



# Impact of Climate Change and Rubber (Hevea brasiliensis) [Plantation Expansion on Reference](https://www.frontiersin.org/articles/10.3389/fpls.2022.830519/full) Evapotranspiration in Xishuangbanna, Southwest China

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Reviewed by: Bo Liu, Yangzhou University, China Lei Zhang, North China University of Water Conservancy and Electric Power, China \*Correspondence: Zhengtao Shi shizhengtao@163.com Specialty section: This article was submitted to Sustainable and Intelligent Phytoprotection, a section of the journal Frontiers in Plant Science Received: 07 December 2021 Accepted: 17 January 2022 Published: 03 March 2022 Citation: The expansion of rubber (Hevea brasiliensis) cultivation plantation over the past few decades has been significantly explosive in Xishuangbanna, southwest China. More and more evidences concerning the expansion of rubber plantations lead to the negative influence to local regional hydrology. It is vital to explore the impact of climate change and rubber (Hevea brasiliensis) plantation expansion on reference evapotranspiration  $(ET<sub>0</sub>)$  for the sustainable and efficient use of regional water resources. In this study, the spatiotemporal variation of ET0 as well as its relationship in rubber plantations area in Xishuangbanna from 1970–2017 were analyzed by using trend, correlation and contribution analysis. The results showed that the rubber plantation was 12,768 ha yr<sup>−1</sup> from 1990 to 2017 in Xishuangbanna, and nearly 40.8% of new rubber plantations expanded above 900 m in altitude from 2000 to 2017. Sunshine duration and average relative humidity were the key meteorological factors that affect  $ET_0$  in Xishuangbanna, with the sensitivity coefficient of 0.51 and 0.35, respectively. The multiyear relative change of  $ET<sub>0</sub>$  in Xishuangbanna was 9.18%, and the total contribution of major climate factors was 7.87% during 1970 and 2017. The average relative humidity in the plantation

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area decreases, which directly leads to the increase of  $ET<sub>0</sub>$ . The amount of  $ET<sub>0</sub>$  change from 2000 to 2017 affected by climate change increased at 3.13 mm/10a, whereas it was 2.17 mm/10a affected by the expansion of rubber plantations by quantitative separation.  $ET_0$  was significantly affected by climate change but intensified by the

expansion of rubber plantation.

# **INTRODUCTION**

Evapotranspiration (ET) is one of the most important elements in the water cycle of ecosystem and a key factor in the regional water balance. Reference evapotranspiration  $(ET_0)$  is considered as a crucial factor in hydrological and climate research. The detail of  $ET_0$  has an important practical significance for analyzing the influence of climate and rubber plantation expansion on regional water resources planning, agricultural planting structure adjustment, and ecological environment protection [\(Jiao et al.,](#page-11-0) [2020;](#page-11-0) [Xiang et al.,](#page-12-0) [2020;](#page-12-0) [Yang et al.,](#page-12-1) [2021\)](#page-12-1).

Climate and land use and cover change (LUCC) have a strong influence on ET<sub>0</sub> [\(Odongo et al.,](#page-12-2) [2019;](#page-13-0) [Zhang et al.,](#page-13-0) 2019; [Hu](#page-11-1) [et al.,](#page-11-1) [2021\)](#page-11-1). However, few studies attempted to assess the impact of climate change and commercial agricultural activities such as deforestation. Deforestation is considered as an important anthropogenic process affecting climate and hydrology.

Natural forests and agricultural farming have given ways to commercial agriculture in many areas [\(Fox,](#page-11-2) [2012\)](#page-11-2), often in the form of tree plantations such as rubber. Driving by rubber price, expansion rubber plantations have been exploded. Rubber is likely to continue to be one of the fastest-growing land cover types in the world in the coming decades because of their increasing product demand [\(Warren-Thomas et al.,](#page-12-3) [2015\)](#page-12-3). Furthermore, rubber plantations that expand from traditional areas to uplands with serious negative impacts on the local hydrological cycle cause its high water demand [\(Tan et al.,](#page-12-4) [2011;](#page-12-4) [Ling et al.,](#page-12-5) [2021\)](#page-12-5).

Meteorological variables such as temperature, wind speed, rainfall, and solar radiation lead to changes in  $ET_0$ . Changes in  $ET_0$  under climate change depend on these meteorological variables and their interactions.

Decreases in sunshine duration, average relative humidity, wind speed, and saturated water vapor pressure can lead to decreases in  $ET_0$  [\(Abtew et al.,](#page-11-3) [2015;](#page-11-3) [Wang et al.,](#page-12-6) [2017\)](#page-12-6). The increase in temperature would lead to the decrease of ET [\(Fu](#page-11-4) [et al.,](#page-11-4) [2009;](#page-11-4) [Zhang et al.,](#page-12-7) [2013;](#page-12-7) [Pan et al.,](#page-12-8) [2019\)](#page-12-8).

However, changes in meteorological variables are not evenly distributed on the Earth. Globally, for example, RH increased by 0.5%–2.0% per decade over most of the United States, India, and China during the period 1976–2004. There is significant increase in ETo at cold and dry steppe sites [\(Ghafouri-Azar et al.,](#page-11-5) [2018;](#page-11-5) [Ahmad et al.,](#page-11-6) [2019;](#page-11-6) [D'Andrea et al.,](#page-11-7) [2019;](#page-11-7) [Hwang et al.,](#page-11-8) [2019;](#page-11-8) [You et al.,](#page-12-9) [2019\)](#page-12-9), whereas  $ET_0$  decreases in humid regions such as Iran and most parts of China [\(Xu et al.,](#page-12-10) [2018;](#page-12-10) [Nouri and](#page-12-11) [Bannayan,](#page-12-11) [2019\)](#page-12-11) which include the Yangtze River Delta [\(Xu et al.,](#page-12-12) [2017\)](#page-12-12). Despite the significant impact of  $ET_0$  on water resources and ecology, there is very limited knowledge on  $ET_0$  changes and causes of changes in the tropics area [\(Pour et al.,](#page-12-13) [2020\)](#page-12-13).

[Chen and Huo](#page-11-9) [\(2016\)](#page-11-9) used a demeteorological factor trend method to assess the impact of climate change on  $ET<sub>0</sub>$  in the Heihe River Basin. [Hu et al.](#page-11-1) [\(2021\)](#page-11-1) proved that the expansion of rice area because of human activities was an important factor influencing the change in  $ET_0$ by trend, correlation, and contribution analyses. Some studies have been conducted on the trends and effects of  $ET<sub>0</sub>$  in southwest China. For instance, sunshine duration, wind speed, and relative humidity are the main factors

influencing ET of reference crops in southwest China.  $ET_0$ increases in spring, autumn, and winter because relative humidity decreases, and  $ET_0$  decreases in summer because sunshine duration decreases [\(Zhang Q. W. et al.,](#page-13-1) [2016\)](#page-13-1). However, the influence of climate on  $ET_0$  in rubber plantation areas is not clear.

Xishuangbanna, an important rubber plantation area in the southwest China since 1950s. Driven by rising prices, the expansion of rubber plantations led to dramatic changes in land use and cover change and they were expanded to higher altitude mountains resulting in a dramatic reduction and fragmentation of natural forest area. Furthermore, rubber plantation expansions caused changes in regional microclimate such as temperature increase, humidity decrease, rainfall decrease, and drought [\(Gong](#page-11-10) [and Ling,](#page-11-10) [1996;](#page-11-10) [Qiu,](#page-12-14) [2009;](#page-12-14) [Lin et al.,](#page-12-15) [2016;](#page-12-15) [Ma et al.,](#page-12-16) [2019;](#page-12-16) [Chiarelli et al.,](#page-11-11) [2020\)](#page-11-11).

In general, there are few studies on the effects of afforestation and other human activities on  $ET_0$ , especially in areas of large expansions of rubber forests. There is a need to systematically explore the effects of increasing rubber forest plantation areas on  $ET_0$ .

Most of the available studies on spatial and temporal changes in  $ET_0$  of southwest China have focused on trend studies [\(Shen et al.,](#page-12-17) [2017;](#page-12-17) [Liu et al.,](#page-12-18) [2018\)](#page-12-18) with quantitative analysis of sensitivity coefficients and contributions of meteorological factors to  $ET_0$ . However, few studies separate the effects of climate change and rubber plantation expansion on regional  $ET_0$ .

It is important to figure out the impact of climate change under natural conditions and the expansion of rubber forest area caused by human activities on regional  $ET_0$  changes.

Thus, we took Xishuangbanna as the study area to conduct these studies: (1) to identify and extract rubber plantations information from 1990 to 2017 using Landsat TM/ETM/OLI images as the basic remote sensing data to analyze the spatial and temporal expansion pattern of rubber plantation; (2) to calculate  $ET_0$  to combine the daily meteorological observation data from 1970 to 2017 at each meteorological station in the study area with Penman–Monteith formula. We also use sensitivity and contribution analysis to determine the main meteorological influencing factors affected  $ET_0$  in Xishuangbanna; and (3) to isolate the effects of climate change and rubber plantation expansion on regional  $ET_0$  changes after compared with the control area not affected by rubber expansion planting.

### MATERIALS AND METHODS

### Study Area

Xishuangbanna is located in the southwest China, Yunnan Province (N 21°10'-22°40', E 99°55'-101°50'). Its total area is  $1.97 \times 10^4$  km<sup>2</sup> including 3 prefecture-level cities: Menghai, Mengla, and Jinghong [\(Zhou et al.,](#page-13-2) [2021\)](#page-13-2). Xishuangbanna is located in the longitudinal valley with a mountainous area of more than 95% and is a low-latitude mountainous area which is controlled and influenced by the warm and humid monsoon with humid, high temperature, and calm wind [\(Zhang,](#page-13-3) [1963\)](#page-13-3), as shown in **[Figure 1](#page-2-0)**.



<span id="page-2-0"></span>The temperature is 18◦C–22◦C, with an annual average temperature of 21.5◦C. The annual average duration of sunshine is 1,853.4 h, and the annual average precipitation is 1,599.5 mm. The average relative humidity is about 86% [\(Ling,](#page-12-19) [2021\)](#page-12-19).

### Data

Landsat TM/ETM/OLI images were used as the base remote sensing data. The data source was USGS data platform<sup>[1](#page-2-1)</sup> with a spatial resolution of 30 m by containing multiple spectral bands. The Landsat data level 1 products were used after geometrically corrected and preprocessed using ENVI 5.5 software. The elevation DEM data from the geospatial data cloud platform (see text footnote 1), spatial resolution is 30 m, mainly used to extract slope, slope direction and elevation.

The meteorological data include (1) the daily meteorological data from 1970 to 2017 of 6 typical meteorological stations including Menghai, Mengla, Jinghong, Lancang, Jiangcheng, and Pu'er in rubber plantation areas of Xishuangbanna; (2) the daily meteorological data from 1970 to 2017 from 10 typical stations in southwest Yunnan, including Jingdong, Yuanjiang, Lincang, Tengchong, Baoshan, Pingbian, Mengzi, Wenshan, Yanshan, and Luxi which share the same climate control area, as shown in **[Table 1](#page-3-0)**.

#### <span id="page-2-1"></span><sup>1</sup><http://glovis.usgs.gov/>

### **Methods**

#### Identification and Extraction of Rubber Plantation

We use the object-oriented classification method to extract rubber plantations in a hierarchy of typical time windows from February to March by combining the topography of Xishuangbanna and rubber plantation phenology features by ArcGIS 10.2 [\(Liang et al.,](#page-12-20) [2015;](#page-12-20) [Liu et al.,](#page-12-21) [2017\)](#page-12-21). The remote sensing data on February 22, 1990, February 25, 2000, February 22, 2010, and February 27, 2017 were selected to extract the information of rubber plantation in Xishuangbanna to obtain its spatial distribution in the corresponding years. The detailed scheme is shown in **[Figure 2](#page-3-1)**.

#### The Calculation of  $ET_0$

The FAO-56 Penman–Monteith equation [\(Allen et al.,](#page-11-12) [1998\)](#page-11-12) is as follows:

$$
ET_0 = \frac{0.408\Delta (R_n - G) + \gamma \frac{900}{T + 273} u_2 (e_s - e_a)}{\Delta + \gamma (1 + 0.34 u_2)}
$$
(1)

where  $ET_0$  is the reference evapotranspiration, mm/d; G is the soil heat flux in the study area,  $M J/m^2$  day;  $R_n$  is the net canopy surface radiation, MJ/ $m^2$  day;  $u_2$  is the mean wind speed at 2m, m/s; T is the mean air temperature,  $\mathrm{^{\circ}C}$ ;  $e_a$  is the actual water vapor pressure, kPa;  $e_s$  is the saturated water vapor pressure, kPa;  $\gamma$  is

<span id="page-3-0"></span>





<span id="page-3-1"></span>the hygrometer constant, kPa/ $\textdegree$ C; and  $\Delta$  is the saturated water vapor pressure-temperature slope, kPa/◦C.

### Line Trend Analysis and Manne-Kendall Test

The linear regression model is used to estimate the trend of time series change. The fitting equation is [\(Ye et al.,](#page-12-22) [2014\)](#page-12-22) as follows:

$$
y = at + b \tag{2}
$$

where  $y$  is the corresponding value of  $ET_0$  (or season) and other climatic factors; a is the tendency rate of the trend, and the positive (or negative) value of a represents the increasing (or decreasing) trend of variable  $y$ ;  $b$  is intercept; and  $t$  is time series (years). Significance of  $ET_0$  trend assessed using nonparametric M-K test [\(Li et al.,](#page-12-23) [2016;](#page-12-23) [Feng et al.,](#page-11-13) [2017;](#page-11-13) [Fu,](#page-11-14) [2018\)](#page-11-14).

Combined with M-K trend method for analysis [\(Yavuz,](#page-12-24) [2018\)](#page-12-24):

$$
Z = \begin{cases} \frac{S-1}{\sqrt{Var(S)}} & S > 0\\ 0 & S = 0\\ \frac{S+1}{\sqrt{Var(S)}} & S < 0 \end{cases} \tag{3}
$$

$$
S = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} sgn (ET_j - ET_i)
$$
 (4)

$$
sgn\left(ET_j - ET_i\right) = \begin{cases} 1 & ET_j - ET_i > 0\\ 0 & ET_j - ET_i = 0\\ -1 & ET_j - ET_i < 0 \end{cases}
$$
(5)

$$
var(S) = \frac{n(n-1)(2n+5)}{18}
$$
 (6)

where  $ET_j$  is the value of  $j^{th}$  data, sgn is the defined sign function; *n* is the length of the time series, when  $n \geq 8$ , the statistic S approximately obeys normal distribution [\(Mann,](#page-12-25) [1945;](#page-12-25) [Kendall,](#page-11-15) [1975\)](#page-11-15),  $Var(S)$  is the variance of the statistic S; the significance of the trend is tested at  $\alpha$  significance level; when the confidence level  $\alpha$  = 0.05, 0.1, that is  $|Z| > 1.96$ , 1.645, it means that the time

series is significant and weakly significant, respectively; when | Z| < 1.645, it means that the time series change is not significant.

$$
UF = \frac{S - E(S)}{Var(S_k)}\tag{7}
$$

The statistics constitute the positive time series UF curve. Based on the same steps, the inverse series statistic is obtained using the time series reversal sample  $(k = n, n-1, \ldots, 1)$ , when the two curves UF and UB intersect within the confidence interval. The intersection point is a mutation point if the UF statistic is outside of the confidence interval (with 95% confidence level).

#### Sensitivity Analysis and Contribution Rate of Meteorological Factors

The influence of meteorological factors on  $ET_0$  can be quantified by sensitivity [\(McCuen,](#page-12-26) [1974;](#page-12-26) [Beven,](#page-11-16) [1979;](#page-11-16) [Goyal,](#page-11-17) [2004;](#page-11-17) [Jia et al.,](#page-11-18) [2016\)](#page-11-18):

$$
S_{Vi} = \lim \left( \frac{\Delta ET_0 / ET_0}{\Delta V_i / V_i} \right) = \frac{\partial ET_0}{\partial V_i} \times \frac{V_i}{ET_0}
$$
(8)

where  $SV_i$  is the sensitivity coefficient;  $V_i$  is a meteorological element;  $\pm SV_i$  indicates that  $ET_0$  increases (or decreases) as  $V_i$ value increases; and  $|S_{Vi}|$  indicates the sensitivity of  $V_i$  to  $ET_0$ .

Contribution of meteorological element  $V_i$  to  $ET_0$  change [\(Yin](#page-12-27) [et al.,](#page-12-27) [2010,](#page-12-27) [2020\)](#page-12-28):

$$
Con_{Vi} = S_{Vi} \cdot RC_{Vi} \tag{9}
$$

$$
RC_{Vi} = \frac{n \cdot Trend}{|av|} \times 100\%
$$
 (10)

where  $Conv_i$  is the contribution of meteorological element  $V_i$  to the change of ET<sub>0</sub>, %;  $RC_{Vi}$  is the multiyear relative change of  $V_i$ , %; av is the average value of meteorological factor  $V_i$  for many years;  $n$  is time series, year; trend is the annual change rate of meteorological factor  $V_i$ .

The total contribution of each meteorological factor to the change of  $ET_0$  is as follows:

$$
Con_{ET_0} = Con_{TA} + Con_{RH} + Con_{SD} + Con_{WS} \qquad (11)
$$

where  $Con_{ET0}$  is the total contribution of meteorological elements to  $ET_0$ ; Con<sub>TA</sub>, Con<sub>WS</sub>, Con<sub>RH</sub>, and Con<sub>SD</sub> represent the contribution of air temperature, wind speed, average relative humidity, and solar radiation to  $ET_0$  change.

### Assessment of  $ET_0$  Change in Rubber Plantation Expansion

To quantify the contribution of climate change and rubber plantation expansion to  $ET_0$ , the following analyses were conducted in the study and control areas:

(1) We propose a hypothesis that the area of rubber plantations in the study area did not change during this period, and then, any change in  $ET_0$  in the area is caused by climate change, as  $\Delta ET_0 = \Delta ET_{oclimate}$  and  $\Delta ET_{0rubber} = 0$ ; (2)We assume that the area under rubber plantations in the study area did not change during this period, and then, any change in  $ET_0$  in the area is caused by climate change.

Therefore, since the impact of climate change on  $ET_0$  in a region is the same, the impact of rubber plantation expansion on  $ET<sub>0</sub>$  in that region can be identified quantitatively by eliminating the impact of climate change on  $ET_0$  in that region [\(Li,](#page-11-19) [2017;](#page-11-19) [Li](#page-11-20) [et al.,](#page-11-20) [2017;](#page-11-20) [Hu et al.,](#page-11-1) [2021\)](#page-11-1).

$$
\Delta ET_0 = ET_0 - ET_{0s} \tag{12}
$$

$$
\Delta ET_0 = \Delta ET_{\text{Oclimate}} + \Delta ET_{\text{Orubber}} \tag{13}
$$

where  $\Delta ET_0$  is the annual change of  $ET_0$ ;  $\Delta ET_{0s}$  is stationary  $ET_0$ (recalculated using the detrended data series);  $\Delta ET_0$  climate and  $\Delta ET_{0\ rule}$  are the change of  $ET_{0}$  affected by climate change and expansion of rubber plantation, respectively.

### RESULTS AND ANALYSIS

### Changes of Rubber Plantation Area in Xishuangbanna

The accuracy of land cover categories from 1990 to 2017 was 87.2% and the Kappa coefficient was 0.85. The distribution of rubber plantations in Xishuangbanna from 1990 to 2017 was plotted according to the optimal partition scale of 100, shape index of 0.6, and tightness index of 0.9 in Xishuangbanna, as shown in **[Figure 3](#page-5-0)**. The rubber plantation area was 121,164 ha in 1990, increased to 211,357 ha in 2000, 404,552 ha in 2010, and reached 465,904 ha in 2017, which accounts for 6.38%, 11.12%, 21.29%, and 24.52% of the land area in Xishuangbanna, respectively.

Rubber plantation was 12,768 ha yr−<sup>1</sup> from 1990 to 2017 in Xishuangbanna. The growth rate was the largest from 2005 to 2010 when the plantation areas were expanded to uplands. About 87.43% of new rubber plantations were below 900 m in altitude from 1990 to 2000. Nevertheless, there are nearly 40.8% of new rubber plantations shifted to above 900 m in altitude from 2000 to 2017, as is shown in **[Figure 4](#page-5-1)**.

### Spatiotemporal Changes in  $ET_0$

Analyzing the  $ET_0$  at 6 typical meteorological stations in Xishuangbanna from 1970 to 2017, the maximum value was 1,274.66 mm, the minimum value was 1,073.34 mm, and the average value was 1,169.19 mm. The maximum daily  $ET_0$  in Xishuangbanna was about 3.5 mm/day. The  $ET_0$  of each site showed an increasing trend by M-K test, as shown in **[Figure 5](#page-6-0)**.

The interannual variation trend of  $ET_0$  in Xishuangbanna increased with a trend of 22.84 mm/10a from 1970 to 2017. The abrupt year of  $ET_0$  in Xishuangbanna was 2002 by M-K test. It indicates a significant upward change of  $ET_0$  in 2002, as shown in **[Table 2](#page-6-1)**.

The spatial distribution of  $ET_0$  in Xishuangbanna from 1970 to 2017 is shown in **[Figure 6](#page-7-0)**. The distribution is different in



<span id="page-5-0"></span>

<span id="page-5-1"></span>each period. The areas with high  $ET_0$  value mainly concentrated in Menghai County in the southwest, which shows high in the southwest and low in the northeast. The range of  $ET_0$ changes in Xishuangbanna did not change much in 1970–1990 and 1991-2000 (Figures  $6A,B$ ), while the  $ET_0$  values increased significantly and the area of high  $ET_0$  value expanded after 2000 ([Figures 6C,D](#page-7-0)). The ET<sub>0</sub> in Xishuangbanna from 1970 to 2017 showed an increasing trend. Meanwhile, the high  $ET_0$  area gradually increased.

# Characterization of Meteorological Elements in Rubber Plantation Areas in Xishuangbanna

The meteorological elements in the planting area of Xishuangbanna region from 1970 to 2017 are shown in **[Table 3](#page-7-1)**. Precipitation in Xishuangbanna decreased at a rate of 0.018mm yr−<sup>1</sup> . Jinghong had the most obvious precipitation reduction. Sunshine duration increased at a rate of 0.012h  $d^{-1}yr^{-1}$ , and the average relative humidity changed at a rate





<span id="page-6-1"></span><span id="page-6-0"></span>

\*Significance at 0.05; \*\*Significance at 0.01.

of 0.258%yr−<sup>1</sup> . Xishuangbanna is a calm wind area with a slight increase in the change rate of wind speed. The average change rate of wind speed is 0.004 m s<sup>-1</sup> yr<sup>-1</sup>. The maximum temperature in Xishuangbanna increased at a rate of 0.029◦C yr<sup>-1</sup>. Menghai was the area with the most significant increase in maximum temperature. The lowest temperature increased at a rate of  $0.042\textdegree C$  yr<sup>-1</sup>, and Pu'er is the most obviously lowest temperature increased. Temperature, sunshine duration, and average wind speed increased, whereas average relative humidity and precipitation decreased in Xishuangbanna from 1970 to 2017, as shown in **[Table 3](#page-7-1)**.

### Attribution Analysis of  $ET_0$  Change of in Xishuangbanna

#### Correlation Analysis Between  $ET_0$  of Meteorological Stations and Annual Mean Meteorological Elements

According to formula (1), we selected six meteorological factors for correlation analysis with the annual  $ET_0$  in the rubber plantation area of Xishuangbanna, as shown in **[Table 4](#page-8-0)**.

In the past 47 years, the annual mean  $ET_0$  in Xishuangbanna was significantly positively correlated with  $T_{max}$  and sunshine duration, the correlation coefficients of 0.35–0.73 and 0.37– 0.86. It was significantly negatively correlated with RH, and the correlation coefficients of 0.52−0.88 (shown in **[Table 4](#page-8-0)**). In addition to  $T_{max}$ , sunshine duration and average relative

humidity in Menghai, Jinghong, and Pu'er were negatively correlated with precipitation with correlation coefficients of 0.29, 0.07, and 0.37, respectively. The  $ET_0$  decreased because the more precipitation leads to higher average relative humidity and less sunshine duration. Menghai, Jinghong, and Lancang were significantly and positively correlated with wind speed with the correlation coefficients of 0.49, 0.59, and 0.12, respectively. The greater the wind speed, the more increase of  $ET_0$ .

### Sensitivity Analysis of  $ET_0$  to Each Meteorological Element

The  $ET_0$  in Xishuangbanna showed an increasing trend influenced by the changes in each meteorological factor during 1970 and 2017. The extent to  $ET_0$  affected by changes in meteorological factors was quantified by analyzing individual factor sensitivities [\(Dong et al.,](#page-11-21) [2019\)](#page-11-21).

The sensitivity coefficients of different station meteorological variables on  $ET_0$  variation in Xishuangbanna region are shown in **[Table 5](#page-8-1)**. The effects of temperature, wind speed, and sunshine duration on  $ET_0$  variation were positive, whereas the effects of average relative humidity on  $ET_0$  variation were relatively negative. Sunshine duration is the most sensitive meteorological factor for  $ET_0$  changes in the region with a mean sensitivity coefficient of 0.51. The mean wind speed is 0.09, which is the lowest factor.



<span id="page-7-1"></span><span id="page-7-0"></span>



All the meteorological factors showed positive contributions to the  $ET_0$  among which sunshine duration had the largest contribution and then followed by average relative humidity, whereas wind speed had the lowest contribution because of its low sensitivity coefficient to  $ET_0$ .

As shown in **[Table 6](#page-8-2)**, the contribution of each meteorological factor to  $ET_0$  was positive during 47 years in which the contribution of sunshine duration was the highest about 3.41. Then, average relative humidity is 1.65. Wind speed had relative high variations but the low sensitivity coefficient to  $ET_0$  that the total contribution was 1.34. Totally, the annual relative variation of  $ET_0$  and the main meteorological factors contributed is 9.18% and 7.87% in Xishuangbanna.

### Response of Climate Change and Rubber Plantation Expansion to  $ET_0$

Average relative humidity and sunshine duration are the key influential meteorological factors that affect  $ET_0$  in Xishuangbanna by sensitivity and contribution analysis. It can be inferred that rising sunshine duration and decreasing average relative humidity caused the increase of  $ET_0$  in Xishuangbanna from 1970 to 2017. The increase in sunshine duration promotes evaporation rate whereas the decrease in average relative humidity characterizes the decrease in water vapor content in the subsurface.

A significant trend of increasing  $ET_0$  in 2000 which was coupled with rubber plantation expansion by M-K analysis of  $ET<sub>0</sub>$  in Xishuangbanna. Hence, the changes in average relative



<span id="page-8-0"></span>TABLE 4 | Linear correlation coefficient between  $ET_0$  of each station and annual mean meteorological elements.

\*Significance at 0.05; \*\*Significance at 0.01.

<span id="page-8-1"></span>**TABLE 5** | Correlation coefficients of  $ET_0$  and meteorological variables.



humidity and sunshine duration in Xishuangbanna after 2000 were analyzed to discuss the effects of climate change and rubber plantation expansion on  $ET_0$ .

As shown in **[Figure 7](#page-9-0)**, the average relative humidity in Xishuangbanna for 47 years showed a decreasing trend. During 2000, it was 1.08%/10a from 1970 to 2000 and 1.97%/10a from 2000 to 2017 with a significant decrease trend of 45.18% in average relative humidity. The decreasing trend of sunshine duration averaged 18.5h/10a from 1970 to 2000, which shows an upward trend averaged 7.44h/10a, and an increase trend of 59.78% from 2000 to 2017.

To analyze the influence of climate and rubber plantation expansion on  $ET_0$  changes in Xishuangbanna. The control areas such as Jingdong, Lincang, Baoshan, and Yanshan in the same climate area without were affected by the wide expansion of rubber plantations for comparative.

Calculation of  $ET_0$  under rubber plantation expansion and climate change using Equations (12) and (13), as shown in **[Figure 8](#page-9-1)**:

We compared the  $ET_0$  change from 2000 to 2017, and  $ET_0$ in the control region increased at a rate of 3.13 mm/10a due to climate change. However,  $ET_0$  in Xishuangbanna increased at a rate of 2.17 mm/10a due to the expansion of rubber plantation

<span id="page-8-2"></span>



(the effect of climate change on  $ET_0$  in Xishuangbanna has been removed). The results indicated that even the effect of rubber plantation expansion on  $ET_0$  in Xishuangbanna from 2000 to 2017 was less than that of climate change, but rubber plantation expansion exacerbated the increase of  $ET_0$ .

However, rubber plantation expansion had a greater impact on  $ET<sub>0</sub>$  than climate change in some periods. For example, rubber plantations in Xishuangbanna showed a sharp growth due to the continuous increase in international rubber prices before 2011 [\(Chen et al.,](#page-11-22) [2016\)](#page-11-22). After that, the influence of climate change on  $ET_0$  became gradually dominated after 2011. Generally, the effects of climate change and rubber plantation expansion on  $ET<sub>0</sub>$  in Xishuangbanna during 2000 and 2017 were dynamically changed and coacted.

### **DISCUSSION**

### $ET<sub>0</sub>$  Changes by the Influenced of Meteorological Elements

Precipitation showed a decreasing trend especially in Jinghong, Jiangcheng, and Pu'er. The sunshine duration increased at most of stations except Jiangcheng. The average relative humidity decreased. The wind speed showed a less increasing trend. The average maximum and minimum temperature also increased. [Zhao et al.](#page-13-4) [\(2012\)](#page-13-4) indicated the temperature increase in Xishuangbanna (annual average temperature 0.013◦C /a; annual average ground temperature 0.007◦C /a); [Peng et al.](#page-12-29) [\(2020\)](#page-12-29) also proved temperature increase and precipitation decrease in Xishuangbanna from 1961 to 2016.

 $ET<sub>0</sub>$  in Xishuangbanna showed increase from 1970 to 2017 due to the average relative humidity decreased and sunshine duration increased [\(Zuo et al.,](#page-13-5) [2012;](#page-13-5) [Xie and E,](#page-12-30) [2014;](#page-12-30) [Abtew et al.,](#page-11-3) [2015\)](#page-11-3). Influenced by the differences in geographical and temporal scales, [Kousari and Ahani](#page-11-23) [\(2012\)](#page-11-23) found that  $ET_0$  has an increasing trend



<span id="page-9-0"></span>

<span id="page-9-1"></span>in Iran. [Chaouche et al.](#page-11-24) [\(2010\)](#page-11-24) also proved  $ET_0$  increased in the Mediterranean region which are consistent with the results of our study. However, [Wang et al.](#page-12-6) [\(2017\)](#page-12-6) studied 1961–2013 in China with a decreasing  $ET_0$ .

# $ET<sub>0</sub>$  Changes by the Influenced of Rubber Plantation Expansion

With the close international cooperation and growing demand for rubber trade, the rubber plantation in Xishuangbanna has increased rapidly with 39.68% compared to the pre-2000 period. Indeed, it has been expanded to higher altitude mountain where is the natural forest retaining area [\(Xu et al.,](#page-12-31) [2014;](#page-12-31) [Chambon](#page-11-25) [et al.,](#page-11-25) [2016;](#page-11-25) [Chen et al.,](#page-11-22) [2016\)](#page-11-22). Natural forest was replaced and the coverage rate decreased from 69.0 to 43.6%.

The dramatic expansion of rubber plantations has altered the local and regional water balance, which led to changes in the regional microclimate. The  $ET_0$  changes with the microclimate of the area.

Rubber, the native to the Amazon rainforest, has a welldeveloped root system and xylem conduits. The root-water extraction moves from shallow to deep soil layers as the seasons and soil moisture zone change [\(Liu et al.,](#page-12-32) [2013,](#page-12-32) [2014;](#page-12-33) [Zeng et al.,](#page-12-34) [2019\)](#page-12-34).

Compared with secondary forests, rubber trees have higher ET and higher demand for water resources [\(Lin et al.,](#page-12-35) [2018;](#page-12-35) [Ma et al.,](#page-12-16) [2019;](#page-12-16) [Ling et al.,](#page-12-5) [2021\)](#page-12-5).

The expansion of a single rubber forest plantation has contributed to the continuous reduction of the natural forest area and the intensification of habitat fragmentation, which in turn led to regional soil erosion [\(Liu,](#page-12-36) [2015;](#page-12-36) [Guillaume et al.,](#page-11-26) [2016\)](#page-11-26), a decrease in the ability to contain water [\(Ma et al.,](#page-12-16) [2019;](#page-12-16) [Zou](#page-13-6) [et al.,](#page-13-6) [2020\)](#page-13-6), a decrease in relative air humidity, a decrease in fog days, and a gradual shift in climate from hot and humid

People live in the rubber plantation area experience a rare water shortage and the rivers seasonal breakdowns [\(He](#page-11-28) [et al.,](#page-11-28) [2007;](#page-11-28) [Li et al.,](#page-12-39) [2008;](#page-12-39) [Chiarelli et al.,](#page-11-11) [2020;](#page-11-11) [Ling et al.,](#page-12-5) [2021\)](#page-12-5). Xishuangbanna is well-known clam wind and foggy area. Fog precipitation is a very important source of moisture and nutrients. Currently, the fog days decreased, the duration of fog is shorter and fog water content decreased by the impact of human activities in Xishuangbanna [\(Gong and Ling,](#page-11-10) [1996;](#page-11-10) [Huang et al.,](#page-11-29) [2001\)](#page-11-29). The decrease of relative humidity leads to the increase of  $ET_0$ .

# Contributions of Climate Change and Rubber Plantation Expansion to  $ET_0$ **Trends**

There are significant differences in  $ET_0$  sensitivity to climate variables in different regions and under different climatic conditions [\(Zeng et al.,](#page-12-40) [2010;](#page-12-40) [Chen and Huo,](#page-11-30) [2011\)](#page-11-30). The positive contribution of rising sunshine duration and decreasing average relative humidity in Xishuangbanna caused the increase of  $ET_0$ over the past 47 years. [Lakshman and Gicy](#page-11-31) [\(2006\)](#page-11-31) and [Yin et al.](#page-12-27) [\(2010\)](#page-12-27) studied that the solar radiation in the humid–semihumid zone plays more important role. Sunshine duration is the most important factor that affects  $ET_0$  in our study area, followed by the average relative humidity and temperature. The results are consistent with [Cao et al.](#page-11-32) [\(2015\)](#page-11-32) and [Zhang W. W. et al.](#page-13-9) [\(2016\)](#page-13-9) who found that the dominant factor of  $ET_0$  variation in mainland and southwest China is sunshine duration. However, temperature is the most sensitive factor to  $ET_0$  in northeast China whereas solar radiation is most sensitive in northwest China.

Based on assessment of  $ET_0$  change in rubber plantation expansion, the effects of climate change and rubber plantation expansion on  $ET_0$  were partitioned. The conclusion that climate change and rubber plantation expansion in the study area have the same influence on  $ET_0$  changes. Rubber plantation expansion exacerbated the change in  $ET_0$ . [Li et al.](#page-11-20) [\(2017\)](#page-11-20) quantified the effects of land use and climate change on ET in China.

Climate change was more significant than LUCC change in influencing ET in China during the period 2001 and 2013. [Jia et al.](#page-11-18) [\(2016\)](#page-11-18) proved that average relative humidity and sunshine duration were sensitive factors for the change of  $ET_0$ . The decrease of sunshine duration led to the decreased  $ET_0$ . The effects of irrigation and climate change on  $ET_0$  were 49.97% and 50.03%, respectively. [Han et al.](#page-11-33)  $(2014)$  suggested that  $ET_0$ decreased in Jingtai irrigation district because of irrigation change regional climatic factors such as wind speed decreased and average relative humidity increased. Rubber plantation expansion also changes  $ET_0$  due to changes in regional microclimate.

# **CONCLUSION**

In this paper, we analyzed the spatial and temporal characteristics of rubber plantations and  $ET_0$  in Xishuangbanna, Yunnan Province from 1970 to 2017, and we also analyzed the relationship between  $ET_0$ , rubber plantation area, and climate change. The conclusions are as follows:

- (1) The  $ET_0$  increased with 22.84 mm/10a from 1970 to 2017. The spatial distribution of  $ET_0$  was higher in the southwest and lower in the northeast, whereas the  $ET_0$ values increased significantly and the area of high  $ET_0$ expanded after 2000. Rubber plantation was 12,768 ha yr<sup>-1</sup> from 1990 to 2017 in Xishuangbanna. The growth rate was the largest from 2005 to 2010 in the plantation areas expanded to uplands.
- (2) Average relative humidity and sunshine duration are the key meteorological factors that affect  $ET_0$  in Xishuangbanna. The multiyear relative change of  $ET_0$ in Xishuangbanna in 47a was 9.18%, which showed an increasing trend and the total contribution of the main climate factors to it was 7.87%.
- (3) Climate change and rubber plantation expansion in Xishuangbanna increased  $ET_0$ . Rubber plantation expansion decreased the average relative humidity which intensified the increase of  $ET_0$ .

Due to the extremely complex mechanisms of land surface– atmosphere interactions, it is difficult to directly distinguish the effects of rubber plantation expansion from climate change on  $ET<sub>0</sub>$ . In addition, meteorological station data inevitably bring some errors and uncertainties. Although the independent effects of climate change and rubber plantation expansion on  $ET<sub>0</sub>$  were calculated separately, climate change and rubber plantation expansion interact with each other. The climate change factors influence the boundary of rubber plantation expansion, which also affects the regional climate [\(Ellison](#page-11-34) [et al.,](#page-11-34) [2012\)](#page-11-34). The interaction between regional climate, rubber plantation expansion, and energy (water) balance needs to be studied by adding more regional surface models to provide more theoretical supporting. However, it can support for the negative hydroecological effects such as local water resources shortage caused by the expansion of rubber plantations.

# DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

# AUTHOR CONTRIBUTIONS

ZL: conceptualization, methodology, software, investigation, writing—original draft preparation, and writing—reviewing and editing. ZS: conceptualization, supervision, and writing reviewing and editing. SG: visualization, software, and investigation. TW: software and validation. WZ: data curation and methodology. GF: software and writing—reviewing and

editing. All authors contributed to the article and approved the submitted version.

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