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Z-ACA allotrope: a topological carbon material with obstructed Wannier charge center, real topology, and hinge states

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As the most prevalent element on our planet, carbon manifests a wide variety of allotropic phases, significantly contributing to its complex physical properties. Recently, several carbon allotropes have been reported to possess abundant topological phases in theory and experiment. This work focuses on a sp^3 carbon allotrope, Z-ACA allotrope, which consists of 5-6-7-type Z-ACA carbon rings. This allotrope has been reported previously as a superhard material comparable to diamond. In this study, we report that it is a candidate for both an obstructed atomic insulator and a real Chern insulator. It is worth mentioning that Z-ACA exhibits an unconventional bulk-boundary correspondence due to its hinge boundary state manifestation. Our current research indicates that Z-ACA is a suitable carbon phase platform for studying the real topology and second-order bulk-boundary correspondence.

KEYWORDS

topological carbon material, obstructed Wannier charge center, real topology, hinge states, Z-ACA allotrope

Introduction

Carbon [1–3] is widely regarded as the most active element inside the periodic table owing to its extensive capacity for sp , sp^2 , and sp^3 hybridization. The flexibility of carbon's bond hybridizations enables it to exhibit a wide range of allotropes, such as diamond, graphite, and amorphous carbon. There is considerable variety observed in the physicochemical features of these allotropes. Researchers are presently involved in the theoretical and experimental production of new carbon allotropes [4–10] with the aim of identifying materials that exhibit desirable electronic, magnetic, mechanical, thermal, and topological properties.

Discovering the topological properties and their physical origins for carbon materials is currently a very active area in condensed matter physics [11]. In recent years, there has been a significant surge in interest surrounding the phenomenon of magic-angle twisted bilayer graphene [12, 13]. The recently developed 2D material class, which is based on carbon, demonstrates a wide range of appealing electronic states, such as superconductivity and topological nontrivial electronic states. Several three-dimensional (3D) carbon allotropes [14–17] have been shown to display intriguing topological electronic states in addition to 2D twisted bilayer graphene. Note that 1D carbon systems, like certain graphene nanoribbons, may also host topological behaviors [18–20].

The field of topological quantum chemistry theory has made significant progress in reclassifying insulators that were previously regarded as trivial ones. These reclassifications

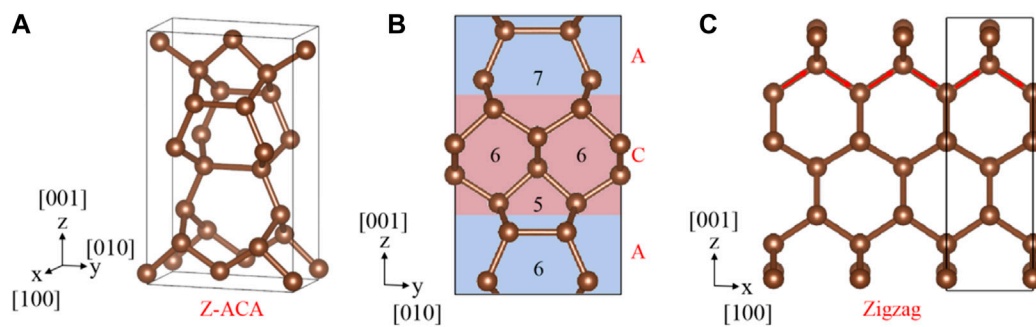


FIGURE 1

(A–C) The primitive cell and corresponding side and top views of Z-ACA carbon. Here, Z denotes that the framework of the system is constructed with zigzag carbon chains along the [100] direction. The numbers in the side view panels indicate the number of carbon atoms in the rings. Z-ACA contains 5-, 6- and 7-carbon rings.

of trivial insulators now include obstructed atomic insulators (OAIs) and atomic insulators [21–28]. This reclassification is based on the Wyckoff positions (WPs) of the orbitals that induce the band representations (BRs). Orbitals situated at atomic-occupied WPs induce the BRs of atomic insulators. On the other hand, the BRs of OAIs are generated by orbitals situated at both atom-occupied and atom-unoccupied WPs. The term “Obstructed Wannier charge centers (OWCCs)” [29, 30] pertains to atom-unoccupied WPs in OAIs.

In addition, it is crucial that the band eigenstates exhibit real topology [31–35] due to specific symmetry constraints, including spacetime inversion symmetry and the lack of spin-orbit coupling (SOC) phenomenon. The real Chern insulator (RCI) state has been discovered in numerous two-dimensional systems [36–41]. The notion is expanded to include 3D systems, which is of considerable importance. 3D RCIs are commonly linked to unconventional bulk-boundary correspondence, namely, hinge states [42–44], as they depict boundary states in two dimensions that are smaller than the 3D bulk.

In this work, using first-principle calculations, we will report that the sp^3 carbon allotrope, Z-ACA allotrope, is a new candidate for OAI and RCI. We would like to point out that the stability, electronic, and mechanical properties of the Z-ACA allotrope have been investigated using a first-principles method by He et al. [45]. However, other researchers have not investigated the OAI and RCI states for Z-ACA due to materials with nontrivial real band topology having become a focus of current physics research within the last 2 years. Figure 1 shows the crystal structure of Z-ACA with Pmmn space group; the C atoms occupy an equivalent Wyckoff position of 2a (0.00000, 1.00000, 0.95706), 2b (0.00000, 0.50000, 0.93126), and 4e (0.00000, 0.76406, 0.82886). The optimized lattice constants $a = 2.521 \text{ \AA}$, $b = 4.759 \text{ \AA}$, and $c = 7.930 \text{ \AA}$ in this work are comparable with others ($a = 2.521 \text{ \AA}$, $b = 4.76 \text{ \AA}$, $c = 7.930 \text{ \AA}$) [45].

Methods

The Vienna *ab initio* simulation package (VASP) [46] was employed to carry out the calculations within the framework of

density functional theory. The Perdew-Burke-Ernzerhof [47] functional with generalized gradient approximations [48] was adopted to describe the exchange-correlation interactions. The computations were conducted using a plane-wave cutoff of 500 eV. The convergence criterion for the electronic self-consistency loop was established at 10^{-7} eV on the $13 \times 7 \times 4$ Monkhorst-Pack k -point mesh. In terms of structural relaxation, the Hellmann-Feynman forces acting on each atom were assumed to be -0.01 eV/\AA . The real Chern number ν_R can also be evaluated by using the Wilson-loop method, similar to the well-known Wilson-loop method for conventional topological insulators [49, 50]. The Wilson loop method traces the Wannier charge centre (Berry phase) evolution of valence wavefunctions between time-reversal-invariant momentum. The Wannier tight-binding method utilizes localized Wannier functions as basis orbitals to capture the compound’s physics. These basis Wannier functions are obtained from first-principles simulations. This procedure is implemented in the Wannier90 code [51].

Results and discussion

Firstly, we will assess the feasibility of identifying Z-ACA as an OAI. The OAI state of Z-ACA can be determined by applying the theory of elementary band representation (EBR). The EBRs correspond to the smallest sets of band structures that can be obtained using maximally localized atomic-like Wannier functions. The EBRs for the space group $I4/mmm$ can be derived by combining the EBRs of the maximal WPs (2a, 2b, 4c, and 4d). Hence, we select the maximal WPs 2a, 2b, 4c, and 4d for the EBR decomposition of Z-ACA (see Figure 2).

The electronic bands of trivial insulators can be represented by nonnegative integer Linear Combinations of Elementary Band Representations (LCEBRs) according to the topological quantum chemistry (TQC) theory [52]. Supplementary Table S1 presents the LCEBRs derived from the populated electronic bands of Z-ACA. Curiously, in the instance of Z-ACA, all the LCEBRs display a nonzero integer combination for EBR ($A_g@4d$). Hence, it may be deduced that the EBR is inseparable and must be linked to the electron-containing WPs by 4d. The carbon atom is situated at the

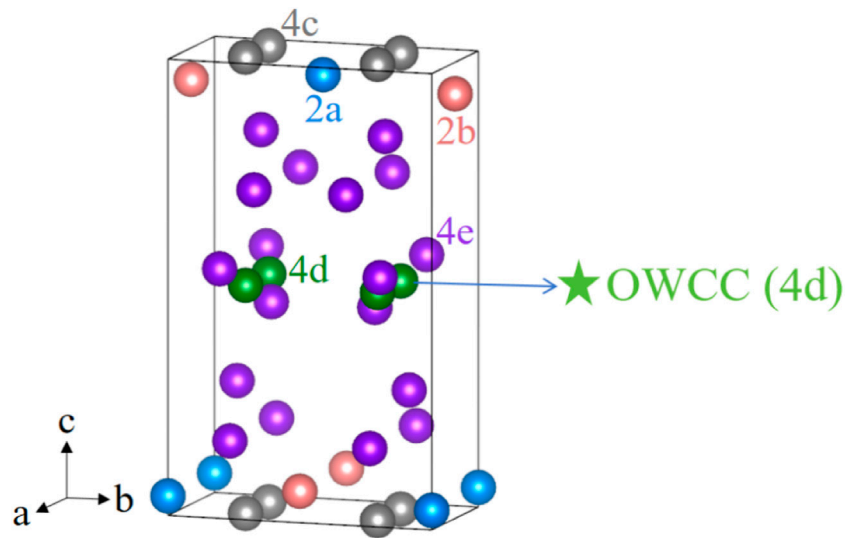


FIGURE 2
The 2a, 2b, 4c, and 4d WPs 2a, 2b, 4c, and 4d. Note that the green pentagram shows the OWCC located at 4d WP.

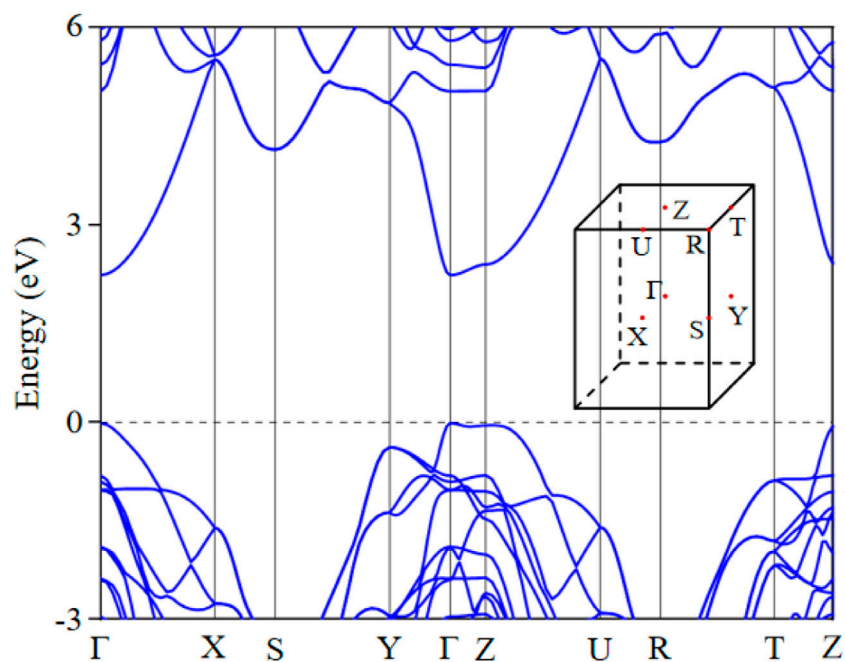


FIGURE 3
Band structure for Z-ACA and the 3D bulk Brillouin zone (BZ) with selected symmetry points.

2a, 2b, and 4e locations, while the 4d position remains unoccupied. Z-ACA fulfills the requirements to be categorized as an OAI, and the 4d WP is the OWCC. The locations of OWCC are illustrated in Figure 2 with green pentagram. Figure 3 depicts the band structure of Z-ACA along the selected high-symmetry paths. Figure 3 clearly displays an insulating gap. Hence, Z-ACA can be viewed as a promising candidate for 3D OAI.

In addition to its OAI nature, Z-ACA exhibits spacetime inversion symmetry and lacks the spin-orbit coupling (SOC) effect (see Supplementary Figure S1), making it a potential candidate for RCI. In order to verify the real Chern topologies of the 3D Z-ACA, it is necessary to calculate the ν_R values for the 2D k_z -slices. In light of the system's global band gap, it can be inferred that all 2D k_z -slices are adiabatically connected, hence necessitating a shared ν_R .

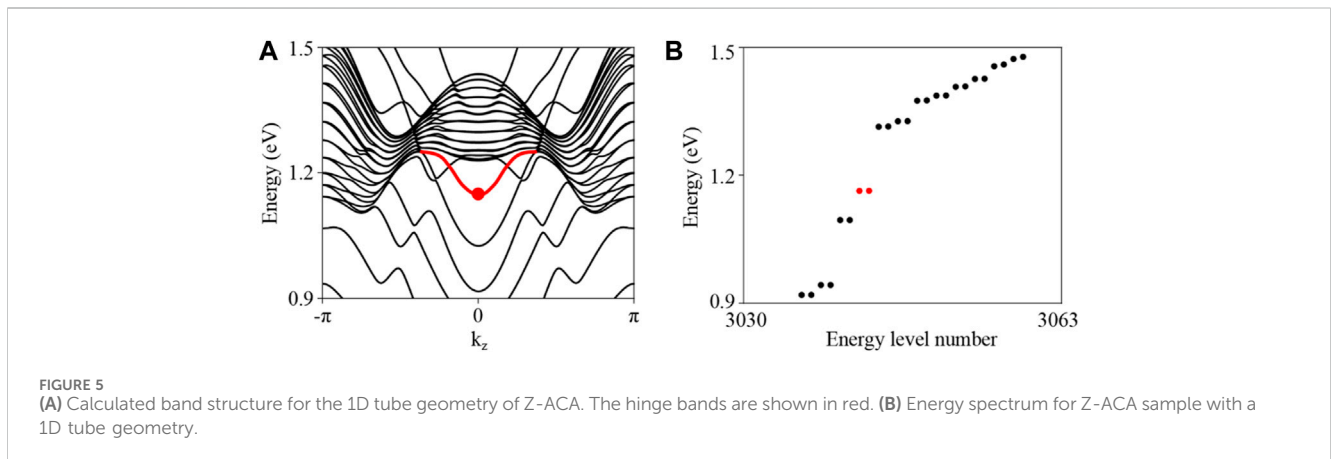
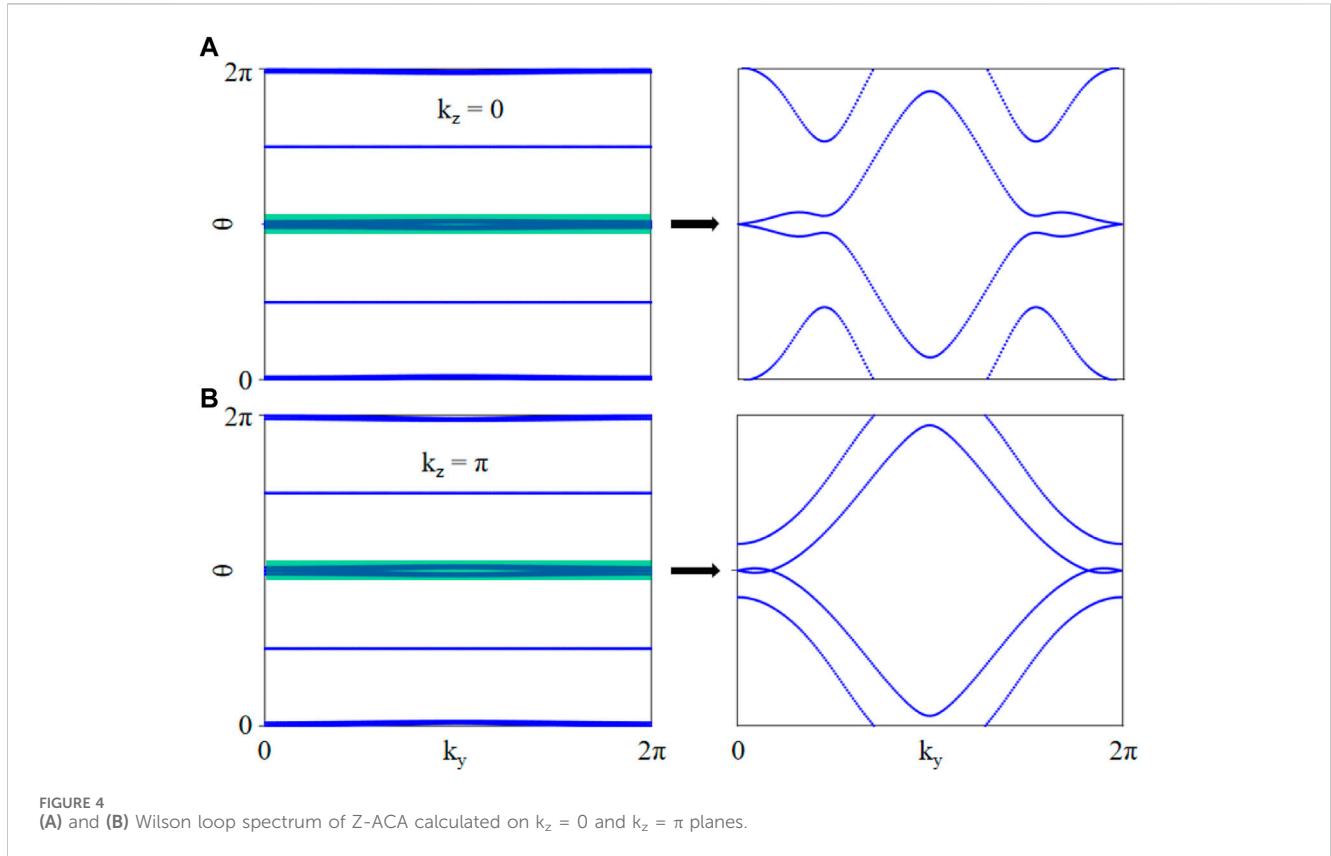
TABLE 1 Parity information of the Z-ACA at the eight time-reversal invariant momentum points.

	$k_z = 0$				$k_z = \pi$			
	Γ	X	M	Y	Z	U	R	T
n_+	18	16	16	16	14	16	16	16
n_-	14	16	16	16	18	16	16	16
ν_R	1				1			

That is, one can select a particular slice, such as $k_z = 0$ or $k_z = \pi$ plane, to determine the ν_R . To calculate the ν_R for the planes mentioned above, one can use the parity eigenvalues at the time-reversal invariant momentum points on each plane, as expressed by the following formula [53, 54]:

$$(-1)^{\nu_R} = \prod_i (-1)^{\lfloor (n_i^{\Gamma_i}/2) \rfloor}$$

The $\lfloor \dots \rfloor$ is the floor function, and $n_i^{\Gamma_i}$ is the number of occupied bands with negative spacetime inversion symmetry eigenvalue at time-reversal invariant momentum point Γ_i .



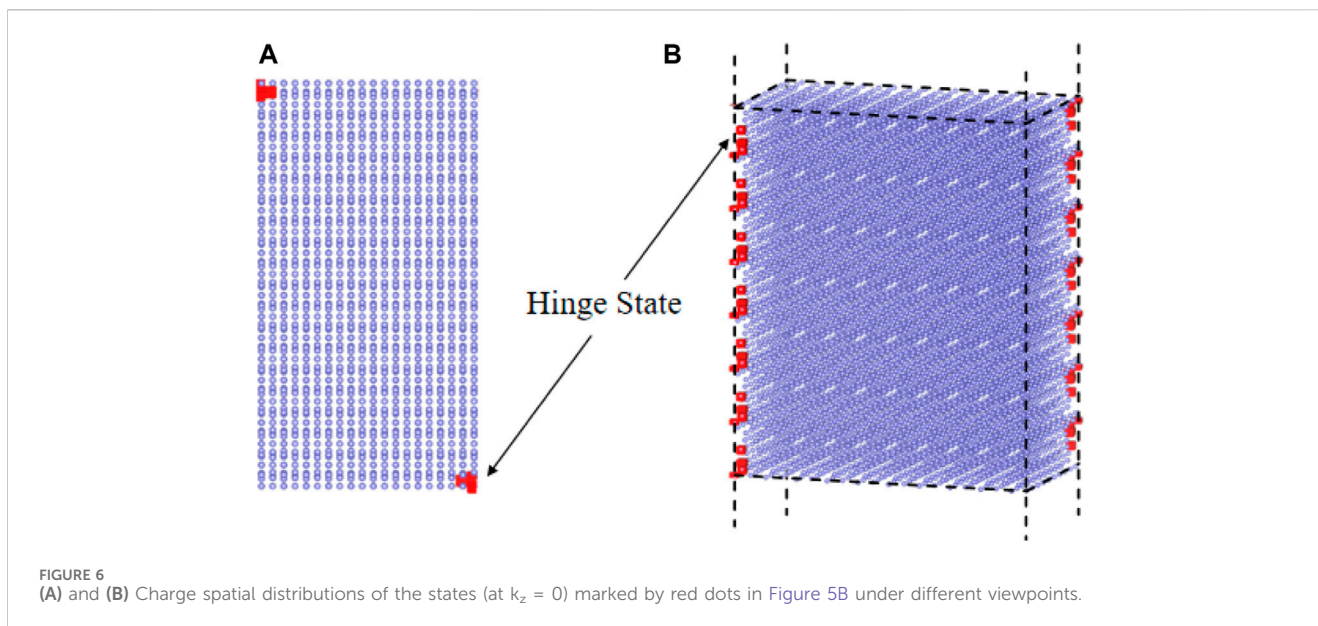


Table 1 presents the v_R values of Z-ACA for the 2D $k_z = 0$ and $k_z = \pi$ planes. A nonzero v_R , which reflects the real topology, is discovered for Z-ACA. Actually, every 2D slice normal to k_z has a nontrivial v_R .

The nontrivial real topology of both planes can also be assessed using the Wilson-loop method. The computation will yield N curves in the θ - k_y diagram, which represents the Wilson loop spectrum. As illustrated in Figure 4, the Wilson loop spectrum overlaps at one point and three points (an odd number of points) for $\theta = \pi$ in the $k_z = 0$ and $k_z = \pi$ planes, showing nontrivial real topology in both planes.

Typically, 3D RCIs will demonstrate unconventional relationships between their bulk and boundary properties [44]. In other words, the 3D Z-ACA will have boundary states that exist in two dimensions less than the 3D bulk. Specifically, these boundary states will be confined to 1D hinges. In order to determine the behavior of possible hinges in Z-ACA, a tight-binding model (TB) was created for a one-dimensional tube with the geometry of Z-ACA. The sample used in the model retained its spacetime inversion symmetry. Later, the Wannier function [55–58] was used to calculate the band structure of the nanotube.

The band structure spectrum for the 1D tube geometry of Z-ACA is displayed in Figure 5. The presence of the hinge band is shown by the red line. Figure 6 depicts the spatial distributions of charges for the degenerate states (represented by red dots) at $k_z = 0$. By examining the wave functions and seeing their localization at the two hinges of the nanotube (see Figure 6), we confirm that the degenerate states are indeed hinge states. As mentioned by Ref. [38], the hinge band for 3D RCI can be viewed as formed by stacking the corner modes of 2D RCI layers along z . Moreover, Hossain et al. [59] pointed out that the periodicity evinces quantum interference of electrons circumnavigating observed around the hinges, which can be applied to efficient topological electronic devices.

Summary

Based on first-principles calculations, we suggest that the carbon allotrope Z-ACA, with the 4d site as OWCC, is a potential candidate for OAI. Further analysis reveals that Z-ACA possesses a real topology characterized by a nontrivial v_R , which is safeguarded by the symmetry of spacetime inversion. The presence of hinge states, which are confined to the two hinges of the Z-ACA tube, was exhibited. The Z-ACA shows excellent potential as a subject for studying the fascinating physics related to real topological phases and hinge states.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

Author contributions

LW: Investigation, Software, Writing–original draft, Writing–review and editing. YL: Conceptualization, Investigation, Software, Writing–original draft, Writing–review and editing.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fphy.2024.1437146/full#supplementary-material>

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