



Effects of Smart Traffic Signal Control on Air Quality

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Adaptive traffic signal control (ATSC) in urban traffic networks poses a challenging task due to the complicated dynamics arising in traffic systems. In recent years, several approaches based on multi-agent deep reinforcement learning (MARL) have been studied experimentally. These approaches propose distributed techniques in which each signalized intersection is seen as an agent in a stochastic game whose purpose is to optimize the flow of vehicles in its vicinity. In this setting, the systems evolves toward an equilibrium among the agents that shows beneficial for the whole traffic network. A recently developed multi-agent variant of the well-established advantage actor-critic (A2C) algorithm, called MA2C (multi-agent A2C) exploits the promising idea of some communication among the agents. In this view, the agents share their strategies with other neighbor agents, thereby stabilizing the learning process even when the agents grow in number and variety. We experimented MA2C in two traffic networks located in Bologna (Italy) and found that its action translates into a significant decrease of the amount of pollutants released into the environment.

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1. INTRODUCTION

The impact of air pollution on human health, whether due to vehicular traffic or from industrial sources, has been proven to be largely detrimental. According to WHO, the World Health Organization, in recent times (2016) there have been worldwide 4.2 million premature deaths due to air pollution (WHO, 2021). This mortality is due to exposure to small particulate matter of 2.5 microns or less in diameter (PM2.5), which cause cardiovascular and respiratory disease, and cancers. The Organization has included polluted air among the top 10 health risks of our species. Respiratory diseases kill more than alcohol and drugs and rank fourth among the leading causes of death (WHO, 2002). It is particularly blocked traffic that cause the greatest risks (Hermes, 2012). In order to avoid congestion and traffic jams, various artificial-intelligence based algorithms have been proposed. These algorithms are able to deal with the problem of managing traffic signal control to favor a smooth vehicle flow. Established approaches include fuzzy logic (Gokulan and Srinivasan, 2010), swarm intelligence (Teodorovi, 2008), and reinforcement learning (Sutton and Barto, 1998).

In the present work, we employ MA2C (Chu et al., 2019), an instance of multi-agent reinforcement learning as a signalized intersection controller, in an area located in the immediate outskirts of the city of Bologna (Italy), namely the Andrea Costa area (Fazzini et al., 2021). Our experimentation is focused on evaluating the variation of vehicle emissions when signalized intersection are coordinated with MA2C. The traffic network setting we adopted is based on (Fazzini et al., 2021).

1.1. Related Work

Traffic flow is increasing constantly with economic and social growth, and road congestion is a crucial issue in growing urban areas (Marini et al., 2015; Rizza et al., 2017). Machine learning methods like reinforcement learning (Kuyer et al., 2008; El-Tantawy and Abdulhai, 2012; Bazzan and Klgl, 2014; Mannion et al., 2016) and other artificial intelligence techniques such as fuzzy logic algorithms (Gokulan and Srinivasan, 2010) and swarm intelligence (Teodorovi, 2008) have been applied to improve the management of street intersections regulated with traffic lights (signalized intersections). Arel et al. (2010) proposed a new approach of a multi-agent system and reinforcement learning (RL) utilizing a q-learning algorithm with a neural network, and demonstrated its advantages in obtaining an efficient traffic signal control policy. Recently, a specific interest has been shown in the applications of agent-based technologies to traffic and transportation engineering. As an example, Liang et al. (2019) studied traffic signal duration with a deep reinforcement learning model. Furthermore, Nishi et al. (2018) developed an RL-based traffic signal control method that employs a graph convolutional neural network analysing a six-intersection area. In addition, Rezzai et al. (2018) proposed a new architecture based on multi-agent systems and RL algorithms to make the signal control system more autonomous, able to learn from its environment and make decisions to optimize road traffic. Wei et al. (2020) gave a complete overview on RL-based traffic signal control approaches, including the recent advances in deep RL-based traffic signal control methods. Wang et al. (2018) summarized in their review some technical characteristics and the current research status of self-adaptive control methods used so far. Yau et al. (2017) and Mannion et al. (2016), instead, provide comprehensive surveys mainly on studies before the more recent spread of deep reinforcement learning. The present work is mainly based on (Fazzini et al., 2021). For our simulations, we replicated the Andrea Costa and Pasubio areas in pseudo-random and entirely random traffic conditions. Both areas are located in the western outskirts of Bologna (Italy) (Bieker et al., 2015).

2. MATERIALS AND METHODS

2.1. Overview

In this work, we experimented a multi-agent deep reinforcement Learning (MARL) algorithm called Multi-Agent Advantage Actor-Critic (MA2C) (Chu et al., 2019, 2020) in a simulated traffic settings located in the Bologna area (Fazzini et al., 2021). Our goal is to evaluate its performance in terms of amount of pollutants released in the environment. More specifically, our evaluation focus on how MA2C, by controlling the logic of traffic lights, affects the coordination among the signalized intersections and consequently influence the amount of vehicles queuing at their surroundings.

The problem of coordinating signalized intersections can be seen as a stochastic game: every *agent* (i.e., every signalized intersection) aims to minimize the amount of queuing vehicles (*reward*)¹ by observing their behavior in its neighborhood (i.e., by observing its neighborhood *state*) and ultimately learns how to balance its *action* (by controlling traffic lights switching) with the other agents. Notably, MA2C couples the observation of its neighbor policy to the observation of its state, and restricts the environment reward to its neighborhood (**Figure 1**) (Fazzini et al., 2021) yielding a mixed cooperative-competitive stochastic game.

¹as in Fazzini et al. (2021), to comply the literature on the subject, in this work, we will call the environment feedback "reward" even though it is provided (and perceived) as a penalty.



FIGURE 2 | Traffic network: the round (translucent-purple) spots reference the signalized intersections (agents). Each signalized intersection include one or more crossroads which are highlighted in a dark (green) color. The intersection not controlled by any agent are highlighted in a lighter (yellow) color.





TABLE 1 | Settings.

Agents	Signalized intersections
States	Wave and fingerprints
Actions	Traffic lights settings (e.g., switching from red to green)



FIGURE 4 | Scheme of the two ANNs (Actor and Critic). Apart from the output layer, the two ANNs share the same architecture but are distinct entities with different weights. The *Wave* and *Fingerprints* inputs are elaborated by two fully connected (FC) layers made by 64 and 128 units. The outputs of the FC layers feed the LSTM unit (64 inputs) whose output is in the first case a state value (first ANN, Critic) and in the second case a policy (second ANN, Actor).

As shown in **Figure 2**, our setting is organized in a nested structure: a traffic network represents our environment, which in turn includes multiple traffic signalized intersections (agents).

Every intersection contains one or more crossroads, each including a number of lanes.

We start by reviewing the equations of multi-agent reinforcement learning. In Section 3, we detail our experiments and show our traffic networks. Finally (Section 4), we evaluate how the MA2C action translates in terms of pollutants released in the environment.

2.2. Multi-Agent Reinforcement Learning

As described in Fazzini et al. (2021), we refer our formalism to the framework of recurrent policy gradients (Wierstra et al., 2007): each agent learns a limited memory stochastic policy $\pi(u_t \mid h_t)$, mapping sufficient statistics of a sequence of states h_t to probability distributions on action u_t ; once the optimal policy has been determined it is adopted for signalized intersection coordination.

2.2.1. Neighbor Agents

In a network symbolized by a graph $G(\mathcal{V}, \mathcal{E})$, where \mathcal{V} (vertices) is the set of the agents and \mathcal{E} (edges) is the set of their connections, agent *i* and agent *j* are neighbors if the number of edges connecting them is less or equal some prefixed threshold. In the adopted formalism: (1) agents and connections refers to signalized intersections; (2) the neighborhood of agent *i* is denoted as \mathcal{N}_i and its local region is $\mathcal{V}_i = \mathcal{N}_i \cup i$; and (3) the distance between any two agents is denoted as d(i, j) with d(i, i) = 0 and d(i, j) = 1 for any $j \in \mathcal{N}_i$.

2.3. System Architecture

Figure 3 provides an overview of the system. The goal is to minimize the vehicle queues measured at signalized intersections. To this end, an agent keeps repeating the following steps (Fazzini





et al., 2021): (1) the ANN provides a policy for the traffic simulator given the perceived state s_t of the environment; (2) given the policy, a set of consecutive actions are selected (e.g., the simulator can be instructed to switch traffic lights at signalized intersections); (3) the simulator performs a few time steps following the current policy and stores the environment rewards, corresponding to the amount of queuing vehicles in proximity of signalized intersections; and (4) the ANN uses the stored rewards to change its parameters in order to improve its policy.

Table 1 shows formally how states, actions, rewards and policies have been defined in our setting.

In **Table 1**, with *fingerprints* is intended the current policy of the neighboring agents, instantiated with the vector of probabilities of choosing one of the available actions; *wave* [veh] measures the total number of approaching vehicles along each incoming lane, within 50 m to a signalized intersection. The state is defined as $s_{t,i} = \{wave_t[l_{ji}]\}_{l_{ji}\in L_i}$ where L_i is the set of lanes *j* converging at a signalized intersection (agent) *i*; moreover fingerprints of other agents are added to complete the observation set.

In addition to the settings in **Table 1**, U_i is the set of available actions for each agent *i*, defined as the set of all the possible red-green-yellow transitions available to each traffic

TABLE 2 | Hyperparameter settings.

Par.	Value	Description
α	0.9	Space weighting factor
Ts	3600 [s]	Period of simulated traffic
Δt	5 [s]	Interaction time between each agent and the traffic environment
t_y	2 [s]	Yellow time
N _v	2000,3600 [veh]	Total number of vehicles
γ	0.99	Discount factor, controlling how much expected future reward is weighted
η_{θ}	5 exp (-4)	Coefficient for $\nabla \mathcal{L}(\theta_i)$ used for gradient descent optimization
η_{ψ}	2.5 exp (-4)	Coefficient for $\nabla \mathcal{L}(\psi_i)$
B	40	Size of the batch buffer
β	0.01	Parameter to balance the entropy loss of policy π_{θ_i} to encourage early-stage exploration
ξM	0.5	Critic loss weight

The above values follow the implementation in Chu et al. (2019).

light. The reward function at time t cumulates the queues (number of vehicles with speed less than 0.1 m/s) at the lanes concurring to a certain signalized intersection computed





at time $t + \Delta t$:

$$r_{t,i} = \sum_{ji \in \mathcal{E}, l \in L_{ji}} queue_{t+\Delta t}[l]$$
(1)

2.4. ANN Detail

States, actions, next states, and rewards are collected in minibatches called experience buffers, one for each agent *i*: $B_i = \{(s_t, u_t, s_{t+1}, r_t)\}_i$. They are stored while the traffic simulator performs a sequence of actions. Each batch *i* reflects agent *i* experience trajectory. **Figure 4** shows MA2C's architecture. The graph reflects the A2C formalism (Barto et al., 1983; Mnih et al., 2016), therefore, each graph represents two different networks, one for the Actor (Policy) and one for the Critic (State-Value), their respective parameters being further referred as θ and ψ . As in the graph, wave states and the fingerprint unit are fed to separated fully connected (FC) Layer with a variable number of inputs, depending by the number of lanes converging to the controlled signalized intersection. The output of the FC layer (128 units) feeds the Long Short-Term Memory module (LSTM) equipped with 64 outputs and 64 inner states (Fazzini

et al., 2021). The output of the LSTM module is linked to the network output that in the Actor case is a policy vector (with softmax activation function) and in the Critic case is a State-Value (with linear activation function). All the activation functions in the previous modules are Rectification Units (ReLU). In **Figure 4**, the network biases are not depicted although present in each layer. For ANN training, an orthogonal initializer [43] and a gradient optimizer of type RMSprop have been used. To prevent gradient explosion, all normalized states are clipped to [0, 2] and each gradient is capped at 40. Rewards are clipped to [-2, 2].

2.5. Multi-Agent Advantage Actor-Critic (MA2C)

MA2C (Chu et al., 2019) is characterized by a stable learning process due to communication among agents belonging to the same neighborhood: a spatial discount factor weakens the reward signals from agents other than agent *i* in the loss function and agents not in N_i are not considered in the reward computation. The relevant expressions for the Loss functions governing the



training optimization algorithm are:

$$\mathcal{L}(\theta_{i}) = \sum_{t=0}^{t_{B}-1} \log \pi_{\theta_{i}} \left(u_{t,i} | \tilde{h}_{t,\mathcal{V}_{i}}^{\pi}, \pi_{t-1,\mathcal{N}_{i}} \right) \tilde{A}_{t,i} + \beta \sum_{u_{i}\in\mathcal{A}_{i}} \pi_{\theta_{i}} \log \pi_{\theta_{i}} \left(u_{i} | \tilde{h}_{t,\mathcal{V}_{i}}^{\pi}, \pi_{t-1,\mathcal{N}_{i}} \right)$$

$$(2)$$

$$\mathcal{L}(\psi_{i}) = \frac{1}{2} \sum_{t=0}^{t_{B}-1} \left(\tilde{R}_{t,i} - V_{\psi_{i}} \left(\tilde{h}_{t,\mathcal{V}_{i}}^{V}, \pi_{t-1,\mathcal{N}_{i}} \right) \right)^{2}$$
(3)

In the above equations:

•
$$\tilde{A}_{t,i} = \tilde{R}_{t,i} - V_{\psi_i^-}(\tilde{h}_{t,\mathcal{V}_i}^V, \pi_{t-1,\mathcal{N}_i})$$

•
$$\tilde{R}_{t,i} = \hat{R}_{t,i} + \gamma^{t_B-t} V_{\psi_i^-} \left(\tilde{h}_{t_B,\mathcal{V}_i}^V, \pi_{t_B-1,\mathcal{N}_i} \right)$$

•
$$\hat{R}_{t,i} = \sum_{\tau=t}^{t_B-1} \gamma^{\tau-t} \tilde{r}_{\tau,i}$$

$$\begin{split} \tilde{r}_{t,i} &= \frac{1}{|\mathcal{V}_i|} (r_{t,i} + \sum_{j \in \mathcal{V}_i, j \neq i} \alpha r_{t,j}) \\ \tilde{h}_{t,\mathcal{V}_i}^{\pi} &= \{h_{t,i}^{\pi}\} \bigcup \alpha \{h_{t,j}^{\pi}, j \in \mathcal{N}_i\} \\ \tilde{h}_{t,\mathcal{V}_i}^{V} &= \{h_{t,i}^{V}\} \bigcup \alpha \{h_{t,j}^{V}, j \in \mathcal{N}_i\} \\ \tilde{h}_{t,\mathcal{V}_i}^{V} &= \tilde{S}^{\pi} \left(\tilde{H}_{t,\mathcal{V}_i}\right) \\ \tilde{h}_{t,\mathcal{V}_i}^{V} &= \tilde{S}^{V} \left(\tilde{H}_{t,\mathcal{V}_i}\right) \\ \tilde{H}_{t,\mathcal{V}_i} &= \left[\{s_{0,i}\} \bigcup \alpha \{s_{0,j}\}, u_0, ..., \{s_{t-1,i}\} \bigcup \alpha \{s_{t-1,j}\}, u_{t-1}, \{s_{t,i}\} \\ \bigcup \alpha \{s_{t,j}\} \right] \end{split}$$

with $j \in \mathcal{V}_i$

Where π_{θ_i} refers the policy to be learned determining the parameters θ_i associated with agent *i*, π_{t,\mathcal{N}_i} are the policies of agent *i*'s neighbor agents at time *t*, $u_{t,i}$ is the action taken by agent *i* at time *t*, $h_{t,i}^{\pi}$ is the history of the past states of agent *i* at time *t* following the policy π_{θ_i} , $r_{t,i}$ is an evaluation of the average queue at signalized intersection (agent) *i* at time t^2 .

The spatial discount factor α penalizes other agent's reward and D_i is the limit of agent *i* neighborhood.

Equation (3) yields a stable learning process since (a) fingerprints π_{t-1,\mathcal{N}_i} are input to V_{ψ_i} to bring in account $\pi_{\theta_{-i}^-}$, and (b) spatially discounted return $\tilde{R}_{t,i}$ is more correlated to local region observations $(\tilde{s}_{t,\mathcal{V}_i}, \pi_{t-1}, \mathcal{N}_i)$.

3. CALCULATION

We trained and evaluated MA2C in two traffic environments replicating two districts in the Bologna area (Andrea Costa and the Pasubio) simulated in SUMO (Lopez et al., 2018).

3.1. Training and Evaluation

A relevant finding in Fazzini et al. (2021) is that pseudorandom training (when the same seed is applied to the random vehicle trip generation, causing vehicles repeating the same path among training episodes) shapes robust policies also able to cope with completely random trips (generated with different seeds in different episodes). In fact (Fazzini et al., 2021) reports that all the evaluations performed with various seeds (therefore, various random sequences of trips) show a consistent behavior when using MA2C both with the insertion of 2,000 and 3,600 vehicles. Moreover, such policies have proven effective even when the total number of vehicles inserted during evaluation is different from the total number of vehicles inserted during training, remarking that a learned policy doesn't show a relation with such parameter. Consequently, in the experiments detailed in this work, we adopted pseudo-training. Our setting involves that every episode of the SUMO simulation consists of 3,600 time steps; each time step a vehicle is inserted in the traffic network with a pseudo-random Origin-Destination (OD) pair until an amount of 2,000 vehicles is achieved. The criterion used to measure the algorithms performance is the vehicle queues at the intersections, which is linked to the DP reward by Equation (1). Such queues are estimated by SUMO for each crossing (reward) and then elaborated following the equations in Section 2.5. The

² the complete list of the symbols used in the equations is reported in Fazzini et al. (2021).



algorithm is trained over 1 M training steps, each divided in 720 time steps; consequently every SUMO episode is made by 5 training steps. For the evaluation, we adopt the same settings as in training, although the vehicle trips are generated with a different random seed.

3.2. Initial Conditions

When training, being the vehicle trips generated in a pseudorandom fashion, randomness comes from the choice of the initial conditions for the ANN weights. Here, the only constraint is that such weights are initialized as orthogonal matrix (Saxe et al., 2014). **Figures 5**, **6** show the effect of different initial conditions on the learning process in terms of number of vehicles queuing at the controlled signalized intersection (*y*-axis). The opaque (green) graph shows the best learning curve among 10 training attempts, which are shown in translucent shades.

In the following evaluations the best learnt policies are adopted to operate synchronization among the agents (signalized interceptions).

3.3. Parameter Settings

The DP is finally instantiated with the settings listed in Table 2.

The size of the batch indirectly sets up the n parameter of the n-step return appearing in Equations (2) and (3) and has been chosen balancing the complementing characteristics of TD and Monte-Carlo methods (Sutton and Barto, 1998).

3.4. Traffic Networks

Our experimentation have been conducted in the following traffic networks (Fazzini et al., 2021).

3.4.1. Bologna - Andrea Costa

Figure 7 (left) shows the Bologna—Andrea Costa neighborhood (Bieker et al., 2015).

The round (translucent purple) spots reference the signalized intersections (agents). Each signalized intersection include one or more crossroads which are highlighted in a dark (green) color. The intersection not controlled by any agent are highlighted in a lighter (yellow) color. The right side of the figure shows the way each agent is connected to the others as required by MA2C fingerprints communication and reward computation. The set of all the agents connected to a single agent constitutes its neighborhood. For this pseudo-random simulation, 2,000 vehicles where inserted in the traffic network, one each time step in the time interval [0, 2,000] while no vehicle is inserted during the 1,600 remaining episode time steps.

3.4.2. Bologna-Pasubio

Figure 8 (left) shows the Bologna—Pasubio neighborhood (Bieker et al., 2015). As in the Andrea Costa case, the right hand side of the figure shows how the agents have been connected.



	CO ₂ [kg]	CO [kg]	NO _x [g]	PM _x [g]	HC [g]	Fuel [L]
No sync (AC)	4753	281	2162	116	1391	2043
Sync (AC)	770	22	324	15	120	331
No sync (P)	5129	306	2336	126	1514	2204
Sync (P)	921	31	392	19	165	331

TABLE 3 | Overall emissions and fuel consumption.

4. RESULTS

In this section, we evaluate how MA2C performance translates in terms of emissions.

As described in the above sections, our typical traffic simulation spans over 3,600 time steps, with an interaction time of each vehicle with its environment of 5 s (**Table 2**):

- In the first part of the simulation (time steps [0, 2,000]) a vehicle is pseudo-randomly inserted on the map for each time step and follows a pseudo-random path.
- In the second part of the simulation (time steps [2,000, 3,600]) no vehicle is inserted. Eventually, all the vehicles circulating on the map leave through

one of the exit lanes or end their journey by reaching their destination.

Evaluations regarding training, convergence as well as details on robustness toward random testing are fully detailed in Fazzini et al. (2021). In this section, we will focus exclusively on the effects of traffic signal control by MA2C on vehicle circulation.

Figure 9 shows the number of running vehicles in the time span [0, 3,600] for the cases *Andrea Costa* and *Pasubio* (Fazzini et al., 2021).

The curve referring to the case where no coordination is performed among the agents (No Sync case) shows that due to heavy queuing at the traffic lights, several vehicles stay on the road after time step 2000. In the graph, the curve keeps rising while vehicles are injected and tends to slowly decrease afterwards. However, when MA2C performs coordination among the agents using the learnt policy (Sync case), the amount of vehicles running fades quickly toward zero after time step 2,000. This finding has an obvious impact on the amount of emissions, as shown in the following sections.

4.1. NO_x Emissions

Emissions have been computed following the emission model implemented within Sumo (Krajzewicz et al.,



2014). The graphs and the tables reported come from evaluating a policy converging to the ideal behavior during training shown in the training graphs. As in Fazzini et al. (2021), the evaluation of such policy shows no dependence by the seed used to generate the random vehicle trips.

Figures 10, 11 display the NO_x emissions normalized in time and street length (g/h/km) with (Sync) and without (no Sync) synchronization³.

It appears evident that, in the No Sync case, the amount of emissions stays almost constant over the time intervals considered. A closer look reveals a slight increase of the emissions with time.

In the Sync case, the pictures highlight that the emissions are significantly lower than the previous case: they decrease significantly in the [2,000, 3,600] interval, when no new vehicle gets injected on the road and the traffic eventually fades out. This fact is completely missing in the No Sync case (**Figure 11**).

Finally, **Table 3** and **Figure 12** show the overall decrease in pollution and fuel consumption between the cases with No Sync and Sync for both Andrea Costa (AC) and Pasubio (P).

5. DISCUSSION

In this work, we have evaluated a recently developed MARL approach, MA2C (Chu et al., 2019, 2020), in terms of emission reduction induced in a controlled traffic network. As an ATSC benchmark, we adopted digital representations of the Andrea Costa and Pasubio areas (Bologna, Italy) (Bieker et al., 2015).

We showed that when signalized intersections are coordinated using MA2C, traffic emissions into the environment and fuel consumption decrease significantly with respect to the case without such coordination. This result translates to a very evident reduction of pollutants released into the environment.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

AUTHOR CONTRIBUTIONS

PF: project administration, conceptualization, methodology, formal analysis, investigation, software, validation, visualization, and writing—original draft preparation. MT: pollution data visualization and validation and bibliography management. VR: 'Related work' section. FP: supervision and resources.

 $^{^3}$ All the other pollutants, we analyzed (namely CO₂, CO, PM_x, and HC) exhibit a similar behavior.

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