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EDITED BY Zhaobin Sun, Chinese Academy of Meteorological Sciences, China

REVIEWED BY Jianxiong Hu, Guangdong Provincial Center for Disease Control and Prevention, China Zhang Shuwen, Beijing University of Chinese Medicine, China

*CORRESPONDENCE Jinyuan Xin xjy@mail.iap.ac.cn Shihong Li lshltz1@163.com

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[Short-term joint effects of](https://www.frontiersin.org/articles/10.3389/fpubh.2023.1232715/full) ambient $PM_{2.5}$ and O_3 on mortality [in Beijing, China](https://www.frontiersin.org/articles/10.3389/fpubh.2023.1232715/full)

Ying Zhang^{1,2}, Shaobo Zhang¹, Jinyuan Xin^{2*}, Shigong Wang¹, Xiaonan He³, Canjun Zheng⁴ and Shihong Li^{5*}

1 Plateau Atmosphere and Environment Key Laboratory of Sichuan Province, School of Atmospheric Sciences, Chengdu University of Information Technology, Chengdu, China, ²State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chemistry, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China, ³Beijing Anzhen Hospital, Capital Medical University, Beijing, China, 4Chinese Center for Disease Control and Prevention, National Institute for Communicable Disease Control and Prevention, Beijing, China, 5Department of Respiratory and Critical Care Medicine, Beijing Institute of Respiratory Medicine and Beijing Chao-Yang Hospital, Capital Medical University, Beijing, China

Introduction: In recent years, air pollution caused by co-occurring PM_{2.5} and O₃, named combined air pollution (CAP), has been observed in Beijing, China, although the health effects of CAP on population mortality are unclear.

Methods: We employed Poisson generalized additive models (GAMs) to evaluate the individual and joint effects of $PM_{2.5}$ and O_3 on mortality (nonaccidental, respiratory, and cardiovascular mortality) in Beijing, China, during the whole period (2014–2016) and the CAP period. Adverse health effects were assessed for percentage increases (%) in the three mortality categories with each 10 - $\mu q/m³$ increase in $PM_{2.5}$ and O_3 . The cumulative risk index (*CRI*) was adopted as a novel approach to quantify the joint effects.

Results: The results suggested that both $PM_{2.5}$ and O_3 exhibited the greatest individual effects on the three mortality categories with cumulative lag day 01. Increases in the nonaccidental, cardiovascular, and respiratory mortality categories were 0.32%, 0.36%, and 0.43% for PM_{2.5} (lag day 01) and 0.22%, 0.37%, and 0.25% for O_3 (lag day 01), respectively. There were remarkably synergistic interactions between $PM_{2.5}$ and O_3 on the three mortality categories. The study showed that the combined effects of $PM_{2.5}$ and O_3 on nonaccidental, cardiovascular, and respiratory mortality were 0.34%, 0.43%, and 0.46%, respectively, during the whole period and 0.58%, 0.79%, and 0.75%, respectively, during the CAP period. Our findings suggest that combined exposure to $PM_{2.5}$ and O_{3} , particularly during CAP periods, could further exacerbate their single-pollutant health risks.

Conclusion: These findings provide essential scientific evidence for the possible creation and implementation of environmental protection strategies by policymakers.

KEYWORDS

 $PM_{2.5}$, O_{3} , combined air pollution, joint effects, mortality, Beijing

1. Introduction

Significant epidemiological research has shown that short-term exposure to ambient air pollution is substantially related to numerous detrimental health consequences (Fan et al., 2020; Stafoggia et al., 2022) [\(1\)](#page-7-0). Among the various ambient air pollutants, particles with diameters \leq 2.5 µm (PM_{2.5}) and ozone (O₃) are considered have serious dangerous to human health [\(2](#page-7-1)).

At one time, China, the world's largest developing country, had the worst air pollution issue than other countries, which led to almost 2 million premature deaths annually ([3\)](#page-7-2). The Chinese government has implemented a variety of pollution prevention and control measures since 2013 to protect public health, including policy changes in energy, industrial, and transportation infrastructure [\(4](#page-7-3)). According to [\(5](#page-7-4)), there was a significant reduction of $30-50\%$ in $PM_{2.5}$ concentrations from 2013 to 2017. Despite this reduction, PM2.5 pollution episodes persist in China, especially in megacities ([6](#page-7-5), [7](#page-7-6)). Furthermore, the decreased PM_{2.5} also slow down the sink of hydroperoxy radicals and thus speeding up O_3 production, resulting in the ground-level O_3 levels in China have grown annually [\(8\)](#page-7-7). Consequently, there was a cooccurrence of $PM_{2.5}$ and O₃ pollution ([9](#page-7-8)[–11\)](#page-7-9). This cooccurrence is known as combined air pollution (CAP). CAP has received much interest in atmospheric environmental research ([12](#page-7-10), [13](#page-7-11)). However, the health risks caused by CAP are still unclear.

Given that humans are exposed to more than one air pollutant in real life, biological responses to inhaled pollutants likely depend on the interaction between individual pollutants (14) (14) (14) . Ground-level $O₃$ and $PM₂₅$ are closely related and interact with each other, and thus they may have a combined negative impact on human body ([15](#page-7-13)). Traditional time-series studies have focused on assessing health effects using single-pollutant models. Research on the combined health effects of multiple pollutants has been inadequate. In recent years, some new models and methods have been developed to simultaneously quantify the combined health effects of multiple pollutants. One such technique is the use of the cumulative risk index (*CRI*), which involves the linear combination of individual coefficients. This approach enables accurate estimation of cumulative effects, even in cases where there is a high correlation among variables ([14](#page-7-12)), and has been recommended for joint estimates of multipollutant exposure effects on health outcomes [\(16](#page-7-14)). However, *CRI*-related studies are quite limited; most of these studies have been conducted in developed countries, and studies in developing countries are lacking [\(17,](#page-7-15) [18](#page-7-16)).

Beijing, as the capital of China, has a serious air pollution issue. CAP appears in Beijing from time to time, and the frequency continues to increase ([19](#page-7-17)). It is still unknown how the CAP affects the health outcomes of a local population. Therefore, the goal of this manuscript was to evaluate the individual and combined effects of $PM_{2.5}$ and $O₃$ on nonaccidental and cause-specific mortality in Beijing, China, across the entire time period and during the CAP period, respectively. The joint health effects of $PM_{2.5}$ and O_3 were estimated by using the *CRI* index.

2. Data and methods

2.1. Health data

In this study, we collected data on the daily death counts in Beijing from January 1, 2014, to December 31, 2016, from the Chinese Center for Disease Control and Prevention (CDC). To classify the causes of death, we used the International Classification of Diseases, Tenth Revision (ICD-10). Nonaccidental causes, cardiovascular diseases, and respiratory diseases were categorized as A00-R99, I00-I99, and J00-J99, respectively.

2.2. Environmental data

 $PM_{2.5}$ and O_3 data were retrieved from the China National Environmental Monitoring Center. The maximal 8-h average ozone concentration was selected as the $O₃$ concentration metric according to World Health Organization (WHO) recommendations (20) . PM_{2.5} and $O₃$ concentrations were recorded hourly at 12 stationary monitoring sites (Olympic Sports Center, Dongsi, Changping, Tiantan, Guanyuan, Shunyi, Huairou, Dingling, Agriculture Exhibition Hall, Haidian, Wanshou Temple, and Gucheng) in Beijing. We first calculated the mean of the hourly PM_{25} and O_3 concentrations from all 12 monitoring sites and then calculated the 24-h mean $PM_{2.5}$ and daily maximal 8-h average ozone concentrations. Details of the $PM₂₅$ and $O₃$ concentration data collection methods can be found in our published articles [\(21\)](#page-7-19). According to the Ministry of Ecology and Environment of China's national Ambient Air Quality Standards released in 2012 [\(22\)](#page-7-20), $PM_{2.5}$ pollution levels are defined as daily average $PM_{2.5}$ concentrations >75 μ g·m⁻³, and O₃ pollution levels are defined as daily average O₃ concentrations >160 μ g·m⁻³. As a result, CAP days were designated as days when both O_3 and $PM_{2.5}$ values were above the criterion for co-occurring air pollution, with $O₃$ concentrations >160 μ g·m⁻³ and PM_{2.5} concentrations >75 μ g·m⁻³. In addition, we collected data on some meteorological factors, including the daily average surface temperature (°C) and relative humidity (*RH*) (%), which were retrieved from the China Meteorological Data Sharing Service System.¹

2.3. Statistical methods

We employed four parallel time-series Poisson generalized additive models (GAMs) to evaluate the individual and joint effects of $O₃$ and $PM_{2.5}$ on nonaccidental, cardiovascular, and respiratory mortality during the whole period and the CAP period. These models include a single-pollutant model, multipollutant model, nonparametric bivariate response surface model, and stratification model.

First, we utilized the single-pollutant model as the basis to assess the individual effects of a single pollutant on health outcomes at different lag days, including single (lag days 0 and 1) and cumulative (lag days 01 and 04) effects. The following is an expression for [Model 1:](#page-1-1)

$$
\log[E(Y_t)] = \alpha + NS(Time, 3 * 6 / year) + NS(Temp, 3) + NS(RH, 3) + as.factor(DOW) +
$$

as.factor(Holiday) + $\beta_{kt}x_{kt} = \beta_{kt}x_{kt} + COVs$ (1)

where Y_t and $E(Y_t)$ signify the daily death counts and predicted death counts on day *t*, respectively. α refers to the intercept. *NS* () is the natural cubic spline function. According to the minimum Akaike information criterion (AIC), *Time* with the degrees of freedom (*df*) 6/ year was selected to control for secular trends, and the *df* of the daily mean temperature (Temp) and *RH* are both 3. *DOW* and *Holiday* are two dummy variables that indicate weekday and public holidays,

¹ <http://data.cma.cn/>

respectively [\(23](#page-7-21)). x_{kt} and β_{kt} denote the specific air pollutant concentrations and the corresponding coefficient on day *t*, respectively. Additionally, *COVs* represent all covariates including time, mean temperature, relative humidity, weekday, public holidays, and the intercept, respectively.

On this basis, we utilized a multipollutant model to evaluate the joint effects of $PM_{2.5}$ and $O₃$ on health outcomes at different lag days. The *CRI*, which was developed using estimates from multipollutant models, was used to assess the joint effects of multipollutant exposures ([24](#page-7-22)). The multipollutant model and the formula for the *CRI* can be expressed as follows:

$$
\log\left[E(Y_t)\right] = \sum_{k=1}^{p} \beta_{kt} x_{kt} + COVs \tag{2}
$$

$$
CRI_t = \exp\left(\sum_{k=1}^p \beta_{kt} * 10\right) \tag{3}
$$

where x_{kt} and β_{kt} denote the specific air pollutant concentrations and the corresponding coefficient on day *t*, respectively. The *COVs* are identical to those in [Model \(1\)](#page-1-1). *p* indicates the type of air pollutant. CRI_t denotes the joint effects of p air pollutant mixtures on day t .

The *CRIs* obtained from the multipollutant models were compared with the effect estimates of the single-pollutant models. If the effect estimate from the single-pollutant model was as high as the *CRI* from the multipollutant model, it indicated that the influence of only one pollutant was adequate to reflect the total pollutant mixture and that there were no synergistic effects.

Third, we also used a nonparametric bivariate response surface model to intuitively analyze the combined effects of PM_2 , and O_3 on health outcomes. The model can be expressed as follows:

$$
\log\Big[E(Y_t|X)\Big] = ST(PM_{2.5}, O_3) + COVs \tag{4}
$$

ST () denotes the cubic regression splines. The *COVs* are identical to those in [Model \(1\).](#page-1-1)

Fourth, the pollutant stratification model was employed to quantitatively assess the joint effects of $PM_{2.5}$ and $O₃$ on health outcomes during the CAP period. The model can be expressed as follows:

$$
\log \left[E(Y_t|X)\right] = m\beta_{it}O_3 + m\beta_{jt}PM_{2.5} + COVs \tag{5}
$$

where *m* is an indicator variable that is used to represent the CAP days. $m=1$ represents co-occurring air pollution of PM_{2.5} and O₃; otherwise, $m=0$. $\beta_{\rm it}$ and $\beta_{\rm it}$ represent the coefficients of O_3 and PM_{2.5} on day t, respectively. The *COVs* are the same as those in [Model \(1\)](#page-1-1).

To evaluate the models' robustness, several sensitivity studies were carried out. We changed the *df* of *Time* from 7 to 10 per year and the *df* of mean temperature and *RH* from 3 to 5 for the singlepollutant model.

R 4.2.3 software with the "mgcv" package was used for all analyzes. For each 10- μ g/m³ increase in PM_{2.5} and O₃, the estimated individual and joint effects are shown as percentage changes (%) along with 95% confidence intervals (95% CIs).

3. Results

[Table 1](#page-2-0) summarizes the environmental and mortality data in Beijing, China, from 2014 to 2016. On average, there were 146 nonaccidental deaths per day, of which 64 were due to cardiovascular diseases and 17 were due to respiratory diseases. The annual mean temperature and *RH* were 15.65°C and 53%, respectively. Additionally, the annual average concentrations of $PM_{2.5}$ and $O₃$ were 78.97 and 118.10μg/m3 , respectively. Based on the statistical analysis, the daily average $PM_{2.5}$ and O_3 concentrations exceeded the threshold set by the Air Quality II Guidelines ($75 \mu g·m^{-3}$ for PM_{2.5} and 160 $\mu g·m^{-3}$ for O₃, respectively) on 322 and 280days, respectively [\(22\)](#page-7-20). There were 59 CAP days during the study period, indicating serious air pollution in Beijing, China.

The Spearman correlation coefficients of the three mortality categories and different environmental factors are shown in Figure 1. The three mortality categories were all significantly negatively correlated with the mean temperature, RH , and $O₃$ concentration and significantly positively correlated with the $PM_{2.5}$ concentration. The Spearman correlation between $PM_{2.5}$ and $O₃$ was low even though it was statistically significant (*r* =−0.07, *p* < 0.001),

TABLE 1 Daily summary statistics of the air pollution levels, meteorological variables and number of deaths in Beijing, China, from 2014 to 2016.

1st Q, first quartile; 3rd Q, third quartile.

indicating the possibility of interaction effects on three mortality categories.

[Figure 2](#page-4-0) illustrates the individual effects of $PM_{2.5}$ and O_3 on health outcomes at different lags. The individual effects of $PM_{2.5}$ and O_3 on the three mortality categories all peaked at lag day 01. Specifically, the increase in the nonaccidental, cardiovascular, and respiratory mortality categories was 0.32% (95% CI: 0.21, 0.43%), 0.36% (95% CI: 0.21, 0.50%), and 0.43% (95% CI: 0.28, 0.58%) for each 10-μm[−]³ increase in the PM_2 ₅ concentration (lag day 01), and 0.22% (95% CI: 0.08, 0.36%), 0.37% (95% CI: 0.21, 0.53%), and 0.25% (95% CI: 0.12, 0.37%) for each 10- μ g/m³ increase in the O₃ concentration (lag day 01), respectively.

Figure 3 depicts the joint effects of $PM_{2.5}$ and O_3 on health outcomes at different lags. As with the individual effects of $PM_{2.5}$ and O_3 , the joint effects of $PM_{2.5}$ and O_3 on the three mortality categories all peaked at lag day 01. The corresponding *CRIs* for nonaccidental, respiratory and cardiovascular mortality were 0.34% (95% CI: 0.16, 0.52%), 0.43% (95% CI: 0.21, 0.65%), and 0.46% (95% CI: 0.23, 0.70%), respectively. Importantly, for the same category of diseases, the joint effect represented by *CRI* was higher than for any single pollutant effect estimate at lag day 01. Overall, the *CRI*s implied that a single-pollutant effect did not accurately represent the whole health effects of the mixture. In the subsequent analysis, both $PM_{2.5}$ and O_3 at lag day 01 were used as the research objects.

[Figure 4](#page-5-0) illustrates the combined effects of $PM_{2.5}$ and O_3 on the three mortality categories using three-dimensional visualization

graphs. The response surfaces show that the combined effects of $PM₂₅$ (lag day 01) and O_3 (lag day 01) on nonaccidental, cardiovascular, and respiratory deaths were complicated. Notably, when high concentrations of $PM_{2.5}$ and O_3 coexisted, all three categories (nonaccidental, cardiovascular, and respiratory fatalities) reached their maximums, showing that the interaction effects could be synergistic.

[Table 2](#page-5-1) depicts the individual and joint effects of $PM_{2.5}$ (lag day 01) and O_3 (lag day 01) on health outcomes during the whole period and the CAP period. For the same kind of illness, the *CRIs* of the joint effects during both the whole period and the CAP period were higher than any single-pollutant effect estimates. In addition, the joint effects during the CAP period were remarkably larger than those during the whole period, indicating that the CAP period further exacerbated the combined effects of $PM_{2.5}$ and O_3 on the three mortality categories.

According to the results of the sensitivity analyzes, the effects of O3 (or PM2.5) remained robust regardless of the change in the *df* of the time (see [Supplementary Figure S1](#page-6-0)), the *df* of the mean temperature, and the *df* of the *RH* (see [Supplementary Table S1](#page-6-0)).

4. Discussion

The CAP of $PM_{2.5}$ and O_3 has become a major environmental and health concern worldwide ([7\)](#page-7-6). Evaluating the short-term individual and joint effects of $PM_{2.5}$ and O_3 on health outcomes

Percentage changes (%) in the three mortality categories associated with each 10-µg/m³ increase in PM_{2.5} and O₃ concentrations at different lag days in the single-pollutant models.

could provide valuable evidence for policymakers to regulate and prevent the accumulation of $PM_{2.5}$ and O_3 . Our findings demonstrated that $PM_{2.5}/O_3$ was significantly associated with nonaccidental and cause-specific (cardiovascular and respiratory) mortality in Beijing, China. Additionally, the joint effects of the dual pollutants could further exacerbate their individual effects, especially during the CAP period.

Numerous studies of the individual effects of air pollutants, particularly $PM_{2.5}$ and O_3 , on public health have been conducted ([1](#page-7-0), [25\)](#page-7-23). For example, a meta-analysis conducted in 272 Chinese cities by Chen et al. [\(26](#page-7-24)) showed that a 10 - μ g/m³ increase in the PM_{2.5} concentration was associated with an increase in nonaccidental, cardiovascular, and respiratory mortality of 0.27,

0.39, and 0.29%, respectively. Another meta-analysis in China ([27\)](#page-7-25) revealed that an increase of $10-\mu g/m^3$ in the O_3 concentration caused increases of 0.24 and 0.27% in nonaccidental and cardiovascular mortality, respectively. In this study, the results from the single-pollutant models revealed that each 10-μg/m3 increase in the $PM_{2.5}$ concentration caused increases of 0.32, 0.36, and 0.43% in nonaccidental, cardiovascular, and respiratory mortality, respectively, and each 10 - μ g/m³ increase in the O₃ concentration caused increases of 0.22, 0.37, and 0.25% in nonaccidental, cardiovascular, and respiratory mortality, respectively, in Beijing, China. Our estimates of the $PM_{2.5}$ mortality and O_3 -mortality relationships were generally consistent with those of previous studies.

TABLE 2 Percentage changes (%) in nonaccidental, respiratory, and cardiovascular mortality associated with each 10-μg/m³ increase in the PM_{2.5} and O₃ concentrations during the whole period and the CAP period.

a During the whole period.

^bDuring the CAP period of co-occurring air pollution of PM_{2.5} and O₃.

*indicates $p < 0.05$.

In the multipollutant models, our findings suggested that the estimates of the joint effects of the two air pollutants on mortality were higher than those for any individual effect for the same kind of illness. Consistent with our findings, a study conducted by Lei et al. [\(28\)](#page-7-26) in Hefei, China, indicated that the effects of the health risks caused by $PM_{2.5}$ on nonaccidental mortality increased when $O₃$ was included, and vice versa, indicating that O_3 and $PM_{2.5}$ could aggravate each other's unfavorable health effects. A cross-sectional study conducted in six countries revealed a synergistic interaction effect of $PM_{2.5}$ and O_3 on disease deterioration ([29\)](#page-7-27). However, in contrast to our findings, Qu et al. [\(30\)](#page-7-28) observed that when O_3 was included, the effect of $PM_{2.5}$ on nonaccidental mortality was reduced. Moreover, several earlier studies showed no interaction effects of $PM_{2.5}$ and O_3 [\(31,](#page-7-29) [32\)](#page-7-30). This inconsistency could be attributed to differences in the chemical

composition, source, and toxicity of $PM_{2.5}$ and O_3 in different regions. Furthermore, the differences in study methods and individual sensitivity to pollutants can also lead to different results ([33](#page-7-31)).

Notably, the patterns of the combined effects of $PM_{2.5}$ and O_3 on mortality demonstrated that coexisting high concentrations of PM_{2.5} and $O₃$ could have synergistic effects on three mortality categories (34). Biological mechanisms have been somewhat postulated to explain the potential interaction effect of $PM_{2.5}$ and O_3 pollution on respiratory and cardiovascular mortality, despite the lack of clear evidence for a direct synergistic effect of the two pollutants on illnesses. For example, a few toxicology experiments on rats validated that the particulate matter served as a carrier for O_3 , delivering O_3 into the body [\(35\)](#page-7-33). Inhaling particles and $O₃$ together had a synergistic impact on airway responsiveness and allergic inflammation in mice [\(36](#page-7-34)), suggesting that combined exposure to O_3 and $PM_{2.5}$ markedly increased health risks ([37\)](#page-7-35). Therefore, people, especially those with chronic respiratory and cardiovascular diseases, should strengthen protection measures and reduce outdoor activities, especially on CAP of $PM_{2.5}$ and O_3 days.

The key advantage of this study is as follows: Current research on CAP primarily focuses on the characteristics of changes in $PM₂₅$ and $O₃$ concentrations, meteorological causes, and their mutual influences. However, there is less emphasis on the joint health effects of $PM_{2.5}$ and O_3 during CAP periods [\(7](#page-7-6), [38\)](#page-7-36). Furthermore, traditional multipollutant models mainly focus on describing the difference in the health effects of a single pollutant before and after the addition of other pollutants without quantifying the combined effects of multiple pollutants [\(6](#page-7-5)). Our study differs from traditional studies, as we utilized multiple methods to examine the harmful health effects associated with exposure to one and two pollutants. We also conducted stratification studies on pollution, with a specific focus on the combined health effects of $PM_{2.5}$ and O_3 during the CAP period. Furthermore, we used the *CRI* to accurately quantify the joint effects of PM_2 , and O_3 during both the whole and CAP periods. This approach addresses the limitations of previous research to a significant extent [\(16\)](#page-7-14).

There are several limitations of our study that should be acknowledged. First and foremost, due to the difficulty in obtaining disease data in China, the study only included a 3-year disease death time series, and the time coverage was relatively limited. The latest year's death data could not be obtained, which could reduce the statistical power. Second, in keeping with many previous studies [\(4](#page-7-3), [39](#page-7-37)), we did not collect data on the real-time pollution exposure levels of individuals and only used the outdoor air pollutant concentration to represent individual $PM_{2.5}$ and $O₃$ exposure levels, which inevitably led to some deviation in the results [\(33\)](#page-7-31). Third, the two most dangerous pollutants in China at this time are $PM_{2.5}$ and O_3 . This study only tentatively carried out research on the interaction effect between $PM_{2.5}$ and $O₃$ on public health and did not carry out in-depth research on interaction effects with other air pollutants (such as $O₃$ and nitrogen dioxide, sulfur dioxide and $PM_{2.5}$). Therefore, with the improvement of research methods at a later stage, further in-depth study of the health effects of interactions between different air pollutants on human health should be carried out.

5. Conclusion

Our findings showed that exposure to $PM_{2.5}$ and O_3 may be significant risk factors for nonaccidental, cardiovascular, and respiratory mortality in Beijing, China. Moreover, we found that

combined exposure to $PM_{2.5}$ and $O₃$ could amplify their individual effects on three mortality categories, particularly during CAP of $PM_{2.5}$ and O_3 periods. Therefore, during the CAP periods, the public should take timely preventive measures and reduce outdoor activities to some extent to reduce air pollution hazards.

Data availability statement

The data analyzed in this study is subject to the following licenses/ restrictions: Authors are not allowed to disclose data. Requests to access these datasets should be directed to YZ, [zhangy881208@126.com.](mailto:zhangy881208@126.com)

Author contributions

YZ: writing—review and editing, methodology, designed the research, and wrote the manuscript. SZ and XH: methodology and designed the research. JX: methodology, designed and reviewed the research, and reviewed the research. SW: formal analysis and reviewed the research. CZ: collected and analyzed the data. SL: writing—review and editing, formal analysis, and collected and analyzed the data. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary material for this article can be found online at: [https://www.frontiersin.org/articles/10.3389/fpubh.2023.1232715/](https://www.frontiersin.org/articles/10.3389/fpubh.2023.1232715/full#supplementary-material) [full#supplementary-material](https://www.frontiersin.org/articles/10.3389/fpubh.2023.1232715/full#supplementary-material)

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