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RECEIVED 24 October 2024 ACCEPTED 17 December 2024 PUBLISHED 28 January 2025

CITATION

Billeci L, Riva V, Capelli E, Grumi S, Paola Pili M, Cassa M, Siri E, Roberti E, Borgatti R and Provenzi L (2025) 2-Brain Regulation for Improved Neuroprotection during Early Development (2-BRAINED): a translational hyperscanning research project. *Front. Psychol.* 15:1516616. doi: 10.3389/fpsyg.2024.1516616

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2-Brain Regulation for Improved Neuroprotection during Early Development (2-BRAINED): a translational hyperscanning research project

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Introduction: Very preterm (VPT) birth is a major risk condition for child development and parental wellbeing, mainly due to multiple sources of stress (e.g., separation and pain exposure) during the neonatal intensive care unit (NICU) stay. Early video-feedback (VF) interventions proved effective in promoting VOT infants' development and parental wellbeing. Electroencephalography (EEG) hyperscanning allows the assessment of brain-to-brain co-regulation during live interaction between infants and parents, offering promising insights into the mechanisms behind the interactive benefits of early VF interventions.

Goals: This study aimed to compare indices of brain-to-brain co-regulation between dyads of full-term (FT) and VPT infants interacting with their mothers and investigate the effect of an early post-discharge VF intervention on the brain-to-brain co-regulation indices of VPT dyads.

Methods and analysis: VPT and FT dyads will be enrolled at birth, and the former will be randomly allocated to one of two arms: VF intervention or care as usual. Short-term effectiveness will be assessed through ratings of mother–infant interaction videotaped before and after the VF intervention or care as usual. Mothers of VPT and FT infants will report on their mental state, parenting stress and bonding, and infant temperament and sensory profile at 3 and 6 months (corrected age, CA). At 9 months CA, all dyads will participate in a lab-based EEG-hyperscanning paradigm to assess brain-to-brain co-regulation through phase-locking value (PLV) and other explorative indices.

Ethics and dissemination: This study was funded by the Italian Ministry of Health and received approval by the Ethics Committee of Pavia (Italy) and participating hospitals. Research findings will be reported in scientific publications, presented at international conferences, and disseminated to the general public.

Study registration number: GR-2021-12375213 (Italian Ministry of Health registry).

KEYWORDS

EEG, hyperscanning, infant, parent, preterm, synchrony, video-feedback

Introduction

Very preterm (VPT) birth is a major challenge for healthcare systems worldwide (Beam et al., 2020), representing the leading cause of long-lasting chronic diseases in childhood and child mortality (Ohuma et al., 2023). While VPT infants are exposed to heightened medical risk and several stress sources from the neonatal intensive care unit (NICU) environment (Cong et al., 2017; Provenzi et al., 2018), their parents also may face critical levels of psychological distress leading to increased risk for depression, anxiety, and parenting stress in the postpartum period (Caporali et al., 2020).

Notably, biobehavioral dysregulation patterns have been observed in dyads of VPT infants and their caregivers in the first months of life (Jean and Stack, 2012; Montirosso et al., 2010; Neugebauer et al., 2022; Provenzi et al., 2019), suggesting that VPT birth and NICU-related stress may affect child development and parental adjustment by altering critical processes of dyadic co-regulation during the first 1,000 days (Feldman, 2006; Linnér and Almgren, 2020). Early interventions that promote parent–infant closeness may help foster the establishment of similar psychobiological co-regulatory processes (Ionio et al., 2021; Lordier et al., 2019; Mörelius et al., 2015; Welch and Ludwig, 2017), providing buffering and protective benefits for both child development and parental wellbeing (Burke, 2018; He et al., 2021; Thomson et al., 2020).

Video-feedback (VF) interventions are well-validated parenting support programs that focus on parent-infant closeness, promote parental sensitivity, facilitate co-regulatory processes, and provide neuroprotective effects for child development (Balldin et al., 2018; Poslawsky et al., 2015; Provenzi et al., 2020; Tryphonopoulos and Letourneau, 2020). VF interventions include a diverse range of procedures and methodologies aimed at promoting positive parenting. They capitalize on allowing parents to observe themselves and their interactions with their infant "from the outside," thereby facilitating mentalization and reflective functions (Leyton et al., 2019; Riva Crugnola et al., 2021). Although different theoretical and methodological VF approaches have been described (Provenzi et al., 2020), previous research highlighted benefits for child development and the quality of parent-child interaction in different clinical contexts and populations, including preterm infants and their caregivers (Barlow et al., 2016; Hoffenkamp et al., 2015). More recently, a clinical trial by Pisoni et al. (2021) highlighted long-term improvement in the developmental quotient scores of 24-month-old VPT infants of age following a VF intervention, adding to the evidence that remote video consultation may be an effective home care approach (Hägi-Pedersen et al., 2021; Suir et al., 2022).

Hyperscanning is a relatively recent approach to the study of brain-to-brain co-regulation in live interactive partners using different electrophysiological and neuroimaging techniques (Bi et al., 2023; Nguyen et al., 2020). By simultaneously recording multiple brains' activity, hyperscanning allows the acquisition of neurophysiological measures of human dyadic or group-based neurophysiological coordination (Czeszumski et al., 2020). Among the different available techniques, EEG offers special advantages when the hyperscanning paradigm is applied to pediatric and even newborn populations. Wireless EEG devices are relatively non-invasive and allow for freedom of movement, making them ideal for studying mother–infant interactions in both laboratory and ecological settings during the first months of life. By using EEG-hyperscanning paradigms, Leong et al. (2017) highlighted how gaze direction during face-to-face interactions between adults and 8-month-old infants affects patterns of dyadic neural connectivity. Similarly, different patterns of theta power fluctuations were observed when 12-month-old infants play solo in the presence of the caregiver or when they actively interact together (Wass et al., 2018). More recently, the phase-locking values (PLVs) indicating the strength of brain-to-brain co-regulation in theta and alpha frequency bands were computed during 7-month-old infants' interaction with the caregiver compared to an adult stranger (Endevelt-Shapira et al., 2021). The study reported greater inter-brain attunement when infants were interacting with the mother, despite the addition of maternal chemo-signals in the setting of infant–stranger interaction attenuated the significant difference.

As the field of parent-infant hyperscanning research is rapidly growing, the accumulating knowledge is contributing to a pivotal epistemic and theoretical shift in developmental neurosciences from a mono-personal account to a strongly relational perspective (Dumas, 2011). The application of hyperscanning paradigms to the study of parent-infant brain-to-brain co-regulation in at-risk and clinical pediatric populations holds promises to acquire innovative data on the mechanisms by which the early caregiving environment fosters and promotes child neurodevelopment (Provenzi et al., 2023). Moreover, as specific indices of brain-to-brain co-regulation become validated in typical development, they may also be embedded into a novel set of neurobehavioral markers to assess the benefit of early interventions. Such translational hyperscanning vision has been recently framed in the affective neuroscience literature (Provenzi et al., 2023), yet there is a lack of research on the brain-to-brain co-regulation of VPT infants and their parents and on the potentially beneficial neuroprotective effects of early parenting interventions in this population.

Study AIMS

General and specific aims

The 2-Brain Regulation to Achieve Improved Neuroprotection during Early Development (2-BRAINED) research project is funded by the Italian Ministry of Health under the Ricerca Finalizzata 2021 program (research line: Giovani Ricercatori, project code: GR-2021-12375213). It is aimed to assess brain-to-brain co-regulation patterns in dyads of VPT infants and their caregivers and further explore how an early VF intervention may facilitate specific inter-brain regulatory indices.

The first specific aim (Aim 1) is to assess the presence of statistically significant differences in a set of brain-to-brain co-regulation indices—primarily, PLV measure—between dyads of caregivers and VPT compared to FT infants. Previous research gave evidence of specific markers of lower co-regulation in behavioral synchrony (Montirosso et al., 2010), physiological coupling (Feldman and Eidelman, 2007; Porges et al., 2019), and neuroendocrine attunement (Provenzi et al., 2019) in dyads of VPT infants during the first year of life. Consistently, we hypothesized that VPT infants and their caregivers would show less strong brain-to-brain co-regulation indices compared to dyads of FT counterparts at 9 months (corrected age for prematurity, CA).

The second specific aim (Aim 2) is to investigate the effect of an early VF intervention for parents of VPT infants on the selected

indices of brain-to-brain co-regulation at 9 months CA. By comparing EEG-hyperscanning-derived indices of inter-brain coupling between dyads of VPT infants exposed to the VF intervention and dyads exposed to care as usual during the 3 months following NICU discharge, we hypothesize to describe greater brain-to-brain co-regulation in the former group.

Additional exploratory aims

The longitudinal nature of this study and the possibility to collect a multi-layer set of data for what pertains to the neurobehavioral development of FT and VPT infants as well as the parenting environment during the first year of life allow us to set the stage for a number of exploratory analyses that will further guide future spin-off studies stemming from the 2-BRAINED research project. In this study, we highlight five main exploratory aims that appear relevant for future translational research in the field of affective neuroscience and developmental psychobiology.

First, the availability of behavioral and EEG physiological data from the 9-month interactive procedure (see below, *Study design and procedures*) will allow for the exploration of patterns of bio-neurophysiological coupling within dyads. Previous research produced limited evidence for the presence of correlations between specific interactive behaviors and EEG signaling during interactive tasks (Liu et al., 2018). Similarly, in VPT infants and their caregivers, the presence of a matched coupling or overlapping regulatory profiles between interactive behaviors and neuroendocrine or physiological oscillations is debated (Provenzi et al., 2019). This study will provide a suitable data setup to further explore the presence of significant coupling between interactive behaviors and neurophysiological brain activity in typically developing and at-risk pediatric populations.

Second, it will be possible to explore how brain-to-brain co-regulation in typically developing FT infants and their caregivers is affected by different dimensions that characterize infants' development (e.g., sensory profile and temperament) and the caregiving environment (e.g., affective symptoms, parenting stress, and parent-infant bonding). It is well known that different behavioral indices of caregiver-infant co-regulation (e.g., matching, synchrony, and dyadic reparation (Provenzi et al., 2018)) are shaped by individual characteristics and contributions by parent and infant behavior. For instance, Fuertes et al. (2006) have suggested that infant temperament may play a critical role in the emergence of early attachment patterns and co-regulation of socio-emotional stress in full-term infants. Similarly, maternal affective symptoms may result in different dyadic organizations of critical behaviors signaling reciprocal attention and socio-emotional availability, such as gaze direction (Lotzin et al., 2015) and emotional cues (Bigelow et al., 2018).

Third, the role of sensory profile and environmental sensitivity to sensory inputs is recognized as an important contributor to child socio-emotional stress regulation (Greven et al., 2019; Lionetti et al., 2018). Previous research has highlighted how infants with diverse sensory profiles (e.g., sensation seekers or passive encoders) may exhibit differences in their resting state EEG activity (Pierce et al., 2021). Additionally, sensory reactivity in FT and VPT infants at 12 months has been found to be associated with later behavioral problems in toddlerhood (Maitre et al., 2020). The role of infants' sensory profile in setting the stage for different gradients of brain-to-brain co-regulation is yet to be explored, and such investigation may shed light on genetic-informed individual differences in the early establishment of parent–infant relationship.

Fourth, consistent with the previous exploratory goal, it can be speculated that VPT infants—due to early adverse sensory stimulations during the NICU stay (Aita et al., 2013; Pineda et al., 2019)—may exhibit specifically altered profiles of sensory regulation compared to FT counterparts. Niutanen et al. (2020) recently conducted a review of the literature highlighting how VPT infants may exhibit abnormal regulation of sensory inputs with consequences for sensorymotor integration and stress regulation. As the sensory regulation profile of VPT infants may be partially learned from attempts to adapt to the NICU, the present study may also help identify how early alterations in the sensory environment influence the emergence of precocious forms of brain-to-brain co-regulation with the caregiver.

Finally, by collecting quantitative data on the parents' experience of the NICU hospitalization-including both psychological stress and perceived support from the staff-it will be possible to estimate how caring for parents' wellbeing during the NICU stay may promote later electrophysiological caregiver-infant attunement. Previous research has highlighted that mothers of VPT infants may exhibit lower sensitivity to their infants' facial and bodily cues (Butti et al., 2018). However, their brain reactivity to emotional pictures of their own VPT infants appears heightened compared to that of FT infants' mothers (Montirosso et al., 2017). These preliminary findings suggest that the brains of VPT infants' caregivers may process interactive-salient stimuli differently, potentially influenced by the stressful experience of NICU hospitalization and early parent-infant separation. The present study will allow us to study how the brain activity of VPT infants' mothers processes relevant social cues during real-life face-toface interactions, further contributing to understanding how caregivers' brain adapts to preterm birth and hospitalization. In this context, NICU-related stress and perceived support from staff could be considered potential moderators of the caregivers' EEG activity when interacting with their VPT infant-with relevant consequences for the observed brain-to-brain co-regulation.

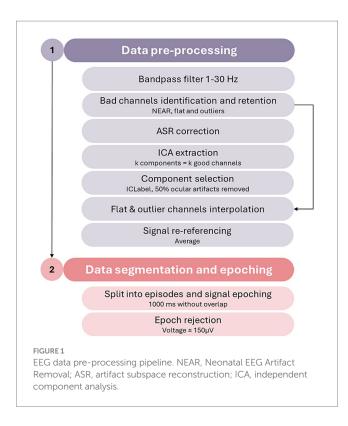
Methods and procedures

Study design and procedures

The 2-BRAINED project is a randomized-controlled trial (RCT) with three arms. The first arm includes VPT infants and their caregivers randomly allocated to the intervention arm (VPT-VF). The second arm includes VPT infants and their caregivers randomly allocated to the care as usual arm (VPT-CU). This arm will act as a control group matched to preterm conditions of VPT-VF. The third arm includes FT infants and their caregivers and will act as an additional control group unmatched by preterm conditions. Both VPT-CU and FT arms will receive no VF intervention.

Population, enrollment, and arm allocation

VPT and FT infants will be enrolled at birth by contacting their caregivers within the first 48 h after delivery. Informed consent will be obtained. VPT infants will be considered eligible in the presence of the following conditions, as reported in medical charts: gestational age below 35 weeks, absence of major brain lesions as documented by



cerebral ultra-sound, no neuro-sensory deficits including retinopathy of prematurity (ROP) equal or above stage 2, absence of genetic syndrome, or malformations involving the central nervous system. FT infants will be considered eligible if they meet the following conditions: a gestational age of 37 weeks or more, are healthy, and show no evident signs of neurodevelopmental risk or morbidities. For both groups, exclusion criteria will include single-parent families, parental age under 18 years, lack of Italian language mastery, and the presence of documented psychiatric disorders.

Study timeline

The 2-BRAINED study features five data collection waves (see Figure 1). The VF intervention is delivered after wave T1 (NICU discharge) and before wave T2 (3 months CA) to subjects allocated to the VPT-VF arm. The EEG-hyperscanning task will occur for all subjects at wave T4 (9 months CA) and will feature the videotaping of mother–infant interaction according to a modified Face-to-Face Still-Face (FFSF) procedure (Tronick et al., 1978) and the simultaneous EEG data collection from both the interactive partner. At each wave, parents will receive questionnaires by email using REDCap.¹

VF intervention

Remote videotaping

Before (T1) and after (T2) the VF intervention sessions for participants allocated to VPT-VF—and at the same timepoints for participants allocated to VPT-CU—a 15-min mother–infant interaction will be videotaped remotely. Before videotaping, mothers will be asked to position the webcam or smartphone to have the widest possible view of the play area and see the entire body of both the mother and the infant. The interaction paradigm includes 10-min unrestrained face-to-face play followed by a 6-min FFSF procedure (Tronick et al., 1978) as described here: During the 2-min Play episode, mothers will be asked to play with the infant as they usually do (e.g., the infant can stay in an infant seat or on a carpet); during the 2-min Still-Face episode, mothers will be asked to interrupt any communication and maintain a still, poker face while keeping eye-contact with their infant; unconstrained interaction will be resumed during the 2-min Reunion episode.

Intervention details

The remote VF intervention has been adapted according to previous research from our group (Grumi et al., 2021). It comprises six weekly 1-h sessions organized in two subsequent phases: four sharing the focus sessions and two integration sessions. Sharing the focus sessions are dedicated to the discussion between the psychologist and the mother of specific themes related to parenting and parent-infant interaction: physical stimulation, responsiveness, teaching, and parenting experience (see Table 1). During these sessions, a purposively trained psychologist invites the mother to jointly review and discuss brief clips obtained from the pre-intervention videotaped interaction, usually starting from potential curiosity, comments, or requests from the mother herself. The goal of the sharing of the focus sessions is to develop insights about the infants' behavioral signals, how to respond contingently and appropriately, how to promote emotion regulation, and how to sustain cognitive and behavioral achievements. In the subsequent two integration sessions, the mother plays with the infant while the psychologist provides guidance based on insights co-developed during the previous four sessions. The goal is to promote a pragmatic translation of the insights into interactive skills.

FFSF procedure

At 9 months (CA for VPT participants), mothers and infants will take part in a FFSF procedure in the laboratory. The FFSF will include three episodes: During the Play episode (2 min), mothers and infants will interact face-to-face avoiding the use of toys and pacifier; during the Still-Face episode (1 min), mothers will be asked to interrupt any communication toward the infant, to maintain a still, poker face, while maintaining eye-contact; and during the Reunion episode (2 min), unconstrained interaction will be resumed. The procedure has been previously adopted to assess biobehavioral dimensions (Provenzi et al., 2019; Provenzi et al., 2017) and physiological underpinnings (Montirosso et al., 2010; Mantis et al., 2014) of socio-emotional stress regulation in VPT infants. The entire procedure will be videotaped for the offline coding of specific maternal and infant interactive behaviors (see *Measures* for details).

Neurophysiological procedures

EEG data acquisition will occur at 500 Hz sampling frequency during the 9-month FFSF procedure employing the Smarting Pro (mBrainTrain, Belgrade, Serbia) system equipped with two 32-channel EEG caps featuring wireless Bluetooth connection between the amplifiers and the mBrainTrain Streamer software installed on two separate laptops. The laptops receiving data will be linked to each other via a network cable to ensure synced data collection. The use of wireless EEG caps will allow greater flexibility and comfort for participating dyads.

¹ https://www.project-redcap.org/

Thematic cluster	Key topic	Goal
Sensory stimulation and regulation	Sensory channels	Highlighting infant preference or avoidance of specific sensory channels and stimuli.
	Intensity of stimulation	Regulating intensity of physical stimulation and understanding infant sensory thresholds.
	Affective social touch	Facilitating parental affective touch to promote infant state regulation, postural stability, and attention orientation.
Nurturing and sensitive caregiving	Parental sensitivity	Promoting parental perception, interpretation, and appropriate responsiveness to infant communicative signals.
	Sense of agency	Detecting and supporting the infant's interactive initiatives (e.g., vocalizations and attention orienting).
	Exploration and safety	Supporting the infant exploration of the environment and building safety and trust in parental secure base.
	Rhythm and reparation	Facilitating the emergence of a proto-conversational rhythm in the dyad and supporting reparative actions of interactive perturbations.
Cognitive sensitivity and scaffolding	Attention skills	Supporting and scaffolding infant sustained and focused attention to the physical and social environment.
	Modeling and guidance	Providing a model to foster observational learning and the functional use of tools and toys.
	Proximal development zone	Improving caregiver awareness of the cognitive abilities of the infant to make appropriate play proposals and support infant emerging abilities.
Parenting experience and mental state	Mind-mindedness	Improving awareness about parental representations of the infant mind and keeping high levels of curiosity about infant behaviors.
	Self-care and self-regulation	Highlighting the importance of parental psychological wellbeing and reflective functions; promoting parental psychological self-care and compassion.
	Self-efficacy	Strengthening the caregiver's sense of efficacy as a parent and nourishing trust in the parent's own experience and mental representations of the infant.

TABLE 1 Description of the thematic focus of the four sharing the focus sessions of the video-feedback (VF) intervention.

Upon arrival, the infant will be familiarized with the setting: A play mat and toys will be available to aid in acclimatization to the environment. The researchers will debrief parents with a comprehensive explanation of the study's aims and procedures. Cap sizes will be selected to fit participants' head circumference. The caps fitting process will commence with the caregiver to ensure greater infant comfort and familiarity with the equipment. The conductive gel will be applied to optimize signal conductivity and minimize artifacts.

Measures

Demographic (e.g., parental age, parental job, and parental educational level), neonatal (e.g., gestational age, birth weight, and Apgar score), and clinical variables (e.g., NICU length of stay and minor morbidities) will be obtained from medical charts. Parent-report questionnaires are summarized and described in Table 2. As for behavioral coding purposes, the videotapes obtained from two cameras during the lab FFSF procedure will be edited offline using Movavi Video Suite 2020 software and a single synced video showing both frontal views of the caregiver and the infant's face, hands, and torso will be produced. Videos will be micro-analytically coded for infants' and caregivers' target interactive behaviors according to an adaptation of the Parent–Infant Coding Scheme (PICS, Version 4.0; Brambilla et al., 2023) as reported in Table 2. PICS codes will be computed as a percentage of time for each FFSF episode (Table 3).

Plan of EEG data elaboration

Pre-processing pipeline

Dyadic EEG data will be pre-processed with a fully automated pipeline built using the MATLAB-based (The MathWorks Inc., 2024) interacting toolbox EEGLAB (Delorme and Makeig, 2004). A brief description of the main pre-processing steps is available in Figure 2.

The parent and infant signals will be pre-processed separately with the same steps and parameters for both. First, data filtering will be performed with the application of a bandpass 1-30 Hz filter as the planned analyses (see below) will be conducted on the lower (theta and alpha) frequency bands. Subsequently, flat and outlier channels will be detected using the Neonatal EEG Artifact Removal (NEAR) plugin (Kumaravel et al., 2022) and retained (i.e., put in a separate temporary matrix) for later interpolation. Dyads in which at least one of the members displays a signal with more than 15% (N > 5) of flagged channels will be excluded from further analyses. The EEG signal from all non-flagged channels will undergo noise correction through the artifact subspace reconstruction (ASR; Chang et al., 2020), with burst criterion (k) set at 10; subsequently, analysis of the components of the signal will be performed through the independent component analysis (ICA; runica function with default settings), producing as many components as the number of good channels. The resulting components will be then classified through the ICLabel (Pion-Tonachini et al., 2019) plugin. Every component flagged as having a 50% or more probability of being an ocular artifact will be rejected. At this stage, the matrix containing the flat and outlier channels will be re-merged with the EEG matrix, and the bad channels

TABLE 2 Details of questionnaires included in the study.

Construct	Questionnaire	Reference	ltem N	Likert scale	Description	Study wave(s)
Parental NICU-related stress	Parental Stressor Scale— NICU (PSS-NICU)	Miles et al. (1993)	46	5-point	Three main factor scores representing stress related to infants' appearance, environmental sights and sounds, and parental role alteration	T1 (only VPT)
Sensory profile	Sensory Profile-2 (SP-2)	Dunn (2014)	54	5-point	The infant version (0–6 months) identifies 5 sensory patterns. The toddler version (7– 35 months) identifies four sensory patterns.	T2, T3, T4
Anxiety symptoms	State–Trait Anxiety Inventory (STAI-Y)	Spielberger et al. (1983)	40	4-point	One trait score representing a tendency to feel anxiety and one state score representing the present levels of anxiety	T2, T4
Depression symptoms	Beck Depression Inventory (BDI-II)	Beck et al. (1996)	21	4-point	Global score representing a quantitative appreciation of the severity of symptoms of depression	T2, T4
Parenting stress	Parenting Stress Index— Short Form (PSI-SF)	Abidin et al. (2006)	36	5-point	Three subscale scores addressing parental distress, parent–child dysfunctional interaction, and stress related to difficult child behavior. A global score is also obtained.	T2, T3, T4
Temperament	Infant Behavior Questionnaire-Revised (IBQ-R) very short form	Gartstein and Rothbart (2003)	37	7-point	Three subscale factor scores addressing negative affectivity, surgency, and regulatory capacity.	T2, T3, T4

VPT, very preterm; NICU, neonatal intensive care unit.

will be interpolated through spherical interpolation using the pre-processed signal. The signal will be then re-referenced to the average signal of the channels and split into three different sets containing each phase of the experimental procedure (Play, Still-Face, and Reunion): Each of these sets will be subsequently segmented into 1,000 ms epochs avoiding overlaps. Bad data segments containing residual artifacts in each of the three phases will be identified. All segments in which at least one of the target channels used for estimates of dyadic co-regulation (see below) displays a voltage exceeding $\pm 150~\mu V$ (Debnath et al., 2020) will be marked as rejected. The rejected epochs in the infant's and parent's signals will be merged to obtain the final pool of rejected epochs for the dyad. This ensures that all the rejected epochs for one interactive member of the dyad will be similarly mirrored for the other partner. Dyadic data will undergo

further analyses if their signal contains at least 30 good epochs in both the Play and Reunion phases; if this criterion is not met, their signal will undergo manual epoch rejection performed by an expert EEG coder. In case after the manual epoch rejection, the dyad will result having less than 30 good merged epochs in at least one of the FFSF episodes, the signal will be excluded from further analyses.

Estimating indices of inter-brain co-regulation

Several inter-brain synchronization indices have been proposed so far to estimate the coupling between two brains (Czeszumski et al., 2020). Since there is still debate on the appropriateness of each interbrain synchronization measure, we plan to compute and compare several indices (see Table 4). To further check for spurious findings and gather stronger evidence that the obtained co-regulation

TABLE 3 Selection of codes from the Parent-Infant Coding Scheme (PICS, Version 4.0).

Variable	Levels	Description	
A. Both interactive partners			
Emotional state	Negative	Clear display of negative emotionality (e.g., eyes, mouth, general movements of the face or the body, and other vocal or non-vocal signals) including fussing and crying.	
	Neutral	No clear display of negative or positive emotionality.	
	Positive	Clear display of positive emotionality (e.g., eyes, mouth, general movements of the face or the body, and other vocal or non-vocal signals) including smiles and laughs.	
Gaze direction	Face-directed	Attention focus is on the interactive partner's face	
	Object-directed	Attention focus is on the interactive partner body (e.g., hands and torso) or other objects.	
	Avoiding	The subject is actively avoiding eye contact as displayed by head and body movements/posture.	
Approach/withdrawal	Withdrawal	Evident leaning backward and/or turning the head away to avoid interaction	
	Neutral	No evident backward or forward movements.	
	Approach	Evident leaning forward and/or reaching forward to engage in interactive behaviors.	
B. Parental-specific codes			
Vocal inputs	No voice	No vocal productions.	
	Negative	Vocal comments that convey explicit critique or rejection of infants' behaviors or state.	
	Pragmatic	Vocal comments that are finalized to modify or instruct the interactive partner's cognitive state, such as requests, attention-getting, and explanations.	
	Social	Vocal comments that convey playful and social engagement such as singing, laughing, and playing nursery rhymes.	
	Nurturing	Vocal comments that express appreciation or acceptance of infants' behaviors or state or are finalized to soothe infants' stress. These also include mind-related comments (e.g., "you think" and "you want") and mirroring of infants' communicative bids.	
Tactile inputs	No touch	No tactile stimulations.	
	Negative	Tactile stimulations that clearly appear intrusive and/or provoke or increase a negative emotionality state in the interactive partner.	
	Pragmatic	Tactile stimulations that are finalized to modify or instruct the interactive partner postures or movements in the environment, such as holding, shadowing, and attention-getting.	
	Social	Tactile stimulations that convey playful and social engagement such as tickling, squeezing, and any other appropriate entertaining tactile stimulations that are fast-paced, dynamic, repetitive, and/or characterized by quick cinematic features.	
	Nurturing	Tactile stimulations that are finalized to soothe or regulate the behavioral state of the interactive partners. These include stroking, kissing, massaging, and any other appropriate tactile stimulations with clear regulatory functions and conveying a sense of affective closeness.	

The complete coding manual is available upon request to the corresponding author.

estimations are not artifact production, we will compare the synchronization indices obtained from the real dyads to surrogate data generated by randomly pairing mothers and infants from different dyads.

The computation of synchronization measures will preferentially occur considering homologous channels for the sake of interpretability and computational costs. However, as an exploratory analysis, we will also compute synchronization between non-homologous channels because we can hypothesize that synchronization tasks between mother and infant can involve different brain areas in the two actors (Endevelt-Shapira et al., 2021).

Regarding the frequency bands on which the synchronization measures will be computed, we will mainly consider alpha and theta. Indeed, these frequencies have been found to be involved in parent– infant social tasks, with theta fluctuations linked to changes in shared attention during joint play of parent and infant (Wass et al., 2018), enhancement of alpha and theta power linked to changes in directed gaze (Leong et al., 2017), and fluctuations in alpha band linked to changes in emotional states of mother and child (Santamaria et al., 2020).

Moreover, since we are interested in the dynamic evolution of brain-to-brain synchronization, we plan to evaluate the trend of each synchronization index over time (i.e., over the epochs). In particular, we are interested in the change between an asynchronous to a synchronous state, which is defined as reparation. Reparation is a dyadic process in which unmatched dyadic states are transformed into matched dyadic states. We will compute the rate and the latency of reparation considering the EEG synchronization indices as previously performed in synchronized behaviors assessment (Provenzi et al., 2015).

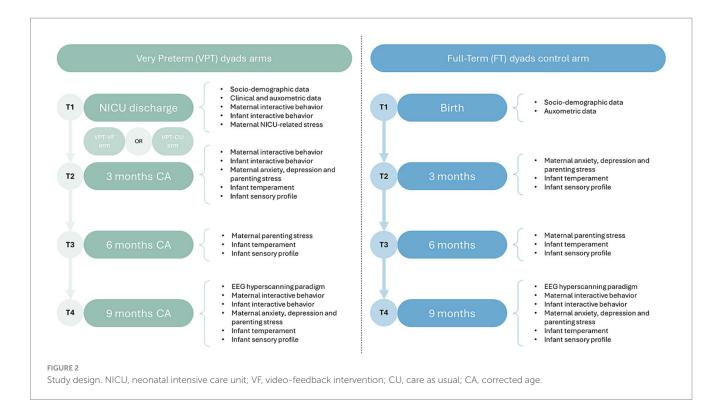


TABLE 4 Indices of inter-brain co-regulation adopted in the 2-BRAINED study.

Index	Description	Notes	Reference
Phase-Locking Value (PLV)	Frequency-specific transients of phase locking	While previous studies focused mainly on infant	Lachaux et al. (1999);
	independent of amplitude. The value ranges from 0	frequency bands, cross-frequency PLV indices will	Canolty and Knight (2010)
	to 1: values closer to 0 indicate random signals	be obtained for the purposes of the 2-BRAINED study.	
	with unsynchronized phases; values closer to 1		
	indicate stronger coupling between the two signals.		
Imaginary Coherence	Computed through spectral density (power) of	ICoh is expressed as a complex number: the real part	Dikker et al. (2021); Turk
(ICoh)	each participant and cross-spectral density	represents how much the coherence is driven by	et al. (2022)
	between them to estimate the average phase	instantaneous interactions; the imaginary part shows	
	difference and consistency of phase difference	how much the coherence is based on lagged interactions.	
	synchronization.		
Amplitude-Amplitude	Expressed as Pearson's correlation coefficient	Amplitude coupling was suggested as a valid alternative	Haresign et al. (2022);
Coupling (AAC)	between normalized power time courses of the two	to phase coupling for three main reasons: amplitude	Koul et al. (2023)
	signals.	changes are more easily estimated; amplitude	
		modulations are more extensively characterized across	
		EEG studies; amplitude modulations are more sensitive	
		to neural coupling phenomena non-detectable with other	
		phase-related measures.	

Statistical power and sample size estimates

The sample size has been estimated according to over-arching Aim 2, setting parameters as follows: medium effect size, f = 0.25, alpha = 0.05, beta = 0.20, number of groups = 3 (VPT-VF, VPT-CU, and FT). The procedure yielded a total sample size of 159 subjects (53 subjects per RCT arm). Nonetheless, considering the longitudinal nature of the study and the attrition rate related to EEG tasks with infants, an oversampling of n = 80 (~ +50%) subjects per RCT arm was planned to secure the minimum sample size for appropriately powered statistical analyses.

Plan of statistical analyses

Preliminary analyses

Specific aim 1

General linear models (GLMs) will be carried out to compare VPT and FT dyadic brain-to-brain co-regulation indices during the experimental procedure phases. Theoretically relevant (e.g., gestational age) and statistically identified (e.g., any variable significantly linked with the outcome variables) confounding variables will be controlled for in the analytical model.

Specific aim 2

Separate analyses of variance (ANOVA) will be used with dyadic brain-to-brain co-regulation indices as the dependent variable and groups (FT, VPT-VF, and VPT-CU) as the independent variable. Theoretically relevant (e.g., gestational age) and statistically identified (e.g., any variable significantly linked with the outcome variables) confounding variables will be controlled for in the analytical model.

Additional aims

Models to track early developmental trajectories will be estimated in Mplus by latent class growth analysis with inter-individual variations in time of assessment and mixed-effect linear models with repeated measures to assess group differences in rates of temperament, emotional, and sensory profiles.

Discussion

The present protocol describes an RCT study that aims to assess the benefits of an early post-discharge video-feedback intervention to enhance and promote both parental and VPT infants' outcomes. By collecting dual-source EEG data in a hyper-scanning paradigm and from face-to-face real-time interactions between parents and infants, the study also aims at providing estimations of the effects of such intervention not only for the individual adjustment of caregivers and infants but also for the emergence of dyadic co-regulatory biobehavioral processes. Such co-regulation profiles are meant to be critical indicators of a nurturing caregiving environment during the first months of life fostering affective wellbeing and stress resilience (Feldman, 2020; Levy and Feldman, 2019).

Sources of bias and mitigation strategies

The heterogeneity of VPT infants' conditions should not be underestimated. Even in the absence of severe comorbidities and brain injuries, the experience of NICU hospitalization might be very different for each infant and their parents. To avoid extreme variations, the gestational age range will be constrained between 28 and 35 weeks. Moreover, stress related to the NICU environment will be evaluated and quantified with a wellvalidated questionnaire (Miles et al., 1993). Selection issues might affect random allocation plans in RCT arms. The allocation to VPT-VF and VPT-CU arms will occur by using an automatically generated list of binary codes that will be consecutively matched with the enrolled families across consecutive sampling. This will reduce the risk of self-selection. To further avoid confounding by infant sex and assure sex distribution balancing, the random allocation will be stratified by infant sex by post-hoc controls every 20 enrollments. Parental gender will also be unconstrained, inviting the primary caregiver-and not explicitly the mother-to participate in the study, VF intervention, and observational procedures. EEG procedural steps and artifacts might easily result in the loss of subjects in a longitudinal study; a 50% oversampling was planned to achieve the minimum sample size for adequately powered statistical analyses.

Expected results and impact

The project represents a translational application of the emerging field of hyper-scanning in developmental neuroscience (Provenzi et al., 2023). As neuroscience is moving toward a radical shift in considering interpersonal exchanges as the primary unit of analysis and observation (Leong et al., 2019; Hoehl and Markova, 2018), clinical applications are meant to be implemented to innovate healthcare. Previous proposals have been advanced to apply such a bi-personal neuroscientific approach to the field of adult psychiatry (Saul et al., 2022) and child development (Nguyen et al., 2020).

In this study, we aim to innovate the field of family-centered care in pediatric settings by embedding a cutting-edge approach to the study of parent–infant interaction and co-regulation processes into well-validated approaches to parental support and child development promotion. As the video-feedback intervention is well-acknowledged for its beneficial implications for parental wellbeing, child development, and quality of the early parent–child relationship (Fukkink et al., 2011; Montirosso et al., 2020), it represents an élite clinical setting to test the advantages of new neuroscientific-inspired metrics that specifically focus on the assessment of brain-to-brain co-regulatory processes. In this context, the present study has multifaceted implications.

From a scientific perspective, this study will provide first-ofa-kind quantitative estimations of inter-brain coupling and co-regulation in a sample of VPT infants and their caregivers. While previous research has highlighted functional and structural alterations in VPT infants' brains (Dereymaeker et al., 2017; Hüppi et al., 1998; Pittet et al., 2019), very little is known about VPT neurophysiological functioning in real-life settings. Moreover, the study will provide insights into "how much" interbrain synchrony should be expected in typical and atypical developmental trajectories. As medium levels of attunement and matching have been suggested to be optimal in terms of behavioral co-regulation during the first months (Provenzi et al., 2016), similar expectations appear to be plausible for what pertains to inter-brain coupling.

From a clinical point of view, the 2-BRAINED project is expected to produce evidence of the efficacy of an early intervention for VPT infants and their parents that is delivered after NICU discharge. This is a critical window for continuity of care as parents transition from potentially high-quality familycentered care during NICU stay to a lack of appropriate and tailored support at home (Lundqvist et al., 2019; Provenzi et al., 2016). From this perspective, this study will explore the efficacy of an intervention aimed at granting continuity of care from the hospital to the house, extending and empowering family-centered care for VPT infants' parents.

From a translational neuroscience perspective, the study will also offer an unprecedented opportunity to obtain first-hand dyadic neurophysiological target outcomes of well-validated early familycentered VF intervention. While the road to developing qualitative and quantitative measures of the effectiveness and efficacy of such family-centered interventions is yet to be fully implemented, the integration of behavioral, self-report, and neurobiological markers is promising for future advances.

Patient and public involvement

Active engagement of families will be pursued through publicdedicated web/social communications, digital content, and newsletters describing the achieved goals and implications of the study. Parental associations and professional orders will be engaged through online webinars to capitalize on the data obtained from the present study to further fuel a culture of family-centered care for preterm infants and their parents.

Ethics and dissemination

Ethics, privacy, and data management

The Ethics Committee Pavia and collaborating partners granted approval for the study on 16 February 2023 (protocol number: 0008588/23) and officially launched on 24 April 2023 (GR-2021-12375213). All procedures align with the ethical principles outlined in the Declaration of Helsinki for research involving human subjects, ensuring no harm to participants. The study intervention offers additional opportunities for families without altering standard mother–infant care programs. Infants will undergo planned diagnostic and therapeutic interventions at the child neurology and psychiatric unit IRCCS Mondino Foundation, Pavia, Italy, and the Scientific Institute IRCCS *E. Medea*, Bosisio Parini, Italy.

Data management will occur in accordance with the General Data Protection Regulation (Regulation 2016/279, commonly known as GDPR), to guarantee the privacy and the security of the gathered data. In this sense, all the infants' parents will sign an informed consent module after that the study's aims and modalities will be clearly explained and eventual doubts will be solved. Each subject will be assigned a code, and data will be stored in a pseudonymized form. After the period of conservation (25 years), data will be made completely anonymous. In line with the open science principle, the anonymized data collected for the study will be published on a publicly accessible "repository" (i.e., Zenodo) to promote the dissemination of research results with a view to furthering the research itself and the scientific community.

Dissemination

The dissemination strategy involves presenting findings at national and international scientific meetings, publishing in developmental psychology journals, and engaging in outreach activities with families and healthcare specialists. This aims to promote early family-centered intervention and share insights with the wider public.

Ethics statement

The studies involving humans were approved by Comitato Etico, Policlinico San Matteo, Pavia (Italy). The studies were conducted in accordance with the local legislation and institutional requirements. Written informed consent for participation in this study was provided by the participants' legal guardians/next of kin.

Author contributions

LB: Methodology, Supervision, Writing – original draft, Writing – review & editing. VR: Conceptualization, Methodology, Supervision, Writing – original draft, Writing – review & editing. EC: Writing – original draft, Writing – review & editing. SG: Writing – original draft, Writing – review & editing. MP: Writing – original draft, Writing – review & editing. MC: Writing – original draft, Writing – review & editing. MC: Writing – original draft, Writing – review & editing. ES: Writing – original draft, Writing – review & editing. ES: Writing – original draft, Writing – RB: Writing – original draft, Writing – review & editing. RB: Writing – original draft, Writing – review & editing. LP: Conceptualization, Funding acquisition, Methodology, Resources, Supervision, Validation, Visualization, Writing – original draft, Writing – review & editing.

Funding

The author(s) declare that financial support was received for the research, authorship, and/or publication of this article. This study was supported by a competitive grant fund from the Ministry of Health to author LP (Ricerca Finalizzata 2021, GR-2021-12375213).

Acknowledgments

The authors are thankful to the following project collaborators: Giacomo Cremaschi, Silvia D'Alfonso, Sara De Monti, Stefano Ghirardello, Yaren Gunay, Giulia Libardo, Cecilia Naboni, Giacomo Novembre, Simona Orcesi, Camilla Pisoni, and Elise Torterolo.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The author LP declared that he was an editorial board member of Frontiers, at the time of submission. This had no impact on the peer review process and the final decision.

Generative AI statement

The author(s) declare that no Gen AI was used in the creation of this manuscript.

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