcript way between Exon 31 to exon 37 of *COL18A1* in proband, carriers, and normal of different genotypes. **(C)** The biallelic mutants is observed in RT-PCR amplicons from family 14518. MUT1: Compare with wildtype of band b with 525bp in II3, the aberrant band a is longer (1010 bp) due to splicing-in of the IVS34 and IVS35 (485 bp). MUT2: Compare with wildtype of band c with 724 bp covering exon 31-37 in II3, the aberrant band d is shorter (192 bp) caused by deletion of exon 32 to 36 (532 bp). **(D)** The aberrant bands are confirmed by Sanger sequencing. M: DL2000 DNA Marker with a brighter fragment at 750 bp.

appeared in the midline of the parietal region (in the center of hair whorl) (Figures 1I,M). All parents denied observing transient leakage of liquid in the alopecia areata region. MRI examination was performed on patients 5176 and 7856, and the imaging results, including cerebellar dysplasia and obvious enlargement of the fourth ventricle, supported a diagnosis of PBS for both patients (Figures 1L,P). Developmental delay and ataxia were noticed in patients 7856 and 19618. An accessory ear on the left side was observed in patient 5176.

## DISCUSSION

In the present study, pathogenic mutations were detected in six early onset high myopia patients with midline alopecia areata, and the causative genes included not only the *COL18A1* gene but also the *LAMA1* gene. RNA sequencing identified biallelic mutants for *COL18A1* including a variant introducing an in-frame pseudoexon, and a gross deletion missed by TES and Sanger sequencing. The patients shared characteristic fundus

degeneration, although the systemic abnormalities were different, as they were caused by different genes. The scalp defect in the three patients with *COL18A1* mutations were in the occipital region, which is consistent with previous reports describing the defective area of patients with KNO caused by *COL18A1* mutants (Sertie et al., 2000; Aldahmesh et al., 2011). The scalp defect in the three patients with *LAMA1* mutations was in the parietal region (in the center of hair whorl). To our knowledge, there are no prior reports describing skull defects in humans with *LAMA1* mutations.

In family 14518, initially, we found a novel homozygous splicing change c.4259-28\_4265del in the proband. The novel splice site variant (c.4259-28\_4265del) is located at the 5' splice site exon 35 of *COL18A1*, and the minigene assay showed that the mutation skipped exon 35 entirely, resulting in a new connection between exon 34 and exon 36. Although the pathogenesis of the splicing change was supported by minigene assay, a fault was observed in cosegregation result, of which the proband inherited this mutant from his father, but his mother seemed normal at this point by Sanger sequencing. To solve this question, RNA sequencing was performed on all five family members of 14518 and identified biallelic abnormality transcript event in *COL18A1* including IVS34 and IVS35 inclusion caused by c.4259-28\_4265del, as well as an exons deletion caused by deletion of exon 32 to exon 36. There were four splicing changes and four gross deletions recorded by Human Gene Mutation Database (HGMD) (202002) and addressed as damaged mutation (DM) (Sertie et al., 2000; Keren et al., 2007; Suzuki et al., 2009; Aldahmesh et al., 2011; Retterer et al., 2016; Turro et al., 2020); and most of them were detected by WES or whole-genome sequencing (WGS), but none of them were identified or validated at the transcript level. The novel finding highlights the utility of RNA sequencing for the detection and interpretation of variants missed by the current routine diagnostic approach and provides a basis for genetic diagnosis of KNO.

The HGMD (Professional 202002) lists 33 DMs for the *COL18A1* gene and 35 DMs for the *LAMA1* gene; of these, 88% (29/33) in *COL18A1* and 91% (32/35) in *LAMA1* were nonsense, frameshift, splice site variants, and copy number variations (CNVs), all of which were predicted to result in LOF. In our study, all night mutants we detected were LOF including three frameshifts (c.4290\_4299del, c.4759\_4760del, and ex.32-36 deletion) and one splice site variant (c.4259-28\_4265del) in *COL18A1*, and three frameshifts (c.1487dup, 1494\_1504del, and c.4171\_4172del) and two non-senses (c.4579C > T and c.6151 C > T) in *LAMA1*. In this study, the *COL18A1* mutations clustered at the C terminal, and the clinical manifestations of the patients with *COL18A1* mutations primarily consist of ocular abnormalities, including high myopia, fundus degeneration, and strabismus, while the system phenotypes were mild, as alopecia areata appeared in the midline of occipital region. The mutation, c.4759\_4760del (p.L1172VfsX72) in proband 20204, was one hotspot and has been detected in different patients (Suzuki et al., 2009; Joyce et al., 2010; Aldahmesh et al., 2011; Aldahmesh et al., 2013). The phenotypes of patients with the c.4759\_4760del (homozygous) mutations ranged from ocular abnormality without systemic defect (Aldahmesh et al., 2011),

ocular abnormality and mild occipital cutis aplasia (Aldahmesh et al., 2013), to visual problems (glaucoma, lens dislocation, and retinal and corneal dystrophy), cerebellar ataxia, and cognitive deficiency (Paisan-Ruiz et al., 2009), to epilepsy without occipital defect (Aldahmesh et al., 2013). The position of mutation cannot explain the mild systemic phenotype in our study. Similar situations were also observed in the patients with *LAMA1* mutation, and more effort is necessary to identify possible phenotypic modifiers.

PBS, with a prevalence estimated to be less than 1/1,000,000, is an autosomal recessive cerebellar dysplasia syndrome caused by biallelic mutations in *LAMA1* (Orphanet, 2020b). The *LAMA1* protein (laminin  $\alpha 1$ ) is major component of the basement membrane and plays a role in cell adhesion, differentiation, proliferation, and migration. The defect of *LAMA1* will lead to PBS in human and embryonic lethality in mice because of multiple brain abnormalities (Heng et al., 2011). PBS is characterized by typical cerebellar dysplasia (100%) with cysts (91%) and abnormally shaped fourth ventricle (83%). Most of the patients revealed developmental delay as the first symptom at age below 6 months. The clinical features comprise non-progressive cerebellar ataxia (100%), cognitive function defect ranging from normal to intellectual disability (88%), and eye abnormalities including myopia (67%), ocular motor apraxia (67%), strabismus (54%), and retinopathy (46%) (Aldinger et al., 2014; Micalizzi et al., 2016). Rare phenotypes were also reported including dry skin, brain malformations, hypotonia, retinal vasculopathy, severe arthrogryposis, and tics (**Supplementary Table 3**). In contrast to typical PBS patients, the three unrelated patients with *LAMA1* mutations in our study visited an ophthalmology clinic for their strabismus problems when they were infants (2, 0.5, and 0.4 years old), at which point their high myopia and characteristic fundus degeneration were discovered. Neurodevelopmental deficits, such as motor delay, speech delay, or cognition impairment were mild or negligible. The classical neuroimaging changes in PBS were confirmed by MRI after *LAMA1* mutations were detected. Children with early onset high myopia might harbor other ocular or systemic symptoms despite a full assessment due to unawareness or atypical manifestation of other major signs. It is important that further examinations be performed for children with early onset high myopia to diagnose early possible myopic syndrome.

KNO and PBS are rare and highly clinical heterozygous genetic diseases. The occipital defects of KNO can range from mildly pigmented skin spot on the back of the head to alopecia areata in our study, and encephalocele, which forms part of the classical triad of symptoms. PBS also presents with a wide range of neurodevelopmental features, including non-progressive cerebellar ataxia, intellectual disability, and ocular abnormality. Their unique neuroimaging phenotypes are the gold standard when diagnosing the conditions. Manifestations of both syndromes outside of ocular are non-specific and hence do not provide useful clues of diagnosis, especially at onset. Ideally, a simple physical examination could provide meaningful clues to suggest further examinations for myopic syndrome involving the nervous system. In our study, we collected six patients with early onset high myopia and skull

defects from cutis aplasia in the cranial midline, and 100% detected causative gene defects by targeted high-throughput sequencing in all patients. Further assessments indicated that the three patients with *COL18A1* mutations had KNO, and the three patients with *LAMA1* mutations had PBS. Alopecia areata in the occipital region or parietal region of the cranial midline, as observed by simple physical examinations, combined with the ocular abnormalities of early onset high myopia and characteristic fundus degeneration, dramatically delineated the two rare myopia syndromes with nervous system involvement, KNO, and PBS. Although the results were summarized from six unrelated families, the power of the association between the two manifestations and the two syndromes should be further evaluated in a larger cohort. The defects of the scalp could be considered as an early diagnostic clue of KNO or PBS for ophthalmologists when treating children with high myopia and alopecia areata in the cranial midline at eye clinics. Further function study is needed to clarify the pathogenesis between *LAMA1* mutation and alopecia areata in the occipital region or parietal region of the cranial midline.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are publicly available. This data can be found here: <https://bigd.big.ac.cn/gsa-human/browse/HRA000519>, and the accession number is HRA000519.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Institutional Review Board of the Zhongshan Ophthalmic Center. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

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## AUTHOR CONTRIBUTIONS

PFW participated in the entire process of research, data analysis, and wrote the first draft of the manuscript. XYJ, SQL, and XSX contributed to data collection and implementation of the research. YXL, MCL, YYL, JL, and YX performed the experimental process. QJZ guided the entire process in terms of theory and practice and revised the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.644947/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Updating the Genetic Landscape of Inherited Retinal Dystrophies

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Inherited retinal dystrophies (IRD) are a group of diseases characterized by the loss or dysfunction of photoreceptors and a high genetic and clinical heterogeneity. Currently, over 270 genes have been associated with IRD which makes genetic diagnosis very difficult. The recent advent of next generation sequencing has greatly facilitated the diagnostic process, enabling to provide the patients with accurate genetic counseling in some cases. We studied 92 patients who were clinically diagnosed with IRD with two different custom panels. In total, we resolved 53 patients (57.6%); in 12 patients (13%), we found only one mutation in a gene with a known autosomal recessive pattern of inheritance; and 27 patients (29.3%) remained unsolved. We identified 120 pathogenic or likely pathogenic variants; 30 of them were novel. Among the cone-rod dystrophy patients, *ABCA4* was the most common mutated gene, meanwhile, *USH2A* was the most prevalent among the retinitis pigmentosa patients. Interestingly, 10 families carried pathogenic variants in more than one IRD gene, and we identified two deep-intronic variants previously described as pathogenic in *ABCA4* and *CEP290*. In conclusion, the IRD study through custom panel sequencing demonstrates its efficacy for genetic diagnosis, as well as the importance of including deep-intronic regions in their design. This genetic diagnosis will allow patients to make accurate reproductive decisions, enroll in gene-based clinical trials, and benefit from future gene-based treatments.

**Keywords:** inherited retinal dystrophies, diagnosis, custom-panels, gene, pathogenic, deep-intronic

## INTRODUCTION

Inherited retinal dystrophies (IRD) are a group of diseases characterized by the progressive death or dysfunction of photoreceptors that leads to vision loss and, in some cases, legal blindness. IRDs have a prevalence of one case in 3,000 individuals (Sahel et al., 2015). Depending on the photoreceptor initially affected, IRD can be classified into cone, rod-cone, or cone-rod dystrophies in those cases in which both are affected at one time. Moreover, they can manifest as either isolated (70–80% of the total) or part of one of the 80 syndromes that have been estimated to be associated with IRD (Ayuso and Millán, 2010; Tatour and Ben-Yosef, 2020).

This group of diseases has a wide clinical spectrum and number of involved genes, currently reaching 271 for syndromic and non-syndromic forms (Retnet<sup>1</sup>, December 2020); explaining why IRDs have such a high clinical and genetic heterogeneity. Furthermore, there is a high inter and intrafamily variability, variable expression, and incomplete penetrance (Farrar et al., 2017). IRDs can follow different patterns of inheritance, including autosomal recessive, autosomal dominant,

<sup>1</sup> <https://sph.uth.edu/retnet/sum-dis.htm#A-genes>

X-linked, mitochondrial mode, and some other less common, such as uniparental isodisomy or digenic inheritance (Kajiwara et al., 1994; Dryja et al., 1997; Rivolta et al., 2002; Ayuso and Millan, 2010; Parmeggiani et al., 2011; Neveling et al., 2012). These issues, together with the fact that 50% are sporadic cases, make it even more complicated to determine the mode of inheritance, genetic diagnosis, and genetic counseling (Perea-Romero et al., 2021).

With the advent of next generation sequencing (NGS), the ratio of diagnosis has risen to 50–70%, which was difficult to achieve a few years ago (Carss et al., 2017; Sanchis-Juan et al., 2018; Rodríguez-Muñoz et al., 2020). Some different approaches, such as custom panel designs, whole exome sequencing (WES), and whole genome sequencing (WGS), have been implemented to study the molecular mechanisms of IRD. Currently, these new sequencing techniques are essential to obtain an early and accurate genetic diagnosis, which is necessary to offer a correct genetic counseling to patients and their families (Salmaninejad et al., 2019).

In this study, we analyzed 92 patients, who were previously clinically diagnosed with IRD, with two custom panels for the main aim of achieving genetic diagnoses.

## MATERIALS AND METHODS

### Cohort Selection

We selected 92 patients, belonging to 90 different Spanish families, with a clinical diagnosis of non-syndromic IRD, except for one who had a clinical suspicion of a syndromic IRD. Patient DNA was isolated from peripheral blood with the automatic extractor QIAAsymphony (QIAGEN).

Patients underwent complete ophthalmologic examinations, including OCT (Heidelberg Spectralis OCT Bluepeak, Heidelberg, Germany; Topcon 3D OCT 2000, Tokyo, Japan; CIRRUS OCT Zeiss, Oberkochen, Germany), ERG (Roland RETI-port/scan21, Brandenburg, Germany), eye fundus (Visucam NM/FA Zeiss, Oberkochen, Germany), visual acuity measure (BCVA), and evoked potentials and visual fields (Carl Zeiss Humphrey Field Analyzer, Oberkochen, Germany). A clinical questionnaire, which collected the main IRD characteristics, and an informed consent were completed by each patient. This study was approved by the Hospital La Fe Ethics Committee, in agreement with the Declaration of Helsinki.

### Panel Design and Sequencing

Sixty-three patients were sequenced with a gene panel version (PV1) that included 117 genes involved in a non-syndromic IRD, and their flanking intronic regions ( $\pm 25$  base pairs) (Rodríguez-Muñoz et al., 2020). Moreover, the panel of genes contained five intronic regions of *ABCA4*, *OFD1*, *USH2A*, *CEP290*, and *PRPF31*, in which pathogenic variants had been previously identified (Den Hollander et al., 2006; Littink et al., 2010; Vaché et al., 2012; Webb et al., 2012; Braun et al., 2013; **Supplementary Table 1**).

The remaining 29 cases were analyzed with an updated version of the custom panel (PV2) that had 114 genes

and all the deep-intronic variants described in the last few years in *ABCA4* and *USH2A* (Vaché et al., 2012; Braun et al., 2013; Zernant et al., 2014; Bauwens et al., 2015, 2019; Liquori et al., 2016; Baux et al., 2017; Fadaie et al., 2019; Khan et al., 2019; Sangermano et al., 2019; **Supplementary Table 2**).

The patients' libraries were prepared in accordance with the SureSelect QXT protocol (Agilent Technologies) and sequenced on a MiSeq platform (Illumina, San Diego, CA) in 300 cycles with  $2 \times 150$  base pairs reads.

### Data Analysis

The reads alignment against the reference hg19 genome, variant calling, and annotation of all the identified variants were carried out with the *Alissa* resource (Agilent Technologies). The obtained variants were filtered based on a MAF (minor allele frequency)  $\leq 0.01$  according to the ExAC<sup>2</sup> and gnomAD<sup>3</sup> databases. In order to evaluate the pathogenicity of the detected variants, we also evaluated specific databases such as ClinVar<sup>4</sup>, Locus Specific Data Base<sup>5</sup>, and HGMD professional<sup>6</sup>. To evaluate the potential effect of novel missense variants, we used the *in silico* predictors included in Varsome<sup>7</sup>. The putative effect on the splicing process was performed with HSF (Human SplicingFinder<sup>8</sup>), NNSplice<sup>9</sup>, and SpliceAI<sup>10</sup>. Finally, the IGV view finder<sup>11</sup> allowed the examination of all detected variants in every read.

The novel variants identified in this study were classified according to the standards of the American College of Medical Genetics and Genomics (ACMG) (Richards et al., 2015).

### Copy Number Variation Analysis

A copy number variations (CNV) analysis was performed using the DECoN bioinformatic tool version 1.0.2 (Fowler et al., 2016).

We also studied large rearrangements by Multiplex Ligation-dependent Probe Amplification (MLPA; MRC Holland) in patients harboring one pathogenic variant in *USH2A* (probemixes P361 and P362), *EYS* (probemix P328-A3), and *ABCA4* (probemixes P151 and P152). The multiplex ligation-dependent probe amplification results were analyzed by the Coffalyser. Net software version 140721.1958 (MRC-Holland).

### Sanger Sequencing and Segregation Analysis

The candidate variants identified in each patient were validated by Sanger sequencing (Big Dye Terminator v1.1 or v3.1, Applied

<sup>2</sup><http://exac.broadinstitute.org/>

<sup>3</sup><https://gnomad.broadinstitute.org/>

<sup>4</sup><https://www.ncbi.nlm.nih.gov/clinvar/>

<sup>5</sup>[https://grenada.lumc.nl/LSDB\\_list/lsdbs](https://grenada.lumc.nl/LSDB_list/lsdbs)

<sup>6</sup><https://portal.biobase-international.com/cgi-bin/portal/login.cgi>

<sup>7</sup><https://varsome.com/>

<sup>8</sup><http://www.umd.be/HSF>

<sup>9</sup>[https://www.fruitfly.org/seq\\_tools/splice.html](https://www.fruitfly.org/seq_tools/splice.html)

<sup>10</sup><https://mobidetails.iurc.montp.inserm.fr/MD/>

<sup>11</sup><http://software.broadinstitute.org/software/igv/>

Biosystems by Life Technologies). Moreover, the deep-intronic variants, already described as pathogenic in *USH2A* and *ABCA4* (**Supplementary Table 3**; Vaché et al., 2012; Braun et al., 2013; Zernant et al., 2014; Bauwens et al., 2015, 2019; Liquori et al., 2016; Baux et al., 2017; Fadaie et al., 2019; Khan et al., 2019; Sangermano et al., 2019), were studied by Sanger sequencing in patients analyzed with PV1 who carried only one pathogenic variant in either or both genes.

Segregation analysis was performed when relatives' DNA was available.

## RESULTS

We obtained a mean depth of 190× per patient. In 98.6% of the patients, at least 88% of the bases were covered with a sequencing depth of coverage  $\geq 50\times$  and 95% of the bases were covered with at least 20×. Moreover, we obtained a 70% of on-target reads.

We solved 53 patients belonging to 52 families (53/92, ratio of 57.6%): 17 (of 17 families) which had disease-causing variants in autosomal dominant genes, 33 (of 32 families) with autosomal recessive genes, two patients (of two families) who carried a pathogenic variant in an X-linked gene and one patient who carried two different pathogenic variants in two different autosomal dominant genes and two additional variants in an autosomal recessive gene (RPN-670). We reported five cases with more than two pathogenic variants in the same autosomal recessive gene, and we also described six patients who carry pathogenic variants in more than one IRD gene (**Table 1**). Among the unresolved patients, 13% carried one pathogenic variant in an autosomal recessive IRD gene, with a higher prevalence of cases with a heterozygous variant in *ABCA4*, followed by *USH2A* and *RPGRIP1* (**Table 2**). In the remaining 29.3% cases, no pathogenic variant was identified.

We identified a total of 120 pathogenic or likely pathogenic variants (of which, 85 were unique), including: 65 missense, 15 nonsense, 17 frameshift, 16 splice-site variants, two pathogenic deep-intronic variants, one in-frame deletion, one synonymous, and three CNV (**Figure 1**). Twenty-nine variants were first described in this study (**Tables 1–3**). In line with this, we identified a high number of mutated-genes, nine autosomal dominant genes (highest prevalence of *PRPH2*); 19 autosomal recessive genes, among which, *ABCA4* and *USH2A* stand out; and finally, one X-linked gene, *RPGR* (**Figure 2**). All identified novel variants were classified as pathogenic or likely pathogenic according to the ACMG criteria except for variant c.2470\_2478del (p.Lys824\_Glu826del) in *PDE6B*, which remained as a variant of uncertain significance. This patient harbored another heterozygous pathogenic variant in the same gene; however, we could not carry out the segregation analysis to confirm it as likely pathogenic.

Copy number variants analysis with the bioinformatic tool allowed us to detect two putative deletions: a deletion in *PRPF31*, which was properly validated with MLPA (patient RPN-717), and a homozygous deletion of exon 11 of *PROM1* in patient RPN-709. In this last patient, the exon 11 did not have reads in the

alignment and was not amplified by PCR; however, there was PCR amplification for the flanking exons, thus, reinforcing the hypothesis of a homozygous deletion of the exon.

The individual RPN-728 presented nephropathy and alterations in the brain MRI (mild hypoplasia of the cerebellar vermis and slightly elongated superior cerebellar peduncles) in addition to retinal degeneration, suggesting a Joubert syndrome. The panel sequencing allowed us to identify two pathogenic variants in *CEP290*, c.4966\_4967delGA (p.Glu1656Asnfs\*3) and c.2817G > T (p.Lys939Asn). On the other hand, the panel analysis in the RPN-708 revealed a pathogenic variant in the *OTX2* gene (**Table 1**). Although this gene has been implicated in other diseases such as microphthalmia and retinal degeneration with pituitary dysfunction (OMIM: 610125; 610125), our patient only referred a macular dystrophy (MD) phenotype.

Because of the inclusion of the deep-intronic regions, we solved two cases who carried deep-intronic pathogenic variants in *ABCA4* and *CEP290* (RPN-750, RPN-734) (**Table 1**).

## DISCUSSION

A total of 92 patients, previously clinically diagnosed with IRD, were analyzed by two IRD-custom panels. These gene panels allowed us to find a genetic diagnosis in 53 patients of 52 different families (**Table 1**), with a diagnostic ratio of 57.6%, which is within the average of other studies (50–70%) (Ellingford et al., 2016; Di Resta et al., 2018; Wang et al., 2018; Jespersgaard et al., 2019; Zenteno et al., 2020).

In recent years, several deep-intronic mutations have been described as pathogenic due to their effect in the splicing process by leading to the introduction of a pseudoexon (PE) in the coding sequence (Vaché et al., 2012; Braun et al., 2013; Zernant et al., 2014; Bauwens et al., 2015, 2019; Liquori et al., 2016; Baux et al., 2017; Fadaie et al., 2019; Khan et al., 2019; Sangermano et al., 2019). The inclusion of these regions in the present study allowed us to detect two deep intronic variants and, therefore, solve the molecular diagnosis in two more families. The variant *CEP290*: c.2991 + 1655A > G, detected in patient RPN-750, is one of the most prevalent in Leber congenital amaurosis (LCA) associated with *CEP290* (Den Hollander et al., 2006; Coppieters et al., 2010). Coppieters et al. reported this variant with a frequency of 49% in the *CEP290*-associated LCA cases. Also, we identified the deep-intronic variant c.4539 + 2064C > T in *ABCA4*. Deep-intronic variants in *ABCA4* were reported to be involved in 2.1–17.9% of the STGD cohorts (Braun et al., 2013; Zernant et al., 2014; Bauwens et al., 2015; Bax et al., 2015; Zaneveld et al., 2015; Schulz et al., 2017); our finding of 7.1% of cases within the STGD patients fits into the observed frequencies. Furthermore, the development of new therapeutical approaches, such as antisense oligonucleotides (AONs), entailed a new advantage for deep-intronic variants correction, which highlights the importance of detecting these changes for future treatments. In this sense, several studies have proven the therapeutic potential of AONs strategy and a phase I/II clinical trial for patients harboring the *CEP290* c.2991 + 1655A > G mutation using this type of therapy was conducted (Burke et al., 2012; Slijkerman et al., 2016;

**TABLE 1** | Pathogenic variants identified in solved patients.

| Family                  | Patient | Clinical diagnosis   | Gene                         | Nucleotide change         | Protein change             | Zygosity            | References                     |
|-------------------------|---------|----------------------|------------------------------|---------------------------|----------------------------|---------------------|--------------------------------|
| \$FRPN-51 <sup>1</sup>  | RPN-129 | STG                  | <i>ABCA4</i> (NM_000350.3)   | c.1804C > T               | p.(Arg602Trp)              | Heterozygous        | Lewis et al., 1999             |
|                         |         |                      |                              | c.982G > T                | p.(Glu328*)                | Heterozygous        | Fishman, 2003                  |
|                         | RPN-544 | STG                  | <i>ABCA4</i> (NM_000350.3)   | c.5882G > A               | p.(Gly1961Glu)             | Heterozygous        | Allikmets et al., 1997         |
| \$FRPN-244 <sup>1</sup> | RPN-646 | RP                   | <i>RHO</i> (NM_000539.3)     | c.982G > T                | p.(Glu328*)                | Heterozygous        | Fishman, 2003                  |
| FRPN-246 <sup>1</sup>   | RPN-649 | MD/STG               | <i>ABCA4</i> (NM_000350.3)   | c.328T > C                | p.(Cys110Arg)              | Heterozygous        | To et al., 2004                |
| \$FRPN-252 <sup>1</sup> | RPN-657 | STG                  | <i>ABCA4</i> (NM_000350.3)   | c.5917del                 | p.(Val1973*)               | Homozygous          | Rivolta et al., 2000           |
|                         |         |                      |                              | <b>c.5714 + 1G &gt; A</b> | <b>p.?</b>                 | <b>Heterozygous</b> | <b>This study</b>              |
| FRPN-254 <sup>1</sup>   | RPN-659 | RP                   | <i>EYS</i> (NM_001142800.2)  | c.3386G > T               | p.(Arg1129Leu)             | Heterozygous        | Allikmets et al., 1997         |
| FRPN-255 <sup>1</sup>   | RPN-660 | RP                   | <i>USH2A</i> (NM_206933.4)   | <b>c.7736_7742del</b>     | <b>p.(Thr2579Lysfs*36)</b> | <b>Homozygous</b>   | <b>This study</b>              |
|                         |         |                      |                              | c.4732C > T               | p.(Arg1578Cys)             | Heterozygous        | Le Quesne Stabej et al., 2012  |
|                         |         |                      |                              | c.1214del                 | p.(Asn405Ilefs*3)          | Heterozygous        | Schwartz et al., 2005          |
| \$FRPN-256 <sup>1</sup> | RPN-661 | RP/LCA               | <i>CRB1</i> (NM_201253.3)    | c.2416G > T               | p.(Glu806*)                | Homozygous          | Corton et al., 2013            |
| FRPN-258 <sup>1</sup>   | RPN-663 | STG                  | <i>ABCA4</i> (NM_000350.3)   | c.3386G > T               | p.(Arg1129Leu)             | Homozygous          | Allikmets et al., 1997         |
|                         |         |                      |                              | c.6718A > G               | p.(Thr2240Ala)             | Heterozygous        | Zernant et al., 2011           |
| FRPN-261 <sup>1</sup>   | RPN-666 | Reverse BCAMD/STG/RP | <i>ABCA4</i> (NM_000350.3)   | c.5929G > A               | p.(Gly1977Ser)             | Heterozygous        | Rozet et al., 1998             |
|                         |         |                      |                              | c.5882G > A               | p.(Gly1961Glu)             | Heterozygous        | Allikmets et al., 1997         |
| FRPN-263 <sup>1</sup>   | RPN-668 | CD                   | <i>CRB1</i> (NM_201253.3)    | c.1604T > C               | p.(Leu535Pro)              | Heterozygous        | Corton et al., 2013            |
|                         |         |                      |                              | c.2843G > A               | p.(Cys948Tyr)              | Heterozygous        | den Hollander et al., 1999     |
| FRPN-265 <sup>1</sup>   | RPN-670 | NA                   | <i>RP1</i> (NM_006269.2)     | c.3157del                 | p.(Tyr1053Thrfs*4)         | Heterozygous        | Jacobson et al., 2000          |
|                         |         |                      | <i>PRPH2</i> (NM_000322.5)   | c.623G > A                | p.(Gly208Asp)              | Heterozygous        | Kohl et al., 1997              |
|                         |         |                      | <i>USH2A</i> (NM_206933.4)   | <b>c.6957 + 1G &gt; C</b> | <b>p.?</b>                 | <b>Heterozygous</b> | <b>This study</b>              |
|                         |         |                      |                              | <b>c.4955C &gt; T</b>     | <b>p.(Pro1652Leu)</b>      | <b>Heterozygous</b> | <b>This study</b>              |
| FRPN-266 <sup>1</sup>   | RPN-671 | RP                   | <i>EYS</i> (NM_001142800.2)  | c.5928-2A > G             | p.?                        | Homozygous          | González-del Pozo et al., 2011 |
| FRPN-267 <sup>1</sup>   | RPN-672 | RP                   | <i>CNGB1</i> (NM_001297.5)   | <b>c.2492 + 2T &gt; G</b> | <b>p.?</b>                 | <b>Homozygous</b>   | <b>This study</b>              |
| FRPN-268 <sup>1</sup>   | RPN-673 | RP                   | <i>USH2A</i> (NM_206933.4)   | c.2276G > T               | p.(Cys759Phe)              | Heterozygous        | Rivolta et al., 2000           |
|                         |         |                      |                              | <b>c.13894C &gt; T</b>    | <b>p.(Pro4632Ser)</b>      | <b>Heterozygous</b> | <b>This study</b>              |
| FRPN-269 <sup>1</sup>   | RPN-675 | RP                   | <i>USH2A</i> (NM_206933.4)   | c.4732C > T               | p.(Arg1578Cys)             | Heterozygous        | Le Quesne Stabej et al., 2012  |
|                         |         |                      |                              | c.12575G > A              | p.(Arg4192His)             | Heterozygous        | Ávila-Fernández et al., 2010   |
| FRPN-273 <sup>1</sup>   | RPN-679 | STG                  | <i>ABCA4</i> (NM_000350.3)   | <b>c.4880del</b>          | <b>p.(Leu1627Argfs*35)</b> | <b>Heterozygous</b> | <b>This study</b>              |
|                         |         |                      |                              | c.5714 + 5G > A           | p.?                        | Heterozygous        | Cremers, 1998                  |
|                         |         |                      |                              | <b>c.2953G &gt; A</b>     | <b>p.(Gly985Arg)</b>       | <b>Heterozygous</b> | <b>This study</b>              |
| FRPN-276 <sup>1</sup>   | RPN-682 | MD                   | <i>BEST1</i> (NM_004183.4)   | c.247G > T                | p.(Val83Phe)               | Heterozygous        | Kinnick et al., 2011           |
| FRPN-277 <sup>1</sup>   | RPN-683 | RP                   | <i>RPGR</i> (NM_001034853.2) | <b>c.1366del</b>          | <b>p.(Gln456Lysfs*20)</b>  | <b>Hemizygous</b>   | <b>This study</b>              |
| FRPN-278 <sup>1</sup>   | RPN-684 | RP                   | <i>EYS</i> (NM_001142800.2)  | c.9468T > A               | p.(Tyr3156*)               | Homozygous          | Collin et al., 2008            |
| FRPN-279 <sup>1</sup>   | RPN-685 | NA                   | <i>PRPH2</i> (NM_000322.5)   | c.658C > T                | p.(Arg220Trp)              | Heterozygous        | Payne et al., 1998             |
| FRPN-280 <sup>1</sup>   | RPN-686 | RP                   | <i>USH2A</i> (NM_206933.4)   | c.12575G > A              | p.(Arg4192His)             | Homozygous          | Ávila-Fernández et al., 2010   |
| FRPN-281 <sup>1</sup>   | RPN-687 | CRD/STG              | <i>CRB1</i> (NM_201253.3)    | <b>c.481G &gt; A</b>      | <b>p.(Ala161Thr)</b>       | <b>Homozygous</b>   | <b>This study</b>              |
| FRPN-284 <sup>1</sup>   | RPN-692 | RP                   | <i>ABCA4</i> (NM_000350.3)   | c.3386G > T               | p.(Arg1129Leu)             | Heterozygous        | Allikmets et al., 1997         |
|                         |         | Punctata albescens   |                              |                           |                            |                     |                                |
|                         |         |                      |                              | c.6148G > C               | p.(Val2050Leu)             | Heterozygous        | Lewis et al., 1999             |
| \$FRPN-286 <sup>1</sup> | RPN-694 | NA                   | <i>PRPH2</i> (NM_000322.5)   | <b>c.440dup</b>           | <b>p.(Gly148Trpfs*29)</b>  | <b>Heterozygous</b> | <b>This study</b>              |
| \$FRPN-289 <sup>1</sup> | RPN-697 | STG                  | <i>ABCA4</i> (NM_000350.3)   | c.3386G > T               | p.(Arg1129Leu)             | Heterozygous        | Allikmets et al., 1997         |
|                         |         |                      |                              | c.634C > T                | p.(Arg212Cys)              | Heterozygous        | Gerber et al., 1998            |
| FRPN-293 <sup>1</sup>   | RPN-701 | RP                   | <i>PRPH2</i> (NM_000322.5)   | <b>c.647C &gt; A</b>      | <b>p.(Pro216His)</b>       | <b>Heterozygous</b> | <b>This study</b>              |
| FRPN-294 <sup>1</sup>   | RPN-702 | MD                   | <i>ELOVL4</i> (NM_022726.4)  | c.59A > G                 | p.(Asn20Ser)               | Heterozygous        | Hu et al., 2020                |
| FRPN-296 <sup>1</sup>   | RPN-704 | CD                   | <i>GUCA1A</i> (NM_000409.5)  | <b>c.66C &gt; A</b>       | <b>p.(Tyr22*)</b>          | <b>Heterozygous</b> | <b>This study</b>              |
| FRPN-298 <sup>1</sup>   | RPN-706 | CRD                  | <i>CRB1</i> (NM_201253.3)    | c.613_619del              | p.(Ile205Aspfs*13)         | Homozygous          | Lotery, 2001                   |
|                         |         |                      |                              | c.2291G > A               | p.(Arg764His)              | Heterozygous        | Corton et al., 2013            |

(Continued)

TABLE 1 | Continued

| Family                  | Patient | Clinical diagnosis | Gene                         | Nucleotide change         | Protein change                | Zygosity            | References                                 |
|-------------------------|---------|--------------------|------------------------------|---------------------------|-------------------------------|---------------------|--|
| FRPN-299 <sup>1</sup>   | RPN-707 | RP                 | <i>USH2A</i> (NM_206933.4)   | c.13811 + 2T > G          | p.?                           | Heterozygous        | Besnard et al., 2014                       |
|                         |         |                    |                              | c.2276G > T               | p.(Cys759Phe)                 | Heterozygous        | Rivolta et al., 2000                       |
| FRPN-300 <sup>1</sup>   | RPN-708 | NA                 | <i>OTX2</i> (NM_001270525.2) | <b>c.638T &gt; A</b>      | <b>p.(Leu213*)</b>            | <b>Heterozygous</b> | <b>This study</b>                          |
| FRPN-301 <sup>1</sup>   | RPN-709 | RP                 | <i>PROM1</i> (NM_006017.2)   | <b>deletion exon 11</b>   | <b>p.?</b>                    | <b>Homozygous</b>   | <b>This study</b>                          |
| FRPN-302 <sup>1</sup>   | RPN-710 | MD/BVMD            | <i>PRPH2</i> (NM_000322.5)   | c.641G > A                | p.(Cys214Tyr)                 | Heterozygous        | Trujillo et al., 2001                      |
| FRPN-303 <sup>1</sup>   | RPN-711 | RP                 | <i>USH2A</i> (NM_206933.4)   | c.14803C > T              | p.(Arg4935*)                  | Heterozygous        | Baux et al., 2007                          |
|                         |         |                    |                              | c.2332G > T               | p.(Asp778Tyr)                 | Heterozygous        | Lenassi et al., 2015                       |
| FRPN-307 <sup>1</sup>   | RPN-715 | RP                 | <i>RHO</i> (NM_000539.3)     | c.512C > A                | p.(Pro171Gln)                 | Heterozygous        | Antiñolo et al., 1994                      |
| \$FRPN-308 <sup>2</sup> | RPN-717 | RP                 | <i>ABCA4</i> (NM_000350.3)   | c.6148G > C               | p.(Val2050Leu)                | Heterozygous        | Allikmets et al., 1997                     |
|                         |         |                    | <i>PRPF31</i> (NM_015629.3)  | <b>Gene deletion</b>      | <b>p.?</b>                    | <b>Heterozygous</b> | <b>This study</b>                          |
| FRPN-309 <sup>2</sup>   | RPN-718 | RP                 | <i>USH2A</i> (NM_206933.4)   | c.2276G > T               | p.(Cys759Phe)                 | Homozygous          | Rivolta et al., 2000                       |
| FRPN-312 <sup>2</sup>   | RPN-721 | RP                 | <i>RHO</i> (NM_000539.3)     | c.512C > A                | p.(Pro171Leu)                 | Heterozygous        | Stone et al., 2017                         |
| FRPN-315 <sup>2</sup>   | RPN-725 | MD/STG             | <i>ABCA4</i> (NM_000350.3)   | <b>c.6310C &gt; T</b>     | <b>p.(Gln2104*)</b>           | <b>Heterozygous</b> | <b>This study</b>                          |
|                         |         |                    |                              | c.3386G > T               | p.(Arg1129Leu)                | Heterozygous        | Allikmets et al., 1997                     |
| FRPN-316 <sup>2</sup>   | RPN-726 | RP                 | <i>NRL</i> (NM_001354768.3)  | c.149C > T                | p.(Ser50Leu)                  | Heterozygous        | Koyanagi et al., 2019                      |
|                         |         |                    | <i>ABCA4</i> (NM_000350.3)   | c.5908C > T               | p.(Leu1970Phe)                | Heterozygous        | Rozet et al., 1998                         |
| FRPN-318 <sup>2</sup>   | RPN-728 | Joubert syndrome   | <i>CEP290</i> (NM_025114.4)  | c.4966_4967del            | p.(Glu1656Asnfs*3)            | Heterozygous        | Sheck et al., 2018                         |
|                         |         |                    |                              | c.2817G > T               | p.(Lys939Asn)                 | Heterozygous        | Srivastava et al., 2017                    |
| FRPN-320 <sup>2</sup>   | RPN-730 | MD/STG             | <i>PRPH2</i> (NM_000322.5)   | c.537G > A                | p.(Trp179*)                   | Heterozygous        | Diñeiro et al., 2020                       |
| FRPN-322 <sup>2</sup>   | RPN-732 | RP                 | <i>PDE6B</i> (NM_000283.4)   | <b>c.1920 + 1G &gt; A</b> | <b>p.?</b>                    | <b>Heterozygous</b> | <b>This study</b>                          |
|                         |         |                    |                              | <b>c.2470_2478del</b>     | <b>p.(Lys824_Glu826del)</b>   | <b>Heterozygous</b> | <b>This study</b>                          |
| FRPN-323 <sup>2</sup>   | RPN-733 | MD                 | <i>PRPH2</i> (NM_000322.5)   | c.421T > C                | p.(Tyr141His)                 | Heterozygous        | Diñeiro et al., 2020                       |
|                         |         |                    | <i>ABCA4</i> (NM_000350.3)   | c.5908C > T               | p.(Leu1970Phe)                | Heterozygous        | Rozet et al., 1998                         |
| FRPN-324 <sup>2</sup>   | RPN-734 | MD/CD              | <i>ABCA4</i> (NM_000350.3)   | c.3113C > T               | p.(Ala1038Val)                | Heterozygous        | Rozet et al., 1998                         |
|                         |         |                    |                              | c.4539 + 2064C > T        | [p.?, p.(=, Arg1514Leufs*36)] | Heterozygous        | Zernant et al., 2014; Bauwens et al., 2019 |
|                         |         |                    |                              | c.1364T > A               | p.(Leu455Gln)                 | Heterozygous        | Salles et al., 2018                        |
| FRPN-325 <sup>2</sup>   | RPN-735 | NA                 | <i>EYS</i> (NM_001142800.2)  | <b>c.8854del</b>          | <b>p.(Thr2973Leufs*23)</b>    | <b>Heterozygous</b> | <b>This study</b>                          |
|                         |         |                    |                              | <b>c.1194del</b>          | <b>p.(Gly399Aspfs*22)</b>     | <b>Heterozygous</b> | <b>This study</b>                          |
|                         |         |                    | <i>RDH5</i> (NM_002905.3)    | c.712G > T                | p.(Gly238Trp)                 | Heterozygous        | Gonzalez-Fernandez et al., 1999            |
| FRPN-328 <sup>2</sup>   | RPN-737 | MD/STG             | <i>PRPH2</i> (NM_000322.5)   | c.641G > A                | p.(Cys214Tyr)                 | Heterozygous        | Trujillo et al., 2001                      |
| FRPN-327 <sup>2</sup>   | RPN-738 | MD/STG             | <i>BBS1</i> (NM_024649.4)    | c.1169T > G               | p.(Met390Arg)                 | Homozygous          | Nishimura et al., 2010                     |
| FRPN-335 <sup>2</sup>   | RPN-745 | RP                 | <i>RPGR</i> (NM_001034853.2) | c.935-2A > G              | p.?                           | Hemizygous          | Koyanagi et al., 2019                      |
|                         |         |                    | <i>ABCA4</i> (NM_000350.3)   | c.5908C > T               | p.(Leu1970Phe)                | Heterozygous        | Rozet et al., 1998                         |
|                         |         |                    | <i>PDE6A</i> (NM_000440.3)   | <b>c.2144T &gt; C</b>     | <b>p.(Met715Thr)</b>          | <b>Heterozygous</b> | <b>This study</b>                          |
| FRPN-337 <sup>2</sup>   | RPN-747 | RP                 | <i>RHO</i> (NM_000539.3)     | <b>c.670G &gt; A</b>      | <b>p.(Gly224Arg)</b>          | <b>Heterozygous</b> | <b>This study</b>                          |
| FRPN-339 <sup>2</sup>   | RPN-749 | STG                | <i>ABCA4</i> (NM_000350.3)   | c.3386G > T               | p.(Arg1129Leu)                | Heterozygous        | Allikmets et al., 1997                     |
|                         |         |                    |                              | c.3210_3211dup            | p.(Ser1071Cysfs*14)           | Heterozygous        | Nasonkin et al., 1998                      |
|                         |         |                    |                              | c.560G > A                | p.(Arg187His)                 | Heterozygous        | Cornelis et al., 2017                      |
| \$FRPN-340 <sup>2</sup> | RPN-750 | LCA                | <i>CEP290</i> (NM_025114.4)  | c.2991 + 1655A > G        | p.?                           | Homozygous          | Den Hollander et al., 2006                 |

Novel pathogenic variants are highlighted in bold font.

FRPN, family number; FRPN-<sup>“1,”</sup> families studied with PV1; FRPN-<sup>“2,”</sup> families studied with PV2; \$FRPN, families in which segregation analysis was performed; RPN, patient number; RP, retinitis pigmentosa; MD, macular dystrophy; p.?, unknown protein effect; STG, stargardt; LCA, leber congenital amaurosis; BCAMD, benign concentric annular macular dystrophy; CD, cone dystrophy; CRD, cone-rod dystrophy; BVMD, best vitelliform macular dystrophy; NA, not available. The “\*” symbol corresponds to: stop-codon according to the format of mutations nomenclature from the Human Genome Variation Society (HGVS).

Duijkers et al., 2018; Cideciyan et al., 2019; Garanto et al., 2019; Sangermano et al., 2019; Xue and MacLaren, 2020).

In our study, *ABCA4* and *USH2A* are the most frequent mutated-genes, similar to other studies (Bernardis et al., 2016; Ezquerro-Inchausti et al., 2018; Jespersgaard et al., 2019). In *ABCA4*, 10% of alleles are reported to be complex (Shroyer et al., 2001; Zhang et al., 2015), a value that increased to 25%

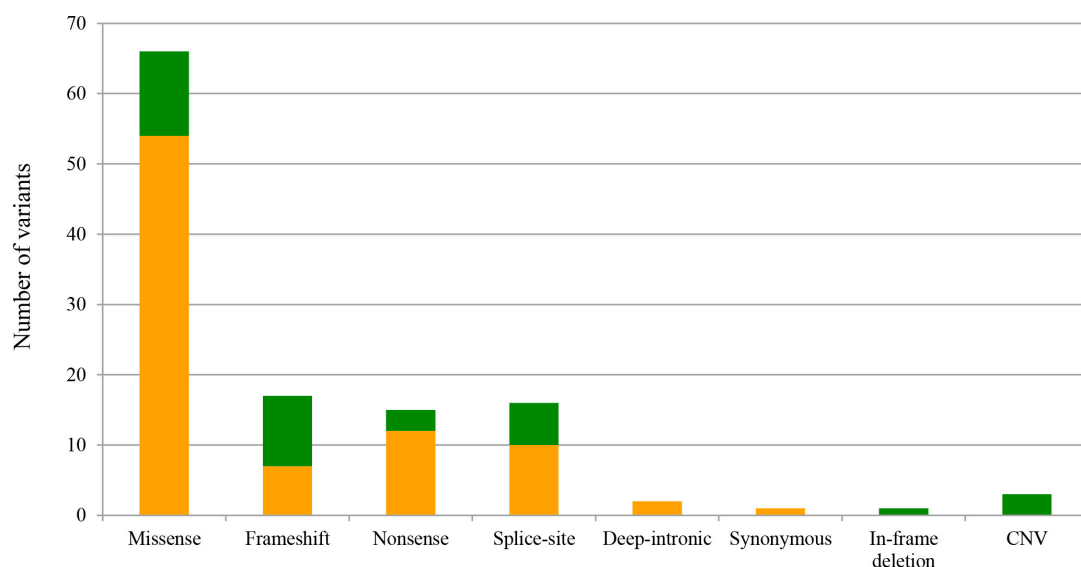
in our results. Moreover, we reported the variant c.3386G > T (p.Arg1129Leu) in *ABCA4* in 37.5% of the resolved patients with mutations in this gene (Table 1), which was not surprising because this variant appears to be very frequent in the Spanish population as we can observe in a study performed by Del Pozo-Valero et al. (2020). On the other hand, variants with an allelic frequency higher than 1% (MAF < 0.01) are usually ruled out as

**TABLE 2** | Patients in which only one pathogenic variant in a recessive gene has been identified.

| Family                | Patient | Clinic diagnosis   | Gene                          | Nucleotide change         | Protein change            | Zygosity            | References                 |
|-----------------------|---------|--------------------|-------------------------------|---------------------------|---------------------------|---------------------|----------------------------|
| FRPN-243 <sup>1</sup> | RPN-645 | RP                 | <i>USH2A</i> (NM_206933.4)    | c.2276G > T               | p.(Cys759Phe)             | heterozygous        | Rivolta et al., 2000       |
|                       |         |                    | <i>CEP290</i> (NM_025114.4)   | <b>c.7394_7395del</b>     | <b>p.(Glu2465Valfs*2)</b> | <b>heterozygous</b> | <b>This study</b>          |
| FRPN-272 <sup>1</sup> | RPN-678 | STG                | <i>ABCA4</i> (NM_000350.3)    | c.288C > A                | p.(Asn96Lys)              | heterozygous        | Stenirri et al., 2004      |
|                       |         |                    | <i>USH2A</i> (NM_206933.4)    | c.754G > T                | p.(Gly252Cys)             | heterozygous        | Bravo-Gil et al., 2016     |
| FRPN-283 <sup>1</sup> | RPN-691 | RP                 | <i>CDHR1</i> (NM_033100.3)    | c.783G > A                | p.(Pro261 = )             | heterozygous        | Glockle et al., 2014       |
|                       |         | Punctata albescens |                               |                           |                           |                     |                            |
|                       |         |                    | <i>POC1B</i> (NM_172240.3)    | <b>c.1079_1080del</b>     | <b>p.(Pro360Argfs*8)</b>  | <b>heterozygous</b> | <b>This study</b>          |
| FRPN-287 <sup>1</sup> | RPN-695 | NA                 | <i>ABCA4</i> (NM_000350.3)    | c.6089G > A               | p.(Arg2030Gln)            | heterozygous        | Lewis et al., 1999         |
| FRPN-288 <sup>1</sup> | RPN-696 | NA                 | <i>ABCA4</i> (NM_000350.3)    | c.6148G > C               | p.(Val2050Leu)            | heterozygous        | Allikmets et al., 1997     |
| FRPN-304 <sup>1</sup> | RPN-712 | RP                 | <i>RPGRIP1</i> (NM_020366.3)  | <b>c.3339 + 5G &gt; C</b> | <b>p.?</b>                | <b>heterozygous</b> | <b>This study</b>          |
| FRPN-305 <sup>1</sup> | RPN-713 | RP                 | <i>SAG</i> (NM_000541.5)      | c.577C > T                | p.(Arg193*)               | heterozygous        | Maw et al., 1998           |
|                       |         |                    | <i>GUCY2D</i> (NM_000180.4)   | <b>c.1991A &gt; G</b>     | <b>p.(His664Arg)</b>      | <b>heterozygous</b> | <b>This study</b>          |
| FRPN-308 <sup>2</sup> | RPN-717 | RP                 | <i>ABCA4</i> (NM_000350.3)    | c.6148G > C               | p.(Val2050Leu)            | heterozygous        | Allikmets et al., 1997     |
| FRPN-311 <sup>2</sup> | RPN-720 | RP (early onset)   | <i>RPGRIP1</i> (NM_020366.3)  | c.767C > G                | p.(Ser256*)               | heterozygous        | Jamshidi et al., 2019      |
| FRPN-313 <sup>2</sup> | RPN-722 | RP                 | <i>RBP3</i> (NM_002900.3)     | c.3238G > A               | p.(Asp1080Asn)            | heterozygous        | den Hollander et al., 2009 |
| FRPN-314 <sup>2</sup> | RPN-724 | RP                 | <i>EYS</i> (NM_001142800.2)   | <b>c.6882_6883del</b>     | <b>p.(Gln2294Hisfs*3)</b> | <b>heterozygous</b> | <b>This study</b>          |
| FRPN-319 <sup>2</sup> | RPN-729 | RP                 | <i>CNGA3</i> (NM_001298.3)    | <b>c.673 + 5G &gt; T</b>  | <b>p.?</b>                | <b>heterozygous</b> | <b>This study</b>          |
| FRPN-336 <sup>2</sup> | RPN-746 | MD                 | <i>PCARE</i> (NM_001271441.2) | <b>c.656_665dup</b>       | <b>p.(Ala223Argfs*38)</b> | <b>heterozygous</b> | <b>This study</b>          |

Novel pathogenic variants identified are highlighted in bold font.

FRPN, family number; FRPN-“1,” families studied with PV1; FRPN-“2,” families studied with PV2; RPN, patient number; RP, retinitis pigmentosa; STG, stargardt; NA, not available; p.?, unknown protein effect; SNHL, sensorineural hearing loss; XL, X-linked. The “\*” symbol corresponds to: stop-codon according to the format of mutations nomenclature from the Human Genome Variation Society (HGVS).



**FIGURE 1** | Representation of the total alleles identified in this study classified according to the alteration type. The X-axis refers to the different types of variants identified in this study, and the Y-axis concerns the total allele count for each type of variant. Pathogenic variants identified in this study are represented in green, while those previously described are in orange.

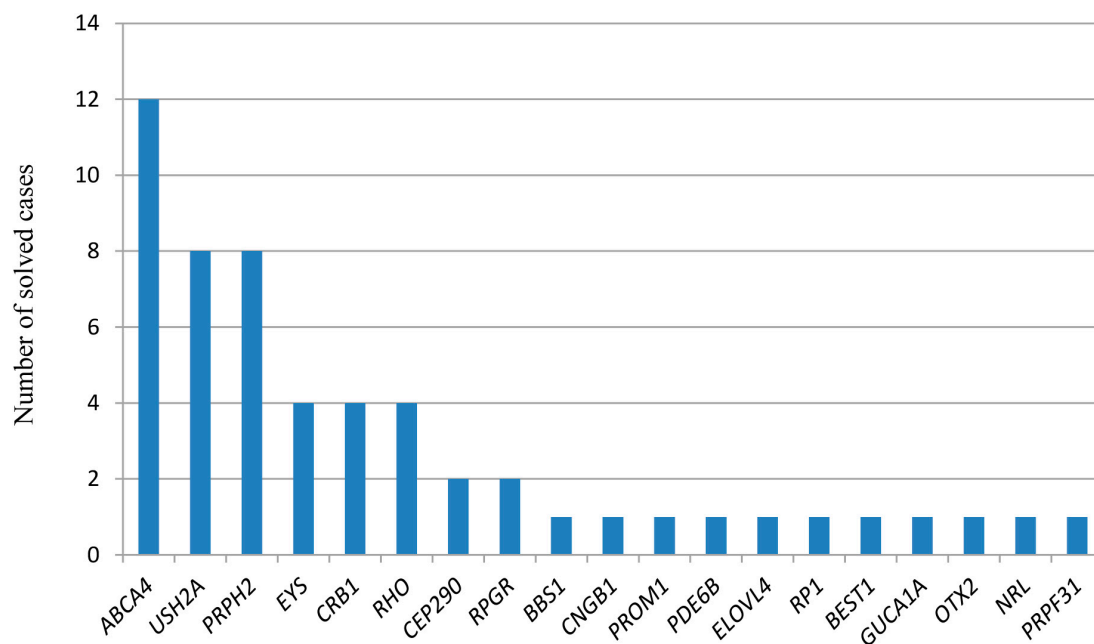
the cause of disease in prioritization analyses; however, in some cases, the frequency of some variants, although > 1%, is higher in patients than in healthy individuals, suggesting that they are causal for the disease. Examples of this have been reported for *ABCA4*. For instance, the variant c.5603A > T (p.Asn1868Ile)

was presented in STGD patients four times more frequently than expected (Zernant et al., 2017), and it is a disease-causing variant in 5% of patients when it is in trans with a severe allele in *ABCA4*. This variant was initially considered benign, however, recent studies consider it pathogenic with reduced

**TABLE 3 |** Criteria considered for the pathogenicity classification of the novel identified variants.

| Gene                   | Mutation          |                      | Classification         | Frequency (gnomAD Ex) | Pathogenicity scores <sup>a</sup> | Conservation score (GERP) <sup>b</sup> | Reputable source       |                   |
|------------------------|-------------------|----------------------|------------------------|-----------------------|-----------------------------------|--|------------------------|-------------------|
|                        | Nucleotide change | Protein change       |                        |                       |                                   |  | ClinVar                | HGMD <sup>c</sup> |
| ABCA4 (NM_000350.3)    | c.2953G > A       | p.(Gly985Arg)        | Likely pathogenic      | NF                    | 12 of 13                          | 5.7199                                 | NA                     | NA                |
|                        | c.4880del         | p.(Leu1627Argfs*35)  | Pathogenic             | 0.0000159             | NA                                | 5.6399                                 | Pathogenic             | NA                |
|                        | c.5714 + 1G > A   | p.?                  | Pathogenic             | 0.00000398            | NA                                | 4.7399                                 | Likely pathogenic      | NA                |
| CEP290 (NM_025114.4)   | c.6310C > T       | p.(Gln2104*)         | Pathogenic             | NF                    | NA                                | 6.0799                                 | NA                     | NA                |
|                        | c.7394_7395del    | p.(Glu2465Valfs*2)   | Pathogenic             | 0.000598              | NA                                | 5.42                                   | NA                     | NA                |
|                        | c.673 + 5G > T    | p.?                  | Likely pathogenic      | 0.0000199             | NA                                | 5.09                                   | NA                     | NA                |
| CNGA3 (NM_001298.3)    | c.673 + 5G > T    | p.?                  | Likely pathogenic      | 0.0000199             | NA                                | 5.09                                   | NA                     | NA                |
| RPGRIP1 (NM_020366.3)  | c.3339 + 5G > C   | p.?                  | Likely pathogenic      | NF                    | 2 of 2                            | NA                                     | Likely pathogenic      | NA                |
| CNGB1 (NM_001297.5)    | c.2492 + 2T > G   | p.?                  | Pathogenic             | NF                    | NA                                | 5.4499                                 | NA                     | NA                |
| CRB1 (NM_201253.3)     | c.481G > A        | p.(Ala161Thr)        | Likely pathogenic      | NF                    | 12 of 13                          | 5.5199                                 | Uncertain significance | NA                |
| PCARE (NM_001271441.2) | c.656_665dup      | p.(Ala223Argfs*38)   | Likely pathogenic      | 0.0000579             | NA                                | 1.8514                                 | NA                     | NA                |
| EYS (NM_001142800.2)   | c.1194del         | p.(Gly399Aspfs*22)   | Pathogenic             | NF                    | NA                                | 6.07                                   | NA                     | NA                |
|                        | c.6882_6883del    | p.(Gln2294Hisfs*3)   | Pathogenic             | NF                    | NA                                | 5.38                                   | NA                     | NA                |
|                        | c.7736_7742del    | p.(Thr2579Lysfs*36)  | Pathogenic             | 0.0000127             | NA                                | 4.01                                   | Likely pathogenic      | NA                |
| GUCY2D (NM_000180.4)   | c.8854del         | p.(Thr2952Leufs*23)  | Pathogenic             | NF                    | NA                                | 4.57                                   | NA                     | NA                |
|                        | c.1991A > G       | p.(His664Arg)        | Likely pathogenic      | NF                    | 11 of 12                          | 5.35                                   | NA                     | NA                |
|                        | c.66C > A         | p.(Tyr22*)           | Likely pathogenic      | 0.00000795            | NA                                | 5.75                                   | Uncertain significance | NA                |
| OTX2 (NM_001270525.2)  | c.638T > A        | p.(Leu213*)          | Pathogenic             | NF                    | NA                                | 5.53                                   | NA                     | NA                |
| PDE6A (NM_000440.3)    | c.2144T > C       | p.(Met715Thr)        | Likely pathogenic      | 0.000231              | 12 of 13                          | 5.32                                   | Uncertain significance | NA                |
| PDE6B (NM_000283.4)    | c.1920 + 1G > A   | p.?                  | Pathogenic             | NF                    | NA                                | 4.19                                   | NA                     | NA                |
| POC1B (NM_172240.3)    | c.2470_2478del    | p.(Lys824_Glu826del) | Uncertain significance | 0.000128              | NA                                | 4.1599                                 | NA                     | NA                |
|                        | c.1079_1080del    | p.(Pro360Argfs*8)    | Pathogenic             | 0.0000145             | NA                                | 5.69                                   | NA                     | NA                |
|                        | exon 11 del       | p.?                  | Pathogenic             | NF                    | NA                                | NA                                     | NA                     | NA                |
| PROM1 (NM_006017.2)    | exon 11 del       | p.?                  | Pathogenic             | NF                    | NA                                | NA                                     | NA                     | NA                |
| PRPH2 (NM_000322.5)    | c.440dup          | p.(Gly148Trpfs*29)   | Pathogenic             | NF                    | NA                                | 5.8699                                 | NA                     | NA                |
| RHO (NM_000539.3)      | c.647C > A        | p.(Pro216His)        | Likely pathogenic      | NF                    | 12 of 13                          | 5.0999                                 | NA                     | NA                |
|                        | c.670G > A        | p.(Gly224Arg)        | Likely pathogenic      | 0.0000159             | 12 of 13                          | 5.0399                                 | NA                     | NA                |
|                        | c.1366del         | p.(Gln456Lysfs*20)   | Pathogenic             | NF                    | NA                                | 4.73                                   | NA                     | NA                |
| RPGR (NM_001034853.2)  | c.1366del         | p.(Gln456Lysfs*20)   | Pathogenic             | NF                    | NA                                | 4.73                                   | NA                     | NA                |
| USH2A (NM_206933.4)    | c.4955C > T       | p.(Pro1652Leu)       | Likely pathogenic      | 0.000016              | 10 of 13                          | 5.21                                   | NA                     | NA                |
|                        | c.6957 + 1G > C   | p.?                  | Pathogenic             | NF                    | NA                                | 5.8099                                 | NA                     | NA                |
|                        | c.13894C > T      | p.(Pro4632Ser)       | Likely pathogenic      | 0.00000797            | 8 of 13                           | 5.21                                   | NA                     | NA                |

Column "Classification" refers to classification according to AMCG. <sup>a</sup>Pathogenicity Scores from [https://varsome.com/for/misense\\_variants](https://varsome.com/for/misense_variants) (accessed November 2020) (BayesDel\_addAF, DANN, DEOGEN2, EIGEN, FATHMM-MKL, LIST-S2, M-CAP, MVP, MutationAssessor, MutationTaster, PrimateAI, REVEL, and SIFT). Represent predictors supporting the pathogenic effect against the total of available predictors. <sup>b</sup>GERP conservation score based on the reduction in the number of substitutions in the multi-species sequence alignment compared to the neutral expectation using the genomes of 35 mammals. Range: -12.3 to 6.17 (most conserved). <sup>c</sup>HGMD public version (accessed November 2020). p.?, unknown protein effect; NF, no found; NA, not applicable. The "\*" symbol corresponds to: stop-codon according to the format of mutations nomenclature from the Human Genome Variation Society (HGVS).



**FIGURE 2 |** Number of solved cases with mutations in the different disease-causing genes. The X-axis refers to the responsible genes for each solved case, and the Y-axis to the number of solved cases for each disease-causing gene. Only genes responsible for the disease are represented. For patient RPN-670 (FRPN-265), the three different possible responsible genes for the IRD are represented.

penetrance (Zernant et al., 2017; Cremers et al., 2018; Runhart et al., 2018). For autosomal dominant cases, *PRPH2* was found to be responsible for 14.8% of the resolved cases (Table 1), whilst a prevalence of 10.3% in *PRPH2* was the highest obtained thus far (Manes et al., 2015).

Concerning the patients who carry mutations in more than one IRD gene (Table 1), it is estimated that 2.7 billion individuals worldwide (36%) are carriers of an IRD disease-causing mutation, whereas 5.5 million are expected to be affected (Hanany et al., 2020). In this study, we diagnosed patient RPN-670, who was a carrier of disease-causing variants in *RP1*, *PRPH2*, and *USH2A* (Table 1). Despite the fact that any relative displays any symptoms, we cannot confirm that *PRPH2* and *RP1* variants are *de novo*, as DNA from family members was not available for segregation analysis. Incomplete penetrance can also be suggested, since it has been described for patients carrying pathogenic variants in *PRPH2* and *RP1* (Dietrich, 2002; Boon et al., 2008; Thiadens et al., 2012; Coco-Martin et al., 2020). It is important to remark that the fact of being a carrier of pathogenic variants in more than one gene can have a major impact on the reproductive choices for IRD patients, as well as impact their eligibility for gene-specific genetic therapies. This finding outstands the significance of achieving an exhaustive diagnosis.

The *OTX2* gene is associated with syndromic diseases, such as microphthalmia and retinal degeneration with or without pituitary dysfunction (OMIM: 610125; 610125). In this study, we also identified a nonsense variant in the *OTX2* gene in a family (FRPN-300) (Table 1) with an autosomal dominant mode of inheritance but only retinal symptoms. Similarly, two autosomal dominant families with heterozygous pathogenic variants in

*OTX2* with only retinal degeneration patterns were previously reported (Vincent et al., 2014). Eleven truncating and two missense pathogenic variants have been described in this gene (LOVD accessed on April 7, 2021) and both type of variants have been associated with both syndromic and non-syndromic cases (Vincent et al., 2014; Slavotinek et al., 2015; Ellingford et al., 2016; Wang et al., 2016; Bryant et al., 2017; Patel et al., 2018; Sanchez-Navarro et al., 2018). Thus, a correlation between the type of mutation and the clinical diagnosis cannot be assessed.

A clear difference of the use of PV1 or PV2 concerning the solved cases (57.1% with the PV1 and 58.6% with PV2) did not exist. Strikingly, the rate of partially solved cases is almost half for the PV1 (11.1%) when compared to the PV2 (19.35%). It would be expected that the number of partially solved cases would be lower with a panel that includes all the deep-intronic mutations reported to date for *ABCA4* and *USH2A*. In our opinion, this could be due to the lower sample size analyzed with the PV2.

In general, custom panel designs remain an excellent option for the genetic diagnosis of IRD. However, due to the rapid increase of the number of genes involved, some groups prefer to use alternative approaches, such as WES (Lee et al., 2015; Riera et al., 2017; Zhang et al., 2018). Both sequencing strategies have their pros and cons. A specific panel of genes will allow the study of known IRD at a high time/effectiveness ratio regardless of the clinical diagnosis, which is an advantage in those cases in which the clinical diagnosis is not well defined or overlaps between different clinical entities. Furthermore, it allows not only the possibility of including a greater number of probes in repetitive regions such as ORF15 of the *RPGR* gene, which is highly involved in X-linked IRD cases (Vervoort et al., 2000; Megaw et al., 2015; Chiang et al., 2018; Charnig et al., 2019),

but also reported deep-intronic pathogenic variants (González-del Pozo et al., 2018; Di Scipio et al., 2020). On the other hand, WES made it possible to find mutations in novel IRD-related genes without updating the panel in low prevalent genes not included for the sake of increasing the depth of coverage or to identify novel IRD genes. The price reduction for high throughput sequencing has made the costs between the panels and WES quite even, so, it does not make the difference between the panels and WES. Taking this into account, the choice between both depends on the preferences of the clinician/researcher in terms of comprehensiveness, accuracy, and time consumption.

Currently, there is no significant difference between the panels and WES concerning the diagnostic rates, as the WES rate did not reach > 70% (Lee et al., 2015; Riera et al., 2017; Wang et al., 2018; Zhang et al., 2018; Liu et al., 2020). Therefore, further studies, including introns, other non-coding regions and epigenetics, are needed to achieve a comprehensive molecular diagnosis of IRD.

## DATA AVAILABILITY STATEMENT

The data presented in this study are deposited in the European Genome-phenome Archive (EGA), Study: EGAS00001005369 and Dataset: EGAD00001007755.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Hospital La Fe Ethics Committee. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

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## AUTHOR CONTRIBUTIONS

EA, JM, and GG: conceptualization. BG and AR: methodology. BG, AR, EA, and TJ: resources. BG: writing—original draft preparation. BG, AR, EA, TJ, GG, and JM: writing—review and editing. GG and JM: supervision. JM: funding acquisition. All authors have read and agreed to the published version of the manuscript.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.645600/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# A Novel *ARL3* Gene Mutation Associated With Autosomal Dominant Retinal Degeneration

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Despite major progress in the discovery of causative genes, many individuals and families with inherited retinal degenerations (IRDs) remain without a molecular diagnosis. We applied whole exome sequencing to identify the genetic cause in a family with an autosomal dominant IRD. Eye examinations were performed and affected patients were studied with electroretinography and kinetic and chromatic static perimetry. Sequence variants were analyzed in genes ( $n = 271$ ) associated with IRDs listed on the RetNet database. We applied a stepwise filtering process involving the allele frequency in the control population, *in silico* prediction tools for pathogenicity, and evolutionary conservation to prioritize the potential causal variant(s). Sanger sequencing and segregation analysis were performed on the proband and other family members. The IRD in this family is expressed as a widespread progressive retinal degeneration with maculopathy. A novel heterozygous variant (c.200A > T) was identified in the *ARL3* gene, leading to the substitution of aspartic acid to valine at position 67. The Asp67 residue is evolutionary conserved, and the change p.Asp67Val is predicted to be pathogenic. This variant was segregated in affected members of the family and was absent from an unaffected individual. Two previous reports of a *de novo* missense mutation in the *ARL3* gene, each describing a family with two affected generations, are the only examples to date of autosomal dominant IRD associated with this photoreceptor gene. Our results, identifying a novel pathogenic variant in *ARL3* in a four-generation family with a dominant IRD, augment the evidence that the *ARL3* gene is another cause of non-syndromic retinal degeneration.

**Keywords:** chromatic perimetry, ciliopathy, cones, electroretinography, retinal degeneration, rods, whole exome sequencing

## INTRODUCTION

Emerging from the era of ungenotyped inherited retinal degenerations (IRDs), we are now aware of the heterogeneous basis of these blinding diseases (Bramall et al., 2010; Wright et al., 2010; Ratnapriya and Swaroop, 2013; Verbakel et al., 2018; Garafalo et al., 2020). From the linkage mapping of disease loci to the identification of causative genes and mutations, there was a steady

increase in the number of genes associated with IRDs in the three decades from 1990 onward (RetNet, the Retinal Information Network)<sup>1</sup>. Yet, there remain many IRD patients and families with unknown genetic diagnosis (at least 30%; Birtel et al., 2018; Garafalo et al., 2020; Hejtmancik and Daiger, 2020). The largest percentage of these molecularly unresolved Mendelian IRDs has been the simplex/multiplex or presumed autosomal recessively inherited diseases (Garafalo et al., 2020).

We have been investigating patients and families with non-syndromic retinal degeneration, and whenever a genetic cause for an autosomal dominant IRD was identified, the family was screened for known mutations. In recent years, the next-generation sequencing technologies, especially targeted and whole exome sequencing, have expedited the molecular diagnosis efforts (Booi et al., 2011; O'Sullivan et al., 2012; Ratnapriya and Swaroop, 2013; Beryozkin et al., 2015; Roberts et al., 2016). In the current study, we applied whole exome sequencing to a multi-generation dominant IRD family which was initially screened for known mutations but gave negative results. We analyzed all genes associated with IRDs as reported in the RetNet database and identified a novel, rare, heterozygous variant p.Asp67Val in *ARL3* as a causative mutation. *ARL3* encodes ADP-ribosylation factor, (Arf)-like protein 3. This soluble small GTPase has been localized to photoreceptors, and mutations in the *ARL3* gene are considered to cause retinal ciliopathy (Frederick et al., 2020; Sánchez-Bellver et al., 2021).

A missense variant in *ARL3* has previously been associated with non-syndromic autosomal dominant retinitis pigmentosa (OMIM 604695; Fahim et al., 2000). Specifically, the c.269A > G (p.Tyr90Cys) variant was determined to be a *de novo* mutation in two unrelated families, each with two generations of affected members (Strom et al., 2016; Holtan et al., 2019). *ARL3* has also been implicated as an autosomal recessive cause of Joubert syndrome and non-syndromic retinal degeneration (Alkanderi et al., 2018; Sheikh et al., 2019; Fu et al., 2021).

The identification of causal genes underlying human diseases has clear clinical and research utility, and there has been recent progress toward therapy in dominant forms of IRD (Sudharsan and Beltran, 2019; Kruczek et al., 2021). Further, specifically considering the *ARL3* gene, there are studies in patient-derived cell lines and animal models that can be the foundation for understanding the mechanism and devising the therapeutic strategies (Grayson et al., 2002; Evans et al., 2010; Schwarz et al., 2012; Hanke-Gogokhia et al., 2016; Kruczek and Swaroop, 2020).

## MATERIALS AND METHODS

### Human Subjects

Patients from a four-generation family with a history of visual impairment were included (Table 1). Six family members representing three of the generations underwent a standard ophthalmic examination as well as specialized psychophysical, electrophysiological, and imaging tests. Their clinical visits occurred between 1983 and 2002; molecular studies to identify

**TABLE 1 |** Clinical characteristics of *ARL3* family.

| Patient number/<br>Sex | Age at first visit<br>(years) | Ages at follow-up<br>(years) | Best corrected visual acuity<br>(first visit) |       | Refractive error<br>(first visit)<br>as spherical equivalent |       |
|------------------------|-------------------------------|------------------------------|---|-------|--|-------|
|                        |                               |                              | OD  | OS    | OD   | OS    |
| II-1/M                 | 69                            | One visit                    | HM  | HM    | −0.75  | −0.75 |
| III-1/M                | 47                            | 55                           | 20/300  | HM    | −3.50  | −4.00 |
| III-2/M                | 41                            | 42, 46, 48, 52               | 20/20   | 20/25 | −0.50  | −1.00 |
| IV-1/F                 | 13                            | 14, 15, 16, 18, 20           | 20/50   | 20/40 | −9.00  | −7.00 |
| IV-2/F                 | 10                            | 12, 15, 18, 21               | 20/40   | 20/30 | −4.50  | −5.00 |
| IV-3/F                 | 8                             | 9, 10, 13, 15, 17, 19, 27    | 20/30   | 20/40 | −3.25  | −2.75 |

the causative gene in this family were performed throughout the period from 1992 to 2021.

## Phenotype Studies

### Full Field Electrophysiology (ERG)

Techniques, methods of data analysis, and normal results for ERGs have been described (Jacobson et al., 1989; Yagasaki and Jacobson, 1989; Azari et al., 2006; Aleman et al., 2009). After a 45-min period of dark adaptation, full-field ERGs were elicited using scotopically matched blue and red lights followed by a series of blue light flashes with increasing intensities to elicit a rod response. Bright flashes of white light were used to obtain a mixed cone and rod response. To elicit a cone response, single flashes of white light on a steady white background, suppressing the rods, was used. Cone function was also measured by using a series of white light flickering at 30 Hz with increasing intensities on a white background. The relationship of rod b-wave amplitude to cone flicker amplitude was calculated and plotted (Yagasaki and Jacobson, 1989).

To directly assess the function of rod-photoreceptor phototransduction activation and rod-driven post-receptoral signaling in patient III-2 at age 52, photoreponses were elicited with different luminances of blue (Wratten 47A) and red (Wratten 26) flashes in the dark-adapted state as previously described (Cideciyan and Jacobson, 1993, 1996; Cideciyan, 2000). In brief, luminances were selected to provide pairs of scotopically matched waveforms to blue and red flashes which when digitally subtracted gave a cone ERG that was then subtracted from the response to a photopically matched blue flash (double subtraction technique). The resulting rod-isolated ERG was assumed to be the sum of two major underlying components, P3 and P2. P3 is generated by the photoreceptors, and P2 is generated by the inner nuclear layer. The P3 component of the rod-isolated ERG was quantitatively estimated by fitting a physiologically based mathematical model previously developed (Cideciyan and Jacobson, 1996) for the leading edges of the waveforms. Then the rod-driven post-receptoral P2 component was derived by subtracting the P3 estimate from the rod-isolated ERG. The derived P2 component was normalized by the maximum size of

<sup>1</sup><https://sph.uth.edu/retnet/>

the P3 component to facilitate comparison between the different amplitudes of patients and normal subjects.

### Perimetry

Goldmann kinetic perimetry was performed with V-4e and I-4e test targets. Static perimetry was performed with a modified automated perimeter (Humphrey Field Analyzer 750i) as previously described (Jacobson et al., 1986; Roman et al., 2005). Two-color (500 and 650 nm) dark-adapted function and light-adapted function (600 nm) were measured at 2° intervals across the central visual field (central 60° along horizontal and vertical meridians) and at 12° intervals throughout the visual field. Photoreceptor mediation under dark-adapted conditions was determined by the sensitivity difference between 500- and 650-nm stimuli (Jacobson et al., 1986; Roman et al., 2005).

### Imaging

Color fundus photographs were obtained using camera systems available at the time of examinations. In one family member, cross-sectional retinal reflectivity profiles were obtained with optical coherence tomography (OCT; Zeiss Humphrey Instruments, Dublin, CA, United States). Our OCT techniques have been published (e.g., Jacobson et al., 2003; Sumaroka et al., 2019). For the current work, data were acquired with first-generation (time-domain) OCT1 with a theoretical axial resolution in retinal tissue of ~10 μm. Scans were composed of 100 longitudinal reflectivity profiles (LRPs). Five overlapping segments of linear scans (repeated two times each of 4.5-mm length) located along the horizontal and vertical meridian, centered on the anatomical fovea and extending to 9 mm in either direction, were acquired. Post-acquisition processing of OCT data was performed with custom programs (MATLAB R2020b; MathWorks, Natick, MA, United States). LRPs making up the OCT scans were aligned by straightening the major RPE reflection, and scans from the same eccentricities were averaged.

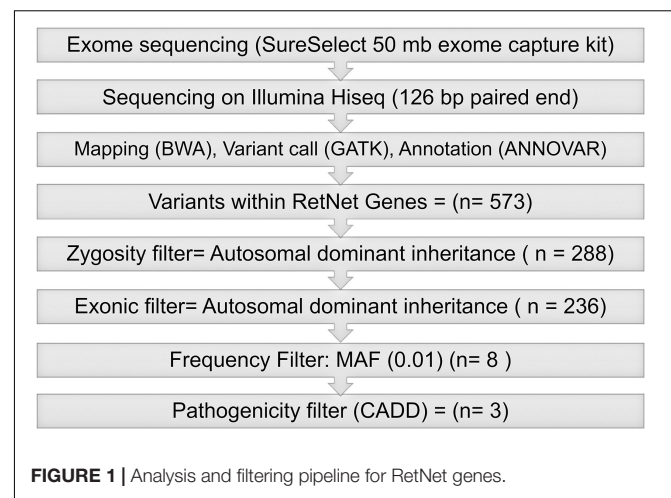
## Molecular Studies

### Whole Exome Sequencing Analysis

Genomic DNA was extracted from the peripheral blood using standard methods and quantified using the Promega QuantiFluor® dsDNA system (Promega, Madison, WI, United States), according to the manufacturer's instructions. Targeted exome capture was performed using genomic DNA from the proband using the Agilent SureSelect Human All exon 50 Mb kit (Agilent Technologies, Santa Clara, CA, United States), following the manufacturer's instructions. Captured libraries were amplified and converted to clusters using Illumina Cluster Station, and paired-end 126 bp sequencing was performed on Illumina GAIIx (Illumina, Inc., San Diego, CA, United States).

### Primary Bioinformatics Analysis

FastQC (available at <http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>) was used to confirm the quality of sequencing, and adapter indexes were removed using Trimmomatic (Bolger et al., 2014). Sequence reads were mapped against the hg38/GRCh38 human reference genome sequence build using BWA. Aligned reads were processed to mark



duplicates using Picard<sup>2</sup>. The Genome Analysis Toolkit (GATK) recommendations for best practices were applied for variant calling, local realignment, base quality recalibration, and variant recalibration (DePristo et al., 2011). The annotation of variants was performed with ANNOVAR (Wang et al., 2010). As a part of ANNOVAR annotations, alternate allele frequencies of the variants in non-Finnish European (NFE) populations were obtained from the Exome Aggregation Consortium (ExAC), which constituted data from 33,370 individuals.

### Variant Filtering and Prioritization

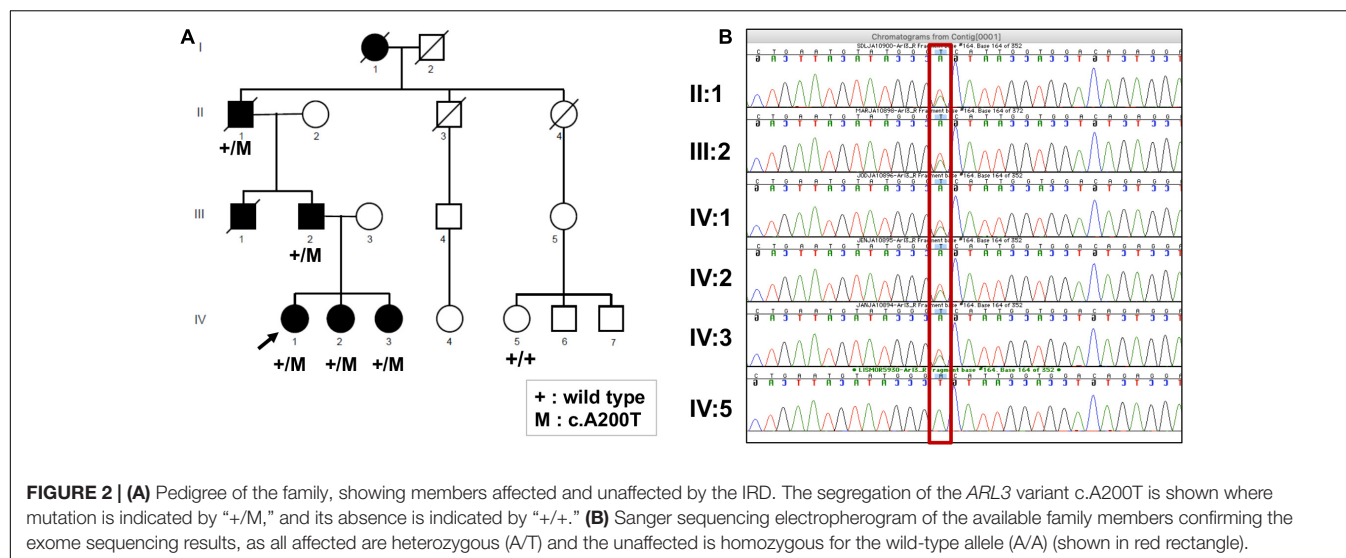
To identify pathogenic variants, we applied a stepwise filtering process on sequence variants identified in genes listed on the RetNet database (in the public domain; accessed July 2020)<sup>3</sup> (Figure 1). First, we retained only functional coding variants (non-synonymous, stop gain, stop loss, splicing, and frameshift insertions/deletions). Next, we excluded variants with a minor allele frequency (MAF) of >0.01 in the ExAC as they were deemed not disease causing because of their prevalence in the apparently normal population. The variants were subsequently selected based on an additional predictive causality filter to focus on the 1% most deleterious variants in the human genome (CADD score ≥ 20).

### Confirmation of Candidate Variants by Sanger Sequencing

Candidate variant in *ARL3* identified by whole exome sequencing was validated by Sanger sequencing in the proband and five additional family members (four affected and one unaffected) using an ABI PRISM 3730xl Genetic Analyzer (Applied Biosystems; Thermo Fisher Scientific, Waltham, MA, United States). The primers used to amplify the *ARL3* DNA fragment containing Asp67Val variant were *ARL3*-F-5'-TCACAACAAATCATTTCAGCA-3' and *ARL3*-R-5'-CTGAGCGACAGCAAAACATC-3'.

<sup>2</sup><http://picard.sourceforge.net>

<sup>3</sup><https://sph.uth.edu/Retnet/sum-dis.htm>



## Conservation of Asp67Val Across Species

We next analyzed the evolutionary conservation of the variant as it is a strong indicator of the deleteriousness of any change. Multiple species protein sequence alignment to assess evolutionary conservation of residue was performed using the UCSC Genome Browser<sup>4</sup>.

## Protein–Protein Interaction Prediction

To understand the effects of p.Asp67Val mutation, we took advantage of the *ARL3* interaction structural information in predicting the impact of this substitution on the affinity of protein–protein complexes. We used mCSM-PPI2 (Rodrigues et al., 2019), which is a machine-learning method that relies on graph-based signatures to evaluate the structure-based prediction of the impact of missense mutations on protein-protein interaction. p.Asp67Val was modeled with mCSM-PPI2 on the structures 3BH6 (RP2), 4GOJ (UNC119A), and 5DI3 (*ARL13B*).

# RESULTS

## Phenotype Studies

### Clinical Features of the IRD in This Family

The four-generation Ashkenazi-Jewish family with a history of progressive visual loss in some members but no other systemic disease manifestations (**Figure 2A** and **Table 1**) was studied to determine the ocular-retinal phenotype. From the examination of the oldest affected member (PII-1, age 69), it was evident that the retinal disease expression could be severe at this age; night vision losses were recalled by the patient in his third decade of life, and then there was progression to peripheral and central vision loss over subsequent decades. Posterior subcapsular cataracts (PSCs) were present at age 69. The fundus appearance was one of widespread pigmentary retinopathy and chorioretinal atrophy as

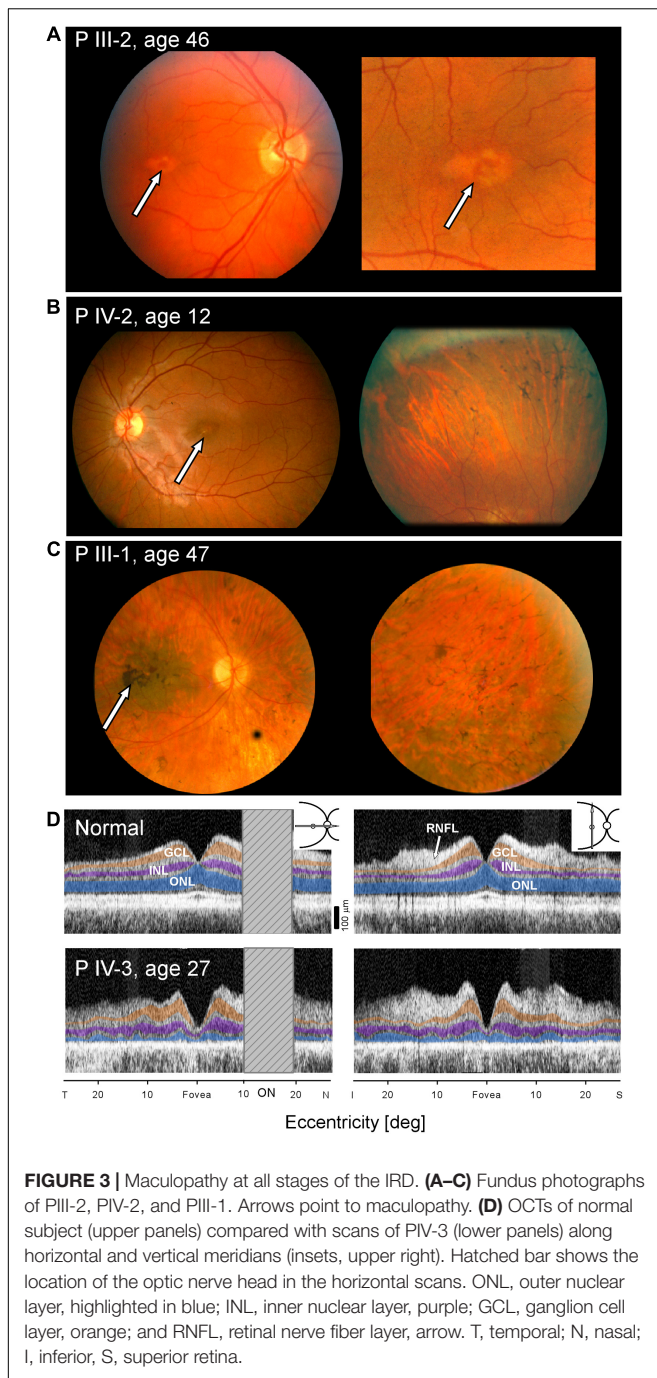
well as waxy disk pallor and attenuated vessels. An ERG was non-detectable; and kinetic visual fields were not measurable. PIII-1 at age 47 years also had a severe disease expression; central and night vision disturbances were present from the second decade of life. Peripheral vision loss prompted mobility training in his early fourth decade. Acuities were 20/300 with hand motions at first examination (**Table 1**), and only a small central island of visual field was present. ERGs were not detectable at age 47.

In contrast to the severe disease expression of PII-1 and PIII-1, PIII-2 was asymptomatic early in his fifth decade of life. The three daughters of PIII-2 were all examined late in their first or early in their second decades of life. Two (PIV-2 and -3) of the three were asymptomatic while PIV-1 already had complaints of night vision disturbances. Serial visual acuities of five of the affected family members are shown (**Supplementary Figure 1**).

Fundus photographs of affected members were taken on certain visits in the era before other imaging modalities were available. A fundus feature of many of the family members was a parafoveal (bull’s eye-like) macular lesion (Krill, 1977). For example, at age 46, PIII-2 had normal-appearing retinal vessels and no peripheral pigmentary changes, but in both maculae, there was a ring of apparent depigmentation surrounding a central area (**Figure 3A**). Acuities in the two eyes were 20/20 (OD) and 20/70 (OS). A daughter, PIV-2, at age 12, had a parafoveal lesion in each eye and no symptoms. Acuities were OD 20/40 and OS, 20/30. Vessel caliber was normal but there was some depigmentation and sparse bone spicule-like pigment in the superior retina (**Figure 3B**). PIII-1 at age 47 had features of retinal degeneration throughout the fundus and there were macular pigmentary disturbances (**Figure 3C**).

Cross-sectional imaging was performed on one of the family members, PIV-3, at age 27 (**Figure 3D**). Unlike the normal OCT showing typical laminar architecture across the central 50 degrees of retina (upper panels), PIV-3 has severely reduced outer nuclear layer (ONL) thickness in the fovea and across the sampled region (lower panels). There is no foveal bulge (foveal cone outer segments), and acuities are reduced; the outer

<sup>4</sup><http://genome.ucsc.edu>



retinal laminar appearance suggests both rod and cone inner and outer segment losses across the macula (consistent with loss of rod and cone sensitivity noted with chromatic perimetry). Foveal ONL thickness in PIV-3 is 12  $\mu\text{m}$  (defined as average measurement under the foveal pit in horizontal and vertical scans) or 12.1% of the average normal value at this location (98.6  $\mu\text{m}$ ,  $n = 15$ ; age range, 8–62 years, SD = 11.1  $\mu\text{m}$ ). At a rod rich area (15° superior retina), ONL is 17.3  $\mu\text{m}$  or 31% of average normal value (56.0  $\mu\text{m}$ ;  $n = 15$ ; age range, 8–62 years, SD = 6.1  $\mu\text{m}$ ).

## Retina-Wide Rod and Cone Dysfunction by Electroretinography

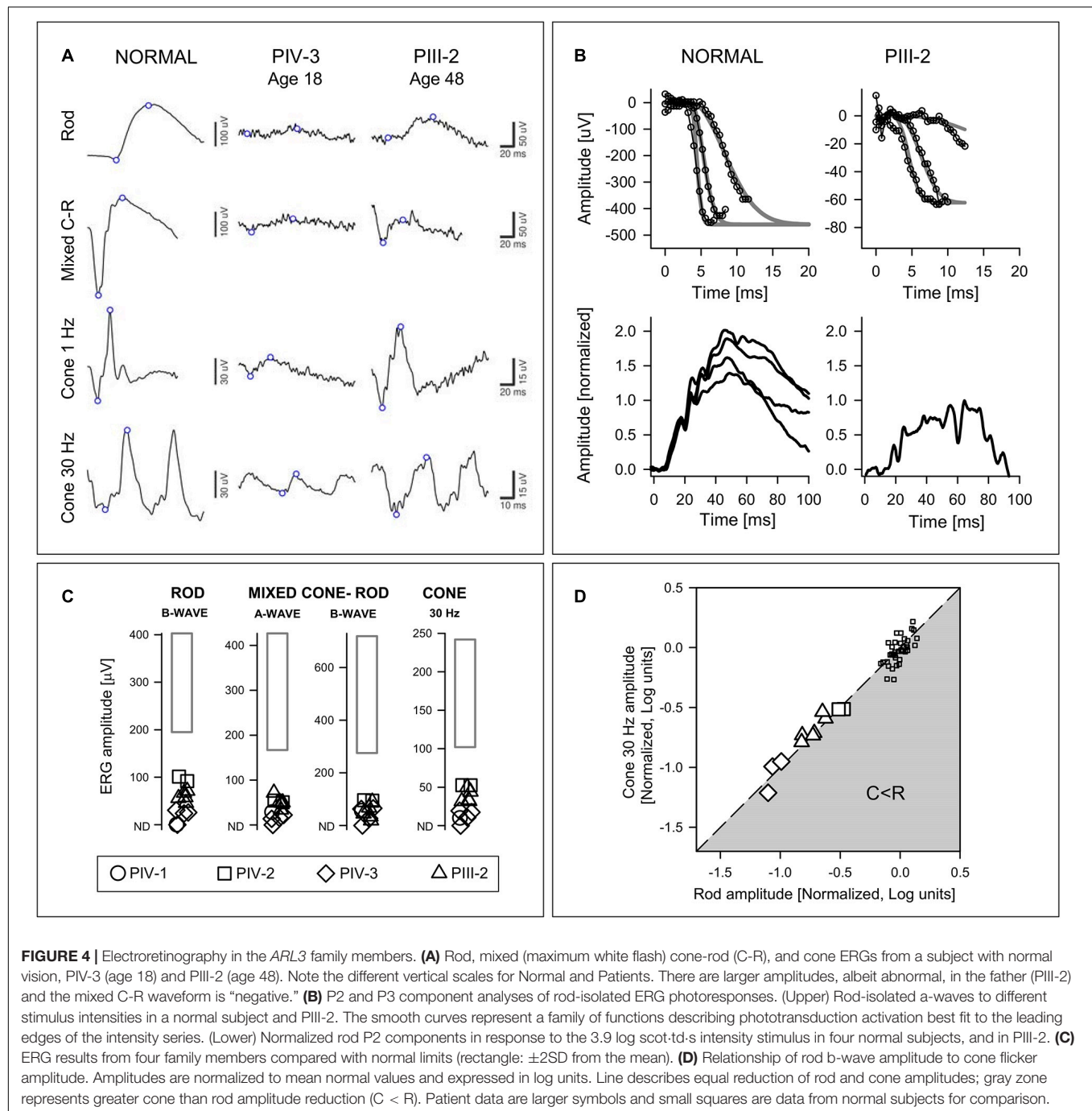
All family members studied ( $n = 6$ ) had abnormally reduced ERGs (**Figure 4C**); rod and cone signals in PII-1 and PIII-1 were non-detectable. Waveforms from two members, PIV-3 and PIII-2, separated in age by 30 years illustrate that there was variable expressivity of disease (**Figure 4A**). PIV-3 at age 18 shows reduced but measurable rod and cone signals. The father, PIII-2, has larger amplitude rod and cone signals but, of interest, the b/a-wave ratio to the maximum white flash that normally produces a mixed cone-rod ERG is reduced (normal mean b/a-wave ratio, 1.6; SD, 0.2;  $n = 96$ ). This “negative ERG” was present in PIII-2 on three recordings (ages 41, 46, and 48).

At age 52 in PIII-2, there was an opportunity to record specialized ERG photoresponses (**Figure 4B**). The rod component of the mixed rod and cone ERGs to high intensity blue flashes was isolated by the double subtraction technique. The leading edges of the rod-isolated waveforms in a normal subject and in PIII-2 are shown. The phototransduction activation model (gray smooth lines) has been fitted to the responses from an intensity series (small symbols and lines). Normalized rod P2 components from four normal subjects are compared to that of PIII-2; the latter appears smaller than the normal result suggestive of an abnormality at the first synapse of photoreceptors or in rod bipolar cells.

The presence of bull’s eye-like maculopathy in many of the family members and the association of this maculopathy with cone and cone-rod diseases (Thiadens et al., 2012) suggested the value of calculating the relationship between rod and cone ERGs to determine if there was a pattern in these data (Yagasaki and Jacobson, 1989; **Figure 4D**). The results indicated that dysfunction equally affected rod and cone systems by these ERG parameters, like one of the patterns previously associated with some cone-rod dystrophies (Yagasaki and Jacobson, 1989).

## Kinetic and Chromatic Static Perimetry

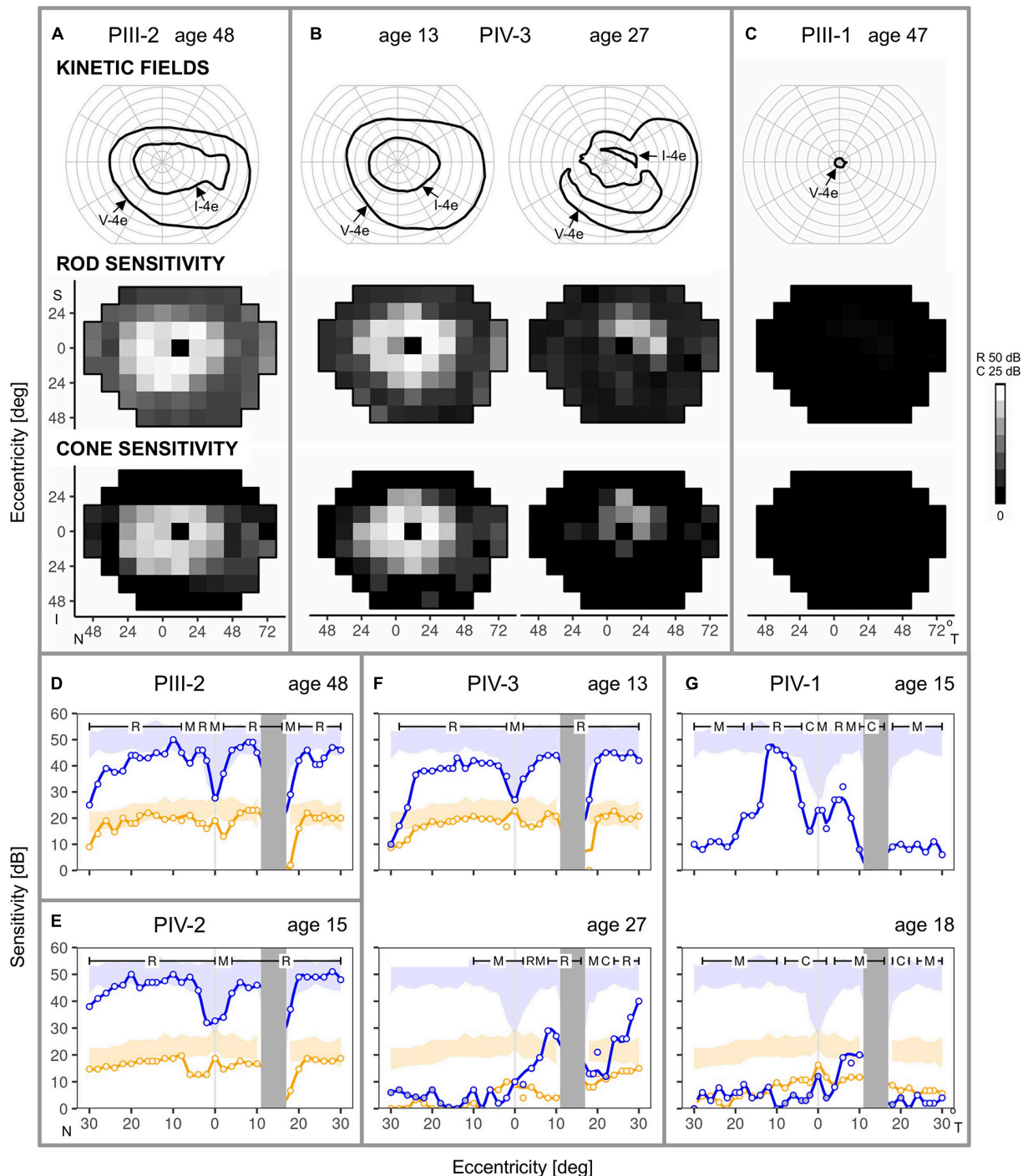
Kinetic and static chromatic fields from three family members illustrate variable expressivity: there are comparable degrees of loss in a father and daughter separated in age by 35 years (PIII-2, age 48 and PIV-3, age 13) and there is also a major difference in severity of the two brothers (PIII-1 and PIII-2) at almost the same age (**Figures 5A–C**). PIII-2 has a normal extent of field with the V-4e target but a reduced extent with I-4e. In contrast, PIII-1 has just a small central island of vision remaining. Static perimetry maps of sensitivity in PIII-2 and in his daughter, PIV-3 (at ages 48 and 13, respectively), are similar. Peripheral field sensitivity (defined as loci  $\geq 24^\circ$  eccentricity) is far more reduced than the more central field (48° diameter) sensitivity. Both rod- and cone-mediated sensitivity follow the same general pattern across the field. Serial fields in PIV-3 spanning an interval of 14 years in the second and third decades of life show the development of superior, nasal, and inferior mid-peripheral scotomas by age 27; more function is retained temporally. Only rare loci in the periphery had detectable rod function, and the more



central (superior-temporal quadrant only) loci had further reduction in sensitivity compared to the results at the earlier age. Cone sensitivity in the periphery was mainly not detectable; centrally, a few loci (superior-temporally) had detectable but reduced function.

Consistent with the results of the larger field sensitivity maps, rod-, and cone-mediated function could be at the lower limit of normal across a relatively wide expanse of the central field (Figures 5D–G). This is illustrated in the dark-adapted two-color horizontal profiles of PIII-2 at age 48 (Figure 5D), and his

two daughters (PIV-2 and PIV-3) who are  $\sim 30$  years younger (Figures 5E,F). Disease progression in PIV-3 over 14 years (Figure 5F) is notable; nasal field rod function becomes barely detectable, and there is reduction at and near the foveal locus. Patches of rod function remain on both nasal and temporal sides of the optic nerve head. PIV-1, another sister, has greater rod losses at a comparable age to PIV-2 and PIV-3 and progression is notable over the next 3 years. Rod- and mixed-mediated loci lose sufficient rod function to become mostly cone-mediated (Figure 5G).



**FIGURE 5 |** Kinetic and chromatic static perimetry in the family members. **(A)** Full kinetic fields to V-4e but reduced to I-4e test targets in PIII-2 at age 48. Static perimetry shows rod and cone sensitivity is mildly reduced in a large region of central field but there is greater peripheral reduction. **(B)** Serial maps in PIV-3 at age 13 are comparable in pattern to those of PIII-2, but over the subsequent 14 years, there is loss of function in regions of the central field. **(C)** PIII-1, at a similar age to the sibling, PIII-2, has only a small residual central island of vision. **(D–G)** Rod and cone perimetric profiles across the horizontal meridian provide more detail of the central function. **(D,E)** PIII-2 and PIV-2, despite different ages at the visit, show rod and cone sensitivities at the lower limit of normal for most of the central 50°, but there is a decline of function at greater eccentricities. **(F)** PIV-3, at ages 13 and 27, show a sequence of change from central field rod and cone sensitivities at the lower limit of normal to loss of rod and cone function extending from the fovea into the nasal field but some retained peripapillary sensitivities. **(G)** PIV-1 from ages 15–18 shows impaired rod function at the earlier age to barely measurable rod function at the later age. Cone sensitivity was measured at age 18 only and it was abnormally reduced across the horizontal meridian. Blue: 500 nm target, dark-adapted; orange: 600 nm, light-adapted (10 cd.m<sup>-2</sup> white background); N, nasal; T, temporal; S, superior; I, inferior visual field; R, C, M, rod-, cone- and mixed (rod and cone) -mediated. Shaded areas: normal range (±2SD).

**TABLE 2 |** Annotations of p.Asp67Val variant in *ARL3*.

| Feature                     | Prediction   |
|-----------------------------|--------------|
| MAF(ExAC_NFE)               | Not observed |
| CADD score                  | 32           |
| PolyPhen prediction         | Damaging     |
| SHIFT prediction            | Damaging     |
| LRT prediction              | Damaging     |
| MutationTaster prediction   | Damaging     |
| MutationAssessor prediction | Harmful      |
| FATHMM prediction           | Damaging     |
| PROVEAN prediction          | Damaging     |
| MetaSVM prediction          | Damaging     |
| MetaLR prediction           | Damaging     |

## Molecular Studies

### Exome Sequencing Identifies *ARL3* Missense Mutation Segregating With the IRD

Exome sequencing of the proband, PIV-1, identified a total of 573 variants in the 271 RetNet genes. After selecting heterozygous variants consistent with the autosomal dominant pattern observed in the family, we retained 288 variants in 118 genes associated with retinal diseases. After excluding the variants that did not meet our filtering criteria (see section “Materials and Methods”) (**Figure 1**), we identified three variants: *ARL3*:NM\_004311:exon3:c.A200T:p.D67V, *TRPM1*:NM\_001252020:exon17:c.G2200C:p.A734P, and *ALMS1*:NM\_015120:exon11: c.C9712T:p.R3238C. We deemed the Asp67Val variant in *ARL3* as most likely the causal mutation as this variant was never observed in the normal population and was predicted to be pathogenic across all prediction algorithms (SIFT, PolyPhen2-HDIV, PolyPhen2-HVAR, LRT, MutationTaster, MutationAssessor, FATHMM, MetaSVM, and MetaLR) (**Table 2**). Additionally, this variant also had high CADD score of 32. The variant in *ALMS1* was predicted to be not pathogenic across multiple predictors and had a low CADD score. Additionally, dominant mutations are not associated with the *ALMS1* and *TRPM1* genes. *ALMS1* mutations cause autosomal recessive Alström syndrome whereas *TRPM1* mutations are associated with recessive congenital stationary night blindness. Thus, we did not pursue these variants for further investigations.

### *ARL3* Missense Variant Segregates With the Disease Phenotype

We performed Sanger sequencing to confirm the p.Asp67Val variant in the proband. To further strengthen the evidence of *ARL3* being the causal gene, we obtained samples from five additional family members of which four were affected and one was unaffected. Sanger sequencing analysis showed that the rare heterozygous variant was segregating with the disease phenotype in the family and was absent from the unaffected member (**Figure 2B**).

### *ARL3* Missense Variant Is Highly Conserved

Multi-species comparisons of genetic variants are a powerful tool for accessing their functional relevance. Thus, we used multi-species alignment from the UCSC genome browser to evaluate the conservation of D67 residue in *ARL3*. The *ARL3* D67 residue is highly conserved across human, rhesus, mouse, dog, elephant, chicken, *X\_tropicalis*, and zebrafish at the amino acid and nucleotide levels (**Figure 6**). D67 is also conserved in *ARL1* and *ARL2* (data not shown). Protein coding sequences are highly functionally constrained and thus change very slowly during evolution. The conservation of Asp67 residue across species that diverged from a common ancestor around 40–80 million years ago, such as humans and mice, suggest that this variant is functionally important and mutation/alteration at this position will have an adverse effect on gene function.

### *ARL3* Missense Variant Disrupts Protein–Protein Interaction

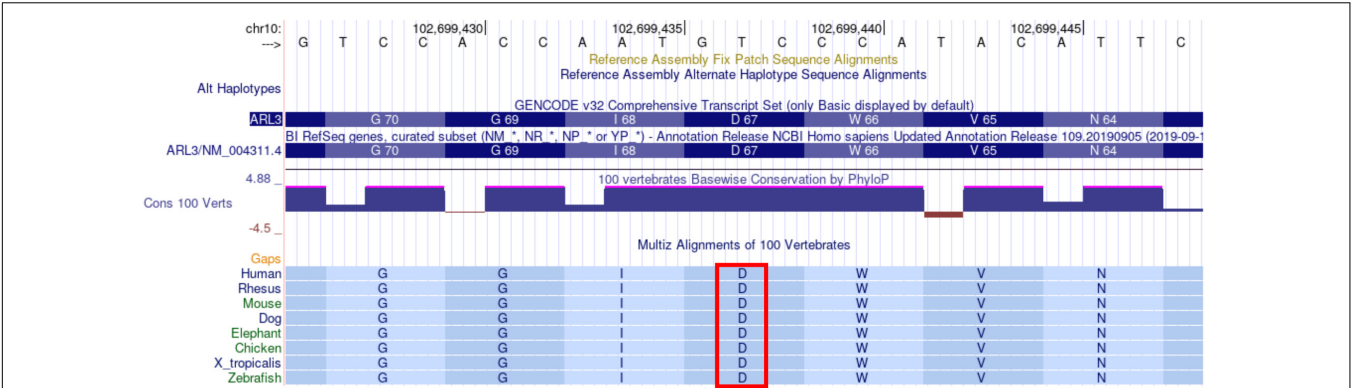
*In silico* analysis of p.Asp67Val predicted a decrease in the *ARL3* interactions with three of its interactors, RP2, *ARL13B*, and *UNC119A*. All three interactions show decreased affinity as a result of p.Asp67Val substitution (**Table 3**). We therefore predict that *ARL3* mutation will significantly impair *ARL3* binding with its known interactors.

### *ARL3* Missense Variant Is Pathogenic

We used population, computational, and segregation data as evidence in the pathogenicity analysis. Based on the guidelines for classification of pathogenic or likely pathogenic variants by Consensus Recommendation of the American College of Medical Genetics and Genomics and the Association for Molecular Pathology (Richards et al., 2015), the p.Asp67Val variant has “moderate” evidence of pathogenicity based on its absence from control subjects. Evidence of pathogenicity is “supporting” based on co-segregation with the disease in multiple affected family members in a gene known to cause the disease, multiple lines of computational evidence to support a deleterious effect on the gene or gene product (conservation and evolutionary), and patient’s phenotype or family history, which is highly specific for a disease with a single genetic etiology. These observations support the conclusion that p.Asp67Val is pathogenic and causal for the IRD phenotype present in the family.

## DISCUSSION

More than 270 genes have been identified as the cause of IRDs so far (Retinal Information Network, 2021). However, new gene identification has not plateaued for IRDs. A considerable number of yet unknown mutations and IRD genes remain to be identified. Here we report a novel mutation in *ARL3*, which is a ubiquitous small GTPase expressed in ciliated cells of plants and animals and is crucial for ciliogenesis and axoneme formation. The role of *ARL3* in retinal disease was first noticed when it was identified as an RP2 interacting protein (Bartolini et al., 2002; Grayson et al., 2002). Subsequent work showed that mice lacking *Ar13* exhibited photoreceptor degeneration and other ciliary



**FIGURE 6 |** Alignment of ARL3 amino acids surrounding the location corresponding to the D67V mutation in sequences from multiple species. The Asp (D) at residue 67 is indicated by the red rectangle and is conserved across all of the species shown, including human, rhesus, mouse, dog, elephant, chicken, X\_tropicalis, and zebrafish. The sequences were aligned with use of the UCSC genome browser.

**TABLE 3 |** Prediction of the protein stability using mCSM-PPI2.

| Protein–protein complex | PDB id | Organism                          | Predicted Affinity Change ( $\Delta \Delta G^{Affinity}$ ) kcal/mol | mCSM-PPI2 prediction |
|-------------------------|--------|-----------------------------------|---|----------------------|
| Arl3-RP2                | 3BH6   | <i>Mus musculus, Homo sapiens</i> | −0.534  | Decreasing affinity  |
| Arl3GppNhp-UNC119a      | 4GOJ   | <i>Mus musculus, Homo sapiens</i> | −0.889  | Decreasing affinity  |
| Arl3-Arl13B             | 5DI3   | <i>Chlamydomonas reinhardtii</i>  | −0.356  | Decreasing affinity  |

phenotypes affecting renal, hepatic, and pancreatic epithelial tubule structures (Schrack et al., 2006). Homozygous mutation in ARL3 was identified as a cause of autosomal recessive Joubert syndrome, a neurodevelopmental disorder that may include retinal dystrophy, in two unrelated families (Alkanderi et al., 2018). A role for ARL3 in non-syndromic autosomal recessive IRDs has also been reported (Sheikh et al., 2019; Fu et al., 2021). Two consanguineous Pakistani families with common ancestry both had affected members with a homozygous c.296 > T (p.Arg99Ile) variant (Sheikh et al., 2019). Novel compound heterozygous ARL3 variants (c.91A > G, p.Thr31Ala; c.353G > T, p.Cys118Phe) were associated with IRD in a Chinese family (Fu et al., 2021).

The role of ARL3 in dominant IRDs was first suggested based on the identification of a rare, coding heterozygous p.Tyr90Cys variant in a small family (four available members) of European Caucasian descent (Strom et al., 2016). The same variant was later reported in a Norwegian family of four (two affected and two unaffected) (Holtan et al., 2019). In both families, the variant appeared to be *de novo* in origin as the parents of the proband were homozygous for the wild-type allele. p.Tyr90Cys was predicted to be pathogenic and had low population frequency. Here, we report a different mutation in ARL3 (p.Asp67Val) segregating in an Ashkenazi Jewish family with a dominant IRD. This variant has never been observed in the normal population and is predicted to be pathogenic across all *in silico* prediction tests. The mutation, p.Asp67Val is located in the ARL3 switch 2 region, which is involved in ARL3 conformation changes upon GDP/GTP exchange (Hanke-Gogokhia et al., 2016). The region between switch 1 and switch 2 is known as the interswitch region, which is involved in the binding of PDEδ, RP2, and UNC119

paralogs (Hanke-Gogokhia et al., 2016). Our *in silico* analyses show that substitution of Asp at position 67 is predicted to disrupt the known interactions of ARL3 with RP2, ARL13B, and UNC119A. Arl13B acts as a guanine nucleotide exchange factor for activation of Arl3 within cilia, and this interaction is needed for the release of intra-ciliary cargos (Gotthardt et al., 2015). ARL3 interaction with its effector Unc119 is critical for the allosteric release of ciliary cargo from UNC119 (Ismail et al., 2012). RP2, on the other hand, functions as a GTPase activating protein specific for ARL3 (Veltel et al., 2008), and disruption of the RP2-ARL3 interaction is likely to lead to dysregulation of the trafficking of the specific kinesin to the cilia tips. It has been also shown that constitutive activation of a dominant form of ARL3 leads to disrupted trafficking of prenylated protein trafficking in rod cells leading to progressive photoreceptor degeneration (Wright et al., 2016, 2018). Thus, we speculate that this mutation is likely to disrupt the GDP/GTP exchange, which could affect the precision at which intra-ciliary cargos are released in the photoreceptor outer segment leading to a retinal degeneration phenotype. Our results present independent genetic evidence of ARL3 as a cause of dominant IRD.

Is there an ARL3 retinal phenotype? Prior to the current era of molecular diagnoses, clinicians specializing in retinal degenerations agreed that it was important to subclassify IRD patients based on details of phenotype (Marmor et al., 1983; Pagon, 1988). At disease stages when rod and cone ERGs are detectable, the disorders would be labeled by degree of rod versus cone dysfunction across the retina: rod > cone (RCD, or the more general term RP) or cone > rod (CRD) dystrophies (Marmor et al., 1983). Descriptions of phenotype, however, became less rigorous as more attention was paid to genotype.

Patient symptoms of night vision disturbances (nyctalopia) and some extramacular pigmentary retinopathy by funduscopy or fundus photography tended to qualify as RP, while reduced visual acuity and macular pigmentary change without other symptoms became a diagnosis of CRD. When evidence is presented for pathogenicity of a gene mutation, the clinical nomenclature for the disease becomes incorporated into various databases. Full circle has been reached now that decisions need to be made about which patients with what disease features are candidates for a gene-based clinical trial. The gene diagnosis is obviously the key inclusion criterion but we are not at such an advanced state of therapeutics that a molecular diagnosis is all we need to proceed to a clinical trial. A clinical diagnosis and some understanding of the disease phenotype and its natural history are helpful to determine the feasibility or value (to the patient) of a trial and design its outcomes; and to decide if an animal model used for preclinical work is a faithful mimic of the human disease.

The affected family members with dominant *ARL3* p.Asp67Val in the current study manifested equal rod and cone dysfunction by ERG (**Figure 4D**; Yagasaki and Jacobson, 1989), maculopathy in the form of bull's eye changes even at early stages, and late stage loss of macular and peripheral vision with retina-wide pigmentary retinopathy. Bull's eye maculopathy is not specific and has been associated with toxic disorders as well as IRDs but especially cone dystrophy and CRD (Krill, 1977; Michaelides et al., 2007; Thiadens et al., 2012). One family member had a negative ERG, suggesting inner retinal dysfunction (Audo et al., 2008); whether this human phenotypic feature relates to the finding of abnormal rod cell migration in an *ARL3* murine mutant requires further study (Wright et al., 2016). Rather than tabulating or trying to describe similarities and differences between the *ARL3* phenotype and the extensive list of genetically defined diseases now known to cause IRDs (e.g., see Verbakel et al., 2018; **Table 2**), we compared disease features with those previously reported as associated with *ARL3* mutations. In one dominant family with the p.Tyr90Cys *ARL3* variant (Strom et al., 2016), the mother at age 58 years had modest acuity reduction (20/60 and 20/80). Fundi were described as having "classic" midperipheral pigmentation. A 27-year-old daughter had reduced visual acuities of 20/80 and 20/60. Fundi were said to have "bone-spicules" in the periphery. A 30-year-old son had near normal acuities (20/20, 20/25) and cystoid macular edema. Both siblings were said to have peripheral vision losses. No ERG results or fundus images were shown. The disease was discussed as RP but the main focus of the report was the association of this IRD with the *ARL3* variant. The limited phenotypic data make it difficult to compare these 3 patients with those of the family we report herein.

In the second dominant p.Tyr90Cys family, an affected male member recalled nyctalopia in the first decade of life and 20/80 acuities at age 38, further reducing to 20/125, 20/200 at age 52. The ERG at age 39 was reported as not detectable. Retina-wide pigmentary retinopathy was present at age 57. Central atrophy was present by multiple imaging modalities. The affected son had modestly reduced acuities at age 16 (20/32 and 20/50); a rod ERG was not detectable and cone ERGs were reported to be reduced. Pigmentary retinopathy was shown on fundus photographs, and

there was central atrophy by OCT and autofluorescence imaging. Macular atrophy in both family members at early and later stages accompanies the retina-wide pigmentary retinopathy. This is neither "typical RP" nor clearly CRD. The disease expression with peripheral retinal degeneration and maculopathy is interestingly similar to that in *ARL3* family members of the current study.

Autosomal recessive IRDs caused by *ARL3* mutations have also been described by phenotype (Sheikh et al., 2019; Fu et al., 2021). In two Pakistani families that shared the same homozygous pathogenic *ARL3* variant (p.Arg99Ile; Sheikh et al., 2019), there was imaging evidence of maculopathy (whether atrophy or bull's eye changes) in the second to the fourth decades of life and reduced acuities. Visual fields are reported as not showing peripheral vision loss, but no details are provided. It is not clear if color vision is affected—textual reference is made to it being normal but a table indicates abnormalities in some patients. ERGs, albeit using skin electrodes leading to a limited range of amplitude (Bradshaw et al., 2004), had a rod and cone signal relationship that suggested cone > rod dysfunction, unlike the equal cone and rod ERG dysfunction in the present study. From the information provided, the disease expression due to this homozygous *ARL3* variant may be that of a CRD or a maculopathy in these myopic patients. The proband of the Chinese family with a compound *ARL3* heterozygote (p.Thr31Ala, p.Cys118Phe; age 19, male) had reduced acuities and color vision abnormalities. Visual fields are reported as a "tunnel" but only midperipheral fields are shown: a superior temporal abnormality corresponds to the pigmentary changes in the inferior nasal retina. The ERGs are not readily interpretable as shown (without normal data for reference) but are said to be reduced for rod and cone responses. OCTs have abnormalities in outer retinal layers, but there appears to be a well-preserved outer nuclear layer in the scans. The heterozygous father (p.Thr31Ala), the only other family member with a phenotype, is described as having normal visual acuity, a central scotoma, color vision abnormalities, OCT with foveal area preservation but para- and peri-central outer retinal layer abnormalities, and possibly a bull's eye maculopathy ("circular degeneration around macular fovea"; Fu et al., 2021). Both family members seem to show a widespread rod and cone degeneration with different severities.

A conclusion from our report taken together with the other published data of *ARL3* disease is that both rod and cone photoreceptors are affected across the retina and maculopathy tends to be present even at early stages. Forcing the phenotype into a simplified binary clinical classification of RCD (RP) versus CRD may only lead to another molecular disease being labeled as showing phenotypic variability. A more general description for now should open the door for more detailed observations in future patients identified with non-syndromic *ARL3* mutations.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and

accession number(s) can be found below: <https://databases.lovd.nl/shared/individuals/00375519>.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the University of Pennsylvania. Written informed consent to participate in this study was provided by the participants or the participants' legal guardian/next of kin. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

## AUTHOR CONTRIBUTIONS

SJ and AC designed and supervised the clinical aspects of the study. RR, ME, and ASw performed and interpreted the molecular results. AR, ASu, and RS analyzed and managed the data from phenotyping. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.720782/full#supplementary-material>

**Supplementary Figure 1 | (A)** Visual acuity as a function of age in five members of the ARL3 family. Longitudinal data are shown as symbols connected by lines. **(B)** Visual acuities in four of the patients replotted as a function of years after an estimated onset of decline in acuity. The dashed line corresponds to the group decline in acuity (0.029 logMAR/year or 6.9% per year;  $n = 8$  eyes, mixed-effects model).

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# Discovery of Novel Genetic Risk Loci for Acute Central Serous Chorioretinopathy and Genetic Pleiotropic Effect With Age-Related Macular Degeneration

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**Background:** Central serous chorioretinopathy (CSC) is a severe and heterogeneous chorioretinal disorder. Shared clinical manifestations between CSC and age-related macular degeneration (AMD) and the confirmation of CFH as genetic risk locus for both CSC and AMD suggest possible common pathophysiologic mechanisms between two diseases.

**Methods:** To advance the understanding of genetic susceptibility of CSC and further investigate genetic pleiotropy between CSC and AMD, we performed genetic association analysis of 38 AMD-associated single nucleotide polymorphisms (SNPs) in a Chinese CSC cohort, consisting of 464 patients and 548 matched healthy controls.

**Results:** Twelve SNPs were found to be associated with CSC at nominal significance ( $p < 0.05$ ), and four SNPs on chromosomes 1, 4, and 15 showed strong associations whose evidences surpassed Bonferroni (BF)-corrected significance [rs1410996, odds ratios (OR) = 1.47,  $p = 2.37 \times 10^{-5}$ ; rs1329428, OR = 1.40,  $p = 3.32 \times 10^{-4}$ ; rs4698775, OR = 1.45,  $p = 2.20 \times 10^{-4}$ ; and rs2043085, OR = 1.44,  $p = 1.91 \times 10^{-4}$ ]. While the genetic risk effects of rs1410996 and rs1329428 (within the well-established locus CFH) are correlated (due to high LD), rs4698775 on chromosome 4 and rs2043085 on chromosome 15 are novel risk loci for CSC. Polygenetic risk score (PRS) constructed by using three independent SNPs (rs1410996, rs4698775, and rs2043085) showed highly significant association with CSC ( $p = 2.10 \times 10^{-7}$ ), with the top 10% of subjects with high PRS showing 6.39 times higher risk than the bottom 10% of subjects with lowest PRS. Three SNPs were also found to be associated with clinic manifestations of CSC patients. In addition, by comparing the genetic effects (ORs) of

these 38 SNPs between CSC and AMD, our study revealed significant, but complex genetic pleiotropic effect between the two diseases.

**Conclusion:** By discovering two novel genetic risk loci and revealing significant genetic pleiotropic effect between CSC and AMD, the current study has provided novel insights into the role of genetic composition in the pathogenesis of CSC.

**Keywords:** central serous chorioretinopathy, gene, association, pleiotropic effect, risk loci, age-related macular degeneration

## INTRODUCTION

Central serous chorioretinopathy (CSC) is a common chorioretinal disorder characterized by serous sensorial retinal detachment (Balaratnasingam et al., 2016). It is now recognized as the fourth most incident eye disorder after age-related macular degeneration (AMD), diabetic retinopathy, and branch retinal vein occlusion among non-surgical retinopathies (Wang et al., 2008). CSC occurs most frequently in midlife, and the prevalence in men is nearly six times higher than in women (Wang et al., 2008; Liew et al., 2013). The etiology of CSC remains unclear, but several factors have been implicated in the disease development including administration of corticosteroids, hypercortisolism, stress, altered plasma cytokine levels, and genetic risk factors (Daruich et al., 2015; Karska-Basta et al., 2020, 2021a,b). CSC patients cluster in families (Oosterhuis, 1996; Weenink et al., 2001), and the prevalence of CSC varies in different ethnic populations, with higher prevalence in Asians than in Caucasians and African Americans (Desai et al., 2003; How and Koh, 2006; Kitzmann et al., 2008; Tsai et al., 2013), suggesting that genetic compositions may contribute to the risk of CSC.

Two main subtypes of CSC, acute and chronic CSC, show distinct clinical presentations in terms of the episode duration, extent of retinal abnormalities, and final vision recovery (Daruich et al., 2015). In comparison with chronic CSC, patients with acute CSC characteristically show a sudden onset of obvious visual deterioration and relatively short course within 6 months (Daruich et al., 2017). In multivariate regression analyses, age of onset, duration of disease, and hyperopia were positively associated with the risk of chronic disease rather than acute CSC (Ersoz et al., 2018). Although most of acute CSC patients have been described to be self-limiting, some patients still suffer recurrences or progress to a chronic condition, indicating interindividual variation of risk and clinical course in acute CSC. These clinical differences suggest that some aspects of underlying molecular pathogenesis might be different between acute and chronic CSCs.

Genetic association studies have identified several genetic variants that are significantly associated with CSC, including single nucleotide polymorphisms (SNPs) in the complement factor H (CFH), solute carrier family 7 member 5 (SLC7A5), age-related maculopathy susceptibility 2 (ARMS2), nuclear receptor subfamily 3 group C member 2 (NR3C2), cadherin 5 (CDH5), and TNF receptor superfamily member 10a (TNFRSF10A) gene, as well as copy number variations in the complement C4B gene (Miki et al., 2014, 2018; Schubert et al., 2014; Breukink et al., 2015;

de Jong et al., 2015; van Dijk et al., 2017; Schellevis et al., 2018; Hosoda et al., 2019). However, previous studies focused primarily on chronic CSC, and mainly in western and Japanese populations (van Dijk et al., 2017; Miki et al., 2018; Schellevis et al., 2018). The genetic association study in acute CSC may help to identify genetic factors responsible for clinical variability between acute and chronic CSC, and among acute CSC patients. In addition, the diverse prevalence of CSC across different regions and countries also suggests a possible variation of genetic susceptibility across different ethnic backgrounds (Desai et al., 2003; Tsai et al., 2013). As a result, study in Chinese populations would have the potential to identify novel genetic risk loci and elucidate the genetic heterogeneity of CSC across different populations.

Notably, CFH gene, as a well-established AMD susceptibility gene, is the only genetic association locus for CSC that has been replicated in multiple independent studies so far (Miki et al., 2014; de Jong et al., 2015; Schellevis et al., 2018; Karkhanavich et al., 2021). Interestingly, several manifestations of CSC, such as retinal pigment epithelium (RPE) disruption and neurosensory retinal detachment in macula, are also frequently observed in AMD patients. Those eyes with CSC were documented to be at higher risk of developing AMD even after spontaneous resolution of CSC (Hosoda et al., 2018). Together, these suggest that CSC and AMD may share some common genetic and pathophysiologic background. To investigate AMD-associated genetic risk variants in CSC patients by a genetic association analysis is a good strategy to elucidate genetic susceptibility for CSC and to explore genetic pleiotropic effect between CSC and AMD.

Here, we performed a genetic study to evaluate the association of 38 known AMD-associated SNPs with the risk of acute CSC in a Chinese population. We further investigated the impact of significantly associated risk variants on the clinical manifestations in CSC patients and compared the genetic effects of these loci between CSC and AMD. These findings shed light on the genetic susceptibility of CSC in Chinese populations as well as genetic pleiotropic effect between CSC and AMD.

## MATERIALS AND METHODS

### Study Participants

A total of 464 Chinese patients were recruited, all of whom had their first episode of idiopathic acute CSC. All patients were enrolled from the Eye Center, the Second Affiliated Hospital, School of Medicine, Zhejiang University, China, between January 2014 and April 2017. Each patient underwent

an extensive ophthalmologic examination including fundoscopy, spectral-domain optical coherence tomography (SD-OCT, Zeiss Cirrus OCT 5000, Germany), fundus fluorescein angiography (FFA, Spectralis HRA-II, Germany), and indocyanine green angiography (ICGA, Spectralis HRA-II, Germany). Inclusion criteria in our study were initial presentation of less than 20 days after eye symptoms onset, the presence of subretinal fluid (SRF) in OCT, focal leakage spot on FFA and corresponding hyperfluorescence on ICGA, and without any atrophic RPE changes. Consensus diagnosis of acute CSC was performed by two senior ophthalmologists (LF and SC). We excluded chronic CSC patients that were diagnosed with chronic SRF leakage, with extensive multifocal atrophic RPE changes and/or disease duration of more than 3 months. In addition, we excluded patients with any evidence of AMD, polypoidal choroidal vasculopathy, retinal vascular diseases, diabetic retinopathy, spherical error higher than 3D, intraocular surgery, and laser history. A total of 548 healthy controls were included in the study. Any participants were excluded from the controls if they were (1) with any clinical manifestations of visual impairment, visual distortion, and dyslexia; (2) with any early AMD symptoms in fundus examination, such as pigmentation disorder and atrophy in macular area; (3) with CSC manifestations detected by OCT and FFA; (4) with other ocular and systemic diseases. All the study subjects were of Chinese origin. Each participant provided written informed consent. This study was approved by the Ethics Committee of Zhejiang University School of Medicine and was conducted according to the Declaration of Helsinki.

## DNA Isolation and Genotyping

Ethylenediamine tetracetic acid (EDTA)-anticoagulated venous blood samples were collected from each participant, and then genomic DNA from peripheral blood leukocytes were extracted by standard procedures using AxyPrep-96 Blood Genomic DNA Kits (Axygen, Union City, CA, United States). We selected 47 SNPs that have been previously reported for the risk of AMD through manual literature review (**Supplementary Table 1**) and genotyped them in 464 acute CSC patients and 548 controls by SNaPshot Multiplex Kit (Applied Biosystems Co., San Francisco, CA, United States). All procedures were performed according to the manufacturer's instructions.

## Quality Control and Statistical Analysis

Three SNPs with minor allele frequency (MAF) <1%, or Hardy-Weinberg disequilibrium in the controls ( $p < 10^{-6}$ ,  $n = 6$  SNPs) were excluded from further analysis. In addition, six samples were excluded due to low genotyping call rates (i.e., call rate < 90%). We tested the single-variant association with the risk of CSC using logistic regression with gender and age as covariates in Plink v1.90 (Chang et al., 2015).  $p < 0.001$  (0.05/38) was adopted as the significance threshold after Bonferroni correction for multiple testing. We also evaluated the association between the significant risk variants and clinical manifestations relevant to FFA (FFA-traits) and OCT diagnoses (OCT-traits) in the CSC patients through logistic regression (FFA) or linear regression (OCT) in Plink

v1.90. We included three covariates, including gender, eye, and age at recruitment, in FFA-traits association analysis, while adjusting an additional covariate of OCT equipment in OCT-traits association tests. To evaluate the cumulative effect of genetic variants on CSC risk, we computed a polygenic risk score (PRS) for each participant through adding up their genotypes for the three independently significant SNPs which were weighted by their corresponding association effect sizes. We then grouped the subjects into four quartiles by their PRSs in healthy controls. The cumulative risk effects were assessed by estimating the odd ratios against the first quartile through logistic regression test.

For the gene ontology (GO) term enrichment analysis, known genes within the 10-kb regions of 12 SNPs shared between CSC and AMD were mapped using the SNP2GENE function in Functional Mapping and Annotation of Genome Wide Association Studies (FUMA) (Watanabe et al., 2017). Gene-set enrichments in GO terms were subsequently performed for the genes using the GENE2FUNC function in FUMA. In gene-set enrichments, tests for overrepresentation of the genes were performed using hypergeometric tests. All gene-set enrichment  $p$ -values were adjusted using Benjamini-Hochberg (B-H) correction for multiple tests.

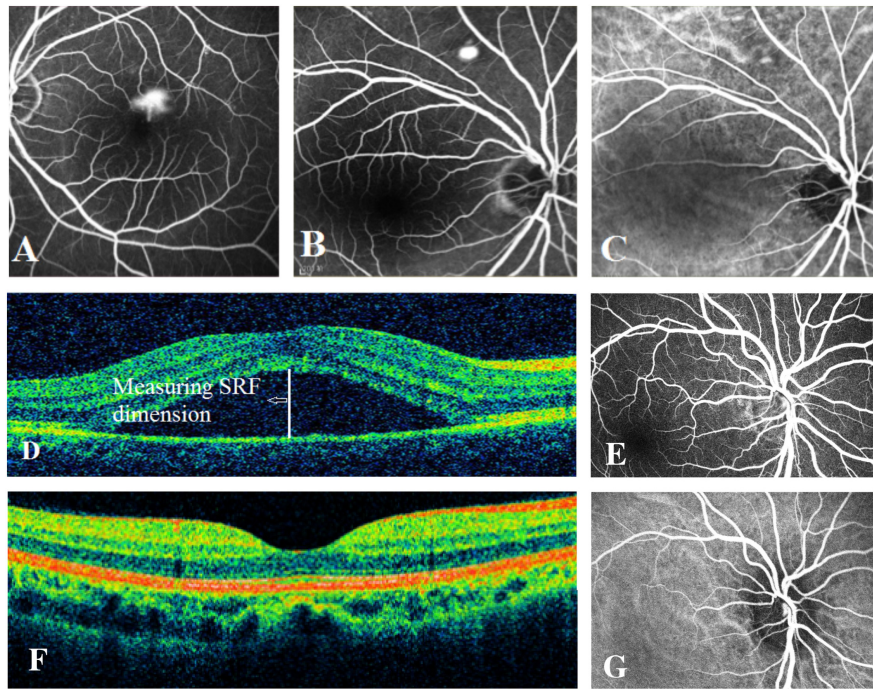
## RESULTS

### Characteristics of Study Subjects

In our study, 464 acute CSC patients and 548 controls were recruited. The cases (average age =  $44.45 \pm 7.04$  years) and controls (average age =  $46.80 \pm 14.53$  years) were matched on age, but there is a moderate difference in gender ratio between the cases (81.03% being males) and controls (62.22% being males). The clinical features of acute CSC patients are shown in **Figure 1**. The averages of horizontal and vertical dimensions of SRF were  $280.06 \pm 139.52$   $\mu\text{m}$  and  $290.90 \pm 146.98$   $\mu\text{m}$ , respectively. The averages of central subfield thickness and volume were  $451.27 \pm 146.46$   $\mu\text{m}$  and  $11.56 \pm 1.78$   $\mu\text{m}$ , respectively. FFA imaging characteristics varied among patients (**Figure 1**): 1 leakage point in 319 eyes (69.96%), 2 leakage points in 137 eyes (30.04%), inkblot-like leakage in 360 eyes (78.95%), and smokestack leakage in 96 eyes (21.05%).

### Association Analysis of AMD Loci in Acute CSC

We tested single-variant association between 38 known AMD-risk SNPs and the risk of CSC in 459 cases and 547 controls. Of the 38 SNPs tested, 12 SNPs showed association at nominal significance ( $p < 0.05$ ; **Table 1** and **Supplementary Table 1**), and 4 SNPs on chromosomes 1, 4, and 15 showed strong association with Bonferroni (BF)-corrected significance (Chr 1: rs1410996,  $OR = 1.47$ ,  $p = 2.37 \times 10^{-5}$ ; Chr 1: rs1329428,  $OR = 1.40$ ,  $p = 3.32 \times 10^{-4}$ ; Chr 4: rs4698775,  $OR = 1.45$ ,  $p = 2.20 \times 10^{-4}$ ; and Chr 15: rs2043085,  $OR = 1.44$ ,  $p = 1.91 \times 10^{-4}$ ). Rs1410996 and rs1329428 on chromosome 1 are in strong linkage disequilibrium ( $r^2 = 0.94$ ); the association at SNP rs1329428 diminished after conditioning on rs1410996 ( $p > 0.05$ ). Pairwise



**FIGURE 1 |** Examples of fundus fluorescein angiography (FFA), indocyanine green (ICG) angiography, and spectral-domain optical coherence tomography (SD-OCT) in individuals with acute CSC and controls. (A) The left eye of an individual with a typical smokestack leakage point on FFA. (B) The right eye of an individual with a typical inkblot-like leakage point on FFA. (C) The ICGA image of the same individual as in panel (B), showing choroidal vascular dilatation. (D) An obvious central subretinal fluid (SRF) between retinal neurepithelium layer and retinal pigment epithelium layer on OCT. (E) The FFA image of one of the controls showing no leakage point. (F) The OCT image of one of the controls showing no subretinal fluid. (G) The normal ICGA image of one of the controls.

**TABLE 1 |** Association results for 12 AMD-known SNPs with nominal significance in acute CSC in Han Chinese population.

| SNP        | CHR | BP          | Gene                       | A1/A2 <sup>a</sup> | EAF  |         | SE   | P-value  | OR-CSC | OR-AMD                       |
|------------|-----|-------------|----------------------------|--------------------|------|---------|------|----------|--------|------------------------------|
|            |     |             |                            |                    | Case | Control |      |          |        |                              |
| rs1410996  | 1   | 196,696,933 | CFH                        | A/G                | 0.52 | 0.41    | 0.09 | 2.37E−05 | 1.47   | 0.37 (Yu et al., 2011)       |
| rs1329428  | 1   | 196,702,810 | CFH                        | T/C                | 0.52 | 0.43    | 0.09 | 3.32E−04 | 1.40   | 0.36 (Kopplin et al., 2010)  |
| rs2043085  | 15  | 58,680,954  | LIPC                       | A/G                | 0.55 | 0.46    | 0.10 | 1.91E−04 | 1.44   | 1.15 (Fritsche et al., 2016) |
| rs4698775  | 4   | 110,590,479 | MCUB-PLA2G12A-CFI          | G/T                | 0.29 | 0.21    | 0.10 | 2.20E−04 | 1.45   | 1.14 (Fritsche et al., 2013) |
| rs429358   | 19  | 45,411,941  | APOE                       | C/T                | 0.03 | 0.06    | 0.24 | 8.39E−03 | 0.53   | 0.70 (Fritsche et al., 2016) |
| rs10507047 | 12  | 95,604,290  | FGD6                       | C/T                | 0.34 | 0.29    | 0.10 | 1.85E−02 | 1.26   | 0.87 (Cheng et al., 2015)    |
| rs1142     | 7   | 104,756,326 | KMT2E, SRPK2               | T/C                | 0.33 | 0.29    | 0.10 | 2.30E−02 | 1.26   | 1.11 (Fritsche et al., 2016) |
| rs4420638  | 19  | 45,422,946  | APOE                       | G/A                | 0.08 | 0.11    | 0.16 | 2.56E−02 | 0.70   | 0.77 (Fritsche et al., 2013) |
| rs1713985  | 4   | 57,786,450  | NOA1, REST, IGFBP7, POLR2B | G/T                | 0.23 | 0.27    | 0.11 | 2.86E−02 | 0.79   | 1.30 (Arakawa et al., 2011)  |
| rs10781182 | 9   | 76,617,720  | RORB                       | G/T                | 0.29 | 0.24    | 0.11 | 4.11E−02 | 1.26   | 0.90 (Fritsche et al., 2016) |
| rs3812111  | 6   | 116,443,735 | COL10A1                    | A/T                | 0.25 | 0.19    | 0.11 | 4.12E−02 | 1.24   | 0.91 (Fritsche et al., 2013) |
| rs2740488  | 9   | 107,661,742 | ABCA1                      | C/A                | 0.26 | 0.22    | 0.12 | 4.98E−02 | 1.26   | 0.90 (Fritsche et al., 2016) |

SNP, single nucleotide polymorphism; CHR, chromosome; BP, base pair in hg19; EAF, effective allele frequency; OR, odds ratio; SE, standard error; CSC, central serous chorioretinopathy; AMD, age-related macular degeneration; CFH, complement factor H; LIPC, hepatic lipase; MCUB, mitochondrial calcium uniporter dominant negative subunit beta; PLA2G12A, phospholipase A2 group X1A; CFI, Complement factor I; APOE, apolipoprotein E; FGD6, FYVE, RhoGEF, and PH domain containing 6; KMT2E, lysine methyltransferase 2E; SRPK2, SRSF protein kinase 2; NOA1, nitric oxide associated 1; REST, RE1 silencing transcription factor; IGFBP7, insulin-like growth factor-binding protein 7; POLR2B, RNA polymerase II subunit B; RORB, RAR-related orphan receptor B; COL10A1, collagen type X alpha 1 chain; ABCA1, ATP-binding cassette subfamily A member 1.

<sup>a</sup>A1/A2, effective allele and alternative allele.

interaction analyses among the three SNPs were also conducted using logistic regression. We only detected interaction between rs1410996 and rs4698775 ( $p = 0.038$ ), which is, however, not statistically significant after correction for multiple pair-wise interaction testing, suggesting that the genetic association effects at these SNPs are largely additive. Our results also suggested

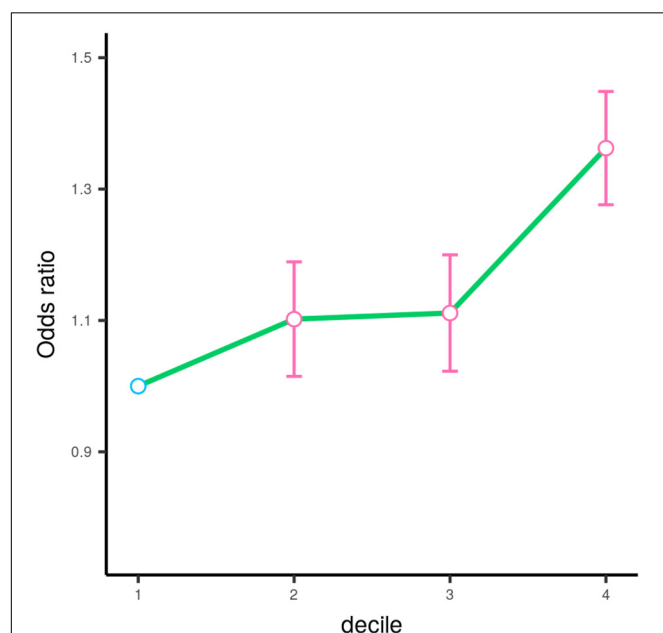
that the genetic association effects at rs1410996, rs4698775, and rs2043085 followed a recessive mode of inheritance (Table 2). Next, these three SNPs were used to calculate a PRS for each subject. The PRS showed a highly significant association with CSC ( $p = 2.10 \times 10^{-7}$ ), with the top 10% of subjects with highest PRS showing 6.39 times higher risk than the bottom 10% of subjects with lowest PRS. In comparison with the first quartile, the second, third, and fourth quartiles of PRS conferred significant cumulative risk effect ( $OR = 1.10$ ,  $p = 0.03$ ;  $OR = 1.11$ ,  $p = 0.02$ ;  $OR = 1.33$ ,  $p = 4.18 \times 10^{-11}$ ; Figure 2).

**TABLE 2 |** Association results for the three SNPs under three different models.

| CHR | SNP       | BP          | A1/A2 <sup>a</sup> | Model | P-value  | OR (95% CI)      |
|-----|-----------|-------------|--------------------|-------|----------|------------------|
| 1   | rs1410996 | 196,696,933 | A/G                | ADD   | 2.37E-05 | 1.47 (1.23–1.75) |
|     |           |             |                    | DOM   | 3.22E-02 | 1.36 (1.03–1.79) |
|     |           |             |                    | REC   | 7.98E-07 | 2.19 (1.60–3.00) |
| 4   | rs4698775 | 110,590,479 | G/T                | ADD   | 2.20E-04 | 1.45 (1.19–1.76) |
|     |           |             |                    | DOM   | 3.23E-02 | 1.33 (1.03–1.72) |
|     |           |             |                    | REC   | 2.91E-06 | 3.08 (1.92–4.93) |
| 15  | rs2043085 | 58,680,954  | A/G                | ADD   | 1.91E-04 | 1.44 (1.18–1.75) |
|     |           |             |                    | DOM   | 4.62E-02 | 1.37 (1.00–1.87) |
|     |           |             |                    | REC   | 5.90E-05 | 1.87 (1.37–2.56) |

CHR, chromosome; SNP, single nucleotide polymorphism; BP, base pair; ADD, DOM, REC, additive, dominant, and recessive mode of inheritance, respectively; P, the genetic association p-values under each mode of inheritance; OR, odds ratio; 95% CI, 95% confidence interval.

<sup>a</sup>A1/A2, effective allele and alternative allele.



**FIGURE 2 |** Odds ratios (OR) by the quartile of polygenic risk score estimated based on the top three SNPs. The circle denotes the estimated value of odds ratio. The error bar represents the 95% confidence interval for the odds ratio estimation. The circle is colored in pink ( $p < 0.05$ ) and blue ( $p > 0.05$ ) according to its corresponding respective  $p$ -value.

## Association Analysis of Confirmed CSC Loci With Clinical Phenotypes

To evaluate whether these three risk variants have any effect on the clinical manifestations of the disease, we tested the associations of the three SNPs with FFA- and OCT-traits in CSC patients, including the shape, amount and location of fluid leakage, the central subfield thickness and volume, the maximum horizontal and vertical dimensions of SRF. We found that the patients carrying the risk A allele at SNP rs2043085 or the risk G allele at SNP rs4698775 are more likely to exhibit the smokestack shape of fluid leakage than inkblot-like leakage on FFA in acute CSC patients ( $OR = 1.61$ ,  $p = 3.83 \times 10^{-3}$ ;  $OR = 1.39$ ,  $p = 2.34 \times 10^{-2}$ , respectively; Table 3). Consistently, the PRS also showed significant association with a smokestack shape of fluid leakage ( $p = 6.99 \times 10^{-4}$ ; Figure 3). In addition, the risk allele G of SNP rs1410996 was found to be associated with larger central subfield thickness ( $\beta = 0.15$ ,  $p = 2.26 \times 10^{-2}$ ), increased horizontal dimension ( $\beta = 0.14$ ,  $p = 3.78 \times 10^{-2}$ ), and increased vertical dimension of SRF ( $\beta = 0.18$ ,  $p = 7.84 \times 10^{-3}$ ; Table 4).

## Comparison of the Genetic Effects Between CSC and AMD

To further investigate genetic pleiotropic effect between CSC and AMD, we compared the estimated genetic effects (ORs) of these 38 SNPs on CSC (estimated from the current study) and the previously published ones on AMD. Of the 12 SNPs that achieved nominal associations in CSC ( $p < 0.05$ ), 7 SNPs had opposite effects between CSC and AMD, while 5 SNPs showed the consensus effects between CSC and AMD (Figure 4). Of the five SNPs with the same direction of effect, four SNPs showed stronger effect in CSC than AMD. Only rs4420638 at APOE locus showed consistent effect between CSC ( $OR = 0.70$ ) and AMD ( $OR = 0.77$ ). In addition, we also performed GO term enrichment analysis of these 12 SNPs using FUMA. No significant GO term enrichments were identified for the seven SNPs that showed opposite effects (data not shown). For the 5 SNPs with consistent effects, the analysis revealed 13 significant enriched GO terms ( $B-H \text{ adj } p < 0.05$ ). These biological pathways and processes are largely driven by the APOE and APOC1 genes and seem to be related to lipid metabolism (Figure 5).

## DISCUSSION

In the current study, the associations of 38 AMD-associated SNPs with the risk of acute CSC were investigated in a large Chinese cohort, consisting of 464 acute CSC patients and 548 healthy controls. Three genetic risk loci (rs1410996 on chromosome 1, rs4698775 on chromosome 4, and rs2043085 on chromosome 15) were identified for CSC with solid evidence that surpass the threshold of statistical significance after Bonferroni correction. Of the three loci, the CFH locus on chromosome 1 is a well-established genetic risk locus for CSC, and the other two are novel genetic risk loci for CSC. The top 10% of subjects with highest PRS value showed 6.39 times higher risk than the bottom 10% of subjects with lowest PRS, suggesting that the genetic

**TABLE 3 |** Stratified association analysis of three SNPs with shape of fluid leakage on FFA.

| CHR | SNP       | BP          | A1/A2 <sup>a</sup> | OR (95% CI)      | P-value  | Trait                  |
|-----|-----------|-------------|--------------------|------------------|----------|------------------------|
| 15  | rs2043085 | 58,680,954  | G/A                | 0.62 (0.44–0.87) | 3.83E–03 | Shape of fluid leakage |
| 4   | rs4698775 | 110,590,479 | G/T                | 1.39 (1.04–1.87) | 2.34E–02 | Shape of fluid leakage |
| 1   | rs1410996 | 196,696,933 | G/A                | 0.77 (0.57–1.03) | 7.78E–02 | Shape of fluid leakage |

FFA, fundus fluorescein angiography; CHR, chromosome; SNP, single nucleotide polymorphism; BP, base pair; OR, odds ratio; 95% CI, 95% confidence interval.

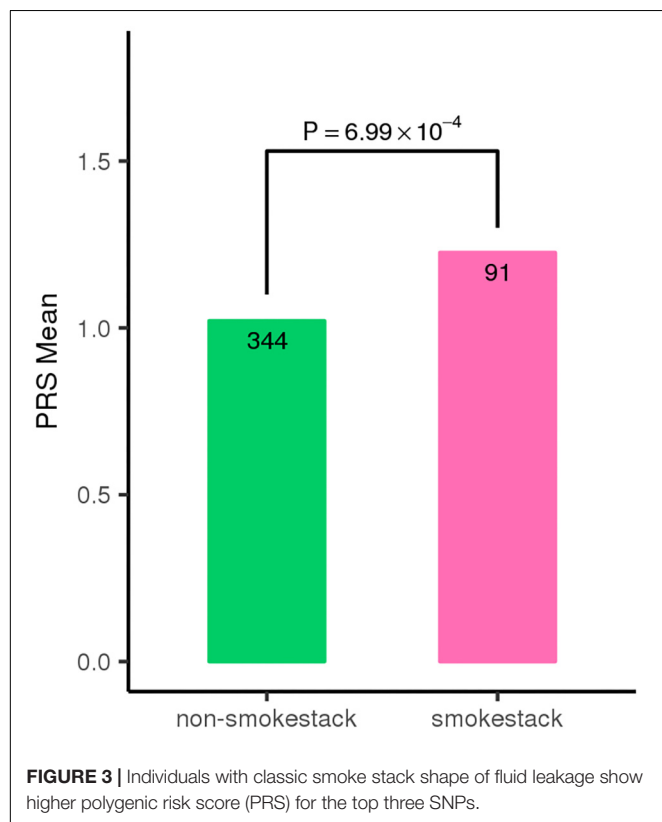
<sup>a</sup>A1/A2, effective allele and alternative allele.

risk effects of these three risk loci have the potential to identify subjects with high risk of developing acute CSC. These genetic risk variants were also found to impact on the clinic symptoms in CSC patients, further confirming their association with CSC development. To our best knowledge, this is the first genetic association study of acute CSC and the first genetic association study of CSC in Chinese population.

The most significantly association signal was observed at the intronic SNP rs1410996 within the *CFH* gene on chromosome 1. The association of *CFH* genetic variants with chronic CSC has been well-demonstrated by several independent studies (Miki et al., 2014; de Jong et al., 2015; Schellevis et al., 2018). Recently, Mohabati et al. (2019) also confirmed the association between the variants in the *CFH* gene and acute CSC development. The most significant SNP rs1329428 reported in the study of Mohabati et al. (2019) is in strong LD with rs1410996 which was detected in our current study result. Interestingly, we cannot detect any association evidence for rs1061170, although the

samples of our current study provided sufficient statistical power for detecting the reported association effect at rs1061170. In addition, SNP rs1061170 are not in LD ( $r^2 < 0.04$ ) with the two SNPs detected in our current study in our study samples. While it is assuring to see the confirmation of this well-established locus in our Chinese cohort of CSC, our study also revealed the ethnic difference of the *CFH* locus (allelic heterogeneity) between Chinese and Caucasian populations. In addition, for the first time, our study discovered the association of the *CFH* variants with the clinical characteristics of CSC, including shape of fluid leakage, the central subfield thickness, and the horizontal and vertical dimensions of SRF. The *CFH* gene encodes complement factor H, which is a key regulator of complement activation. It has been suggested that it could affect choroidal hemodynamics activities and may lead to RPE damage and dysfunction, which has been proposed to contribute to the pathogenesis of CSC (Guyer et al., 1994; Dorner et al., 2003; de Jong et al., 2015). Together with previous findings, our study has highlighted the potentials of the *CFH* gene variants in terms of acute CSC classification and prediction in future.

We have discovered two novel loci for acute CSC. The first novel locus is SNP rs4698775 that is located in an intron of mitochondrial calcium uniporter dominant negative subunit beta (*MCUB*) gene on chromosome 4. It encodes the protein MCUB, but its function and role in disease development has not been well-studied. Based on the information from GTEx database (Gamazon et al., 2018), rs4698775 showed significant expression quantitative trait loci (eQTL) effect for phospholipase A2 group XIA (*PLA2G12A*,  $p = 1.20 \times 10^{-6}$  in esophagus mucosa and  $4.10 \times 10^{-5}$  in transformed fibroblasts) and *MCUB* ( $p = 1.50 \times 10^{-6}$  in visceral adipose tissue). *PLA2G12A* encodes phospholipase A2 Group XIA enzyme that is involved in lipid metabolism. It is notable that SNP rs4698775 is proximal to the *CFI* gene. The *CFI* gene encodes complement factor I, with a catalytic serine protease domain that mediates cleavage of C3 protein. C3 is an acute phase protein and highly expressed in the choroid, which may play an important role in the pathogenesis of CSC (Imamura et al., 2009). The second novel locus is SNP rs2043085 on chromosome 15 that is next to *LIPC* gene. Rs2043085 is significantly correlated with *LIPC* expression level in the GTEx. *LIPC* encodes a hepatic triglyceride lipase that has been shown to be involved in triglyceride hydrolysis and high-density lipoprotein cholesterol (HDL) metabolism and the progression of AMD (Neale et al., 2010). Moreover, our current study also revealed a suggestive association at the *APOE* gene (rs429358,  $p = 8.39 \times 10^{-3}$ ), which encodes a lipid transport protein. These results suggest that lipid metabolism may play



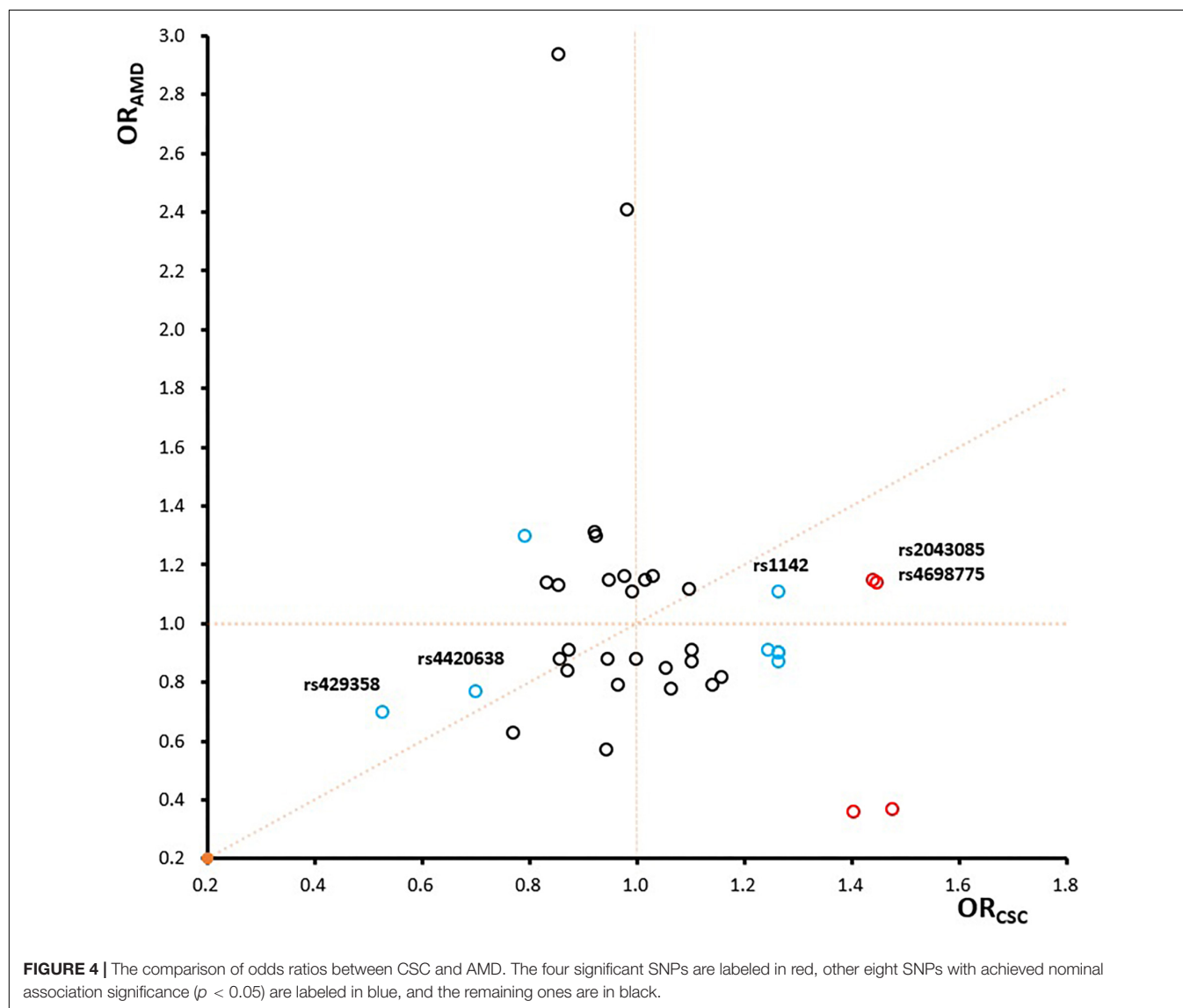
**TABLE 4 |** Association of rs1410996 with OCT manifestation.

| CHR | SNP       | BP          | A1/A2 <sup>a</sup> | $\beta^b$ | SE    | P-value  | Trait                       |
|-----|-----------|-------------|--------------------|-----------|-------|----------|-----------------------------|
| 1   | rs1410996 | 196,696,933 | G/A                | −0.149    | 0.065 | 2.26E−02 | Central subfield thickness  |
| 1   | rs1410996 | 196,696,933 | G/A                | −0.140    | 0.067 | 3.78E−02 | Horizontal dimension of SRF |
| 1   | rs1410996 | 196,696,933 | G/A                | −0.182    | 0.068 | 7.84E−03 | Vertical dimension of SRF   |

OCT, optical coherence tomography; CHR, chromosome; SNP, single nucleotide polymorphism; BP, base pair in hg19; SE, standard error; SRF, subretinal fluid.

<sup>a</sup>Effective allele and alternative allele.

<sup>b</sup>Effect size of the effective allele on the risk of the related trait.

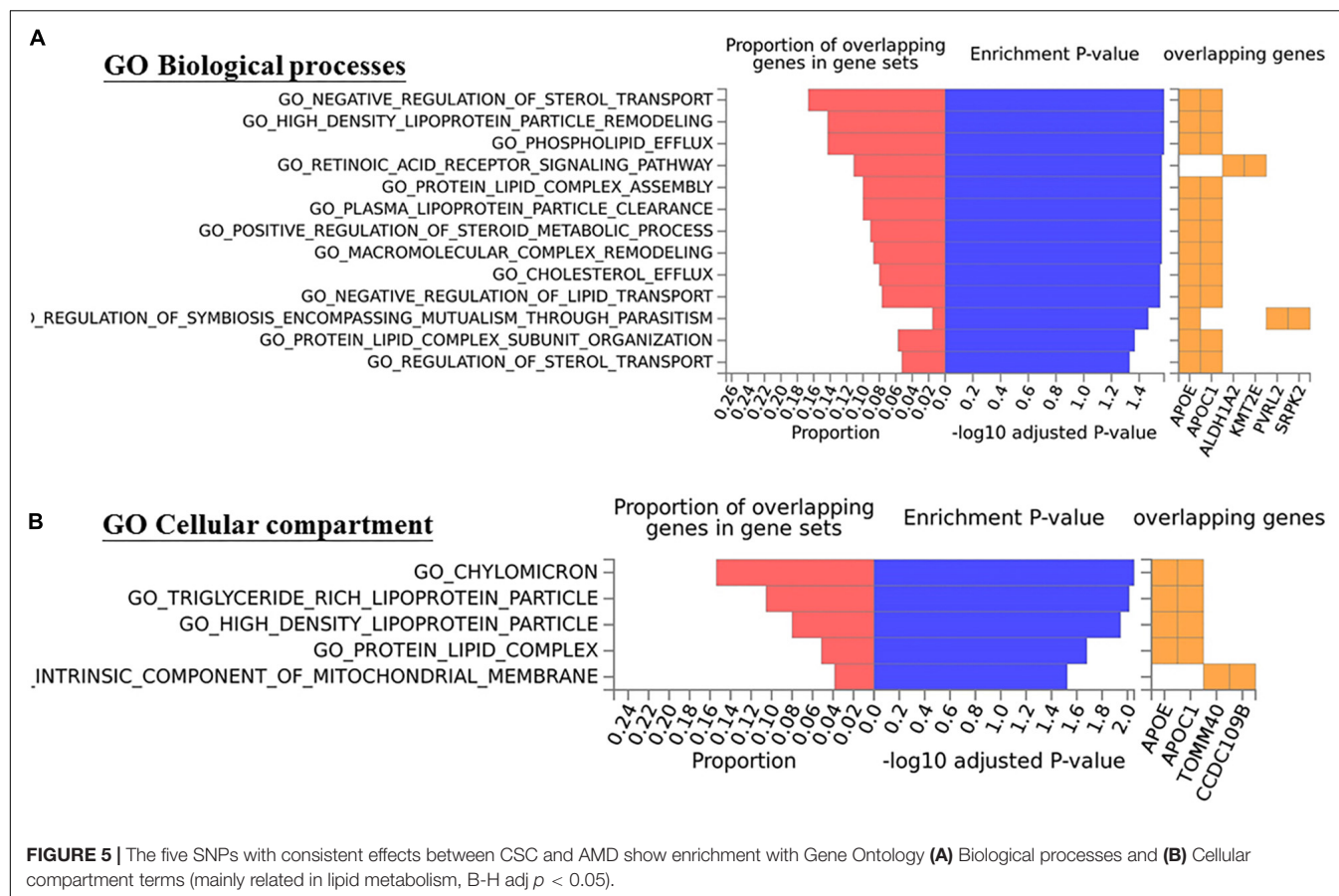


**FIGURE 4 |** The comparison of odds ratios between CSC and AMD. The four significant SNPs are labeled in red, other eight SNPs with achieved nominal association significance ( $p < 0.05$ ) are labeled in blue, and the remaining ones are in black.

an important role in the development of CSC. The discovery of these two novel loci has advanced the biological understanding of CSC development by not only highlighting the important role of complement system and innate immunity but also revealing the involvement of lipid metabolism in CSC development.

By comparing the genetic risk effects of 38 SNPs for CSC (estimated from the current study) and the previously published ones for AMD, we investigated the genetic pleiotropy between

these two diseases. Of the 38 known AMD risk-associated SNPs, 8 SNPs showed nominal associations ( $p < 0.05$ ), and 4 SNPs within 3 loci (*CFH*, *MCUB-PLA2G12A-CFI*, and *LIPC*) showed strong association with acute CSC. The overlapping genetic risk loci between the two diseases strongly suggest that these two diseases share some genetic and pathogenic mechanism. Of the 12 SNPs showing nominal associations in CSC, 7 SNPs showed opposite directions of effects, and 5 SNPs had consistent directions of



effects between CSC and AMD. For example, the A allele of rs1410996 and the T allele of rs1329428 within the *CFH* locus showed protective effects for AMD, but both are risk alleles for acute CSC. In contrast, the C allele of rs1061170 conferred risk for AMD but showed protective effect for CSC. However, the missense mutation Y402H (rs1061170) of *CFH*, which is a strong risk variant for AMD (Zarepari et al., 2005; Yu et al., 2011) did not show any association effect in our CSC cohort, although our samples provide sufficient statistical power ( $>80\%$ ) for detecting the AMD-associated genetic effect. Interestingly, while we did not see any pathway enrichment for the seven SNPs that showed opposite effects, we did observe the significant enrichment of lipid metabolism (B-H adj  $p < 0.05$ , mainly driven by *APOE* and *APOC1*) for five SNPs that showed consistent effects between CSC and AMD. Therefore, our study has not only indicated some shared pathophysiologic mechanisms between two diseases but also highlighted the diverse and complex roles of these mechanistic processes in CSC and AMD. In particular, our study suggests that lipid metabolism may play a similar pathogenic role in CSC and AMD, which need to be validated by further functional investigations.

In recent years, several genetic variants have been reported consistently for chronic CSC, mainly in European and Japanese populations (Miki et al., 2014, 2018; Schubert et al., 2014; Breukink et al., 2015; de Jong et al., 2015; van Dijk et al., 2017; Schellevis et al., 2018). Among these studies, the association

of the *CFH* gene is consistently replicated for the risk of chronic CSC. In the present study, we validated a significant association of the *CFH* gene for acute CSC, but we were not able to find several other associations that have been found for chronic CSC. For example, SNP rs10490924 within the *ARMS2* gene that has been implicated for the risk of chronic CSC had no significant effect on the risk of acute CSC in our study, which is consistent with previous report (Mohabati et al., 2019). However, because the current study focused on the analysis of AMD-associated risk variants, many previously reported genetic risk loci for chronic CSC were not evaluated. As a limitation, our current study could not investigate potential genetic heterogeneity between chronic and acute CSC. A more thorough investigation, such as an unbiased GWAS would be ideal for novel genetic discovery for acute CSC.

## CONCLUSION

In conclusion, we have identified three genetic risk loci (i.e., *CFH*, *MCUB-PLA2G12A-CFI*, and *LIPC*) for acute CSC in Chinese population, and *MCUB-PLA2G12A-CFI* and *LIPC* are novel risk loci for CSC. Besides confirming the important role of complement systems and innate immunity, our discovery of novel loci has also suggested the involvement of lipid metabolism

in CSC. By demonstrating a good number of shared genetic risk loci between two diseases with both opposite and consistent genetic effects, our study has indicated shared pathophysiological processes with complex functionalities, and in particular, the similar role of lipid metabolism, between the two diseases. Further studies are required to validate the implications of these findings in clinical practice and functional investigations.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the institutional review board of the Second Affiliated Hospital of Zhejiang University School of Medicine. The patients/participants provided their written informed consent to participate in this study.

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## AUTHOR CONTRIBUTIONS

YR and LF conceived and designed the experiments. LF, SC, and YR conducted the sample recruitment and quality control. YR, HD, and LF performed the experiments. SC, XY, RD, and JK undertook the data processing and statistical analysis. LF, YR, XY, and JL interpreted the results and wrote the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.696885/full#supplementary-material>

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