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## EDITED BY

Roy Rillera Marzo,  
Management and Science  
University, Malaysia

## REVIEWED BY

Parismita Sarma,  
Gauhati University, India  
Aditya Gupta,  
Amazon (United States), United States

## \*CORRESPONDENCE

Nina Wu  
wunina@ccmu.edu.cn

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# Individualized prediction of chronic kidney disease for the elderly in longevity areas in China: Machine learning approaches

Dai Su<sup>1</sup>, Xingyu Zhang<sup>2,3</sup>, Kevin He<sup>4</sup>, Yingchun Chen<sup>5,6</sup> and  
Nina Wu<sup>1\*</sup>

<sup>1</sup>Department of Health Management and Policy, School of Public Health, Capital Medical University, Beijing, China, <sup>2</sup>Department of Systems, Populations, and Leadership, University of Michigan School of Nursing, Ann Arbor, MI, United States, <sup>3</sup>Thomas E. Starzl Transplantation Institute, University of Pittsburgh Medical Center, Pittsburgh, PA, United States, <sup>4</sup>Department of Biostatistics, University of Michigan School of Public Health, Ann Arbor, MI, United States, <sup>5</sup>Department of Health Management, School of Medicine and Health Management, Tongji Medical College, Huazhong University of Science and Technology, Wuhan, China, <sup>6</sup>Research Center for Rural Health Services, Hubei Province Key Research Institute of Humanities and Social Sciences, Wuhan, China

**Background:** Chronic kidney disease (CKD) has become a major public health problem worldwide and has caused a huge social and economic burden, especially in developing countries. No previous study has used machine learning (ML) methods combined with longitudinal data to predict the risk of CKD development in 2 years amongst the elderly in China.

**Methods:** This study was based on the panel data of 925 elderly individuals in the 2012 baseline survey and 2014 follow-up survey of the Healthy Aging and Biomarkers Cohort Study (HABCS) database. Six ML models, logistic regression (LR), lasso regression, random forests (RF), gradient-boosted decision tree (GBDT), support vector machine (SVM), and deep neural network (DNN), were developed to predict the probability of CKD amongst the elderly in 2 years (the year of 2014). The decision curve analysis (DCA) provided a range of threshold probability of the outcome and the net benefit of each ML model.

**Results:** Amongst the 925 elderly in the HABCS 2014 survey, 289 (18.8%) had CKD. Compared with the other models, LR, lasso regression, RF, GBDT, and DNN had no statistical significance of the area under the receiver operating curve (AUC) value ( $>0.7$ ), and SVM exhibited the lowest predictive performance (AUC = 0.633,  $p$ -value = 0.057). DNN had the highest positive predictive value (PPV) (0.328), whereas LR had the lowest (0.287). DCA results indicated that within the threshold ranges of  $\sim 0$ –0.03 and 0.37–0.40, the net benefit of GBDT was the largest. Within the threshold ranges of  $\sim 0.03$ –0.10 and 0.26–0.30, the net benefit of RF was the largest. Age was the most important predictor variable in the RF and GBDT models. Blood urea nitrogen, serum albumin, uric acid, body mass index (BMI), marital status, activities of daily living (ADL)/instrumental activities of daily living (IADL) and gender were crucial in predicting CKD in the elderly.

**Conclusion:** The ML model could successfully capture the linear and nonlinear relationships of risk factors for CKD in the elderly. The decision support system based on the predictive model in this research can help medical staff detect and intervene in the health of the elderly early.

#### KEYWORDS

prediction, chronic kidney disease, elderly, machine learning, longevity areas, China

## Introduction

Chronic kidney disease (CKD) has become a major public health problem worldwide and has caused a huge social and economic burden, especially in developing countries (1). In 2017, the number of CKD patients worldwide reached 697.5 million, amongst which nearly one-sixth (132 million) were in China (2). CKD plays an important role in the development of end-stage renal disease (ESRD) (3), all-cause mortality (4), non-vascular health outcomes (5) and hospitalisations (6). The prevalence of CKD increases with age, and this problem is exacerbated by the aging of the Chinese population. The 2015 Annual Data Report of the China Kidney Disease Network showed that nearly half of CKD patients in China are over 60 years old (7). A study reported that the prevalence of CKD (Stages III–IV) in men and women between 55 and 64 years old is 6.1 and 13.1%, respectively; in the corresponding population of 75–84 years old, the prevalence of CKD is increased to 33.2 and 41.7% (8). In addition, the average age of patients with ESRD in China is 59 years; the patients with ESRD in China are younger than those in the USA (62.8 years) and Japan (64.7 years) (9). Therefore, the early identification, intervention and establishment of effective treatment strategies for potential Chinese CKD patients are crucial in controlling the number of Chinese CKD cases. The elderly population is a high-risk group in terms of CKD. Close attention must therefore be devoted to the elderly population.

Several studies have analyzed the association between CKD and its risk factors, which mainly include the following types of indicators: (1) demographic characteristics, such as sex (10), age (11), and marital status (12); (2) unhealthy lifestyle, including smoking (13), and alcohol consumption (14); (3) mental and physical health, including instrumental activities of daily living (IADL) and activities of daily living (ADL) (15), cognition (16), depression (17), body mass index (BMI) (18), and waist circumference (19); (4) chronic diseases, such as hypertension (20), diabetes (21), heart disease (22), stroke and cerebrovascular diseases (23) and cancer (24); and (5) biology medical indicators, including serum albumin (25), blood urea nitrogen (21), total cholesterol (26), triglyceride (27), urea acid (28), and hemoglobin (29). However, most algorithms for predicting CKD are based on a small number

of patients and clinical predictors, and their predictive accuracy is usually uncertain (21, 30–32). The main research method used at present is multiple linear regression. The multiple linear regression model is based on the least squares method and assumes that the variables are independent of one another. However, the relationship between dependent and independent variables is complex and nonlinear, with high-dimensional correlation (33–36). Therefore, the performance of this model in terms of sensitivity and specificity is insufficient for use in predicting CKD.

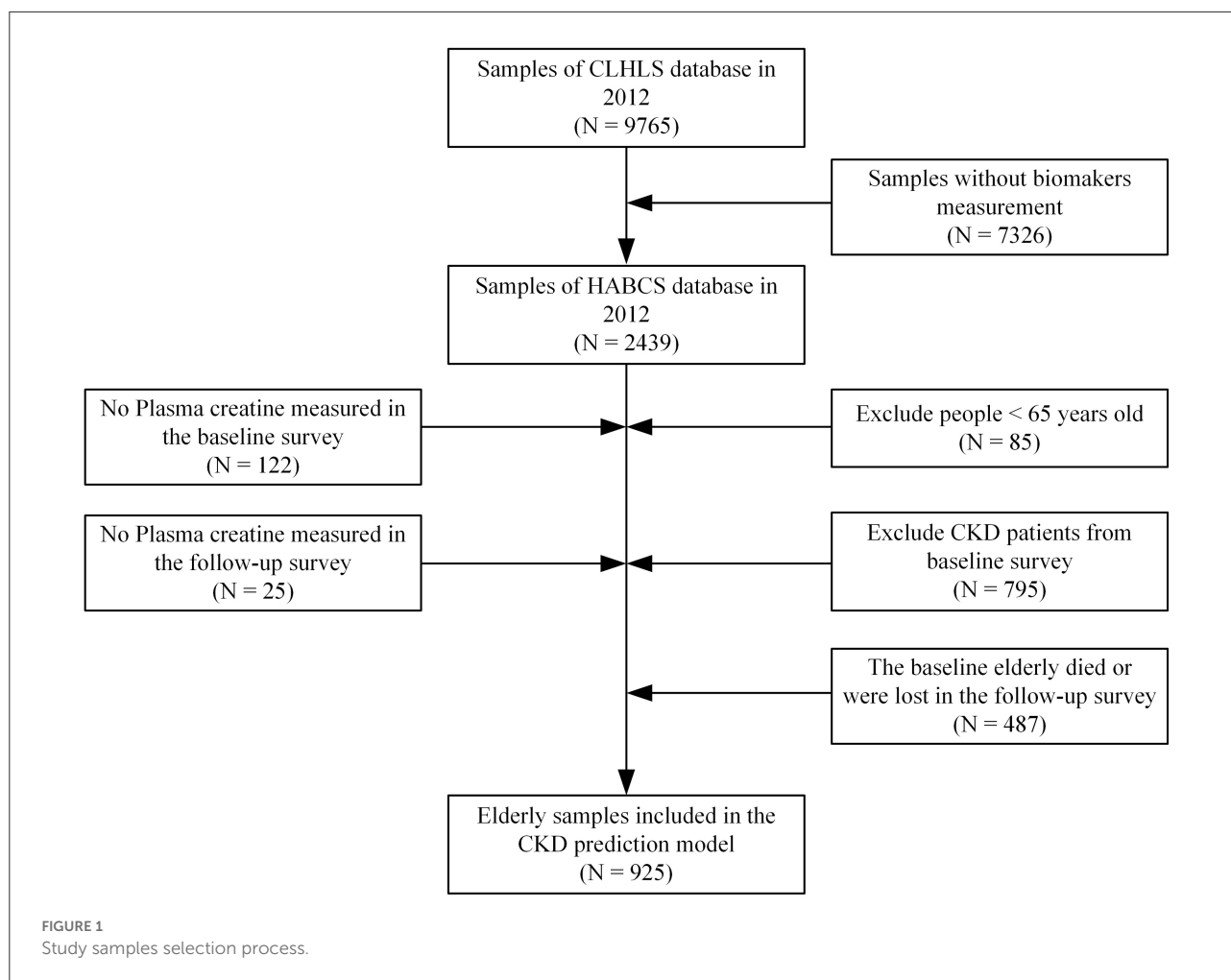
Machine learning (ML) techniques have many advantages, including robustness to parametric assumptions, high power and accuracy, capability to model nonlinear effects, availability of numerous well-developed algorithms and capability to model high-dimensional data (37). ML techniques can be used to design data-driven models and algorithms with predictive capabilities in an unpredictable manner to achieve good results. ML models have been widely used in medical and health fields to assess disease risks and provide information for the establishment of clinical decision support systems, including predicting disease outcome (38), recommending treatment methods (39), and personalized medicine (40). Therefore, ML technology is an effective means for the early diagnosis of CKD.

To the authors' knowledge, no previous study has used ML methods combined with longitudinal data to predict the risk of CKD amongst the elderly in China in 2 years. Therefore, this study utilizes survey data from the Healthy Aging and Biomarkers Cohort Study (HABCS) in China as a sample to predict CKD amongst the elderly in longevity areas in China by using six ML models.

## Materials and methods

### Study design and setting

The HABCS datasets were collected by the Center for Healthy Aging and Development Studies (CHADS) of National School of Development at Peking University and the Chinese Center for Disease Control and Prevention (CDC) from in-depth studies in the eight longevity areas in the Chinese Longitudinal Healthy Longevity Survey (CLHLS) 5th, 6th, and



7th waves in 2009, 2012, and 2014. We selected the surveyed individuals in 2012 as the baseline survey sample and the surveyed individuals in 2014 as the follow-up survey sample. The selected elderly did not have CKD in the baseline survey. The specific sample selection process is shown in [Figure 1](#). The research conducted in this study was performed in accordance with the Declaration of Helsinki.

## Data collection

### Questionnaire data

The CLHLS questionnaire data contained information on the research subjects' family structure, living arrangements and proximity to children, ADL, capacity for physical performance, self-rated health, self-evaluation of life satisfaction, cognitive functioning, chronic disease prevalence, care needs and costs, social activities, diet, smoking and drinking behaviors, psychological characteristics, economic resources and care giving and family support amongst elderly respondents and their relatives.

### Laboratory examination

In this study, blood and urine samples of subjects corresponding to CLHLS samples in HABCS were collected for laboratory examination. Hemoglobin concentration (HC), which was amongst the indicators we collected for the laboratory examination, was measured on-site. The clinical test center of Capital Medical University used the Hitachi 7,180 automatic biochemical analyser produced in Japan and commercial diagnostic reagents produced by Roche Diagnostics company to examine other indicators, including plasma albumin, serum creatinine, blood urea nitrogen, blood urea acid, total cholesterol and triglyceride (41).

### Study variables

#### Outcome variable

This study defined whether the sample in the follow-up survey met the diagnostic criterion of CKD as the outcome variable. When the sample met the diagnostic criterion of CKD, it was assigned a value of 1; otherwise, it was given a value of 0.

The diagnostic criteria and definition for CKD were based on the Guidelines for the Screening, Diagnosis and Prevention of CKD published in China in 2017 and the Kidney Disease Outcomes Quality Initiative of the American Kidney Foundation (42). These two documents are very authoritative in China and the United States. The diagnostic criterion of CKD in these two documents was defined as estimated glomerular filtration rate (eGFR) <60 mL/min/1.73 m<sup>2</sup>. eGFR was calculated with the CKD-EPI equation, which is expressed as follows (43):

$$\text{eGFR} = 141 \times \min(\text{Scr}/\kappa, 1)^\alpha \times \max(\text{Scr}/\kappa, 1) - 1.209 \\ \times 0.993 \text{ Age} \times 1.018(\text{if female})$$

where Scr is serum creatinine expressed in mg/dL,  $\kappa$  is 0.7 for females and 0.9 for males,  $\alpha$  is  $-0.329$  for females and  $-0.411$  for males, min indicates the minimum of  $\text{Scr}/\kappa$  or 1 and max indicates the maximum of  $\text{Scr}/\kappa$  or 1.

## Predictors

We selected 22 variables of the respondents in the 2012 baseline survey as predictors of CKD, and these included the following: (1) demographic characteristics, such as gender (male vs. female), age (a continuous variable) and marital status (has a spouse vs. no spouse); (2) unhealthy lifestyle, including smoking (yes vs. no) and alcohol consumption (yes vs. no); (3) mental and physical health, including IADL/ADL (a continuous variable), cognitive function (a continuous variable), depression (yes vs. no), BMI (a continuous variable) and waist circumference (a continuous variable); (4) chronic diseases, such as hypertension (yes vs. no), diabetes (yes vs. no), heart disease (yes vs. no), stroke and cerebrovascular diseases (yes vs. no), cancer (yes vs. no), and blood disease (yes vs. no); and (5) biology medical indicators, including serum albumin (a continuous variable), blood urea nitrogen (a continuous variable), total cholesterol (a continuous variable), triglyceride (a continuous variable), urea acid (a continuous variable), and hemoglobin (a continuous variable).

In this study, we determined whether a respondent had hypertension based on whether the respondent was diagnosed with hypertension by a doctor. The diagnosis of Chinese doctors is based on the “China Guidelines for the Prevention and Treatment of Hypertension”, in which hypertension is defined as systolic blood pressure over 140 mmHg, diastolic blood pressure over 90 mmHg.

A modified version of Lawton’s scale was used to measure impairments in IADL amongst the elderly samples (44). The scale includes the following self-reported activities: visiting neighbors, shopping, cooking a meal, washing clothes, walking continuously for 1 km at a time, lifting a weight of 5 kg, continuously crouching and standing up three times and taking public transportation. These items had three response categories, namely, “yes, independently”, “yes, but need some help” and “no, can’t”; the three options were coded 3, 2 and

1, respectively. Impairment in ADL was measured using the Katz scale (45), which covers the following activities: bathing, dressing, going to the toilet, transferring indoors, continence and eating. The response categories of ADL were consistent with IADLs and coded similarly. We calculated the total score of 14 items as a respondent’s final score, with a maximum of 42 points.

The measurement for depression used two levels of indicators, and an answer of “yes” to any question is considered a representation of depression (coded as 1, otherwise 0). The two questions were as follows: (1) Have you had a time in the last 12 months when you felt sad, blue or depressed for 2 weeks or more? (2) Have you had a time in the last 12 months lasting 2 weeks or more when you lost interest in most things, such as hobbies, work or activities, that you usually find pleasurable?

## Statistical analysis

In the training set (70% random sample), we developed different ML models to predict the probability of CKD for the elderly. The data structure of this study contains both predictor variables and outcome variables, so the ML prediction models were based on supervised learning algorithm. Meanwhile, the outcome variable is a binary variable, so this study focused on the classification problem, so as to solve the identification of CKD in the elderly. Therefore, we have constructed six ML classification algorithms based on supervised learning, logistic regression (LR), LR with lasso regularization (lasso regression), random forest (RF), gradient-boosted decision tree (GBDT), support vector machine (SVM) and deep neural network (DNN). A systematic comparison of the strengths and weaknesses of six machine learning algorithms was shown in Table 1 (46).

### LR

Logistic Regression is a ML algorithm which is used for the classification problems, it is a predictive analysis algorithm and based on the concept of probability (47). We implemented LR algorithm in an R and the used the glm function to fit the model.

### Lasso regression

Lasso regularization automatically deletes unnecessary covariates, and only the most significant variables are retained in the final model. We used 10-fold cross validation to obtain the optimal value of the regularization parameter (lambda) with minimum mean squared error (MSE) (48). The optimal lambda values were used for variable selection. The methods presented above are implemented in an R package called glmnet. minMSE is automatically calculated using arguments  $s = \text{“lambda.min”}$  in the cv.glmnet function.

TABLE 1 A systematic comparison of the strengths and weaknesses of six machine learning algorithms.

Supervised ML <sup>a</sup> algorithms	Strengths	Weaknesses
LR <sup>b</sup>	<p>(1) Easier to implement, interpret.</p> <p>(2) It makes no assumptions about distributions of classes in feature space.</p> <p>(3) It has a nice probabilistic interpretation of model parameters</p> <p>(4) It can interpret model coefficients as indicators of feature importance.</p>	<p>(1) It tends to underperform when there are multiple or non-linear decision boundaries.</p> <p>(2) It is not flexible enough to naturally capture more complex relationships. DNN can easily outperform this algorithm.</p> <p>(3) It requires average or no multicollinearity between independent variables.</p> <p>(4) Unless multinomial, generic LR can only classify variables that have two states (i.e., dichotomous).</p>
Lasso regression	<p>(1) It can be regularized to avoid overfitting.</p> <p>(2) Lower dimensional dataset is computationally efficient.</p> <p>(3) Outputs have a nice probabilistic interpretation.</p>	<p>(1) It leads to dimensionality reduction, which means the model is built using a lower dimensional dataset. This generally leads to a high bias error.</p> <p>(2) It could be computationally expensive.</p> <p>(3) A poor value for hyperparameter might make the model performance worse.</p>
RF <sup>c</sup>	<p>(1) It outputs importance of variable.</p> <p>(2) Individual decision trees can be trained in parallel.</p> <p>(3) It reduces overfitting, since RF takes the average value from the outcomes of its constituent decision trees.</p> <p>(4) There is no need for feature normalization.</p> <p>(5) Scales well for large datasets.</p> <p>(6) RF is harder to overfit than GBDT.</p>	<p>(1) No interpretability.</p> <p>(2) A large number of trees can make the algorithm too slow and ineffective for real-time predictions.</p> <p>(3) Overfitting can occur easily.</p> <p>(4) It favors those variables or attributes that can take high number of different values in estimating variable importance.</p>
GBDT <sup>d</sup>	<p>(1) Often provides predictive accuracy that cannot be beat.</p> <p>(2) Lots of flexibility - can optimize on different loss functions and provides several hyperparameter tuning options that make the function fit very flexible.</p> <p>(3) No data pre-processing required.</p> <p>(4) Handles missing data, imputation not required.</p> <p>(5) GBDT are better learners than RF.</p>	<p>(1) GBDT are more sensitive to overfitting if the data is noisy.</p> <p>(2) Training generally takes longer because of the fact that trees are built sequentially.</p> <p>(3) GBDT are harder to tune than RF. There are typically three parameters: number of trees, depth of trees and learning rate, and each tree built is generally shallow.</p>
SVM <sup>e</sup>	<p>(1) More robust compared to LR</p> <p>(2) Can model non-linear decision boundaries, and there are many kernels to choose from.</p> <p>(3) Fairly robust against overfitting, especially in high-dimensional space.</p>	<p>(1) Memory intensive</p> <p>(2) A time-consuming approach if applied to a huge database.</p> <p>(3) It is trickier to tune due to the importance of picking the right kernel, and don't scale well to larger datasets.</p> <p>(4) RF are usually preferred over SVM</p>
DNN <sup>f</sup>	<p>(1) They are usually outperformed by tree ensembles for classical ML problems.</p>	<p>(1) A black box approach for a statistical modeler we have very little control on what the model does.</p>

(Continued)

TABLE 1 (Continued)

Supervised ML <sup>a</sup> algorithms	Strengths	Weaknesses
	(2) Can detect complex nonlinear relationships between dependent and independent variables.	(2) Non-repetitive results and instability.
	(3) Strong gradual corruption ability.	(3) It require much more expertise to tune (i.e., set the architecture and hyperparameters).
	(4) Corruption of one or more cells of ANN <sup>g</sup> does not prevent it from generating output.	(4) It needs parallel processing environment.

<sup>a</sup>ML, machine learning; <sup>b</sup>LR, logistic regression; <sup>c</sup>RF, random forests; <sup>d</sup>GBDT, gradient-boosted decision tree; <sup>e</sup>SVM, support vector machine; <sup>f</sup>DNN, deep neural network; <sup>g</sup>ANN, artificial neural network.

## RF

RF is a meta-estimator that fits a number of decision tree classifiers in various sub-samples of the dataset and uses averaging to improve the predictive accuracy and control overfitting (49). In this study, we used out-of-bag estimation to measure the prediction errors. In addition, we used *R* ranger and caret packages to construct RF models.

## GBDT

GBDT produces a prediction model in the form of an ensemble of weak prediction models, builds the model in a stage-wise manner and generalizes them by allowing the optimisation of an arbitrary differentiable loss function (50). In this study, we used 10-fold cross-validation to measure the prediction error and used the XGBoost package in R software to construct GBDT models.

## SVM

SVM is a discriminative classifier that is formally defined by a separating hyperplane. In other words, given labeled training data (supervised learning), the algorithm outputs an optimal hyperplane that categorizes new examples (46). The radial basis function (RBF) kernel in the SVM function was used in this study.

## DNN

DNN is an artificial neural network (ANN) with multiple layers between the input and output layers. DNN searches for the correct mathematical manipulation to turn the input into the output, whether it is a linear relationship or a non-linear one (51). In DNN, we constructed a three-layer feedforward model with an adaptive moment estimation optimiser by utilizing the Keras package. For DNN, we developed the final models by randomly and manually tuning the hyperparameters, such as the number of layers and hidden units, learning rate, learning rate decay, batch size and epochs, by using the Keras package. To

minimize potential overfitting, we used batch normalization that normalizes the means and variances of layer inputs.

## Model evaluation

In the test set (30% random sample), we used the AUC value and prospective prediction results [sensitivity (Eq. 1), specificity (Eq. 2), accuracy (Eq. 3), positive predictive value (PPV) (Eq. 4), and negative predictive value (NPV) (Eq. 5)] to evaluate the performance for each ML model. We selected the threshold value of expected prediction results based on receiver operating curve (ROC) (i.e., the value with the shortest distance to the perfect model). A widely used test to compare the difference between two AUCs relies on the method developed in a seminal paper by DeLong et al. (52) (henceforth “the DeLong test”). The DeLong test was applied to compare the differences in the ROC curves of different ML models. Decision curve analysis (DCA) was performed to calculate the clinical “net benefit” for the six ML prediction models in comparison with default strategies of treating all or no patients. Net benefit was calculated across a range of threshold probabilities, which is defined as the minimum probability of a disease at which further intervention would be warranted, as follows: net benefit = sensitivity × prevalence – (1 – specificity) × (1 – prevalence) × *w*, where *w* is the odds at the threshold probability (53). To obtain an in-depth understanding of the contribution of each predictor to the ML model, we also calculated the importance of variables in the GBDT and RF model for each result.

$$\text{Sensitivity} = \frac{TP}{TP + FN} \quad (1)$$

$$\text{Specificity} = \frac{TN}{TN + FP} \quad (2)$$

$$\text{Accuracy} = \frac{TN + TP}{TN + TP + FN + FP} \quad (3)$$

$$\text{Positive predictive value} = \frac{TP}{TP + FP} \quad (4)$$

$$\text{Negative predictive value} = \frac{TN}{TN + FN} \quad (5)$$

Here, true negatives (TN) and true positives (TP) indicate the elderly that were accurately identified as not suffering depression and suffering depression, respectively; false negatives (FN) and false positives (FP) indicate the elderly that were inaccurately identified as not suffering depression and suffering depression, respectively.

## Results

### Characteristics of elderly samples with CKD in HABCS 2012

As shown in [Table 2](#), amongst the 925 elderly in HABCS 2014, 289 (18.8%) had CKD. The age of the elderly with CKD ( $87.34 \pm 10.73$ ) was higher than that of the elderly without CKD ( $78.67 \pm 10.44$ ). The female elderly with CKD (25.4%) had a larger proportion than the male elderly (12.4%). The marital status of married but not living with spouse (15.2%) for the elderly accounted for a larger proportion than the marital status of married and living with spouse and divorced (9.6 and 9.1%, respectively). The cognitive function score of the elderly with CKD ( $19.34 \pm 5.80$ ) was lower than that of the elderly without CKD ( $21.01 \pm 3.99$ ). The elderly who smoked (12.0%) had a larger proportion than the elderly who did not smoke (20.1%). The ADL/IADL score of the elderly with CKD ( $36.57 \pm 6.79$ ) was lower than that of the elderly without CKD ( $39.08 \pm 5.42$ ). The plasma albumin level of the elderly with CKD ( $39.04 \pm 5.36$ ) was lower than that of those without CKD ( $41.23 \pm 4.51$ ). The total cholesterol level of the elderly with CKD ( $4.18 \pm 0.97$ ) was lower than that of the elderly without CKD ( $4.36 \pm 0.94$ ). The hemoglobin level of the elderly with CKD ( $126.55 \pm 20.61$ ) was lower than that of the elderly without CKD ( $132.4 \pm 22.32$ ). The elderly with hypertension (26.1%) had a larger proportion than the elderly without hypertension (15.8%).

Afterward, we performed binary logistic regression to analyze the crude and adjusted odds ratios for the elderly with CKD (vs. non-CKD) for each predictor. The elderly with a high age had a higher risk of CKD compared with the elderly with a low age [adjusted odds ratio (OR) = 1.066; 95% confidence interval (CI): 1.041–1.092]. The female elderly had a higher risk of CKD than the male elderly (adjusted OR = 1.897; 95% CI: 1.152–3.122). The elderly with a marital status of married but not living with spouse had larger odds of CKD than the elderly with a marital status of married and living with spouse (adjusted OR = 1.837; 95% CI: 1.161–2.907). The elderly with low plasma albumin had a higher risk of CKD than the elderly with high plasma albumin (adjusted OR = 0.928; 95% CI: 0.885–0.973). The elderly with high urea acid had a higher risk of CKD than those with low urea acid (adjusted OR = 1.004; 95% CI: 1.001–1.006). The analysis showed that the elderly with hypertension (adjusted OR=1.957; 95%CI: 1.298–2.951) had a higher risk of CKD than those without hypertension.

### Prediction appearance for elderly with CKD by using the six ML models

A comparison of the ROC curves of the six ML models for elderly with CKD is shown in [Figure 2A](#) and [Table 3](#). We further compared the models by using the DeLong test. LR, lasso regression, RF, GBDT and DNN showed no statistical significance ( $AUC > 0.7$ ), implying that these models had similar predictive power. In our study, SVM had the lowest predictive performance ( $AUC = 0.633$ ,  $p$ -value = 0.06). The threshold values of LR, lasso regression, RF, GBDT, SVM, and DNN were 0.127, 0.138, 0.272, 0.050, 0.180, and 0.352, respectively. SVM had the highest accuracy (0.732, 95% CI: [0.677–0.782]), and LR had the lowest accuracy (0.634, 95% CI: [0.576–0.690]). SVM had the lowest sensitivity (0.460), and DNN had the highest sensitivity (0.760). The specificity of SVM was the highest (0.716), and the specificity of the LR was the lowest (0.612). DNN had the highest PPV (0.328), whereas LR had the lowest PPV (0.287). DNN had the highest NPV (0.930), whereas SVM had the lowest NPV (0.874). DCA ([Figure 2B](#)) showed that within the threshold ranges of  $\sim 0$ –0.03 and 0.37–0.40, the net benefit of GBDT was the largest, and within the threshold ranges of  $\sim 0.03$ –0.10 and 0.26–0.30, the net benefit of RF was the largest.

### Importance of CKD predictors for the elderly

The importance of the predictors in the RF and GBDT models is shown in [Figure 3](#). Age was the most important predictor variable in both models. In addition, blood urea nitrogen, serum albumin, uric acid, BMI, marital status, ADL/IADL and gender were crucial in predicting CKD amongst the elderly.

## Discussion

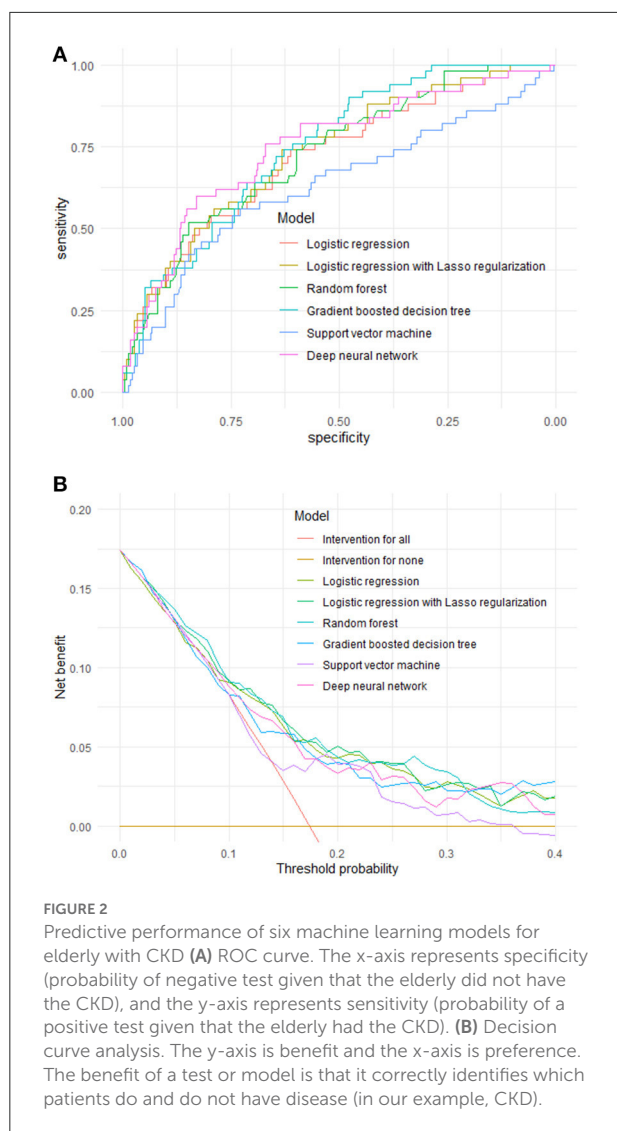
This study was based on the panel data of 925 elderly individuals in the 2012 baseline survey and 2014 follow-up survey of the HABCS database. The data included the socioeconomic status, unhealthy lifestyle, chronic diseases and other biological indicators of each elderly person. We used six ML models (LR, lasso regression, RF, GBDT, SVM, and DNN) to predict the risk of CKD in the elderly 2 years later. The results showed that the ML model has excellent performance in predicting the risk of CKD in the elderly. The DCA result indicated that all ML models can generate a huge net benefit within various thresholds, and each ML model has its own advantages derived from its net benefit within different threshold ranges.

TABLE 2 Characteristics and odds ratio of elderly with depression presenting to the CLHLS 2014.

Predictors		All elderly N <sup>a</sup> (%)	Elderly with CKD <sup>b</sup> N (%)	Elderly with non-CKD N (%)	p-value	Crude OR <sup>c</sup> (95%CI <sup>d</sup> )	Adjusted OR <sup>e</sup> (95%CI)
Age		80.27 ± 11.01	87.34 ± 10.73	78.67 ± 10.44	<0.001	1.072 (1.056–1.089)	1.066 (1.041–1.092)
Gender	Male	499 (53.9)	62 (12.4)	437 (87.6)	<0.001	Reference	Reference
	Female	426 (46.1)	108 (25.4)	318 (74.6)		2.394 (1.697–3.377)	1.897 (1.152–3.122)
Marital status	Married and living with spouse	500 (54.1)	48 (9.6)	452 (90.4)	<0.001	Reference	Reference
	Married but not living with spouse	414 (44.8)	121 (29.2)	293 (70.8)		3.889 (2.699–5.603)	1.837 (1.161–2.907)
	Divorced	11 (1.2)	1 (9.1)	10 (90.9)		0.942 (0.118–7.515)	0.794 (0.082–7.723)
Cognitive function		20.70 ± 4.43	19.34 ± 5.80	21.01 ± 3.99	<0.001	0.929 (0.899–0.961)	1.010 (0.967–1.056)
Smoking	No	725 (78.4)	146 (20.1)	579 (79.9)	0.009	Reference	Reference
	Yes	200 (21.6)	24 (12.0)	176 (88.0)		0.541 (0.340–0.860)	0.891 (0.496–1.603)
Drinking	No	747 (80.8)	142 (19.0)	605 (81.0)	0.31	Reference	Reference
	Yes	178 (19.2)	28 (15.7)	150 (84.3)		0.795 (0.511–1.239)	1.222 (0.704–2.122)
ADL/IADL <sup>e</sup>		38.62 ± 5.78	36.57 ± 6.79	39.08 ± 5.42	<0.001	0.939 (0.916–0.963)	1.027 (0.989–1.068)
BMI <sup>f</sup>		22.59 ± 16.10	24.86 ± 35.20	22.08 ± 6.21	0.31	1.009 (0.995–1.022)	1.025 (0.999–1.052)
Waist circumference		82.55 ± 32.10	82.97 ± 11.18	82.45 ± 35.13	0.74	1.000 (0.996–1.005)	1.001 (0.997–1.006)
Plasma albumin		40.83 ± 4.75	39.04 ± 5.36	41.23 ± 4.51	<0.001	0.906 (0.874–0.940)	0.928 (0.885–0.973)
Blood urea nitrogen		6.29 ± 1.64	6.43 ± 1.75	6.25 ± 1.61	0.23	1.067 (0.965–1.179)	1.133 (1.012–1.267)
Total cholesterol		4.33 ± 0.95	4.18 ± 0.97	4.36 ± 0.94	0.03	0.813 (0.679–0.974)	0.925 (0.727–1.177)
Triglyceride		0.99 ± 0.61	0.97 ± 0.56	1 ± 0.63	0.59	0.931 (0.703–1.234)	1.142 (0.808–1.613)
Urea acid		270.37 ± 79.02	270.21 ± 74.89	270.4 ± 79.97	0.98	1.000 (0.998–1.002)	1.004 (1.001–1.006)
Hemoglobin		131.33 ± 22.12	126.55 ± 20.61	132.4 ± 22.32	0.001	0.987 (0.979–0.995)	0.998 (0.989–1.008)
Hypertension	No	691 (74.7)	109 (15.8)	582 (84.2)	<0.001	Reference	Reference
	Yes	234 (25.3)	61 (26.1)	173 (73.9)		1.883 (1.318–2.689)	1.957 (1.298–2.951)
Diabetes	No	903 (97.6)	165 (18.3)	738 (81.7)	0.59	Reference	Reference
	Yes	22 (2.4)	5 (22.7)	17 (77.3)		1.316 (0.479–3.617)	1.736 (0.556–5.416)
Heart disease	No	853 (92.2)	154 (18.1)	699 (81.9)	0.38	Reference	Reference
	Yes	72 (7.8)	16 (22.2)	56 (77.8)		1.297 (0.724–2.322)	1.068 (0.541–2.108)
Stroke and cerebrovascular diseases	No	871 (94.2)	160 (18.4)	711 (81.6)	0.98	Reference	Reference
	Yes	54 (5.8)	10 (18.5)	44 (81.5)		1.010 (0.498–2.050)	0.567 (0.252–1.273)
Cancer	No	922 (99.7)	169 (18.3)	753 (81.7)	0.50	Reference	Reference
	Yes	3 (0.3)	1 (33.3)	2 (66.7)		2.228 (0.201–24.711)	2.232 (0.162–30.816)
Blood disease	No	913 (98.7)	168 (18.4)	745 (81.6)	0.88	Reference	Reference
	Yes	12 (1.3)	2 (16.7)	10 (83.3)		0.887 (0.193–4.085)	1.511 (0.279–8.191)
Depression	No	869 (93.9)	160 (18.4)	709 (81.6)	0.92	Reference	Reference
	Yes	56 (6.1)	10 (17.9)	46 (82.1)		0.963 (0.476–1.950)	1.024 (0.468–2.242)

<sup>a</sup>N represents the samples; <sup>b</sup>CKD, chronic kidney disease; <sup>c</sup>OR, odds ratio; <sup>d</sup>CI, confidence interval; <sup>e</sup>ADL/IADL, activities of daily living/instrumental activities of daily living; <sup>f</sup>BMI, body mass index. <sup>g</sup>An adjusted OR is an odds ratio that controls for other predictor variables in the binary logistic regression.





This study found that the elderly with old age, female, married but not living with a spouse, low plasma albumin, high urea acid and hypertension have a high risk of CKD, which is consistent with the results of several studies (1, 54, 55). Previous studies on CKD risk prediction did not analyze the elderly individually (56–58). The age of respondents in several previous studies was 40 or over 50, but according to the prediction results of the ML model, the AUC value of these studies are all above 0.7. The AUC value of the ML model in this study was between 0.716 and 0.750, which is effective in predicting CKD in the elderly.

Several studies have shown that the ML prediction model is driven by automatic prediction based on the most objective indicators (59, 60). It can use the complex nonlinear relationship between predictors to improve prediction performance. When the sample size is sufficient, the predictive performance of the

ML algorithm is good. However, in certain sample screening procedures, the sample size is insufficient. Therefore, we must ensure that the ML algorithm can obtain good prediction performance with a small sample size. In this study, although we selected a relatively small data set of 925 elderly people, the sample size meets the requirements of power analysis and can be used to perform high-precision prediction tasks. The AUC value of most models is close to 0.7, and the performance of linear models is better than that of other types of models. In this study, due to the small amount of data, the linear classifiers could separate samples ideally, and the highly complex ML models (e.g., SVM) demonstrated powerful learning capabilities but were prone to overfitting. The forecast accuracy was thus reduced. SVM performed the worst in this study because the Euclidean distance on which SVM relies is not the best way to deal with the classification of CKD in the elderly. Therefore, the linear model performed better in our study.

When predicting the risk of CKD in the elderly 2 years later, we should determine a threshold for identifying CKD and non-CKD in the elderly. If a model has high PPV and NPV, then it is theoretically ideal. However, in practice, we need to weigh high PPV and high NPV based on the actual situation. In this study, because the proportion of elderly people suffering from CKD is small, we needed to consider the PPV results of different models. This task allows researchers to measure the prediction of the model so that many potential high-risk CKD groups can be screened out. In addition, we used DCA to analyse the net benefits of six ML models at different thresholds. The results can help medical service providers provide flexible model selections based on their professional knowledge to guide clinical decision-making.

This is the first survey to comprehensively study the practicality of different modern ML models in predicting CKD in the elderly in China. The decision support system based on the predictive model in this research can help medical staff detect and intervene in the health of the elderly early, and it can provide scientific evidence for clinical treatment, disease prevention and community health management.

Our study has several limitations. Firstly, only 22 predictors were considered. We restricted our analyses to predictive modeling with known or possible risk factors, including demographic indicators, biomarkers of kidney function and kidney damage, such as ethnicity, serum cystatin C and renalase. Hence, our conclusion cannot be generalized to data sets with numerous predictors. In future studies, we can continue to add more specific predictors to help improve the prediction performance, such as the size and cortical thickness of the kidney. Secondly, the diagnostic criteria for chronic diseases were mainly based on self-reported medical history, and related variables were obtained through questionnaire surveys. No clear test diagnosis was performed. Thus, deviations may be present. Thirdly, the sample size used was relatively small; the tuning

TABLE 3 Prediction performance of elderly with CKD using 6 machine learning models.

Model	AUC <sup>a</sup>	p-value <sup>d</sup>	Threshold	Accuracy	Sensitivity	Specificity	PPV <sup>b</sup>	NPV <sup>c</sup>
Logistic regression	0.716	Reference	0.127	0.634 (0.576–0.690)	0.740	0.612	0.287	0.918
Logistic regression with Lasso regularization	0.734	0.28	0.138	0.652 (0.593–0.707)	0.740	0.633	0.298	0.920
Random forest	0.729	0.71	0.272	0.686 (0.629–0.740)	0.640	0.696	0.308	0.902
Gradient boosted decision tree	0.750	0.34	0.050	0.659 (0.601–0.713)	0.720	0.646	0.300	0.916
Support vector machine	0.633	0.06	0.180	0.732 (0.677–0.782)	0.460	0.789	0.315	0.874
Deep neural network	0.750	0.56	0.352	0.686 (0.629–0.740)	0.760	0.671	0.328	0.930

<sup>a</sup>AUC, area under the receiver operating curve; <sup>b</sup>PPV, positive predictive value; <sup>c</sup>NPV, negative predictive value. <sup>d</sup>p-value is the result of Delong test of AUC curve based on the comparison of each machine learning model.

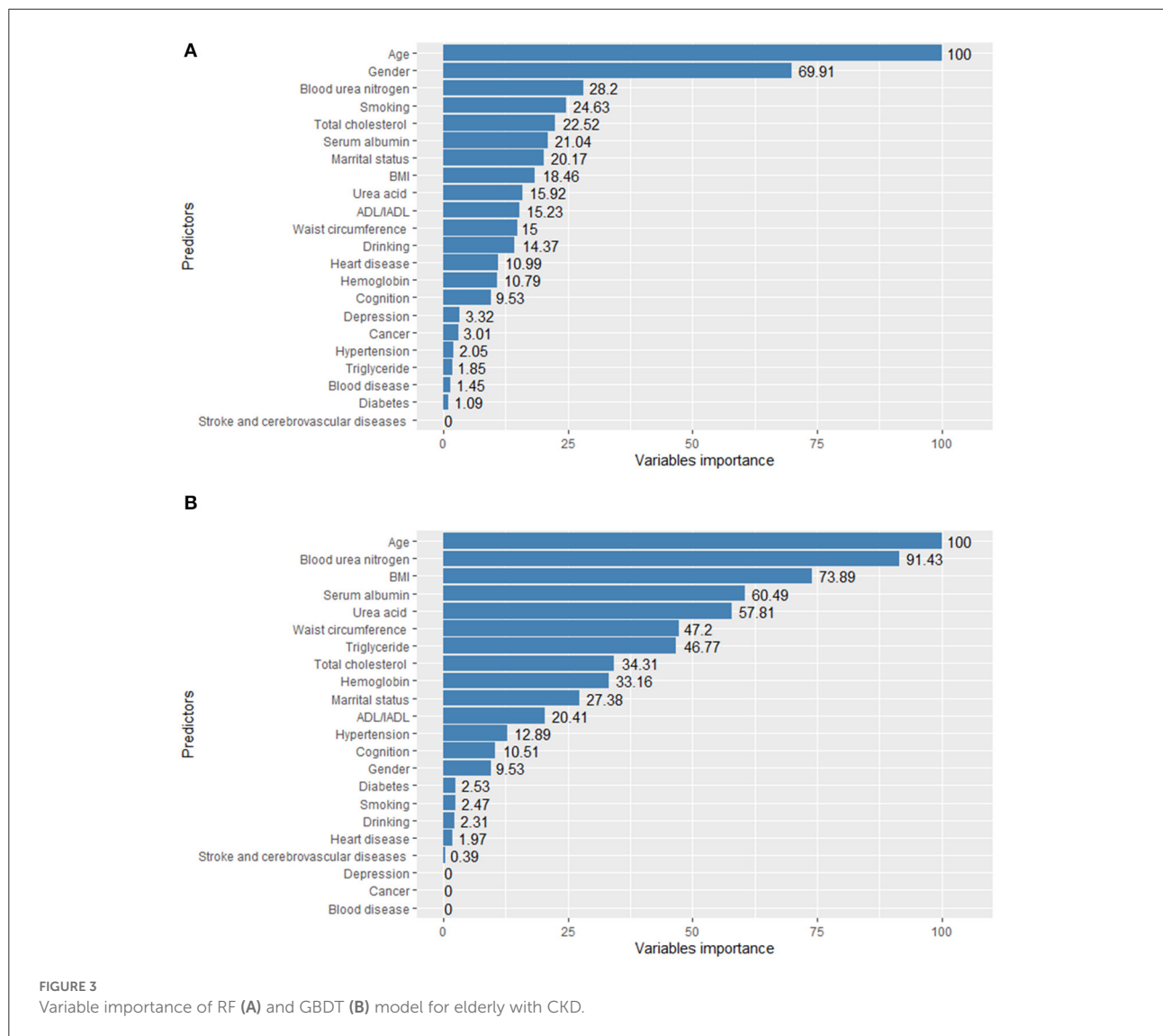


FIGURE 3 Variable importance of RF (A) and GBDT (B) model for elderly with CKD.

parameters could be optimized further to avoid overfitting. In follow-up research, we can consider recruiting more participants for model testing and evaluation. Lastly, the capability to

diagnose depression amongst the elderly depends on local medical resources, and indications and clinical thresholds may vary between emergency departments and clinicians.

## Conclusion

We established and compared six ML models that can predict the risk of CKD 2 years later based on the socioeconomic characteristics, unhealthy lifestyle, chronic diseases and biomedical indicators of the elderly. The LR, lasso, RF and ML models, including GBDT and DNN, demonstrated a high overall predictive capability, and the different models showed high net benefit at different threshold levels. We also found that age, blood urea nitrogen, serum albumin, uric acid, BMI, marital status and ADL/IADL exerted an important influence on model predictability, whereas the other predictors were not as important. Further research is required to test the effect of using the system in a clinical environment.

## Data availability statement

Publicly available datasets were analyzed in this study. This data can be found here: <https://opendata.pku.edu.cn/dataset.xhtml?persistentId=doi:10.18170/DVN/WBO7LK>.

## Ethics statement

The studies involving human participants were reviewed and approved by Ethics Committee of Peking University (IRB00001052-13074). The patients/participants provided their written informed consent to participate in this study.

## Author contributions

DS and NW are the guarantors and contributed to the conception and design of the project. DS, KH, and XZ contributed to the analysis and interpretation of the data. DS, XZ, and YC contributed to the data acquisition and provided statistical analysis support. DS drafted the article. The corresponding author attests that all listed authors meet

authorship criteria and that no others meeting the criteria have been omitted.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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