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# Effects of tillage method on the carbon footprint, energy budget, and net ecosystem economic efficiency of rice fields

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Biaxial rotary tillage is considered an effective approach for enhancing the structure of soil in rice fields. There are few reports on the effects of biaxial rotary tillage on the carbon footprint (CF), energy budget, and net ecosystem economic efficiency (NEEB) of rice fields. Here, we evaluated the effects of dryland biaxial rotary tillage (DBRT), as well as two traditional tillage methods, dryland and paddy field in uniaxial rotary tillage (DPURT) and paddy field in uniaxial rotary tillage (PURT), on the CF, energy budget, and NEEB of rice production to clarify the economic and ecological utility of DBRT. The tillage depth was 9.5-15.4% higher under DBRT than under DPURT and PURT (p < 0.05). The soil bulk density was 5.4-12.1% lower under DBRT than under DPURT and PURT. The rice grain yield was 7.6-8.7% and 17.7-19.1% higher under DBRT than under DPURT and PURT, respectively (p<0.05). The total global warming potential was 7.6–10.6% and 17.0-20.4% lower under DBRT than under DPURT and PURT, respectively (p<0.05). The CF was 17.7-18.9% and 35.4-36.1% lower under DBRT than under DPURT and PURT, respectively. The energy use efficiency was 8.7-10.4% and 16.4–18.3% higher under DBRT than under DPURT and PURT, respectively. The NEEB were 13.2-15.7% and 28.6-32.1% higher under DBRT than under DPURT and PURT, respectively. In summary, DBRT is beneficial for increasing rice yield and reducing greenhouse gas emissions, thereby improving the economic and ecological benefits of rice.

### KEYWORDS

rice fields, combined tillage method, carbon footprint, energy budget, net ecosystem economic efficiency

## 1. Introduction

Intensive agricultural production processes are a major source of environmental pollution, and the relative contribution of these processes to environmental pollution is steadily increasing as these processes are increasingly employed to accommodate the growing demand for food (De Notaris et al., 2018; Chen et al., 2021). Net CH<sub>4</sub> and N<sub>2</sub>O emissions due to agricultural production activities are estimated to have reached 10.2 Gt  $CO_2$ -eq per year, which comprises approximately 10 to 12% of human greenhouse gas (GHG) emissions (Zhang et al., 2015). Rice is one of the major important crops, and its production requires intensive agricultural operations, such as tillage, fertilization, and

irrigation, resulting in extensive GHG emissions (Li et al., 2021). The energy-demanding nature of these intensive agricultural operations has a major effect on the sustainability of rice production under the background of global climate change (Bruce et al., 2012; Gulab et al., 2020; Liu et al., 2020). The development of rice production technologies with high energy and economic efficiency and with low GHG emissions is thus needed to ensure both food security and environmental safety as the human population grows and global climate change intensifies.

Rotary tillage has been widely used to enhance the structure of agricultural soil because of its low costs and high efficiency (Wang et al., 2006; Lal et al., 2019; Chen et al., 2020). However, conventional rotary tillage is shallow due to the limitation of low-horsepower machinery (Yu et al., 2019; Scott and Stephen, 2020). And the concentration of straw from previous crops on the soil surface often leads to increased GHG emissions (Kim et al., 2016). Also straw decomposition reduces the available nitrogen for plants (Shan et al., 2008; Chu et al., 2015; Cao et al., 2018), and increased the toxic effects of reducing substances on seedlings (Chu et al., 2015; Wang Z. Q. et al., 2018). Some approaches that have been used to address the above problems include increasing the number of basic seedlings, increasing the frequency of irrigation and drainage, and increasing the frequency of N application during the rice tillering period (Chen et al., 2019). However, these measures increase energy inputs and GHG emissions in rice fields and reduce economic efficiency.

Dryland biaxial deep rotary tillage has become increasingly used in rice production in recent years, and the increased use of this technique has been associated with increases in the horsepower of machinery and the application of biaxial rotary tillage implements (Cong et al., 2021; Zhang et al., 2021). In dryland biaxial deep rotary tillage, biaxial rotary tillage, straw burying, soil suppression, and ditching are performed in a single operation after the previous crop is harvested; this approach thus permits crops to be planted after the flooding water has fully soaked the soil (Cheng et al., 2022). Dryland biaxial deep rotary tillage has been shown to enhance rice grain yield (Tian et al., 2022). However, no studies to date have characterized the effects of dryland biaxial deep rotary tillage practices on the CF, energy budget, and NEEB of rice production, yet quantifying the effects of tillage practices on these variables is critically important for the development of energy-efficient and GHG emission-reducing agricultural practices and assessments of agricultural economic benefits (Li et al., 2021; Sun et al., 2022).

*CF* is a comprehensive indicator of the net GHG emissions per grain yield during the crop growth cycle (Luo et al., 2021). Energy use efficiency (EUE), energy productivity (EP), and specific energy (SE) are important indicators for characterizing changes in GHG emissions in agroecosystems (Chaudhary et al., 2017). The NEEB are often used to evaluate the comprehensive economic and environmental benefits of agricultural measures (Zhou et al., 2019).

Here, we evaluated the effects of dryland biaxial deep rotary tillage and traditional rice tillage on the *CF*, energy budget, and NEEB to clarify the economic and ecological feasibility of using dryland biaxial deep rotary tillage in rice production. Our study provides valuable data that will help policymakers enhance the productivity and ecosystem service capacity of rice fields.

## 2. Materials and methods

## 2.1. Experiment site and materials

The field experiments were conducted in Suqian City, Jiangsu Province, China, in 2021 and 2022. This experiment site was the rice-wheat crop rotation area with a temperate monsoon climate zone. The wheat planting information at the experiment site in 2020 and 2021 is shown in Table 1. The average of annual rainfall and temperature in this area are 893.9 mm and 14.6°C, respectively. The daily average temperature and rainfall during the 2021 and 2022 rice growth period are shown in Figure 1. The soil in the experimental site was a clay loam with 27.31 gkg<sup>-1</sup> (28,116 kg ha<sup>-1</sup>) organic matter, 1.89 gkg<sup>-1</sup> total N, 118.42 mg kg<sup>-1</sup> alkaline hydrolysable N, 32.34 mg kg<sup>-1</sup> Olsen P, and 85.64 mg kg<sup>-1</sup> exchangeable K.

*Japonica* rice variety Nanjing 5,718 was planted in 2021, and *japonica* rice varieties Nanjing 5,718 and Hongyang 5 were planted in 2022. Fertilizers applied in the rice field included a controlled release of urea (Maoshi Ecological Fertilizer Co., Ltd). Conventional urea (46% N), calcium superphosphate (12.5%  $P_2O_5$ ), and potassium chloride (60% K<sub>2</sub>O) were purchased from local fertilizer outlets.

# 2.2. Experiment design and agricultural practices

Three tillage methods were applied as treatments with a full return of wheat straw to the rice field, including dryland biaxial rotary tillage (DBRT), dryland and paddy field in uniaxial rotary tillage (DPURT), and paddy field uniaxial rotary tillage (PURT). All three methods were implemented in one field with three replications of each method. The cultivated area of each treatment was about 0.7 ha. Mechanical channels were reserved among tillage methods to facilitate mechanical operation. The land preparation procedure and equipment used in the three tillage methods are listed in Table 2.

Fertilizer application in the field was completely consistent, applying  $270 \text{ kg N ha}^{-1}$ ,  $135 \text{ kg P}_2 \text{O}_5 \text{ ha}^{-1}$  and  $240 \text{ kg K}_2 \text{O} \text{ ha}^{-1}$ . Where, nitrogen fertilizer was applied by conventional urea and controlled-release urea at the ratio (N) of 5: 5. All fertilizers were applied at one time by the fertilizer throwing machine before the field machinery operation.

TABLE 1 Wheat planting information at experiment site in 2020 and 2021.

Year	Rice straw disposal	Tillage method	Wheat	Seeding	Fertilizer rate (kgha <sup>-1</sup> )		gha <sup>_1</sup> )	Straw	Grain
			variety	rate (kgha⁻¹)	N	$P_2O_5$	K <sub>2</sub> O	yield (tha <sup>-1</sup> )	yield (tha <sup>–1</sup> )
2020	Total returning	Dryland uniaxial tillage	Huaimai 35	230	270	135	160	16.7	7.0
2021	Total returning	Dryland uniaxial tillage	Huaimai 35	230	270	135	160	16.8	7.4



TABLE 2	Operation	procedures ar	nd the equipment	used of three	tillage methods.
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Tillage method	Operation procedure
DBRT	$Total wheat straw returned to the field \rightarrow Fertilizer spreading with 2F-750 throwing machine \rightarrow Biaxial rotary tillage with straw buried, secondary the straw buried is the straw buried burger of the straw buried is the straw burger of the straw b$
	suppression and ditching of dryland were completed by a 2BFMZ-350 dryland biaxial rotary tillage machine (matching horsepower 220,
	working depth about 15–18 cm) $\rightarrow$ Soaked soil but not flooded $\rightarrow$ Transplanting with 2ZG-6D (G6) high speed transplanter
DPURT	Total wheat straw returned to the field $\rightarrow$ Fertilizer spreading with 2F-750 throwing machine $\rightarrow$ Rotary tillage with straw buried once by 1GS-360 dryland biaxial rotary tillage machine (matching horsepower 160, working depth was about 10–12 cm) $\rightarrow$ Flooded and soaked field $\rightarrow$ Rotary tillage once with 1JS-280 paddy field uniaxial rotary tillage machine (matching horsepower 160, working depth of about 12–15 cm) $\rightarrow$ Transplanting with 2ZG-6D (G6) high speed transplanter.
PURT	Total wheat straw returned to the field $\rightarrow$ Fertilizer spreading with 2F-750 throwing machine $\rightarrow$ Flooded and soaked fields $\rightarrow$ Rotary tillage with straw buried by 1JS-280 paddy field uniaxial rotary tillage machine (matching horsepower 160, working depth of about 12–15 cm) $\rightarrow$ Transplanting with 2ZG-6D (G6) high speed transplanter.

DBRT, dryland biaxial rotary tillage; DPURT, dryland and paddy field in uniaxial rotary tillage; PURT, paddy field uniaxial rotary tillage. Throwing machine (2F-750) was manufactured by Sasaki Aikesailu Machinery (Nantong) Co., Ltd; dryland biaxial rotary tillage machine (2BFMZ-350) was manufactured by Yangzhou Huilong Machinery Technology Co., Ltd; dryland uniaxial rotary tillage machine (1GS-360) was manufactured by Yituo Group Corporation, China; paddy field uniaxial rotary tillage machine (1JSSL-280) was manufactured by Jilin Jialong Agricultural Equipment Technology Co., Ltd; high speed rice transplanter (2ZG-6D (G6)) was manufactured by Suzhou Jiufu Agricultural Machinery Co., Ltd.

Blanket seedlings were cultivated on hard plastic seedling trays (58 cm  $\times$  28 cm) on May 29, 2021 and May 28, 2022, respectively, and the seeding rates of Nanjing 5,718 and Hongyang 5 were both 180 g per tray. Mechanical transplanting was carried out on June 19 in 2021 and 2022. Planting 33.3  $\times$  10<sup>4</sup> hills per ha, 4 seedlings per hill (30 cm  $\times$  10 cm). Herbicides were sprayed at 1 day, 33–35 and 62–65 days after transplanting to control weeds. The paddy field was flooded from 8 days after rice transplanting to the mid-tillering, and the mid-term drainage was carried out from the mid-tillering to the panicle initiation time. After that, the flooding state was maintained and the pre-harvest drainage was carried out 7 days before harvest. Other farming operations are carried out under the guidance of local agricultural experts.

## 2.3. Gas sampling and measurements

 $CH_4$  and  $N_2O$  fluxes were measured from regreening to maturity using the static chamber method as described by Chu

et al. (2015). Flux measurements were initiated 10 days after transplanting and were taken until 2 days before harvest to minimize the effects of transplanting machinery on greenhouse gas emissions in paddy fields, as well as the effects of greenhouse gas measurement equipment on the rice harvest. Measurements were taken at an interval of 7 days during the entire rice growth period. Four acrylic chambers were installed in each treatment in 2021; in 2022, two acrylic chambers were installed in each treatment under each variety. For each flux measurement, gas samples were collected from 08:00 to 10:00 using a 20 ml syringe at 0, 10, 20, and 30 min after chamber closure. The concentrations of CH<sub>4</sub>, N<sub>2</sub>O, and CO<sub>2</sub> from the collected gas samples were analyzed by a gas chromatograph system (Agilent 7890A, Agilent Technologies, Palo Alto, CA, United States). N<sub>2</sub>O was detected with an electron capture detector (ECD), and CH<sub>4</sub> with a hydrogen flame ionization detector (FID). CO2 was reduced with hydrogen to CH<sub>4</sub> in a nickel catalytic converter at 375°C and then detected by the FID. The carrier gas was argon– $CH_4$  (5%) at a flow rate of 40 ml min<sup>-1</sup>. The temperatures of the column and ECD detector were maintained at 40°C and 300°C, respectively. The oven and FID were operated at 50°C and 300°C, respectively. Total emissions of  $CH_4$  ( $E_{CH_4}$ ) and  $N_2O$  ( $E_{N_2O}$ ) for the entire growing season were determined using the integral functions in Wang Z. Q. et al. (2018).

$$E_{CH_4}\left(E_{N_2O}\right) = \sum_{i=1}^{n} \left(F_i \times D_i\right) \tag{1}$$

where  $D_i$  is the number of days,  $F_i$  is the measurement flux in the ith sampling interval, and n is the number of sampling intervals.

## 2.4. Soil sampling and measurements

The soil bulk density of the 0–20 cm soil layer was measured prior to tillage in 2021 and when rice plants reached maturity in 2021 and 2022 using the core method (Ferraro and Ghersa, 2007). Six soil samples were taken, representing each tillage method in 2021. However, only three soil samples representing each tillage method and rice variety were taken in 2022, and the soil samples representing two varieties were mixed. A ruler was used to measure the thickness of the soil plow layer. The potassium dichromate volumetric method was used to determine the content of soil organic carbon (SOC; Deng et al., 2022) after soil samples had been crushed and passed through a 2-mm mesh sieve. SOC storage ( $S_{soc}$ ) was calculated using the following formula (Batjes, 1996):

$$S_{SOC} \left( kg ha^{-1} \right) = C_{SOC} \times BD \times PLT \times 10$$
 (2)

where  $C_{soc}$  is the content of SOC (gkg<sup>-1</sup>), BD is soil bulk density (g cm<sup>-3</sup>), and PLT is the soil plow layer thickness (m).

## 2.5. Rice yield measurement

Each rice variety was harvested at maturity in a 10-m<sup>2</sup> area at three survey points in each tillage method, and grain yield (water content 14.5%) was determined after air drying. Moisture of rice grain was measured by grain moisture meter (PM-8188A, Kett Scientific Research Institute, Japan).

## 2.6. Carbon footprint calculation

*CF* was calculated based on the total carbon emissions in the rice growing season, annual variation in SOC storage in the plow layer, and rice grain yield. The *CF* of the rice field was calculated using the following formula (Li et al., 2021):

$$CF = (GWP_T - \Delta S_{SOC}) / GY$$
(3)

where  $GWP_T$  is the total global warming potential (GWP) of the rice field (kg  $CO_2$  eq ha<sup>-1</sup>),  $\mathscr{B}_{SOC}$  is the annual variation in SOC

storage in the plow layer (kg  $CO_2$  eq ha<sup>-1</sup>), and GY is the rice grain yield (kg ha<sup>-1</sup>).

 $GWP_T \mbox{ during the rice growing season not only includes CH_4 and $N_2O$ emissions from the soil but also carbon emissions from agricultural inputs. $GWP_T$ was calculated using the following formula:$ 

$$GWP_{T} = E_{CH4} \times 25 + E_{N2O} \times 298 + E_{A-inputs}$$
(4)

where  $E_{CH4}$  and  $E_{N2O}$  are the cumulative emissions of CH<sub>4</sub> and N<sub>2</sub>O in soil (kg CO<sub>2</sub> eq ha<sup>-1</sup>), respectively, and 25 and 298 are the radiative forcing potentials of CH<sub>4</sub> and N<sub>2</sub>O for a 100-year time horizon, respectively.  $E_{A-inputs}$  is the agricultural input in the rice production process (kg CO<sub>2</sub> eq ha<sup>-1</sup>), which was calculated using the following formula:

$$E_{A-inputs} = \sum_{i=1}^{n} (\partial m)$$
 (5)

where n is the agricultural input;  $\partial$  is the amount of the agricultural input consumed; and m is the greenhouse gas emission coefficient of the agricultural input. The greenhouse gas emission coefficients of different agricultural inputs are shown in Table 3, and these were calculated by Li et al. (2021).

 $\Delta S_{SOC}$  was calculated using the following formula:

$$\Delta S_{SOC} = \left( C_{SOC_e} - C_{SOC_s} \right) / n \times \mu$$
(6)

where  $C_{SOC_e}$  and  $C_{SOC_s}$  are the SOC content of the rice field at rice maturity in 2021 (2022) and before tillage in 2021, respectively; n is the total number of years over which the experiment was carried out; and  $\varepsilon$  is the coefficient of carbon conversion to CO<sub>2</sub> equivalent, which is 44/12.

Each rice variety was harvested at maturity in a 10-m<sup>2</sup> area at three survey points in each tillage method, and grain yield (water content 14.5%) was determined after drying.

## 2.7. Energy budget calculation

The energy budget of rice production includes agricultural inputs and outputs. Agricultural inputs include the fuel consumption required for agricultural machinery (e.g., tillage, sowing, fertilization, transplanting, spraying, and harvesting), electricity consumption associated with irrigation, and agricultural materials (e.g., fertilizers, pesticides, herbicides, fungicides, and seeds). Energy input was calculated by multiplying the amount of each item consumed by its energy use coefficient. The energy use coefficients of each item are shown in Table 2, and these were calculated using the method of Gulab et al. (2017). The agricultural output was rice grain yield. According to Chaudhary et al. (2017), the energy budget of rice production was calculated using the following formulas:

Energy input 
$$(MJ ha^{-1}) = \sum_{i=1}^{n} (eC_i)$$
 (7)

Cheng et al.

TABLE 3 Greenhouse gas emission coefficients and energy utilization coefficients of different agricultural inputs.

Agricultural input items	Greenhouse gas emission coefficients (kg $CO_2$ eq kg <sup>-1</sup> )	Energy use coefficients (MJha <sup>-1</sup> )
Diesel	4.1	62.7
Electricity consumption	0.82	1.02
N fertilizer	1.53	60.6
P fertilizer	1.63	11.1
K fertilizer	0.65	6.7
Insecticides	16.61	120
Herbicides	10.15	179.5
Fungicides	10.57	128.4
Seeds	1.84	14.7

Energy output 
$$(MJ ha^{-1}) = SP \times E$$
 (8)

EUE = energy output 
$$(MJ ha^{-1}) / energy input (MJ ha^{-1}) (10)$$

$$EP = rice grain yield (kg ha^{-1}) / energy input (MJ ha^{-1}) (11)$$

SE = energy input 
$$(MJ ha^{-1}) / rice grain yield (kg ha^{-1}) (12)$$

where n is the agricultural input; i is the number of agricultural inputs; e is the energy use coefficient of the agricultural input, and the energy use coefficients of different agricultural inputs are shown in Table 3 (Li et al., 2021);  $C_i$  is the consumption of the agricultural input; SP is the system productivity, which is determined from the rice grain yield; and E is the energy use coefficient of system productivity, which is 14.7.

# 2.8. Net ecosystem economic efficiency calculation

The economic benefits (EB), ecosystem economic benefits (EEB), and NEEB were calculated using the following formulas (Zhang et al., 2015):

$$EB(CNY ha^{-1}) = Rice income + Government subsidy - Agricultural input cost (13)$$

$$EEB(CNY ha^{-1}) = Rice income + Government subsidy - (14)$$
Carbon cost

## NEEB = Rice income + Government subsidy – Agricultural input cost – Carbon cost (15)

Rice income was calculated according to the current local grain price and grain yield, which was 2,700 CNY t<sup>-1</sup> and 2,600 CHY t<sup>-1</sup> for 2021 and 2022, respectively; the government subsidy for mechanized transplanting was 3,000 CNY ha<sup>-1</sup> per year (Min et al., 2021), Agricultural input costs included the costs of agricultural materials (e.g., fertilizers, pesticides, and seeds) and the costs of operating agricultural equipment (e.g., sowing, fertilization, tillage, transplanting, spraying pesticides, irrigation, and harvesting).

Carbon cost (Li et al., 2015) was calculated using the following formula:

Carbon cost (CNY ha<sup>-1</sup>) = carbon trading prices ×  

$$\left(E_{CH_4} \times 25 + E_{N_{o}o} \times 298\right) \quad (16)$$

carbon trading prices was103.7 CNY t<sup>-1</sup> CO<sub>2</sub> -eq (Li et al., 2021).

## 2.9. Statistical analysis

Analysis of variance was performed using SPSS 18.0 software (SPSS Inc., Chicago, IL, United States). Statistical analyzes were performed by two-way ANOVA combined with the least significant difference (LSD) test, and means were separated at a significant level of  $p \le 0.05$  (\*) or 0.01 (\*\*). The figures were plotted excel 2010.

## 3. Results

# 3.1. Human greenhouse gas emissions from soil and agricultural inputs

The cumulative soil CH<sub>4</sub> and N<sub>2</sub>O emissions were significantly affected by tillage treatment (Figure 2). CH<sub>4</sub> emissions were 18.9–20.1% and 30.2–37.0% lower under DPURT and DBRT than under PURT, respectively (p<0.05). The opposite pattern was observed in the cumulative N<sub>2</sub>O emissions; specifically, N<sub>2</sub>O emissions were



FIGURE 2

Cumulative soil CH<sub>4</sub> (**A**) and N<sub>2</sub>O (**B**) emissions in the three tillage treatments in 2021 and 2022. DBRT, dryland biaxial rotary tillage; DPURT, dryland and paddy field uniaxial rotary tillage; and PURT, paddy field uniaxial rotary tillage. Data for 2022 were presented as the average of the two varieties. Vertical bars are mean $\pm$ standard error (n=4). NS, not statistical significance (*p*>0.05); \*\*, statistical significance (*p*<0.01). Different lowercase letters after the data in the table indicate statistically significance within the same column and the same year (*p*<0.05).

TABLE 4 GHG emissions (kg CO<sub>2</sub> -eqha<sup>-1</sup>) from agricultural inputs in the three tillage treatments in 2021 and 2022.

Agricultural		2021		2022			
inputs items	DBRT	DPURT	PURT	DBRT	DPURT	PURT	
Diesel	389.5	430.5	344.4	389.5	430.5	344.4	
Electricity	984.0	984.0a	984.0	902.0	902.0	902.0	
N fertilizer	918.0	918.0	918.0	918.0	918.0	918.0	
P fertilizer	1760.4	1760.4	1760.4	1760.4	1760.4	1760.4	
K fertilizer	260.0	260.0	260.0	260.0	260.0	260.0	
Insecticides	12.5	12.5	12.5	12.5	12.5	12.5	
Herbicides	51.0	51.0	51.0	51.0	51.0	51.0	
Fungicides	34.9	34.9	34.9	34.9	34.9	34.9	
Seeds	103.0	103.0	103.0	103.0	103.0	103.0	
Total	4513.3	4554.3	4468.2	4431.3	4472.3	4386.2	

DBRT, dryland biaxial rotary tillage; DPURT, dryland and paddy field uniaxial rotary tillage; PURT, paddy field uniaxial rotary tillage. Data for 2022 were presented as the average of the two varieties.

32.1–34.6% and 50.05–73.1% higher under DPURT and DBRT than in PURT, respectively (p < 0.05; Table 4).

The GHG emissions range of agricultural inputs is 4431.3-4554.3 kg CO<sub>2</sub> -eqha<sup>-1</sup> in the three tillage treatments. Fertilizers, electricity for irrigation, and fuel consumption were the main sources of the GHG emissions of agricultural inputs (64.5–67.0%, 20.2–22.0%, and 7.7–9.6% of the total GHG emissions, respectively). The GHG emissions derived from pesticides (e.g., insecticides, herbicides, and fungicides) and seeds were relatively low (2.16–2.24% and 2.26–2.35% of the total GHG emissions, respectively).

# 3.2. Soil organic carbon storage, *CF*, GWP, and grain yield

Tillage treatment had a significant effect on soil bulk density and plow layer thickness. BD was 5.7-10.3% and 9.8-13.8% higher under DPURT and PURT than under DBRT, respectively (p < 0.05). PLT was 8.7–9.2% and 12.5–13.3% lower under DPURT and PURT than under DBRT, respectively (p < 0.05). The SOC content and storage were not significantly affected by tillage treatment. S<sub>SOC</sub> was higher in all treatments in 2022 than in 2021 (Table 5).

Tillage treatment had a significant effect on *CF* and its components in rice fields. *CF* was 35.4–36.1% and 17.7–18.9% lower under DBRT than under PURT and DPURT, respectively, and these differences were significant (p < 0.05). GWP<sub>T</sub> was 17.0–20.4% and 7.6–10.6% lower under DBRT than under PURT and DPURT, respectively (p < 0.05).  $\Delta$ S<sub>soc</sub> was 106.3–116.9% and 25.1–25.3% higher under DBRT than under PURT and DPURT, respectively (p < 0.05). Grain yield was 17.7–19.1% and 7.6–8.7% higher under DBRT than under PURT and DPURT, respectively (p < 0.05; Table 6).

### TABLE 5 BD, T, $C_{soc}$ , and $S_{soc}$ of soil in the three tillage treatments in 2021 and 2022.

Treatment		BD (gcm <sup>-3</sup> )	PLT (m)	C <sub>soc</sub> (gkg <sup>-1</sup> )	S <sub>soc</sub> (kgha <sup>-1</sup> )
2021	DBRT	1.16b	0.152a	16.10a	28387.5a
	DPURT	1.28a	0.138b	16.04a	28333.1a
	PURT	1.32a	0.133b	16.09a	28247.6a
2022	DBRT	1.23b	0.150a	15.63a	28837.4a
	DPURT	1.30ab	0.137b	16.11a	28691.9a
	PURT	1.35a	0.130b	16.21a	28448.6a
Significance	Year (Y)	NS	NS	NS	NS
	Treatment (T)	**	**	NS	NS
	Y×T	NS	NS	NS	NS

BD, soil bulk density; PLT, soil plow layer thickness;  $C_{SOC}$ , content of soil organic carbon;  $S_{SOC}$ , storage of soil organic carbon; DBRT, dryland biaxial rotary tillage; DPURT, dryland and paddy field uniaxial rotary tillage; DURT, paddy field uniaxial rotary tillage. Data for 2022 were presented as the average of the two varieties. NS, not statistical significance (p > 0.05); \*\*, statistical significance (p < 0.01). Different lowercase letters after the data in the table indicate statistically significance within the same column and the same year (p < 0.05).

TABLE 6 CF, GWP<sub>T</sub>,  $\triangle S_{soc}$ , and grain yield in the three tillage treatments in 2021 and 2022.

Year	Treatment	CF	GWP <sub>T</sub>	∆S <sub>soc</sub>	Grain yield
		(kg CO <sub>2</sub> -eqkg <sup>-1</sup> )	(kg CO <sub>2</sub> eq ha <sup>-1</sup> )	(kgha <sup>-1</sup> )	(tha <sup>-1</sup> )
2021	DBRT	0.99c	12199.3c	995.6a	11.3a
	DPURT	1.22b	13649.9b	795.9b	10.5b
	PURT	1.55a	15320.5a	482.5c	9.6c
2022	DBRT	1.02c	12810.4c	1322.5a	11.2a
	DPURT	1.24b	13857.4b	1055.8b	10.3b
	PURT	1.58a	15438.1a	609.7c	9.4c
Significance	Year (Y)	NS	NS	NS	NS
	Treatment (T)	**	**	**	**
	Y×T	NS	NS	*	NS

 $GWP_{D}$  total global warming potential of the rice field;  $\Delta S_{SOC}$  annual variation in soil organic carbon storage; CF, carbon footprint; DBRT, dryland biaxial rotary tillage; DPURT, dryland and paddy field uniaxial rotary tillage; PURT, paddy field uniaxial rotary tillage. Data for 2022 were presented as the average of the two varieties. NS, not statistical significance (p < 0.05); \*, statistical significance (p < 0.05); \*\*, statistical significance (p < 0.01). Different lowercase letters after the data in the table indicate statistically significance within the same column and the same year (p < 0.05).

# 3.3. Energy inputs, energy outputs, and energy budget

The total energy of agricultural inputs ranged from 59,655.7 to 61,074.4 MJ ha<sup>-1</sup> in each tillage treatment. Fertilizers (N fertilizer, P fertilizer, and K fertilizer) were the main contributors to total energy inputs (83.6–85.5%). The energy inputs of fuel consumption, the electricity required for irrigation, pesticides (pesticides, herbicides, and fungicides), and seeds were lower (8.8–10.8%, 1.84–2.05%, 2.32–2.37%, and 1.35–1.38%, respectively). The energy output of rice grain significantly differed among the three tillage treatments. The energy output under DBRT was 7.6–9.2% and 17.7–19.7% higher under DBRT than under PURT and DPURT, respectively (p < 0.05; Table 7).

NE, EUE, EP, and SE were significantly affected by tillage treatment. NE was 29.9–33.8% and 13.3–16.1% higher under DBRT than under PURT and DPURT, respectively (p < 0.05). EUE was 16.4–18.3% and 8.7–10.4% higher under DBRT than under PURT and DPURT, respectively. EP was 16.2–17.7% and 8.7–10.1% higher under DBRT than under PURT and DPURT, respectively (p < 0.05). SE was

14.1–15.5% and 8.0–9.4% lower under DBRT than under PURT and DPURT, respectively (p < 0.05; Table 8).

## 3.4. Economic benefits EEB, and NEEB

The total agricultural costs ranged from 9,524.9 to 9,924.9 CNY ha<sup>-1</sup> among tillage treatments. The cost of agricultural materials was 6,354.9 CNY ha<sup>-1</sup>, which comprised 64.0–66.7% of the total agricultural inputs; the cost of agricultural operations ranged from 3,170 to 3,570 CNY ha<sup>-1</sup> and comprised 33.3–36.0% of the total agricultural costs. The operational costs were highest for DPURT, followed by PURT and DBRT (Table 9).

Rice income and GWP costs ranged from 24,440 to 30,510 CNY ha<sup>-1</sup> and from 797.0 to 1,115.9 CNY ha<sup>-1</sup>, respectively. Rice income was 7.6–9.2% and 17.7–19.7% higher under DBRT than under DPURT and PURT, respectively (p<0.05). GWP costs were 10.7–15.5% and 23.7–28.6% lower under DBRT than under DPURT and PURT, respectively (p<0.05). EB, EEB, and NEEB were significantly affected by tillage treatment. EB, EEB, and NEEB were 25.3–28.6%,

Agricultural input items		2021			2022		
		DBRT	DPURT	PURT	DBRT	DPURT	PURT
Energy inputs	Diesel	5956.5	6583.5	5266.8	5956.5	6583.5	5266.8
	Electricity	1224.0	1224.0	1224.0	1122.0	1122.0	1122.0
	N fertilizer	36360.0	36360.0	36360.0	36360.0	36360.0	36360.0
	P fertilizer	11988.0	11988.0	11988.0	11988.0	11988.0	11988.0
	K fertilizer	2680.0	2680.0	2680.0	2680.0	2680.0	2680.0
	Insecticides	90.0	90.0	90.0	90.0	90.0	90.0
	Herbicides	901.9	901.9	901.9	901.9	901.9	901.9
	Fungicides	423.8	423.8	423.8	423.8	423.8	423.8
	Seeds	823.2	823.2	823.2	823.2	823.2	823.2
	Total	60447.4	61074.4	59757.7	60345.4	60972.4	59655.7
Energy output	Rice grain	166110a	154350b	141120c	165375a	151410b	138180c

#### TABLE 7 Energy inputs and energy output (MJha<sup>-1</sup>) in the three tillage treatments in 2021 and 2022.

DBRT, dryland biaxial rotary tillage; DPURT, dryland and paddy field uniaxial rotary tillage; PURT, paddy field uniaxial rotary tillage. Different lowercase letters after the data in the table indicate statistically significance within the same year of energy output (p < 0.05). Data for 2022 were presented as the average of the two varieties.

TABLE 8 Energy budget (MJha<sup>-1</sup>) in the three tillage treatments in 2021 and 2022.

Year	Treatment	NE (MJha <sup>-1</sup> )	EUE	EP (kgMJ <sup>-1</sup> )	SE (MJkg <sup>-1</sup> )
2021	DBRT	105662.6a	2.75a	0.187a	5.35b
	DPURT	93275.6b	2.53ab	0.172b	5.82ab
	PURT	81362.3c	2.36b	0.161c	6.22a
2022	DBRT	105029.6a	2.73a	0.186a	5.36b
	DPURT	90437.6b	2.48ab	0.169b	5.92a
	PURT	78524.3c	2.31b	0.158c	6.35a
Significance	Year (Y)	NS	NS	NS	NS
	Treatment (T)	**	*	**	**
	Y×T	NS	NS	NS	NS

NE, net energy; EUE, energy use efficiency; EP, energy productivity; SE, specific energy; DBRT, dryland biaxial rotary tillage; DPURT, dryland and paddy field uniaxial rotary tillage; NS, not statistical significance (p > 0.05); \*, statistical significance (p < 0.05). Data for 2022 were presented as the average of the two varieties.

17.7–19.3%, and 28.6–32.1% higher under DBRT than under PURT, respectively (Table 10).

## 4. Discussion

## 4.1. Carbon footprint

We evaluated the *CF* of rice production systems using a comprehensive *CF* measure that accounts for SOC sequestration. Our findings revealed that average annual soil carbon sequestration increased in the three tillage treatments, which suggests that soil carbon sequestration reduces the *CF* of rice fields. This positive effect mainly stems from the return of straw to the experimental plots in recent decades and cannot be explained alone by the short period over which our experiments were conducted (2 years; Huang et al., 2013; Tang et al., 2022). Some studies have also suggested that soil carbon sequestration reduces the *CF* in winter wheat–summer maize rotation and double-cropping rice systems (Shang et al., 2021; Sun et al., 2022).

Although the effects of soil carbon sequestration were considered in this study, and the rice grain yield (9.4–11.3 tha<sup>-1</sup>) was high, the significant increase in total GWP (12199.3-15438.1 kg CO<sub>2</sub> eq ha<sup>-1</sup>) contributed to the high CF (0.99–1.58 kg  $CO_2$  -eq kg<sup>-1</sup>) in rice fields. The GWP of agricultural inputs, CH<sub>4</sub>, and N<sub>2</sub>O accounted for 28.4-37.0%, 52.0-66.2%, and 5.4-11.0% of the total GWP, respectively. The seasonal cumulative emissions of CH<sub>4</sub> in soil are the main contributor to the high CF when organic carbon storage is high in rice fields under flood irrigation (Wang J. et al., 2018; Shang et al., 2021). Thus, optimizing agricultural practices to minimize CH<sub>4</sub> emissions from soils is an effective approach for reducing the CF of rice fields. Reductions in the seasonal cumulative emissions of CH4 under DBRT were mainly attributed to improvements in soil bulk density and porosity by biaxial rotary tillage, which has a deeper tillage depth than DPURT and PURT; this improving soil redox properties and suppresses the activity of methanogenic bacteria (Li et al., 2014; Chen et al., 2019; Deng et al., 2022). The CF was lower and rice grain yield and soil carbon sequestration were higher under DBRT than under DPURT and PURT.

TABLE 9 Costs (CNY ha <sup>-1</sup> ) of agricultural materials and operations in the three tillage treatments in 2021 and 2022.
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Agricultural input items		DBRT	DPURT	PURT
Agricultural materials	Seeds	341.6	341.6	341.6
	N fertilizer	1379.3	1379.3	1379.3
	P fertilizer	864	864	864
	K fertilizer	2000	2000	2000
	Insecticides	150	150	150
	Herbicides	270	270	270
	Fungicides	1,350	1,350	1,350
Agricultural operations	Seeding	450	450	450
	Throwing fertilizer	120	120	120
	Mechanical tillage	200	600	450
	Machine transplanting	1,050	1,050	1,050
	Pesticide application	75	75	75
	Irrigation	600	600	600
	Mechanized harvesting	675	675	675
Total		9524.9	9924.9	9774.9

DBRT, dryland biaxial rotary tillage; DPURT, dryland and paddy field uniaxial rotary tillage; PURT, paddy field uniaxial rotary tillage. Data for 2022 were presented as the average of the two varieties.

TABLE 10 Rice ir	ncome, GWP cost, ai	d EB, EEB, and NEE	B (CNY ha <sup>-1</sup> ) in three	e tillage treatments ir	1 2021 and 2022.
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Year	Treatment	Rice income	GWP cost	EB	EEB	NEEB
2021	DBRT	30510a	797.0c	23985a	32713a	23188a
	DPURT	28350b	943.2b	21425b	30407b	20482b
	PURT	25920c	1115.9a	19145c	27804c	18029b
2022	DBRT	29250a	837.7c	22725a	31412a	21887a
	DPURT	26780b	937.8b	19855b	28842b	18917b
	PURT	24440c	1098.4a	17665c	26342c	16567b
Significance	Year (Y)	NS	NS	NS	NS	NS
	Treatment (T)	**	**	**	**	**
	Y×T	NS	NS	NS	NS	NS

GWP, global warming potential; EB, economic benefits; EEB, ecosystem economic benefits; NEEB, net ecosystem economic benefits; DBRT, dryland biaxial rotary tillage; DPURT, dryland and paddy field uniaxial rotary tillage; PURT, paddy field uniaxial rotary tillage. Data for 2022 were presented as the average of the two varieties. NS, not statistical significance (p < 0.05); \*\*, statistical significance (p < 0.01). Different lowercase letters after the data in the table indicate statistically significance within the same column and the same year (p < 0.05).

## 4.2. Energy budget

The total energy inputs in this study were 60345.4–60447.4 MJ ha<sup>-1</sup>, 60972.4–61074.4 MJ ha<sup>-1</sup>, and 59655.7–59757.7 MJ ha<sup>-1</sup> under DBRT, DPURT, and PURT, respectively. These findings suggest that the one-time dryland biaxial rotary tillage operation did not increase energy input despite the fact that a higher horsepower farm machine was used for DBRT. Among all agricultural inputs, nitrogen fertilizer has the highest energy input (36,360 MJ ha<sup>-1</sup>), which accounted for approximately 59.5–60.9% of the total energy inputs. Thus, reducing the amount of N fertilizer by optimizing N fertilizer management, such as side-deep fertilization (Liu et al., 2020), reducing N application, and increasing planting density (Guan et al., 2022), is a key approach for reducing energy inputs and alleviating energy supply shortages in rice production.

The energy outputs in this study were considered to be the results of rice grain yield multiplied by grain price. The energy output was 7.6–9.2% and 17.7–19.7% higher under DBRT than under DPURT

and PURT, respectively, and this was mainly driven by increases in rice grain yield. The higher grain yield under DBRT mainly stemmed from increases in tillage depth, which promoted the deep burial of straw, alleviated the toxic effect of straw decay on rice seedlings and competition for soil N (Shen et al., 2014; Zhang et al., 2021), and thus promoted the growth of rice seedlings. The greater tillage depth in DBRT facilitates the growth of the roots of rice plants at greater soil depths, which enhances nutrient uptake (Garnett et al., 2009; Lynch, 2013). Under DBRT, furrowing operations, biaxial rotary tillage, and ditching are performed in a one-time procedure; the effect of DBRT might thus be similar to that of ridge cultivation with no-tillage furrows. The capillarity of the soil contributes to the transfer of rain water collected in the ditch to the ridge surface soil, and this promotes the growth of rice plants (Wang et al., 2008; Sun et al., 2022). NE, EUE, EP, and SE are important indicators for evaluating the energy budget in agroecosystems (Dhawan et al., 2021). The NE, EUE, and EP were significantly higher under DBRT than under DPURT and PURT. This suggests that DBRT can enhance grain yield and energy outputs compared with DPURT and PURT.

## 4.3. Net ecosystem economic efficiency and outlook

The main motivation for farmers to adopt specific agricultural practices is economic benefits; by contrast, ecological benefits are a more important consideration for government policymakers (Gao et al., 2021; Kumar et al., 2021). Excellent agricultural measures not only have good economic benefits, but also help to promote ecosystem health and sustainability. Economic and ecological benefits were higher under DBRT than under DPURT and PURT, as rice grain yield was higher and GHG emissions were lower under DBRT than under DPURT and PURT.

A recent study has shown that biaxial deep rotary tillage and leveling can enhance the structure of the soil in paddy fields as well as economic benefits (Zhang et al., 2021). In this study, DBRT had lower CF, higher EUE and NEEB than DPURT and PURT. These results are mostly associated with the effects of deep rotary tillage, which increased rice grain yield and decreased greenhouse gas emissions. That results showed that deep rotary tillage can enhance the structure of both dryland and paddy field soil. However, high quality soil preparation requires deep rotary tillage with high horsepower farm machinery to complete, but many small farmers cannot afford the expensive price of high horsepower agricultural machinery. Thus, the development of market-based agricultural machinery leasing services is needed to make the equipment required to perform deep rotary tillage more accessible to a wider range of farmers. Reducting nitrogen application with increasing planting density, alternating wet and dry irrigation and other measures can help to further promote the productivity of rice fields, while reducing CF and energy inputs.

## 5. Conclusion

The tillage depth was higher and soil bulk density was lower under DBRT than under DPURT and PURT. The *CF* was lower under DBRT than under DPURT and PURT, and this was mainly associated with the increase in soil carbon sequestration under DBRT, which enhanced rice grain yield, reduced the total global warming potential, and reduced the seasonal cumulative emissions of  $CH_4$ . The energy output, energy use efficiency, and grain yield were higher under DBRT than under DPURT and PURT. The net ecosystem economic efficiency was higher under DBRT than under DPURT and PURT, and this resulted in the production of more rice grains and reductions in GHG emissions from rice fields. In sum, DBRT is an energy-efficient tillage method that can enhance the productivity of rice fields while minimizing GHG emissions.

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## Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding authors.

## Author contributions

ZX and HZ contributed to conception and design of the study. SC organized the database and wrote the first draft of the manuscript. CT, ML, and YF performed the statistical analysis. SC, ZX, and HZ wrote sections of the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

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## **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fsufs.2023.1169886/ full#supplementary-material

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