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[The sex effect on balance control](https://www.frontiersin.org/articles/10.3389/fphys.2023.1298672/full)

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Background: Anatomical differences between sexes in the vestibular system have been reported. It has also been demonstrated that there is a sex difference in balance control while standing on vestibular-demanding tasks. In 2024, NASA expects to send the first female to the Moon. Therefore, to extend the current knowledge, this study attempted to examine whether different sexes respond differently to vestibular-disrupted and vestibular-demanding environments.

Method: A total of fifteen males and fifteen females participated in this study. The vestibular function was quantified through different SOT conditions (SOT1: baseline; SOT5: vestibular demanding by standing with blindfolded and sway reference surface). The vestibular stimulation (VS) was applied either unilaterally or bilaterally to vestibular system to induce the sensory-conflicted and challenging tasks. Thus, a total of 6 conditions (2 SOT conditions X 3 VSs: no-VS, unilateral VS, and bilateral VS) were randomly given to these participants. Three approaches can be quantified the balance control: 1) the performance ratio (PR) of center of gravity trajectories (CoG), 2) the sample entropy measure (SampEn) of CoG, and 3) the total traveling distance of CoG. A mixed three-way repeated ANOVA measure was used to determine the interaction among the sex effect, the effect of SOT, and the effect of VS on balance control.

Results: A significant sex effect on balance control was found in the PR of CoG in the anterior-posterior (AP) direction ( $p = 0.026$ ) and in the SampEn of CoG in both AP and medial-lateral (ML) directions ( $p = 0.025$ ,  $p < 0.001$ , respectively). Also, a significant interaction among the sex effect, the effect of SOT, and the effect of VS on balance control was observed in PR of CoG in the ML direction ( $p < 0.001$ ), SampEn of CoG in the AP and ML directions ( $p = 0.002$ ,  $p < 0.001$ , respectively), and a traveling distance in AP direction ( $p = 0.041$ ).

Abbreviations: SOT, sensory organization test; CoG, center of gravity; PR, Performance ratio; SampEn, Sample Entropy; AP, anterior-posterior; ML, medial-lateral; VS, vestibular stimulation; N, no vestibular stimulation; Uni, unilateral vestibular stimulation; Bi, bilateral vestibular stimulation.

Conclusion: The findings in the present study clearly revealed the necessity to take sex effect into consideration while standing in vestibular-perturbed or/and vestibular demanding tasks. Also, the results in the present study could be a fundamental reference for future sensorimotor training.

KEYWORDS

vestibular stimulation, sensory organization test, balance control, standing, mastoid vibration

# 1 Introduction

On Earth, humans are capable of detecting gravity, orienting themselves to their surroundings, and performing sensory motor activities, such as walking and standing in the dark or on slippery, uneven, or foamy surfaces, without any hesitation. A vestibular system plays an important role in detecting head rotation, acceleration, and self-motion transitions in relation to gravity in the above-mentioned circumstances ([Messina et al., 2021\)](#page-17-0). Also, when the head moves, the vestibular ocular reflex maintains the stability of the eyes in inertial space, so that the retinal image of the fixed visual surround appears to be stable, regardless of the motion of the head [\(Martines et al., 2021](#page-17-1)). It should be noted, however, that standing or walking in microgravity or at varying levels of gravity may result in different vestibular responses to maintain balance control. A prime example is Dr. Harrison Schmitt, an astronaut on the Apollo 17 mission, who fell several times and encountered difficulties in getting up from the Moon's surface due to the fluctuating levels of gravity while picking samples ([https://www.](https://www.youtube.com/watch?v=Ke65jU_yYso) [youtube.com/watch?v=Ke65jU\\_yYso,](https://www.youtube.com/watch?v=Ke65jU_yYso) assessed on 5 November 2023). Moreover, [Jain et al. \(2010\)](#page-16-0) and [Wood et al. \(2015\)](#page-17-2) examine vestibular-related balance control in 11 crew members prior to and after spaceflight (11–13 days short-duration shuttle spaceflights) and suggest that alternate gravity temporarily impairs the vestibular system, which causes misinterpretations of the central nervous system and causes imbalances when astronauts stand or walk. The question may arise as to whether there is a sex difference in vestibular function when standing in a vestibularly disrupted environment.

Males and females have physiological and anatomical differences when it comes to the vestibular system ([Bowman](#page-16-1) [et al., 2000;](#page-16-1) [Corazzi et al., 2020](#page-16-2); [Lien and Yang, 2021;](#page-16-3) [El Khiati](#page-16-4) [et al., 2022](#page-16-4)). In particular, it has been shown that female cochlea is shorter than male cochlea, resulting in a stiffer basilar membrane ([Corazzi et al., 2020\)](#page-16-2). Consequently, this anatomical sex difference in the basilar membrane may further influence the perception of vestibular signals ([Bowman et al., 2000\)](#page-16-1). It has been demonstrated that females exhibit higher amplitudes of ocular vestibular-evoked myogenic potentials and superior horizontal semicircular canal function than males, which results in a higher sensitivity to vestibular signal perception in females than males under airconducted sound, bone-conducted vibration, and galvanic vestibular stimulation ([Sung et al., 2011;](#page-17-3) [Battersby, 2019;](#page-16-5) [Schubert et al., 2022\)](#page-17-4). In females, this increased sensitivity to vestibular-related perception may lead to the possibility of an imbalance when performing vestibular-demanding tasks, such as standing on a foam surface and closing their eyes. [Vereeck et al.](#page-17-5) [\(2008\)](#page-17-5) and [Wolfson et al. \(1994\)](#page-17-6) support this abovementioned

hypothesis by showing that the sex effect (302 females vs. 250 males) has a significant effect on the vestibular system in controlling balance while standing in different vestibulardemanding environments. In particular, numbers of females adopt a stepping strategy (step over the platform because of losing balance). In general, females demonstrated worse balance control than males while standing in vestibular-demanding tasks, such as standing with eye-closed and a single leg on the foam surface, standing with eye-closed and both legs on the foam surface, or standing with eye-closed and both legs on sway-reference surface (Sensory Organization Test condition 5, SOT5). NASA plans to send its first female astronaut to the Moon in 2024 as part of its Artemis program and plans to send more female astronauts to space missions in the years to come. According to the studies, it seems that females have a tendency to be fall while standing in a vestibular-demanding environment. It is essential to gain a better understanding of how the vestibular-related balance control differs in men and women when standing in a disrupted vestibular environment in order to develop sensorimotor training programs that will prevent falls among female astronauts in the future.

As part of clinical assessment ([Black et al., 1989](#page-16-6); [Goebel and](#page-16-7) [Paige, 1989;](#page-16-7) [Hytönen et al., 1989](#page-16-8); [Mulavara et al., 2013\)](#page-17-7), the SOT has frequently been employed to assess the vestibular function in patients with various vestibular disorders by simultaneously disturbing both the visual system (closed eyes) and the somatosensory system (sway-reference surface). Despite being constructed approximately 30 years ago, this SOT remains in use in the present time to assess vestibular function in astronauts immediately following spaceflight (11–13 days short-duration shuttle spaceflights, [Ozdemir et al., 2018\)](#page-17-8) and to assess whether 48 astronauts recovered balance control after prolonged spaceflight (approximately 6 months, [Tays et al., 2021](#page-17-9); [Shishkin et al., 2023\)](#page-17-10). According to the findings, staying in microgravity for a long period of time requires at least 30 days in order to fully restore balance control due to the adaptation and re-adaptation of the vestibular system between different level of gravity [\(Tays et al., 2021;](#page-17-9) [Shishkin](#page-17-10) [et al., 2023\)](#page-17-10). For SOT, the equilibrium scores are used to quantify the vestibular function by measuring the movement of the center of gravity primarily forward and backward [\(Black et al., 1989](#page-16-6); [Goebel](#page-16-7) [and Paige, 1989;](#page-16-7) [Hytönen et al., 1989](#page-16-8); Mulavara., 2013; [Vanicek](#page-17-11) [et al., 2013\)](#page-17-11). Specifically, the limit of stability is approximately seven degrees (posteriorly) and five degrees (anteriorly, [Vanicek et al.,](#page-17-11) [2013\)](#page-17-11). Participants who step off from the platform will receive an equilibrium score of 0 (failure equilibrium score, [Vanicek et al.,](#page-17-11) [2013\)](#page-17-11). In this regard, however, it raises three concerns about this measure of equilibrium score: 1) the ceiling effect, 2) the sensitivity, and 3) the neglect of balance control in the ML direction. Also, it is important to note that even in patients with known vestibular lesions, the measure of equilibrium score in SOT is only about 50% sensitive to vestibular loss ([Mishra et al., 2009\)](#page-17-12). It is therefore important to use the equilibrium score measure cautiously in the evaluation of vestibular function since it may result in an incorrect interpretation of the sex effect on vestibular-related balance control. It may explain why a study have found no statistical differences between sexes in vestibular-related balance control using the measure of equilibrium score in SOT [\(Faraldo-Garca et al.,](#page-16-9) [2011\)](#page-16-9). Interestingly, this study still suggest that the sex effect should be taken into account when determining vestibular function because women use ankle strategies more than men when performing a vestibular-demanding task ([Faraldo-Garca](#page-16-9) [et al., 2011](#page-16-9)). Therefore, one of aims in present study was to assess balance control using other three practical measurements rather than the equilibrium score to determine the sex effect on vestibular system as follows: performance ratio of movement in the center of gravity (CoG), total traveling distance of CoG, and the complexity of movement of CoG using entropy measure.

The performance ratio (PR) is used to identify the degree of balance control (center of gravity, CoG) sway in the anteriorposterior (AP) and medial-lateral (ML) directions and is calculated by the numerical integral of the rectified CoG sway signal scaled to be a fraction of the maximum sway amplitude while standing on normal or perturbed environment ([Nashner et al.,](#page-17-13) [1982\)](#page-17-13). This PR has been used to differentiate the patients with different types of vestibular deficits [\(Black et al., 1989](#page-16-6); [Nashner and](#page-17-14) [Peters, 1990](#page-17-14)). In short, a greater PR reflects a greater sum of instantaneous sway, indicating a greater reliance on the vestibular system to maintain balance while performing a vestibulardemanding task. The total traveling distance of the CoG can simply be defined as the distance the CoG travels in a given period of time. A longer traveling distance commonly be interpreted as a worse balance control. For over a decade, the concept of entropy has been widely used to describe the complexity of physiological signals through time series analysis ([Richman and Moorma, 2000;](#page-17-15) [Richman et al., 2004;](#page-17-16) [Donker](#page-16-10) [et al., 2007](#page-16-10); [Hansen et al., 2017](#page-16-11); [Montesinos et al., 2018;](#page-17-17) [Blazkiewicz et al., 2021;](#page-16-12) [Fischer et al., 2023](#page-16-13)). Compared to conventional calculations in sway changes in means and standard deviations, analyzing the sway data in time series can provide another aspect of balance controls in uses in degree of freedom and understand the underlying causes of trends or systemic patterns over time. A sample entropy (SampEn) method has the following advantages: 1) SampEn has a better data length independence, 2) SampEn has better anti-noise capacity, and 3) SampEn is suitable for short datasets ([Richaman and Mooram, 2000;](#page-17-15) [Montesinos et al.,](#page-17-17) [2018\)](#page-17-17). In light of the differences in SampEn values, it is likely that executing different types of movements in time series requires a different degree of freedom. A more irregular movement (greater SampEn value) is commonly observed for adapting to the complex (sensory-conflicted) environment [\(Jia et al., 2017;](#page-16-14) [Chen et al., 2021\)](#page-16-15). In particular, patients with various vestibular dysfunction, no matter whether they stand on a solid or compliant surface, have a greater SampEn value than healthy controls [\(Lubetzky et al., 2018\)](#page-17-18). Also, in these patients with vestibular dysfunction, SampEn values are even greater when standing on compliant surfaces than on solid surfaces ([Lubetzky et al., 2018](#page-17-18)), requiring higher degree of freedoms in movements to control balance.

A large, well-developed facility such as NASA, for example, has the capability of measuring the changes in vestibular-related balance control between sexes in response to gravity changes; however, these costs cannot be justified in a typical biomechanical laboratory. There is, however, a feasible and cost-effective method of determining sex differences in vestibular-related balance control under vestibularperturbed and vestibular-demanding environment by using vestibular stimulation [\(Kavounoudias et al., 1999;](#page-16-16) [Lin et al.,](#page-17-19) [2022\)](#page-17-19). Specifically, applying VS increases the CoG sway area in both young ([Lin et al., 2022](#page-17-19)) and older adults [\(Lin et al., 2022\)](#page-17-19); furthermore, applying bilateral VS increased even more CoG sway area than applying unilateral VS in older adults ([Lin et al., 2022\)](#page-17-19), indicating that different types of VS induced different balance controls. The use of such a VS paradigm would mimic an unpredictable and vestibular-perturbed environment, which necessitates greater reliance on the vestibular system for the maintenance of balance. Thus, one of the aims of this study was to apply this paradigm to determine the sex differences in vestibular system for maintaining the vestibular-related balance control.

With the use of VS and SOT, this study was supported by NASA and attempted to meet NASA's current focus on understanding the sex effect on vestibular-related balance controls while standing in normal and vestibular-demanding environments. This study expected to observe that 1) a sex effect was found on balance control, regardless of whether VS was administered or what SOT conditions participants were in, with females achieving worse balance control but experiencing higher degrees of freedom of control; 2) whether or not VS was given, there was a interaction between sex effect and SOT condition effect on balance control, 3) no matter what SOT conditions participants stood in, the balance control of females and males responded differently to VS, and 4) while standing in vestibular-demanding and bilaterally vestibularperturbed condition (the most challenging task), female might demonstrate worse balance control and greater levels of degree of freedom in balance control than males compared to other conditions.

# 2 Materials and methods

### 2.1 Participants

In total, thirty healthy participants attended in this study (15 males and 15 females, age:  $34.93 \pm 17.36$  years old, height:  $170 \pm 7.19$  cm, weight:  $68.17 \pm 12.13$  kg). To recruit these healthy adults, a variety of advertising methods were employed, including flyers posted on university campuses and in local community centers, as well as an online bulletin posted on the university's website. All healthy adults were required to meet the following inclusion criteria: 1) all participants must be free of musculoskeletal deficits and have no history of extremity injuries, 2) participants must not have any joint surgeries that would affect their gait pattern, 3) participants must pass the dizzy handicap inventory (score  $= 0$ ), indicating that potential vestibular dysfunction may not exist, and 4) participants never experienced any type of vestibular stimulation. The exclusion criteria were that 1) these healthy adults had any type of vestibular diseases or vestibular surgeries, 2) these healthy adults had any type of neurological disorders, and 3) participants scored below 23 in the Mini Mental State Examination, indicating the potential cognitive impairments ([Foreman et al., 1996\)](#page-16-17). This study was approved by the Institutional Review Board at the University of Nebraska Medical Center (IRB Protocol # 379-17-EP). The data collection only began while participants voluntarily signed the inform consent.

# 2.2 Experimental setup

A Balance Master System 8.4 (NeuroCom International Clackamas, OR, United States) was applied to identify the vestibular function through the sensory organization test. This system included a moveable visual surround and a support surface that could rotate around the ML axis led participants to lean forward (the maximum range was approximately 7°) or backward (the maximum range was approximately 5° ) in the AP direction ([Nashner and Peter, 1990](#page-17-14)). Two force plates (22.9 cm × 45.7 cm) were connected by a pin joint and used to record the displacement of center of gravity (CoG) at the sampling frequency of 100 Hz. The sensory organization test (SOT) contained a total of 6 conditions to identify the functions of visual, somatosensory, and vestibular systems [\(Black et al., 1989](#page-16-6)). In this study, only SOT-1 (baseline, stationary-platform, full visual support), and SOT-5 (sway-reference platform, eye-closed) were used to identify the effect of different vestibular stimulations on balance control during standing in normal and the vestibular-demanding tasks. Specifically, this SOT-5 has been widely used to probe the vestibular contributions to balance control in healthy controls ([Hamid et al., 1991](#page-16-18); [Ford-Smith et al., 1995\)](#page-16-19), in older adults and fallers ([Horak et al., 1989](#page-16-20)), in patients with vestibular dysfunction ([Di Fabio, 1995\)](#page-16-21), and in astronauts ([Tays et al., 2021;](#page-17-9) [Shishkin](#page-17-10) [et al., 2023](#page-17-10)).

In this study, mechanical vibrotactile stimulation (VS) was generated by placing two electromechanical vibrotactile transducers (EMS2 tactors; Engineering Acoustics, FL, United States) to the mastoid processes bilaterally. Participants can readily perceive the vibrations that occur through the use of these tactors, since they are designed to be mounted within a seat or cushion. With a rise time of 25 milliseconds, the EMS2 tactor produces large displacements even when applied against the mechanical impedance of the body. A maximum peak-to-peak displacement of 2 mm was recorded when the device was loaded. Tactors were 18.8 mm in height and 24 g in weight. Tactors had a diameter of 48.5 mm ([Figure 1](#page-4-0)). The frequency of these two mastoid vibrations was set at 100 Hz and was controlled by software (TAction Creator, Engineering Acoustics, FL, United States). Applying this 100 Hz vibration has been proved to induce the slow-phase velocity of eye movement toward the vibrated side of mastoid process [\(Park et al., 2007\)](#page-17-20). Vibration amplitudes were set at 130% of participants' minimum perceived amplitudes [\(Lu et al.,](#page-17-21) [2022](#page-17-21)). Participants were instructed to stand still while an experimenter adjusted the vibration amplitude through the commercial software TAction Creator until they were able to perceive the minimum perceived amplitude. It was an impulse type of vibration activation, indicating an activation period of 0.5 s and a deactivation period of 0.5 s. A purpose of using this type of impulse vibration was to reduce vestibular saturation ([Lu et al., 2022\)](#page-17-21).

# 2.3 Experimental protocol

The data collection was performed at Clinical Movement Analysis Laboratory at the University of Nebraska Medical Center. Dr. Li Zheng as an exchanged scholar and Dr. Jung Hung Chien collected, processed, and analyzed the data. Before the data collection, each participant needed to fill the Mini Mental State Examination. If the individual's score of Mini Mental State Examination below 23, the experiment was terminated. During one visit, a total of six standing trials (SOT1-N: normal standing on the fixed surface without any VS, SOT1-Bi: normal standing on the fixed surface with bilateral VS, SOT1-Uni: normal standing on the fixed surface with unilateral VS, SOT5-N: standing on swayreference surface and was blindfolded without any VS, SOT5- Bi: standing on the sway-reference surface and was blindfolded with bilateral VS, and SOT5-Uni: standing one the sway-reference and was blindfolded with unilateral VS) were randomly provided to each participant. The randomization procedures were as follows. Firstly, conditions SOT1-N, SOT1-Bi, SOT1-Uni, SOT5-N, SOT5-Bi, and SOT5-Uni were labeled as conditions 1, 2, 3, 4, 5, and 6. Then, the RANDBETWEEN formula built in Microsoft Excel (Microsoft, Seattle, WA, United States) was a method to select a range of numbers (1–6) to use in the randomizing process. Each trial lasted for 90 s ([Chien et al.,](#page-16-22) [2014](#page-16-22)). Participants' feet were placed according to the method described in [Vanicek et al. \(2013\)](#page-17-11). Participants were instructed to stand upright on the two force plates (one for each foot) without shoes, and to place their feet according to their height. The ankles of the participant should be aligned with the thick horizontal line running through the axis of rotation of the force plate. This can be achieved by aligning the experimenter's thumb with the participant's medial malleolus and the experimenter's fingers with the horizontal line. Following this, the participant should place the outside of their heels on the vertical line marked by the letter "T" on the surface of the force plate. Also, these participants were instructed to keep their arms relaxed at their sides throughout each data collection. To ensure consistency between participants, the unilateral VS was administered through the tactor placed on the right mastoid process. Between trials, a one-minute of mandatory sitting rest was assigned [\(Lin et al., 2022](#page-17-19)). After 1 min rest, participants were asked to perform sit-to-stand and to walk 6 m straightly. Then, a short questionnaire, Niigata Persistent Postural-Perceptual Dizziness questionnaire [\(Yagi](#page-17-22) [et al., 2019](#page-17-22)) was administered to each participant at the end of each trial to assess whether they experienced any uncomfortable sensations after this standing trial. The question #Q1, #Q3, #Q6, and #Q7 were selected in this questionnaire as follows: Q1) Quick movements such as standing up or turning your head, Q3) Walking at a natural pace, Q6) Sitting upright in a seat without back and arm support, and Q7) standing without touching fixed objects. Participants were asked "please indicate your answer by circling a Yes or No that best describe the extent to which you feel any discomfort or dizziness during or after the experimental trial." The experiment was terminated if the answer from this questionnaire was filled as "Yes," or participants felt any discomfort during any of the standing conditions. A data collection took approximately one and a half hours.



# <span id="page-4-0"></span>2.4 Data analysis

Three types of measures in the present study were used to evaluate the balance control as follows: The total traveling distance ([Lemay et al., 2014](#page-16-23)), Performance Ratio ([Nashner et al., 1982](#page-17-13); [Chien](#page-16-22) [et al., 2014](#page-16-22)), and Sample Entropy ([Montesinos et al., 2018\)](#page-17-17).

### 2.4.1 Total traveling distance of CoG

A total traveling distance was the sum of CoG moving distance between two time points (e.g., the CoG distance from the zero to the 0.01 s, and from the 0.01 s to the 0.02 s and so on). The total traveling distance of CoG was calculated in both AP and ML directions.

$$
Total Traveling Distance of CoG = \sum_{time=0s}^{time=90s} |CoG_{time+0.01s} - CoG_{time}|
$$

### 2.4.2 Performance ratio of CoG (PR)

Using the numerical integral of the rectified sway signal (removed from the steady-state offset) and scaling the results as a percentage of maximum sway during standing, a PR was determined ([Nashner et al., 1982](#page-17-13)). Before data collection was given, participants need to stand on force plates with eye-opened and fixed surface for 10 s. The CoG coordinates in AP and ML directions in these 10 s were averaged as the CoGsteady\_state. Then, participants were instructed to lean forward, backward, lateral-toward-right or lateral-toward-left as possible as they can before taking a step. Therefore, CoGmax in the AP direction was defined as range of the maximum lean forward (anterior direction) and the maximum lean backward (posterior direction) before taking a step. Also, CoGmax in the ML direction was defined as range of the maximum lateral-toward-right and the maximum lateraltoward-left before taking a step. PR values approaching

100 indicate a loss of balance, whereas PR values approaching zero indicate stable postural control. Also, if participants stepped off from the force plates, PR values was assigned as 100 immediately.

$$
PR = \sum_{time=0s}^{time=90s} \frac{|CoG_{time} - CoG_{steady\_state}|}{CoG_{max} - CoG_{steady\_state}}
$$

#### 2.4.3 Sample entropy (SampEn) of CoG

The sample entropy was used to assess the regularity or predictability within CoG movements in time-series collected under different conditions and in different experimental groups (e.g., genders). The working definition in studies ([Richman and](#page-17-15) [Mooram, 2000](#page-17-15); [Lubetzky et al., 2018;](#page-17-18) [Montesinos et al., 2018;](#page-17-17) [Lin](#page-17-19) [et al., 2022](#page-17-19)) was that more regular movements represented more predictable movements, required less degree of freedom of movements, and produced lower entropy values. In contrast, more irregular movements indicated less predictable movements, required higher degrees of freedom of movements, and produced higher entropy values. SampEn was calculated by the negative natural logarithm of the conditional properties that a series of data pointed a certain distance apart, m, would repeat itself at  $m+1$ . Also, SampEn took the logarithm of the sum of conditional probabilities as SampEn (*m*, γ, τ, *N*), where *m* was the embedding dimension, γ was tolerance, τ was the time delay, and N was a timeseries data set of length.

$$
SampEn = -\ln \frac{d[X_{m+1}(i), X_{m+1}(j)]}{d[X_m(i), X_m(j)]}
$$

where both  $d[x_m(i), x_m(j)]$  and  $d[x_{m+1}(i), x_{m+1}(j)]$  were smaller than *γ*. The *γ* = 0.2 and *m* = 3 were set in this study, followed by [Montesinos et al. \(2018\)](#page-17-17). In Montesinos et al.'s study, applying  $\gamma$  = 0.2 and  $m = 3$  can identify the subtle differences in center of pressure trajectories between the older fallers and older non-fallers. This present study also followed [Lin et al., 2022](#page-17-19) that applying time delay  $(\tau = 5)$  to the CoG data in both AP and ML directions. After an adjustment from 100 Hz to 20 Hz using time delay ( $\tau$  = 5), a length of N became a data set of 1,800 data points (20 Hz  $\times$  90 s; [Lin et al.,](#page-17-19) [2022;](#page-17-19) [Montesinos et al., 2018\)](#page-17-17). The rationale using time delay was that using a unity delay ( $\tau = 1$ ) might only catch the linear autocorrelation properties of the signal and would mask the ability of SampEn to quantify the "true" regularity and nonlinear feature in the time-series ([Kaffashi et al., 2008\)](#page-16-24).

### 2.5 Statistical analysis

A Shapiro-Wilk normality test with an alpha value of 0.05 was used to evaluate the normality for each dependent variable. The dependent variables were total traveling distance of CoG, PR of CoG and SampEn of CoG values in both AP and ML directions. Also, an independent t-test was used to compare the weight and height between females and males.

- If the data were normally distributed, a three-way mixed repeated measure ANOVA (2 SOT conditions x 3 VS x 2 sex groups) was applied to investigate the VS effect, the sex effect, VS effect as well as the interaction between these three effects. Post-hoc comparisons using the Tukey method were performed if an interaction existed in each dependent variable.
- If the data was not normally distributed, the Brunner and Langer non-parametric longitudinal data model was used to investigate the within-participant effect (2 SOT conditions x 3 VS conditions) and the between-participants effect (2 sex groups) ([Brunner et al., 2002\)](#page-16-25). The Wilcoxon Signed Rank Test was used for post hoc comparisons comparing the effects of different SOT conditions in each group if there existed an interaction. Comparing sex groups in each SOT condition with/without different VS were conducted using the Mann-Whitney U-test.

The sample size of this study was based on the previous study's result [\(Chiu and Wang, 2007](#page-16-26)), recruitment of 15 males and 15 females would generate a power of 80% and a level of significance of 5% (two-sided) for detecting a true difference in muscle activation between the males and females during walking. The partial eta squared method was used to evaluate effect size in the present study, based on Cohen's guideline 0.138 for a large effect size, 0.059 for a moderate effect size, and 0.01 for a small effect size ([Cohen, 1988\)](#page-16-27). Statistical analysis was completed in SPSS 26.0 (IBM Corporation, Armond, NY).

# 3 Results

# 3.1 Participant's information

In this study, there was no statistical sex differences in age (females: 35.06 ± 17.83 years old vs. males: 34.80 ± 17.50 years old) but in weight (females:  $60.93 \pm 9.31$  kg vs.  $75.4 \pm 10.29$  kg,  $p < 0.001$ ) and in height (females:  $165.60 \pm 5.09$  kg vs.  $174.80 \pm 6.00$  kg,  $p < 0.001$ ).

### 3.2 Normality tests

The results of the Shapiro-Wilk test revealed that the alpha value was greater than 0.05 for total traveling distances, PR values, SampEn values in both AP and ML directions, indicating the normal distribution. Thus, a three-way mixed ANOVA (2 SOT conditions x 3 VS x 2 sex groups) was applied to investigate the VS effect, the sex effect, VS effect as well as the interaction between these three effects.

### 3.3 The results of mixed three-way repeated measure

### 3.3.1 The effect of sex

A significant effect of sex was found in the PR in the AP direction (F<sub>1, 28</sub> = 5.548,  $p = 0.026$ ), in the SampEn values in the AP directions (F<sub>1, 28</sub> = 5.611,  $p = 0.025$ ) and in the ML direction  $(F<sub>1,28</sub> = 92.164, p < 0.001)$ . The results showed that females demonstrated greater PR value in the AP direction than in males. Also, significantly greater Entropy values were found in both AP and ML directions. More details are shown in [Tables 1](#page-6-0)–[6.](#page-11-0)

### 3.3.2 The interaction between the effect of sex and the effect of different SOT conditions

A significant interaction was found in the total traveling distance in the AP direction (F<sub>1, 28</sub> = 5.735,  $p = 0.024$ ), in the PR in the AP direction ( $F_{1, 28}$  = 5.876,  $p$  = 0.022), in the PR in the ML direction ( $F_{1,}$ )  $_{28}$  = 6.712,  $p$  = 0.015), in the SampEn values in the AP directions (F<sub>1</sub>,  $_{28}$  = 11.749,  $p = 0.002$ ) and in the ML direction (F<sub>2, 56</sub> = 52.621,  $p$  < 0.001). More details are shown in [Tables 1](#page-6-0)–[6.](#page-11-0)

### 3.3.3 The interaction between the effect of sex and the effect of VS

A significant interaction was found in the total traveling distance in the AP direction ( $F_{2, 56} = 3.975$ ,  $p = 0.024$ ), in the PR in the ML direction ( $F_{2, 56} = 9.308$ ,  $p < 0.001$ ), in the SampEn values in the AP directions ( $F_{2, 56} = 4.781$ ,  $p = 0.012$ ) and in the ML direction (F<sub>2, 56</sub> = 20.572,  $p < 0.001$ ). More details are shown in [Tables 1](#page-6-0)–[6.](#page-11-0)

### 3.3.4 The interaction among the effect of sex, the effect of SOT condition, and the effect of VS

A significant interaction was found in total traveling distance in the AP direction (F<sub>2, 56</sub> = 3.379,  $p = 0.041$ ), in the PR in the ML direction ( $F_{2, 56}$  = 16.809,  $p$  < 0.001), in the SampEn values in the AP directions ( $F_{2, 56} = 7.118$ ,  $p = 0.002$ ) and in the ML direction  $(F_{2, 56} = 50.889, p < 0.001)$ . Pairwise comparisons corrected by Tukey method revealed that the total traveling distance in the AP direction was significantly less in females than in males ( $p = 0.01$ , [Figure 2\)](#page-12-0), and the PR in the ML direction was significantly greater in females than in males while standing ( $p = 0.001$ , [Figure 3](#page-12-1)) in SOT5 with Bi VS. Also, For the Entropy measure, significantly greater SampEn values were found in females than in males in the AP ( $p < 0.001$ ) and in the ML ( $p < 0.001$ ) directions while standing in SOT1 with Bi VS. More details were shown in [Figure 4.](#page-13-0)

<span id="page-6-0"></span>TABLE <sup>1</sup> The statistical results of Performance ratio of Center of Gravity in the anterior-posterior direction (PR\_AP). SOT1, sensory organization test condition 1; SOT5, sensory organization test condition 5; VS, vestibular stimulation; N, no VS, Uni, unilateral VS; Bi, bilateral VS; Female-SOT1, females stood in SOT1; Female-SOT5, females stood in SOT5; Male-SOT1, males stood in SOT1; Male-SOT5, males stood in SOT5; Female-N, female stood without VS; Female-Uni, females stood with unilateral VS; Female-Bi, females stood with bilateral VS; Male-N, males stood without VS; Male-Uni, males stood with unilateral VS; Male-Bi, males stood with suitateral VS; Malestanding in SOT1 without VS; SOT1-Uni, standing in SOT1 with unilateral VS; SOT1-Bi, standing in SOT1 with bilateral VS; SOT5-N, standing in SOT5 without VS; SOT5-Uni, standing in SOT5 with unilateral VS; SOT5-Bi, standing in SOT5 with bilateral VS; NA, the interaction did not reach the significant level; NS, not significant.







TABLE 3 The statistical results of Sample Entropy of Center of Gravity in the anterior-posterior direction (SampEn AP). SOT1, sensory organization test condition 1; SOT5, sensory organization test condition 5; VS, vestibul stimulation; N, no VS; Uni, unilateral VS; Bi, bilateral VS; Female-SOT1, females stood in SOT1; Female-SOT5, females stood in SOT5; Male-SOT1, males stood in SOT1; Male-SOT5, males stood in SOT5; Female-N, female stood without VS; Female-Uni, females stood with unilateral VS; Female-Bi, females stood with bilateral VS; Male-N, males stood without VS; Male-Uni, males stood with unilateral VS; Male-Bi, males stood with suitateral VS; Malestanding in SOT1 without VS; SOT1-Uni, standing in SOT1 with unilateral VS; SOT1-Bi, standing in SOT1 with bilateral VS; SOT5-N, standing in SOT5 without VS; SOT5-Uni, standing in SOT5 with unilateral VS; SOT5-Bi, standing in SOT5 with bilateral VS; NA, the interaction did not reach the significant level; NS, not significant.











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<span id="page-11-0"></span>TABLE 6 The statistical results of Traveling distance of Center of Gravity in the medial-lateral direction (TD in ML). SOT1, sensory organization test condition 1, SOT5, sensory organization test condition 5; VS, vestibula stimulation; N, no VS; Uni, unilateral VS; Bi, bilateral VS; Female-SOT1, females stood in SOT1; Female-SOT5, females stood in SOT5; Male-SOT1, males stood in SOT1; Male-SOT5, males stood in SOT5; Female-N, female stood without VS; Female-Uni, females stood with unilateral VS; Female-Bi, females stood with bilateral VS; Male-N, males stood without VS; Male-Uni, males stood with unilateral VS; Male-Bi, males stood with bilateral VS; SOT1-N standing in SOT1 without VS; SOT1-Uni, standing in SOT1 with unilateral VS; SOT1-Bi, standing in SOT1 with bilateral VS; SOT5-N, standing in SOT5 without VS; SOT5-Uni, standing in SOT5 with unilateral VS; SOT5-Bi, standing in SOT5 with bilateral VS; NA: the interaction did not reach the significant level; NS, not significant.





#### <span id="page-12-0"></span>FIGURE 2

Performance ratio of Center of Gravity in the anterior-posterior and medial-lateral direction. †: indicates a significant difference between Sensory organization test condition 1 (SOT 1) and condition 5 (SOT 5). \*: indicates a significant difference between genders. N: no vestibular stimulation. Uni: unilateral vestibular stimulation. Bi: bilateral vestibular stimulation.



#### <span id="page-12-1"></span>FIGURE 3

Sample Entropy values of Center of Gravity in the anterior-posterior and medial-lateral direction. †: indicates a significant difference between Sensory organization test condition 1 (SOT 1) and condition 5 (SOT 5). \*: indicates a significant difference between genders. N: no vestibular stimulation. Uni: unilateral vestibular stimulation. Bi: bilateral vestibular stimulation.



<span id="page-13-0"></span>Total Center of gravity travelling distance in the anterior-posterior and medial-lateral direction. †: indicates a significant difference between Sensory organization test condition 1 (SOT 1) and condition 5 (SOT 5). \*: indicates a significant difference between genders. N: no vestibular stimulation. Uni: unilateral vestibular stimulation. Bi: bilateral vestibular stimulation.

# 4 Discussions

It was the objective of this study to determine whether or not sex effect impacted balance control with/without VS during standing in normal and vestibular-demanding situations. Results mostly confirmed the hypothesis that 1) females demonstrated greater PR of CoG in the AP direction and SampEn of CoG in both AP and ML directions than males regardless of whether VS was administered or what SOT conditions participants were in, 2) All participants, regardless of whether VS was administered, showed an increase in PR of CoG, but a decrease in SampEn of CoG, when standing in SOT5 compared to standing in SOT1. Furthermore, females showed greater increases in PR and decreased SampEn of CoG than males in SOT5, 3) Regardless of the SOT conditions participants stood in, VS had a greater impact on females than on males, and 4) As compared to other conditions, females showed the greatest PR of CoG when standing in vestibular-demanding and bilaterally vestibular-disturbed conditions (SOT5, the most challenging task).

# 4.1 A sex effect on balance control

This study was funded by NASA in order to determine whether there were any differences in balance control between the sexes when performing a simple task - standing. Regardless of whether VS was administered or what SOT conditions participants were in, healthy females had a greater PR of CoG in the AP direction and a greater SampEn of CoG in both directions than healthy males. In contrast to males, females controlled balance by largely adjusting instantaneous sway, as evidenced by a greater PR of CoG. Moreover, a greater SampEn of CoG may be explained by the fact that females used higher degrees of freedom in their movement patterns (more irregular movements) as a result of an exploratory approach to maintain balance in both the AP and ML directions in time series ([Chien et al., 2016\)](#page-16-28). The above-mentioned differences in balance control between men and women may be the result of physiological and anatomical differences ([Bowman et al., 2000](#page-16-1); [Corazzi et al.,](#page-16-2) [2020;](#page-16-2) [Lien and Yang, 2021](#page-16-3); [El Khiati et al., 2022\)](#page-16-4), as well as differences in body mass and height ([Greve et al., 2013\)](#page-16-29). In light of these findings, it is apparent that both rehabilitation for patients with vestibular disorders and the diagnosis of astronauts' sensorimotor functions should consider sex effects on balance control. It was also noted that the balance control could be improved by utilizing a real-time visual feedback system of CoG trajectory ([Wang et al., 2021\)](#page-17-23). Specifically, this type of training has been shown to improve balance control more in females than in males [\(Wang et al., 2021](#page-17-23)).

# 4.2 The interaction between the sex effect and SOT condition effect

As expected, both sexes showed a greater PR of CoG when standing under vestibular-demanding conditions (SOT5) than when standing normally (SOT1). Also, whether or not VS was applied, the sex effect on PR of CoG in both AP and ML directions as well as SampEn of CoG in ML directions was significant while standing SOT5. These results could be explained by the concept of internal model [\(Merfeld et al., 1999](#page-17-24)). The term internal model referred to the notion that the central nervous system (CNS) was capable of storing and updating information about the body in light of its external environment. To illustrate, in this study, a participant with blindfolded while standing on a sway-reference

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support surface (SOT5) could generate an initial internal mode (self-estimate) based on previous life experiences. As the surface began to rotate with respect to this participant, the initial internal model might become inaccurate. This might result in CNS having to prepare for another revision, thereby causing an increase in PR of CoG and an decrease in SampEn of CoG. It was possible that the PR of CoG of this participant might decrease gradually after becoming familiar with the scenario. Based on the abovementioned procedures, [Ito \(2008\)](#page-16-30) proposed a conceptual structure of an internal model that control contained several major components: an instructor (prefrontal cortex), controller (motor cortex), controlled object (a body part), sensory system (visual, somatosensory, vestibular systems), forward model, and inverse model. In the current study, while standing in SOT5, the instructor (prefrontal cortex) first received environmental information from sensory systems (mostly from the vestibular system since two other sensory systems were disrupted) and then instructed the controller (motor cortex) accordingly. As a next step, the controller sent a motor command to a body part in order to maintain balance. Also, the controller sent a signal back to the internal model (forward model) to compare the actual body position with the predicted body position. When the predicted body position differs from the actual body position, the forward model may correct the differences and send the correction back to the motor cortex. As a result of repeatedly executing the procedure described above, the internal model was well-trained and turned to an inverse model for handling the similar situation rapidly next time, presenting better balance control in the same SOT 5 ([Wolfson et al., 1994\)](#page-17-6). As a result of this concept, it was reasonable to assume that the different anatomical structures within the vestibular system between males and females [\(Bowman et al., 2000](#page-16-1); [Corazzi et al.,](#page-16-2) [2020](#page-16-2); [Lien and Yang, 2021](#page-16-3); [El Khiati et al., 2022\)](#page-16-4) resulted in instructors (prefrontal cortex) giving different instructions to controllers (motor cortex) when the vestibular system was heavily relied. This resulted in a increase in PR of CoG and SampEn of CoG for females than males (when standing in SOT5). Despite the absence of sensorimotor training in this study, the above-mentioned procedure can serve as the basis for sensorimotor training in pathological groups, such as patients with vestibular disorders and astronauts. It was possible to develop an appropriate internal model for dealing with unfamiliar and unpredictable situations, such as those encountered on the moon and on Mars, by continuously exploring unfamiliar sensory-conflicted environment.

Standing in different sensory-conflicted situations, the PR of CoG would represent the outcomes of balance control, while the SampEn of CoG would represent the pathways to achieve these outcomes. One well-known example was Bernstein's hammer stroke experiment (1923). A simple hammer stroke task could be extremely complex in Bernstein's study (1923), and nails could be hit in a variety of ways, including abductive and vertical strokes. Despite similar trajectories, there were differences in the changes in joint coordinates or muscle activation over time in hammerheads. According to the findings of the present study, both males and females utilized lower degrees of freedom in balance control (less random) in SOT5 than in SOT1 in the AP direction. Furthermore, only females, but not males, exhibited a lower degree of freedom in balance control in the ML direction in

SOT5 compared to SOT1. These decreases in degrees of freedom in males and females could be explained as the first response to handle unfamiliar situation. In the current study, none of these participants experienced standing in SOT5 environment; therefore, to limit the degree of freedom in the movement might be the most convenient method to maintain the balance control ([Lin et al., 2022](#page-17-19)) while standing with blindfolded and swayreference surface. It has been reported that females tended to rely on somatosensory system more than males to control balance while standing on moving surface ([Schulleri et al., 2022\)](#page-17-25). Thus, in SOT5, females reduced degrees of freedom not only in the AP direction, but also in the ML direction. This may be due to females having difficulties dealing with balance control while both visual and somatosensory systems were perturbed simultaneously. Importantly, the degree of freedom in both males and females in the present study could be used as a fundamental reference for identifying the progress in motor leaning [\(Guimarães et al., 2020\)](#page-16-31) in pathological groups, such as patients with vestibular disorders or in astronauts in the future.

### 4.3 The interaction between the sex effect and VS effect

As far as we are aware, this was the first study to investigate the interaction between the sex effect and the VS effect on balance control regardless of whether the participants were standing in SOT1 or SOT5. It is interesting to note that females increased PR of CoG in the ML direction regardless of whether unilateral or bilateral VS was applied. In contrast, males increased PR of CoG in the ML direction only when unilateral VS was applied. Furthermore, only unilateral VS increased the SampEn of CoG in the ML direction in females whereas none of the VS affected the SampEn of CoG in males. Three observations need to be addressed with regard to these findings: 1) why did VS only affect balance control in the ML direction, but not in the AP direction, 2) why did VS have a greater effect on balance control in females than in males, 3) why did unilateral VS appear to affect SampEn of CoG more than bilateral VS in females than in males? [Baudy and Kuo \(2000\)](#page-16-32) explain the first observation by the fact that balance control was more difficult in the ML direction than in the AP direction. It was possible that the second observation can be substantiated by the fact that females were more sensitive to vestibular signals than males ([Sung](#page-17-3) [et al., 2011;](#page-17-3) [Battersby, 2019](#page-16-5); [Schubert et al., 2022](#page-17-4)). Third, despite standing normally with visual support, unilateral VS, but not bilateral VS, significantly increased the SampEn of CoG in the ML direction in older adults. In [Lin et al., 2022](#page-17-19) explanation, the deterioration of the vestibular system by aging caused older healthy adults to compensate for the disruption in the unilateral vestibular system by increasing their degree of freedom in the ML direction. As shown in the present study, it is not necessary to explain the phenomenon by a deterioration of vestibular function, rather, the higher sensitivity of vestibular system in females than in males was more likely to be the cause. These results confirmed the feasibility to use the VS to identify the differences in balance control between males and females, which has been used to identify the differences in balance control by aging [\(Lin et al., 2022](#page-17-19)). Therefore, it might be possible to apply this VS technology to identify the vestibular-related balance control in patients with various types of vestibular disorders and astronauts.

### 4.4 The interaction among SOT condition effect, sex effect and VS effect

When it comes to discussing the interaction between SOT condition effect, sex effect and VS effect, it has been found that PR of CoG in the ML and total CoG traveling distance in the AP direction were significantly different between males and females when standing in the most challenging condition (SOT5) with bilateral VS. Specifically, males demonstrated a greater total CoG traveling distance in the AP direction than females; however, females showed a greater PR of CoG in the ML direction than males in such a challenging condition. It is important to note that the total CoG traveling distance and the PR of CoG have been interpreted differently. The total CoG traveling distance represented the total amount distance the CoG travels; however, the PR of CoG indicated the sum of amplitude of instantaneous CoG travelled compared to the maximum amplitude of CoG travelled. On one hand, the greater body mass and height of males, according to Greve et al.'s (2012) study, explain greater total body travel distance than that of females while standing a such challenging condition. On the other hand, it must be taken into consideration that the greater amplitude of the instantaneous CoG traveled in a vestibulardisturbed and vestibular-demanding environment might increase the potential risks of falls for females.

### 4.5 Conclusion

The sex effect on vestibular function in astronauts generally has been ignored because most astronauts are males. As NASA intends to send a female to the Moon in 2024, it is essential to clarify how women differ from men when it comes to balance control while standing in a vestibular-demanding and unpredictable environment. As a result of the present study, it can be concluded that in the future, when performing space missions or evaluating vestibular function pre- and post-spaceflight, the sex effect must be taken into consideration. In addition, one strength of this study was the use of the VS as an unpredictable vestibular disruption in order to assess the sex differences in standing on an environment that is vestibulardemanding. It was also a strength of this study that multiple practical approaches were employed in order to quantify balance control in sensory-conflicted conditions. These results could serve as fundamental references for future comparisons of balance control between pathological groups and astronauts.

# 4.6 Limitations

There were a couple of limitations in the present study and need to be performed in the future:

• The purpose of the present study was to examine the sex differences in vestibular-related balance control while standing, rather than when walking, where frequent falls are more likely to occur. It is necessary to conduct further studies to examine the sex effect on vestibular-related balance control when walking in vestibular-demanding and vestibulardisrupted environments.

• Considering that no sensorimotor training was conducted in the present study, it is unknown whether training in such vestibular-demanding and vestibular-disrupted conditions would enhance the ability to maintain balance control in unpredictable environments. This question needs to be addressed in future studies.

# Data availability statement

The original contributions presented in the study are included in the article/[Supplementary Material,](#page-16-33) further inquiries can be directed to the corresponding authors.

# Ethics statement

The studies involving humans were approved by the University of Nebraska Medical Center (IRB Protocol # 379-17-EP). The studies were conducted in accordance with the local legislation and institutional requirements. The participants provided their written informed consent to participate in this study.

# Author contributions

LZ: Conceptualization, Data curation, Formal Analysis, Funding acquisition, Investigation, Methodology, Writing–original draft, Writing–review and editing. CQ: Supervision, Validation, Writing–review and editing. JC: Conceptualization, Data curation, Formal Analysis, Funding acquisition, Investigation, Methodology, Project administration, Resources, Software, Supervision, Visualization, Writing–original draft, Writing–review and editing.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# <span id="page-16-33"></span>Supplementary material

The Supplementary Material for this article can be found online at: [http://www.frontiersin.org/articles/10.3389/fphys.2023.1298672/](http://www.frontiersin.org/articles/10.3389/fphys.2023.1298672/full#supplementary-material) [full#supplementary-material](http://www.frontiersin.org/articles/10.3389/fphys.2023.1298672/full#supplementary-material)

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