



Administration of All-Trans Retinoic Acid to Pregnant Sows Improves the Developmental Defects of $Hoxa1^{-/-}$ Fetal Pigs

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$Hoxa1$ mutation adversely affect fetal pig development, but whether all-trans retinoic acid (ATRA) administration to $Hoxa1^{+/-}$ pregnant sows can improve $Hoxa1^{-/-}$ fetal pig development defects has not been reported. A total of 24 healthy $Hoxa1^{+/-}$ sows were mated with a healthy $Hoxa1^{+/-}$ boar and randomly assigned to one control group and nine experiment groups. ATRA was orally administered to pregnant sows at the doses of 0, 4, 5, or 6 mg/kg maternal body weight on 12, 13, and 14 days post coitum (dpc), respectively, and a total of 146 live piglets were delivered including 37 $Hoxa1^{-/-}$ piglets and 109 non- $Hoxa1^{-/-}$ piglets. Results indicated that $Hoxa1^{-/-}$ piglets delivered by sows in control group had bilateral microtia, canal atresia and ear's internal defects, and had lower birth liveweight and external ear score than non- $Hoxa1^{-/-}$ neonatal piglets ($P < 0.05$). Maternal administration with ATRA can effectively correct the development defects of $Hoxa1^{-/-}$ fetal pigs, $Hoxa1^{-/-}$ neonatal piglets delivered by sows administered ATRA at a dose of 4 mg/kg body weight on 14 dpc had higher birth liveweight ($P > 0.05$) and higher scores of external ear ($P < 0.05$) compared to $Hoxa1^{-/-}$ neonatal piglets from the control group, but had no significantly difference in terms of birth liveweight and external ear integrity than non- $Hoxa1^{-/-}$ piglets from the control group ($P > 0.05$). The time of ATRA administration significantly affected $Hoxa1^{-/-}$ fetal development ($P < 0.05$). Administration of ATRA to $Hoxa1^{+/-}$ pregnant sows at 4 mg/kg body weight on 14 dpc can effectively improve the birth liveweight and ear defects of $Hoxa1^{-/-}$ piglets.

Keywords: all-trans retinoic acid, intrauterine growth retardation, ear defects, $Hoxa1$ mutation, fetal pig

INTRODUCTION

Gene mutations, nutrition imbalance or adverse maternal environments may lead to abnormal development or death of fetuses (1–3). For instance, hox cluster genes regulate the migration and differentiation of cranial neural crest cells (CNCC) and embryonic patterning, thereby vitally impacting fetal organogenesis during embryonic development (4, 5). Mutations of hox family genes may cause abnormal CNCC migration and in turn result in abnormal development of fetus (6–8). It has been shown that targeted $Hoxa1$ inactivation leads to severe reduction of rhombomere 4 (r4) and r5 and death shortly after birth (9). Gavalas et al. (10) found that the deletion of $Hoxa1$ and

Hoxb1 in mice significantly reduces the number of CNCC in the second branchial arch, eventually leading to malformation of the organs derived from the second branchial arch (10). In addition, Hoxa1 mutations can lead to auricle loss and external auditory canal damage (10, 11) and to cardio-cerebrovascular abnormalities (12, 13). Alasti et al. (14) found in Iranian humans families that a Q186K variant in hoxa2 leads to a phenotype of external ear malformation (14). Qiao et al. (15) firstly reported that the Hoxa1 mutation of g.50111251 G>TC results in abnormal auricle and external auditory canal, dyspnea, and even death in newborn piglets (15).

The initial transcription of hox family cluster genes requires the involvement of retinoic acid (RA) (16). RA is a physiologic active substance produced from the catalysis of vitamin A by retinol dehydrogenase and retinaldehyde dehydrogenase. RA can bind to the response elements of hox family genes and regulate the transcription and expression of hox family genes, including Hoxa1 (17–19). The concentration of RA required by different hox family genes for initial transcription varies (20–22). All-trans RA (ATRA) is one of the geometric isomers of RA (23) and is involved in cellular differentiation, morphogenesis and fetal growth (24). Molotkova et al. (25) found that RA regulates the differentiation and development of the posterior neuroectoderm of mouse embryos at gestational age 7.5–9.5 days (25). Improper RA supplementation leads to abnormal migration of CNCC and causes various degrees of external ear malformation (26–29), high dose RA maternal administration can produce malformations during organogenesis (26, 27), cleft palate can be developed when administrating retinoid acid to pregnant mice at gestational day 11 or day 17 with level of larger than 10 mg/kg body weight (30) and fetal inner ear dysmorphogenesis was also observed when gravid mice were administered RA with two consecutive doses of 25 mg/kg body weight (31). In contrast, proper nutrition supplementation before birth can correct outer ear malformation caused by congenital defects (32). Furthermore, Pasqualetti et al. (28) reported that low-dose exogenous RA (5 mg/kg BW) can repair inner ear defects caused by mutations in Hoxa1 of mice at 7.5–9.5 days of gestation, suggesting that RA can compensate for the functional loss caused by the Hoxa1 defect and this restorative effect is only effective at 8–8.75 days post coitum (28).

In the previous study we found that the Hoxa1 mutation of g.50111251 G>TC resulted in low birth liveweight, ear malformations, hearing impairment and dyspnea of Hoxa1^{-/-} neonatal piglets (15) and all Hoxa1^{-/-} new born piglets will die during suckling period, but no information has been reported regarding by using maternal ATRA administration to repair those defects in Hoxa1^{-/-} fetal pigs. The current study involved orally administering ATRA to Hoxa1^{+/-} pregnant sows to investigate the effects of ATRA on birth weight, ear development

Abbreviations: ATRA, all-trans retinoic acid; dpc, days post coitum; CNCC, cranial neural crest cells; RA, retinoic acid; DMSO, dimethyl sulfoxide; DNA, deoxyribonucleic acid; UV, ultraviolet; dNTP, deoxy-ribonucleoside triphosphate; PCR, polymerase chain reaction; RFLP, restriction fragment length polymorphism; CT, computer tomography; MDCT, multidetector computer tomography; ANOVA, analysis of variance; EAM, external auditory meatus; MP, mastoid process; AO, auditory ossicles; SCC, semicircular canal; TC, tympanic cavity; IUGR, intrauterine growth retardation; AP, anteroposterior.

TABLE 1 | Experimental design for the oral administration of all trans retinoic acid (ATRA) to Hoxa1^{+/-} pregnant sows.

Treatment groups	Days post coitum for ATRA administration to sows	Dose of ATRA (mg/kg maternal body weight)	Numbers of sows
Control group (G0)	0	0	6
Experimental group 1 (G1)	12	4	2
Experimental group 2 (G2)	12	5	2
Experimental group 3 (G3)	12	6	2
Experimental group 4 (G4)	13	4	2
Experimental group 5 (G5)	13	5	2
Experimental group 6 (G6)	13	6	2
Experimental group 7 (G7)	14	4	2
Experimental group 8 (G8)	14	5	2
Experimental group 9 (G9)	14	6	2

of Hoxa1^{-/-} neonatal piglets with an aim to determine the optimal dosage and time of ATRA administration for rescuing developmental defects of Hoxa1^{-/-} fetus.

MATERIALS AND METHODS

Experimental Design

Twenty-four Hoxa1^{+/-} sows which derived from a Chinese Erhualian founder boar and Shaziling founder sow were selected and mated to a healthy Hoxa1^{+/-} boar, and then sows were randomly assigned to one control group (Group 0) and nine experimental groups (Group 1–9). ATRA was orally administered to sows as shown in Table 1. This experiment was complied with the policies of National Institutes of Health Guide for Care and Use of Laboratory Animals.

Oral Administration of ATRA to Pregnant Sows

The amount of ATRA administered to each pregnant sow was calculated according to its body weight, and ATRA was dissolved in dimethyl sulfoxide (DMSO) at a ratio of 1:62.5 (w/w). The ATRA:DMSO mixture was diluted with 50 ml soybean oil and mixed with 0.5 kg basal diet and fed to sows on the scheduled date.

Body Weight, Recording of External Ear Phenotype, and External Ear Scoring

All pregnant sows finished the farrowing within 20 days, newborn piglets were individually cleaned with dry towel and weighed immediately after birth. The external ear phenotype on the left and right side of each piglet was recorded and scored according to Figure 1.

Sample Collection

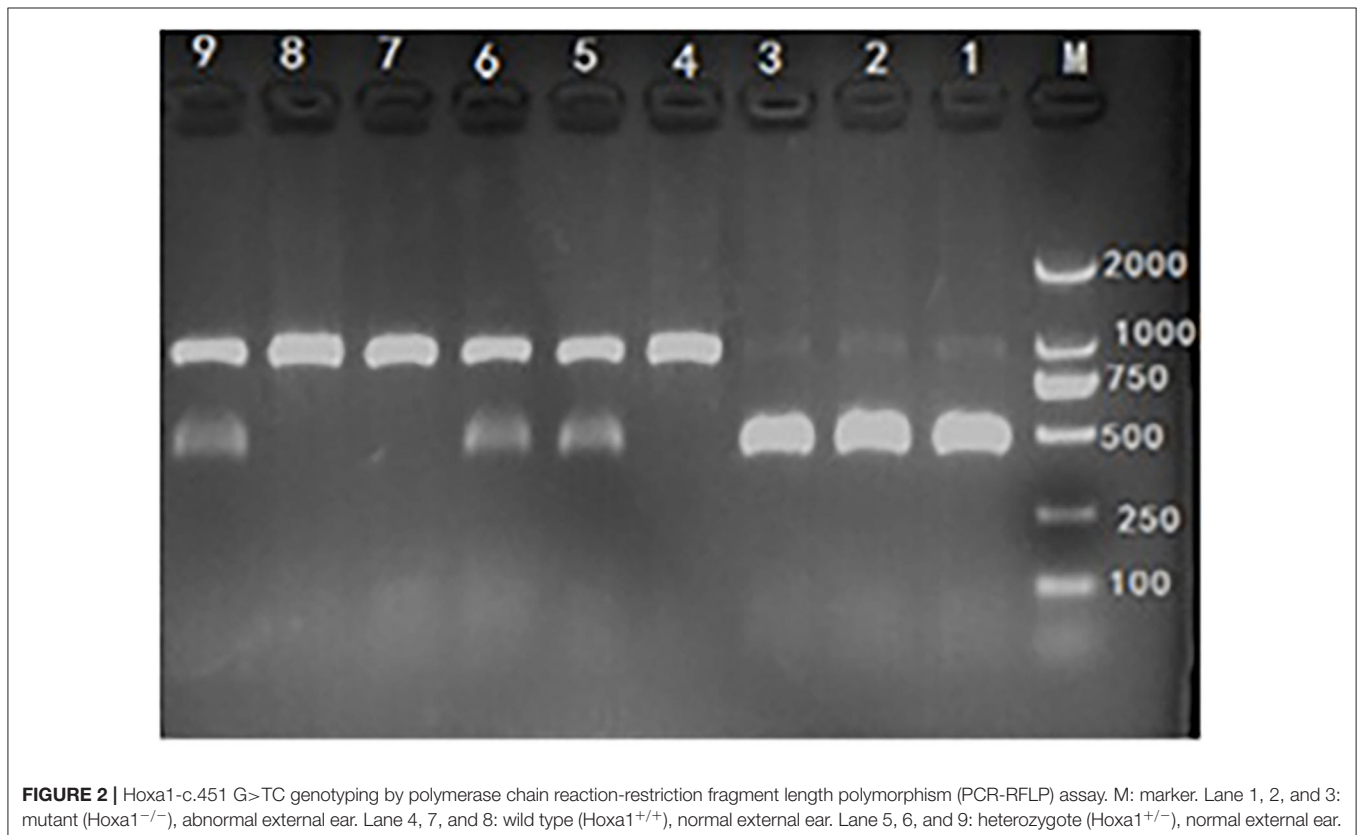
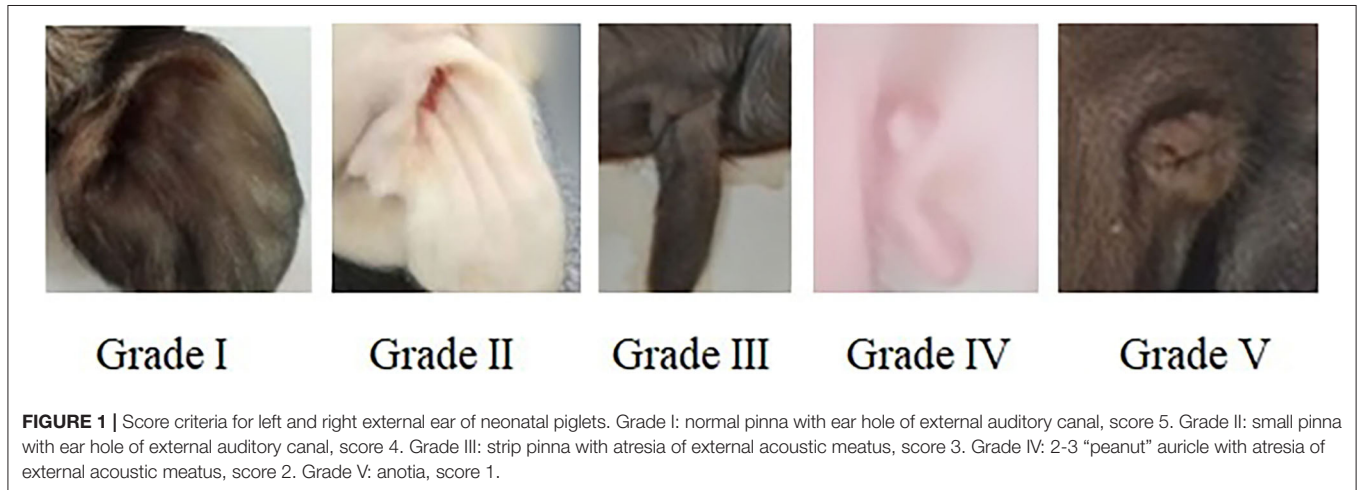
Each piglet was dried with clean towel immediately after delivery, then several pieces of ear samples were cut off with ear notcher or tail samples were obtained by removing the end portion of tail

with tail clipper from each piglet, ear or tail samples of each piglet were immediately transferred to Eppendorf tubes containing 75% alcohol and stored at 4°C in refrigerator for genotyping.

Hoxa1- c.451 G>TC Genotyping

Genomic DNA from ear or tail tissues was extracted from each piglet using genomic DNA extraction Kit which was obtained from Genstar (Beijing, China). The concentration of extracted DNA was quantified and qualified using the A260/A280 ratio by NanoDrop ND-1000 UV spectrophotometer (NanoDrop

Technologies, Rockland, DE) and genomic DNA was used as template for PCR amplification in 15- μ l reaction mixtures containing 40 ng genomic DNA, 0.05 mM MgCl₂, 0.2 μ l 10 \times Buffer, 0.4 mM dNTP, 1.0 U DNA polymerase, 20 pmol forward primer (5'-TGGACAATGCAAGAATGAGC-3'), and 20 pmol reverse primer (5'-CCCACGTCTACTTCCAAAA-3'). PCR amplification was performed using the following conditions: 94°C/5 min followed by 30 cycles of 94°C, 30 s; 62°C, 30 s; and 72°C, 40 s; with a final elongation at 72°C for 8 min. Mutation from G to TC at base No. 451 of the Hoxa1 coding sequence was



used for RFLP analysis with the following procedures (15): the PCR products were digested overnight at 37°C in 10 µl reaction

TABLE 2 | Effect of oral administration of all-trans retinoic acid (ATRA) to pregnant *Hoxa1*^{+/-} sows on birth live weight of *Hoxa1*^{-/-} piglets.

Genotype of piglets	Treatment groups	Number of piglets	Average birth liveweight of piglets (kg)
Non- <i>Hoxa1</i> ^{-/-}	G0	20	1.22 ^a
	G1-9	89	0.92 ^b
<i>Hoxa1</i> ^{-/-}	G0	5	0.80 ^{bc}
	G1	3	0.72 ^{bc}
	G2	4	0.68 ^c
	G3	4	0.85 ^{bc}
	G4	3	0.70 ^{bc}
	G5	3	0.74 ^{bc}
	G6	3	0.89 ^{bc}
	G7	5	0.94 ^{ab}
	G8	3	0.88 ^{bc}
	G9	4	0.95 ^{ab}
SEM			0.02
P-value	Days of ATRA administration		0.00
	Dose of ATRA		0.00
	Days × Dosage		0.00

G0: 0 mg ATRA; G1: 12 dpc + 4 mg ATRA; G2: 12 dpc + 5 mg ATRA; G3: 12 dpc + 6 mg ATRA; G4: 13 dpc + 4 mg ATRA; G5: 13 dpc + 5 mg ATRA; G6: 13 dpc + 6 mg ATRA; G7: 14 dpc + 4 mg ATRA; G8: 14 dpc + 5 mg ATRA; G9: 14 dpc + 6 mg ATRA. Means within a column followed by the same lower case letter do not differ significantly ($P > 0.05$). Means within a column followed by the different lower case letter differ significantly ($P < 0.05$).

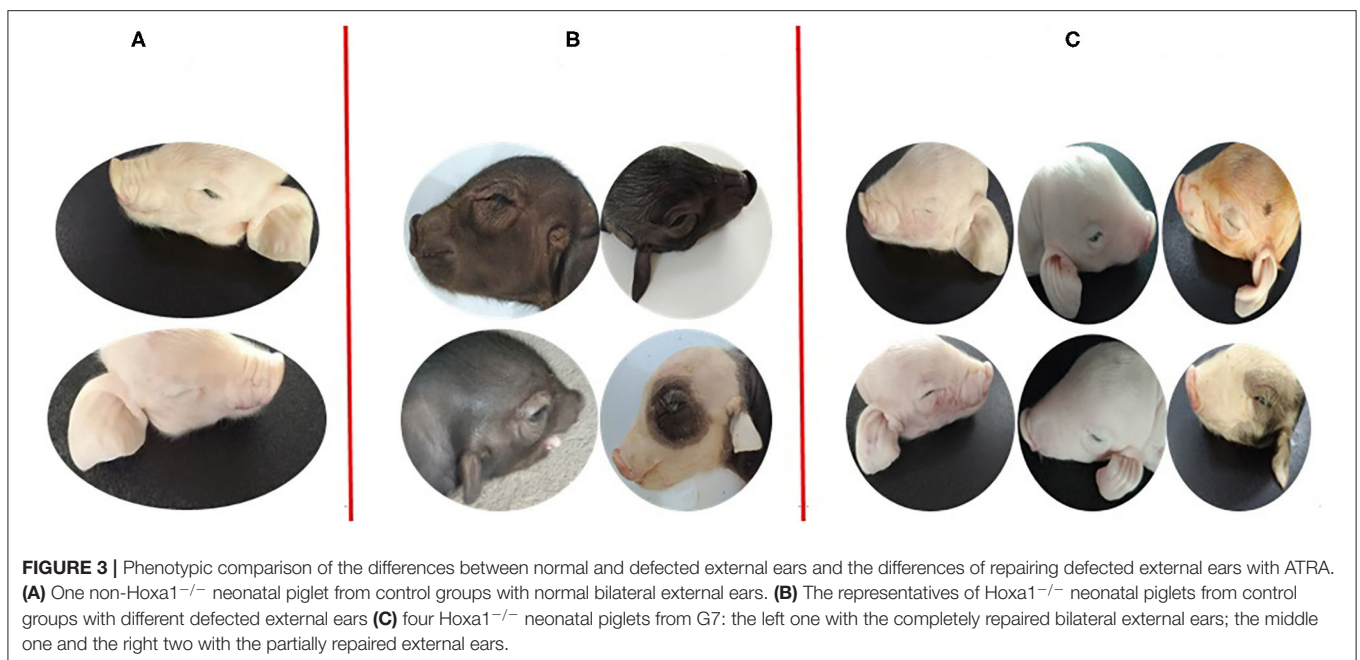
mixtures (5 µl PCR product, 0.5 µl SmaI endonuclease, 1 µl 10× T-buffer, 1 µl 0.1% BSA, and 2.5 µl H₂O). The restriction fragments were stained with ethidium bromide, resolved on 1.5% agarose gels, and visualized under UV light. The wild-type allele (G) was represented by a restriction fragment of 891 bp and the mutant allele (TC) by two fragments of 438 bp and 453 bp, *Hoxa1* was classified into one of three genotypes according to the bands produced by SmaI digestion (Figure 2).

High-Resolution Computer Tomography (CT) Scan

In order to compare the differences in internal structures of ears of piglets, four neonatal piglets including two neonate piglets (one *Hoxa1*^{-/-} piglet and one *Hoxa1*^{+/-} piglet) from control group and two neonate piglets (one *Hoxa1*^{-/-} piglet with partially bilateral external defects of ears and one *Hoxa1*^{+/-} piglet with normally bilateral external structures of ears) from experimental group 7 were selected for high-resolution computer tomography scan. Imaging was performed on a Revolution ACTs 16-MDCT scanner (GE Healthcare) using the following parameters: electric voltage 120 kVp, scanning time 1.0 sec, slice thickness 1.25 mm, and pitch 0.938:1.

Statistical Analyses

SPSS v. 17.0 software (IBM Corp., Armonk, NY, USA) was used for statistical analysis. All data were analyzed using two-way ANOVA (factors: date of ATRA administration and dose of ATRA) and Duncan's multiple comparison test was conducted to identify significant difference, differences were considered statistically significant if the P -value was ≤ 0.05 .



RESULTS

Administration of ATRA to Pregnant *Hoxa1*^{+/-} Sows Increased the Birth Liveweight of *Hoxa1*^{-/-} Piglets

As shown in **Table 2**, *Hoxa1*^{-/-} piglets in the control group had significantly lower average birth weights compared to the non-*Hoxa1*^{-/-} (*Hoxa1*^{+/+} and *Hoxa1*^{+/-}) piglets ($P < 0.05$). While there was no significant difference in average birth weight of *Hoxa1*^{-/-} piglets among control group and experimental

groups ($P > 0.05$) with an exception of G2, *Hoxa1*^{-/-} piglets delivered by sows of G2 had a significant lower average birth liveweight than *Hoxa1*^{-/-} piglets from G7 and G9, respectively ($P < 0.05$) but had no significant lower average birth liveweight compared to *Hoxa1*^{-/-} piglets from other groups ($P > 0.05$). The average birth weight of the *Hoxa1*^{-/-} piglets born from sows treated with ATRA on 14 dpc at doses of 4 mg/kg body weight and 6 mg/kg body weight were numerically higher than those born from control group and the other experimental groups ($P > 0.05$). In addition, the average birth liveweight of non-*Hoxa1*^{-/-} piglets delivered by sows from control group was significantly higher than that of non-*Hoxa1*^{-/-} piglets born by sows from experimental groups ($P < 0.05$). The Days of ATRA administration, dose of ATRA and the interaction of the days and dosage had significant effect on the birth liveweight of *Hoxa1*^{-/-} piglets ($P < 0.01$).

TABLE 3 | Effect of oral administration of all-trans retinoic acid (ATRA) to pregnant *Hoxa1*^{+/-} sows on external Ear development of *Hoxa1*^{-/-} piglets.

Genotype of piglets	Treatment groups	Number of piglets	Score of left ear of piglets	Score of right ear of piglets
Non- <i>Hoxa1</i> ^{-/-}	G0	20	5.00 ^a	5.00 ^a
	G1-9	89	5.00 ^a	5.00 ^a
<i>Hoxa1</i> ^{-/-}	G0	5	1.67 ^c	1.83 ^{de}
	G1	3	3.00 ^{abc}	3.00 ^{bcd}
	G2	4	3.00 ^{bc}	3.50 ^{abc}
	G3	4	2.00 ^c	2.50 ^{bcd}
	G4	3	3.00 ^{bc}	3.00 ^{bcd}
	G5	3	1.50 ^c	1.00 ^e
	G6	3	2.33 ^{bc}	2.67 ^{bcd}
	G7	5	3.80 ^{ab}	3.80 ^{ab}
	G8	3	2.50 ^{bc}	2.00 ^{cde}
	G9	4	2.75 ^{bc}	3.00 ^{bcd}
SEM			0.21	0.21
P-value	Days of ATRA administration		0.00	0.00
	Dose of ATRA		0.46	0.36
	Days × Dosage		0.19	0.29

Means within a column followed by the same lower case letter do not differ significantly ($P > 0.05$). Means within a column followed by the different lower case letter differ significantly ($P < 0.05$).

Maternal Administration With ATRA Repaired the External Defects of Ears of *Hoxa1*^{-/-} Piglets

All non-*Hoxa1*^{-/-} (*Hoxa1*^{+/+} and *Hoxa1*^{+/-}) new born piglets delivered by sows in control and experimental groups had normally bilateral external ears (normal pinna and external auditory meatus), one of the non-*Hoxa1*^{-/-} piglets was selected as the representative and presented its external bilateral ears in **Figure 3A**. All new born *Hoxa1*^{-/-} piglets in control group had defected bilateral external ears (bilateral microtia and atresia of external auditory meatus) and the defected external ears of four piglets were selected as the representatives and showed as **Figure 3B**. The deformed external ears of *Hoxa1*^{-/-} piglets from experimental groups were partially or completely repaired by maternal ATRA administration, four *Hoxa1*^{-/-} piglets from experimental group 7 were selected to display the effect of repairing defected external ears with maternal ATRA administration including one *Hoxa1*^{-/-} piglet with completely repaired bilateral external ears (left of **Figure 3C**) and three *Hoxa1*^{-/-} piglets with partially repaired external ears (middle and right of **Figure 3C**). Scoring of outer ears of each new born piglet was performed according to **Figure 1** and the data

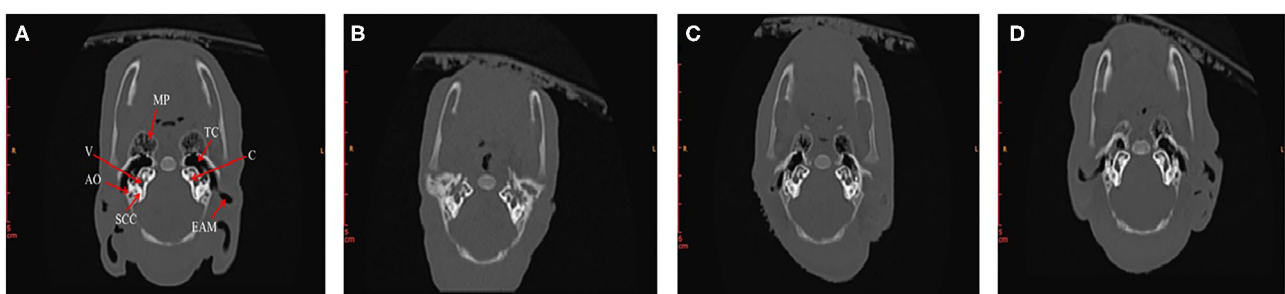


FIGURE 4 | High-resolution CT imaging of internal structures of ears. **(A)** The non-*Hoxa1*^{-/-} piglet of **Figure 3A** had the normal internal structures of ears on each side of the head. **(B)** The *Hoxa1*^{-/-} piglet of **Figure 3B** had the complete absence of EAM, TC and MP. **(C)** The *Hoxa1*^{-/-} piglet from the middle of **Figure 3C** had the partially repaired internal structures of ears. **(D)** The *Hoxa1*^{-/-} piglet from the left of **Figure 3C** had the same normal internal structures of ears as the non-*Hoxa1*^{-/-} piglet of **Figure 3A** had. EAM, external auditory meatus. MP, mastoid process. TC, tympanic cavity. AO, auditory ossicle. SCC, semicircular canals. V, vestibule. C, cochlea.

are presented in **Table 3**. All non-Hoxa1^{-/-} piglets either from control group or different experimental groups were delivered with normal pinna and ear hole of external auditory meatus on each side of the head and had a score of 5, Hoxa1^{-/-} piglets in the control group were born with pinna defects and no ear hole of external auditory meatus on each side of the head and had lower score than new born non-Hoxa1^{-/-} piglets had ($P < 0.05$). Hoxa1^{-/-} piglets in the experimental groups were delivered with partial or no defects of pinna and ear hole on each side of the head and had higher score than Hoxa1^{-/-} newborn piglets in the control group with an exception in experimental group 5. One Hoxa1^{-/-} piglet that delivered by a sow in experimental group 7 had the normal pinna and ear hole of external auditory meatus on each side of the head and had the same score as non-Hoxa1^{-/-} piglets had. The date of ATRA administration had significant effect on the repair of outer ear defects of Hoxa1^{-/-} piglets ($P < 0.01$).

Maternal Administration With ATRA Improved the Internal Defects of Ears of Hoxa1^{-/-} Fetal Piglets

In order to find out what are the differences in internal structures of ears among piglets that presented in **Figure 3**, we selected the non-Hoxa1^{-/-} piglet of **Figure 3A**, one of the Hoxa1^{-/-} piglet from **Figure 3B** (randomly), the Hoxa1^{-/-} piglet with completely repaired bilateral external ears (left of **Figure 3C**) and the Hoxa1^{-/-} piglet with partially repaired bilateral external ears (middle of the **Figure 3C**) to scan their internal structures of ears. **Figure 4A** exhibits that the non-Hoxa1^{-/-} piglet of **Figure 3A** had the normal internal structures of ears on each side including external auditory meatus (EAM), auditory ossicles (AO), semicircular canal (SCC), vestibule, cochlea, and tympanic cavity (TC). Mutation of Hoxa1 caused not only the defected external ears but also the loss of internal structures of ears, because one of the Hoxa1^{-/-} piglet from **Figure 3B** showed the losses of EAM, TC and MP and the deformed AO, SCC, vestibule and cochlea (**Figure 4B**). Maternal administration with ATRA in G7 was the most effective in repairing the internal defects of ears of Hoxa1^{-/-} piglets, because the internal defects of ears of the Hoxa1^{-/-} piglet from the middle of **Figure 3C** were partially repaired (**Figure 4C**), and the Hoxa1^{-/-} piglet from the left of **Figure 3C** had the normal internal structures of ears as newborn non-Hoxa1^{-/-} piglets had (**Figure 4D**).

DISCUSSION

Hoxa1 and ATRA are essential for the normal development of ears and other body tissues of vertebrate embryos (12, 33, 34). Both Hoxa1 mutations and ATRA deficiency can cause abnormal ear development of fetuses and newborn infants with ear abnormalities being associated with intrauterine growth retardation (IUGR) (8), including the low head growth and low body weight of fetuses (12, 35, 36). In the present study, all neonatal Hoxa1^{-/-} piglets born from sows in the control group presented little or no response to sound stimuli as our previous report (15) and had significantly lower live weight at birth than non-Hoxa1^{-/-} littermate piglets ($P < 0.05$). László et al. (8)

reported that adequate external sound stimuli is an effective method in promoting normal fetal growth during the last trimester of pregnancy and hearing loss of fetuses is associated with low intrauterine growth (8). After oral administration of ATRA to Hoxa1^{+/-} pregnant sows at a dose of 4 mg/kg body weight on 14 dpc, their offsprings with Hoxa1^{-/-} gene had more intact auricle and external auditory meatus and higher birth live weight than offsprings with Hoxa1^{-/-} gene in control group.

The external ear develops from the mesenchyme of the first and second pharyngeal arches and the identity of these pharyngeal arches is determined by rhombomere segmentation (37, 38). In vertebrate embryos, RA is synthesized by retinaldehyde dehydrogenase 2 (Raldh2) in mesoderm (17) and binds to hox nuclear receptors to activate anteroposterior (AP)-restricted Hox expression patterns and rhombomere segmentation in the hindbrain (13, 39, 40). However, Raldh2 mesodermal expression is under direct transcriptional control of the Hoxa1-Pbx1/2-Meis2 complex 9, when a Hoxa1 mutation occurs, the function of the Hoxa1-Pbx1/2-Meis2 complex can be affected, leading to a reduction in the production of endogenous RA, abnormal outer ear development and ATRA deficiency (41). Hoxa1^{-/-} mice showed abnormalities in hindbrain rhombomere segmentation and neural crest migration and presented with external ear defects (9, 42, 43). Administration of exogenous RA to pregnant mice at a dose of 2.5 mg/kg on day E7.5 or E8.5 effectively rescues the Hoxa1 mutant mice from inner ear defects (28, 41). Qiao et al. (15) firstly reported that newborn piglets develop unilateral or bilateral microtia or anotia when a c.451 G>TC mutation occurs in Hoxa1 piglets (15). Results from the current study showed that the administration of ATRA to pregnant Hoxa1^{+/-} sows at doses of 4, 5, and 6 mg/kg body weight improved the development of ears of Hoxa1^{-/-} fetuses, because maternal administration with ATRA partially or completely repaired the external defects of ears of Hoxa1^{-/-} fetal piglets, and the most effective regimen for repairing ear defects of Hoxa1^{-/-} fetuses was to administer exogenous ATRA to pregnant Hoxa1^{+/-} sows at a dose of 4 mg/kg maternal body weight on 14 dpc, because neonatal Hoxa1^{-/-} piglets in this group had the highest external ear scores and intact internal ear structures compared to those in the other experimental groups. One Hoxa1^{-/-} piglet delivered by a sow given 4 mg/kg exogenous ATRA on 14 dpc developed normal structures of external and internal ears, the possible explanation might be that this piglet received more exogenous maternal ATRA via the placenta than the other fetuses.

CONCLUSIONS

Hoxa1 mutations produce low birth liveweight and deformed ears of neonatal piglets. Administration of ATRA to Hoxa1^{+/-} pregnant sows on 14 dpc at a dose of 4 mg/kg can improve birth liveweight and ear defects of Hoxa1^{-/-} neonatal piglets. The findings of the present study can provide some useful information for how to repair fetal developmental defects with maternal treatment in the animal healthy production of gene mutant fetuses and in the prevention of human genetic ear disease during pregnancy instead of surgical repair after birth.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary materials, further inquiries can be directed to the corresponding author/s.

ETHICS STATEMENT

The animal study was reviewed and approved by the Ethics Committee for Animal Experimentation of Jiangxi Agricultural University. Written informed consent was obtained from the owners for the participation of their animals in this study.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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