



# Multi-Satellite Cooperative Beamforming ALOHA for LEO Satellite IoT Networks

Rui Liu, Tao Hong\*, Xiaojin Ding, Yunfeng Wang and Gengxin Zhang

Nanjing University of Posts and Telecommunications, Nanjing, China

In this paper, we proposed a cooperative beamforming ALOHA (CBA) scheme based on linearly constrained minimum variance criterion for low Earth orbit satellite (LEO) IoT networks to solve the problem of 'deadlock' in multi-satellite scenario. In multi-satellite overlapping coverage areas, packets can be received by multiple satellite receivers by sending them only once, which forms the concept of spatial diversity. The cooperative beamforming collision resolution technique combined with successive interference cancellation scheme is design to efficiently resolve packet collision by iteration way at the gateway station. The performance of cooperative beamforming ALOHA scheme is evaluated via mathematical analysis and simulations. Simulation results show that the proposed CBA scheme can effectively solve the problem of 'deadlock' and improve the performances of random access compared with benchmark problems.

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#### Edited by:

Chunguo Li, Southeast University, China

#### Reviewed by:

Qiong Wu, Jiangnan University, China Gang Wang, Southeast University, China Kanglian Zhao, Nanjing University, China

> \*Correspondence: Tao Hong hongt@njupt.edu.cn

#### Specialty section:

This article was submitted to Aerial and Space Networks, a section of the journal Frontiers in Space Technologies

Received: 09 August 2021 Accepted: 20 September 2021 Published: 12 October 2021

#### Citation:

Liu R, Hong T, Ding X, Wang Y and Zhang G (2021) Multi-Satellite Cooperative Beamforming ALOHA for LEO Satellite IoT Networks. Front. Space Technol. 2:755520. doi: 10.3389/frspt.2021.755520 Keywords: internet of things, satellite IOT, random access, cooperative beamforming, collision resolution

# INTRODUCTION

Internet of Things (IoT) is one of the main research directions in the future 5G wireless network applications such as industrial assets monitoring, smart city, logistics and environmental data sensing (Stefano et al., 2018). Nowadays, the information transmission between IoT terminals and the gateway station mainly depends on terrestrial wireless network, Wi-Fi and other local area networks, which need to construct numerous ground base stations to support massive IoT terminals. However, there will exist a conflict between service capability and network QoS requirement when IoT is applied in mountainous area, ocean and desert, because ground base stations are not suitable to construct and maintain in these remote areas (Wei-Che et al., 2019). Due to the nature of wide coverage, satellite communication systems have become an important supplement network to meet the requirement of the global coverage for 5G IoT applications (Mauro et al., 2015; Zhicheng et al., 2017; Tingting et al., 2019). Therefore, low Earth orbit (LEO) satellite based IoT networks has become a hot research topic in IoT area.

Compared with traditional wireless broadband access, the IoT service has the characteristics of massive connections, strong burstiness, and short packets. Therefore, RA is an effective access scheme from point view of channel utilization compared with fixed allocation and on-demand allocation multiple access methods. The RA scheme is to share channel resources in a competitive way. Multi-terminals occupying the same time-frequency resource will cause packets collision, which results in increasing the system packet loss ratio (PLR) and decreasing throughput of system. The channel utilization rate of the pure ALOHA (P-ALOHA) protocol is only 18.4% (Abramson, 1970). On this basis, the time slot ALOHA (S-ALOHA) protocol is proposed to improve the throughput for satellite networks by dividing the transmission time into several time slots (Roberts, 1975). The

terminal can only send packets at the beginning of each time slot. In this way, the channel utilization rate improves to 36.7% by reducing the collision window of packets. However, S-ALOHA protocol requires network synchronization, which increases the complexity of the system. In (Enrico et al., 2007), E. Casini proposed a contention resolution diversity slotted ALOHA (CRDSA) to resolve contention of the packet collision, which combines multiple copies in the time or frequency domain with SIC technology. The collision packets have a chance to be recovered by this scheme. To improve the performance of CRDSA, R. De Gaudenzi presented a CRDSA++ scheme by transmitting more than two replicas of packet in (Riccardo and Oscar, 2007). This proposed RA scheme reveals that the increased replicas allow better resolve collision performance by SIC processing, although the more replicas can increase the probability of collision. G. Liva optimized the CRDSA scheme in (Gianluigi, 2010), called as irregular repetition slotted ALOHA (IRSA). In IRSA, the terminal generates a different number of copies according to the optimized allocation rules, which makes the throughput higher than the CRDSA protocol. However, terminals need to transmit signal copies in the time or frequency domain for these CRDSA based schemes, which not only consume the more power of terminals but also trigger 'avalanche effect' in high load condition of IoT networks. These time-frequency diversity improved RA schemes at the terminals fall far short of the initial design goal of low power consumption and massive access.

Inspired by transmitting signal copies in the time or frequency domain, massive multiple input multiple output (MIMO) is an effective spatial diversity measure to improve the system capacity in terrestrial wireless networks (Mingjin et al., 2019). However, compared with rich-scattering channel environment in terrestrial wireless network, light-of-sight (LOS) channel plays an important role with characteristic of non-rich-scattering channel in satellite communication channel. Therefore, the technique of massive MIMO applied in satellite communication system is difficult to achieve ideal diversity performance. To improve the system capacity in LOS channel, X.Q. Gao's research team proposed a beam division multiple access (BDMA) transmission technology to reuse time-frequency resources in orthogonal beam domain for massive MIMO communications (Chen et al., 2015; Xin et al., 2017). On this basis, R.D. Jia combine BDMA with power domain non-orthogonal multiple access (NOMA) to increase the number of concurrent access terminals in the same time-frequency resource for the terrestrial IoT networks in (Rundong et al., 2019). However, the spatial resolving ability of antenna array in LEO satellite is much less than the same aperture of antenna array in terrestrial wireless networks because of the long distance between LEO satellite and IoT terminals, such as 150 km covering radius for antenna array with meter aperture in L band. J Ding proposed a novel machine learning based framework solution to address the preamble collision problem by joint processing distributed massive MIMO signal in terrestrial wireless networks in (Jie et al., 2021). This joint processing algorithm clustered the neighboring access points (APs) of collided RA terminals and organize each AP cluster to decode the received data individually. Motivated by this distributed cooperative

scheme in terrestrial wireless networks, based on the precondition of synchronous mode, B. Zhao proposed a novel multi-satellite cooperative random access (MSC-RA) scheme combine with CRDSA, CRDSA++, and IRSA, respectively, to improve the RA performances in (Bo et al., 2019), which utilized multi-satellite overlapping coverage condition for the IoT terminals, such as OneWeb, Starlink and Telesat LEO satellite constellation development plan (Nils et al., 2021; Tong and Venkata, 2021). P. Li proposed an Asynchronous Cooperative ALOHA (ACA) scheme to form a spatial replica of the packet by multiple receiving satellites in (Pengxu et al., 2017). For this distributed spatial diversity scheme, the non-collision packet in a certain satellite is used to resolve contention in other satellites by SIC technology, which improves the system channel utilization rate. The techniques in ACA schemes that utilize multiple distributed nodes to receive signal separately and then process signal centrally can be categorized as coordinated multipoint (CoMP) transmission techniques for uplink. For example, Chen Zhang in the (Chen et al., 2021) used 3-dimensionally placed unmanned aerial vehicles as receiving nodes to process uplink data information under complex geographical conditions. Liang Liu used UAVs as CoMP nodes for interference cancellation in (Liang et al., 2019) to enhance the sum-rate capacity of the cellular uplink network. Compared with the time-frequency replicas schemes, the terminals do not need to send packet replicas, which not only saves the power of terminals but also alleviates network load at the expense of LEO multi-satellite spatial domain resources. However, the author considers that at least one of the receive packets by multiple satellites must not collide with other packets in a certain satellite. In this way, the SIC technology can be used to iteratively recover more collision packets. For this SIC cooperative scheme among multisatellite, a 'deadlock' phenomenon will occur when the receive packet collide in all satellites, which will degrade the advantages of multi-satellite spatial diversity.

To solve this 'deadlock' phenomenon of multi-satellite spatial diversity scheme, we propose a cooperative beamforming ALOHA (CBA) scheme based on linearly constrained minimum variance (LCMV) criterion for LEO satellite IoT networks. This scheme combines multi-satellite receive diversity of RA packets with cooperative beamforming technique to resolve contention of the packet collision at the gateway station. The power of the collision packet will be suppressed in the spatial domain by cooperative beamforming technique compared with SIC cooperative scheme for ACA scheme in (Pengxu et al., 2017), cluster cooperative scheme in (Jie et al., 2021) and MSC MIMO detection in (Bo et al., 2019). The proposed CBA technique combined with SIC scheme is also designed to efficiently resolve packet collision for multi-satellite receive signal by iteration way. In this way, the multiple access interference (MAI) in multi-satellite overlapping coverage areas is changed into the available spatial resource for LEO satellite IoT networks. Furthermore, we derived the theoretical performance of proposed CBA scheme. Simulation results show that the proposed CBA scheme can effectively solve the problem of 'deadlock' and improve the performances of RA compared with benchmark problems.



The rest of this paper is organized as follows:

- In *System Model*, a RA system scenario with multi-satellite coverage is established.
- In *Multi-Satellite Cooperative Beamforming ALOHA Scheme*, the process of the CBA scheme is proposed and analyzed; the performance expression of the entire system is derived.
- In *Simulation*, the simulation parameters, and results of the CBA scheme are given, and the performance comparison with the CRDSA and ACA scheme under the same conditions is carried out.
- In Conclusion, the conclusion of the paper is presented.

## SYSTEM MODEL

**Figure 1** shows a typical multi-satellite coverage scenario in LEO satellite IoT networks with terminals, LEO multi-satellite and gateway station. The area bounded by the black line denotes the multi-satellite overlapping coverage areas. Thus, the packets received by multi-satellite are forwarded to the gateway station transparently with independent backhaul channels which are assumed to be error free. Packets are performed at the gateway station. Without loss of generality, we supposed that the IoT network load follows Poisson distribution with parameter  $\lambda$  in one packet transmission duration and each transmitted packet length is fixed with the same duration  $T_0$ . Thus, the normalized load  $G = (\lambda \log_2 b)/M_{rev}$  (bit/symbol) denotes the amount of data received by the gateway station per unit time, where *b* is the signal modulation order and  $M_{rev}$  is the total number of cooperative satellites. The normalized throughput T =

G(1 - PLR(G)) represents the amount of data can be correctly demodulated by the gateway station per unit time, where PLR(G)is the PLR under a specific network load. Due to the difference distance between each terminal and multi-satellite, a specific packet is transmitted once without any copy and it can be received by multi-satellite with different propagation delays in the receiving window  $[t, t + \Delta t]$ , where *t* denotes the minimum propagation delay and  $\Delta t$  is the maximum difference of propagation delays. For the typical LEO satellite constellation, such as OneWeb, Starlink and Telesat,  $\Delta t$  is about 10–100 ms, while the typical packet length of IoT networks  $T_0$  is approximately equals to 1 ms. Therefore, we consider  $\Delta t > T_0$  in the following sections.

# MULTI-SATELLITE COOPERATIVE BEAMFORMING ALOHA SCHEME

To describe proposed CBA scheme in detail, the packet and receiving window status is represented in *Packet and receiving window structure*, the processing flow of proposed Multi-satellite CBA scheme is introduced in *Packet processing flow of proposed CBA scheme*, and the throughput and PLR performance of proposed CBA scheme is analyzed in *Performance Analysis*.

## Packet and Receiving Window Structure

**Figure 2A** shows a collision-free packet structure, which contains two parts: one is preamble and the other is data. The preamble part is used to estimate the channel state information (CSI) of the packet, whose design method can reference in (Riccardo et al., 2014) and (Andrea et al., 2015). Due to the fact that a specific packet can be received by multi-satellite, we consider that these receive packets as the spatial copies are processed by sliding



window at the ground gateway station. The process mode of sliding window for each LEO receive signal is schematically shown in **Figure 2B**, where  $\Delta w$  is sliding step in time order. Generally, the window length  $T_w$  should be three times the length of the physical layer packets and the sliding step  $\Delta w$  is taken as half of the window length.

# Packet Processing Flow of Proposed CBA Scheme

RA scheme does not perform resource allocation in the timefrequency domain, which leads to packet collision at the receiver. At low load area  $(G \le 1)$ , packet collision has little impact on the system performance due to the fallback transmission mechanism (FTM). However, when the load spikes in a short period of time (G > 1), a large number of packet collision are generated at the receiver causing the FTM performance and the normalized throughput T degradation. For the multi-satellite spatial diversity scheme, packet collision happens in all the receiving satellites under the medium and high load areas, which lead to the SIC cooperative scheme failure, called as 'deadlock'. Therefore, we proposed a CBA scheme to address the problem of low throughput performance under medium and high load areas in multi-satellite spatial diversity scheme. Packet collision can be resolved by cooperative beamforming technique when packet collision status satisfied certain requirement. The principle of this requirement is that the preamble can be identified for the desired demodulation packet in different packet collision statuses, and the other collision packets are regarded as interference signals. The gateway station utilizes the cooperative beamforming algorithm by multi-satellite receive signal to constructively enhance the desired

demodulation packet, simultaneously, suppress the other collision packets. In this way, the signal to interference plus noise power ratio (SINR) of the desired demodulation packet is greater than the demodulation threshold to achieve the demodulation function of collision packet. The proposed CBA scheme is divided into four steps as follows.

### **Packet Status Detection**

According to the collision situation of preamble, the packet status is divided into two categories: one is preamble collision, the other is packet date part collision while packet preamble detection. If a packet preamble cannot be detected, it is considered as a collision packet and its signal is temporarily reserved for subsequent SIC operations. Conversely, if the packet preamble can be detected, the gateway station uses sliding correlation detection to locate the copies in other receive windows, and its copy's location are used for subsequent copies SIC operation. Then, the correctness of demodulation packet can be determined by the cyclic redundancy check (CRC). **Figure 3** shows the packet status detection flow.

### Packet Collision Type Classification

According to the CRC result in step (1), the packet collision type can be classified into three cases: 1) The CRC results of this packet and its spatial copies are correct in all receiving windows. This means that this packet can be correctly demodulated. Therefore, the gateway station continues to process the next packet signal in time order and return to step (1); 2) The CRC result is correct at least in one receiving window. For this case, the gateway station utilizes the demodulation information to reconstruct the packet with the corresponding CSI and go to step (4); 3) The CRC



results of this packet and its spatial copies are incorrect in all receiving windows, the cooperative beamforming algorithm is performed in step (3).

## Cooperative Beamforming Algorithm Based on LCMV Criterion

According to the reference (Zhiqiang et al., 2019), the gateway station makes use of multiple CSI to estimate the angle of arrival (AoA) of the desired demodulation packet. Therefore, a cooperative beamforming algorithm based on the LCMV criterion can be used to suppress the interference signal power in the undesired directions. The optimization problem is formulated as follows:

$$\min_{s.t. w^{H}} w^{H} \widehat{R} w$$
(1)  
s.t.  $w^{H} a_{s}(\theta_{0}) = 1$ 

where  $\hat{\mathbf{R}} = E[\mathbf{x}(t)\mathbf{x}^{H}(t)]$ ,  $\mathbf{x}(t)$  denotes the receive signal,  $\mathbf{a}_{s}(\theta_{0})$  is the steering vector  $\boldsymbol{\theta}_{0}$  is the AoA angle. This optimization problem can be solved by the Lagrange multiplier method:

$$L(w,\lambda) = w^H \widehat{R}w + \lambda \left( w^H a_s(\theta_0) - 1 \right)$$
(2)

The optimal weight vector can be written as:

$$w_{opt} = \frac{\widehat{R}^{-1} a_s(\theta_0)}{a_s^H(\theta_0)\widehat{R}^{-1} a_s(\theta_0)}$$
(3)

In summary, the cooperative beamforming method can enhance the desired signal *SINR*value. If *SINR*  $\geq$  *SIN*  $R_{th}$ , the desired packet can be demodulated. If *SINR*  $\leq$  *SIN*  $R_{th}$ , the desired packet cannot be demodulated. The packet bit information is retained and return to step 1. **Figure 4** shows a schematic diagram of applying beamforming technique to resolve the collision problem.

#### Successive Interference Cancellation

If a packet is successfully demodulated in step (2) or step (3), SIC technology can be used to resolve collision packets in certain receiving windows. The principle is that the reconstructed packet is identical to the original packet, and its colliding spatial copies can be eliminated by using the reconstructed packet, thus restoring the packets colliding with its spatial copies to a collision-free state. When this collision is eliminated, a new collision-free packet is generated, which means that the new preamble can be detected. Then the gateway station will restart the execution of step (1) for a new iterative loop.

**Figure 5** shows a schematic diagram of the SIC. For packet 1, although it collides with packet 2 at receiving window B, the packet 1 signal at receiving window A can be used for cooperative reconstruction to eliminate the packet 1 in receiving window B. When packet 1 in receiving window B is eliminated, packet 2 is restored to a collision-free state. Then in the next iteration, packet 2 of receiving window B can be used to eliminate the collision packet 2 signal in receiving window A. And so on, after several iteration loops, packets 1, 2, 3 and 4 can be successfully demodulated. **Figure 6** shows the flow chart of the proposed CBA algorithm.

Compared to traditional ALOHA schemes, improved ALOHA schemes are using complex algorithms in exchange for higher system throughput. Since both use a three-level cyclic structure for processing packets, the time complexity of both the ACA scheme algorithm and the CBA scheme algorithm is approximately  $O(n^3)$ .

### **Performance Analysis**

In this subsection, the probability of packet arrival in a receiving window during the time-period  $\Delta t$  follows a Poisson traffic process. If the length of a receiving window is  $T_w = \lambda \Delta t$ , where  $\Delta t = NT_0$  denotes the maximum delay difference of





packets arriving at different satellites. The probability of  $\boldsymbol{k}$  packets arriving in a window is written as:

$$f(k; T_w) = \frac{(T_w)^k \exp(-T_w)}{k!}$$
(4)

Thus, the probability of collision packets number l in the arriving packets number k follows the binomial distribution as:

$$\boldsymbol{f}_{b}(\boldsymbol{l};\boldsymbol{k},\boldsymbol{p}_{x}) = \begin{pmatrix} \boldsymbol{k} \\ \boldsymbol{l} \end{pmatrix} * \boldsymbol{p}_{x}^{l} * (1-\boldsymbol{p}_{x})^{\boldsymbol{k}-\boldsymbol{l}}$$
(5)

where  $p_x$  is the probability of collision in different parts of the packet. It can be divided into two cases: one is preamble collision  $p_a$ ; the other is data part collision  $p_b$ . We assume that the length of

preamble accounts for  $1/\varepsilon(\varepsilon > 1)$  of the total packet length  $T_0$ . Take  $p_a$  as an example,  $p_a$  can be written as according to the full probability formula:

$$p_1 = \int_t^{t+T_0} \frac{t_0 - t + T_0/\varepsilon}{NT_0} dt_0 \, (t \le t_0 < t + T_0) \tag{6-A}$$

$$p_2 = \frac{2T_0/\varepsilon}{NT_0} \left( t + T \le t_0 < t + (N-1)T_0 \right)$$
(6-B)

$$p_3 = p_1 (t + (N - 1)T_0 \le t_0 < t + NT_0)$$
(6-C)

$$p_{a} = p_{1} \frac{T_{0}}{NT_{0}} + p_{2} \frac{NT_{0} - 2T_{0}}{NT_{0}} + p_{3} \frac{T_{0}}{NT_{0}} = \frac{\varepsilon + 2N - 2}{\varepsilon N^{2}}$$
(6-D)

Therefore, we obtain the probability of packets collision and different parts collision in the receiving window as shown in



equation (6) and (7), respectively. Meanwhile, we also need to consider the influence of multiple packets on the desired modulation packet in the receiving window. In a time-slotted synchronous RA system, packets collision means that these packets transmitted in the same time slot, while in an asynchronous RA system, packets collision has a different degree of collision. In general, the degree of collision is a time-varying with interference signal, which is a function of the number of interference, we focus on the average interference on the desired demodulation packet. From the reference (Hall, 1927), it can be derived according to the average collision ratio of a Poisson process which approximately follows the Irwin-Hall distribution. The probability density function (PDF) of the average collision ratio is written as:

$$f_{\chi}(\chi, a) = \frac{1}{2(a-1)!} \sum_{n=0}^{a} (-1)^{n} {\binom{a}{n}} (\chi - a)^{n-1} sign(\chi - a)$$
(7-A)  
$$sign(\chi) = \begin{cases} 1 & \chi > 0 \\ 0 & \chi = 0 \\ -1 & \chi < 0 \end{cases}$$
(7-B)

where  $\chi$  is the collision ratio satisfied with  $0 \le \chi \le 1$  ( $\chi = 0$  means no collision,  $\chi = 1$  means complete collision, and  $\chi$  in fractional form means partial collision).

Based on the analysis in *Packet and receiving window structure*, the PLR can be divided into following three cases: (a) the preamble of desired demodulation packets collide in all receiving windows; (b) the weight of multicollision packets is greater than the number of receiving satellites; (c) the SINR of output signal of cooperative beamformer by iteration way does not meet the requirement of demodulation threshold.

#### Packet Loss Rate for Case (a)

Due to the preamble of the desired packets collide in all receiving windows, the bit information corresponding to these packets cannot be identified and located in step 1) of proposed CBA scheme. Thus, the PLR of a desired demodulation packet multicollision with l packets can be expressed as:

$$PLR_{a}(l) \cong \int_{0}^{\infty} \Gamma(SINR) f_{\chi}(\chi, l) d\chi$$
(8)

where  $\Gamma(SINR)$  is packet error rate function in (Oscar and Riccardo, 2014) and  $SINR = \frac{P}{\chi^{P+N}}$ . The probability  $p_a(l)$  of a desired demodulation packet multi-collision with *l* packets can be written as:

$$\boldsymbol{p}_{a}(\boldsymbol{l}) = \sum_{k=0}^{\infty} \boldsymbol{p}_{a}(\boldsymbol{k}, \boldsymbol{l}) * \boldsymbol{f}(\boldsymbol{k}, \boldsymbol{T}_{w})$$
(9)

where  $p_a(k, l) = \binom{k}{l} * p_a^{l} * (1-p_a)^{k-l}$  denotes the probability of collision packets number l in the arriving packets number k, and  $p_a$  is the probability of preamble collision for a single packet, which can be obtained from Eq.7. Therefore, in the presence of l collision packets, the PLR *PLR<sub>a</sub>*(*G*, *M<sub>rev</sub>) can be approximately expressed by the cumulative sum of the desired demodulation packet PLR <i>PLR<sub>a</sub>*(*l*) and the probability  $p_a(l)$  as follows:

$$PLR_{a}(G, M_{rev}) = \sum_{l=1}^{\infty} \left[ PLR_{a}(l) \right]^{M_{rev}} * p_{a}(l)$$
(10)

#### Packet loss rate for case (b).

In this case, the desired demodulation packets collide, while we can detect the non-collision preamble in all receiving windows. The gateway station can perform cooperative beamforming to suppress collision packets. However, according to the antenna array theory,  $M_{rev}$  receiving satellites can only generate  $M_{rev} - 1$  nulls to resolve  $M_{rev}$ weight of multi-collision packets. According to the analysis of the case (a), the probability that *n* packets out of *k* packets collide with a particular packet in a receiving window, but their preamble does not collide, can be expressed as the formula :

$$\boldsymbol{p}_{b}^{k}(\boldsymbol{n};\boldsymbol{k},\boldsymbol{p}_{b}) = \binom{\boldsymbol{k}}{n} \ast \boldsymbol{p}_{b}^{n} \ast \left(1 - \boldsymbol{p}_{b}\right)^{\boldsymbol{k}-\boldsymbol{n}}$$
(11)

where  $p_b$  is the probability of data part collision for a single packet, which can be obtained from Eq.7. In a receiving window, the PLR of the desired demodulation packet in the presence of multi-collision with n packets can be written as:

$$PLR_{b}(n) \cong \int_{0}^{\infty} \Gamma(SINR) f_{\chi}(\chi, n) d\chi \qquad (12)$$

Then, the probability that the weight of multi-collision packets is greater than the number of receiving windows can be formulated as:

$$\boldsymbol{p}_{b}(\boldsymbol{n}) = \sum_{k=M_{rev}+1}^{\infty} \boldsymbol{p}_{b}^{k}(\boldsymbol{n};\boldsymbol{k},\boldsymbol{p}_{n}) \boldsymbol{f}(\boldsymbol{k};\boldsymbol{T}_{w})$$
(13)

The PLR for case (b) can be written as:

$$PLR_{b}(G, M_{rev}) = \sum_{k=M_{rev}+1}^{\infty} \left[PLR_{b}(n)\right]^{M_{rev}} p_{b}(n)$$
(14)

#### Packet loss rate for case (c).

Due to the fact that the packets performed by beamforming operation may also fail to reach the demodulation threshold by iteration SIC operation, which will result in packet loss. In this case, the PLR is related to with the number of iterations  $N_{iter}$  of cooperative beamforming. According to the reference (Riccardo et al., 2014), the PLR of a desired demodulation packet with  $N_{iter}$  iterations can be expressed as:

$$PLR^{N_{iter}}(G, M_{rev}) = \sum_{k=0}^{\infty} PLR_c^{N_{iter}}(k)f_k(k; T_w)$$
(15)

where  $PLR_c^{N_{iter}}(k)$  is the PLR that there is still k packets collision with  $N_{iter}$  iterations. However, in the process of iterative SIC technology, it is possible that some copies of the desired demodulation packet can be eliminated. The collision probability is variable with time, which is related to the number of iterations  $N_{iter}$  and the number of copies. Thus  $PLR_c^{N_{iter}}(k)$  can be written as:

$$PLR_{c}^{N_{iter}}\left(k\right) = \sum_{r=0}^{k} p_{c}^{R}\left(r\right) f_{R}\left(r;k,q\right)$$
(16)

where  $f_R(r; k, q)$  is the binomial distribution with the number of experiments k, and  $q = (PLR^{N_{iter}-1} (G, M_{rev}))^{M_{rev}-1}$  is the binomial distribution success probability. The value of q is the PLR with the previous round of SIC operation, and the initial value  $PLR^{N_{iter}} = 1$  when  $N_{iter} = 0$ . The  $p_c^R(r)$  denotes the probability that there is still r packets cannot be correctly demodulated with  $N_{iter}$  iterations, which can be approximately expressed as:

$$p_{c}^{R}(\mathbf{r}) \cong \int_{0}^{\infty} \Gamma(SINR) f_{\chi}(\chi, \mathbf{r}) d\chi$$
(17)

Therefore, the total PLR of proposed CBA scheme can be written as:

$$PLR_{CBA}(G, M_{rev}) = PLR_a(G, M_{rev}) + PLR_b(G, M_{rev}) + PLR_c^{N_{iter}}(G, M_{rev})$$
(18)

According to the relationship between total PLR and throughput, the throughput of proposed CBA scheme can be expressed as follows:

$$T_{CBA}(G, M_{rev}) = G * [1 - PLR_{CBA}(G, M_{rev})]$$
(19)

## SIMULATION

In this section, we analyze the throughput and PLR performance of proposed CBA scheme compared with traditional CRDSA scheme in (Enrico et al., 2007) and ACA algorithm in (Pengxu et al., 2017). The physical and MAC layer is jointly performed in the MATLAB simulation platform and the Monte Carlo method is adopted to verify the theoretical performance. These simulation conditions are similar to the benchmark problems. The simulation conditions are similar to the benchmark problems and the simulation parameters are given in **Table 1**.

Figure 7 shows the normalized throughput versus normalized load for the proposed CBA scheme compared with the CRDSA scheme and ACA scheme. As a baseline, we consider that the number of receiving satellites for the proposed CBA scheme and ACA scheme is the same as the number of replicas for the CRDSA scheme to generate the packet copies in spatial and time domain, respectively. From Figure 7A, we can find that throughput curves of different schemes linearly increase to a peak value in the low load area and then steeply degrade in the high load area. For proposed CBA scheme and ACA scheme, the throughput performances increase with the number of receiving satellite. The maximum normalized throughput reaches to 0.5 (bit/symbol) and 0.9 (bit/ symbol) using two and three receiving satellites, respectively, for ACA scheme. Meanwhile, the maximum normalized throughput approximately reaches to 0.6(bit/symbol) and 1.1 (bit/symbol) using two and three receiving satellites, respectively, for the proposed CBA scheme. However, for CRDSA scheme, the throughput performance seriously degrades with the packet copies increase in the high load

TABLE 1 | Simulation parameters.

variable	significance	value
M <sub>rev</sub>	Number of satellites	[2,3]
λ	Traffic arrival parameter	[0:0.1:2]
Το	Packet length	100 bits
r	FEC Code rate	0.5
Ь	Modulation order	4
ε	Preamble to packet ratio	1/10
<b>N</b> max iter	Maximum iteration number	10
$\Delta \tau$	Maximum propagation time delay difference	50t

area, because packet copies generated in the time/frequency domain increase the network load, which will result in 'avalanche effect' in high load area. The maximum normalized throughput approximately reaches to **0.55** (bit/symbol) and **0.7** (bit/symbol) using two and three packet copies, respectively, for the CRDSA scheme. For a fixed normalized load G = 1, the throughput performance of proposed CBA scheme increases **10**% and **80**% performance compared with ACA scheme and CRDSA scheme, respectively, when the number of packet copies equals to **3**. From **Figure 7B**, the simulation results show that the theoretical

Throughput curves are well matched with those simulated by Monte Carlo method of both two and three receiving satellites.

**Figure 8** shows the PLR performance versus normalized load for the proposed CBA scheme compared with the CRDSA scheme and ACA scheme. From **Figure 8A**, we can find that the PLR of CRDSA scheme is lower than proposed CBA scheme in low load area, because CRDSA scheme adopts time slot synchronization. However, the PLR of proposed CBA scheme is lower than CRDSA scheme in the medium and high load area. For a fixed normalized load G = 1, PLR value for the proposed CBA scheme reaches to  $10^{-2}$  when the







number of copies equals to **3**, while PLR value for CRDSA and ACA scheme approximately degrades to 1 and  $10^{-1}$ , respectively. From **Figure 8B**, the simulation results show that the theoretical PLR curves are well matched with those simulated by Monte Carlo method of both two and three receiving satellites.

# CONCLUSION

To increase the throughput performance of RA system, we presented a CBA scheme to solve the problem of 'deadlock' in

ACA scheme under the multi-satellite common-view scenario. The cooperative beamforming collision resolution technique combined with SIC scheme was designed to efficiently resolve packet collision by iteration way at the gateway. Simulation results show that the proposed CBA scheme can effectively increase the RA performance compared with traditional ACA scheme. Further works on cooperative beamforming algorithm for packet collision resolution need to be discussed such as the robust design and collaboration receiver selection strategy of beamforming algorithm.

# DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

# **AUTHOR CONTRIBUTIONS**

LR and HT led the main contribution and writing of the manuscript. DX, WY, and ZG supervised the findings of this

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work. All authors contributed to the manuscript and approved the submitted version.

## FUNDING

This work presented was partially supported by the National Science Foundation of China (No. 91738201, 62171234 and 61971440), the Jiangsu Province Basic Research Project (No. BK20192002), the China Postdoctoral Science Foundation (No. 2018M632347), and the Natural Science Research of Higher Education Institutions of Jiangsu Province (No. 18KJB510030).

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