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SPECIALTY SECTION

This article was submitted to
Autism,
a section of the journal
Frontiers in Psychiatry

RECEIVED 24 April 2022

ACCEPTED 04 July 2022

PUBLISHED 22 July 2022

CITATION

Einziger T and Berger A (2022)
Individual differences in sensitivity
to positive home environment among
children "at risk"
for attention-deficit/hyperactivity
disorder: A review.
Front. Psychiatry 13:927411.
doi: 10.3389/fpsy.2022.927411

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Individual differences in sensitivity to positive home environment among children "at risk" for attention-deficit/hyperactivity disorder: A review

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Although the evidence for the genetic basis of attention-deficit/hyperactivity disorder (ADHD) is strong, environmental factors, such as the quality of parenting or the home environment, may moderate such genetic liability. The plausible negative effect of a low-quality home environment and negative parenting on child outcomes is well-established; however, the positive effect of a high-quality environment and positive parenting remained largely uninvestigated. Due to the presence of genetic, temperamental, or physiological factors, children who were traditionally considered *at-risk* for ADHD may be more sensitive to aspects of their environment compared to children who are not at such *risk*. Therefore, they would be more affected by their environmental experience, either for good or bad. Under supportive environmental conditions, such *at-risk* individuals might actually outperform their non-vulnerable peers, suggesting that these individual factors might be considered susceptibility factors rather than risk factors. Little is known regarding the positive effect of the environment in the ADHD literature, but it has been demonstrated in cognitive functions that are closely associated with ADHD, such as executive functions (EF). We review this literature and examine the extant empirical support for sensitivity to aspects of the home environment and parenting in the case of ADHD and EF. Moreover, we review factors that could help identify the specific aspects of the home environment and parenting that these children might be more susceptible to. Such knowledge could be valuable when designing preventive interventions and identifying those children that are especially sensitive and could benefit from such interventions. Recommendations for future studies are discussed as well.

KEYWORDS

ADHD, sensitivity to the environment, differential susceptibility, vantage sensitivity, executive functions

Introduction

Attention-deficit/hyperactivity disorder (ADHD) is a chronic neurodevelopmental disorder, among the most commonly diagnosed disorders during childhood, with an estimated worldwide prevalence of 5.9% of school-age children (1, 2) and about 2.8% of adults (3, 4). The etiology of ADHD is complex; it involves multiple factors (5, 6) that can be represented on a risk-protection continuum (7, 8) and arise from the individual himself (e.g., genetic and temperamental tendencies), the family (e.g., aspects of parenting and the home environment), and the community (7).

The heritability of ADHD was estimated to be as high as 70–80%, and it is considered to have a substantial genetic component (5, 9). Despite such high heritability, not all children who are at genetic risk for ADHD will eventually manifest the disorder's symptoms. There is an important role of the environment, which is reflected in its plausible ability to strengthen or attenuate the genetic predisposition of ADHD (10, 11). It has been suggested that some of the genetic/temperamental risk factors of ADHD could actually reflect individual differences in sensitivity to the environment, meaning that some individuals are more sensitive to their home environment than others, and that this sensitivity can be related to their developmental outcomes (12–15). For example, individuals at such *risk*, who also experience a low-quality home environment, would be at higher risk to manifest the disorder's symptoms, compared to individuals who are not at such *risk* (14, 16). However, individuals at *risk* who experience a very supportive environment might disproportionately benefit from this experience, and even, for certain developmental outcomes, outperform their peers who are not at such *risk* (12, 17–22). Therefore, these individual factors have been suggested to be *susceptibility* factors, rather than simply *risk* factors (23).

Understanding how sensitivity to the environment might influence the development of children is very important, especially in those cases when children could be predisposed to maladaptive outcomes in the future, such as ADHD and other developmental difficulties. In this review, we discuss the plausible role of sensitivity to the environment in the developmental pathways leading to ADHD. Specifically, we focus on the potentially positive role of parenting and home environment to shift developmental pathways of children who are *at-risk* for ADHD toward more adaptive development outcomes.

We refer to the sources of risk for ADHD as follows: a. a child's individual factors (including genetic and temperamental tendencies), and b. environmental factors (including parenting and home environment), for which the involvement in ADHD might be moderated by the child's individual factors. Following the literature, we also use the term “familial risk for ADHD,” which refers to the existence of parental ADHD symptoms,

which might increase the risk both *via* the genetic heritage and *via* the family home environment (16).

This review consists of four sections. In Section “Introduction,” we discuss the general idea of sensitivity to the environment and review empirical evidence supporting this idea regarding general developmental outcomes. In Section “Sensitivity to environmental factors in attention-deficit/hyperactivity disorder,” we discuss sensitivity to the environment in the case of ADHD. Specifically, we review individual factors (i.e., genetic and temperamental factors) that were found to be related to ADHD and have been traditionally referred to as risk factors for ADHD. We then review evidence suggesting their role as susceptibility factors that moderate the effects of the environment on ADHD symptomatology. In Section “The role of sensitivity to the environment in the development of executive functions,” we discuss the possibility that the relation between *risk* factors for ADHD and the phenotypic manifestation of ADHD symptoms might be indirect, through the development of deficits in cognitive domains. We focus on deficits in EF as an example of such an indirect relation (although deficits in other domains might also be involved in the developmental pathways of ADHD). Finally, in Section “Discussion,” we discuss the general implications of sensitivity to the environment in the context of ADHD, the current limitations in the extant research in this field, and discuss future research directions.

Individual differences in sensitivity to the environment

The general idea that children vary in their sensitivity to environmental settings or parenting practices is a cornerstone in the field of developmental psychology. This idea has been largely supported by empirical evidence that repeatedly demonstrated interactions between child characteristics and their rearing environment, in the prediction of a wide range of developmental outcomes, such as externalizing behaviors, substance abuse, internalizing problems, disorganized attachment, prosocial behaviors, self-regulation, and more (24–32).

Interactions between child characteristics and aspects of the rearing environment have been mainly interpreted according to several theoretical frameworks, including the diathesis-stress model (33), the differential susceptibility model (23), and the vantage sensitivity model (34). The basic assumption underlying all these models is that some individuals are more sensitive to environmental input than others; this sensitivity is based on genetic, temperamental, or physiological factors (23, 33). The difference between these models lies in the specific range that each model refers to on the scale from a poor rearing environment to a supportive and enriching environment.

According to the diathesis-stress model (33), some individuals are more vulnerable to risks in their environment, such as harsh circumstances, poor-quality home environment, and parenting. The vulnerability factor (i.e., diathesis) is not expressed by itself; rather, it is expressed in combination with poor environmental experience (i.e., stress) and creates a “double risk” for the child to develop a maladaptive outcome, such as psychopathology.

However, an environment can also be positive and supportive. The differential susceptibility model (23) proposes that individual differences can also be found in the sensitivity to plausible positive environmental influences. Therefore, according to this framework, risk or vulnerability factors can be referred to as susceptibility factors. Susceptible individuals would be more affected by negative environmental experiences (similar to the prediction of the diathesis-stress model) but also benefit disproportionately from positive environmental experiences, compared to their non-susceptible peers. Namely, the same characteristics that make them vulnerable to poor environmental experience, also make them benefit from a positive environmental experience; therefore, under a supportive environment, they would outperform their non-susceptible peers. Thus, such susceptibility factors make them more sensitive to their environment, “for better and for worse” (23, 26, 27).

Following the differential susceptibility model, the vantage sensitivity model (34) was developed to describe the tendency of some individuals to benefit more than others from positive environmental experiences. According to this model, some individuals may be more susceptible to enrichment and support in their environment, but they do not show such sensitivity to the negative aspects of their environment. This means that these individuals are more responsive to environmental enrichment, but they are also resilient and protected from adversity (34). An illustration of the three theoretical models is presented in [Figure 1](#).

Pluess (35) suggested an integrated model, in which the different types of sensitivity to the environment can be viewed as part of a single framework. Evidence that shows that the same individual’s factors (e.g., gene variations) predict both sensitivities to negative and positive aspects of the environment, may imply that the different models reflect the same propensity for sensitivity to the environment. However, the difference between these types of sensitivities (i.e., to a negative environment, a positive environment, or both) is shaped both by the presence of susceptibility factors and by early life experiences (36, 37). According to this view, individuals who display a predisposition for sensitivity to the environment may eventually develop a biased sensitivity toward negative experience (i.e., diathesis-stress) or support (i.e., vantage sensitivity), according to their early development experiences. In this sense, in an early adverse environment, this sensitivity would develop into a biased sensitivity toward

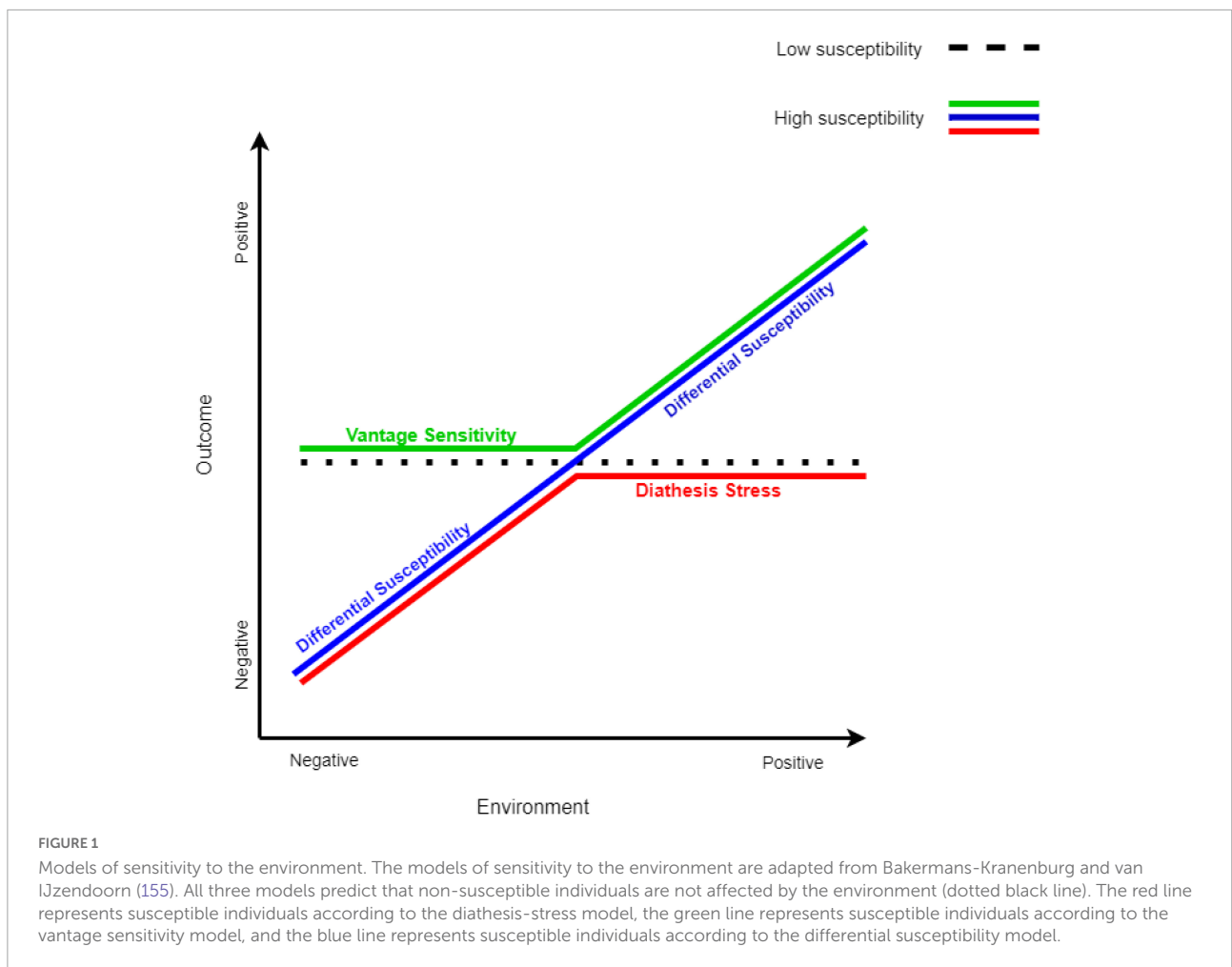
negative environmental aspects (i.e., diathesis-stress); in a supportive environment, this sensitivity would develop into a biased sensitivity toward positive environmental aspects (i.e., vantage sensitivity); and in an early neutral environment, this sensitivity would develop into a sensitivity to both negative and positive environmental aspects (i.e., differential susceptibility) [for an elaboration of this integrated model, see (35)].

From an empirical point of view, one of the main difficulties in distinguishing between these models is that their empirical testing is heavily dependent on the specific range of the environmental quality that was considered (30, 34), as not all environmental aspects range from the negative to the positive side (34). For example, some negative environmental experiences range from adversity (e.g., poverty or low family income) to the absence of adversity (19, 38); however, the absence of adversity does not necessarily mean a supportive and enriching environment. Another example is inconsistent parenting, an aspect of parenting that has been studied in relation to ADHD (14). While it is acceptable that inconsistent parenting lies on the negative side of the parenting practice range, it is much less clear that consistent parenting lies on the positive range (as it can be consistent, but still negative). Likewise, positive environmental experiences often range from the positive to the absence of the positive, for example, psychological intervention vs. no intervention (34), but the absence of the positive factor does not necessarily mean a poor-quality environment.

As mentioned above, in this review, we focus on the role of sensitivity to parenting and aspects of the home environment in the development of ADHD. Sensitivity to negative aspects of the home environment, as reflected in the diathesis-stress model, has already been reviewed elsewhere (39) and therefore will only be briefly discussed here. We focus on studies that tested the positive role of the home environment or parenting on the development of ADHD and used rather a full range of the environment (i.e., negative to positive) or focused on the positive side of the range. Therefore, the review mainly discusses the differential susceptibility and vantage sensitivity models.

Empirical evidence for sensitivity to environmental factors on general developmental outcomes

Despite the range limitations described above, several meta-analyses included studies that used varied susceptibility factors (i.e., one or more genes, different aspects of temperament), a variety of environmental aspects (that together reflect a full range from negative to positive environments), and a large range of outcomes, supported the idea of sensitivity to the environment, and specifically suggested a pattern of differential susceptibility; meaning that sensitivity to the environment was demonstrated for better and for worse



(25, 30, 31). For example, two meta-analyses on gene-by-environment interactions demonstrated how the environmental experience was moderated by the 5-HTTLPR gene (31) and by dopamine-related genes (i.e., DRD2, DRD4, DAT) (24), in predicting several developmental outcomes (e.g., externalizing behaviors, disorganized attachment, prosocial behaviors, etc.). Children with the short allele of the 5-HTTLPR and children with less efficient dopamine-related genes (i.e., both suggested as susceptibility genes) had negative outcomes under negative environments, but they also profited under positive environments (25, 31). Similarly, Slagt et al.’s meta-analysis (which included 84 longitudinal studies, involving more than 6,000 children up to 18 years old) showed that children with more difficult temperaments were more sensitive to both negative and positive aspects of parenting, which was expressed in externalizing and internalizing problems as well as social and cognitive competence (30).

More recent findings also supported sensitivity to the environment in predicting internalizing and externalizing problems (32, 40, 41), and suggested sensitivity only to negative aspects of the environment (40, 41) or sensitivity

to both negative and positive aspects of the environment (32). For example, in Jaekel et al.’s study, individuals with early regulation problems (i.e., persistent crying, feeding, and sleeping problems) in infancy were more sensitive to insensitive parenting (measured at age 6 years), which was reflected in attention regulation at age 8 years and internalizing behaviors at age 28 years. Such sensitivity was not found for the positive range of maternal sensitivity, and therefore, results supported a diathesis-stress model (40). In contrast, in Windhorst et al.’s study, a pattern of differential susceptibility was found when the effect of early maternal sensitivity (measure at 14 months of age) on later externalizing behavior was found, for better and for worse, among children carrying the 7-repeat allele of the DRD4 (32).

Such inconsistency in the pattern of sensitivity to the environment between the results of Jaekel et al. (40) and Windhorst et al. (32) may be explained by the age of assessment (i.e., in this case, maternal sensitivity that was assessed at 6 years and 14 months, respectively). It has been suggested that susceptibility to the environment is mainly evident early

in childhood, and less so in later developmental periods (30, 42). Indeed, in a study that tested interactions between aspects of parenting and personality traits among adolescents, no evidence was found for sensitivity to the environment during adolescence (42). Also, in Slagt et al.'s meta-analysis, sensitivity to the environment was only found when child temperament (i.e., negative emotionality) was assessed during infancy; possibly because of the rapid development in this developmental period (30).

Sensitivity to environmental factors in attention-deficit/hyperactivity disorder

Why is it important to study sensitivity to the environment in the case of attention-deficit/hyperactivity disorder?

As detailed above, the concept of sensitivity to the environment has been well-demonstrated in relation to several aspects of child adjustment, including externalizing and internalizing disorders (25, 30, 31, 40, 41). However, ADHD has unique behavioral and cognitive aspects that may differentiate them from these disorders, and the role of sensitivity to the environment in the developmental pathways of ADHD is not fully known yet.

ADHD is one of the most common behavioral disorders in childhood (1), and it has high persistence rates throughout the life span (43–45). It is related to multiple negative long-term outcomes; for example, greater risk has been reported for poor academic performance (46, 47), difficulties in employment (48), and several psychosocial problems, such as poor social skills, low self-esteem, and emotional dysregulation (40, 49–53). It is also associated with risk-taking behaviors in several life domains (54), such as substance abuse (55, 56), criminal behavior (57, 58), driving risks (59), and gambling (60).

Individuals with ADHD are also at risk for secondary mental health problems; indeed, comorbidities with other psychopathologies are frequent, especially externalization problems such as oppositional defiant disorder (ODD) and conduct disorder, internalizing problems such as mood and anxiety disorders, and specific learning disorders (44, 45, 61, 62). Researchers have suggested that some of these serious conditions, such as depression and substance abuse, are causally explained by an earlier onset of ADHD (63, 64). From an economic perspective, and beyond the substantial personal suffering, ADHD has also significant societal costs which burden healthcare services (65, 66).

All these aspects emphasize the importance of early detection of children at risk to develop ADHD and early implementation of intervention programs. Potentially, such programs could moderate the developmental course of ADHD and lead to a decrease in the likelihood of ADHD diagnosis and persistence rates, as well as diminishing or even preventing long-term outcomes that are associated with ADHD. An early preventive approach is important because serious difficulties and negative outcomes may persist despite the decline of symptomatology in adolescence and adulthood (67). The design of such intervention programs requires a vast understanding of the protective factors that may increase the child's resilience against his initial familial/genetic risk. Therefore, the idea of sensitivity to the environment may have important implications for the implementation of early interventions. Understanding which children may show increased sensitivity to which specific home environmental or parenting aspect could help in tailoring the intervention to aspects that the child may particularly benefit from. Interventions might be most beneficial when implemented in early childhood because brain plasticity may be greatest during the early phases of development (68, 69); therefore, environmental sensitivity may be enhanced among young children. Furthermore, intervention can be implemented before any behavioral patterns become strong habits and before negative experiences associated with school failure occur (70).

"Risk" or susceptibility factors of attention-deficit/hyperactivity disorder

In this section, we discuss individual factors (i.e., genetic and temperamental factors) that have been traditionally referred to as risk factors for ADHD (71, 72); evidence for their potential role as susceptibility factors in the development of ADHD is discussed in section "Empirical evidence for sensitivity to the environment in attention-deficit/hyperactivity disorder."

Multiple genetic factors and their interactions with environmental factors play a substantial role in the etiology of ADHD (5, 6, 73). Early molecular genetic studies have mainly focused on genes in catecholaminergic pathways because this is the target of the most effective drug treatments for the disorder (methylphenidate and amphetamine) (71). These studies examined the DNA variants of candidate genes and found evidence for a small number of potential genes that have been consistently associated with ADHD across studies, such as the D4 dopamine receptor gene (DRD4), the dopamine transporter gene (DAT1), and the serotonin transporter gene (5HTTLPR) (71, 72, 74, 75). Specifically, the DRD4 polymorphism has a 48-bp repeat sequence in exon III. The 2-, 4-, and 7-repeat alleles are the most frequent variation, and the 7-repeat allele (7-DRD4) has been specifically suggested to be a marker of risk for ADHD (71, 76). The DAT1 polymorphism has a 40 bp repeat located in the 3' untranslated region of the gene. The two most

prevalent allelic variants are the 9-repeat and the 10-repeat. The 10-repeat variation has consistently been found to be associated with ADHD (71, 74, 77). The 5HTTLPR is a degenerate repeat polymorphic region in the SLC6A4, it comprises a short (s) and a long (l) allele. Children carrying the short allele, and particularly those who are homozygous for this allele (s/s), are considered at risk for ADHD (78, 79). However, this genetic risk tended to have small effect sizes in predicting ADHD, and it was also associated with increased risk for other psychopathologies and therefore not specific to ADHD (72, 75).

A more advanced approach was used by genome-wide association studies, which scan the entire genome. Such studies showed that the genetic risk for ADHD is due to the polygenic effects of numerous common and rare DNA variants. Each variant explained a very small proportion of the variance of ADHD and their combination increases the risk for ADHD; together these variants explained approximately one-third of ADHD heritability (6, 80). The polygenic risk for ADHD was found to be related to sub-threshold levels of ADHD in the general population (80, 81), but also to other psychiatric disorders (82).

Another individual risk factor that has been studied in relation to ADHD is child temperament. Temperament refers to innate individual differences in behavioral tendencies and style, which appear early in life and remain relatively stable during development (83, 84); it is considered to be the core root for the development of a child's personality (85). According to Rothbart's conceptualization, temperament is defined as constitutionally based individual differences in two higher-order domains: reactivity and self-regulation (84). The reactivity domain emerges as early as the first months of life and refers to levels of bottom-up responsivity to changes in the environment and levels of arousal in affect, motor, and automatic responses (including aspects such as activity level, impulsivity, negative affectivity, and sociability). The self-regulation domain, also referred to as effortful control (EC), emerges later in childhood and includes more deliberate top-down processes that can affect and moderate the reactivity domain; it depends on the development of executive aspects of attention and includes aspects of attentional focusing, attentional shifting, and inhibitory control (84–87).

Researchers have suggested that at extreme levels, certain temperament dimensions may act as markers of risk for ADHD (88–90). In general, aspects of higher reactivity and lower self-regulation are related to ADHD symptomatology among children (88, 91–98). Specifically, children with ADHD symptomatology demonstrated higher levels of negative affectivity (especially anger) than control participants (91, 95, 99), higher levels of surgency and especially motor activity (90–92, 100, 101), and lower levels of EC (91–95, 101, 102).

Importantly, genetic risk for ADHD and aspects of child temperament are not exclusive risk factors. For example, Martel et al.'s have recently found a mediational pathway

from polygenic risk for ADHD, through temperamental EC, to inattention symptoms among adolescents (103). However, in most cases, studies use either genetic risk factors or temperamental risk factors; therefore, these factors were studied as separate markers of sensitivity to the environment.

Empirical evidence for sensitivity to the environment in attention-deficit/hyperactivity disorder

Environmental factors were estimated to account for 10–40% of the variance of ADHD through their direct effect or gene-environment interactions (104). The environmental factors that were found to be associated with ADHD include, for example, prenatal factors, pregnancy and delivery complications, low birth weight, exposure to toxins (e.g., lead), and aspects of the home environment, such as low socioeconomic status (SES) and psychological adversity (104–106). Environmental factors may modify the expression of the genetic/biological liability on child outcomes and bring the disorder to fruition (10). A review on $G \times E$ interactions in ADHD suggested that evidence for such interactions, involving psychosocial factors such as aspects of the home environment, were more replicable compared to interactions involving prenatal and perinatal factors (107). Indeed, aspects of parenting and home environment may have an important role in shaping developmental pathways leading to the onset, persistence, or decline of ADHD symptoms throughout development (11); and these aspects can be changed and modified as part of an intervention program such as parent training.

It should also be considered that child ADHD may be bi-directionally related to the family environment (108–110). For example, in a longitudinal cross-lagged design, greater child ADHD symptoms were found to predict greater maternal life stress, maternal depressive symptoms, and lower warmth; and greater maternal life stress and overreactive parenting predicted greater child symptoms (108). Indeed, there is evidence to support both child effects on parenting (109–112) and the parenting/home environment effect on the child (113–116). Therefore, early detection of susceptible children and targeting specific aspects of parenting and home environment, that these children may be especially sensitive to, may help to prevent the negative cycle that child behavior adversely affects the parents, and the parents' behavior negatively affects the child.

The existence of sensitivity to aspects of parenting and home environment in ADHD has been supported by several studies including studies that used the candidate genes approach (12–14, 117–120), a few studies that examined temperament-by-parenting interactions (15, 22, 96, 101), and a single study that examined the familial risk for ADHD according to maternal ADHD symptoms (16). In the next sections, we briefly describe more traditional evidence that supported a sensitivity

to negative aspects of the home environment and then discuss more recent evidence that examined the plausible positive effect of parenting and the home environment.

Sensitivity to negative aspects of the home environment

The negative effect of aspects of the parenting and home environment on children at risk for ADHD has been supported by several studies that used environmental aspects such as low SES, low parental education, and psychological adversity, supporting a diathesis-stress model (39, 118–121). A detailed review of these studies can be found in Pennington et al.'s review (39), which focused on the diathesis-stress effect in ADHD. Evidence for the diathesis-stress model was also found in more recent studies that were not included in Pennington's review (14, 16, 122). For example, Martel et al. found that susceptible children (children homozygous for the 7-repeat allele of the DRD4 gene) showed a higher rating of ADHD and ODD symptoms, but only in the presence of inconsistent parenting. Under consistent parenting, susceptible children did not differ from non-susceptible children; their results supported a diathesis-stress model (14). Another support for a diathesis-stress model was found in Auerbach et al.'s longitudinal study. They found that familial risk for ADHD (according to maternal hyperactivity/impulsivity symptoms) predicted higher levels of child hyperactivity/impulsivity symptoms in elementary school age, but only in a negative home atmosphere (that was characterized by high levels of conflict and chaos). In a less negative environment, this familial risk did not predict child symptoms (16).

Although all the findings in this section supported the diathesis-stress model (14, 16, 118–122), it should be noted that the range of environmental variables did not cover the full range from negative to positive experience, but rather from negative to perhaps neutral, or less negative environment; for example, aspects of adversity vs. the absence of adversity (118–122), inconsistent vs. consistent parenting (14) and negative home atmosphere vs. less negative home atmosphere (16). As these studies did not necessarily include a more positive and enriching side of parenting or the home environment, the plausible positive role of the environment remained unclear. Still, despite the restricted environmental range, these findings support the general idea of sensitivity to the environment in ADHD.

Sensitivity to positive aspects of the home environment

Much less is known regarding such sensitivity to positive aspects of the environment in the case of ADHD. In this section, we discuss evidence from studies that tested a broader range of the home environment or tested the plausible role of positive parenting or home environment on the development of ADHD. An overview of these studies can be found in [Table 1](#).

Elmore et al.'s found that the 5HTTLPR genotype moderated the relation between positive and negative aspects of the home environment (i.e., cohesion/conflict, respectively) and inattention symptoms. Specifically, susceptible youth (i.e., s/s homozygotes) who experienced high cohesion showed decreased inattention symptoms, compared to non-susceptible youth; but, susceptible youth who experienced high conflict showed increased inattention symptoms, supporting a differential susceptibility pattern (13).

In a study that was conducted on a sample of preschoolers who were not raised by their biological parents, consistent findings regarding the positive effects of caregiving on the development of ADHD symptoms in children emerged. Susceptible children according to their 5HTTLPR genotype (i.e., s/s homozygotes) who experienced less intrusive caregiving demonstrated fewer ADHD symptoms, even after controlling for age at placement or duration of institutionalization, but those susceptible children who experienced more intrusive caregiving demonstrated the highest ADHD symptoms. This pattern was consistent with a differential susceptibility model (12), although it should be noted that the environmental measure did not cover a full range of negative to positive environments (i.e., less intrusive caregiving does not necessarily reflect positive parenting).

General support for sensitivity to the environment was also found in Li and Lee's study; observed parenting behaviors, and specifically praises (an aspect of positive parenting), were associated with increased ADHD only among children who carried the 9/10 allele of the DAT1. Negative parenting behaviors were positively associated with ADHD only among children who carried the 9/9 allele (117). These results suggest that different children show differences in their sensitivity to aspects of positive and negative parenting, but they are not in line with either of the mentioned theoretical models (i.e., diathesis-stress, differential susceptibility, vantage sensitivity). Furthermore, they do not show that susceptible children may benefit from the positive environmental experience, but rather children who were exposed to positive aspects of parenting (i.e., praise) showed increased symptomatology. In another study by Li and Lee, susceptibility was measured by the monoamine oxidase A (MAO-A) genotype.¹ Li and Lee used a sample of 6–9 year-old-boys and found that negative aspects of parenting predicted child inattention symptoms, but only among boys with high-activity MAO-A genotype,

1 This candidate gene is an X-linked gene, located on Xp11.23-11.4; it encodes enzymes that are involved in the metabolism of dopamine, serotonin, and norepinephrine, which are thought to play a role in ADHD (75). Previous studies have focused on the functional 30 base-pair (bp) number of tandem repeat (VNTR) polymorphism; this polymorphism consists of alleles of 2, 3, 3.5, 4, and 5 copies; the 2- and 3-repeat alleles are considered to indicate low activity, and the 3.5- and 4-repeat alleles are considered to indicate high activity (123).

TABLE 1 An overview of studies that tested sensitivity to positive aspects of the environment in ADHD.

Study	Age of participants	Susceptibility factor	Environmental factor	Range of environmental factor	Outcome	Theoretical model that was supported	Comments
Li and Lee (123)	6–9 years	MAO-A genotype	Negative and positive parenting	Full range (negative to positive)	ADHD symptoms	Negative parenting by genotype interaction was found, but the specific pattern was not tested	Results were specific to inattention symptoms
Li and Lee (117)	6–9 years	DAT1 genotype	Observed parenting behavior (negativity and praise)	Full range (negative to positive)	ADHD symptoms	Significant interactions were found between genotype and parenting, but neither model was fully supported	
Elmore et al. (13)	6–17 years	5-HTTLPR	Family conflict and cohesion	Full range (negative to positive)	ADHD symptoms	Differential susceptibility	Results were specific to inattention symptoms
Baptista et al. (12)	36–77 months	5-HTTLPR	Caregiver intrusiveness	Only negative range	ADHD symptoms	Differential susceptibility	
Xing et al. (22)	14–22 months	Temperamental reactivity	Mother personality (and specifically conscientiousness)	Full range (negative to positive)	Impulsivity	Differential susceptibility	ADHD symptoms were not fully assessed
Miller et al. (96)	7–9 years	Motor activity and positive affect	Maternal caregiving behaviors	Full range (negative to positive)	ADHD symptoms	A parenting by temperament interaction was found, but the specific pattern was not tested	
Rioux et al. (15)	4–7 years	Inhibitory control	Positive parenting	Only positive range	ADHD symptoms	Vantage sensitivity	
Hare and Graziano (101)	5 years	Effortful control	Inconsistent discipline	Only negative range	ADHD symptoms (pre-treatment)	Vantage sensitivity	Results were specific to hyperactivity-impulsivity

supporting sensitivity to negative aspects of the environment. However, this genotype did not moderate the association between positive parenting and child symptomatology (123). Therefore, no evidence for sensitivity to positive aspects of parenting was found.

Aspects of temperamental reactivity (22, 96), inhibitory control (15), and effortful control (101) were also found to indicate susceptibility to the environment. In Xing et al.'s study, infant temperamental reactivity was found to moderate the effect of maternal conscientiousness on infants' impulsivity.² Specifically, high reactive children whose mothers had high levels of conscientiousness showed the lowest levels of impulsivity, even compared to low reactive children. However, high reactive children whose mothers had lower conscientiousness showed the highest levels of impulsivity, suggesting a pattern of differential susceptibility (22).

² In this study, other ADHD symptom domains were not assessed.

In Miller et al.'s longitudinal study, an interesting sex-specific pattern of temperament-by-parenting interaction was found. For girls, temperamental aspects of positive affect and motor activity, measured at 4 months of age, longitudinally predicted lower ADHD symptoms at 7 and 9 years, but only among those who experienced a high quality of maternal caregiving behaviors. For girls who experienced lower quality maternal caregiving behaviors, positive affect predicted higher ADHD symptoms. This may indicate a sensitivity to both positive and negative aspects of parenting. For boys, infant motor activity at 4 months of age predicted higher ADHD symptoms at 7 and 9 years, but only among those who experienced lower quality of maternal caregiving behaviors, which may indicate such sensitivity, but only to negative aspects of parenting (96). It should be noted that this study did not include a direct statistical test of diathesis-stress or differential susceptibility; however, the moderating role of maternal caregiving behaviors on the longitudinal relation between temperamental factors and the later manifestation

of symptoms of ADHD may still indicate sensitivity to the environment among temperamentally susceptible children. It also emphasizes the importance of testing gender-specificity in such interactions.

Another evidence of sensitivity to the environment was found in the study of Rioux et al.; positive parenting practice predicted lower levels of ADHD symptoms at 7 years of age, but only among children with high inhibitory control. Children with lower levels of inhibitory control showed higher levels of ADHD symptoms, regardless of the level of positive parenting. These results supported a vantage sensitivity pattern because some children showed increased sensitivity to positive aspects of parenting exclusively (15). A similar pattern of vantage sensitivity was also found in Hare and Graziano's study, that is, an interaction between effortful control and inconsistent discipline was found; children with high effortful control who also experienced low levels of inconsistent discipline, showed lower levels of hyperactivity-impulsivity, meaning that the protective effect of high effortful control only occurred when combined with this environmental aspect (101), although, as discussed, this does not necessarily reflect a positive environment.

It should be noticed that in both the study of Rioux et al. (15) and of Hare and Graziano (101), sensitivity to the environment was found among those who are considered at lower *risk* for ADHD (i.e., high on inhibitory control/effortful control); whereas children at high *risk* are those who are usually found to be more susceptible to their environment. However, temperamental susceptibility in both studies was based only on one domain, and since not all individuals with ADHD show deficits in this area (124), it is unclear whether this high inhibitory control/effortful control group was more sensitive to their environment due to other temperamental aspects. It still raises an interesting possibility that for some environmental aspects, children who seem to be more resilient may be those who benefit more from a positive environment.

Interim summary

To summarize so far, there are some empirical indications of sensitivity to the environment in the case of ADHD, but the specific pattern of interaction was found to vary between the studies that looked at different environmental aspects. Some studies found evidence for a diathesis-stress pattern (14, 16, 39, 118, 120, 122); meaning that susceptible individuals were only sensitive to the negative aspects of the environment. Although, as noted, most of these studies focused on a negative-neutral range of the environmental aspect and did not include aspects of positive parenting or home environment. Other studies found evidence of differential susceptibility in predicting ADHD symptomatology, meaning that susceptible individuals were

more sensitive to both negative and positive aspects of the environment (12, 13); such a pattern was also found in a study that examined impulsivity behaviors, which are considered a main symptom domain of ADHD, but ADHD symptoms were not fully assessed (22). Other studies found evidence of a vantage sensitivity pattern (15, 101), meaning that some individuals were sensitive only to positive, or less negative, aspects of the environment. Two studies found some indications of sensitivity to the environment, although their results did not entirely fit any of the patterns (117), or the specific pattern was not directly tested (96). One study that tested the effect of positive and negative parenting found evidence of sensitivity to the environment, but only to negative aspects of parenting (123).

Although all these studies have directly tested the outcome of ADHD symptoms [besides one study that focused solely on impulsivity (22)], it is important to consider that the behavioral manifestation of ADHD is very heterogenic, not only in terms of the specific expression of ADHD symptom domains (i.e., inattention and hyperactivity/impulsivity), associated comorbid problems, and long-term outcomes (10, 125, 126), but also in the level of cognitive deficits (127). Not all cognitive deficits are exhibited by all individuals with ADHD (128); different deficits are assumed to be involved in distinct developmental pathways leading to ADHD (129). Compared to the behavioral manifestation of ADHD symptoms, these cognitive deficits are assumed to be genetically less complex and therefore may be more strongly related to the disorder's etiological factors (10, 128, 130, 131).

Furthermore, examining the outcome of ADHD symptoms may not be ideal for fully understanding sensitivity to the environment because a negative developmental outcome of ADHD symptomatology is usually examined against children with low symptomatology, but the lack of symptoms does not necessarily imply a positive developmental outcome. Therefore, there is some sense in examining the effect of sensitivity to the environment also regarding cognitive functioning known to be involved in ADHD and that covers the full range from negative to positive outcomes. For example, deficits in aspects of executive functions (EF) have been extensively demonstrated in a subgroup of individuals with ADHD (132–134). EF is a multidimensional construct, which includes core neurocognitive processes, such as working memory, inhibition, attentional shifting, and planning (135, 136). Deficits in aspects of EF have been consistently demonstrated in individuals with ADHD, in both clinical and community samples (132–134). Deficits in EF are considered a liability for the underlined pathology of ADHD (128, 130, 137). Such a cognitive assessment of EF may more broadly cover the range from a negative development outcome (i.e., low cognitive functioning) to a positive development outcome (i.e., high cognitive functioning).

Therefore, it should be considered that there could be indirect paths, from the initial *risk* for ADHD, through the development of deficits in cognitive domains, and then to

the phenotypic manifestation of ADHD symptoms. For these reasons, it is important to examine the plausible role of sensitivity to positive aspects of the environment regarding cognitive outcomes, that may play a role in the development of ADHD, for example, aspects of EF. Testing the involvement of intermediates may also expand our understanding of how genetic or temperamental sensitivity works (138). In the next section, we briefly review evidence regarding sensitivity to the environment in the case of EF. As mentioned, we focused on deficits in EF to demonstrate the possibility of such an indirect developmental pathway. However, other cognitive and motivational factors might also play an intermediate role in the development of ADHD.

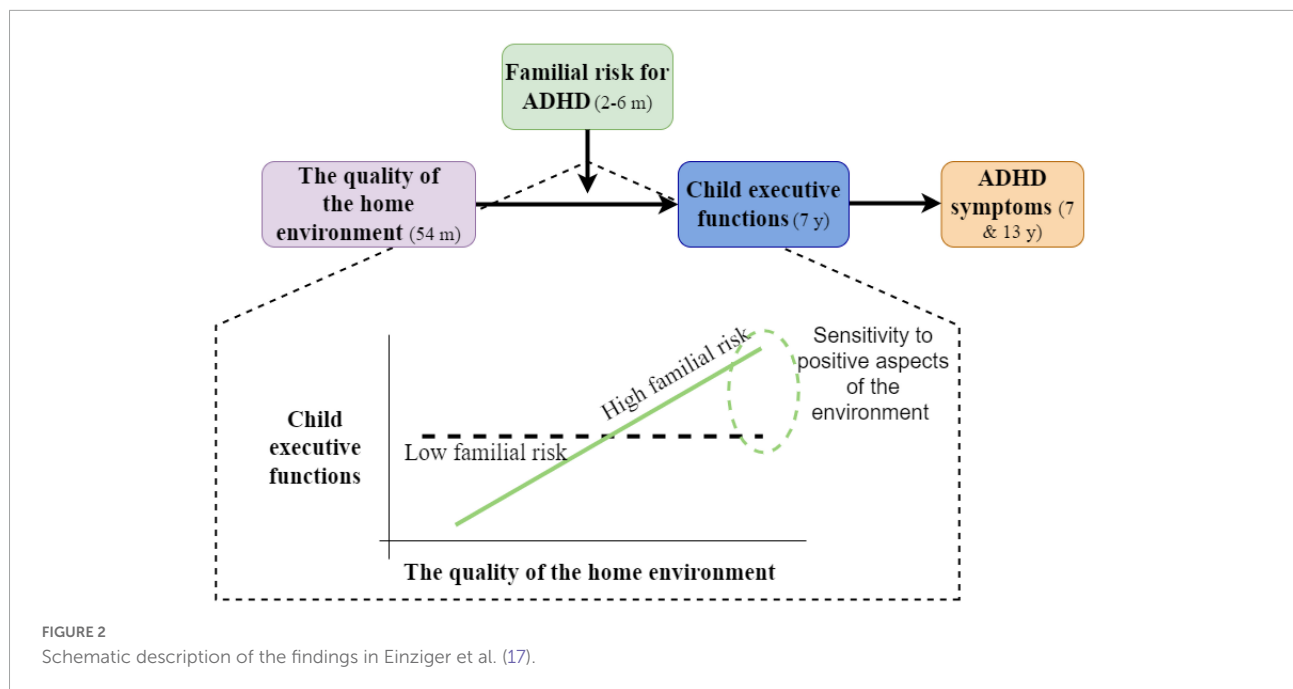
The role of sensitivity to the environment in the development of executive functions

An indirect relation between the initial familial risk for ADHD, through child EF, and the later manifestation of ADHD symptoms was recently demonstrated in Einziger et al.'s study (17). In this study, child familial risk was assessed by parental ADHD symptomatology, which was measured 2–6 months after their child's birth. Such risk is not entirely genetic because it might be the result of aspects of parenting and the home environment. Indeed, adults with ADHD show deficits in aspects of EF and emotional regulation (125, 139), which might interfere with the necessary skills for effective parenting, such as parenting control behaviors and emotional responsiveness (140–142). Einziger et al. used a longitudinal design that followed children from birth to adolescence, and found that familial risk for ADHD, based on both parents' ADHD symptomatology, interacted with the quality of the home environment in early childhood in predicting child cognitive functioning at elementary school age; this cognitive functioning, in turn, predicted adolescents ADHD symptomatology. Specifically, it was found that in a lower-quality home environment, children at high familial risk showed poor cognitive functioning (including low levels of EF) at elementary school age, but under a very supportive environment, they showed high cognitive functioning (including high levels of EF), outperforming their peers who were at low familial risk. This pattern of results suggested that such familial risk may act as a susceptibility factor, rather than a risk factor. When testing more specific domains of the home environment, a similar pattern of results was found for aspects of cognitive stimulation (i.e., an environment that is rich in cognitive stimulation includes the presence of adequate learning and language materials, and parental involvement to encourage the child to engage in enriching activities). However, the effect was not found

for aspects of emotional support (e.g., warmth, acceptance of the child, etc.), which suggested that this sensitivity to the environment may be domain-specific. Furthermore, child EF was involved in a developmental pathway leading to ADHD symptoms in elementary school and adolescence; it mediated the relation between the early risk for ADHD and the later manifestation of ADHD symptomatology (17). These findings suggest that sensitivity to the home environment in early childhood may be related to the later cognitive functioning of children; for children at familial risk for ADHD, a supportive home environment may be related not only to less negative outcomes but also to positive cognitive functioning, which may be then translated to lower ADHD symptomatology. See [Figure 2](#) for a schematic description of these findings.

There are some additional studies showing evidence regarding sensitivity to the environment in the development of aspects of EF (18–21, 38, 143, 144), although these studies did not examine the full path up to the emergence of ADHD symptoms. For example, Vratsidis et al. found evidence for a diathesis-stress pattern because children who carried susceptibility alleles (i.e., COMT, DAT1, DRD2, and DRD4) were more sensitive to maternal negative reactivity, showing poorer self-control; but these children did not show sensitivity to more positive aspects such as maternal responsiveness (144). Evidence for a differential susceptibility model has been demonstrated in several studies (18–21, 38, 143), while more susceptible children showed sensitivity to both negative and positive effects of the home environment or parenting, in the prediction of several aspects of EF. For example, Sour et al. found that child temperamental surgency moderated the relation between guided learning (i.e., a positive aspect of parenting in which parents assist their child to acquire cognitive skills in the context of problem-solving tasks) and EF; specifically, children who showed high surgency (i.e., high temperamental susceptibility), showed the lowest levels of EF under a low quality of guided learning but had higher EF under a high quality of guided learning. Such a relation was not found among children who showed low levels of surgency (i.e., low temperamental susceptibility) (21).

It should be noted that additional susceptibility factors involved in the development of EF that have been found in these mentioned studies include temperamental reactivity (38), physiological reactivity (19), difficult temperament (143), candidate genes such as the 5-HTTLRP, DAT1, DRD4 (20), and neonatal brain volume (18). All these studies suggested that children with susceptibility factors were more sensitive to both negative and positive aspects of the home environment or parenting; children showed poor EF under less optimal environments but outperformed their non-susceptible peers in supportive environments. Other environmental aspects that children showed sensitivity to,



for better and worst, were adversity and low family income (19, 38), maternal behaviors such as sensitivity and the quality of mother-infant interactions (18, 143), and peer affiliation (20).

Discussion

In this review, we presented the plausible role of sensitivity to the environment in the developmental course of ADHD; we mainly focused on studies that examined sensitivity to positive aspects of parenting and home environment in the development of ADHD and associated deficits in the EF domains. Studies that directly tested the outcome of ADHD symptoms provided evidence to support the general idea of sensitivity to the environment, but the specific pattern of sensitivity was inconsistent between studies. A more consistent pattern of differential susceptibility was found for cognitive functioning in the EF domain, which may be involved in the developmental pathways leading to ADHD.

As mentioned earlier, it should be taken into consideration that the outcome of ADHD symptomatology, compared to the outcome of EF, may not cover the full range from negative outcome to positive outcome (i.e., the lack of symptoms does not necessarily indicate a positive developmental outcome), therefore, it is more complex to test and interpret the specific sensitivity pattern (i.e., diathesis-stress, differential susceptibility, and vantage sensitivity) in the case of ADHD symptoms. Still, despite the different patterns, it seems plausible that sensitivity to the environment exists in the developmental pathways leading directly, or indirectly, through

cognitive deficits in the EF domain, to ADHD; and this could help identify children who could benefit most from early intervention programs.

The factors that were found to indicate sensitivity to the environment have been quite consistent across studies. Mainly, variations of candidate genes (e.g., DRD4, DAT1, 5-HTTLRP), aspects of temperament (especially reactivity, inhibitory control, effortful control), parental ADHD symptoms, and neonatal brain volume, were all found to moderate the relation between environmental factors and the developmental outcomes of ADHD and EF.

The parenting and home environment aspects tested in the reviewed papers demonstrated a variety of domains, including the mother's personality and caregiving behaviors (12, 18, 22, 96, 117, 143), familial conflict, hostility, and home chaos (13, 14, 16), aspects of cognitive stimulation or guided learning (17, 21), socioeconomic status (19, 38), and more. However, since each study focused on one or a small number of environmental factors (as well as susceptibility factors), the question of whether sensitive children are generally more sensitive to all environmental input (i.e., domain-generality) or only to specific environmental input (i.e., domain-specificity) remains open. This important question has been repeatedly raised in the literature (27, 34, 145) but has not been empirically tested until recently (146–149). Belsky et al. (146) found that different children were sensitive to the different environmental attributes of the childcare effect. Specifically, those who showed sensitivity to the effects of child-care quality were not necessarily sensitive to the effects of child-care quantity. Markovitch and Knafo-Noam (148) found that adolescents who perceived themselves

as highly sensitive to their parents were less likely to be sensitive to their peers; meaning that sensitivity to one environmental domain was not dependent on sensitivity to another domain. Based on this evidence, they suggested that sensitivity may not be a person-based trait (meaning that a person is more/less sensitive), but rather that this sensitivity may be domain-specific. Furthermore, Sayler et al. (149) found that children who were most and least sensitive to the quality of parenting, showed a similar level of sensitivity to their peers, implying domain-generalizability. However, this was not the case for all children; some children vary in their sensitivity to different environmental settings. Therefore, instead of just trying to identify who is sensitive and who is less sensitive, we should also try to determine the environmental aspect (or several aspects) that each individual is most sensitive to.

Furthermore, the developmental nature of ADHD, as well as its early onset, may help identify early markers that may foresee its development. The possibility that certain early markers may provide a hallmark for the prediction of a particular outcome in the future, or reflects sensitivity to the environment, raises an important question regarding the specificity of such a prediction. Namely, given that one can properly identify certain markers of susceptibility in early childhood, how informative can this information be to the prediction of a particular outcome and not another? Aspects of temperamental reactivity and self-regulation have been found to predict other developmental outcomes, such as externalizing and internalizing problems (97, 150). Similarly, candidate genes that were found to be related to ADHD were also related to other psychopathologies and therefore they are not considered specific markers of ADHD (72, 75), or specific susceptibility factors, that may be involved in the development of ADHD.

The ability to identify such markers may be improved in several ways; for example, by assessing the combination of different temperament markers instead of focusing only on a specific temperamental domain. Such an approach was used, for example, by Willoughby et al., who found that an elevated level of risk for ADHD was predicted by the temperament profiles of (1) high fear, high anger, and low self-regulation; (2) low fear and low self-regulation; and (3) moderated by anger and moderated activity (98); However, as far as we know, this approach was not used to identify sensitivity to the environment so far. Another factor that may contribute to the identification of children at specific risk for ADHD is the parental history of ADHD or parental ADHD symptomatology (16, 17). ADHD is a highly heritable disorder and children of parents with ADHD are at increased risk of being diagnosed with it themselves (5, 9, 151, 152). Therefore, parental ADHD symptoms may be the first indication that a child may be at risk of developing symptoms of ADHD in the future or manifesting the full disorder. The combination of parental history of ADHD and the child's temperamental

profile could help detect children at such a risk, perhaps even without specific genetic information, and test whether such specific risk for ADHD may actually reflect sensitivity to the environment.

In the context of identifying genetic risk, one of the main limitations of the reviewed genetic studies is that the risk for ADHD was mostly evaluated by specific candidate genes (13, 14, 117, 123). ADHD is rarely caused by a single genetic factor; the etiology of ADHD includes the combined effects of many genetic risk factors, each having a very small effect (1). Traditional studies that tested the associations between a small number of polymorphisms and an outcome of interest, without scanning the entire genome, are now considered obsolete (153). It is important to test the idea of sensitivity to the environment in ADHD according to polygenic risk, as it would contribute to strengthening the validity of results from studies that used the candidate genes approach. However, such an examination seems to be problematic in genome-wide association studies, especially in the case of testing differential susceptibility [for detailed information see the review of Zhang and Belsky (154)].

It should also be considered that all studies that were included in this review were correlative. Although environmental factors may moderate the genetic or temperamental risk for ADHD and alter developmental pathways, they may also be passive markers of certain developmental pathways and not active determinants of these pathways (70). Indeed, the correlative nature of these studies does not allow us to infer causality. However, there is evidence to support the idea that some individuals can benefit more from interventions compared to others (155, 156). For example, Bakermans-Kranenburg and van IJzendoorn conducted a meta-analysis of 22 randomized control trials (that included 3,257 participants) and tested the effect of interventions on several developmental outcomes such as internalizing and externalizing problems, cognitive development, and more. They found that intervention effects were much stronger for individuals with genetic susceptibility factors while the effect of interventions was non-significant in the non-susceptible group (155).

To fully test the plausibility of sensitivity to the environment and its practical and therapeutic implications, several aspects should be addressed in future studies. First, potential susceptibility factors should be more broadly assessed; for example, by polygenic risk, several temperamental aspects or temperamental profiles, family history of ADHD—and even the combination of these factors. Second, the assessment of the home environment and parental variables should also be broader; a broader assessment would enable future studies to determine which children are sensitive to which aspects of their environment. Early identification of susceptible children and implementation of interventions that target specific aspects that children may be especially sensitive to, and therefore may

benefit most from, may help achieve a better developmental outcome, both in the cognitive domain and in the reduction of their symptomatology. Third, indirect relations should be considered, as cognitive functioning may mediate the relation between the susceptibility factors and the outcome of ADHD symptoms (17). The addressing of these issues could lead to a more customized and efficient approach to preventive interventions.

Author contributions

TE undertook the review under the supervision of AB. TE wrote the first draft of the manuscript. Both authors developed the initial idea for this review, contributed to the article, and have approved the final manuscript.

Funding

This study was supported by the Israel Science Foundation, grants 756/98–01, 869–01, and 1058/16.

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Acknowledgments

We would like to thank Ofir Green for her help in searching and organizing the manuscripts for review.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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