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Develop and validate nomogram to predict cancer-specific survival for patients with testicular yolk sac tumors

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Purpose: Testicular yolk sac tumor (TYST) is a rare malignant germ cell tumor that mainly occurs in young men. Due to the low incidence of yolk sac tumors, there is a lack of prospective cohort studies with large samples. We aimed to develop a nomogram to predict cancer-specific survival (CSS) in patients with TYST.

Materials and methods: Patient information was downloaded from the Surveillance, Epidemiology and End Results (SEER) database. We enrolled all patients with TYST from 2000 to 2018, and all patients were randomly divided into a training set and a validation set. Univariate and multivariate Cox proportional hazards regression models were used to identify independent risk factors for patients. We constructed a nomogram based on the multivariate Cox regression model to predict 1-, 3-, and 5-year CSS in patients with TYST. We used a series of validation methods to test the accuracy and reliability of the model, including the concordance index (C-index), calibration curve and the area under the receiver operating characteristic curve (AUC).

Results: 619 patients with TYST were enrolled in the study. Univariate and multivariate Cox regression analysis showed that age, T stage, M stage and chemotherapy were independent risk factors for CSS. A nomogram was constructed to predict the patient's CSS. The C-index of the training set and the validation set were 0.901 (95%CI: 0.859–0.847) and 0.855 (95%CI: 0.865–0.845), respectively, indicating that the model had excellent discrimination. The AUC showed the same results. The calibration curve also indicated that the model had good accuracy.

Conclusions: In this study, we constructed the nomogram for the first time to predict the CSS of patients with TYST, which has good accuracy and reliability and can help doctors and patients make clinical decisions.

KEYWORDS

testicular, yolk sac tumors, cancer-specific survival, SEER, nomogram

Introduction

Testicular yolk sac tumor (TYST) is a rare malignant germ cell tumor with an incidence of <1% (1). The disease is often accompanied by elevated serum alpha-fetoprotein (AFP) (1–4). TYST accounts for 11–18% of germ cell tumors and 10–44% of non-seminoma germ cell tumors (4). Due to its high degree of malignancy, insidious onset and rapid progression, TYST seriously threatens the survival of patients (2, 3). Surgical resection of the primary tumor is a key factor in treatment. Targeted therapy with chemotherapy, retroperitoneal lymph node dissection and distant metastasis have made widely disseminated testicular germ cell tumors treatable (5). Due to the low incidence of TYST, there is a lack of studies on TYST with large samples. The related factors affecting the prognosis of TYST are still unclear.

Previous studies have found that the maximum diameter of the tumor, low echo and low blood flow signal under ultrasound can predict the degree of malignancy of testicular tumors and thus predict the prognosis of patients (6). In addition, a study on germ cell tumors (including testicular and ovarian yolk sac tumors) found that patients with slow AFP decline and long half-life after surgery tended to have poor long-term prognoses (7). Unfortunately, the predictive value of these factors remained unclear. Physicians often make empirical judgments on the prognosis of TYST patients based on the patient's age, clinical stage, surgical condition and chemotherapy, but this method cannot intuitively and quantitatively predict patients' survival.

The National Cancer Institute's Surveillance, Epidemiology, and End Results (SEER) database provides high confidence data on many cancer patients since 1973. The nomogram prediction model has been widely used to predict the prognosis of a variety of solid tumors and is considered to be one of the most accurate ways to predict tumors (8), including UISS (9) and SSIGN (10). However, for the above model, there are no relevant reports of these clinical variables for TYST cases. This study retrospectively analyzed the data of TYST patients from the SEER database to explore the clinicopathological features affecting their prognosis. According to these features, a nomogram was constructed to predict the cancer-specific survival (CSS) of TYST patients.

Patients and methods

Data source and data extraction

Patient data were downloaded from the SEER database to identify all patients with TYST. The SEER database is the US national cancer database that includes patients from 18 cancer registries, covering about 30% of the US population.

The clinicopathological information of the patients was publicly available. Since the patients' personal information could not be identified, ethical approval and informed consent were not required for our study.

We collected demographic information (age, marital status, race), tumor information (laterality, tumor stage), treatment information (surgery, radiotherapy, chemotherapy), and follow-up information (survival time, survival status, cause of death). Inclusion criteria: (1) pathological diagnosis of TYST; (2) Complete follow-up information. Exclusion criteria: (1) Unknown surgical method; (2) The cause of death is unknown; (3) Survival time <1 month. The inclusion and exclusion process of patients is shown in Figure 1.

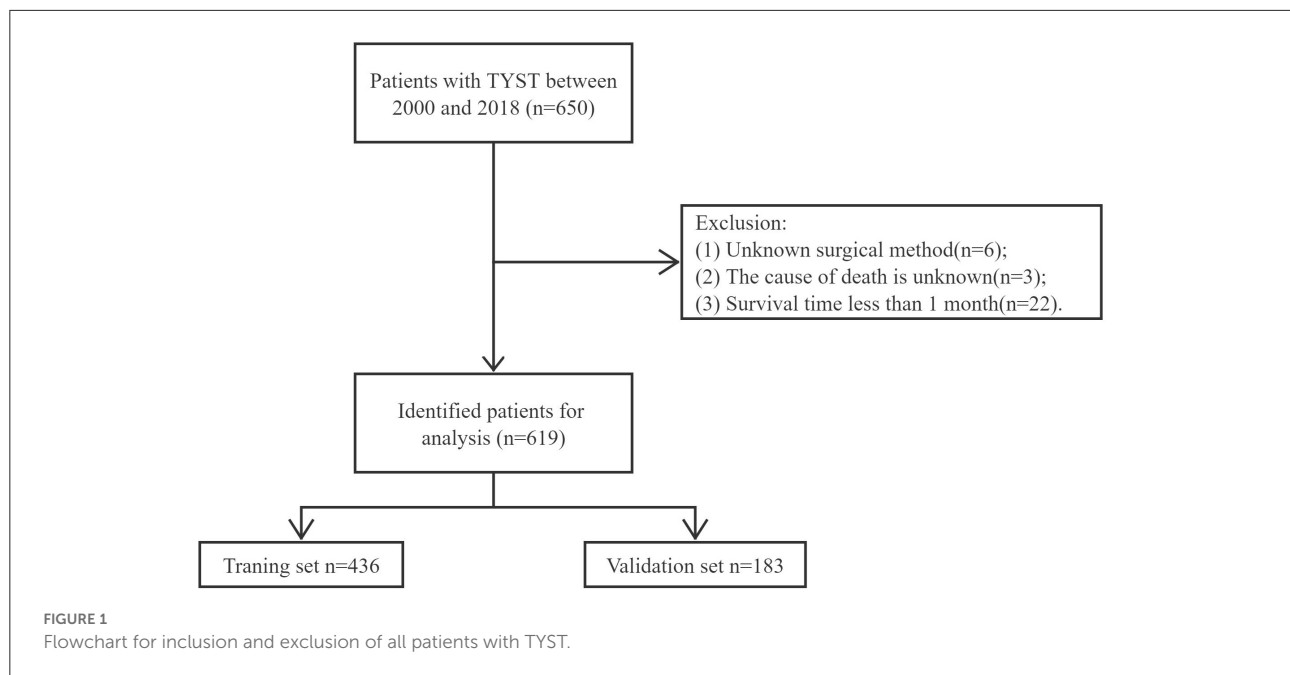
Patients were classified as white, black, and other (American Indian/AK Native, Asian/Pacific Islander). The patient's marital status was classified as married, single, and divorced. The laterality of the tumor is classified as left, right, and bilateral. Surgery was classified as non-surgery and excision of testicle. According to whether the patient received radiotherapy, it was classified as yes or no. According to whether the patient received chemotherapy, it was classified as yes or no. Causes of death include death from cancer or death from other reasons.

Nomogram development and validation

All patients were randomized into a training set (70%) and a validation set (30%). We first used univariate Cox regression models in the training set to analyze the factors affecting the patients' CSS. Subsequently, the factors identified by univariate Cox regression analysis were included in the multivariate Cox regression model to screen for independent risk factors affecting patients' CSS. We developed a nomogram based on multivariate Cox regression model to predict CSS in patients with TYST. Then, the concordance index (C-index), the calibration curve, and the area under the receiver operating characteristic curve (AUC) were used to validate the accuracy and discrimination of the model in the training set and the validation set.

Clinical utility

We use decision curve analysis (DCA) to assess the clinical potential practical value of the model. DCA is a new algorithm based on calculating net returns under different thresholds. In addition, we calculated the optimal cut-off value based on the receiver operating characteristic curve (ROC) based on the risk scores of all patients. Then, according to the cut-off value, we developed a risk stratification system that divides patients into high-risk and low-risk groups. We used the log-rank test and



the Kaplan-Meier (K-M) curve to test the survival differences of patients in different risk groups.

Statistical analysis

SPSS 26.0 was used for all statistical analyses. R software 4.1.0 was used to develop and validate the nomogram. Categorical variable data were described by frequency (%), and differences between groups were compared by the chi-square test. Data of continuous variables were described by means and standard deviations, and differences between groups were analyzed by student's *t*-test or non-parametric U test. The Cox regression model analyzed the survival factors of patients. The log-rank test was used to compare differences in survival among different groups of patients. A *p* value of <0.05 was considered statistically significant.

Result

Clinical features

In total, we enrolled 619 patients with TYST. Patients were randomly assigned to the training set ($n = 436$) and the validation set ($n = 183$). The mean age of the patients was 24.2 ± 17.0 months. There were 530 (85.6%) white and 131 (21.2%) married patients. There were 300 (48.5%) tumors located in the left testis, 327 (52.8%) tumors confined to the primary site, 275 (44.4%) tumors were stage I, 36 (5.82%) tumors were stage II, and 158 (25.5%) tumors were stage III. The 563 (91.0%)

patients underwent orchiectomy, 15 (2.4%) patients received radiotherapy, and 270 (43.6%) patients received chemotherapy. The survival time of all patients was 83.6 ± 67.1 months. The information of patients in the training and validation sets is shown in [Table 1](#), and there is no significant difference between the two groups.

Univariate and multivariate cox regression analysis

Univariate and multivariate Cox regression analyses were used to explore the prognostic factors for CSS. Specifically, we first used univariate Cox regression analysis to identify factors associated with CSS. The results showed that age, laterality, T stage, M stage, surgery, radiotherapy, and chemotherapy were the factors affecting CSS. These seven factors were then included in a multivariate Cox regression analysis to identify independent risk factors. Finally, we found that age, T stage, M stage, laterality, and chemotherapy were independent predictors of CSS in patients. The results of univariate and multivariate Cox regression analysis are shown in [Table 2](#).

Construction of 1-, 3-, and 5-year CSS nomogram

Based on the multivariate Cox regression model, we constructed a nomogram for predicting CSS in TYST patients. Specifically, the model includes age, T stage, M stage, laterality,

TABLE 1 Clinical characteristics of patients with TYST.

	ALL N = 619	Training cohort N = 436	Validation cohort N = 183	p
Age	24.2 (17.0)	23.9 (17.6)	24.9 (15.8)	0.504
Race				0.875
White	530 (85.6%)	375 (86.0%)	155 (84.7%)	
Black	33 (5.33%)	22 (5.05%)	11 (6.01%)	
Other	56 (9.05%)	39 (8.94%)	17 (9.29%)	
Marital				0.495
Married	131 (21.2%)	93 (21.3%)	38 (20.8%)	
Singled	439 (70.9%)	305 (70.0%)	134 (73.2%)	
Divorced	49 (7.92%)	38 (8.72%)	11 (6.01%)	
Laterality				0.282
Left	300 (48.5%)	219 (50.2%)	81 (44.3%)	
Right	282 (45.6%)	194 (44.5%)	88 (48.1%)	
Unknown	37 (5.98%)	23 (5.28%)	14 (7.65%)	
AJCC				0.148
I	275 (44.4%)	202 (46.3%)	73 (39.9%)	
II	36 (5.82%)	23 (5.28%)	13 (7.10%)	
III	158 (25.5%)	115 (26.4%)	43 (23.5%)	
Unknown	150 (24.2%)	96 (22.0%)	54 (29.5%)	
T				0.522
T1	277 (44.7%)	200 (45.9%)	77 (42.1%)	
T2	102 (16.5%)	76 (17.4%)	26 (14.2%)	
T3	37 (5.98%)	26 (5.96%)	11 (6.01%)	
T4	16 (2.58%)	11 (2.52%)	5 (2.73%)	
TX	187 (30.2%)	123 (28.2%)	64 (35.0%)	
M				0.122
M0	359 (58.0%)	259 (59.4%)	100 (54.6%)	
M1	139 (22.5%)	101 (23.2%)	38 (20.8%)	
MX	121 (19.5%)	89 (20.5%)	32 (17.3%)	
Surgery				1.000
No	56 (9.05%)	39 (8.94%)	17 (9.29%)	
Yes	563 (91.0%)	397 (91.1%)	166 (90.7%)	
Radiotherapy				0.777
No	604 (97.6%)	426 (97.7%)	178 (97.3%)	
Yes	15 (2.42%)	10 (2.29%)	5 (2.73%)	
Chemotherapy				0.766
No	349 (56.4%)	248 (56.9%)	101 (55.2%)	
Yes	270 (43.6%)	188 (43.1%)	82 (44.8%)	
Regional nodes examined				0.128
No	551 (89.0%)	394 (90.4%)	157 (85.8%)	
Yes	68 (11.0%)	42 (9.63%)	26 (14.2%)	
Regional nodes positive				0.273
No	595 (96.1%)	422 (96.8%)	173 (94.5%)	
Yes	24 (3.88%)	14 (3.21%)	10 (5.46%)	
CSS				0.216
Dead	68 (11.0%)	43 (9.86%)	25 (13.7%)	
Alive	551 (89.0%)	393 (90.1%)	158 (86.3%)	

(Continued)

TABLE 1 (Continued)

	ALL N = 619	Training cohort N = 436	Validation cohort N = 183	<i>p</i>
Survival months	83.6 (67.1)	84.7 (66.1)	80.9 (69.4)	0.531
Status				0.621
Dead	93 (15.0%)	63 (14.4%)	30 (16.4%)	
Alive	526 (85.0%)	373 (85.6%)	153 (83.6%)	

AJCC, American Joint Committee on Cancer; T, Tumor; M, Metastasis.

and chemotherapy. As shown in Figure 2, patients' risk of death increased with age. The higher the T stage and M stage, the higher the risk of death. Patients with left-sided tumors have a lower survival rate than those with right-sided tumors.

Validation of nomogram

According to the established nomogram, we first used the C-index to validate the model's accuracy. The range of C-index is between 0.5 and 1, and a high C-index indicates a high discrimination of the model. The C-index of the training and validation sets were 0.886 (95%CI: 0.831–0.941) and 0.876 (95%CI: 0.829–0.923), respectively, indicating the high discrimination of the model. In addition, the calibration curve was used to validate the model's accuracy. As shown in Figure 3, in the training and validation sets, the predicted and observed values were highly consistent, indicating the high accuracy of the nomogram. In addition, AUC was used to validate the discrimination of the model (Figure 4). Compared with the C-index, the time-dependent AUC can more clearly show the trend of model discrimination over time. In the training set, the AUC of nomogram were 93.0, 89.3 and 87.6 at 1-, 3- and 5-year, respectively. In the validation set, the AUC of nomogram were 90.1, 90.3, and 82.3 at 1-, 3- and 5-year, respectively. It shows that the model has high discrimination.

Clinical application of the nomogram

DCA is used to validate the practical value of the model. As shown in Figure 5, the DCA of the nomogram was superior to the traditional TNM staging in both the training and validation sets. In addition, according to the nomogram, we construct a new risk stratification system. We calculated the risk value for each patient and then divided the patients into a high-risk group (total score ≥ 94.5) and a low-risk group (total score < 94.5) using the optimal cut-off value of the ROC. In the high-risk group, patients' 1-, 3- and 5-year survival rates were 87.5, 79.6, and 78.1%, respectively. The patients' 1-, 3-, and 5-year survival rates were 100.0, 98.8, and 97.9% in the low-risk group. In both

the training and validation sets, patients in the high-risk group had significantly lower survival than those in the low-risk group (Figure 6).

Discussion

TYST has two peak onset periods, within 2 years after birth and > 15 years of age. With the development of medical technology, the overall survival rate of TYST has gradually increased to 90% (1, 11). To further improve the quality of life of TYST patients and accurately predict the prognosis value of TYST patients concerned. In clinical practice, in addition to TNM staging, there is a lack of a model that can accurately predict the prognosis of patients with TYST.

Nomogram is a data-based graphical computing tool that predicts the risk of developing a disease by incorporating the American Joint Committee on Cancer (AJCC) TMN staging system and other important risk factors associated with prognosis (12, 13). Compared with traditional TMN staging, nomogram has better accuracy in predicting prognosis and can better provide advice and help for clinicians in diagnosis and treatment (14). To our knowledge, no prognostic nomogram of patients with TYST has been reported. Due to the low incidence of testicular yolk sac tumor, it isn't easy to collect a large sample size for a single-center study to obtain reliable conclusions. Therefore, it is very important to establish a reliable and accurate prognosis model for TYST. SEER database covers 18 countries and regions. The biggest advantage of the SEER database is that it can obtain clinical data with large samples, which is especially helpful for studying rare cases (4). This study collected data from the SEER database, effectively avoiding the insufficient sample size of TYST and single clinical data.

In this study, we developed and validated a novel nomogram to accurately predict the specific survival of patients with TYST. It is well known that the chance of genetic mutations causing cancer increases with age. Studies have shown that age plays a key role in the survival of various cancers (15, 16). A prediction study on non-spermatogonial cell tumors found that the survival rate of adolescent patients was higher than that of adults (17). Previous studies have reported that post-pubertal TYST often has a poor prognosis (18). Talerma et al. also found that the

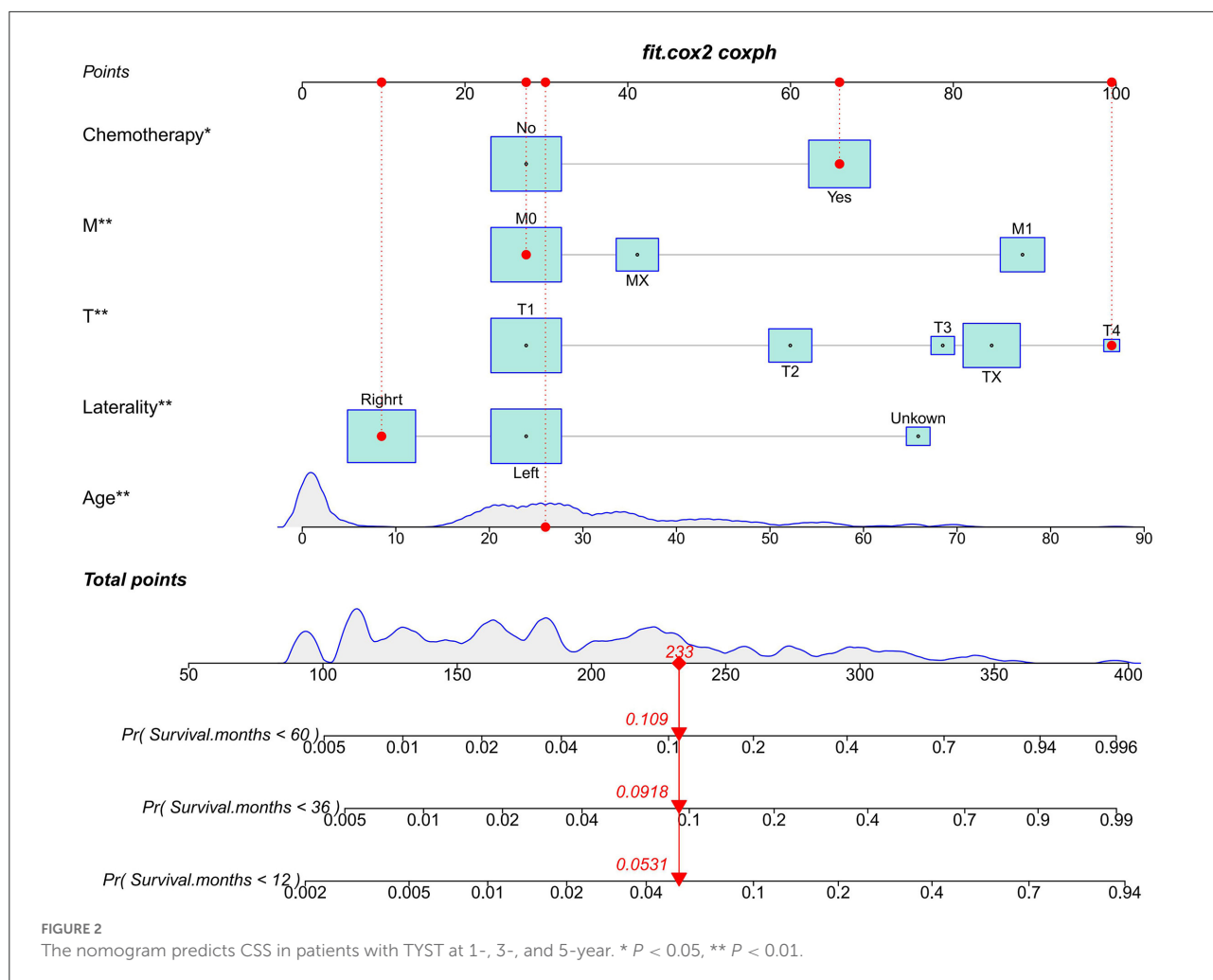
TABLE 2 Univariate and multivariate analyses of CSS in training set.

	Univariate			Multivariate		
	HR	95%CI	P	HR	95%CI	P
Age	1.04	1.03–1.06	<0.001	1.027	1.01–1.05	0.003
Race						
White	1.22	0.3–5.06	0.782			
Black	0.98	0.35–2.75	0.974			
Other	0	0–Inf	0.997			
Marital						
Married	0.94	0.45–1.98	0.877			
Single	2.77	0.85–8.99	0.09			
Divorced	0.64	0.08–5.07	0.675			
Laterality						
Left						
Right	8.74	4.26–17.97	<0.001	0.658	0.317–1.365	0.261
Unknown	0.76	0.38–1.5	0.424	3.1	1.405–6.839	0.005
T						
T1						
T2	1.53	0.46–5.07	0.49	2.147	0.622–7.413	0.227
T3	6.73	2.33–19.39	<0.001	3.377	1.113–10.248	0.032
T4	17.06	5.55–52.44	<0.001	5.498	1.702–17.759	0.004
TX	4.34	1.94–9.7	<0.001	3.851	1.554–9.543	0.004
M						
M0						
M1	14.54	6.05–34.95	<0.001	4.209	1.586–11.172	0.004
MX	3.87	1.4–10.68	0.009	1.382	0.42–4.555	0.595
AJCC						
I						
II	2.13	0.24–19.07	0.499			
III	16.24	5.73–46.04	<0.001			
Unknown	4.01	1.26–12.79	0.019			
Surgery						
No						
Yes	0.14	0.07–0.26	<0.001			
Radiotherapy						
No						
Yes	4.06	1.46–11.34	0.007			
Chemotherapy						
No						
Yes	7.32	3.41–15.72	<0.001	2.491	1.041–5.958	0.04

prognosis of adult testicular yolk sac tumor was worse than that of infants (19). Our study identified age as an independent risk factor for testicular yolk sac tumor-specific survival. In other words, the CSS rate of TYST patients decreased gradually with age.

The TNM staging system is often used to evaluate various malignant tumors, helps judge the prognosis of malignant tumors, and guides clinicians to adopt better treatment strategies

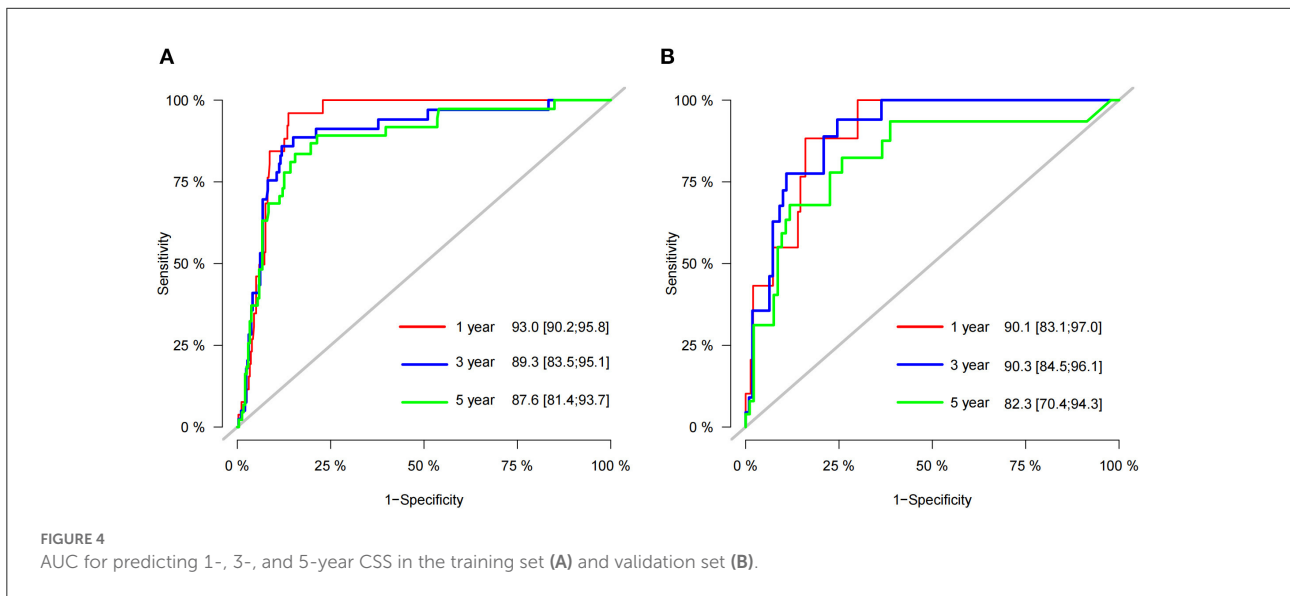
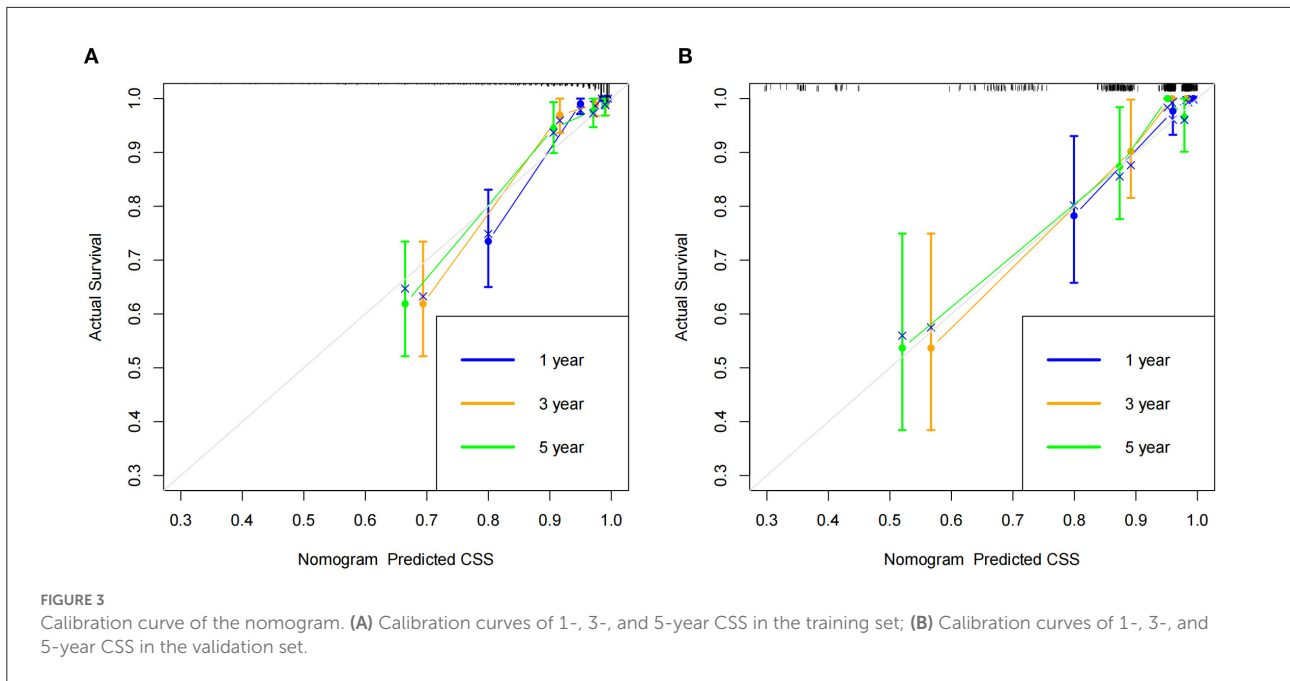
(20, 21). Eighty to 85% of patients with TYST were stage I, confined to the primary part of the tumor. Radical testicular resection through the inguinal region often has a good prognosis (1, 3, 22). In this study, the operation was also found to be a specific protective factor for long-term survival. This study found that the T and M stages are independent risk factors for predicting the specific survival of patients with TYST. The prognosis is often poor for the high-level T and



M stages, consistent with previous studies' results (23). In our prediction model, the *n* stage was not an independent risk factor for patients with testicular yolk sac prognosis. For patients with retroperitoneal metastasis, retroperitoneal lymph node dissection is used to improve the prognosis of patients. However, this study found that 68 patients underwent retroperitoneal lymph node dissection (RPLND), and only 24 were positive. Standard retroperitoneal lymphadenectomy involves the removal of all lymphoid tissue from the hilum to 2cm distal to the common iliac artery bifurcation and from both sides to the level of the ureter. All large vessels need to be skeletal. RPLND is prone to erectile dysfunction, lymphatic leakage (lymphedema, chylous ascites), surgical injury (most commonly vascular injury and ureteral injury), surgical infection (lung, incision), intestinal obstruction and other complications (24, 25). Besides, infertility was the major long-term toxicity associated with RPLND (24). Therefore, routine retroperitoneal lymphadenectomy is not recommended for children with TYST (1, 5, 26), and even RPLND is mainly

resection of retroperitoneal mass rather than radical RPLND. This study showed that retroperitoneal lymph node dissection did not significantly improve long-term survival. Accordingly, we do not recommend RPLND for TYST patients without a high risk of recurrence. This can improve a patient's quality of life without reducing the long-term specific survival rate.

Although postoperative radiotherapy has a good effect in treating various tumors and has been included in various cancer guidelines, TYST is not sensitive to radiotherapy, and it was found in this study that postoperative radiotherapy could not improve the cancer-specific survival rate of TYST patients. In addition, this study found that chemotherapy is the main risk factor affecting the prognosis of TYST, and chemotherapy patients often indicate a poor prognosis. Geng et al. reported similar results when they established a nomogram to predict the survival rate of yolk sac tumors (4). According to the 2015 European guidelines for Urology treatment, chemotherapy is not recommended for patients in stage I without high-risk factors. Chemotherapy is recommended for patients unwilling

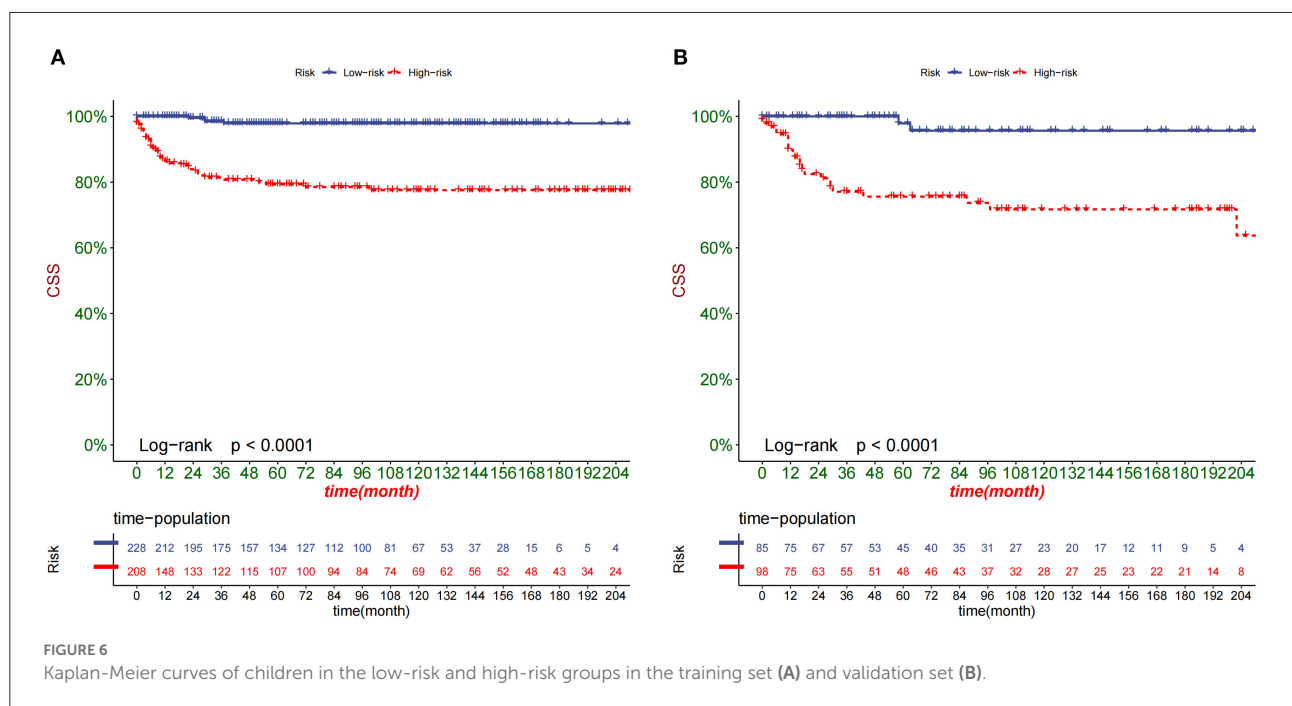
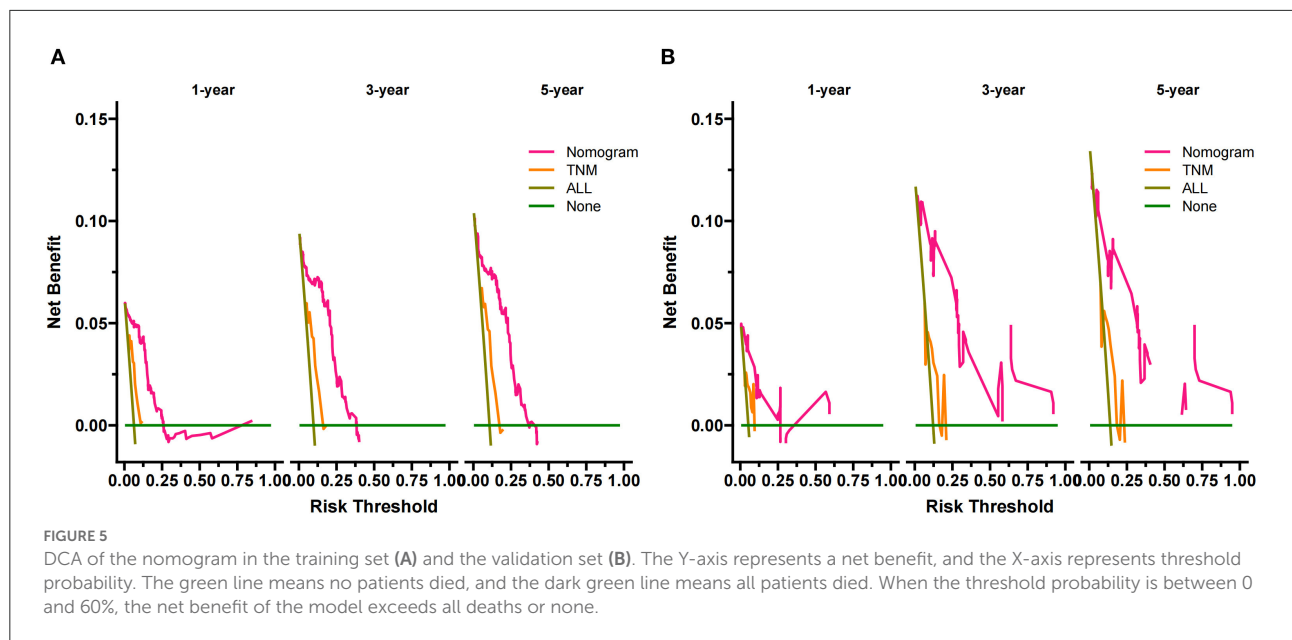


to undergo surveillance or those with high-risk stage I (27). Our study showed doctors' importance in identifying patients with high-risk stage I TYST to avoid unnecessary chemotherapy. So 80–95% of TYST patients could be protected from the toxic effects of chemotherapy (24). Besides, chemotherapy treatment for high-stage patients might not predict a good prognosis. At the same time, it was impossible to further investigate the confounders due to the lack of details on chemotherapy and surgery.

Finally, the newly constructed Nomogram model for predicting the CSS rate of TYST patients includes multiple

factors such as age at diagnosis, TM stage, and chemotherapy, which is convenient for clinical information collection. Although the International Germ Cell Cancer Collaborative Group (IGCCCG) is commonly used to predict the prognosis of metastatic non-spermatogenous germ cell tumor, it targets all testicular cancers and predict the individual 3-year progression-free survival rate of patients (28). Our present developed nomogram depending on SEER can accurately predict CSS at 1, 3, and 5 years in patients with TYST.

However, this study still has some limitations. First, although the SEER database collected clinical data of patients from



multiple medical centers in the United States, much detailed clinical information (the patient’s family history, laboratory test results (AFP and LDH), maximum tumor diameter, surgical scope and intraoperative conditions, pathological history, chemotherapy regimen, recurrence and metastasis) were not recorded. This clinical information has been proven to be related to the prognosis of TYST (1, 6, 11, 28, 29), and adding such information can further improve the model’s accuracy. Second, this study used an internal validation method to validate the nomogram model, and an external cohort was needed to

further validate the accuracy of the model. Finally, since this study is retrospective, there must be selection bias. Therefore, prospective studies are needed to further validate the model.

Conclusion

In the study, we explored prognostic factors in TYST patients. The results showed that the patient’s age, T stage, M stage and chemotherapy were independent risk factors affecting

the CSS of the patients. We constructed a nomogram to predict the CSS of TYST patients. After internal validation, the nomogram has been proven to have good accuracy and reliability. This predictive tool can help physicians predict the prognosis of TYST patients and develop appropriate monitoring and follow-up plans.

Data availability statement

Publicly available datasets were analyzed in this study. This data can be found here: The SEER data analyzed in this study is available at <https://seer.Cancer.gov/>.

Author contributions

ML and JW designed the study. JW, JL, YZ, and XZ collected and analyzed the data. ML drafted the initial manuscript and reviewed and edited the article. YL, CD, FL, and QP revised

the article critically. All authors approved the final manuscript, contributed to the article, and approved the submitted version.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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