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# Immunoproteasome inhibition reduces donor specific antibody production and cardiac allograft vasculopathy in a mouse heart transplantation model

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**Objective:** Cardiac Allograft Vasculopathy (CAV), a process of vascular damage accelerated by antibody-mediated rejection (AMR), is one of the leading causes of cardiac transplant failure. Proteasome inhibitors (PIs) are utilized to treat AMR, however PI-associated toxicity limits their therapeutic utility. Novel immunoproteasome inhibitors (IPIs) have higher specificity for immune cells and have not been investigated for AMR in cardiac transplant patients. We sought to evaluate IPI effect on AMR in a murine cardiac transplant model.

**Methods:** Fully MHC mismatched C57BL/6 to huCD52Tg heterotopic heart transplantations were performed. Recipients were treated with alemtuzumab (10 µg, IP) on days -2, -1, 2, and 4 and anti-CD25mAb (PC61, 100 µg, IP) on day 7 to accelerate AMR with or without IPI (ONX-0914, 15 mg/kg, SQ), administered on transplant day and three times a week thereafter.

**Results:** Animals without IPI gradually developed post-transplant donor-specific antibody (DSA) and showed a significantly elevated DSA level compared to animals receiving IPI. (TFXM 48.86 vs. 14.17;  $p = 0.0291$ , BFXM 43.53 vs. 6.114;  $p = 0.0031$ ). Accordingly, H&E staining of allograft showed reduced evidence of AMR with IPI compared to controls ( $P = 0.0410$ ). Notably, increased mortality was observed in the IPI treated group.

**Conclusion:** This study demonstrated the ability of ONYX-0914, an IPI, to control post-transplant DSA production and the AMR development in a heart transplant model. However, IPI-resistant DSA production was also observed and increased mortality with IPI therapy raises concerns about potential toxicity. Further investigation is warranted to assess the utility and potential risk associated with the use of IPI as a post-transplant maintenance immunosuppression.

## KEYWORDS

immunoproteasome, antibody-mediated rejection, CAV, regulatory T cells, alloantibody

## Abbreviations

ACR, Acute cellular rejection; AMR, Antibody-mediated rejection; BFXM, B cell flow crossmatch; CAV, cardiac allograft vasculopathy; DSA, Donor specific antibody; H&E, Hematoxylin and Eosin; IFN- $\gamma$ , interferon gamma; IPI, immunoproteasome inhibitor; mAb, monoclonal antibody; PI, proteasome inhibitor; TFXM, T cell flow crossmatch; TNF- $\alpha$ , Tumor Necrosis Factor Alpha.

## Introduction

Heart transplants provide life-saving treatment for patients with end-stage heart failure. Advances in management of heart transplantation have significantly improved overall survival in the first-year post-transplant; however, there has been little change to the risk of death after 1-year post-transplant (1). The leading cause of heart transplant failure is cardiac allograft vasculopathy (CAV), the development of intimal hyperplasia and vascular fibrosis within the vasculature of transplanted cardiac tissue (2, 3). CAV is a consequence of endothelial damage by both immune and nonimmune factors, and prevention of hyperlipidemia, hypertension, and other non-immune mediated CAV risk factors have been shown to reduce mortality in heart transplant recipients (4). Antibody-mediated rejection (AMR) has been identified as a primary immune-mediated catalyst in the development of CAV (5, 6). Furthermore, episodes of acute AMR and donor-specific antibody (DSA) production have been specifically associated with increased likelihood of CAV development (5, 7) and cardiovascular mortality (8). Preventing and managing AMR is thus paramount to improving graft survival and reducing morbidity and mortality in heart transplant recipients.

Plasma cells secreting antibodies including DSA are important therapeutic targets to address AMR. Currently, most treatment options for AMR are focused either on antibody (plasmapheresis or IVIg) or B cell removal (via rituximab) (9). Proteasome inhibitors (PIs), through their inhibition of the 20s subunit of the proteasome and accumulation of intracellular proteins, preferentially lead to the apoptosis of plasma cells and prevention of antibody production (10, 11). To this effect, PIs such as bortezomib have been utilized clinically to both prevent and treat AMR in solid organ transplant recipients (12). Unfortunately, use of PIs is limited due to their nonspecific inhibition of proteasomes in all cells, resulting in significant toxicity which limits therapeutic dosing and long-term utilization in transplant recipients (13–15).

Novel immunoproteasome inhibitors (IPIs) present an attractive alternative to PIs to manage and prevent CAV. Immunoproteasomes, the target of IPIs, are more highly expressed in cells of hematopoietic origin or those which have been exposed to inflammatory mediators such as IFN- $\gamma$  and TNF- $\alpha$  (16, 17). IPIs' selective inhibition has been shown to reduce toxicity profiles without compromising plasma cell depletion activity in multiple myeloma (18) and pre-clinical models of solid organ transplant (19). However, the efficacy of immunoproteasome inhibition in preventing AMR has not been evaluated in cardiac transplantation models. Early studies in murine models have suggested that immunoproteasomes are up regulated during acute and chronic AMR in heart transplantation, which validates the potential utility of immunoproteasome inhibition in preventing AMR, DSA production, and CAV (20). The goal of the present study is to determine whether post-transplant IPI treatment can prevent the development of DSA, reduce AMR, and prevent CAV in a murine heart transplant model (21).

## Materials and methods

### Animals

Homozygous huCD52Tg (H-2<sup>k</sup>) mice originally provided by Herman Waldman (22). HuCD52Tg (H-2<sup>k</sup>) mice were bred as homozygotes and maintained at Duke Laboratory Animal Resources. C57BL/6 (H-2<sup>b</sup>) mice were purchased from the Jackson Laboratory (Bar Harbor, ME). The mice were housed in a pathogen-free barrier facility. The study was approved by the Duke University Animal Care and Use Committee (IACUC#A055-21-03).

### Heterotopic heart transplantation

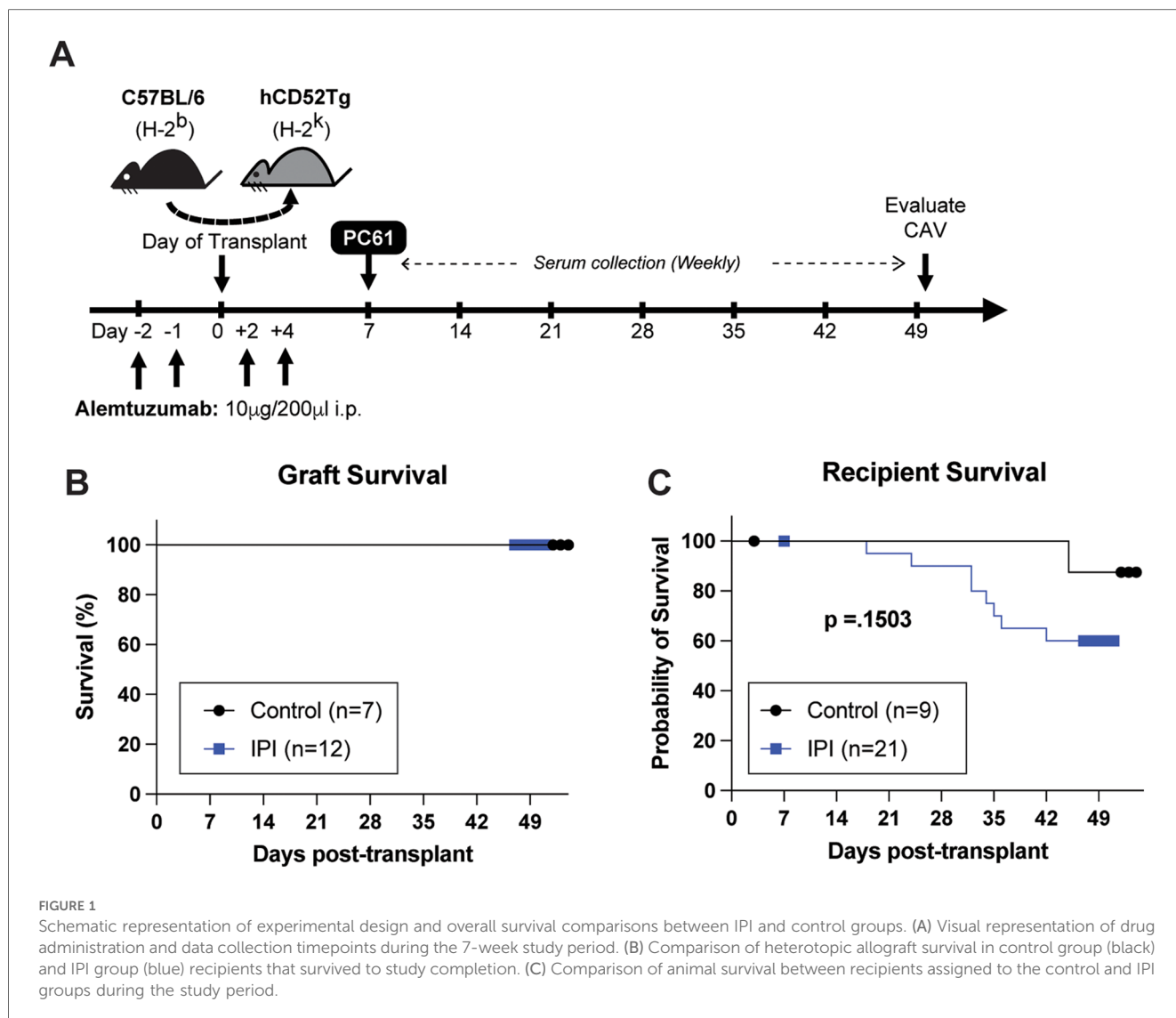
At approximately 6–12 weeks of age, the C57BL/6 donor hearts were transplanted into the huCD52Tg recipients using a technique like that described previously (21, 23). The recipients were treated with 10  $\mu$ g of alemtuzumab (i.p.) at days -2, -1, +2, and +4 relative to transplantation. The recipients also received 100  $\mu$ g of anti-CD25 mAb (PC61, i.p.) at POD 7 to deplete T regulatory cells and accelerate AMR (24). A total of 30 mice were assigned to one of two treatment protocols: immunoproteasome inhibitor (IPI group, ONX-0914, IV, three times weekly at 15 mg/kg) and control (no injection). Mice in the IPI group were administered IPI between post-transplant days 7 and 50 (Figure 1). All animals were sacrificed at 7 weeks post-transplant.

### DSA detection

A flow cytometry crossmatch was performed to measure DSA as described previously (21, 24). Recipient blood was obtained from the recipients via submandibular bleeding at POD 7, 14, 28, 42, and at the time of sacrifice. Donor splenocytes were prepared from C57BL/6 mice. Briefly, recipient sera were incubated with the donor splenocytes for 20 min at 4°C in the dark. The cells were thoroughly washed and 3  $\mu$ l of FITC-conjugated anti-mouse Ig (polyclonal; BD biosciences) was added to the samples for a 20 min incubation. The T cells were stained with APC-conjugated anti-CD3 (Clone 145-2C11, BD biosciences) and the B cells were stained with anti-B220 mAb (RA3-6B2; BD biosciences). The samples were analyzed using a BD LSRFortessa X-20 (BD Bioscience, San Jose, CA) and analyzed using Flow Jo v10.9.0 (Tree Star, San Carlos, CA). Alloantibody production was calculated as median fluorescence intensity fold increase over the negative control (background signal). Non-responders to IPI treatment were defined as mice that demonstrated a 15-fold rise in DSA over background control TFXM during the study period.

### Histology and pathological gradings

The grafts from surviving mice were recovered 7 weeks after transplantation. The explanted grafts were bisected and fixed in



10% formalin or frozen. Sections were stained for H&E and whole stained slide were scanned with an Aperio ScanScope XT (Aperio Technologies, Inc., Vista, CA). Images were assessed by a clinical cardiac transplant pathologist (G.G.) using the ImageScope (Aperio Technologies). A determination of AMR, CAV, and acute cellular rejection (ACR) based on visualization of the graft tissue, lymphocytic infiltration, and vasculopathy. A scoring system was developed that assigned scores of either 1 (no evidence of pathology in question), 2 (cannot rule out evidence suspicious for pathology in question), 3 (strong evidence of pathology in question), or 0 (damaged tissue/insufficient tissue for analysis).

## Statistics

Experimental results were analyzed by GraphPad Prism software (GraphPad Software 10.0.3, San Diego, CA). All the data are presented as mean with individual values shown in figures. And compared using a non-parametric student's *t*-test

with significance set at *p* less than 0.05. Survival curves were compared in GraphPad Prism with a Mantel-Cox Log-Rank test.

## Results

### Post-transplant immunoproteasome inhibitors does not change graft survival but may increase recipient mortality

Human CD52Tg mice received fully MHC mismatched C57BL/6 cardiac allograft. As shown in Figure 1A, heterotopic transplant recipients received peri-transplant alemtuzumab induction, which mediates T cell depletion and promotes long-term graft survival (21). Additional treatment of anti-CD25mAb (PC61 clone) has demonstrated accelerated AMR CAV development (24). This chronic AMR model typically does not promote cessation of heterotopic cardiac allografts (or acute rejection). Similarly, IPI group with additional post-transplant IPI treatment (alemtuzumab/PC61 induction with subsequent IPI 3 times



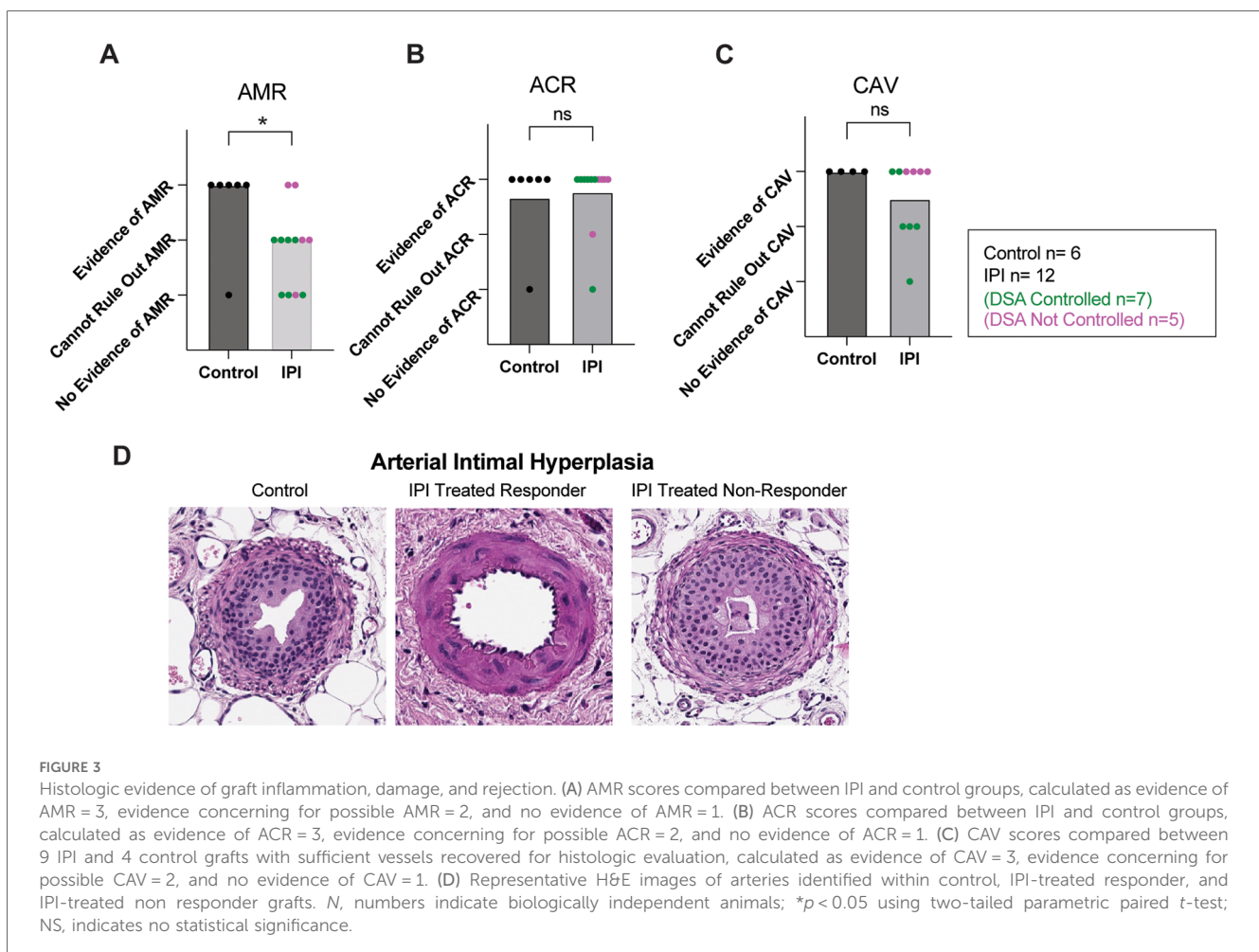
deemed insufficient for CAV evaluation (score 0) in three of twelve in the IPI group and in two of six control group slides. Samples with insufficient visualization for evaluation were omitted from statistical analysis. Evidence of AMR was more prevalent in the control group than the IPI group ( $p=0.0410$ , Figure 3A). Within the IPI group, evidence of AMR was observed in two of the five IPI-treated recipients deemed IPI-non-responders. There was no difference in incidence of ACR or CAV between control and IPI groups ( $P=0.8118$  and  $P=0.2199$ , respectively) (Figures 3B,C).

Arterial Intimal hyperplasia was observed in both control and IPI-treated groups. Marked arterial hyperplasia was noted in an IPI-non-responder, while IPI-responders were found to have some non-hyperplastic vessels (Figure 3D).

## Discussion

In cardiac transplantation, AMR poses a threat to allograft survival through acceleration of graft dysfunction and development of coronary vasculopathy. While the pathogenesis is multifactorial (25), the development of DSA and subsequent episodes of AMR have been shown to correlate with progression

of graft vasculopathy and atherosclerosis (6). Proteasome inhibition has emerged as a prominent strategy to target AMR across the field of transplantation (9, 15, 26). PIs induce apoptosis of antibody-producing plasma cells (27), and are used clinically to reduce DSA in episodes of acute AMR in solid organ transplant patients (28, 29). However, some studies have demonstrated that proteasome inhibition is inconsistently effective in managing AMR clinically (14, 30). Additionally, while plasma cells are particularly susceptible PIs due to high protein turnover rates, proteasomes are ubiquitous organelles, and indiscriminate inhibition leads to off-target effects. Common complications of proteasome inhibitors include cardiotoxicity, nephrotoxicity, anemia, and peripheral neuropathy (31–33). IPIs specifically target subunits of immunoproteasomes in cells of hematopoietic origin and those exposed to pro-inflammatory cytokines (34) instead of targeting constitutively expressed proteasomes in other cells. This selective inhibition has been shown to reduce toxicity profiles without compromising plasma cell depletion activity in multiple myeloma (18) and rat models of kidney transplant (19), however studies have yet to explore the impact of immunoproteasome inhibition on DSA production in heart transplantation and whether attenuating AMR subsequently reduces graft vasculopathy.



We have previously shown that alemtuzumab treatment promoted long-term graft survival in hCD52Tg recipients, however, these recipients developed *de novo* DSA, allo-B cells, and CAV (21). We also showed that this AMR phenotype can be accelerated or reversed with regulatory T cell depletion or co-stimulation blockade treatment, respectively (35). In the present study, we showed that IPI can attenuate DSA production, and the resultant AMR compared to the control group, but its effectiveness is potentially limited by toxicity and variable treatment responsiveness. Notably, there were three distinct phenotypes observed within the IPI-treated group: IPI response and reduction of DSA, IPI non-response with significant production of DSA, and presumed toxicity-mediated death. Among IPI-treated mice, approximately one-half demonstrated persistently controlled DSA through the study endpoint. These mice had correspondingly reduced incidence of AMR on pathology. CAV was also reduced in the IPI-treated group, however the difference between IPI and control groups was not statistically significant. Notably, some IPI-treated mice did not demonstrate persistently controlled DSA. This differential response has been also observed in multiple PI studies. In cardiac transplant recipients, nonresponse to carfilzomib and bortezomib have been observed clinically (30, 36). The Borteject trial also showed that proteasome inhibition did not perform better than placebo at reducing late antibody mediated rejection in kidney transplant recipients (14). Successful clinical and preclinical studies suggest that proteasome inhibition is most effective when used in combination with other therapies (27, 37, 38). Thus, IPI therapy may be similarly limited as monotherapy in our model. In multiple myeloma, IPI has also been used to enhance efficacy of constitutive proteasome inhibitors through feedback regulation (39). This approach may be possible in the transplant population during acute AMR, but it would increase the risk of toxicity.

A primary goal of immunoproteasome inhibition is reducing toxicity associated with proteasome inhibition through enhanced specificity. Multiple studies have reported improvements in toxicity and adverse effect profiles *in vivo* and *in vitro* with IPI compared to PI therapy (10, 20, 32). Recently, IPI demonstrated appropriate safety and tolerability in stage 2 clinical trials for dermatomyositis, despite several participants experiencing significant toxicity (40). Our study found that IPI treatment resulted in a high mortality rate. The premature deaths may be mediated by toxicity, as heterotopic heart transplants are nonfunctional, meaning graft rejection or failure would not affect overall survival. However, the possibility of human error due to more frequent handling cannot be ruled out, as control group animals did not receive placebo injections. The different level of tolerability to IPI may be due to varying levels of immunoproteasome expression in some animals. Post-transplant stress and inflammation could lead to widespread upregulation of immunoproteasomes in the setting of increased secretion of interferon gamma and other inflammatory cytokines (34), which could increase IPI toxicity. The observed heterogenous response to IPI has also been shown in cancer studies as well. Differential expression of proteasome subunits, increased expression of

chaperone proteins, and elevated antioxidant concentrations have been shown to be protective against PI-mediated apoptosis (41, 42). Rapid proteasome adaptation (43) may contribute to the unresponsiveness to IPI. Alternating conventional proteasome inhibitors with IPI could help prevent proteasome adaptation and promote more effective plasma cell depletion. Future studies could investigate whether similar signatures predict IPI response in transplant recipients, and whether these could be tested for and utilized clinically.

This study has several limitations. A significant limitation was the unexpected high mortality in the treatment group during the study period. This could skew the recipient demographic, limiting the data to surviving animals. The causes of death in the treatment group were not clarified. Future studies should investigate potential toxicity and mortality and optimize dosing to minimize toxicity while maintaining the efficacy of IPI. Evaluation of CAV, neointimal hyperplasia, and their correlation to AMR was also limited by additional tissue damage. Inflammatory vascular damage in allografts develops through many pathways and is observed clinically with and without AMR (6, 36). While vasculopathy was observed in both IPI and control group grafts, ACR, stress, and inflammation may have contributed to observed inflammation, which may be mitigated with appropriate combination of IPI with other immunomodulatory therapies in the post-transplant period. Additionally, the impact of IPI on immune cell populations has not been fully elucidated. Therefore, future studies should also investigate how prolonged IPI therapy modulates B and T cell subpopulations to better understand its effects on immune responses and antibody production.

In our chronic AMR model, prolonged post-transplant IPI treatment reduced DSA production and AMR development, but it was associated potential toxicity and variable efficacy. The introduction of IPI as a more selective alternative capable of reducing humoral rejection, while expected to reduce toxicity compared to PI, may still cause significant off-target effects. Further characterization of IPI in large animal models, including nonhuman primates, will enhance our understanding of its safety and efficacy. This will improve the utility of IPI in transplantation settings and support its advancement toward clinical application.

## Data availability statement

The original contributions presented in the study are included in the article, further inquiries can be directed to the corresponding author.

## Ethics statement

The animal study was approved by Duke University Institutional Animal Care and Use Committee (IACUC). The study was conducted in accordance with the local legislation and institutional requirements.

## Author contributions

AS: Data curation, Formal Analysis, Writing – original draft, Writing – review & editing. IA: Data curation, Formal Analysis, Investigation, Writing – original draft. ID: Data curation, Formal Analysis, Investigation, Writing – original draft. JL: Data curation, Investigation, Writing – review & editing. JY: Investigation, Methodology, Writing – review & editing. RB: Investigation, Methodology, Writing – review & editing. MS: Investigation, Methodology, Writing – review & editing. CG: Methodology, Validation, Writing – review & editing. JW: Writing – review & editing. SK: Investigation, Writing – review & editing. JK: Conceptualization, Data curation, Formal Analysis, Funding acquisition, Investigation, Methodology, Supervision, Validation, Writing – original draft, Writing – review & editing.

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## Conflict of interest

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