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# Prospective surveillance of Zika virus at the end of the Americas' outbreak: An unexpected outcome

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**Objective:** The French Polynesia Zika virus (ZIKV) outbreak (2013–2014) broadened the known manifestations of ZIKV disease (ZVD) to include neural and congenital syndromes. A subsequent epidemic in the Americas (2015–2016) caused >685,000 suspected/confirmed cases before seemingly disappearing as fast as it expanded. A study was implemented (2017–2018) to detect ZVD cases in the region (Mexico, Honduras, Colombia and Puerto Rico), with the aim of validating surveillance methodology so as to increase sensitivity in case detection, which would have potential application for future vaccine development endeavors.

**Study design and setting:** To identify potential cases, we focused on signs/symptoms that were frequently associated with ZVD for confirmation by PCR. Serostatus and seroconversion were evaluated by ZIKV non-structural protein 1 blockade-of-binding enzyme-linked immunosorbent assay (BOB ELISA) and microneutralization assay.

**Results:** Overall, 2,400 participants aged 15–40 years were enrolled; 959 (40.0%) had signs/symptoms that could be associated with ZVD: axillary temperature  $\geq 37^{\circ}\text{C}$  (64.3%), myalgia (60.8%) and arthralgia (58.6%). Three suspected cases were virologically confirmed. Zika seroprevalence was high at study initiation (52.6% [BOB ELISA] and 56.0% [microneutralization assay]). In participants who were Zika seronegative, low seroconversion rates were observed after one year follow-up (3.6% [BOB ELISA] and 3.1% [microneutralization assay]).

**Conclusion:** The ZIKV continued to circulate in the Americas at very low levels following the 2015–2016 outbreak. The epidemiological factors driving Zika's rapid rise and decline remain poorly understood.

**Clinical trial registration:** Clinicaltrials.gov: NCT03158233 BARDA (Contract # HHSO100201600039C) WHO Universal Trial Number: U1111-1183-5687.

#### KEYWORDS

Latin America, seroconversion, seroprevalence, Zika virus, case definition, surveillance

## 1 Introduction

Zika virus (ZIKV) is a zoonotic single-stranded RNA arbovirus of the *Flavivirus* genus; the virus was discovered in Uganda's Zika Forest in 1947, with the first case in humans reported in 1952 (1). *Aedes aegypti* and *A. albopictus* are the two main transmission vectors (2), the same as those that transmit chikungunya (CHIKV), dengue (DENV) and yellow fever (YFV) viruses (3, 4). ZIKV can also be transmitted sexually, perinatally (possibly *in utero* or *via* breast feeding) or through the blood-borne route (5, 6). Although most infections are asymptomatic or lead to mild self-limiting illness (7), infection with the virus has been associated with adverse reproductive outcomes (congenital malformations, fetal loss) and other adverse effects including Guillain-Barré Syndrome (GBS) (8, 9).

An unprecedented widespread ZIKV outbreak, initially identified from the suspected association between ZIKV and a surge in serious congenital disorders in Brazil (10), was observed in the Americas during 2015–2016 (11). The World Health Organization (WHO) declared the outbreak a public health emergency of international concern in February 2016, necessitating coordinated international response to intensify surveillance for infections, congenital malformations and neurological complications, and to increase vector control in the region, as well as expedited development of diagnostic tests and vaccines to protect those at risk, especially during pregnancy (12, 13). By November 2016, when the end of the public health emergency of international concern was announced, 48 countries/territories in the Americas had reported >685,000 suspected or confirmed cases (516,487 and 171,553 cases, respectively) (12). Since then, ZIKV transmission is thought to have continued to persist at low levels in the region and a future outbreak remains a potential concern (14, 15).

This study was undertaken to assess the extent of the ZIKV outbreak and its current circulation in Mexico, Honduras, Colombia, and Puerto Rico as representative countries from the Americas region, and which had reported high numbers of cases during 2015–2016. We used specific clinical parameters as

identifiers of probable clinical ZIKV disease (ZVD) and PCR for viral confirmation, as well as two novel serology assays (ZIKV non-structural protein 1 blockade-of-binding enzyme-linked immunosorbent assay [BOB ELISA] and microneutralization assay) to assess the presence of Zika-specific antibodies among the study cohort at baseline (to determine seroprevalence) and 1 year after enrolment to assess infection (asymptomatic or symptomatic) during one year follow-up.

## 2 Methods

### 2.1 Study design

This was a prospective, multi-center, cohort surveillance study undertaken between 28 April 2017 and 19 December 2018 (WHO Universal Trial number, U1111-1183-5687; ClinicalTrials.gov identifier, NCT03158233) in four countries in Latin America: Mexico (two centers; Acapulco and Temixco), Honduras (Tegucigalpa), Colombia (Cali), and Puerto Rico (Carolina). The conduct of the study was in line with the standards established by the Declaration of Helsinki and compliant with the International Council for Harmonisation guidelines for good clinical practice as well as with all local and/or national regulations and directives. Written informed consent was obtained from all participants or their parents/guardians in case of minors before any procedure associated with the study was performed.

### 2.2 Participants

Healthy participants aged 15–40 years on the day of inclusion, currently residing within the study site zones in their respective countries and planning to continue to reside in that zone for the duration of the study were recruited. Exclusion criteria included: pregnancy; receipt of dengue or yellow fever vaccine or participation in a clinical trial investigating a vaccine, drug, medical device or a medical procedure in the four weeks

preceding enrolment, or during the present study period; or receipt of antibodies (immunoglobulins), blood or blood-derived products in the past three months. Participants with a self-reported history of ZVD (confirmed clinically, serologically, or virologically) were also excluded, but these exclusions were not required to be confirmed by Zika-specific antibody assessment. There were no financial incentives for study participation.

## 2.3 Procedures and assessments

Two planned study visits took place, at enrolment (Day 0) and 1 year later (Day 365 [ $\pm$  14-day window]). At both these visits, participants provided blood samples for assessment of ZIKV anti-nonstructural protein 1 (NS1) antibodies by blockade-of-binding enzyme-linked immunosorbent assay (BOB ELISA) and for ZIKV neutralizing antibodies by microneutralization (MN) assay (16). Those with Zika NS1 titers  $<10$  or Zika MN titers  $<100$  were defined as Zika seronegative, and those with Zika NS1 titers  $\geq 10$  or Zika MN titers  $\geq 100$  were defined as seropositive; the specificity and sensitivity of the two immunoassays at these titer cutoffs were reported as 97% and 78%, respectively, for the BOB ELISA, and 100% and 98% for the MN assay for samples obtained in dengue-endemic setting (16). Seroconversion was defined as the change from Zika seronegative at baseline to Zika seropositive post-baseline.

Participants were asked to report any of the following clinical signs/symptoms: rash (pruritic or not), axillary temperature  $\geq 37^{\circ}\text{C}$ , conjunctivitis (non-purulent/hyperemic), arthralgia/arthritis/myalgia/peri-articular edema, or signs/symptoms of a neurologic/neuroinflammatory disorder (such as acute disseminated encephalomyelitis [including site specific variants], cranial nerve disorders [including paralyses/paresis], GBS—including Miller Fisher syndrome and other variants, immune-mediated peripheral neuropathies or plexopathies, optic neuritis, multiple sclerosis, narcolepsy, transverse myelitis, meningitis, or meningoencephalitis) not explained by other medical conditions. Participants were monitored through regular weekly telephone contact by staff at the study site to ascertain whether they had experienced any of the listed signs or symptoms that could be associated with Zika, and to remind them to inform the staff at the study site within 24 hours of onset of these listed signs/symptoms. Those who reported these symptoms were asked to visit the study site to provide a blood/serum sample within 7 days of onset of signs/symptoms (acute samples), and blood/serum and urine samples 7 to 14 days later (convalescent samples). The samples were assessed for the presence of ZIKV RNA (whole blood and/or urine) by real-time polymerase chain reaction (RT-PCR) by ARUP laboratories (Salt Lake City, UT, USA). Zika RT-PCR was carried out with primers for the NS3 gene sequence conserved among Zika viruses. The limit of detection of the Zika RT-PCR in whole blood and urine was 160 copies/mL. Participants with any

sample testing positive for ZIKV were defined as confirmed ZIKV (VCZ) cases. Acute and convalescent serum samples from those with signs/symptoms of a neurologic/neuroinflammatory disorder were additionally tested for anti-ZIKV NS1 antibodies by BOB ELISA and for ZIKV neutralizing antibodies with the MN assay. Additionally, those who became pregnant during the study were asked to provide blood samples for anti-ZIKV NS1 antibody testing when pregnancy became known, and for those with a negative test result, repeated every 3 months until a positive result, end of pregnancy, or end of follow-up occurred (whichever occurred first).

As differential diagnoses, acute serum samples were also tested for DENV (dengue-screen and Simplexa<sup>TM</sup> RT PCR) and chikungunya by RT-PCR. Dengue RT-PCR assays were conducted at Sanofi's Global Clinical Immunology laboratories [Swiftwater, PA, USA] as previously described (17) and the chikungunya RT-PCR assay was developed and validated at ARUP laboratories (Salt Lake City, UT, USA). Dengue screen RT-PCR was carried out using dengue specific primers and probes targeting a conserved dengue region of the 3'-UTR. The Simplexa dengue RT-PCR assay was carried out with primers and probes targeting the conserved dengue NS5 genomic regions for serotype 1 and 3, NS3 for serotype 2 and the capsid region for serotype 4. Chikungunya RT-PCR was carried out with primers targeting the E1 (envelope) gene sequence conserved among CHIKVs. Dengue screen RT-PCR limit of detection was 24 copies/mL and the limit of detection of the CHIK RT-PCR was 1300 copies/mL. The specificity of all PCR assays (including the Zika RT-PCR) was 100% against a panel of related flaviviruses and other common viral and bacterial pathogens.

## 2.4 Outcomes assessed

The outcomes of interest assessed were: a) identification of signs/symptoms possibly associated with ZVD among participants in order to virologically confirm the occurrence of ZVD (Virologically-Confirmed Zika, VCZ); b) virological confirmation of cases by RT-PCR and c) Zika seroprevalence at baseline and the incidence of infection (asymptomatic and symptomatic) based on Zika seroconversion rates by the end of the study.

## 2.5 Sample size

The planned sample size of approximately 400 participants per site was based on convenience sampling; a total sample of 2,400 participants was planned to be enrolled across the six study sites. This overall sample size would be able to detect 12 to 120 VCZ cases if the true incidence was 0.5% to 5% and provide a probability of 95% of observing a VCZ event with a true incidence of 0.13% (rule of 3).

## 2.6 Statistical analyses

All analyses were descriptive. The 95% confidence intervals (CIs) of point estimates were calculated using the normal approximation for quantitative data, and the exact binomial distribution for proportions (Clopper-Pearson method). The full analysis set (FAS) was defined as all participants present at the initial visit. The FAS is the analysis population reported.

## 3 Results

### 3.1 Study population

A total of 2,400 participants were enrolled across the four countries: baseline demographic characteristics of the participants are summarized in [Table 1](#). A total of 2,188 (91.2%) participants completed the study: 467/500 (93.4%) in Honduras; 638/700 (91.1%) in Colombia; 741/800 (92.6%) in Mexico; and 342/400 (85.5%) in Puerto Rico. Of these 212/2,400 (8.8%) participants who did not complete the study: 132 (5.5%) withdrew voluntarily, 60 (2.5%) were lost to follow-up and 20 (0.8%) did not comply with the protocol. Reasons for non-compliance included not following inclusion and exclusion criteria at first visit, reporting for visit 2 outside the time window and not attending acute or convalescent visits. Overall, 1 (<0.1%), 275 (11.5%) and 366 (15.3%) had a self-reported (unconfirmed) medical history of YFV, DENV or CHIKV infections, respectively. There were no early terminations due to serious adverse events (SAEs) or other AEs related to the study procedures.

## 3.2 Outcomes and Assessments

### 3.2.1 Identification of suspected ZVD and VCZ cases among participants

Of the 2,400 enrolled participants, 959 (40.0%) reported at least one episode of signs/symptoms compatible with ZVD, and 418 (17.4%) had more than one episode. Overall, there were 1,765 (73.5%) suspected episodes reported throughout the study; of these, only three (0.17%) were VCZ as determined by PCR. Acute blood samples were also tested for DENV and CHIKV RNA as a differential diagnosis; DENV was virologically confirmed in 2.3% (41/1,760) of suspected ZVD episodes. The highest numbers of confirmed DENV cases were observed in Honduras (23/742) followed by Mexico (17/624). There were no confirmed cases of CHIKV infection reported in the study.

### 3.2.2 Description of the reported clinical signs/symptoms

The most frequently reported clinical signs/symptoms were axillary temperature  $\geq 37^{\circ}\text{C}$  (64.3%; 1,135/1,765), myalgia (60.8%; 1,074/1,765) and arthralgia (58.6%; 1,034/1,765; [Table 2](#)). Interestingly, the percentage of participants with a rash (16.4%) and conjunctivitis hyperemic/non-purulent (16.9%) appeared higher in Puerto Rico than at other sites ([Table 2](#)). Three (0.2%) neurologic/neuroinflammatory disorders were reported, two of which had cranial nerve disorder (both in Mexico) and one had right inferior limb paresthesia and axillary temperature  $\geq 37^{\circ}\text{C}$  (in Honduras). None of these cases required hospitalization and all cases recovered. All had a negative ZIKV RT-PCR test.

TABLE 1 Baseline demographic characteristics of enrolled participants by clinical site (FAS).

	Honduras (Tegucigalpa) (N=500)	Colombia (Cali) (N=700)	Mexico (Acapulco) (N=400)	Mexico (Temixco) (N=400)	Puerto Rico (Carolina) (N=400)	Total (N=2400)
Sex n (%)						
Female	364 (72.8)	505 (72.1)	248 (62.0)	259 (64.8)	249 (62.3)	1625 (67.7)
Age (years)						
Mean (SD)	27.2 (7.30)	27.4 (6.47)	26.8 (6.97)	27.5 (6.71)	27.5 (7.63)	27.3 (6.97)
History of YFV, n (%)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.3)	1 (<0.1)
History of DENV, n (%)	78 (15.6)	24 (3.4)	48 (12.0)	77 (19.3)	48 (12.0)	275 (11.5)
History of CHIKV, n (%)	94 (18.8)	24 (3.4)	107 (26.8)	33 (8.3)	108 (27.0)	366 (15.3)

CHIKV, chikungunya virus; DENV, dengue virus; N, number of enrolled participants; n: number of participants fulfilling the item listed; SD, standard deviation; YFV, yellow fever virus.

TABLE 2 Clinical symptoms reported by study participants (FAS).

Cases with at least one of the following symptoms	Honduras (Tegucigalpa) (N=500)		Colombia (Cali) (N=700)		Mexico (Acapulco) (N=400)		Mexico (Temixco) (N=400)		Puerto Rico (Carolina) (N=400)		Total (N=2400)	
	n/M	%	n/M	%	n/M	%	n/M	%	n/M	%	n/M	%
Rash	45/742	6.1	18/180	10.0	8/265	3.0	21/359	5.8	36/219	16.4	128/1765	7.3
Axillary temperature $\geq 37.5^{\circ}\text{C}$	508/742	68.5	136/180	75.6	118/265	44.5	280/359	78.0	93/219	42.5	1135/1765	64.3
Conjunctivitis hyperemic/non-purulent	66/742	8.9	21/180	11.7	15/265	5.7	40/359	11.1	37/219	16.9	179/1765	10.1
Arthralgia	423/742	57.0	131/180	72.8	204/265	77.0	184/359	51.3	92/219	42.0	1034/1765	58.6
Arthritis	6/742	0.8	1/180	0.6	3/265	1.1	5/359	1.4	6/219	2.7	21/1765	1.2
Myalgia	487/742	65.6	83/180	46.1	164/265	61.9	197/359	54.9	143/219	65.3	1074/1765	60.8
Peri-articular edema	4/742	0.5	9/180	5.0	2/265	0.8	1/359	0.3	3/219	1.4	19/1765	1.1
Any neurologic/neuroinflammatory disorder	1/742	0.1	0/180	0.0	0/265	0.0	2/359	0.6	0/219	0.0	3/1765	0.2
Hospitalization	0/742	0.0	1/180	0.6	0/265	0.0	0/359	0.0	0/219	0.0	1/1765	<0.1
<b>Outcome</b>												
Fatal	0/742	0.0	0/180	0.0	0/265	0.0	0/359	0.0	0/219	0.0	0/1765	0.0
Recovered	742/742	100.0	180/180	100.0	265/265	100.0	359/359	100.0	216/219	98.6	1762/1765	99.8
Recovered with persistent symptoms/sequelae	0/742	0.0	0/180	0.0	0/265	0.0	0/359	0.0	3/219	1.4	3/1765	0.2
Ongoing	0/742	0.0	0/180	0.0	0/265	0.0	0/359	0.0	0/219	0.0	0/1765	0.0

M, number of all suspected ZVD episodes fulfilling the column for the relevant endpoint; N, number of enrolled participants; n, number of episodes fulfilling the item listed.

### 3.2.3 Description of Zika seroprevalence at baseline and incidence of Zika infection based on seroconversion by the end of the study

Zika seroprevalence at baseline and seroconversion after 1 year of follow-up as determined by Zika BOB ELISA and Zika MN assay are summarized in Table 3. Zika seroprevalence at baseline ranged from 32.3% (129/400) in Puerto Rico to 81.3% (325/400) in Mexico (Acapulco) with the Zika BOB ELISA, and from 30.3% (121/400) to 85.2% (340/399) with Zika MN assay, respectively. Zika seroconversion rates after 1 year in those seronegative at baseline ranged from 1.1% (4/352) in Colombia to 13.2% (9/68) in Mexico (Acapulco) with Zika BOB ELISA and from 1.3% (4/316) to 11.1% (6/54) with Zika MN assay, respectively (Table 3).

Of the 41 participants with PCR-positive dengue infection reported above, 13 were Zika seronegative at baseline and all the others were both dengue and Zika seropositive at baseline. Of the 13 participants Zika seronegative at baseline, 4 (31%) seroconverted to Zika as determined by the Zika MN and/or Zika NS1 BOB ELISA.

### 3.2.4 Validation of the surveillance operational performance

The number and percentage of samples collected in the specific time windows after the onset of suspected ZVD is

summarized in Table 4. Overall, 90.9% (1,604/1,765) and 94.1% (1,660/1,765) of participants with suspected ZVD were tested during the acute (within 7 days of symptom onset) and convalescent (7–14 days after acute sample) phases, respectively.

### 3.2.5 Pregnancies during the study

A total of 104 (4.3%) participants became pregnant during the study; of these, 52 (50%) and 59 (56.7%) were Zika seropositive at baseline as determined by Zika BOB ELISA and Zika MN assay, respectively. Overall, 46 (44.2%) pregnant participants had at least one episodes of reportable signs/symptoms. However, after 1 year of follow-up, only 1 (2.2%) pregnant participant had seroconverted as determined by Zika MN assay (no seroconversions determined by Zika BOB ELISA). There were no pregnant women with VCZ.

## 4 Discussion

This surveillance study was designed to assess the circulation of ZIKV in four countries in the Americas immediately after the 2015–2016 outbreak (18), aiming to assess a case definition that could be employed in vaccine clinical trials, as well as to identify suitable locations to conduct such trials. The diagnostic confirmation of ZVD in these countries may be difficult due to

**TABLE 3** Summary of ZIKV seroprevalence at baseline and seroconversion rates after 1 year of follow-up as determined by Zika NS1 BOB ELISA and MN assay (FAS).

	Honduras (Tegucigalpa) (N=500)	Colombia (Cali) (N=700)	Mexico (Acapulco) (N=400)	Mexico (Temixco) (N=400)	Puerto Rico (Carolina) (N=400)	Total (N=2400)
<b>Visit 1</b>						
Zika anti-NS1 BOB ELISA						
M	500	700	400	400	400	2400
Seropositive participants, n (%)	361 (72.2)	315 (45.0)	325 (81.3)	132 (33.0)	129 (32.3)	1262 (52.6)
95% CI	68.0; 76.1	41.3; 48.8	77.1; 85.0	28.4; 37.8	27.7; 37.1	50.6; 54.6
Seronegative participants, n (%)	139 (27.8)	385 (55.0)	75 (18.8)	268 (67.0)	271 (67.8)	1138 (47.4)
95% CI	23.9; 32.0	51.2; 58.7	15.0; 22.9	62.2; 71.6	62.9; 72.3	45.4; 49.4
Zika MN						
M	500	699	399	397	400	2395
Seropositive participants, n (%)	403 (80.6)	353 (50.5)	340 (85.2)	125 (31.5)	121 (30.3)	1342 (56.0)
95% CI	76.9; 84.0	46.7; 54.3	81.3; 88.5	26.9; 36.3	25.8; 35.0	54.0; 58.0
Seronegative participants, n (%)	97 (19.4)	346 (49.5)	59 (14.8)	272 (68.5)	279 (69.8)	1053 (44.0)
95% CI	16.0; 23.1	45.7; 53.3	11.5; 18.7	63.7; 73.1	65.0; 74.2	42.0; 46.0
<b>Visit 2</b>						
Zika anti-NS1 BOB ELISA						
M	467	634	356	385	342	2184
Seroconversion for Zika, n (%)*	8/130 (6.2)	4/352 (1.1)	9/68 (13.2)	10/258 (3.9)	7/236 (3.0)	38/1044 (3.6)
Zika MN						
M	467	634	356	385	342	2184
Seroconversion for Zika, n (%)*	5/91 (5.5)	4/316 (1.3)	6/54 (11.1)	7/260 (2.7)	8/238 (3.4)	30/959 (3.1)

BOB ELISA, blockade-of-binding enzyme-linked immunosorbent assay; CI, confidence interval; M: number of participants with a valid serology result for the test; MN, microneutralization; N, number of enrolled participants; n: number of participants fulfilling the item listed; NS1, nonstructural protein 1; SD, standard deviation; ZVD, Zika virus disease.

Zika NS1 titers <10 or Zika MN titers <100 were defined as Zika seronegative, and Zika NS1 titers ≥10 or Zika MN titers ≥100 were defined as seropositive.

\*Seroconversion was defined as the change from Zika seronegative at baseline to Zika seropositive post-baseline; the denominator includes those were seronegative at baseline and who were assessed at the second visit.

The specificity and sensitivity of the two immunoassays at these titer cutoffs were reported as 97% and 78%, respectively, for the BOB ELISA, and 100% and 98% for the MN assay for samples obtained in dengue-endemic setting (16).

**TABLE 4** Number and percentage of samples collected during the acute and convalescent phases following sign/symptoms onset (FAS).

Country (site)	N	Blood draw within 7 days of sign/symptom onset (Acute phase)		Blood draw within 7-14 days of acute phase (Convalescent phase)		Urine sample within 7-14 days of acute phase (Convalescent phase)	
		n/M	%	n/M	%	n/M	%
Honduras (Tegucigalpa)	500	661/742	89.1	711/742	95.8	711/742	95.8
Colombia (Cali)	700	169/180	93.9	172/180	95.6	172/180	95.6
Mexico (Acapulco)	400	263/265	99.2	252/265	95.1	252/265	95.1
Mexico (Temixco)	400	331/359	92.2	346/359	96.4	346/359	96.4
Puerto Rico (Carolina)	400	180/219	82.2	179/219	81.7	179/219	81.7
Total	2400	1604/1765	90.9	1660/1765	94.1	1660/1765	94.1

M, number of all suspected ZVD episodes; N, number of enrolled participants; n, number of suspected ZVD episodes fulfilling the column; ZVD, Zika virus disease.

the co-circulation of other arboviruses endemic to the region, such as dengue and chikungunya (19–21), thus many countries opted to report “probable cases” based on clinical presentation instead (22). In addition, previous reports have documented that most Zika infections are asymptomatic and those that are symptomatic may have a variety of mild to moderate symptoms (23). We aimed to maximize the detection rate of VCZ cases (using RT-PCR) by targeting those with signs or symptoms reported in the literature to be common in suspected ZVD cases (2, 24–26).

A significant proportion of participants in our study were found to be Zika seropositive at baseline, despite specifically excluding those with a self-reported diagnosed history of ZVD (although there was no screening for Zika-specific antibodies prior to enrolment). It is likely that most of these Zika seropositive participants were asymptotically infected with ZIKV prior to enrolment. Notably, low seroconversion rates were observed by the end of the study in those Zika seronegative at baseline. Unexpectedly, among participants reporting signs/symptoms compatible with ZVD, only three (0.17%) VCZ cases were confirmed by RT-PCR. Our study suggests that the initial widespread Zika outbreak in the region during 2015–2016 depleted the pool of Zika-susceptible individuals, and thus decreased Zika virus circulation during 2017–2018 in the region as observed in our study. Zika seroprevalence during the same time period as in our study in the participating countries, Colombia, Honduras, Mexico, and Puerto Rico were reported to be 48%, 80%, 39%, and 25%, respectively (16). A Zika seroprevalence of approximately 50–60% has been proposed as sufficient herd immunity to quench outbreaks (27, 28), and it is generally accepted that the Zika seroprevalence in Latin America following the initial outbreak in 2015 was sufficiently high to quench the epidemic, and suppress the virus in the subsequent years (27–29). Thus, it is feasible that sufficient herd immunity may have been reached in the countries participating in our study and, in part, led to the suppression of the initial 2015–2016 epidemic and subsequent low circulation of the virus in the regions assessed.

A mathematical modelling study of Zika cases reported from 2015 to mid-2017 in 35 countries in Latin America and the Caribbean reported subsequent depletion of the susceptible population in most major cities across the region, accounting for the low incidence of infections by 2018 (30). Furthermore, the low number of VCZ cases in our study is consistent with the substantially reduced Zika reports from the WHO and the National Surveillance Systems in the region for 2017–2018 relative to the preceding year (31, 32). Consequently, it has been suggested that herd immunity may have been achieved in most populations (30), with only residual low level local transmission occurring. However, other sources postulate that herd immunity may not yet have occurred, and suggest that the

reduced circulation could probably be due to vector seasonal features (33). Indeed, supporting this hypothesis is the observation that seropositivity at baseline was not homogeneously high across the geographic areas included in this study that could explain the steep decline in Zika incidence across the whole continent. In addition, there was a reported reduction in dengue cases reported during 2017 and 2018 in all participating countries compared with the preceding two years with reductions >50% in Colombia, Honduras and Puerto Rico, but not in Mexico (20). Arguably, it is also possible that unprecedented widespread ZIKV outbreak in the preceding years may also have provided cross-immunity against dengue to a large section of the population, thereby resulting in a significant decline in the number of dengue cases reported in the subsequent years (34, 35). Interestingly, two of the three suspected ZVD cases in our study were reported at the Temixco site in Mexico where Zika seropositivity at baseline was the highest. This appears consistent with an increase in confirmed Zika cases reported by the Mexican Ministry of Health during weeks 16–29 of 2017 (32).

Although we aimed to assess a combination of symptoms that would increase specificity for identifying ZVD during surveillance, it is clear that it would be difficult to differentiate ZVD from dengue based on symptoms in the absence of a known outbreak of the former. Of note, 41 (2.3%) of the symptomatic suspected ZVD cases in our study were DENV positive (RT-PCR in acute phase samples). In addition, ZIKV infections are usually difficult to detect since about 80% are asymptomatic or result in mild illness (7, 36).

The Zika seroconversion rates in our study, although low, indicate that the ZIKV still circulated albeit undetected in the areas and during the time period selected for this study. Until the reasons underlying the sudden fall in Zika cases are established, it remains prudent to continue exploring ZIKV surveillance and control measures. In addition, the scope of the complications associated with ZIKV infection are not fully known because of the large knowledge gap concerning ZIKV pathogenesis (14). Of concern, modeling the distribution of the transmission vectors suggests that over 2.17 billion people living in the tropics and subtropics would be at risk of ZIKV infection, based mainly on suitable vector environmental conditions (37), in addition to travel-mediated rapid dissemination of infection (38).

In conclusion, the large Zika outbreak during 2015–2016 in the Americas and associated congenital sequelae observed was cause of great public health concern throughout the affected region. Whether the Zika epidemic depleted the pool of Zika-susceptible individuals or the decrease in ZIKV circulation was secondary to an unrelated depletion of the vector is not well understood. It is, nonetheless, possible that future ZIKV outbreaks may occur when the susceptible population increases.

## Data availability statement

Qualified researchers may request access to patient-level data and related documents [including, e.g., the clinical study report, study protocol with any amendments, blank case report form, statistical analysis plan, and dataset specifications]. Patient-level data will be anonymized, and study documents will be redacted to protect the privacy of trial participants. Further details on Sanofi's data sharing criteria, eligible studies, and process for requesting access can be found at <https://vivli.org/>.

## Ethics statement

The studies involving human participants were reviewed and approved by Comité de Ética en Investigación Biomédica de la Unidad de investigación Científica (CEIB UIC), Universidad Nacional Autónoma de Honduras, Primer Piso Edificio Facultad de Ciencias, Calle la Salud Contiguo al Hospital Materno Infantil, Tegucigalpa, Honduras. Comité de Ética en Investigación Biomedica Corporación Científica Pediátrica (CEIB CEIP), Independent EC office Cali, Colombia. Comité de Ética en Investigación del Instituto Nacional de Pediatría (CEI INP), Comité de Investigación del Instituto Nacional de Pediatría (CI INP), and Comité de Bioseguridad del Instituto Nacional de Pediatría (CB INP), all at Colonia Insurgentes Cuicuilco, Delegación Coyoacán, Ciudad de México, México, Oficina para la Protección de Participantes Humanos en Investigación (OPPHI), and School of Health Professions, San Juan, Puerto Rico. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

## Author contributions

JO, LB, ER, SG, JH, GA, and FN contributed to the conception and/or design of the study. EG-R, DR, JA, EM, and FA contributed to data acquisition. JO, ZC, CP, and FN contributed to the analysis and interpretation of data. All authors were involved in the drafting and critical review of the manuscript and approved the final version. All are accountable for accuracy and integrity of the data presented in this manuscript.

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## Conflict of interest

DR, EG-R, EM, and JA received funds/grants from Sanofi through their institution to undertake this study. ZC, CP, ER, SG, JH, and FN are all employees of Sanofi and may hold shares and/or stock options in the company. GA, JO, and LB were employees of Sanofi at the time of the study and may also hold shares and/or stock options in the company. Author FA was employed by Centro de Investigación Clínica del Pacífico S.A. de C.V.

The remaining author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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