Check for updates

OPEN ACCESS

EDITED BY Camila Hamond, University of Connecticut, United States

REVIEWED BY Yasmine Hasanine Tartor, Zagazig University, Egypt Adriana Belas, Lusofona University, Portugal

*CORRESPONDENCE Nuvee Prapasarakul ⊠ nuvee.p@chula.ac.th

RECEIVED 15 July 2024 ACCEPTED 08 November 2024 PUBLISHED 25 November 2024

CITATION

Khine NO, Shah AA, Chatsuwan T, Yindee J, Supimon N, Saenkankam I, Hampson DJ and Prapasarakul N (2024) Genetic characterization and clonal analysis of carbapenemase-producing *Escherichia coli* and *Klebsiella pneumoniae* from canine and human origins. *Front. Vet. Sci.* 11:1464934. doi: 10.3389/fvets.2024.1464934

COPYRIGHT

© 2024 Khine, Shah, Chatsuwan, Yindee, Supimon, Saenkankam, Hampson and Prapasarakul. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

Genetic characterization and clonal analysis of carbapenemase-producing *Escherichia coli* and *Klebsiella pneumoniae* from canine and human origins

Nwai Oo Khine¹, Asad Ali Shah¹, Tanittha Chatsuwan², Jitrapa Yindee¹, Natthapong Supimon¹, Imporn Saenkankam¹, David John Hampson³ and Nuvee Prapasarakul^{1,4}*

¹Department of Microbiology, Faculty of Veterinary Science, Chulalongkorn University, Bangkok, Thailand, ²Department of Microbiology, Faculty of Medicine, Chulalongkorn University, Bangkok, Thailand, ³School of Veterinary Medicine, Murdoch University, Perth, WA, Australia, ⁴Center of Excellency in Diagnosis and Monitoring of Animal Pathogens (CEDMAP), Bangkok, Thailand

Introduction: Carbapenem-resistant Enterobacterales (CRE), particularly carbapenemase-producing *Escherichia coli and Klebsiella pneumoniae*, pose a significant global health challenge due to their resistance to last-resort antibiotics. This study investigates the genetic characteristics and clonal relationships of CRE isolated from canine and human clinical samples in Bangkok to understand potential interspecies transmission.

Methods: Fifty-two CRE isolates were collected from 477 clinical samples from dogs and humans at Chulalongkorn University between 2017–2021. Bacterial species were identified using MALDI-TOF, and antimicrobial resistance was confirmed through broth microdilution testing. Genetic analyses included plasmid replicon typing, multilocus sequence typing (MLST), whole genome sequencing (WGS), and pulsed-field gel electrophoresis (PFGE) to assess resistance genes and clonal relatedness.

Results: CRE isolates from both species exhibited genetic variability with high ARG counts, particularly in human isolates. MLST identified ST410 in most E. coli isolates from both dogs and humans, and IncFIA/IncFIB plasmids were predominant among blaNDM-positive isolates. PFGE patterns and SNP analysis showed no clonal relationship between canine and human isolates, suggesting independent acquisition pathways for CRE in the two hosts.

Discussion: The study highlights the absence of direct clonal transmission between canine and human isolates but reveals overlapping sequence types and plasmid types. The findings underscore the potential for interspecies transmission under certain conditions, emphasizing the importance of a One Health approach for monitoring CRE in both human and animal populations.

KEYWORDS

carbapenemase-producing Enterobacteriaceae, clonal relatedness, *Escherichia coli*, genetic characterization, *Klebsiella pneumoniae*

1 Introduction

The increase in infections caused by antimicrobial resistant bacteria has become a critical global health problem, leading to increased morbidity and mortality rates (1). Among the most concerning multidrug-resistant pathogens are those resistant to lastresort antibiotics such as colistin, carbapenems, and extendedspectrum beta-lactamase-producing (ESBL) bacteria (2).Carbapenems, which are broad-spectrum beta (β)-lactam antibiotics, are commonly used in the treatment of life-threatening Enterobacterales infections. Unfortunately, the growing prevalence of carbapenem-resistant Enterobacterales (CRE) poses a significant challenge in terms of treatment options (3). The acquisition of carbapenem-hydrolyzing enzymes, known as carbapenemases, has led to the development of carbapenem resistance in these pathogens (3). Carbapenemases belong to different enzyme families, with the most notorious being KPC, NDM, OXA-48, IMP, and VIM, and their encoding genes are often located on mobile genetic elements such as plasmids (4).

The emergence of CRE in companion animals has raised concerns about the potential role of animals in disseminating CRE to humans and the environment, whether through clonal spread or plasmid transmission (5). Strict control of CRE infections in human and animal healthcare settings is challenging due to the ability of these organisms to colonize the gastrointestinal tract and go undetected. Therefore, comprehensive monitoring and characterization of carbapenem-resistant isolates from companion animals are necessary to assess their potential for human transmission.

While CRE infections in humans are commonly reported, there have been relatively few reports of CRE from companion animals, particularly in Thailand. However, the co-selection or co-localization of resistance genes on the same plasmids suggests a potential route of transmission (6). Given the close contact and shared environment between humans and companion animals, the role of these animals in the potential transmission of CRE to humans is a matter of public health concern (7). Therefore, it is essential to explore the acquisition of carbapenem resistance in CRE isolates from companion animals such as dogs to seek genetic evidence for intraspecies transmission. The purpose of this study was to characterize carbapenemaseproducing Enterobacterales in dogs and humans, with an emphasis on identifying shared plasmid types, resistance genes, and clonal groups to help understand the potential for cross-species spread.

2 Materials and methods

2.1 Background and source of carbapenem-resistant isolates

A total of 52 carbapenem-resistant isolates were recovered from 477 clinical samples submitted for routine diagnostic workup to the Chulalongkorn University Faculty of Veterinary Science, Veterinary Diagnostic Unit between 2017–2021 (Supplementary Tables S1, S2). Samples were plated to MacConkey agar, and lactose positive isolates were identified as *Klebsiella pneumoniae* or *Escherichia coli* using IMViC biochemical tests and MALDI-TOF MS (Bruker-Daltonics, Bremen, Germany) (8). Initial screening of the antimicrobial susceptibilities of the isolates was undertaken using the Vitek 2[®]

(bioMérieux, France). Fifty-two carbapenem-resistant isolates were obtained (from 477 samples, 10.9%), with most originating from urinary tract infections (UTIs). Ten CR isolates from dogs, comprising K. pneumoniae (n = 3) and E. coli (n = 7) were chosen for further examination. Additionally, 18 human clinical isolates, comprising K. pneumoniae (n = 10) and E. coli (n = 8) obtained from patients with UTIs attending the Faculty of Medicine, Chulalongkorn University in the same period were included in this investigation. The minimum inhibitory concentrations (MIC) against relevant antibiotics for all probable human CRE isolates previously had been determined, and all showed resistance to cefepime, cefotaxime, cefoxitin, and ceftazidime. The bacterial strains used in this study were approved for use by Chulalongkorn University's Institutional Biosafety Committee under Biosafety Use Protocol number 203104 and a Certificate of Expedited Review Approval from the Institutional Review Board of the Faculty of Medicine. Chulalongkorn University also complies with the International Conference on Harmonization in Good Clinical Practice (ICH-GCP), IRB No. 0283/65.

2.2 Antimicrobial resistance phenotypes

All the bacterial isolates from humans and dogs underwent brothmicro dilution antimicrobial susceptibility testing utilizing EUVSEC2 Sensititre plates. CLSI clinical breakpoints were used for interpreting the MIC results. Cefoxitin, ertapenem, imipenem, meropenem, ceftazidime, cefepime, cefotaxime, and temocillin were all included on the plates. Carbapenem resistance was defined as a MIC of 2µg/mL for ertapenem or a MIC of $4 \mu g/mL$ for imipenem or meropenem (9). Metallo-beta-lactamase (MBL) production was confirmed using the double disc potentiation test (10). Briefly, the tested bacteria were inoculated as a lawn on Mueller-Hinton agar plates and two imipenem disks (10µg) and two meropenem disks (10µg) were placed on the plates. A 5mL volume of 0.5M EDTA solution was added to one imipenem and one meropenem disk. MBL production was indicated by an increase in the zone size $(\geq 5 \text{ mm})$ around the EDTA-containing disks due to inhibition of the carbapenemase enzyme in the presence of the metal-chelating agent EDTA.

2.3 Detection of acquired carbapenemase genes

Bacterial DNA was extracted from all 28 CRE isolates using the Thermo Scientific GeneJET Genomic DNA Purification Kit (Thermo Fisher Scientific). Multiplex-PCR for 11 acquired carbapenemase genes (bla_{IMP} , bla_{SPM} , bla_{GIM} , bla_{SIM} , bla_{KPC} , bla_{NDM} , bla_{AIM} , bla_{DIM} , bla_{BIC} , and bla_{OXA-48}) was applied following a previously published protocol (11). Positive products were subjected to Sanger sequencing for confirmation of the presence of the genes.

2.4 Plasmid replicon typing

A set of multiplex and simplex PCRs was used to analyze the Enterobacterales plasmid replicon types. The PCR settings and primers for 18 different plasmid replicon types were carried out in accordance with a previously described technique (12). In a brief, PCR amplification was carried out at 94° C for 5 min, then 30 cycles at 94° C for 1 min, 60° C for 30 s, and 72° C for 1 min, with the final extension of 1 cycle at 72° C for 5 min. An exception to this was the replicon type F-simplex PCR where annealing was at 52° C (12).

2.5 Pulsed-field gel electrophoresis

The clonal relatedness between the canine and human isolates of *E. coli* (n=15) and *K. pneumoniae* (n=13) that showed resistance to carbapenem was investigated using pulsed-field gel electrophoresis (PFGE), following the standard protocol of the Centers for Disease Control and Prevention (13). The genomic DNA was digested with restriction enzyme *XbaI* (Thermo Scientific). The Bio-Rad CHEF-DRIII system was used for gel electrophoresis, with a 200 V field at an angle of 120° and run for 17–20 h, incorporating *Salmonella* serovar *Braenderup* H9812 (n=1) DNA as a standard. Dendrograms were visualized by using the GeneTool program (Syngene, India) and analyzed with the GeneDirectory program (Syngene, India).

2.6 Multilocus sequence typing (MLST)

The non-clonally related E. coli and K. pneumoniae isolates identified using PFGE were further analyzed by MLST to define their sequence types (STs). For E. coli, genes encoding seven housekeeping functions including isocitrate/isopropyl malate dehydrogenase (icd), ATP/GTP binding motif (recA), adenylate kinase (adk), DNA gyrase (gyrB), malate dehydrogenase (mdh), adenyl succinate dehydrogenase (purA) and fumarate hydratase (fumc) were amplified using the MLST Achtman scheme (14). For K. pneumoniae, seven housekeeping genes including those encoding the beta-subunit of RNA polymerase B (rpoB), glyceraldehyde 3-phosphate dehydrogenase (gapA), malate dehydrogenase (mdh), phosphoglucose isomerase (pgi), phosphoporine E (phoE), translation initiation factor 2 (infB), and periplasmic energy transducer (tonB) were amplified (15). The MLST databases at: http:// mlst.warwick.ac.uk/mlst/dbs/Ecoli and http://pubmlst.org/kpneumoniae were used to determine alleles and STs for members of the two species.

2.7 Whole genome sequencing for resistome analysis

DNA extraction of CRE isolates (15 *E. coli* and 6 *K. pneumoniae*) was performed using the ZymoBIOMICS DNA Miniprep Kit according to the manufacturer's instructions. The extracted DNA samples were checked for quality using NanoDrop spectrophotometry as recommended (A260/280 1.8~2), and then submitted for sequencing using the Illumina NovaSeq PE150 platform. Adapter sequences and low-quality sequences were removed using Trimmomatic v.0.36.5 (16). Hybrid assembly was performed using Unicycler for accurate genome construction. Core genome SNPs were identified using the Galaxy platform's SNP site tool¹ using SPADES (Galaxy Version 3.15.4+galaxy1), and a phylogenetic SNP tree was constructed in IQTree with maximum

likelihood methods. Distance in SNPs was computed to assess clonal relationships among isolates, visualized through the iTOL platform for comparative genomic analysis. The assemblies were analyzed using the pipeline from the Centers for Genomic Epidemiology² (17), including species identification (KmerFinder 2.1), Multilocus Sequence Typing (MLST 1.6), and presence of antimicrobial resistance genes (ResFinder 2.1). Acquired antimicrobial resistance genes (ARGs), also were identified using ABRicate (Galaxy Version 1.0.1).

2.8 Data availability

The datasets generated and/or analyzed during the current study are available in the National Library of Medicine repository BioProject: PRJNA1087749.

3 Results

3.1 Phenotypic and genotypic evidence for carbapenemase resistance

All 15 *E. coli* isolates (n = 7 from dogs, n = 8 from humans) showed evidence for carbapenemase production in the double disc potentiation test. For the *K. pneumoniae* isolates (n = 3 from dogs, n = 10 from humans) only 12 out of the 13 were found to be positive for carbapenamase production in the EUVSEC2 Sensititre plates. The KPFM5 isolate, which tested negative for carbapenemase production, was obtained from a human patient. The antimicrobial susceptibility testing results for the CR *E. coli* and *K. pneumoniae* isolates are showing in Table 1. All *E. coli* isolates from dogs displayed resistance to either ertapenam, meropenam or imipenem.

All 7 canine CR *E. coli* isolates were positive for the *bla*_{NDM} gene by PCR, while one of the three canine CR *K. pneumoniae* isolates contained *bla*_{NDM} and two were positive for *bla*_{OXA} (Table 2). For the human isolates, all 8 *E. coli* isolates that were positive in phenotypic testing contained both *bla*_{NDM} and *bla*_{OXA} genes, while in the case of *K. pneumoniae*, all 10 isolates contained *bla*_{OXA} and two also were *bla*_{NDM} positive (Table 3).

3.2 Resistome analysis

WGS of the 15 CR *E. coli* isolates identified an average of 24 antibiotic-resistance genes (ARGs) in the eight human isolates, while an average of 18 were detected in the seven canine isolates. These ARGs were directed against ten antibiotic groups including beta-lactams, carbapenem, aminoglycosides, fluroquinolones, macrolides, chloramphenicol, sulfamethoxazole, tetracycline, trimethoprim and lincosamide. Notably, ARGs against Lincosamide were exclusively present in human isolates. Among the human isolates, the most prevalent ARGs were from the beta-lactamase group, whereas in the canine isolates, aminoglycoside-resistant genes were most abundant (Figure 1).

In the case of the six CR K. pneumoniae (CRK) isolates that were sequenced, an average of six ARGs directed against

¹ https://usegalaxy.eu

² http://www.genomicepidemiology.org/

No.		Bacterial	Source	MIC by using Sensititre							
		isolates		Cefoxitin	Ertapenem	Imipenem	Meropenem	Ceftazidime	Cefepime	Cefotaxime	Temocillin
				FOX	ETP	IMI	MERO	TAZ	FEP	FOT	TRM
1	Escherichia	EC00	Dog	>64	>2	4	>16	>128	>32	>64	128
2	coli	EC01	Dog	>64	>2	4	>16	>128	>32	>64	>128
3		EC02	Dog	>64	>2	4	>16	>128	>32	>64	>128
4		EC03	Dog	>64	>2	4	>16	>128	>32	>64	>128
5		EC05	Dog	>64	>2	4	>16	>128	>32	>64	>128
6		EC06	Dog	>64	>2	4	>16	>128	>32	>64	>128
7		EC09	Dog	>64	>2	4	>16	>128	>32	>64	128
8		ECFM03	Human	>64	>2	>16	>16	>128	>32	>64	>128
9		ECFM04	Human	>64	>2	8	>16	>128	>32	>64	>128
10		ECFM05	Human	R	R	R	R	R	R	R	S
11		ECFM06	Human	R	R	R	R	R	R	R	S
12		ECFM07	Human	>64	>2	8	4	>128	>32	>64	>128
13		ECFM08	Human	>64	>2	8	4	>128	>32	>64	>128
14		ECFM09	Human	R	R	R	R	R	R	R	R
15		ECFM10	Human	>64	>2	2	2	>128	>32	>64	>128
16	Klebsiella	KP01	Dog	>64	>2	>16	>16	64	>32	>64	>128
17	pneumoniae	KP02	Dog	>64	>2	2	1,S	>128	8,I	>64	>128
18		KP03	Dog	>64	>2	>16	>16	>128	>32	>64	>128
19		KPFM 1	Human	>64	>2	>16	>16	>128	>32	>64	>128
20		KPFM 2	Human	>64	>2	4	8	64	>32	>64	>128
21		KPFM 3	Human	>64	>2	>16	>16	>128	>32	>64	>128
22	_	KPFM 4	Human	8	>2	0.25	0.5	0.5	0.25	0.5	>128
23		KPFM 5	Human	2	≤0.015	≤0.12	≤0.03	1	4	8	2
24		KPFM 6	Human	>64	>2	>16	>16	64	>32	>64	>128
25		KPFM 7	Human	I	R	R	R	R	R	R	R
26		KPFM 8	Human	>64	>2	8	>16	>128	>32	>64	>128
27		KPFM 9	Human	R	R	R	R	R	R	R	R
28		KPFM 10	Human	2	>2	>2	>2	4	4	32	>128

No	Source	Bacterial isolate	Carbapenemase	gene	Sequence type	Plasmid typing	
			NDM variants	OXA	(ST)		
1		EC00	NDM-5		ST410	IncFIA, IncFIB, IncY	
2		EC01	NDM-5		ST410	IncFIA and IncFIB,	
3		EC02	NDM-5		ST410	IncFIA and IncFIB	
4	Dogs	EC03	NDM-5		ST410	IncFIA and IncFIB	
5		EC05	NDM-5		ST410	IncFIA and IncFIB	
6		EC06	NDM-5		ST410	IncFIA and IncFIB	
7		EC09	NDM-5		ST410	IncFIA, IncY, IncFIB	
8		ECFM03		OXA-48	ST410	IncFIA, IncFIB, ColRNAI	
9	-	ECFM04	NDM-5	OXA-48	ST648	IncFIA and IncFIB	
10		ECFM05	NDM-5	OXA-48	ST410	IncFIA and IncFIB	
11	Humans	ECFM06	NDM-5	OXA-48	ST410	IncFIA, IncFIB, ColRNAI	
12		ECFM07	NDM-5	OXA-48	ST410	IncFIA, IncFIB, IncI1	
13		ECFM08	NDM-5	OXA-48	ST410	IncFIA and IncFIB	
14		ECFM09	NDM-5	OXA-48	ST410	IncFIA, IncFIB, IncHI, ColRNAI	
15		ECFM10	NDM-5	OXA-48	ST410	IncFIA and IncFIB	

TABLE 2 Acquired carbapenemase genes and sequence types of canine and human E. coli isolates.

TABLE 3 Acquired carbapenemase genes and sequence types of canine and human K. pneumoniae isolates.

No.	Source	Bacterial isolate	Carbapenemase	gene	Sequence types	Plasmid Typing	
			NDM variants	OXA variants			
1		KP01		OXA-48	ST16	IncFIA and IncFIB	
2	Dogs	KP02		OXA-48	ST147	IncA/C, ColKP3, IncFIB	
3		KP03	NDM-1	-	ST15	IncFIA, IncFIB, IncHI	
4		KP FM 1	NDM-1	OXA-48	ST16	IncFIA and IncFIB	
5		KP FM 2		OXA-48	ST231	IncFIA	
6		KP FM 3	NDM-1	OXA-48	ST340	IncFIA, IncFIB, IncN	
7		KP FM 4		OXA-48	ST1269	IncC, IncN, IncFII, ColKP3	
8	Humans	KP FM 5		OXA-48	ST5	Inc I1	
9		KP FM 6		OXA-48	ST231	IncFIA	
10		KP FM 7		OXA-48	NR	IncFIA	
11		KP FM 8		OXA-48	ST231	IncFIA	
12		KP FM 9		OXA-48	NR	IncFIA	
13		KP FM 10		OXA-48	ST35	Inc I1	

NR, non-registered ST type.

beta-lactams, carbapenem, fosfomycin, trimethoprim, aminoglycosides, phenicols, quinolones, macrolides, sulphonamides, tetracycline and rifampicinin were detected in both the canine and human isolates. The β -lactams and Quinolones groups displayed the highest ARG abundances (Figure 2). A similar number of antibiotic resistance genes was found in CRK isolates from dogs and humans.

3.3 Plasmid replicon typing

PCR based plasmid-replicon typing identified IncFIA, IncFIB, IncA/C, IncY, IncN, and Inc. I1 in the *E. coli* and *K. pneumoniae* isolates. Of these, IncFIA and IncFIB were the most common types among the $bla_{\rm NDM}$ positive *E. coli* isolates (Table 2), whereas IncFIA was the most prevalent in the $bla_{\rm OXA-48}$ and $bla_{\rm NDM}$ positive *K. pneumoniae*



M-55	blaNDM-5	aph(3')-Ia		catB3	sul3	tet(B) dfrA17		
blaCMY-2	blaOXA-1			catB3	sul1	dfrA12		-
blaTEM- 1B								
blaCMY-2								-
β- lactamases	Carbapenem	Aminoglycosides	Fluroquinolones	Macrolides	Chlorenphenicol	Sulfamethoxazole	Tetracycline	Trimethopri
β- lactamases blaCMY-2	Carbapenem blaNDM-5	Aminoglycosides aadA5	Fluroquinolones aac(6')-Ib-cr	Macrolides mph (A)	Chlorenphenicol catB3	Sulfamethoxazole sul1	Tetracycline tet(B)	Trimethopri dfrA12
β- lactamases blaCMY-2 blaCTX- M-15	Carbapenem blaNDM-5 blaOXA-1	Aminoglycosides aadA5 aadA2	Fluroquinolones aac(6')-Ib-er	Macrolides mph (A)	Chlorenphenicol catB3	Sulfamethoxazole sul1 sul2	Tetracycline tet(B)	Trimethopri dfrA12 dfrA17
β- lactamases blaCMY-2 blaCTX- M-15 blaTEM- 1B	Carbapenem blaNDM-5 blaOXA-1	Aminoglycosides aadA5 aadA2 aph(3")-Ib	Fluroquinolones aac(6')-Ib-cr	Macrolides mph (A)	Chlorenphenicol catB3	Sulfamethoxazole sul1 sul2	Tetracycline tet(B)	Trimethopri dfrA12 dfrA17
β- lactamases blaCMY-2 blaCTX- M-15 blaTEM- 1B	Carbapenem blaNDM-5 blaOXA-1	Aminoglycosides aadA5 aadA2 aph(3")-Ib aph(6)-Id	Fluroquinolones aac(6)-Ib-cr	Macrolides mph (A)	Chlorenphenicol catB3	Sulfamethoxazole sul1 sul2	Tetracycline tet(B)	Trimethoprin dfrA12 dfrA17

aac(3)-IId

FIGURE 1

(A) The relative number of antibiotic resistance genes found in eight human carbapenem resistant *E. coli* subjected to WGS. The highest abundance was observed for the beta-lactamase group. (B) The number of antibiotic resistance genes found in seven canine carbapenem resistant *E. coli*. The highest abundance was observed for the aminoglycoside antibiotics group.



isolates (Table 3). A strong association was observed between the PCR based plasmid replicon typing and plasmid finder results using the WGS. Moreover, PCR analysis for Integron types revealed that a class 1 integron was found in all *E. coli* and *K. pneumoniae* isolates.

3.4 Pulsed field gel electrophoresis (PFGE)

To investigate the clonal relatedness of carbapenem resistant *E. coli* and *K. pneumoniae* isolates from dogs and humans, PFGE



FIGURE 3

Pulsed-field gel electrophoresis dendrogram showing relationships of carbapenem resistant E. coli isolates from dogs and humans.



was performed on all bla_{NDM} and bla_{OXA} positive isolates. Fourteen PFGE patterns were obtained for the 15 CR *E. coli* isolates. The canine and human isolates did not share any pulsotypes or clonal relationships (Figure 3). Similarly, no shared pulsotypes were detected among the CR *K. pneumoniae* isolates from dogs and humans. High similarity pulsotypes (>80%) were only found between human strains or between canine strains (Figure 4).

3.5 Multilocus sequence typing (MLST)

MLST revealed that the CR *E. coli* isolates from humans and dogs belonged to ST 410, except for isolate ECFM04 from a human which was assigned to ST 648 (Table 2). The three *K. pneumoniae* isolates

from dogs belonged ST16, ST147 and ST15 respectively, which were not shared with human isolates (Table 3). Two of the 10 human isolates had unregistered STs, three shared ST231, and the other five all belonged to different STs.

3.6 SNP analysis

Genome sequences were aligned with *K. pneumoniae* sequence MGH78578 and *E. coli* K12 as references for genomic comparisons and phylogenetic tree analysis. The data indicated a greater number of SNP differences, reflecting increased genetic divergence across strains (30–48,026 for *E. coli* and 54–21,067 for *K. pneumoniae*) as illustrated in Figures 5A,B and Supplementary Tables S3, S4.



4 Discussion

This study aimed to investigate the genetic characteristics and clonal relatedness of CRE isolates, with a particular focus on the potential inter-host sharing between humans and dogs. The isolates that were studied were obtained from dogs and humans with no known connections, other than their origin in Bangkok from patients with UTIs. This reduced the likelihood of any direct transmission of strains between these individuals. A significant portion of the *E. coli* and *K. pneumoniae* isolates that were examined from both dogs and humans exhibiting phenotypic resistance to carbapenems, and the detection of acquired carbapenemase genes, particularly *bla*_{NDM} and *bla*_{OXA}, confirmed the genetic basis for carbapenem resistance. The

identification of specific carbapenemase genes, including $bla_{\text{NDM-1}}$, $bla_{\text{NDM-5}}$, and bla_{OXA-48} further highlighted the diverse mechanisms contributing to carbapenem resistance in these isolates. In the past, a few isolates from human UTIs that shared some genetic characteristics with NDM-5-producing *E. coli* from dogs were reported in Finland and Egypt (18, 19). *E. coli* and *K. pneumoniae*, which produce class D oxacillinases (*OXA-48*), also have been commonly found in dogs in Germany and in humans in West and Central Africa (20–22). In addition to the isolation of NDM-1-producing *E. coli*, the presence of bla_{OXA-48} in *E. coli* and *K. pneumoniae* isolated from companion animals (cats, dogs or horses) has been noted (23). A recent study reported the presence of bla_{CYA-48} in carbapenem-resistant *E. coli* and *K. pneumoniae* from both

healthy and diseased dogs and cats (22). These findings suggest that dogs can serve as reservoirs and potential sources of carbapenemase-producing bacteria, posing a risk for transmission to humans.

Interestingly, our findings indicate a relatively higher count of ARGs in human CR E. coli isolates, especially in the beta-lactamase groups, compared to in canine isolates. Various antimicrobial agents, such as beta-lactams, tetracyclines, aminoglycosides, fluoroquinolones have been used for treating UTIs in dogs (24). The presence of CRE with multidrug-resistant characteristics has made the treatment of UTIs in dogs more challenging. Moreover, quinolones are the first choice for treatment in ESBL-producing enterobacterial infections, and a combination of carbapenems with quinolones are applied in carbapenem non-susceptible K. pneumoniae infections (25, 26). The consistent presence of certain ARGs, such as those related to β -lactams and quinolones, in both canine and human isolates of CRK was quite concerning. This occurrence not only limits available treatment options but also suggests the potential cross-species transmission or existence of shared environmental reservoirs contributing to the spread of resistance. These findings underscore the importance of comprehensive surveillance and molecular epidemiological studies to elucidate the dynamics of ARG dissemination and identify potential intervention strategies.

Plasmid replicon typing provided insights into the diversity of plasmids carrying carbapenemase genes. The detection of various replicon types, mostly IncFIA and IncFIB, suggests that these are the common replicon types associated with plasmids that carry carbapenem resistance genes (27, 28). These replicon types are commonly found in plasmids carrying carbapenemase genes and are known to facilitate their autonomously horizontal transfer between different bacterial strains and species (29, 30). The finding suggests a potential for inter-species transmission and dissemination of carbapenem resistance. This is of significant concern from a One Health perspective, as it highlights the interconnectedness between companion animal and human health. The transmission of carbapenem resistance genes from dogs to humans, or vice versa, can occur through close contact and shared environments, such as in households, veterinary clinics, or animal production settings (31). The presence of common replicon types such as IncFIA and IncFIB in both animal and human isolates suggests a possible shared reservoir of carbapenem resistance genes and underscores the relevance of a One Health approach in addressing the spread of carbapenem resistance. Understanding the genetic elements involved in the transmission of resistance genes helps inform strategies to mitigate the impact of antimicrobial resistance on both animal and human health.

The presence of class 1 integrons in all isolates is an important finding that suggests the potential for co-selection and co-localization of resistance genes, which can further enhance the genetic versatility and antibiotic resistance potential of such isolates (32, 33). These isolates may acquire and accumulate multiple resistance genes, making them more adaptable and resistant to antibiotics. The finding also raises concerns about the effectiveness of treatment options, as the presence of class 1 integrons can contribute to the dissemination of multidrugresistant strains.

PFGE analysis revealed distinct genetic profiles between animal and human CRE isolates, which is perhaps not surprising given their diverse origins. On the other hand, using MLST, all but one of the isolates were identified as belonging to ST410, a sequence type of a high-risk clone that is associated with antibiotic resistance (34). This ST has been frequently linked to CR *E. coli* infections in both humans and dogs globally, including in regions like Thailand (35–37). Furthermore, the ST410 clone exhibits enhanced pathogenic potential, leading to severe or recurrent bloodstream infections in humans, with the capability for interspecies transmission (34). In addition, the previous detection of *E. coli* and *K. pneumoniae* clones shared between owners and their pets (38) supports the potential for transmission of carbapenemase-producing bacteria, and emphasizes the need for intensified surveillance to detect the occurance of CRE under the One Health approach.

The three *K. pneumoniae* isolates from dogs belonged to ST16, ST147, and ST15, suggesting the circulation of varied specific lineages in the canine population. Similarly, the variable ST types identified among human CRK isolates indicate a diverse range of strains contributing to the human burden of carbapenem resistance (39–41). CRE are most likely spread through the horizontal transfer of plasmids carrying carbapenemase genes (42, 43). This horizontal transfer can occur within and between animal and human populations, facilitating the transmission of resistant strains (44, 45).

The findings of this study underscore the importance of comprehensive monitoring and genetic characterization of CRE isolates from companion animals such as dogs and their potential to act as a source of resistance if transferred to human isolates. It is important to note that factors such as environmental contamination or independent acquisition from common sources, also may play a significant role in the spread of carbapenem resistance (46, 47). Understanding the genetic mechanisms and potential routes of transmission of CRE between companion animals and humans is crucial for effective control and prevention strategies. The current findings contribute to the growing body of knowledge regarding the complex dynamics of carbapenem resistance in both animal and human populations, highlighting the need for a One Health approach to address the public health concerns associated with CRE dissemination. Further research is warranted to explore additional factors influencing the transmission dynamics and to develop targeted interventions to mitigate the spread of carbapenem resistance in both human and veterinary healthcare settings.

5 Conclusion

This study elucidates the genetic characteristics of CRE isolated from canine and human clinical samples. Our findings indicate that while these resistant bacteria are present in both dogs and humans, there was no direct evidence of clonal transmission between the two hosts. This finding may have been influenced by the relatively small number of isolates examined and the origin of the isolates from canine and human patients with no obvious physical connections. Nevertheless, the available data suggests the existence of independent acquisition and transmission pathways for this resistance. Given the public health implications of CR infections, a comprehensive genomic approach to investigation, such as through complete WGS, will be critical for tracing the potential of mobile genetic transmission and developing appropriate intervention strategies.

Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary material.

Ethics statement

The human bacterial strains used in this study were approved for use by Certificate of Expedited Review Approval from the Institutional Review Board of the Faculty of Medicine. Chulalongkorn University also complies with the International Conference on Harmonization in Good Clinical Practice (ICH-GCP), IRB No. 0283/65. The studies were conducted in accordance with the local legislation and institutional requirements. Written informed consent for participation was not required from the participants or the participants' legal guardians/next of kin in accordance with the national legislation and institutional requirements. Ethical approval was not required for the study involving animals in accordance with the local legislation and institutional requirements because all the isolates we used were obtained from the frozen stock of the Pathogen Bank in our institute. These were clinical isolates that were submitted for diagnostic purposes as a routine procedure. Written informed consent was obtained from the owners for the participation of their animals in this study. Written informed consent was obtained from the owners for the participation of their animals in this study. The patients provided their written informed consent to participate in this study.

Author contributions

NK: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Project administration, Writing – original draft. AS: Conceptualization, Data curation, Investigation, Resources, Validation, Writing – original draft. TC: Data curation, Methodology, Resources, Writing – original draft. JY: Data curation, Investigation, Methodology, Writing – original draft. NS: Data curation, Methodology, Validation, Writing – original draft. DH: Data curation, Formal analysis, Writing – review & editing. NP: Conceptualization, Data curation, Funding acquisition, Investigation, Methodology, Project administration, Supervision, Validation, Writing – original

References

1. Munoz-Price LS, Poirel L, Bonomo RA, Schwaber MJ, Daikos GL, Cormican M, et al. Clinical epidemiology of the global expansion of *Klebsiella pneumoniae* carbapenemases. *Lancet Infect Dis.* (2013) 13:785–96. doi: 10.1016/S1473-3099(13)70190-7

2. Roscetto E, Varriale C, Galdiero U, Esposito C, Catania MR. Extended-spectrum beta-lactamase-producing and carbapenem-resistant Enterobacterales in companion and animal-assisted interventions dogs. *Int J Environ Res Public Health*. (2021) 18:952. doi: 10.3390/ijerph182412952

3. Nordmann P, Poirel L. Epidemiology and diagnostics of carbapenem resistance in gram-negative bacteria. *Clin Infect Dis.* (2019) 69:S521–8. doi: 10.1093/cid/ciz824

4. Han R, Shi Q, Wu S, Yin D, Peng M, Dong D, et al. Dissemination of carbapenemases (KPC, NDM, OXA-48, IMP, and VIM) among carbapenem-resistant Enterobacteriaceae isolated from adult and children patients in China. *Front Cell Infect Microbiol.* (2020) 10:314. doi: 10.3389/fcimb.2020.00314

draft, Writing – review & editing. IS: Data curation, Methodology, Formal analysis, Validation, Writing – original draft.

Funding

The author(s) declare that financial support was received for the research, authorship, and/or publication of this article. This study was supported by C2F postdoctoral scholarship 2020 (AS), of Chulalongkorn University, by the 2565-Fundamental Fund, Thailand Science Research and Innovation (TSRI), Chulalongkorn University CU_FRB65_HEA (87)_192_31_11, Program Management Unit, and National Research Council of Thailand.

Acknowledgments

We are gratefully to the Pathogen Bank, Faculty of Veterinary Science, Chulalongkorn University, Bangkok, Thailand for supporting work undertaken in this area.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

Supplementary material

The Supplementary material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fvets.2024.1464934/ full#supplementary-material

5. Pulss S, Stolle I, Stamm I, Leidner U, Heydel C, Semmler T, et al. Multispecies and clonal dissemination of OXA-48 carbapenemase in Enterobacteriaceae from companion animals in Germany, 2009-2016. *Front Microbiol.* (2018) 9:1265. doi: 10.3389/fmicb.2018.01265

6. Hayer SS, Casanova-Higes A, Paladino E, Elnekave E, Nault A, Johnson T, et al. Global distribution of extended spectrum cephalosporin and carbapenem resistance and associated resistance markers in *Escherichia coli* of swine origin – a systematic review and meta-analysis. *Front Microbiol.* (2022) 13:853810. doi: 10.3389/fmicb.2022.853810

7. Abraham S, Wong HS, Turnidge J, Johnson JR, Trott DJ. Carbapenemase-producing bacteria in companion animals: a public health concern on the horizon. *J Antimicrob Chemother*. (2014) 69:1155–7. doi: 10.1093/jac/dkt518

8. Singhal N, Kumar M, Kanaujia PK, Virdi JS. MALDI-TOF mass spectrometry: an emerging technology for microbial identification and diagnosis. *Front Microbiol.* (2015) 6:791. doi: 10.3389/fmicb.2015.00791

9. CLSI. Performance standards for antimicrobial susceptibility testing. Wayne, PA: Clinical and Laboratory Standards Institute (2020).

10. Batra P, Bajpai V, Govindaswamy A, Khurana S, Ayyanar M, Mathur P, et al. Comparison of genotypic and phenotypic methods of metallo-beta- lactamase detection in *Acinetobacter* spp. *J Glob Infect Dis.* (2020) 12:141–3. doi: 10.4103/jgid.jgid_38_19

11. Poirel L, Walsh TR, Cuvillier V, Nordmann P. Multiplex PCR for detection of acquired carbapenemase genes. *Diagn Microbiol Infect Dis.* (2011) 70:119–23. doi: 10.1016/j.diagmicrobio.2010.12.002

12. Carattoli A, Bertini A, Villa L, Falbo V, Hopkins KL, Threlfall EJ. Identification of plasmids by PCR-based replicon typing. *J Microbiol Methods*. (2005) 63:219–28. doi: 10.1016/j.mimet.2005.03.018

13. CDC. Centers for Disease Control and Prevention, standard operating procedure for PulseNet PFGE of *Escherichia coli* O157:H7, *Escherichia coli non*-O157 (STEC), *Salmonella serotypes, Shigella sonnei* and *Shigella flexneri*. Atlanta, GA: Centers for Disease Control and Prevention (2013).

14. Adiri RS, Gophna U, Ron EZ. Multilocus sequence typing (MLST) of *Escherichia coli* O78 strains. *FEMS Microbiol Lett.* (2003) 222:199–203. doi: 10.1016/S0378-1097(03)00295-7

15. Diancourt L, Passet V, Verhoef J, Grimont PA, Brisse S. Multilocus sequence typing of *Klebsiella pneumoniae* nosocomial isolates. *J Clin Microbiol.* (2005) 43:4178–82. doi: 10.1128/JCM.43.8.4178-4182.2005

16. Bolger AM, Lohse M, Usadel B. Trimmomatic: a flexible trimmer for Illumina sequence data. *Bioinformatics*. (2014) 30:2114–20. doi: 10.1093/bioinformatics/btu170

17. Thomsen MC, Ahrenfeldt J, Cisneros JL, Jurtz V, Larsen MV, Hasman H, et al. A bacterial analysis platform: an integrated system for analysing bacterial whole genome sequencing data for clinical diagnostics and surveillance. *PLoS One.* (2016) 11:e0157718. doi: 10.1371/journal.pone.0157718

18. Ramadan H, Gupta SK, Sharma P, Ahmed M, Hiott LM, Barrett JB, et al. Circulation of emerging NDM-5-producing *Escherichia coli* among humans and dogs in Egypt. *Zoonoses Public Health*. (2020) 67:324–9. doi: 10.1111/zph.12676

19. Gronthal T, Osterblad M, Eklund M, Jalava J, Nykasenoja S, Pekkanen K, et al. Sharing more than friendship – transmission of NDM-5 ST167 and CTX-M-9 ST69 *Escherichia coli* between dogs and humans in a family, Finland, 2015. *Euro Surveill.* (2018) 23:1700497. doi: 10.2807/1560-7917.ES.2018.23.27.1700497

20. Stolle I, Prenger-Berninghoff E, Stamm I, Scheufen S, Hassdenteufel E, Guenther S, et al. Emergence of OXA-48 carbapenemase-producing *Escherichia coli* and *Klebsiella pneumoniae* in dogs. *J Antimicrob Chemother*. (2013) 68:2802–8. doi: 10.1093/jac/dkt259

21. Ouchar Mahamat O, Kempf M, Lounnas M, Tidjani A, Hide M, Benavides JA, et al. Epidemiology and prevalence of extended-spectrum beta-lactamase- and carbapenemase-producing Enterobacteriaceae in humans, animals and the environment in west and Central Africa. *Int J Antimicrob Agents*. (2021) 57:106203. doi: 10.1016/j. ijantimicag.2020.106203

22. Tartor YH, Ammar AM, Abdelkhalek A, Hassan KA, Shaker A, Elnahriry SS, et al. Emergence of pandrug-resistant carbapenemase-producing Enterobacterales in dogs and cats: a cross-sectional study in Egypt. *Front Cell Infect Microbiol.* (2024) 14:1318585. doi: 10.3389/fcimb.2024.1318585

23. Guerra B, Fischer J, Helmuth R. An emerging public health problem: acquired carbapenemase-producing microorganisms are present in food-producing animals, their environment, companion animals and wild birds. *Vet Microbiol.* (2014) 171:290–7. doi: 10.1016/j.vetmic.2014.02.001

24. Chang SK, Lo DY, Wei HW, Kuo HC. Antimicrobial resistance of *Escherichia coli* isolates from canine urinary tract infections. *J Vet Med Sci.* (2015) 77:59–65. doi: 10.1292/jyms.13-0281

25. Harris PN, Wei JY, Shen AW, Abdile AA, Paynter S, Huxley RR, et al. Carbapenems versus alternative antibiotics for the treatment of bloodstream infections caused by *Enterobacter, Citrobacter* or *Serratia* species: a systematic review with meta-analysis. *J Antimicrob Chemother*. (2016) 71:296–306. doi: 10.1093/jac/dkv346

26. Muggeo A, Guillard T, Klein F, Reffuveille F, Francois C, Babosan A, et al. Spread of *Klebsiella pneumoniae* ST395 non-susceptible to carbapenems and resistant to fluoroquinolones in north-eastern France. *J Glob Antimicrob Resist.* (2018) 13:98–103. doi: 10.1016/j.jgar.2017.10.023

27. Mohapatra S, Ghosh D, Vivekanandan P, Chunchanur S, Venugopal S, Tak V, et al. Genome profiling of uropathogenic *E. coli* from strictly defined community-acquired UTI in paediatric patients: a multicentric study. Antimicrob resist. *Infect Control.* (2023) 12:1233. doi: 10.1186/s13756-023-01233-z

28. Wang D, Berglund B, Li Q, Shangguan X, Li J, Liu F, et al. Transmission of clones of carbapenem-resistant *Escherichia coli* between a hospital and an urban wastewater treatment plant. *Environ Pollut*. (2023) 336:122455. doi: 10.1016/j.envpol.2023.122455

29. Zhang N, Liu X, Qi L, Chen J, Qin S, Jin M, et al. A clinical KPC-producing *Klebsiella michiganensis* strain carrying IncFII/IncFIA (HI1)/IncFIB (K) multiple replicon plasmid. *Front Microbiol.* (2022) 13:1086296. doi: 10.3389/fmicb.2022.1086296

30. Grevskott DH, Salva-Serra F, Moore ERB, Marathe NP. Complete sequence of a new conjugative multidrug-resistance encoding IncFII/IncFIA/IncFIB plasmid carrying NDM-6 metallo-beta-lactamase from pathogenic *Escherichia coli* sequence type 167 isolated from sewage in Norway. *J Glob Antimicrob Resist.* (2023) 33:291–3. doi: 10.1016/j.jgar.2023.04.005

31. Belas A, Menezes J, Gama LT, Pomba C. Sharing of clinically important antimicrobial resistance genes by companion animals and their human household members. *Microb Drug Resist.* (2020) 26:1174–85. doi: 10.1089/mdr.2019.0380

32. Di Cesare A, Eckert EM, D'Urso S, Bertoni R, Gillan DC, Wattiez R, et al. Cooccurrence of integrase 1, antibiotic and heavy metal resistance genes in municipal wastewater treatment plants. *Water Res.* (2016) 94:208–14. doi: 10.1016/j. watres.2016.02.049

33. Mazhar SH, Li X, Rashid A, Su J, Xu J, Brejnrod AD, et al. Co-selection of antibiotic resistance genes, and mobile genetic elements in the presence of heavy metals in poultry farm environments. *Sci Total Environ.* (2021) 755:142702. doi: 10.1016/j. scitotenv.2020.142702

34. Roer L, Overballe-Petersen S, Hansen F, Schonning K, Wang M, Roder BL, et al. *Escherichia coli* sequence type 410 is causing new international high-risk clones. *mSphere*. (2018) 3:337. doi: 10.1128/mSphere.00337-18

35. Falgenhauer I., Imirzalioglu C, Ghosh H, Gwozdzinski K, Schmiedel J, Gentil K, et al. Circulation of clonal populations of fluoroquinolone-resistant CTX-M-15-producing *Escherichia coli* ST410 in humans and animals in Germany. *Int J Antimicrob Agents*. (2016) 47:457–65. doi: 10.1016/j.ijantimicag.2016.03.019

36. Bubpamala J, Khuntayaporn P, Thirapanmethee K, Montakantikul P, Santanirand P, Chomnawang MT. Phenotypic and genotypic characterizations of extended-spectrum beta-lactamase-producing *Escherichia coli* in Thailand. *Infect Drug Resist.* (2018) 11:2151–7. doi: 10.2147/IDR.S174506

37. Nittayasut N, Yindee J, Boonkham P, Yata T, Suanpairintr N, Chanchaithong P. Multiple and high-risk clones of extended-spectrum cephalosporin resistant and *Bla(NDM-5)*-harbouring uropathogenic *Escherichia coli* from cats and dogs in Thailand. *Antibiotics*. (2021) 10:1374. doi: 10.3390/antibiotics10111374

38. Chen CM, Tang HL, Chiou CS, Tung KC, Lu MC, Lai YC. Colonization dynamics of *Klebsiella pneumoniae* in the pet animals and human owners in a single household. *Vet Microbiol.* (2021) 256:109050. doi: 10.1016/j.vetmic.2021.109050

39. Pepin-Puget L, El Garch F, Bertrand X, Valot B, Hocquet D. Genome analysis of enterobacteriaceae with non-wild type susceptibility to third-generation cephalosporins recovered from diseased dogs and cats in Europe. *Vet Microbiol.* (2020) 242:108601. doi: 10.1016/j.vetmic.2020.108601

40. Zhang Z, Zhang L, Dai H, Zhang H, Song Y, An Q, et al. Multidrug-resistant *Klebsiella pneumoniae* complex from clinical dogs and cats in China: molecular characteristics, phylogroups, and hypervirulence-associated determinants. *Front Vet Sci.* (2022) 9:816415. doi: 10.3389/fvets.2022.816415

41. Dereeper A, Gruel G, Pot M, Couvin D, Barbier E, Bastian S, et al. Limited transmission of *Klebsiella pneumoniae* among humans, animals, and the environment in a Caribbean Island, Guadeloupe (French West Indies). *Microbiol Spectr.* (2022) 10:e0124222. doi: 10.1128/spectrum.01242-22

42. Dong N, Yang X, Chan EW, Zhang R, Chen S. Klebsiella species: taxonomy, hypervirulence and multidrug resistance. *EBioMedicine*. (2022) 79:103998. doi: 10.1016/j.ebiom.2022.103998

43. Tang B, Chang J, Cao L, Luo Q, Xu H, Lyu W, et al. Characterization of an NDM-5 carbapenemase-producing *Escherichia coli* ST156 isolate from a poultry farm in Zhejiang, China. *BMC Microbiol.* (2019) 19:82. doi: 10.1186/s12866-019-1454-2

44. Hong JS, Song W, Park HM, Oh JY, Chae JC, Han JI, et al. First detection of New Delhi Metallo-beta-Lactamase-5-producing *Escherichia coli* from companion animals in Korea. *Microb Drug Resist.* (2019) 25:344–9. doi: 10.1089/mdr.2018.0237

45. Liakopoulos A, Betts J, La Ragione R, van Essen-Zandbergen A, Ceccarelli D, Petinaki E, et al. Occurrence and characterization of extended-spectrum cephalosporinresistant Enterobacteriaceae in healthy household dogs in Greece. *J Med Microbiol.* (2018) 67:931–5. doi: 10.1099/jmm.0.000768

46. Zhai R, Fu B, Shi X, Sun C, Liu Z, Wang S, et al. Contaminated in-house environment contributes to the persistence and transmission of NDM-producing bacteria in a Chinese poultry farm. *Environ Int.* (2020) 139:105715. doi: 10.1016/j. envint.2020.105715

47. Gu C, Li X, Zou H, Zhao L, Meng C, Yang C, et al. Clonal and plasmid-mediated dissemination of environmental carbapenem-resistant Enterobacteriaceae in large animal breeding areas in northern China. *Environ Pollut.* (2022) 297:118800. doi: 10.1016/j.envpol.2022.118800