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Effect of a Short Messaging Service (SMS) intervention delivered to caregivers on energy, nutrients, and food groups intake in infant participants of the WIC program

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Objectives: To test the effect of a weekly text message (SMS) intervention for improving feeding practices on infant intake of energy, nutrients, and specific food groups.

Methods: This study was a multi-site, randomized clinical trial, in 202 caregivers of healthy term infants participating in either the Puerto Rico or Hawaii WIC program. Participants were randomized to receive weekly SMS about either infant's general health issues (control) or SMS for improving feeding practices (intervention) to complement WIC messages for 4 months. Anthropometrics and demographics were assessed at baseline. A validated infant food frequency questionnaire was assessed at the four-month visit to assess intake of general food groups. Data was summarized as median (25th and 75th percentiles) or percentage and differences between study arms was compared using Mann Whitney or chi-square.

Results: A total of 163 participants completed the study ($n = 84$ control and $n = 79$ intervention). Baseline characteristics were similar between both groups. At 4–6 months of age, compared to the control group, the intervention group had a significantly higher intake of total grains (0.28 oz; 0.00, 0.60; $P = 0.033$), protein (13.5 g; 10.5, 18.3; $P = 0.022$), calcium (472 mg; 418, 667; $P = 0.012$), and zinc (4.39 mg; 2.61, 6.51; $P = 0.028$). No differences were seen in the other food groups, including breastmilk.

Conclusions for practice: Feeding SMS to complement WIC messages led to higher intakes of some key nutrients but did not have an overall improvement in the intake of food groups. Messaging also did not delay the introduction of complimentary foods or improve breastfeeding rates. Future studies should evaluate the use of more intensive SMS interventions for continued care between WIC visits.

KEYWORDS

Short Message Service (SMS), intervention, infant, energy consumption, nutrient intake, food groups and beverages consumption

Introduction

The first 2 years, or 1,000 days (conception-24 months), of an infant's life are crucial for healthy growth and development (1). Infant obesity and rapid weight gain increases the risk of obesity later in life (2–4). Current evidence suggests a higher risk if the rapid weight gain occurs in the first 6 months (5). Compared to Whites and African Americans, infant obesity is higher among Hispanics (14.8%) and Native Hawaiians (11.4%) (6). Additionally, Puerto Rico (PR) and Hawaii (HI) lead the U.S. in several chronic diseases, such as diabetes and hypertension (7). Unhealthful lifestyles and poor health education, in addition to other social determinants of health, may underlie many of these chronic diseases (i.e., obesity, diabetes, hypertension) as evidenced by the low intake of fruits, vegetables, low-fat dairy products and fiber in these populations (8–11) and the low diet quality, even among infants (12).

Many factors, such as socioeconomic, biological, and lifestyle (parental feeding practices and diet quality), influence infant weight gain and infant obesity (5, 13). Parental feeding practices, such as early introduction of complimentary food before 6 months of age is associated with an increased risk of obesity in infants (14). Infant diet quality is also largely affected by the introduction of discretionary foods, defined as energy dense, low nutrient food items, which include juices and salty snacks (15, 16). Discretionary food use in infants also increases the risk of obesity. In addition, introducing discretionary foods increase unnecessary caloric intake and take away from necessary nutrients found in breastmilk and formula (15). Both practices of early introduction of complementary foods discretionary foods are commonly seen in Hispanic and disadvantaged populations (17).

As discussed, some parental feeding practices may influence infant energy and nutrient intakes and food groups intake in infants. Energy and nutrient recommended intakes have been established in the US for the population, including infants, by the Institute of Medicine as Dietary Reference Intakes (DRI) (18). Some of these key nutrients are carbohydrates (60 g/d), fat (31 g/d), protein (9.1 g/d), vitamin D (10 µg/d), all B vitamins, calcium (200 mg/d), iron (0.27 mg/d), and zinc (2 mg/d). These levels ensure that infants grow adequately and avoid nutrition related diseases. However, only certain dietary recommendations have been established by the 2020–2025 Dietary Guidelines of America (DGA) for infants to meet these DRIs (19). For those under 6 months of age, recommendations are basically focused on breastmilk or formula. However, for infants 6–12 months of age, dietary recommendations are still in development (20), as this is a complex task. In Puerto Rico, recommendations were recently published for infants in collaboration between the Department of Health in Puerto Rico and the Pan American Health Organization (21). Starting at 12 months of age, where solid foods are a major part of an infant's

diet, the DGA recommendations have been recently established ranging from 1 to 3 oz per food group per day.

To our knowledge, there is only one study to date analyzing if infant dietary patterns are adequately meeting the DRI in the U.S. Using data from the National Health and Nutrition Examination Survey (NHANES) from 2009 to 2012, a study showed that most infants 0–12 months in the US met the DRIs, although there were some nutrients that were consumed below these levels, such as vitamin D (22). Also, there are limited studies evaluating educational intervention to improve foods and nutrients intake in infants 0–6 months, particularly those using technology and among low-income families. Educational interventions *via* short message system (SMS) have been shown to be effective at improving overall health outcomes among low-income new mothers (23). Low-income mothers are at a higher risk for having overall poorer infant health outcomes and higher hospitalization rates in infants during the first months of life (24). Despite bearing a higher degree of burden to sustain continuity of care through traditional methods, current trends support use of SMS as a reliable method of engagement with disadvantaged mothers. SMS-based interventions can also enhance education received from government funded programs, such as WIC programming, reinforcing education between appointments.

The purpose of this study was to determine the effect of a weekly SMS intervention for improving feeding practices aimed at caregivers of infants participating in WIC programs in Puerto Rico and Hawaii on infant intake of energy, nutrients, and specific food groups.

Materials and methods

Design

This study was a 4-month, multi-site, randomized control clinical trial involving weekly SMS sent to infant caregivers participating in the WIC program in Puerto Rico (PR) and Hawaii (HI). Weekly SMS focused on improving infant feeding practices (intervention) or on general infant health issues (control). Details of the study methods have been previously published (25).

Participant recruitment and randomization

A total of 202 caregivers of infants 0–2 months old who were participating in the WIC program in PR ($n = 100$) and HI ($n = 102$) were recruited in 2017. Participants were recruited from two WIC clinics in PR and 4 WIC clinics in HI. WIC clinics were selected due to their availability and accessibility

to the investigators. Eligible participants included caregivers who were 18 years old or older, responsible for infant care, owners of a cellular phone capable of receiving unrestricted SMS and were willing to participate for the whole duration of the study. Participants were excluded if the infant had a special diet, limited mobility, were born pre-term (<37 weeks), were small or large for their gestational age (birthweight < 10th or > 90th percentile), unable to consent to participate, unwilling to be randomized, or were not able to read. The institutional review boards at University of Puerto Rico and University of Hawaii approved study procedures and participants signed an informed consent form.

Once consented, an equal number of caregivers were randomized into the control arm (SMS about general infant health issues) or intervention arm (SMS for improving feeding practices) of the study. Randomization was conducted using random block sizes (2, 4, or 6) with 26 total blocks. As they were recruited, participants were allocated an ID sequentially. This ID was matched with a computer-generated list of randomization numbers and corresponding IDs. Those who were randomized into the intervention group were additionally assigned to either a lactation or formula intervention group, based on their initial feeding status. Participants received \$50 for completing the study.

Intervention

Details of the intervention have been previously published (25). Briefly, intervention SMS were sent once a week for 4 months automatically using a web-based SMS messaging platform. Messages were approximately 35–50 words long and written in a 5th grade level literacy in Spanish (PR) and English (HI) and focused on reinforcing WIC messages on breastfeeding, preventing overfeeding, delaying introduction of solid foods, and delaying and reducing baby juice consumption. The Trans Theoretical Model (TTM) of health behavior change was used to create these messages (26). The control group received messages focused on general infant health issues with a similar frequency. Both groups continued to receive the WIC standard of care (27).

Measures

Baseline demographics

A sociodemographic and general health questionnaire was administered at baseline. Caregivers reported information on age, gender, education, occupation, gestational age, use and availability of a breast pump, breast feeding support, infant's age and sex, type of pediatric center, WIC center, and immunization records.

Food frequency questionnaire

A validated food frequency questionnaire (FFQ) was administered at the first visit (0–2 months of age) and second visit (4–6 months of age) (28). The FFQ, which is specifically validated for infants and toddlers, includes 52 food items. For each item, parents were asked to specify the frequency that their infant consumed each food in the last 7 days and the amount. The frequency of consumption of each food item was multiplied by the serving size to obtain the total food consumed per day and it was reported in ounces per day. Then, the foods were grouped in the following categories: all milks (which include formula, breastmilk, and other milks), total grains, whole grains, refined grains, protein rich foods, vegetables, fruits, juices, and salty snacks. To calculate breastmilk intake, data from the Feeding Infants and Toddlers Study was used (29). FITS is the current largest cross-sectional survey of caregivers of children from 0–48 months of age in the US. Based on data from FITS, the average total daily milk intake volume was set at 26.4 for infants aged 0–6 months. If infants were partially breastfed, the ounces in formula were subtracted from the total daily milk intake volume and what remained was categorized as breastmilk intake. If the daily volume of formula exceeded the estimated total daily milk intake volume, a rule of three ounces per feeding was implemented, which was also derived from FITS (29). For energy and nutrients calculations, we used the previously created food database as previously described and published. In brief, energy and nutrients content of each food item per one ounce obtained using the Nutrition Data System for Research (30) was multiplied by the total amount consumed by the infant per day. Then, the energy and nutrients amounts were summed for all food items to obtain total values per day.

Statistical plan

Baseline characteristics were summarized as median (25th and 75th percentiles) for continuous variables and percentage for categorical variables and compared between the two study arms using Mann Whitney U tests for continuous variables and chi-square test for categorical variables. Energy, nutrients, and food groups intake were compared between groups using Mann Whitney U-tests, controlling for site (HI vs. PR) and number of children. SPSS v 20 was used for all statistical analysis. A *P*-value of <0.05 was used to determine significance.

Results

A total of 202 eligible participants were randomized into the study groups (100 in control group and 102 in intervention

TABLE 1 Socio-demographics and health characteristics of the sample.

Characteristics	Control (<i>n</i> = 84)		Intervention (<i>n</i> = 79)	
	Median	25th, 75th percentile	Median	25th, 75th percentile
Caregiver				
Age (years)	28.0	23.0, 31.8	26	23.0, 30.0
Ethnicity and race, <i>n</i> (%)				
Asian		11 (13.1%)		13 (16.5%)
Mixed/unknown		5 (6.0%)		5 (6.3%)
Black		3 (3.6%)		3 (3.8%)
Hispanic		49 (58.3%)		44 (55.7%)
Native Hawaiian		2 (2.4%)		2 (2.5%)
Pacific Islander		2 (2.4%)		4 (5.1%)
White		12 (14.3%)		8 (10.1%)
Education		31 (36.9%)		
Less than college, <i>n</i> , %				37 (46.9%)
Some college, <i>n</i> , %		21 (25.0%)		19 (24.1%)
College or higher, <i>n</i> , %		32 (38.1%)		20 (29.1%)
Parity				
Primiparous, <i>n</i> , %		32 (38.1%)		29 (36.7%)
2 or more, <i>n</i> , %		52 (61.9%)		50 (63.3%)
Pre-pregnancy BMI (kg/m ²)	24.4	21.6, 29.7	25.3	21.5, 30.7
Infant				
Gender (female), <i>n</i> , %		43 (51.2%)		41 (51.9%)
Age (at follow-up, months)	5.0	4.0, 5.0	5.0	4.0, 5.0
Weight for age <i>z</i> score	0.0	-0.63, 0.62	0.13	-0.85, 0.53
Length for age <i>z</i> score	0.48	-0.48, 1.83	0.48	-0.48, 1.83
BMI for age <i>z</i> score	-0.41	-1.60, 0.30	-0.31	-1.29, 0.53

group) and 84 and 79, respectively, completed all food frequency questionnaires. The reasons for not completing were that participants did not respond to our messages or calls to complete the final visit. There were no significant differences in baseline characteristics between groups (Table 1). This pattern persisted irrespective of site, implying that the randomized allocation to the groups was properly performed in both sites. Overall, the median caregiver age was 28 (23.0, 31.0) years in the control and 26 (22.5, 30.0) years in the intervention group. Participants were majority Hispanic ethnicity (52%) and had less than college education (45%). Most participants had more than one child (62%), although information on whether they had previously participated in WIC programming was not obtained. The median maternal pre-pregnancy BMI was in the healthy range. For both groups, about half of the infants were female (48%), had a median age of 5 (4.0, 5.0) months at follow up visit. Median BMI for age *z*-score for both groups were in the healthy range.

Table 2 shows baseline and follow-up median macro- and micro-nutrients intake in both study arms. Due to the nature of dietary changes in infants from 0 to 6 months, baseline

and 4–6 months follow-up intake could not be compared, so intake was assessed separately at each timepoint between groups. Study retention at the follow-up visit was 85% (*n* = 84 in the control group and *n* = 79 in the intervention group). No significant differences in macro and micro-nutrients intake were found between both groups at baseline. At 4–6 months, the intervention group had a significantly higher intakes of protein, calcium, and zinc. However, at both baseline and 4–6 months, infants met the DRIs for all these nutrients.

Table 3 shows baseline and follow-up median food groups intake in both study arms. At both time points, infants were only consuming milks and grains. At baseline, no significant differences in the intakes of milk and grains were found between both groups. At 4–6 months, milk intake was similar between groups, but intake of grains was significantly higher in the intervention group (0.28; 0.00, 0.60 ounces) compared to the control group (0.16; 0.00, 0.36 ounces; *p* < 0.05). When the individual foods were reviewed from the infant FFQ, we noticed that the major contributors to total grains were cereals added to milk in the infant bottle.

TABLE 2 Energy and nutrients intake at baseline (0–2 months) and at the follow-up visit (4–6 months).

Energy and nutrients	Birth-2 months			4–6 months		
	Control (n = 84)	Intervention (n = 79)	P-value	Control (n = 84)	Intervention (n = 79)	p-value
	Median (25th,75th)	Median (25th,75th)		Median (25th,75th)	Median (25th,75th)	
Calories (kcal)	581 (521, 604)	578 (528, 601)	0.654	579 (546, 641)	603 (568, 795)	0.080
Protein (g)	12.5 (11.6, 13.2)	12.9 (11.9, 13.5)	0.363	11.05 (10.2, 15.6)	13.5 (10.5, 18.3)	0.022*
Carbohydrates (g)	57.4 (53.7, 59.9)	57.5 (53.9, 59.5)	0.902	57.31 (56.2, 72.6)	62.6 (56.2, 97.4)	0.119
Fat (g)	42.5 (30.1, 50.2)	41.7 (34.1, 50.4)	0.776	35.88 (30.8, 36.6)	36.9 (31.9, 39.3)	0.292
Fiber (g)	0.07 (0.00, 0.19)	0.08 (0.00, 0.20)	0.520	0.21 (0.00, 0.48)	0.30 (0.00, 0.86)	0.079
Sugar (g)	48.5 (38.7, 50.3)	44.2 (38.0, 50.3)	0.298	55.72 (42.6, 57.3)	56.16 (44.4, 62.9)	0.065
Vitamin D (mcg)	2.71 (0.70, 6.16)	3.05 (0.70, 6.03)	0.619	3.89 (0.80, 9.57)	6.67 (0.85, 11.3)	0.102
Calcium (mg)	377 (353, 421)	386 (360, 439)	0.349	429 (398, 571)	472 (418, 667)	0.012*
Iron (mg)	2.46 (0.00, 6.67)	2.81 (0.00, 6.62)	0.553	4.21 (0.00, 10.6)	8.42 (0.00, 12.6)	0.090
Zinc (mg)	2.64 (2.22, 3.72)	2.85 (2.22, 3.99)	0.490	3.09 (2.51, 5.4)	4.39 (2.61, 6.51)	0.028*

Mann Whitney U-test; *, P < 0.05.

TABLE 3 Food groups intake at baseline (0–2 months) and at the follow-up visit (4–6 months).

	Birth-2 months			4–6 months		
	Control (n = 84)	Intervention (n = 79)	p-value	Control (n = 84)	Intervention (n = 79)	p-value
	Median (25th,75th)	Median (25th,75th)		Median (25th,75th)	Median (25th,75th)	
All milks (oz)	26.4 (26.4, 26.4)	26.4 (26.4, 26.4)	0.914	26.4 (26.4, 28.0)	26.4 (26.4, 35.0)	0.082
Formula (oz)	7.00 (0.00, 19.0)	8.00 (0.00, 18.0)	0.558	9.00 (0.00, 28.0)	16.0 (0.00, 30.5)	0.138
Breastmilk (oz)	20.3 (0.59, 26.4)	18.4 (6.00, 26.4)	0.665	16.5 (0.00, 26.4)	2.69 (0.00, 26.4)	0.272
Total grains (oz)	0.07 (0.00, 0.19)	0.08 (0.00, 0.20)	0.520	0.16 (0.00, 0.36)	0.28 (0.00, 0.60)	0.033*
Whole grains (oz)	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	1.00	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	0.636
Refined grains (oz)	0.07 (0.00, 0.19)	0.08 (0.00, 0.18)	0.560	0.16 (0.00, 0.34)	0.24 (0.00, 0.42)	0.128
Protein rich foods (oz)	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	1.00	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	0.302
Vegetables (oz)	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	1.00	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	0.525
Fruit (oz)	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	1.00	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	0.207
Juices (oz)	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	1.00	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	0.728
Salty snacks (oz)	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	1.00	0.00 (0.00, 0.00)	0.00 (0.00, 0.00)	0.302

Mann Whitney U-test; *, P < 0.05.

Discussion

The study intervention, which used weekly SMS to improve feeding practices in infant participants of WIC, resulted in significantly higher intakes of proteins, calcium, zinc, and total grains in infants at the follow-up visit compared to the control group. There were no significant differences in energy, other nutrients, and other food groups. Total grains came mainly from cereals added to the milk bottle.

Even though the intervention resulted in significantly higher intakes of key nutrients (proteins, calcium, and zinc), all infants met the current DRIs for key nutrients. Since the recommendations for specific food group intakes

for infants in the first year of life are still in development, the results from this study provides some insights about how their dietary patterns are meeting the DRIs for this group.

While the SMS intervention was successful in increasing some nutrients, the rest of the diet was similar between groups, except for grains. Although total grains intake was minimal at both baseline and at 4–6 months, we did find that the intervention group had a significantly higher intake compared to the control group. While whole grains may be a good source of vitamins and fiber starting at 6 months, the use of refined grains, especially before 6 months of age, may lead to excessive caloric consumption, increasing risk of rapid weight gain, and

obesity (31). It is important to note that while the intervention messages encouraged parents to wait until 6 months to begin any cereal or solid foods, the use of cereal in milk bottles at a very young age was found to be common in this population. Previous studies have not assessed the effects of text message interventions on educating parents on complimentary foods and improving infant diet. The present intervention also included educational SMS messages on correct feeding practices, which were reported in a previous publication (32). Additionally, this population was also too young to appropriately measure the effect of some of the messages, such as reducing intake of sugar sweetened beverages.

It was expected that breastmilk intake would be higher in the intervention group as most messages were focused on breastfeeding. Also, as reported previously, frequency of breastfeeding did not increase with the intervention (32). Failure to see more significant differences in diet may be due to the nature of the intervention timing. For example, to influence breastmilk intake, the intervention may need to start before the decision to breastfeed is made but to detect differences in food groups and in other nutrients, the intervention may need to continue beyond 6 months, as other foods are introduced. Also, as published previously (32), many primary caregivers went back to work after 3 months, and infants were cared for by other family members that were not part of the intervention. One of the few studies using text messages, the LATCH study, which used two-way messaging to early communication, and the use of photo and video attachments among WIC participating mothers found small improvements in breastfeeding (33, 34). Similar methodology could be used to reinforce education on complimentary foods and improve infant diet quality.

The study included multiple strengths and limitations. Strengths of the study include the multi-site, longitudinal design conducted in WIC clinics with at-risk infants from underserved populations. The study also used a validated infant FFQ, which is uncommon in many studies assessing infant diet. While 24-h recalls are considered the gold standard for diet analysis, the validated FFQ has been shown to adequately represent infant diet. Additionally, the intervention was based on the TTM of behavior change which focused on and reinforced WIC feeding messages. Lastly, trained personnel were used to assess dietary intake. Limitations of the study include the short duration and not including infants past 6 months of age, when most foods are introduced. The study also did not include other caregivers that are directly involved in infant feeding and the intervention only included one SMS per week, more intense interventions may be needed to influence diet. We did not assess whether participants had previously participated in WIC programming, which may have affected the results. Future studies should start earlier (before birth) and continue until the infant is 1 year old and include more messages per week.

Data availability statement

The data analyzed in this study is subject to the following licenses/restrictions: The datasets analyzed for this study are available upon request. Requests to access these datasets should be directed to CP, crpalaci@fiu.edu.

Ethics statement

The studies involving human participants were reviewed and approved by University of Puerto Rico and University of Hawaii. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

Author contributions

CP and JB designed the research. AM and SM conducted the research. AM analyzed the data. CP and AM wrote the paper. JB and MC were involved in interpreting the results and editing the manuscript. CP had primary responsibility for the final content. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The reviewer AP declared a shared affiliation with the author MC at the time of review.

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