



# Modeling Biowaste Biorefineries: A Review

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The biorefining of biowaste is an upcoming novel strategy, but is mostly still in its conceptual phase. Biowaste biorefineries would allow (rural) communities to convert their biowaste into value-added biofuels, biochemical compounds, and fertilizers. Several different types of biowaste biorefineries have already been developed, but little to none of these designs are already commercially exploited. Their further development and commercial implementation is hampered by the high investment costs and risks, little trusts in its novel technologies, expected yields and profits, and operating reliability. Modeling these integrated processes, together with their supply chains, would allow for optimizing the considered biorefinery designs and coincidently speeding up the R&D-process. The optimized biorefinery designs and supply chains would additionally embed an increased amount of trust in potential investors in terms of the economic sustainability of the considered novel processes. Therefore, in this publication, a summary of existing biorefinery models is presented, together with supply chain network models. The discussed biorefinery models are categorized according to the conversion platform they use, being thermochemical, biological, or hybrid ones. Furthermore, the overall inherent advantages and disadvantages of all conversion platforms are summarized and a scope of further research needs is presented.

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# **1. INTRODUCTION**

Major cities in Europe have recently been flooded several times with an ever increasing number of climate protesters. These climate protests and marches have been partially triggered by the 2018 United Nations Climate Report (IPCC, 2018). The report clearly states that immediate and grand actions are required if the increase in global temperature should be limited to the still manageable scenario of  $+1.5^{\circ}$ C. In general, the public support for a more sustainable production and energy industry has drastically increased since the first effects of climate change are becoming more prevalent and visible. Fossil resources are becoming more controversial and are to be replaced with bio-resources in the future. However, in order to fully exploit the potential of these bio-resources, and additionally safeguarding arable land for food production, the use of waste streams as feed stocks for the production of energy and chemical compounds will have to be intensified. Just like fossil resources are converted into energy and chemical compounds in a refinery, bio-resources are converted in useful compounds in a biorefinery (Naik et al., 2010).

First generation biorefineries use general crops as feedstock (Cherubini et al., 2009). Most noticeable are rapeseeds and corn. This generation of biorefineries is already economically exploited but its use of general crops as feedstock raises ethical questions. The production of these crops takes up arable land, which can no longer be used for food. Additionally, while the growing

of crops for energy is more profitable for farmers than the production of food, the diversity of crops is heavily reduced. Mono-crop farmlands and -areas are more prone for crop diseases and plagues, jeopardizing the energy supply, the food supply, and livelihood of farmers (Mohr and Raman, 2013).

The acknowledgment of the shortcomings of the first generation biorefineries led to the development of second generation biorefineries which use residual and waste streams as feedstock. These streams include lignocellulosic materials, green fertilizers and other farm residues (e.g., corn stover), kitchen waste, industrial waste, and forestry wastes (Cherubini et al., 2009; Naik et al., 2010; Mohr and Raman, 2013). These so-called biowaste biorefineries will be the main focus of this review. The economical exploitation of this type of biorefineries is still limited, mainly because of the inherent fluctuations in the feedstock supply, and therefore also in the expected yield. Waste streams are subjected to seasonal fluctuations in size and/or composition and are often difficult to preserve. To obtain an economically viable biorefinery, these fluctuations need to be taken into account in the designing phase. To speed up the designing (and eventually implementation) of biowaste biorefineries, whilst taking the multitude of possible feedstocks into account, rigorous models are needed (Wang et al., 2015). The main goal of this contribution is to give the reader an overview of already existing models, as well as assessing their properties and extent. An upcoming third generation of biorefineries are the marine biorefineries which use algae as feedstock. This type of biorefineries, together with algae technology, is still in its early development stages and will therefore not be taken into account in this contribution. The interested reader is referred to Laurens et al. (2017) and Cesario et al. (2018).

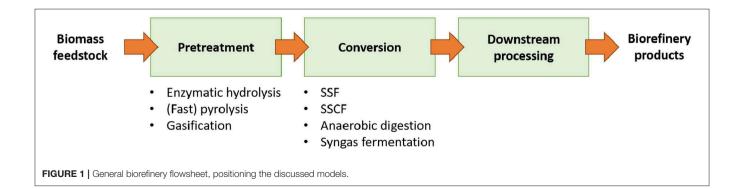
The sustainability of the biowaste biorefinery is additionally heavily affected by the management of the supply chain network. Policy makers and designers must also decide where to locate the biorefinery plant and, based on which feedstocks are abundantly available in the vicinity of the plant, the supply chain network, and used biorefining processes will change. To stress the importance of a convenient and smart supply chain network, a supply chain network model is included and briefly discussed.

The structure of this review is as follows: section 2 introduces the overall biorefining concept to give the reader a general overview of existing technologies and practices. The selected biowaste biorefinery models are presented in sections 3–5, based on which conversion platforms they use. This contribution will mainly focus on the presentation of process models which can be translated into algebraic and differential equations. Only in section 5, flowsheet models are considered. In section 6, a supply chain network model is presented. The presented models of sections 3–6 are discussed in section 7. Finally, in sections 8, 9, the overall conclusions and scopes for further research are presented, respectively.

# 2. BIOREFINING CONCEPT

A biorefinery is the renewable equivalent of a fossil-based (petroleum) refinery. A variety of chemical components are produced starting from a biomass feedstock stream. Biorefineries can be subdivided in different categories based on four characteristics: (i) the used platforms or key intermediate products and processes, (ii) the targeted products (production of energy or components), (iii) the used feedstock, and (iv) the used processes (Cherubini et al., 2009). This contribution will categorize the discussed biorefinery models based on which conversion platform is used. There has been opted for this alternative while the other listed categorization techniques often display overlap, rendering it difficult to present a clear-cut overview of available models.

The general public still mostly associates biorefineries with the production of (liquid) biofuels. However, the production of platform chemicals via biorefining processes is deemed to be more sustainable than the production of biofuels (Mohr and Raman, 2013; Isikgor and Becer, 2015). Platform chemicals are not a final product on themselves but are used in subsequent processes to produce plastics, fibers, additives, etc. (Cherubini et al., 2009). Figure 1 represents the general layout of biorefinery plant (Cherubini et al., 2009). A biomass feedstock is, successively, submitted to pretreatment, conversion, and downstream processing steps. Depending on the selected feedstock and processes, different products are obtained. The pretreatment step is one of the most important steps in the entire process while both the efficiency of the conversion and downstream processing steps depend on it. The overall goal of the pretreatment is reducing the crystallinity of the raw feedstock and making the C5-, C6-sugars, and other structural components more accessible for the conversion steps. The fraction of biomass that is eventually not converted in one of the



#### TABLE 1 | Further reading on DSP steps.

DSP step	References	Remarks
Drying	Mezhericher et al., 2012	Three-dimensional spray-drying model
	Redman et al., 2017	Vacuum-drying model applied to hardwoods
Milling	Auroux and Groza, 2017	Abrasive waterjet milling model
	Mateos-Salvador et al., 2011	Wheat roller milling model
Filtration and membrane technology	Tien et al., 2014	Blocking model for membrane filtration
	Ashraf et al., 2017	Semi-empirical pervaporation flux model
	Vane, 2005	Review of pervaporation for product recovery
	Morthensen et al., 2018	Membrane separation of enzyme-converted biomass
	Díaz-Reinoso et al., 2017	Recovery of concentrated phenolic product via membrane process

targeted products has to be separated from the product stream and decreases the process' carbon efficiency. Commonly used pretreatment steps include ammonia conditioning, (diluted) acid pretreatments, mechanic pretreatments (milling, i.a.), and steam reforming/explosion (Cherubini et al., 2009).

## 2.1. Conversion Platforms

Conversion platforms can be subdivided in three major categories (Cherubini et al., 2009): biochemical or biological, thermochemical, and hybrid conversion platforms. Biochemical and biological conversion platforms convert the pretreated biomass stream in the desired products with the use of micro-organisms, enzymatic, and fermentation processes, while thermochemical platforms conversion use general chemical processes. Recently developed hybrid conversion platforms combine the strengths of both previous options and are often characterized by a thermochemical pretreatment step and a biological conversion step (Michailos, 2018; Michailos et al., 2019).

The targeted products have to eventually be separated from each other, by-products, and/or the fermentation media using columns and/or evaporation units. The efficiency and energy requirements of the downstream processing (DSP) steps heavily depend on the efficiency of all the previous steps. Remaining solids in the process stream can be removed via filtrations, centrifuges, and membrane technology. Crystallization, drying, and milling units can be added to the downstream processing train if the product has to be delivered in a certain form. The main focus of this contribution however will be on the pretreatment and conversion steps. The DSP steps are already extensively investigated and treated in literature. **Table 1** lists several interesting sources on the commonly used DSP steps for the interested reader.

# 2.2. Feedstocks

### 2.2.1. Lignocellulosic Biomass

Lignocellulosic biorefineries are the most commonly investigated biorefinery type (regardless of what conversion platform they use), mainly due to the abundant occurrence of its feedstock (Piccolo and Bezzo, 2009). The main components of lignocellulosic biomass are lignin (L), hemicellulose (HC), and cellulose (C) (see **Figure 2**). The ratio of these main components will differ based on the source. **Table 2** gives an overview of the composition of several lignocellulosic materials (Qian, 2014; Isikgor and Becer, 2015).

Cellulose is made up of linked cellobiose (CB) chains, or linked  $\beta(1 \rightarrow 4)$ -D-glucose (G) units (see Figure 2). It is the primary component of the cell walls of plants and is the most abundantly available organic carbon source on the planet (Isikgor and Becer, 2015). While cellulose is made up of linked glucose-units, it is a source of fermentable C6-sugars. Hemicellulose on the other hand is made up of both C6- and C5-sugars (see Figure 2). It acts as glue in the cell wall, interlinking cellulose fibers with each other and with lignin. The predominant structure of hemicellulose additionally differs between soft and hard wood. While soft wood hemicellulose is mostly made up of glucomannans, hard wood hemicellulose predominantly consists out of xylans (HX). The occurring C5-sugars in hemicellulose are xylose (X) an arabinose, and the occurring C6-sugars are mannose, glucose, and galactose. Lignin (L) is a polyphenolic polymer and is the third main component of lignocellulosic biomass. Its high polyphenolic content makes it the ideal natural source of aromatic compounds and (poly-)phenols. The three main reoccurring monolignons are paracoumaryl alcohol, coniferyl alcohol, and sinapyl alcohol (see Figure 2) (Carroll and Sommerville, 2009; Qian, 2014; Isikgor and Becer, 2015).

### 2.2.2. Green Biomass

Green biomass streams consists of living, herbaceous, and wet biomass like grasses and clover (Bedoic et al., 2019). Grasses can be sourced from, i.a., pasture lands, roadside cuttings, and (private) gardens and parks. Clover is a so-called cover crop and green manure. The soil is protected from erosion and runoff by the root system of the clovers during, what otherwise would be, fallow periods. Clovers are additionally part of the Leguminosae family which are characterized by containing the symbiotic *Rhizobia* bacteria in their root nodules. *Rhizobia* are capable of fixating atmospheric nitrogen, and thus fertilizing the soil. Harvested grasses and clovers can be used as fodder but a large fraction remains underused (Kamm et al., 2016; Bedoic et al., 2019).

### 2.2.3. Biowaste

The interest in waste biorefineries has steadily increased over the past decade. This type of biorefineries have a double advantage while, next to their biorefining capacities, they also act as waste processors. Biomass waste can be obtained from several different sources and industries. The main sources are agricultural waste streams (food), industry waste streams, and urban municipal waste. Most components of the agricultural waste streams can, however, also be subdivided into either lignocellulosic (e.g., corn

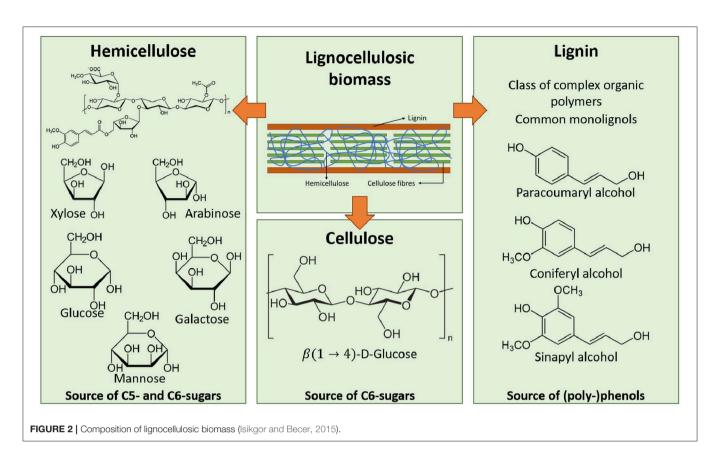


 
 TABLE 2 | Composition of different lignocellulosic biomass species (Carroll and Sommerville, 2009; Qian, 2014; Biswas et al., 2015; Isikgor and Becer, 2015).

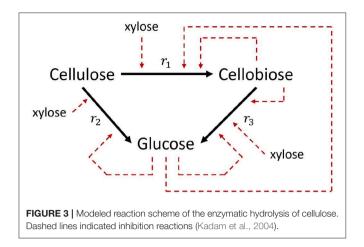
	Species	Cellulose [%] H	lemicellulose [%]	Lignin [%]
Hard wood	Poplar	50.8–53.3	26.2–28.7	15.5–16.3
	Oak	40.0–55.0	24.0-40.0	18.0–25.0
Soft wood	Spruce	45.5–49.5	22.9-33.0	27.9–32.0
	Pine	45.0–50.0	25.0-35.0	25.0–35.0
Agricultural waste	Corn stover	35.0–39.6	16.8–35.0	7.0–18.4
	Wheat straw	31.0-44.0	22.0-24.0	16.0-24.0
	Barley straw	33.0-40.0	20.0–35.0	8.0–17.0
	Sugar cane bagasse	26.0–50.0	24.0-34.0	10.0–26.0
Energy crops	s Switchgrass	35.0-40.0	25.0–30.0	15.0–20.0

stover) or green biomass (e.g., clovers and other grasses and herbaceous plants). The food industry is another major source of biomass waste streams: spent grains and filter cakes from breweries, bagasse and molasses from the sugar industry, etc. The final customers of the produced foods and agricultural products, i.e., urban municipalities, are on their turn also major producers of biomass waste streams. The composition of this waste is the most diverse one of all the feedstocks that are discussed in research. Fluctuations in feedstock size and composition are two major challenges that any waste-based, or even any, biorefinery must tackle. The efficiency of the biorefinery supply chain and overall logistic network plays a crucial role in guaranteeing the success of these biorefineries (Fava et al., 2015; Vea et al., 2018).

# 3. BIOCHEMICAL AND BIOLOGICAL CONVERSION PLATFORMS

As mentioned in section 2.1, biorefineries using a biochemical or biological conversion platform employ enzymes and microorganisms to convert biomass into valuable products and energy. Generally, the overall structure of the biomass is broken down via enzymatic hydrolysis and the obtained hydrolysate stream is subsequently fermented using (genetically modified) microorganisms. Enzymatic reactions are characterized by an extremely high product selectivity, making this conversion platform the ideal platform to produce very specific products. Additionally, the used fermentation processes display high similarities to other, established, bioproduction processes. A multitude of techniques are therefore already available and the overall processes themselves have already been studied extensively (Michailos et al., 2019).

However, the particular biomass feedstocks (most commonly lignocellulosic biomass) have proven to introduce problems and challenges in the context of the otherwise straightforward biological conversion platform. The dense crystalline structure of lignocellulosic biomass makes it difficult for enzymes to penetrate



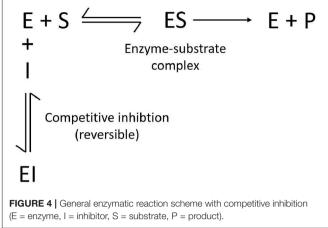
and access the glycosidic bonds they are meant to hydrolyse. The yield of fermentable sugars in the hydrolysate streams can be so insufficient that the overall process is no longer profitable. It is therefore of crucial importance that the dense biomass feedstock is accordingly pretreated, allowing the enzymes to penetrate the structure more easily.

### 3.1. Enzymatic Hydrolysis

The enzymatic hydrolysis of lignocellulosic biomass is a highly specific process, rendering fermentable C5- and C6-sugars, oligomers, and polymers. The hydrolysis process is catalyzed by cellulase enzymes which decompose the cellulosic material in its structural compounds. The lignin fraction of the lignocellulosic material, however, is not decomposed or hydrolysed and remains as a solid in the hydrolysate. This inability of processing a major fraction, and thus carbon source, of the lignocellulosic feedstock is one of the major disadvantages of this technique (Sun and Cheng, 2002; Michailos et al., 2019).

Kadam et al. (2004) introduced a validated kinetic model of the enzymatic hydrolysis of lignocellulosic biomass, but only considers the hydrolysis of cellulose to cellobiose and glucose. It was experimentally validated by, amongst others, Hodge et al. (2008), Sin et al. (2010). The modeled reaction scheme is presented in Figure 3. Prunescu and Sin (2013) extended the model of Kadam et al. (2004) and added the enzymatic decomposition of xylan (the main component of hemicellulose) into acetic acid and xylose. The model of Kadam et al. (2004) already considered the inhibition of the cellulose decomposition reactions by xylose but did not consider its formation. Prunescu and Sin (2013) additionally takes the inhibitory effect of furfural (F) into account on the decomposition reactions of cellulose. Furfural can be formed in pretreatment processes preceding the enzymatic hydrolysis step. Especially acidic conditioning steps are prone of forming furfural (Jönsson and Martín, 2016).

**Figure 4** represents the general enzymatic reaction scheme that is modeled by both Kadam et al. (2004) and Prunescu and Sin (2013). The inhibitory effect of glucose, cellobiose, xylose, and furfural on the hydrolysis of cellulose is considered to be competitive. This implies that the inhibitors bind on



the active site of the enzyme and thus compete with the substrate for this spot. Competitive inhibition is reversible and is deemed to be mechanistically more realistic than the irreversible noncompetitive inhibition (Kadam et al., 2004). Product is formed after the substrate adsorbs to the active site of the enzyme (E) and the enzyme-substrate complex (ES) is formed. This adsorption is reversible and is modeled via a Langmuir isotherm (see Equation 1). The formation and desorption of product (P) is considered to be irreversible. The reactions with cellulose as substrate are assumed to be first-order reactions and occur on the cellulose surface (see Equation 2). The formation of glucose from cellobiose occurs in the liquid reactor medium and is assumed to follow Michaelis-Menten kinetics (see Equation 3) (Kadam et al., 2004; Prunescu and Sin, 2013).

$$[EC] = \frac{EC_{max}K_{EC,ad}[E_F][C]}{1 + K_{EC,ad}[E_F]}$$
(1)

with *EC* the enzyme-cellulose complex,  $EC_{max}$  the maximum mass of enzyme that can adsorb on one mass unit of cellulose, and  $[E_F]$  the concentration of free enzymes.

$$r_{i} = \frac{k_{i}\eta_{i}(T, pH)\left(\sum_{j=1}^{i}[E_{i}C]\right)}{1 + \frac{[CB]}{K_{Li}^{CB}} + \frac{[X]}{K_{Ki}^{X}} + \frac{[G]}{K_{Li}^{G}} + \frac{[F]}{K_{Li}^{F}}} \ i = 1, 2$$
(2)

$$r_{3} = \frac{k_{3}[E_{3,F}][CB]\eta_{3}(T,pH)}{1 + \frac{[X]}{K_{I,3}^{X}} + \frac{[G]}{K_{I,3}^{G}} + \frac{[F]}{K_{I,3}^{F}} + [CB]}$$
(3)

The xylan to xylose reaction rate  $r_4$  is modeled similarly to the cellulose to cellobiose and glucose reaction rates (Prunescu and Sin, 2013):

$$r_4 = \frac{k_4 [E_4 HX] [HX] \eta_4(T, pH)}{1 + \frac{[CB]}{K_{I,4}^{CB}} + \frac{[X]}{K_{I,4}^{X}} + \frac{[G]}{K_{I,4}^{G}} + \frac{[F]}{K_{I,4}^{F}}}$$
(4)

with  $k_i$  the specific reaction rate constant of the *i*-th reaction,  $\eta_i(T, pH)$  the influence factor of *T* and *pH* on the *i*-th reaction, and  $K_{I,i}^a$  the inhibitory constant of component *a* on the *i*-th reaction. The mass balances of cellulose, cellobiose, and glucose are given by (Kadam et al., 2004):

$$\frac{d[C]}{dt} = -r_1 - r_2$$
(5)

$$\frac{d[CB]}{dt} = 1.056 \, r_1 - r_3 \tag{6}$$

$$\frac{d[G]}{dt} = 1.111 \, r_1 + 1.053 \, r_3 \tag{7}$$

Flores-Sánchez et al. (2013) successfully adapted their modelbased optimal experimental design framework to estimate the values of the kinetic parameters of the proposed enzymatic hydrolysis model in Equations (1) to (4). While enzymes display a (narrow) optimal temperature range, the reactor temperature T was found to have a major affect on the reaction rates and other kinetic parameters. Prunescu and Sin (2013) included this influence, together with the pH influence in the  $\eta_i(T, pH)$  factor. Flores-Sánchez et al. (2013) also included this influence by dynamically designing an optimal experimental design framework. By doing so, they include the inherent nonlinearity of the reaction system, allowing for the attainment of good estimations for the kinetic parameters. The models presented by Kadam et al. (2004) and Prunescu and Sin (2013) have been implemented in several case studies. Amongst others are the kinetic modeling of the enzymatic hydrolysis of pretreated sugarcane straw, as presented by Angarita et al. (2015), and the model-based optimization of a large-scale bioethanol plant, presented by Prunescu et al. (2017).

More recently, Liang et al. (2019) developed a longterm Holtzapple-Caram-Humphrey-1 (HCH-1) model for the enzymatic hydrolysis of cellulose (C) by adapting the original HCH-1 model developed by Holtzapple et al. (1984). The original model only accounted for the initial reaction rates, making it invalid for long-term predictions, while the hydrolysis products have an influence on the reaction rates. The modified HCH-1 accounts for this influence on the cellulose conversion rate and enzyme stability over longer periods. Its main features are its use of the product binding constant  $\beta$  and the quantification of the number of active sites that are covered by enzymes (E) with the parameter  $\varepsilon$  (Holtzapple et al., 1984; Liang et al., 2019). The modified long-term HCH-1 model is given by Equation (8):

$$\frac{d[G]}{dt} = \frac{\kappa[C][E]i}{\alpha + \phi[C] + \epsilon[E]}$$
(8)

with

$$i = \frac{1}{1 + \beta[G]}$$
(9)  
$$\phi = \frac{[C] - \alpha - \varepsilon[E] + \sqrt{([C] - \alpha - \varepsilon[E])^2 + 4\alpha[C]}}{2[C]}$$

$$\alpha = \frac{a_1[G]}{[E](1 + \exp(-a_2 x + a_3))}$$
(11)

$$\frac{-d[E]}{dt} = k_1[E] - k_2([E_0] - [E])[E_0]$$
(12)

$$\kappa = \frac{k_3}{(1+x^{k_4})^{k_5}} + k_6 \tag{13}$$

with  $\kappa$  the kinetic constant of the lumped enzyme,  $k_i$ ,  $i = 1, \ldots, 6, a_j$ ,  $j = 1, \ldots, 3, \epsilon$ , and  $\beta$  are the 11 model parameters. x is the cellulose conversion. The estimation of the model parameters is described in Liang et al. (2019) but is not included in this contribution.

While the models developed by Kadam et al. (2004) and extended by Prunescu and Sin (2013) use a combination of both adsorption mechanisms and Michaelis-Menten kinetics, the HCH-1 model is purely based on adsorption mechanics. It additionally assumes non-competitive inhibition of the enzyme activity by the obtained hydrolysis products, in comparison with the competitive inhibition as used in the Kadam et al. (2004) model. The modified HCH-1 model further distinguishes itself from the original model by considering a lumped enzyme mixture ( $\kappa$  is the kinetic constant of the lumped enzyme), making it more closely aligned with reality (Liang et al., 2019).

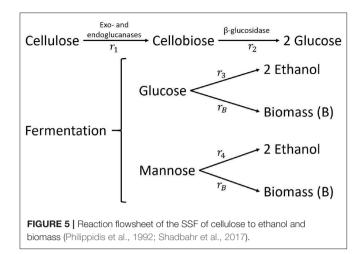
# 3.2. Simultaneous Saccharification and Fermentation

All enzymatic hydrolysis models presented in section 3.1 strongly focus on the inhibitory effect of the hydrolysis products on the enzyme activity. This is one of the major disadvantages of the enzymatic hydrolysis process. To circumvent this, the produced sugars are immediately fermented after their formation. The hydrolysis (or saccharification) and fermentation process occur simultaneously in the same vessel. The reasoning of the simultaneous saccharification and fermentation (SSF) process is based on the Le Chatelier's principle, which states that a system in equilibrium will shift to a new equilibrium if a change in the system occurs, in order to neutralize the imposed change. Thus, if the product of the hydrolysis process is removed, new product will be formed, pushing the hydrolysis to the product side of the equation. SSF processes, however, are very complex and their performance is highly dependent on the operating conditions and used feedstock (Shadbahr et al., 2017; Singh et al., 2018).

Early mathematical models of the SSF process were developed by Philippidis et al. (1992, 1993). In its essence, the proposed SSF model is a coupling of the enzymatic hydrolysis of cellulose and the fermentation of glucose to ethanol by microorganisms. More recent contributions are made by Shadbahr et al. (2017), Sakimoto et al. (2017), and Singh et al. (2018). While Shadbahr et al. (2017) consider the SSF of cellulose, Singh et al. (2018) consider the SSF of starch. The latter model can therefore be applied to model SSF processes of starchy biowaste, like kitchen waste and agricultural residues containing a, relatively, little amount of lignocellulose. Hou and Bao (2018) modeled the SSF of cellulose to citric acid but this model will not be discussed in this contribution.

The kinetic SSF model of Shadbahr et al. (2017) is a modification of the mathematical SSF models presented by Philippidis et al. (1992, 1993) and Pettersson et al. (2002). The enzymatic hydrolysis step of cellulose by cellulases is modeled as the degradation of cellulose to cellobiose. The

(10)



amount of glucose that is formed by the exo- and endoglucanases is considered to be negligible. Cellobiose is eventually converted to glucose by  $\beta$ -glucosidase (see **Figure 5**). The formed glucose is subsequently fermented to ethanol (Et) and is used for biomass (B) accumulation. Shadbahr et al. (2017) additionally consider the fermentation of mannose to ethanol (and biomass) while this C6-sugar is common in softwood and other lignocellulosic material.

The conversion rate  $r_1$  of cellulose to cellobiose by the exo- and endo-glucanases is given by (Prunescu and Sin, 2013; Sakimoto et al., 2017; Shadbahr et al., 2017):

$$r_{1} = \frac{\kappa[C] \exp(-\lambda t)}{1 + [CB]/K_{I,1}^{CB} + [G]/K_{I,1}^{G}} \left(\frac{K_{I,1}^{Et}}{K_{I,1}^{Et} + [Et]}\right)$$
(14)

with  $\kappa$  the lumped specific rate constant of the exo- and endoglucanases, and  $\lambda$  the rate of cellulose surface decrease due to enzymatic activity.  $K_I^{Et}$  is the inhibition constant of the cellulases by ethanol. Bezerra and Dias (2005) however found that the inhibitory effect of ethanol on the enzymatic hydrolysis of cellulose is very limited. The conversion rate  $r_2$  of cellobiose to glucose by  $\beta$ -glucosidase is given by (Prunescu and Sin, 2013; Shadbahr et al., 2017):

$$r_2 = \frac{k_2[Et][CB]}{K_{S,E}(1+[G]/K_{I,2}^G) + [CB]}(1-K_{EL,ad}[L])$$
(15)

While the lignin-fraction of the lignocellulosic biomass is not metabolized, its inhibitory effect on the enzymatic reactions must also be accounted for.  $\beta$ -glucosidase is prone to adsorb to lignin (L), resulting in a loss of free enzymes and thus enzymatic activity (Philippidis et al., 1992; Shadbahr et al., 2017).  $K_{EL,ad}$  is the specific adsorption rate constant of the adsorption of  $\beta$ -glucosidase enzyme to lignin.  $K_{S,E}$  is the cellobiose saturation constant for  $\beta$ -glucosidase. Michaelis-Menten kinetics are assumed for the enzymatic processes and the adsorption of the enzymes to the cellulose is modeled according to the Langmuir isotherm (see Equation 1). The volumetric consumption rates  $r_3$  and  $r_4$  by the microorganism of glucose and mannose, respectively, are given by (Philippidis et al., 1992; Sakimoto et al., 2017; Shadbahr et al., 2017):

$$r_{3} = \frac{[G]}{[G] + [M]} \left( \frac{r_{B}}{Y_{B,G}} + m_{s}[B] \right)$$
(16)

$$r_4 = \frac{[M]}{[G] + [M]} \left(\frac{r_B}{Y_{B,M}} + m_s[B]\right)$$
(17)

with  $r_B$  the volumetric rate of biomass production and  $Y_{BG}$  the yield coefficient of biomass from metabolizing glucose.  $r_B$  is given by (Philippidis et al., 1992; Shadbahr et al., 2017):

$$r_{B} = \mu_{m}[B] \left( \frac{[G] + [M]}{K_{G} + [G] + [M]} \right) \left( \frac{K_{I,B}^{Et}}{K_{I,B}^{Et} + [Et]} \right)$$
(18)

with  $\mu_m$  the maximum specific growth rate of the used microorganism and  $K_{I,B}^{Et}$  the inhibitory effect of ethanol on (the growth of) the microorganism. The mass balances of the considered system components are given by (Philippidis et al., 1992; Prunescu and Sin, 2013; Shadbahr et al., 2017):

$$\frac{d[C]}{dt} = -r_1 \tag{19}$$

$$\frac{d[CB]}{dt} = 1.056 r_1 - r_2 \tag{20}$$

$$\frac{d[G]}{dt} = 1.053 \, r_2 - r_3 \tag{21}$$

$$\frac{d[M]}{dt} = -r_4 \tag{22}$$

$$\frac{d[B]}{dt} = r_B \tag{23}$$

$$\frac{d[Et]}{dt} = 0.511 (r_3 + r_4) \tag{24}$$

The SSF model presented by Singh et al. (2018) considers the degradation of starch into glucose with the use of amylase. The formed glucose is subsequently fermented into ethanol and  $CO_2$  with the use of *Saccharomyces cerevisiae*. The enzymatic saccharification of starch (St) into glucose (G) was modeled with Michaelis-Menten kinetics (Singh et al., 2018):

$$r_S = V_{max} \frac{[St]}{K_{MM,S} + [St]}$$
(25)

with  $r_S$  the rate of glucose formation from starch,  $V_{max}$  the maximum rate of glucose formation, and  $K_{MM,S}$  the Michaelis-Menten constant for the considered enzymatic reaction. The mass balance of glucose in the system is given by (Singh et al., 2018):

$$\frac{d[G]}{dt} = r_S - r_u \tag{26}$$

with  $r_u$  the uptake rate of glucose by *S. cerevisiae*. The magnitude of  $r_u$  is defined by the amount of glucose that is used for ethanol

production, the accumulation of biomass, and cell maintenance. The specific growth rate  $\mu$  of *S. cerevisiae* is modeled according to Monod kinetics and is defined by (Singh et al., 2018):

$$\mu = \mu_m \frac{[G]}{K_S + [G]} \tag{27}$$

with  $\mu_m$  the maximum specific growth rate of *S. cerevisiae* and  $K_S$  the saturation constant. Accordingly (Singh et al., 2018):

$$\frac{d[B]}{dt} = \mu[B] = \mu_m \frac{[G]}{K_S + [G]}[B]$$
(28)

The mass balances of the system's key components are defined by (Singh et al., 2018):

$$\frac{d[St]}{dt} = -r_{S} = -V_{max} \frac{[St]}{K_{MM} + [St]}$$
(29)

$$\frac{d[B]}{dt} = \mu_m \frac{[G]}{K_s + [G]} [B]$$
(30)

$$\frac{d[Et]}{dt} = q_m \frac{[G]}{K_s + [G]} [B]$$

$$d[G] \qquad [St]$$

$$\frac{d[G]}{dt} = 1.11 r_{S} - r_{u} = 1.11 V_{max} \frac{[St]}{K_{MM} + [St]} - \left(\frac{1}{Y_{P,St}} \frac{d[Et]}{dt} + \frac{1}{Y_{B,St}} \frac{d[B]}{dt} + m_{S}[B]\right) \quad (32)$$

Per 1.11 unit of hydrolysed cellulose, 1 unit of glucose is formed.  $Y_{P,St}$ ,  $Y_{B,St}$  are the product and biomass yield coefficients, linking the observed production and growth rates to the substrate uptake rate.  $m_S$  is a similar coefficient, linking the consumption of substrate to the rate of cell maintenance. The proposed model was validated for the SSF of algal starch (i.e., starch produced by algae) and was able to accurately predicted the considered key system components (Singh et al., 2018).

Note that while Philippidis et al. (1992) and Shadbahr et al. (2017) did consider the inhibitory effect of glucose on the microbial growth rate, Singh et al. (2018) did not, by assuming that the glucose accumulation in the reactor medium is negligible. They additionally did not consider product inhibition by the produced ethanol, assuming that the produced amount is equally negligible. The model proposed by Singh et al. (2018) can however by easily converted to match the outlay of the more detailed SSF model proposed by Shadbahr et al. (2017) by adding the appropriate inhibitory factors.

Both presented models mainly focus on the hydrolysis of cellulose and the fermentation of glucose, or other C6-sugars. However, as indicated by the SSF model developed by Prunescu and Sin (2013), C5-sugars are also present in the system. In an SSF process, these C5-sugars, like xylose, are not metabolized during the fermentation step. The full potential of the hydrolysate stream is thus not fully exploited. To circumvent this deficiency, the simultaneous saccharification and co-fermentation process was introduced.

# 3.3. Simultaneous Saccharification and Co-fermentation

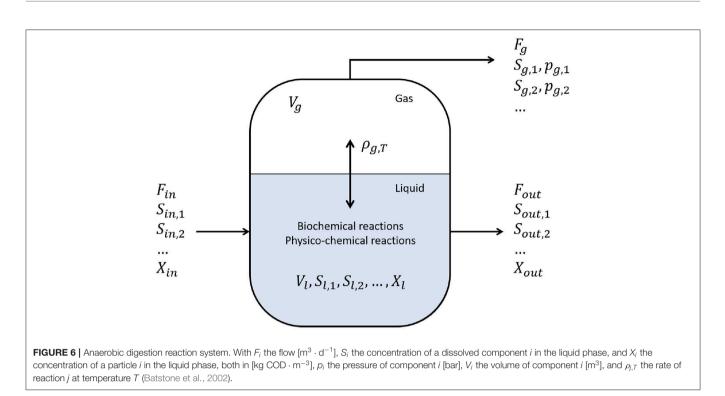
The main goal of a simultaneous saccharification and cofermentation (SSCF) process is the simultaneous, or cofermentation, of both C5- and C6-sugars. Most microorganisms, however, either metabolize either C5- or C6-sugars, or display a very distinct preference for one of the two. Advances in the genetic modification of microorganisms, however, has allowed for the development of microorganism strains that are capable of processing both C5- and C6-sugars, without displaying a preference for one of the two. Morales-Rodriguez et al. (2011), for instance, consider the modified Escherichia coli ATCC-55124 strain in their SSCF model, while Krishnan et al. (1999) consider the modified S. cerevisiae 1400(pLNH33) strain. Chen et al. (2018) on the other hand modeled a co-fermentation process based on the co-culture of several genetically modified S. cerevisiae strains, each one specialized in metabolizing one particular type of substrate.

The SSCF model as proposed by Morales-Rodriguez et al. (2011) is a combination of the enzymatic hydrolysis of cellulose as presented by Kadam et al. (2004) and the co-fermentation model as presented by Krishnan et al. (1999). While the enzymatic hydrolysis of cellulose has already been extensively discussed in the previous sections (see sections 3.1 and 3.2), this part of the model will not be discussed in the context of SSCF processes. The enzymatic hydrolysis process of hemicellulose with the use of xylanases was extensively analyzed and modeled by Dutta and Chakraborty (2015). This enzymatic process is, just like the enzymatic hydrolysis of cellulose, a two-phase process during which the insoluble solid, being (hemi-)cellulose, is decomposed into smaller components that immediately dissolve in the reactor medium. Dutta and Chakraborty (2015) model the adsorption of the enzymes from the liquid phase on the solid phase according to the Langmuir isotherm (see Equation 1).

Chen et al. (2018) modeled the subsequent co-fermentation of cellobiose and xylose via a mixed culture of recombinant *S. cerevisiae* species. The proposed model assumes that the fermentation process follows Monod kinetics. The model includes substrate competition and the inhibitory effect of both substrates and products on the growth of the recombinant *S. cerevisiae*. The model considers two simultaneously grown *S. cerevisiae* strains, EJ2 and SR8, that metabolize cellobiose and xylose, respectively. For the EJ2-strain, it was found that the initial cellobiose concentration has a major impact on the ethanol production by the recombinant *S. cerevisiae* strain. Therefore, a  $S_0$  factor was included in the expression for the ethanol production growth rate  $\nu$ , rendering the following (semiempirical) correlation (Chen et al., 2018):

$$\nu = \exp(0.011[S_0]) \frac{\nu_m[S]}{K_M + [S] + [S]^2/K_I} \left(1 - \left(\frac{[Et]}{[Et_m]}\right)^{\gamma}\right)$$
(33)

with  $[S_0]$  the initial cellobiose concentration,  $K_M$  the Monod constant,  $K_I$  the inhibition constant,  $v_m$  the maximum ethanol production rate, [S] the current glucose and xylose concentration, [Et] the ethanol concentration,  $[Et_m]$  the lethal ethanol



concentration (above which the cells cease to grow), and  $\gamma$  a constant linking  $\nu$  and [*Et*].

Chen et al. (2018) noticed that the SR8 strain was unable to uptake xylose when it was only in a small concentration present in the medium. Additionally, when xylose levels were low and xylose consumption had ceased, the consumption of ethanol by the SR8 strain became prevalent. Both phenomena caused the experimentally acquired data to differ from the predictions made by the model. To rectify the discrepancy, the ethanol consumption was accounted for in the model via curve fitting and the introduction of two additional fermentation constants  $b_1$  and  $b_2$ . The inhibitory effect of xylose was neglected, as its effect was much smaller as that of the produced ethanol. The modified kinetic model of the substrate consumption is given by the following correlation (Chen et al., 2018):

$$-\frac{d[S]}{dt} = \frac{1}{b_1 Y_{Et,S}} \frac{d[Et]}{dt} + \frac{1}{b_2 Y_{B,S}} \frac{d[B]}{dt} + m_s$$
(34)

with  $Y_{Et,S}$  the product yield constant,  $Y_{B,S}$  the cell mass yield constant, [B] the cell concentration, and  $m_s$  the maintenance coefficient.

Another co-fermentation model was developed by Sreemahadevan et al. (2018) and considers the co-culture of *S. cerevisiae* and *Scheffersomyces stipitis* instead. The growth kinetics were also derived from the Monod equation, which was altered accordingly to account for product and substrate inhibitory effects. The model proposed by Sreemahadevan et al. (2018) displays high similarities with the model proposed by Chen et al. (2018) and is therefore not further discussed.

# 3.4. Anaerobic Digestion

The anaerobic digestion of waste water treatment sludge, and (solid) biowaste is being applied more and more often. The main products obtained from an anaerobic digestion process are biogas, compost, and fertilizers (Pastor-Poquet et al., 2018). The most commonly used process model is the IWA Anaerobic Digestion Model No 1 (ADM1), compiled by Batstone et al. (2002). Both biochemical and physico-chemical processes are considered. The anaerobic digester is modeled as a CSTR with a fixed volume ( $F_{out} = F_{in}$ ) (see Figure 6). In the context of biochemical reactions, only the biodegradable substrate COD (Chemical Oxygen Demand) input is considered. All intracellular reaction are modeled via Monod-kinetics. The physico-chemical reactions in the liquid phase can be mathematically formulated both using algebraic or differential equations. If it is opted for the latter option, the model is more prone to become stiffer due to the large number of differential equations. The ADM1 as presented by Batstone et al. (2002) considers 24 components, 19 reactions, and the time unit is days (d). The mass balance of a component *i*, in the liquid phase, is defined by:

$$\frac{dS_{l,i}}{dt} = \frac{F_{in}S_{in,i}}{V_l} - \frac{F_{out}S_{out,i}}{V_l} + \sum_{j=1}^{19}\rho_j v_{i,j}$$
(35)

with  $\rho_j$  kinetic rate coefficients and  $v_{i,j}$  the biochemical rate coefficient of process *j* for the formation of component *i*.

The ADM1 as presented by Batstone et al. (2002) has already been applied (and adapted) to a multitude of processes. Biernacki et al. (2013) applied the ADM1-model to the anaerobic digestion of grass, maize, silage, and industrial glycerine. Arnell et al. (2016) modeled the co-digestion of multiple feedstock by including co-digestion in the ADM1-model. A hydrogenproduction model was developed by Ntaikou et al. (2010) based on the ADM1-model, while Zhao et al. (2019) adapted the ADM1-model for the production of bio-methane from food waste. The structural and practical identifiability of the ADM1model was assessed by Nimmegeers et al. (2017). While structural identifiability refers to whether or not the model's structure allows for the unique estimation of the underlying model parameters, practical identifiability refers to the problem of finding accurate parameter estimates considering the (practical) limitations of the model input and measuring conditions. Several more examples of the application, adaptation, and extension of the ADM1-model are available in literature, but are not included in this review for the sake of clarity. The interested reader is referred to, i.a., García-Diéguez et al. (2013) and Song et al. (2018).

# 4. THERMOCHEMICAL CONVERSION PLATFORMS

One of the major disadvantages of the previously discussed biochemical conversion platforms are their inability to process the lignin fraction of (lignocellulosic) biomass. The lignin fraction even displayed an inhibitory effect on the conversion of cellulose and hemicellulose. Removing lignin from the feedstock prior to its conversion is costly and additionally inherently results in an unwanted loss of carbon (Li et al., 2018). Biorefineries which employ a thermochemical conversion platform decompose the entire biomass feedstock in its basic structural elements or components, which are subsequently converted to the desired products via tubular flow reactors and catalytic synthesis (Baliban et al., 2013; Michailos et al., 2017). This results in a more efficient usage of the biomass feedstock in comparison to the biochemical conversion platforms.

Two main thermochemical conversion techniques will be discussed in this section, with a focus on two thermochemical pretreatment steps: fast pyrolysis and gasification. Both techniques are based on the breakdown of the biomass with the use of added heat. The different process conditions of pyrolysis and gasification processes result in the formation of different intermediate products. A (fast) pyrolysis process transforms the biomass, in the absence of oxygen, into noncondensable gases (like CO,  $CO_2$ , and  $H_2$ ), tar or bio-oil, and biochar or solid carbon. (Fast) pyrolysis processes occur at relatively low temperatures (between 300 and 600 °C) and are characterized by a high liquid fuel yield, i.e., bio-oil (Anca-Couce and Scharler, 2017; Goyal and Pepiot, 2017). Bio-oil is considered to be a suitable replacement for fossil fuels and displays many advantageous properties, like its ease of storing and transportation (Cai et al., 2018). Gasification processes are in their essence pyrolysis processes, but occur at higher temperatures (800-1,200 °C) and mostly in the presence of oxygen (Goyal and Pepiot, 2017; Jin et al., 2017). Because of the higher process temperatures, the yield of gaseous products is higher than in the case of pyrolysis processes. The produced syngas mainly consists of  $H_2$ , CO, CO<sub>2</sub>, and CH<sub>4</sub>.

# 4.1. Fast Pyrolysis

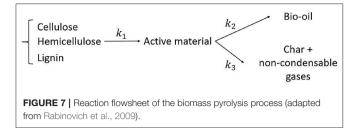
During a pyrolysis process, the biomass feedstock is depolymerized in the absence of oxygen. The pyrolysis of (lignocellulosic) biomass is a heterogeneous endothermic reaction, with increasing reaction rate with temperature (Papari and Hawboldt, 2015; Dhyani and Bhaskar, 2018). Four types of pyrolysis processes can be distinguished: (i) Fast pyrolysis, (ii) Slow pyrolysis, (iii) Intermediate pyrolysis, and (iv) hydropyrolysis. The first three processes occur in an inert nitrogen environment, while the latter occurs in a reductive hydrogen environment. The adjectives fast, slow, and intermediate relate to the heating rate used during the process. The faster the heating rate of the biomass is, the more liquid bio-oil is produced. Slower heating rates enable the occurrence of more unwanted secondary reactions and the formation of non-condensable compounds from the primary pyrolysis products (Dhyani and Bhaskar, 2018). Fast pyrolysis processes are characterized by high heating rates, as the biomass is rapidly heated to high temperatures. While this pyrolysis process type yields the most bio-oil, it will be the main focus of this section.

Commonly used pyrolysis reactors include fixed-, bubbling, and boiling bed reactors (Isahak et al., 2012; Dhyani and Bhaskar, 2018). These reactor types have already been extensively analyzed and modeled in the context of the pyrolysis of coal. Due to the high resemblance of coal and lignocellulosic biomass, early (lignocellulosic) biomass models are unsurprisingly heavily influenced by and based on the already available coal pyrolysis models. For instance, Michailos et al. (2017) modeled and compared the fast pyrolysis of bagasse followed by hydroprocessing, and the gasification of bagasse followed by Fischer-Tropsch synthesis. Both processes have already been previously successfully applied for the production of liquid fuels from coal.

Other earlier model contributions include the mathematical models of the fast pyrolysis of a single wood particle by Rabinovich et al. (2009) and the fast pyrolysis of biomass in circulating fluidized bed reactors by Van de Velden et al. (2008). Anca-Couce et al. (2013) introduced a multi-scale model of the pyrolysis of biomass in a fixed-bed reactor. Lerkkasemsan and Achenie (2014) introduced a fuzzy modeling framework and applied it to the pyrolysis of biomass.

The fast pyrolysis model proposed by Rabinovich et al. (2009) consists of three submodels: (i) A kinetic model of the pyrolysis of the different components [cellulose (C), hemicellulose (HC), and lignin (L)] of the biomass, (ii) A thermal model for the heating of one biomass particle in the boiling bed reactor, and (iii) A model of the biomass particle motion in the free reactor space. The diameter of the biomass particle is assumed to be smaller than 1 mm because the goal of the biomass pyrolysis is the production of liquid bio-oil. Therefore, the heating rate of the biomass should be high. **Figure 7** represents the reaction flowsheet considered by Rabinovich et al. (2009). The kinetic parameters  $k_i$  are assumed to obey Arrhenius law:

$$k_i = A_i \exp\left(\frac{-E_{a,i}}{RT}\right) \tag{36}$$



with  $A_i$  the pre-exponential factor,  $E_{a,i}$  the activation energy of the *i*-th reaction, and *R* the universal gas constant.  $k_1-k_4$ are separately determined for each biomass component. Nunes (2015) and Wang et al. (2017) extended the Arrhenius law to the fast pyrolysis of lignocellulosic biomass via the introduction the biomass conversion magnitude  $\alpha$ , which is also a function of the reactor temperature *T* (Nunes, 2015; Wang et al., 2017):

$$\frac{d\alpha}{dt} = k(T)f(\alpha) \tag{37}$$

$$= A \exp\left(\frac{-E_a}{RT}\right) f(\alpha) \tag{38}$$

with k(T) the reaction rate, according to the Arrhenius law as presented in Equation (36). Equation (37) is valid for an isothermal reaction. In the case of a non-isothermal reaction with a linear heating rate  $dT/dt = \beta$ , Equation (37) is replaced by (Nunes, 2015; Wang et al., 2017):

$$\frac{d\alpha}{dT} = \frac{d\alpha}{dt}\frac{dt}{dT} = \frac{1}{\beta}A\exp\left(\frac{-E_a}{RT}\right)f(\alpha)$$
(39)

 $f(\alpha)$  models the biomass pyrolysis reaction. The most commonly used model is the first-order reaction model (Cai et al., 2013; Wang et al., 2017):  $f(\alpha) = (1 - \alpha)^n$  (with n = 1). The thermogravimetric analyses performed by Van de Velden et al. (2008) confirm this assumption. The reaction flowsheet as modeled by Van de Velden et al. (2008) is represented in **Figure 8**. The mass balances of the biomass (BM), non-condensable gases (G), bio-oil (BO), and char (C) are given by (Van de Velden et al., 2008):

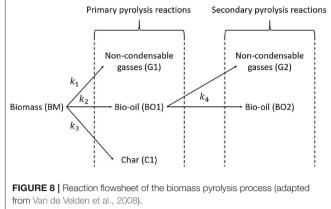
$$\frac{dm_{BM}(t)}{dt} = -(k_1 + k_2 + k_3)m_{BM}(t) = -k m_{BM}(t) \quad (40)$$

$$\frac{dm_G(t)}{dt} = k_1 m_{BM}(t) + k_4 m_{BO}(t)$$
(41)

$$\frac{dm_{BO}(t)}{dt} = k_2 m_{BM}(t) - k_4 m_{BO}(t)$$
(42)

$$\frac{dm_C(t)}{dt} = k_3 m_{BM}(t) \tag{43}$$

More recent contributions in the field of (fast) pyrolysis modeling include the Aspen Plus model for the pyrolysis of municipal green waste by Kabir et al. (2015), the kinetic model of the fast pyrolysis of bagasse in a fluidized bed reactor by Michailos (2018), and the mathematical model of the bio-oil production via the fast pyrolysis of bagasse in a circulating fluidized bed

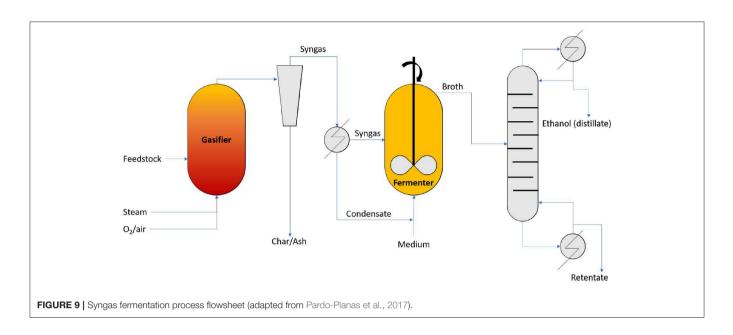


reactor by Treedet et al. (2017). Ciesielski et al. (2018) presented a multi-scale model of the catalytic fast pyrolysis of biomass. The considered process scales by Ciesielski et al. (2018) range from the atomistic scale to the reactor scale. Other contributions include Matta et al. (2017) and Ranzi et al. (2017a,b). Several comprehensive review papers have already been published on the subject biomass pyrolysis. The interested reader is referred to Isahak et al. (2012), Sharma et al. (2015), Papari and Hawboldt (2015), and Dhyani and Bhaskar (2018). Additionally, SriBala et al. (2019) recently presented a review on the state of the art in measuring fast pyrolysis kinetics. This comprehensive review is especially useful in the context of further extending the already available pyrolysis model collection, and validating existing models with experimental data.

### 4.2. Gasification

Gasification processes are thermochemical conversion processes that occur at higher temperatures ( $800-1,200^{\circ}C$ ) than pyrolysis processes and in the presence of oxygen. The main product obtained during gasification processes is syngas, mainly consisting of  $H_2$ , CO,  $CO_2$ ,  $CH_4$ , and other lightweight hydrocarbons. Syngas can be directly used as a gaseous fuel, but can also be transformed into other products, like alcohols and acids, using (bio-)chemical processes (Goyal and Pepiot, 2017; Hejazi et al., 2017a).

While the gasification process is in its essence a rigorous pyrolysis process, both processes display a high level of resemblance. The developed kinetic models describing the gasification of biomass are therefore often very, to entirely, similar to those describing the (fast) pyrolysis of the same biomass feedstock. The kinetic biomass gasification model developed by Hejazi et al. (2017a), for instance, considers a two-step gasification mechanism which is very similar to the reaction flowsheet displayed in **Figure 8**. The second step in the gasification process as modeled by Hejazi et al. (2017a) converts the bio-oil fraction entirely into non-condensable gases. This so-called thermal bio-oil cracking during the secondary pyrolysis reactions is one of the main differences between pyrolysis and gasification processes. The stoichiometry of the bio-oil (BO) cracking process is given by (Hejazi et al., 2017a):



 $BO \rightarrow \lambda_{CO}CO + \lambda_{CO_2}CO_2 + \lambda_{H_2}H_2 + \lambda_{CH_4}CH_4 + \lambda_{BO}BO$  (44)

with  $\lambda_i$  the corresponding stoichiometric coefficients. The operating conditions, like residence time in the reactor and temperature, have an influence on the stoichiometric coefficients. Depending on these operating conditions, the remaining biooil fraction can fluctuate between 22 and 0% (Hejazi et al., 2017a). The cracking process is modeled as a first-order process, following the Arrhenius law. The primary reactions, which convert the biomass stream into char, non-condensable gases, and bio-oil, are also modeled as first-order reaction (Van de Velden et al., 2008; Hejazi et al., 2017a). The model presented by Hejazi et al. (2017a) considers a dual fluidized bed reactor and is compared and validated based on the experimental results obtained from a pilot set-up (Hejazi et al., 2017b).

Other contributions include the kinetic-compartmental model for the gasification of potassium-containing cellulose (Egedy et al., 2018), the compact kinetic biomass gasification model (Goyal and Pepiot, 2017), and the multi-scale model of biomass gasification in a steam-air blown bubbling fluidized bed reactor (Bates et al., 2017). Due to their high resemblance to the already discussed kinetic models in section 4.1, these models will not be further discussed.

# 5. HYBRID CONVERSION PLATFORMS

The main disadvantage of biochemical degradation and conversion processes is their inability to process the lignin fraction of the biomass feedstock. This inherently results in a loss of carbon and thus an inefficient feedstock usage. Purely thermochemical conversion processes, on the other hand, are characterized by their low product selectivity and thus relatively low yields. Hybrid biorefineries employ both previously introduced bioconversion platforms, i.e., biological and thermochemical conversion platforms, simultaneously. By using a thermochemical degradation step (like pyrolysis or gasification), followed by a biochemical conversion step, the usage of the biomass feedstock, and the production of the desired products, is significantly increased. These characteristics make the two-platform biorefinery perhaps the most integrated design (Pardo-Planas et al., 2017; Michailos et al., 2019).

Pardo-Planas et al. (2017) presented an Aspen Plus model of the production of ethanol and acetic acid with the use of syngas fermentation. The considered biomass feedstock is switchgrass (see Table 2). The process flowsheet is represented in Figure 9. The modeled process consists of a gasification unit, a fermentation unit, and a downstream processing unit. The model for the gasification unit was based on an Auger gasifier (see Isahak et al., 2012; Jahirul et al., 2012; Sharma et al., 2015; Dhyani and Bhaskar, 2018). Auger gasifiers have distinguished drying, pyrolysis, and combustion zones. This allows for a tight control of how much feedstock carbon is converted into char. The fermentation module was modeled in Aspen Plus with the use of a stoichiometric reactor. The reactor operates at ambient pressure and 37°C. Acetogenic bacteria, like Clostridium ljungdahlii, Clostridium carboxidivorans, Alkalibaculum bacchi, were present in the reactor and catalyzed the following reactions (Pardo-Planas et al., 2017):

$$4CO + 2H_2O \rightarrow CH_3COOH + 2CO_2 \tag{45}$$

$$2CO_2 + 4H_2 \rightarrow CH_3COOH + 2H_2O \tag{46}$$

$$6CO + 3H_2O \rightarrow C_2H_5OH + 4CO_2 \tag{47}$$

$$2CO_2 + 6H_2 \rightarrow C_2H_5OH + 3H_2O$$
 (48)

Michailos et al. (2019) developed another Aspen Plus model of a syngas fermentation process, but modeled the gasifier as a circulating fluidized bed reactor and used a CSTR reactor for the fermentation process. The considered acetogenic bacterium was *C. ljungdahlii*, which converts, respectively, 70 and 50% of the *CO* and  $H_2$  present in the syngas to ethanol (see Equations 45–48).

# 6. SUPPLY CHAIN NETWORK MODELS

In order to accurately predict the economic feasibility of any biorefinery design, comprehensive process models do not suffice. The sustainability and economic feasibility of the supply of the required feedstock to the biorefinery is additionally of crucial essence when designing and operating a biorefinery. Several biorefinery supply chain models have already been published. The most recent ones include, amongst others, the contributions of Wang et al. (2015), Cambero et al. (2016), Martinkus et al. (2018), and Jonkman et al. (2019).

Jonkman et al. (2019), for instance, presented a decision support tool for the sustainable design of a biorefinery supply chain network, taking local actors and their individual objectives into account. Jonkman et al. (2019) additionally states that previous biomass supply chain design did not take the competition in cultivation between different types of feedstocks into account, but rather the competition in usage (or the other way around). Both options are however simultaneously available to the farmers producing the biomass feedstocks and must therefore be taken into account. Thus, a competition exists between what is most beneficial for the biomass producers and the biorefinery itself. When designing a biorefinery, it is therefore of crucial importance that possible future suppliers are persuaded to produce the biomass the biorefinery requires by assuring that this scenario would also be the most beneficial one for them. The biomass supply chain modeling approach as presented by Jonkman et al. (2019) is based on allocating the benefits of the biorefinery supply chain as fairly as possible over all actors, based on collaborative game theory. Jonkman et al. (2019) applied their developed modeling framework and decision support tool to the supply chain design of biorefinery using sugars beets as feedstock, located in the Netherlands.

Wang et al. (2015) on the other hand provided a mathematical model for the growth of energy crops. For the sake of simplicity, Wang et al. (2015) does not consider temperature, fertilizers, and water as potential limiting factors. The potential growth rate of energy crops (EC) is then defined by (Wang et al., 2015):

$$G_{EC} = \epsilon \phi f_{PR} (1 - \exp(-k - LAI)) HI$$
(49)

with  $G_{EC}$  the energy crop growth index,  $\epsilon$  the crop radiation utilization factor,  $\phi$  the solar radiation,  $f_{PR}$  the amount of radiation that is used in photosynthetic processes, k the leaf canopy extinction coefficient, *LAI* the leaf area index, and *HI* the harvest index (Wang et al., 2015).

Another possibility of estimating the expected annually biomass harvest, is to incorporate Geographic Information Systems (GIS) into the strategic planning and supply chain developing process. Schröder et al. (2019) presented an integrated strategic planning model which takes the configuration, scaling, and siting of a multi-product lignocellulosic biorefinery simultaneously into account. A combined evolutionary strategy/Non-Linear-Programming (NLP) algorithm is presented which is used to generate a set of optimal feasible solutions for the siting, configuration, and scale of the biorefinery plant. The return on investment (ROI) is used as the optimization objective:

$$\max ROI = \frac{profit}{investment} = \frac{rev - ic - oc - irc}{inv}$$
(50)

The revenue rev is dependent on the capacity of the facility, and thus the amount of product that can be produced, the types of products that are produced, and their market sales prices. ic represents the cost of the biomass purchase and the transportation costs from a supply point to the biorefinery facility. Generally, a fixed average transportation cost is used per amount of biomass transported and distance traveled. The distance between a supply point and the biorefinery facility is calculated using the Minkowski distance. The operating costs oc are dependent on the amount of energy that is used (and produced) by the plant, and the materials that are used during the production process. Investment related costs irc are, maintenance, insurance, and overhead costs. They represent a certain percentage of the total investment cost inv. Finally, the investment cost inv is scaled from a standardized investment cost for 1 ton of biomass. The facility size and capacity needed for 1 ton biomass is scaled up to the required facility size with the use of an up-scaling coefficient which takes the so-called economy of scale into account (Schröder et al., 2019).

# 7. DISCUSSION

Of the biological conversion platforms, anaerobic digestion processes are a well-known and -exploited technology but its development varies across the European countries. Germany, Switzerland, Czech Republic, Luxembourg, and Austria are at the forefront of the exploitation of anaerobic digestion process (or biogas plants), with 136-48 biogas plants per 1 million inhabitants (EBA, 2018). The economic application of other biological conversion platform processes are in most cases still confined to pilot-scale set-ups. Thermochemical techniques, however, are already applied in commercial-scale biorefinery plants. This application gap is also visible in the availability of comprehensive process models. While the models presented in section 3 are highly based on assumptions, and ideal Michaelis-Menten and Monod kinetics, the models presented in section 4 are more accurate, based on experimental data, and a relatively thorough process knowledge. Additionally, in the context of the thermochemical conversion of biomass, it was possible to adapt the already available gasification and pyrolysis models for coal to suit the biomass feedstock, due to its high similarity. The already available (Computational Fluid Dynamics) gasification and pyrolysis reactor models only benefit the accelerated commercial exploitation of gasification and pyrolysis processes. These models, amongst others, allow for accurate temperature control in the reactors. The few disadvantages of the thermochemical conversion platform include the low product selectivity of the subsequent conversion processes (not discussed in this contribution) and the relatively high energy consumption.

Although the biological conversion platform uses familiar fermentation-based conversion processes and displays exceptionally high product selectivity in comparison to the catalytic driven conventional conversion processes used in purely thermochemical conversion platforms, its economic exploitation is still hampered by its multiple disadvantages. Its inability to process the lignin fraction of the feedstock introduces a significant inherent feedstock usage inefficiency and the lack of comprehensive mechanistic knowledge and data on the occurring processes, delays the development of extensive process models. The absence of thorough predictive models puts possible investors off due to the unavailability of return on investment assurance. The additional high initial investment cost does not contribute to the already reticent behavior of investors.

The hybrid conversion platform seems to be the perfect combination of both platforms, but is still in its initial development phase. Hybrid conversion platform models are still limited and are often restricted to only Aspen Plus simulations. The commercial exploitation of the hybrid conversion platform, or any other one, can only benefit from increased modeling efforts and the collection and communication of experimental data, obtained from pilot set-ups. Comprehensive process models with a high predictive ability will only aid in increasing the confidence of investors in these processes, and thus the further expansion and application of biorefinery processes.

The modeling of biorefinery supply chain networks is steadily gaining interest (Martinkus et al., 2018; Sharma et al., 2018). Due to general concerns regarding the overall sustainability of using single food crops as biorefinery feedstocks, research focusses have gradually shifted toward the use of biowaste streams as biorefinery feedstocks (see section 2.2). Biowaste streams, in comparison to the uniform single food crop feedstocks, are unsystematic and flexible by nature. Therefore, it is essential that biorefinery exploiters are able to predict the availability of a biowaste feedstock and its overall composition. Predicting both of these aspects is very challenging since they are dependent on a multitude of factors. Climate, harvest time, seasonality, geographical location, and competing buyers for the biowaste in question have a major influence on the availability and/or composition of a certain feedstock. Additionally, if the economic viability of a biorefinery is considered within its local setting, logistics and the (already) available infrastructure will play a key role. Coupling supply chain models with conversion models is, however, challenging. This is often mainly due to discrepancies in supply chain models outputs and the required conversion model inputs, and significantly different time scales. Conversion models require fairly detailed feedstock composition input, mostly in terms of (hemi-)cellulose and lignin content, while supply chain network models are often limited to only predicting the tonnages of a more general feedstock (e.g., pruning waste) that can be expected during a certain time period. These so-called general feedstocks mostly consist of a mixture of several similar species which are not necessarily similar in composition. For instance, the amount of pruning waste sourced from the trees in a certain area could be estimated based on GIS-information but this feedstock will not distinguish between different tree species. Table 2, however, clearly indicates the differences in composition of several tree species. Other issues that arise when coupling supply chain network models with conversion models, are due to the significantly different time scales of both model-types. While supply chain network models can consider time spans up to a year (and possibly more), most conversion models consider time spans from less than a few hours up to a few hundred hours (with anaerobic digestion processes as an exception, sometimes reaching residence times up to 100 days) (Batstone et al., 2002; Pastor-Poquet et al., 2018). Coupling a supply chain model with conversion models could be beneficial for strategic planning purposes like designing the process layout and scale of a to-be-built biorefinery and/or planning process cycles in an operational biorefinery.

Coupling issues are not only limited to supply chain models and conversion models. The mutual coupling of different conversion models can also give rise to similar issues. When coupling any conversion models, it is essential that the aspects mentioned above, i.e., time scale and discrepancies in model outputs and inputs, are considered. The main issues that may arise when coupling two conversion models will most likely be the result of the latter. For instance, furfural (F) is a common byproduct of the dilute acid pretreatment of a biomass feedstock. This pretreatment step can, e.g., precede an enzymatic hydrolysis process or an SSF process. The inhibitory effect of furfural on the enzymatic hydrolysis is considered in the enzymatic hydrolysis model, as proposed by Kadam et al. (2004) and Prunescu and Sin (2013). However, its inhibitory effect is not considered in the SSF model, as proposed by Shadbahr et al. (2017) and Singh et al. (2018), nevertheless the SSF process also encompasses an enzymatic hydrolysis process. Thus, if a conversion model describing a dilute acid pretreatment process (not included in this contribution) would be coupled with the presented SSF-model (as proposed by Shadbahr et al., 2017; Singh et al., 2018), the lack of the incorporation of the inhibitory effect of furfural in the latter, should be addressed accordingly. Moreover, while most of these models are only validated for a few distinct feedstocks, the identification and validation of the kinetic model parameters for other feedstocks may be lacking or insufficient. In these cases, there will be a need of sufficient experimental data to identify and/or validate the required kinetic parameters.

# 8. CONCLUSION

The general support for a more sustainable process industry has never been this high yet, but the considered processes are leaping behind. Biorefinery processes convert a biomass feedstock into liquid fuels and chemical compounds, effectively replacing fossil fuels. The commercial exploitation of any biorefinery type however is still very limited. The lack of thorough process knowledge and the accompanying return on investment uncertainty causes many investors to withhold. The development and use of process models to predict the (economic) output of the considered biorefinery process can aid in guiding investors and policy makers toward investing more in biorefinery plants.

This contribution gives an overview of the available biorefinery models, subdivided according to the used conversion platform (thermochemical, biological, or hybrid). Biological (or biochemical) conversion platforms use enzymes and microorganisms to convert a biomass feedstock to liquid fuels and chemical compounds. These processes display a high product selectivity and are similar to other already commonly used and available bioproduction processes. The commercial application of the biological conversion platform is however still very limited, due to the lack of mechanistic knowledge of the enzymatic and microorganism's activity and the shortage of experimental data. The conversion platform's inability to process the lignin fraction of the biomass (which even has an inhibitory effect on the (hemi-)cellulose conversion processes) causes the carbon efficiency of these processes to be relatively low. Available models are relatively limited and often assume ideal reaction kinetics like Michaelis-Menten and Monod kinetics.

Thermochemical conversion processes are based on the degradation of the biomass feedstock with the use of thermal energy. The obtained products depend on the severity of the thermal degradation process and range from char, bio-oil, and non-condensable gasses to syngas (mainly consisting of  $H_2$ , CO, CO<sub>2</sub>, and CH<sub>4</sub>). The degradation products are subsequently converted into the desired biorefinery products using conventional catalytic conversion processes, like Fischer-Tropsch synthesis, hydroprocessing, etc. Thermochemical conversion processes are able to utilize the entire biomass feedstock, but the conversion processes display a limited product selectivity. The used process models are often based on the fossil process analogs, using coal as a feedstock. Due to the high structural and functional similarities between coal and lignocellulosic biomass, the already existing gasification and pyrolysis models for coal could easily be extended to a lignocellulosic feedstock.

Hybrid conversion processes use thermal energy to degrade the entire biomass feedstock, but subsequently use fermentation processes to convert the obtained syngas into liquid fuels and chemical compounds. The hybrid conversion platform is a promising conversion platform, displaying a high carbon efficiency coupled with a high product selectivity. The available models for this type of conversion platform are however still limited.

Finally, a supply chain model was presented, stressing the importance of including the bigger picture when planning the design of an economically sustainable biorefinery. A solid feedstock supply is of key essence and the objectives of (local) biorefinery actors should not be overlooked.

## 9. FURTHER RESEARCH

While the pyrolysis or gasification of biomass is highly similar to the thermochemical treatment of coal, a significantly large

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amount of accurate mathematical and kinetic models is already available for the thermochemical conversion platform. Existing models considering coal were easily adapted to a biomass feedstock, quickly rendering a wide range of (basic) biomass gasification and pyrolysis models. Contributors considering the biological conversion of (lignocellulosic) biomass, however, did not have the luxury of already possessing a relatively large amount of basic models. Additionally, the mechanistic knowledge of the microorganisms and enzymes catalyzing the biological and biochemical degradation and conversion processes is still too limited.

While the main knowledge gap is in regard to the biological conversion platform, future research should mainly focus on these processes. The increased acquirement of experimental data and mechanistic knowledge is of key importance if the biological processes modeling efforts are to be intensified. Additionally, further expanding and elaborating the hybrid conversion concept is deemed to be beneficial for the short- and long-term commercial exploitation of sustainable biorefinery processes.

Finally, the lack of integrated biorefinery models is one of the most striking gaps in this contribution. All presented mathematical and kinetic process models are limited to only one process step. The models presented in the section regarding the hybrid conversion platforms are quoted to be the most integrated design modeled thus far. The feedstock usage efficiency of this conversion platform is indeed the most efficient one in comparison with the other two conversion platforms, but an integrated biorefinery also consider the continued processing of the biorefinery waste streams and the produced (by-)products. Intensified modeling efforts in the context of integrated biorefinery design is therefore considered to be highly desirable.

# **AUTHOR CONTRIBUTIONS**

VD, MP, and JV: conceptualization, resources, writing, review and editing. VD: investigation and literature review, writing, original draft preparation, and visualization. JV: supervision and funding acquisition. MP and JV: project administration.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# NOMENCLATURE

Symbol	Meaning
[]	Concentration
Ai	Pre-exponential factor of reaction i
E <sub>a,i</sub>	Activation energy of reaction i
EiC	Enzyme (i) - cellulose complex
Fin	Inlet flow
Fout	Outlet flow
<i>k</i> <sub>i</sub>	Specific reaction rate of reaction i
K <sup>a</sup> <sub>l,i</sub>	Inhibitory constant of component a on reaction i
K <sub>WZ,ad</sub>	Adsorption equilibrium coefficient of element $W$ on $Z$
K <sub>M,i</sub>	Monod coefficient of reaction i
K <sub>MM,i</sub>	Michaelis-Menten coefficient of reaction i
K <sub>S,W</sub>	Saturation constant of component W
κ	Lumped kinetic coefficient
ms	Cell maintenance coefficient
$m_W$	Mass of component W
ri	Reaction rate of reaction i
R	Universal gas constant
$[S_0]$	Initial concentration of S
[S <sub>in</sub> ]	Inlet concentration of S
[S <sub>out</sub> ]	Outlet concentration of S
$Y_{W,Z}$	Yield coefficient: amount of W obtained for each amount of Z consumed

Elements	Meaning
В	Biomass
С	Cellulose
СВ	Cellobiose
E	Enzyme
Et	Ethanol
F	Furfural
G	Glucose
HX	Xylan
L	Lignin
Μ	Mannose
Ρ	General product
S	General substrate
St	Starch
X	Xylose