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# Fluorescein sodium as a marker for focused ultrasound-induced blood-brain barrier disruption: a case report in a porcine model

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Transcranial low-intensity focused ultrasound (FUS) enables noninvasive, targeted, and reversible blood-brain barrier (BBB) disruption, facilitating drug delivery and liquid biopsy of the brain. Using fluorescein sodium (FNa) with macroscopic widefield fluorescence and microscopic confocal laser endomicroscopy (CLE) imaging, we assessed BBB permeability after applying a frameless, electromagnetic-guided FUS system in a porcine model and confirmed with established MRI protocol and conventional histology. Both macroscopic and microscopic FNa fluorescence imaging findings correlated with contrast-enhanced MRI, providing direct evidence of BBB disruption. This approach demonstrates the utility of FNa for evaluating BBB permeability in preclinical studies.

#### KEYWORDS

fluorescence imaging, fluorescein sodium, focused ultrasound, blood-brain barrier disruption, confocal laser endomicroscopy (CLE), liquid biopsy, targeted drug delivery

# Introduction

Assessing blood-brain barrier (BBB) disruption necessitates reliable methods to confirm targeted permeability changes and evaluate tissue effects. Contrast-enhanced MRI, a common approach, utilizes gadolinium's ability to cross the disrupted BBB and enhance imaging of affected regions (1). However, while conventionally used, this approach depends on indirect digital reconstruction of MRI signals and lacks image resolution at the cellular level. Alternatively, fluorescein sodium (FNa) is a safe, low-molecular-weight fluorophore administered intravenously that typically does not penetrate an intact BBB but has been demonstrated to cross a disrupted BBB under various pathological conditions (2, 3). This property makes FNa an excellent option for directly visualizing BBB disruption in areas where the barrier has been compromised. In the surgical setting, its fluorescence can be detected with a widefield operating microscope and confocal laser endomicroscopy (CLE), a new FDA-approved cellular-level imaging system (4–6).

Transcranial low-intensity focused ultrasound (FUS), paired with intravenously injected microbubbles, allows for noninvasive, reversible, and targeted disruption of the blood-brain barrier (BBB) with millimeter precision (7). This technique utilizes FUS-induced cavitation, where microbubbles oscillate and produce mechanical forces on the vasculature, temporarily increasing BBB permeability (8). This effect typically lasts from hours to a few days, enabling the controlled delivery of therapeutic agents to specific brain regions. Preclinical and clinical studies have demonstrated the safety and therapeutic potential of FUS-mediated BBB modulation for improving drug delivery to the brain and enabling liquid biopsy of the brain in various neurological and neurodegenerative diseases (9–14).

This study describes an experimental case involving a prototype portable FUS system designed to induce BBB disruption (15) in a porcine model in which intravenous FNa was used to assess changes in BBB permeability in the area of FUS-induced disruption. The brain was evaluated macroscopically with widefield operating microscope imaging and microscopically with CLE, both detecting the FNa-induced fluorescence. The fluorescence imaging results were correlated with gadolinium-enhanced MRI and histological examination to characterize the extent of BBB disruption more comprehensively.

### **Methods**

### Microbubble formulation and delivery

Lumason (Bracco, Princeton, NJ, USA), a sulfur hexafluoride lipid-type microsphere suspension, served as the microbubble contrast agent. It was activated according to the manufacturer's instructions by adding 5 ml of saline to the vial, shaking vigorously for 20 s, and then withdrawing the required amount into a syringe. The entire vial of suspension was then diluted with 55 ml of sterile saline, resulting in a total of 60 ml of microbubble solution. This solution was infused intravenously at a rate of 4 ml/ min. The infusion started 2 min before FUS initiation and continued until a total volume of 8 ml was delivered. FUS was initiated immediately after reaching this volume to ensure optimal microbubble circulation during sonication.

### Focused ultrasound procedure

One Yorkshire pig (Premier BioSource, Ramona, CA, USA) was utilized for the focused ultrasound procedure. The study involving animal participants were reviewed and approved by St. Joseph's Hospital and Medical Center Institutional Animal Care and Use Committee. General anesthesia was induced with an intramuscular injection of 0.6 ml/10 kg Telazol (50 mg tiletamine and 50 mg zolazepam per ml) and maintained with inhaled isofluorane at 2.5% and 3.5-4.5 L/min flow rate through an endotracheal tube. Two-millimeter head CT scans and onemillimeter contrast-enhanced T1-weighted and T2-weighted MRI scans were conducted one week prior to the FUS procedure for skull and brain 3D reconstruction and trajectory planning. The FUS system (Cordance Medical, Mountain View, CA, USA) employs a frameless neuronavigation approach with a permanent magnet-based tracking. A signal generator generates an electromagnetic field for real-time sensor tracking, with sensors positioned on the ultrasound transducer and the subject.

CT and MRI datasets were fused to create a 3D model, highlighting the region of interest (ROI) and facial landmarks. The FUS system then identified the optimal transducer position based on the shortest and most effective route to the ROI. Registration between the tracking system's coordinate space and the CT/MRI coordinates was established to align the subject's anatomy with the image data. Finally, the transducer, equipped with tracking sensors, was positioned according to these coordinates. An error metric guided the operator to minimize deviations from the planned location, ensuring precise alignment with the ROI.

The pig received gadolinium contrast immediately before the FUS procedure. Following sonification, contrast-enhanced T1W and T2W MRI scans were performed and compared with the pre-procedural T1W and T2W MRI scans. The ultrasound settings were 250 kHz frequency, 10 ms pulse duration, 1 Hz pulse repetition frequency, 1% duty cycle, and *in situ* focal pressures of 0.275–0.325 MPa.

### Brain specimen harvest and processing

The first dose of FNa was administered intravenously at a dosage of 5 mg/kg immediately following the FUS procedure. After MRI imaging, a bilateral craniectomy was performed to expose the entire brain. The dura was opened bilaterally with a C-shaped incision and reflected toward the midline to reveal both hemispheres. A second dose of 5 mg/kg of FNa was given intravenously after the brain was exposed. Then, the swine was euthanized with a 10 ml intravenous bolus of Euthazol (390 mg pentobarbital sodium and 50 mg phenytoin sodium per ml). Following the euthanization of the swine, both cerebral hemispheres were separated from the brain stem and harvested. They were placed in a 3D-printed brain matrix and sectioned coronally into slices that were 0.5 cm thick. The slices were laid flat on a tray covered with a moist drape for fluorescence imaging.

### Widefield fluorescence imaging

After the brain was exposed, it was imaged with a Zeiss Kinevo 900 surgical microscope (Carl Zeiss Meditec AG, Oberkochen, Germany) using the YELLOW 560 fluorescence module. The lowest magnification and working distance settings were selected to maximize fluorescence signal detection. After the brain was harvested and sectioned, each slice affected by the FUS trajectory was imaged using YELLOW 560 under the same magnification and working distance settings.

### Confocal laser endomicroscopy imaging

Confocal laser endomicroscopy (CLE) imaging was performed using a ViewnVivo CLE imaging system (Optiscan Imaging Ltd., Melbourne, Australia). The imaging probe was positioned perpendicular to the tissue surface in a holder and maintained in firm contact with the tissue. Areas displaying positive widefield fluorescence were scanned with the CLE probe. Optimal imaging parameters were chosen based on the established parameters from the previous studies using an older generation of the same CLE imaging platform (16). The highest imaging resolution of  $1,920 \times 1,080$  was selected for the  $464 \times 261 \mu m$  field of view, resulting in 1.3 s per image scanning speed. Band pass 515–575 filter was chosen for best image contrast. Laser power was adjusted to 70%–100% of the maximum output (1,000  $\mu$ W), and the gain was set to 2,400 to enhance image quality. Normal brain tissue that was unaffected by FUS and negative for widefield fluorescence was also scanned using CLE.

### Histology analysis

After widefield fluorescence and CLE imaging, the areas with positive FNa fluorescence were sampled with the surrounding normal brain tissue. Normal brain tissue was also sampled as a control for histology processing. Sampled tissues were preserved in 10% neutral buffered formalin. After routine processing and paraffin embedding, all brain tissue samples were cut into 3  $\mu$ m sections and stained with hematoxylin and eosin.

# Results

FUS sonication was successfully conducted in the frontal lobes near the motor cortex on both sides. Immediately after the FUS procedure, MRI imaging of the pig was performed. Postsonication T1W MRI sequences showed contrast enhancement in the areas targeted by the FUS beams, which was not seen in the pre-sonication MRI images (Figure 1).

Afterward, the brain was exposed through a bilateral craniectomy, and a second dose of FNa was administered before the swine was euthanized. The brain was then harvested, coronally sliced, and examined using the widefield yellow fluorescence module on a surgical microscope. Fluorescence imaging was utilized to complement and confirm the MRI findings. The fluorescence signal observed with the widefield yellow fluorescence module enabled the localization of disrupted regions. Strong, specific fluorescence was visibly noted in areas corresponding to those targeted by FUS (Figure 2). CLE and histological analysis further validated these findings.

Microscopic analysis using CLE demonstrated that regions of high fluorescence observed correlatively corresponded to cellular vacuolization and vascular involvement in the FUS-targeted areas. Signals were detected from vacuolated cells and blood vessels (Figures 3A–C). In contrast, CLE imaging of normal brain tissue exhibited no signal, consistent with the absence of FNa in non-sonicated brain tissue (Figure 3D). Histological examination validated these findings, revealing red blood cell extravasation in the sonicated regions with no indication of significant vascular or cellular injury (Figures 4A–D). Non-sonicated areas appeared normal, showing no signs of vascular or cellular changes (Figure 4E).

### Discussion

FNa, as an imaging marker, provides information about the spatial accuracy of FUS-induced changes. Under normal conditions, FNa does not cross intact BBB. Thus, FNa fluorescence in brain tissue detected by the widefield surgical microscope and CLE that would otherwise not be present directly indicates the BBB permeability changes at both gross and microscopic levels (3). This aids in refining sonication parameters and optimizing drug delivery and liquid biopsy strategies. Previous preclinical studies applied FNa-based CLE imaging to evaluate brain microcirculation and injury-induced BBB change (17). In our experimental setting, fluorescence



#### FIGURE 1

Contrast-enhanced T1W MRI images were acquired before and after the FUS procedure. Contrast enhancement was absent on the pre-procedural MRI (A) but present bilaterally on the post-procedural MRI (B) The white outlines in both images indicate the planned target for the FUS procedure. Used with permission from Barrow Neurological Institute, Phoenix, Arizona.



FIGURE 2

Widefield FNa fluorescence images of the brain. The brain was sectioned coronally to expose the sonicated areas. The blue arrowheads indicate the area with positive fluorescence. Used with permission from Barrow Neurological Institute, Phoenix, Arizona.

imaging was conducted ex vivo after the euthanasia of the swine and extraction of the brain because we aimed to image the entire area affected by FUS that extended deep beyond the brain's surface. Although this scenario describes ex vivo FNa imaging, *in vivo* imaging of FUS targets may be feasible. Live imaging, particularly through widefield and especially by CLE imaging of deeper brain regions, could trace the path of FUS and enable analysis of tissue effects. This would require careful surgical hemostasis, as active bleeding may obscure FNa localization due to the presence of erythrocyte masses. Incorporating FNa into preclinical workflows for *in vivo* imaging could enhance our approaches to understanding BBB permeability and guide the development of more effective, targeted interventions.

FNa fluorescence imaging with both widefield and CLE has been performed *in situ* in real time during surgeries after the adequate exposure of the surgical target. Following intravenous administration, FNa rapidly circulates systemically, and its fluorescence signal can be detected within seconds, making it ideal for evaluating BBB disruption in a surgical setting without procedural delays. Widefield fluorescence imaging reveals FNa accumulation in exposed tissue, whereas CLE offers high-resolution microscopic visualization upon direct tissue contact. Both imaging modalities yield results in real time without the need for postprocessing.

Our effort was to showcase the effect of the FUS procedure on the BBB using fluorescence imaging in addition to the established MRI protocol. The microbleeding seen in the histology is consistent with previous reports of histological changes after FUS treatment and not associated with significant insult to the brain tissue (18, 19). The FUS-induced cellular effects were microscopic and confined to the sonication channel. Although patchy enhancement was noted in one FUS-targeted region on MRI, our widefield and confocal examinations did not reveal fluorescence patterns that correspond to the appearance of the MRI signal. Instead, FNa extravasation appeared consistent across both targeted sites, suggesting potential modality differences or MR imaging artifacts.

Being a technical report with observations derived from a single porcine model, this study has limitations. The study only showed acute BBB disruption without evaluating longer-term outcomes such as tissue recovery or sustained permeability



#### FIGURE 3

CLE images revealed evidence of the FUS effect. The fluorescence signals indicated by the blue arrowheads in (A,B), as well as small caliber capillaries seen in (C), are present in FUS-treated areas but not in areas not affected by FUS (D). Used with permission from Barrow Neurological Institute, Phoenix, Arizona.



#### FIGURE 4

Histological features of the FUS effect from two areas are shown. In the first area (A,B), perivascular extravasations of red blood cells were observed, indicating successful BBB disruption. High power magnification further revealed intravascular fibrin deposits (indicated by red arrowheads) and cellular vacuolization (indicated by blue arrowheads). In the second area (C,D), perivascular extravasations were present, but no intravascular deposits nor cellular vacuolization was seen. No histological evidence of FUS effect was identified in normal non-sonicated areas of the brain (E). Used with permission from Barrow Neurological Institute, Phoenix, Arizona.

changes. The potential impact of prolonged anesthesia on histological findings cannot be excluded. Physiological changes may influence tissue characteristics and the interpretation of results, although the animal remained within normal cardiorespiratory parameters.

# Conclusions

These findings demonstrate that FNa offers a direct and reliable means of visualizing FUS-induced BBB disruption at both gross and microscopic levels. This complements traditional MRI-based approaches by allowing more direct identification of permeability changes. The improved visualization can help refine therapeutic strategies that leverage FUS for targeted drug delivery or liquid biopsy.

# Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

### **Ethics statement**

The animal study was approved by The Institutional Animal Care and Use Committee at St. Joseph's Hospital and Medical Center. The study was conducted in accordance with the local legislation and institutional requirements.

# Author contributions

YX: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Writing – original draft, Writing – review & editing. TJO: Data curation, Formal analysis, Writing – original draft, Writing – review & editing. MCP: Investigation,

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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