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# Filterless frequency 32-tupling millimeter-wave generation based on two cascaded dual-parallel Mach–Zehnder modulators

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This study proposed a novel scheme for filterless frequency 32-tupling millimeter wave (MMW) generation based on two cascaded dual-parallel Mach–Zehnder modulators (DPMZMs). When the MZMs are biased on a maximum transmission point and the phase difference of the radio frequency (RF) driving voltage between the two MZMs in DPMZM is  $\pi/2$ , the DPMZM can be used as a quadrupler, which can generate  $\pm 4n$ -order optical sidebands. When the phase difference of the RF driving voltage between the two DPMZMs is  $\pi/4$ , the two cascaded DPMZMs can be used as an octupler, which can generate  $\pm 8n$ -order optical sidebands. After the  $\pm 8$ th-order optical sidebands are suppressed by adjusting the modulation index of MZMs, the center carrier is suppressed by a polarization multiplexing structure, and the  $\pm 8n$  ( $n > 2$ ) sidebands are ignored because their amplitudes are very small. The main optical components remaining in the output of the two cascaded DPMZMs are  $\pm 16$ th-order optical sidebands, which are beaten in the photodetector to obtain frequency 32-tupling MMW. The theoretical and experimental optical sideband suppression ratios (OSSRs) and radio frequency spurious suppression ratios (RFSSRs) are 53.7 dB and 53.53 dB and 47.7 dB and 47.33 dB, respectively. The experimental and theoretical analysis is consistent, which verifies the feasibility of the scheme. The influence on the OSSR and RFSSR of the generated signals by the extinction ratio and DC bias drift of the MZMs, the initial phases and the amplitudes of the RF drive signal, and the azimuth of the polarization controller (PC) are investigated.

## KEYWORDS

radio over fiber (RoF), millimeter wave (MMW), Mach–Zehnder modulators (MZMs), optical sideband suppression ratio (OSSR), radio frequency spurious suppression ratio (RFSSR)

## 1 Introduction

Millimeter wave (MMW) signals [1] have been considered a solution in many applications such as broad wireless communication [2, 3], radar [4, 5], phased-array antennas [6, 7], modern instruments, imaging [8, 9], biomedical uses, and terahertz applications [10–12]. Due to the limited response bandwidth of electronic devices and equipment, it is difficult to generate and process MMW signals beyond 100 G through conventional electronics [13]. MMW generation in the optical domain can overcome the limitations of response frequency and electric device bandwidth and offers multiple

advantages, such as high frequency, high spectrum purity, and wide frequency tunability [14, 15]. Therefore, MMW generation in the optical domain has become a hot research topic and has been extensively studied in recent years.

The fundamental principle to generate MMW signals in the optical domain is to heterodyne two optical waves of different wavelengths which beat at a photodetector (PD), in which the generated MMW frequency is the frequency space of the two optical waves. The methods proposed to generate MMW in the optical domain can be roughly divided into five kinds: 1) direct modulation [16]; 2) optical heterodyne [17, 18]; 3) frequency up-conversion [19]; 4) external modulation [20]; 5) nonlinear [21–23] [such as four-wave mixing (FWM), stimulated Brillouin scattering (SBS), cross-phase modulation (XPM), cross-gain modulation (XGM)]; 6) optoelectronic oscillator (OEO) [24, 25]. Among those methods, the external modulation method has evident advantages, such as high modulation bandwidth, high tunability, high-frequency responsiveness, high spectral purity of the generation signal, and excellent system stability. Thus, the current research focuses on MMW generation based on external modulation technology [26].

The main commercial external modulators include the Mach–Zehnder modulator (MZM), phase modulator (PM), and polarization modulator (PoIM). MMW generation with PoIM requires careful control of the polarization of devices and increases the cost of the system. The purity to generate MMW with PM is low. MMW generation with MZMs offers higher reliability, higher frequency multiplication factor [FMF], low phase noise, and frequency tunability; thus, it has become the main method to generate MMWs in the optical domain [27].

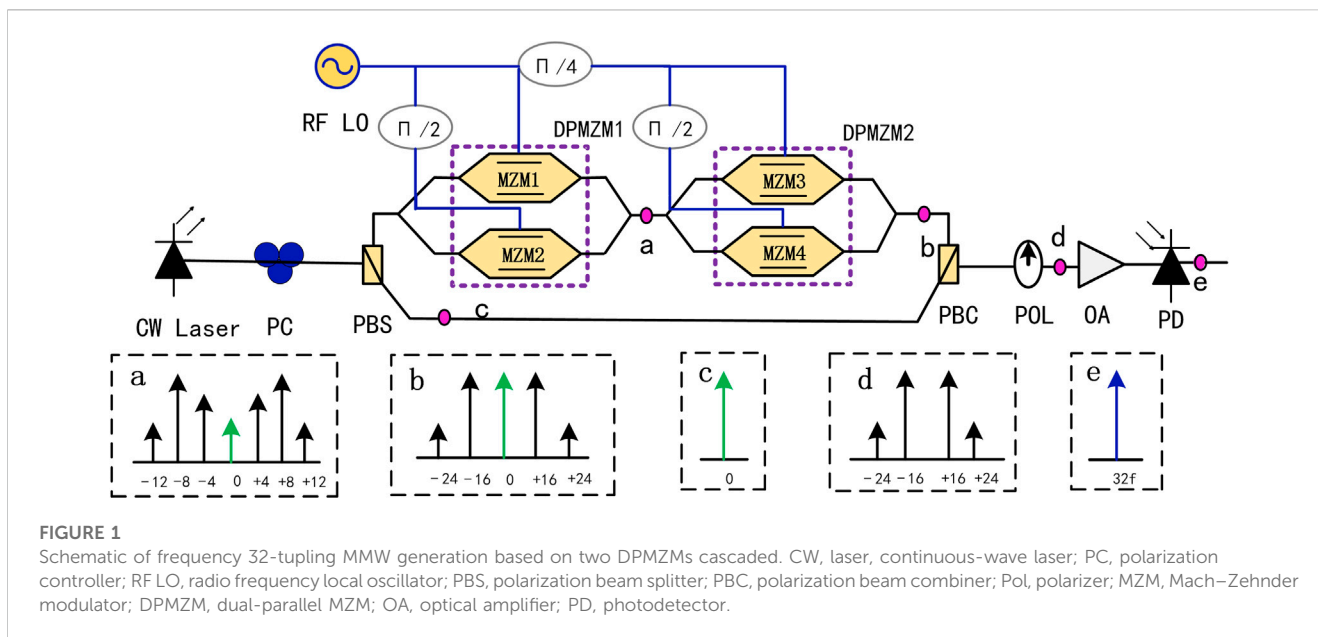
The external modulation method is based on beating the desired  $\pm n$ th-order sidebands generated by an optical modulator at a photodetector (PD). The frequency of the generated MMWs is  $f = 2nf_{RF}$ , where  $n$  is the order of the sideband,  $f_{RF}$  is the radio frequency (RF) drive signal frequency, and  $2n$  is the FMF, which indicates the frequency multiplier of the generated MMW to the RF

local oscillator. To gain higher-frequency MMW, we either enhance the  $f_{RF}$  or increase the  $n$ . High  $f_{RF}$  requires relatively expensive electrical equipment and broad bandwidth modulators. The frequency response of commercial LiNbO<sub>3</sub> MZM is <40G. To obtain even higher-frequency MMWs and further reduce the frequency of the RF drive signal and the response bandwidth of the modulator, a higher-frequency multiplication factor (FMF) is needed.

Until now, using a single MZM, the highest FMF that had been obtained is 8 [28]. To increase the FMF, the general method is to cascade multiple MZMs. The most common method is to cascade two MZMs, which are linked in series or parallel, and can produce  $\pm 4n$ -order sidebands and obtain FMFs of 8 and 16. The structure formed by two parallel modulators is often called a dual-parallel MZM (DPMZM). To further improve FMF, a structure combining four modulators is typically used. In this structure, the four modulators are separated into two groups, and each group contains two modulators that can be connected in series or in parallel. The two group modulators can also be linked in parallel or in series. The main types combined by four modulators are as follows: 1) four in parallel, which can be regarded as two DPMZMs connected in parallel [29]; 2) four in series [30]; 3) two groups connected in parallel, with each group comprising two MZMs in series [31]; and 4) two DPMZMs connected in series [32]. With the structure combining four modulators, the FMF can reach 16, 24, and 32. The proposed frequency 32-tupling scheme needs the remodulation technique [32], which results in low purity and complex structure. Recently, schemes to generate 32-tupling frequency millimeter waves using eight [33] and four [34] polarization modulators with mixed connections have been proposed; however, the limitation of these schemes is that the polarization devices have a complex and higher sensitivity to the state of polarization. To generate high-purity MMW signals, it is necessary to suppress unwanted sidebands and optical carriers. There are two main ways to suppress undesired sidebands. One way is to use different modulators with different RF driving signals

TABLE 1 Main characteristics of MMW generation based on the structure of two DPMZMs.

System structure	References	FMF	Simulation values	
			OSSR	RFSSR (dB)
Two parallel groups in series	Zhu et al. [38]	12	25.1 dB	19.1
Four MZMs	Dar et al. [27]	16	28 dB	27
Four MZMs in parallel	Li et al. [29]	16	24 dB	18
Four MZMs cascaded	Baskaran et al. [30]	16	54 dB	28
Connecting two series groups in parallel	Hasan et al. [31]	24	—	31
	Shanmugapriya et al. [37]	16	61 dB	48
Connecting two parallel groups in series	Dar et al. [39]	24	—	29
Four MZMs	Shang et al. [32]	32	27.7 dB	27.96
Eight polarization modulators	Chen et al. [33]	32	53.38 dB	42
Four polarization modulators	Chen et al. [34]	32	52 dB	47
Four MZMs	Chen et al. [43]	32	53.7 dB	47.7



so that the resulting undesired sidebands are in opposite phases [35] and can be canceled out by combining them. The other way is to use a wavelength-selective device to filter out undesired or desired sidebands [36]. For carrier suppression, one method is to filter out the carrier with a filter, which will reduce the output signal power. Another method is to use the structure of the variable optical attenuator and  $\pi$  phase shifter to cancel the optical carrier component [37], which will also reduce the output signal power. However, another way is adopting a polarization structure to cancel out the carrier wave.

The main parameters to measure the purity of the generated MMW are optical sideband suppression ratio (OSSR) and RF spurious suppression ratio (RFSSR). In general, the larger the OSSR, the larger the RFSSR. In the engineering applications of wireless communication, the RFSSR is generally required to be  $>15$  dB [38]. To compare the main characteristics of MMW generation based on the structures of the proposed four MZMs, the list is as Table 1 shows.

This work proposes a new scheme based on two cascaded DPMZMs to generate frequency 32-tupling MMWs. First, the principle of generating frequency 32-tupling MMW is analyzed theoretically. Then, a simulation experiment is designed to verify the feasibility of the scheme, and the influence of the main parameters of the system device on the OSSR and RFSSR generated by frequency 32-tupling MMW is studied experimentally. Finally, a short summary of the thesis is given.

## 2 Configuration/setup and principle

Figure 1 shows the diagram of the system designed to generate frequency 32-tupling MMW based on two cascaded DPMZMs. The system consists of an RF signal generator, continuous wave (CW) laser, polarization beam splitter (PBS), polarization controller (PC), electrical phase shifter (EPS), power splitter, MZM, power combiner,

polarization beam combiner (PBC), polarizer (Pol), optical amplifier (OA), and photodetector (PD).

The optical carrier from the CW laser passes through the PC and the PBS successively, then is split into two beams along the x- and y-axes, and fed into the upper and lower branches of the system, respectively. The input optical signal of the upper branch is split into two beams first via the optical power splitter and then poured into MZM1 and MZM2, respectively. The output optical signals from MZM1 and MZM2 are combined into one beam by the optical power combiner and then divided into two beams by the optical power splitter and then poured into MZM3 and MZM4, respectively. The output optical signals from MZM3 and MZM4 are combined by a power combiner. The output optical signals from the upper and lower branches are combined by PBC and after the combined signal passes through the Pol and OA successively, which is finally poured into the PD to finish the photoelectric conversion.

The initial phases of the RF signals loaded into MZM1, MZM2, MZM3, and MZM4 are set to  $0^\circ$ ,  $90^\circ$ ,  $45^\circ$ , and  $135^\circ$ , respectively, by three EPS. By adjusting the modulation index of the MZMs, the main components of the output signals from the second DPMZM are  $\pm 16$ th-order optical sidebands and optical carrier. The PC before the PBS is used to control the optical power distribution ratio in the x- and y-axis directions. The angles of the PC and Pol are adjusted to the special values to let the optical carrier component of the output signals from the upper and lower branches cancel each other out. Then, the remainder components from Pol are  $\pm 16$ th-order sidebands, which are beat in the PD and the frequency 32-tupling MMW is generated.

### 2.1 The output of DPMZM

The output of MZM can be expressed as follows:

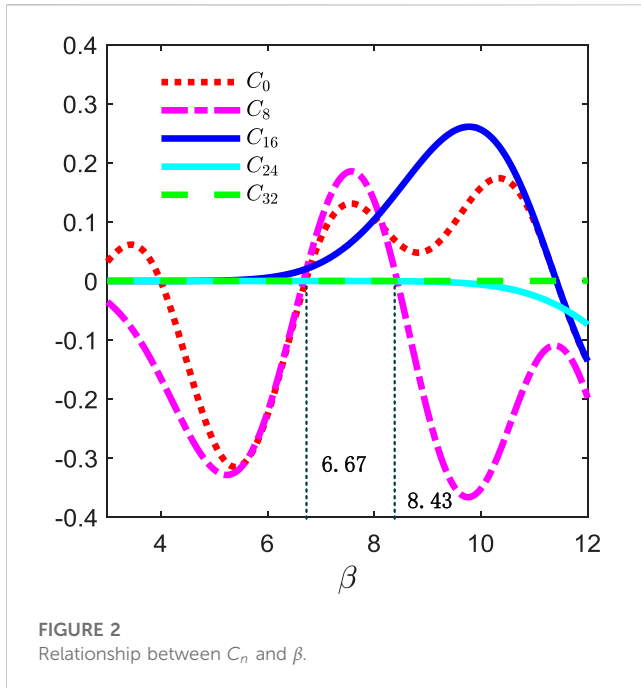


FIGURE 2 Relationship between  $C_n$  and  $\beta$ .

$$E_{out}(t) = \alpha E_{in}(t) \left[ \gamma e^{\left( \frac{j\pi V_2(t)}{V_{\pi RF}} + \frac{j\pi V_{bias2}}{V_{\pi DC}} \right)} + (1 - \gamma) e^{\left( \frac{j\pi V_1(t)}{V_{\pi RF}} + \frac{j\pi V_{bias1}}{V_{\pi DC}} \right)} \right] \quad (1)$$

In Eq. 1,  $E_{in}(t)$  is the field strength of the input optical signal,  $\alpha$  is the attenuation coefficient due to insertion loss,  $\gamma$  is the power distribution ratio of the two arms of MZM,  $V_{\pi RF}$  and  $V_{\pi DC}$  are the half-wave voltage of the RF drive signal and the direct current (DC) bias voltage of the MZM, respectively,  $V_{bias1}$  and  $V_{bias2}$  are the DC bias voltages on the two arms of the MZM, and  $V_1(t)$  and  $V_2(t)$  are the RF drive signal voltages on the two arms of the MZM.

Supposing that  $V_1$  and  $V_2$  are expressed as  $V_1(t) = V_{RF} \cos(\omega_{RF}t + \phi)$  and  $V_2(t) = V_{RF} \cos(\omega_{RF}t + \phi + \varphi)$ , respectively, where  $V_{RF}$  and  $\omega_{RF}$  are the amplitude and angular frequency of the RF signal, respectively,  $\varphi$  is the phase difference between the two RF driving voltages loading on the two arms of the MZM, and  $\phi$  is the initial phase of the RF signal loading on the MZM.

Substituting  $V_1$  and  $V_2$  into Eq. 1, then

$$E_{out}(t) = E_{in} \alpha \left\{ \gamma e^{j\pi \left[ \frac{V_{RF} \cos(\omega_{RF}t + \phi + \varphi)}{V_{\pi RF}} + \frac{V_{bias2}}{V_{\pi DC}} \right]} + (1 - \gamma) e^{j\pi \left[ \frac{V_{RF} \cos(\omega_{RF}t + \phi)}{V_{\pi RF}} + \frac{V_{bias1}}{V_{\pi DC}} \right]} \right\} \quad (2)$$

When the MZM is biased at the maximum transmission point (MATP),  $\varphi = \pi$  and  $V_{bias1} = V_{bias2} = 0$ ; When the extinction ratio of the MZM is assumed to be infinite,  $\gamma$  is equal to 0.5. Then, Eq. 2 can be simplified into

$$\begin{aligned} E_{out}(t) &= E_{in} \frac{\alpha}{2} \left\{ e^{j\pi \left[ \frac{V_{RF} \cos(\omega_{RF}t + \phi)}{V_{\pi RF}} \right]} + e^{j\pi \left[ \frac{V_{RF} \cos(\omega_{RF}t + \pi + \phi)}{V_{\pi RF}} \right]} \right\} \\ &= E_{in} \frac{\alpha}{2} \left\{ e^{j\beta \cos(\omega_{RF}t + \phi)} + e^{j\beta \cos(\omega_{RF}t + \pi + \phi)} \right\} \\ &= E_{in} \frac{\alpha}{2} \sum_{n=-\infty}^{\infty} [1 + (-1)^n] j^n J_n(\beta) e^{jn\omega_{RF}t} e^{jn\phi} \\ &= E_{in} \alpha \sum_{n=-\infty}^{\infty} (-1)^n J_{2n}(\beta) e^{j2n\omega_{RF}t} e^{j2n\phi} \end{aligned} \quad (3)$$

In Eq. 3,  $\beta = \pi V_{RF} / V_{\pi RF}$  is the modulation index of MZM, and  $J_n(\bullet)$  is the  $n$ th-order Bessel function of the first kind. The purpose to set  $\varphi = \pi$  is to create the term  $[1 + (-1)^n]$  in the output of MZM, which indicates that the odd sidebands are suppressed.

When the initial phase difference of the two RF driving voltages loading at the two MZMs in the DPMZM is set to  $\pi/2$ , the output of DPMZM can be expressed as follows:

$$\begin{aligned} E_{DPMZM}(t) &= E_{MZM1}(t) + E_{MZM2}(t) \\ &= \frac{1}{\sqrt{2}} E_{in} \left[ \alpha \sum_{n=-\infty}^{\infty} (-1)^n J_{2n}(\beta) e^{j2n\omega_{RF}t} + \alpha \sum_{n=-\infty}^{\infty} (-1)^n J_{2n}(\beta) e^{j2n\omega_{RF}t} e^{j2n(\pi/2)} \right] \\ &= \frac{1}{\sqrt{2}} E_{in} \alpha \sum_{n=-\infty}^{\infty} [(-1)^n + 1] J_{2n}(\beta) e^{j2n\omega_{RF}t} = \sqrt{2} E_{in} \alpha \sum_{n=-\infty}^{\infty} J_{4n}(\beta) e^{j4n\omega_{RF}t} \end{aligned} \quad (4)$$

The purpose of setting the initial phase difference of the two MZMs at  $\pi/2$  is to create the term  $[1 + (-1)^n]$  in the output of DPMZM, which indicates that the  $\pm n$ -order sidebands, which take the odd numbers, are suppressed. Hence, the output of the DPMZM contains only  $\pm 4$   $n$ th-order optical sidebands.

## 2.2 The output of two cascaded DPMZMs

For the two cascaded DPMZMs, the second DPMZM input signal is the output of the first DPMZM. When the  $\phi$  of the outputs for the first and second DPMZMs is set to 0 and  $\pi/4$ , respectively, the initial phase difference of the RF driving voltage between the two DPMZMs is  $\pi/4$  and the output from the second DPMZM is

$$\begin{aligned} E_{out}(t) &= E_{DPMZM1}(t) \times E_{DPMZM2}(t) \\ &= \left[ E_{in} \sqrt{2} \alpha \sum_{n=-\infty}^{\infty} J_{4n}(\beta) e^{j4n(\omega_{RF}t + 0)} \right] \times \left[ \sqrt{2} \alpha \sum_{n=-\infty}^{\infty} J_{4n}(\beta) e^{j4n(\omega_{RF}t + \pi/4)} \right] \\ &= E_{in} 2\alpha^2 \sum_{n=-\infty}^{\infty} J_{4n}(\beta) e^{j4n\omega_{RF}t} \sum_{n=-\infty}^{\infty} (-1)^n J_{4n}(\beta) e^{j4n\omega_{RF}t} \end{aligned} \quad (5)$$

Since the Bessel function decreases with order and argument, values greater than  $J_{16}(\beta)$  are so small as to be neglected. Choosing the terms of  $n \leq 4$  in Eq. 5, then Eq. 5 can be simplified as

$$\begin{aligned} E_{out}(t) &= E_{in} 2\alpha^2 \{ [J_0(\beta) + 2J_4(\beta) \cos(4\omega_{RF}t) + 2J_8(\beta) \cos(8\omega_{RF}t) \\ &\quad + 2J_{12}(\beta) \cos(12\omega_{RF}t) + 2J_{16}(\beta) \cos(16\omega_{RF}t)] [J_0(\beta) \\ &\quad - 2J_4(\beta) \cos(4\omega_{RF}t) + 2J_8(\beta) \cos(8\omega_{RF}t) - 2J_{12}(\beta) \\ &\quad \cos(12\omega_{RF}t) + 2J_{16}(\beta) \cos(16\omega_{RF}t)] \} \end{aligned} \quad (6)$$

The purpose of setting the phase difference of the RF driving signal loading two DPMZMs to  $\pi/4$  is to cause the odd terms of the output of two DPMZMs to have opposite values, which can make the output from the second DPMZM contain only the  $\pm 8n$ -order sidebands ( $n = 0$  is the carrier component).

Assume that the incident light carrier wave from the CW laser is  $E_c(t) = E_c e^{j\omega_c t}$ , where  $E_c$  and  $\omega_c$  are the amplitude and angular frequency of the incident light carrier wave, respectively. For an azimuth of the PC of  $\theta$ , the light field from the PBS can be expressed as

$$\begin{bmatrix} E_{cx} \\ E_{cy} \end{bmatrix} = \begin{bmatrix} \cos \theta E_c e^{j\omega_c t} \\ \sin \theta E_c e^{j\omega_c t} \end{bmatrix}. \quad (7)$$

In Eq. 7,  $E_{cx}$  and  $E_{cy}$  are the field strengths of the optical carriers in the upper (x-axis direction) and lower (y-axis direction) branches of the system, respectively.

Substituting  $E_{cx}$  of Eq. 7, into Eq. 6 and simplifying, we get

$$\begin{aligned}
 E_{out}(t) &= \cos \theta E_c e^{j\omega_c t} 2\alpha^2 \{ [J_0^2(\beta) - 2J_4^2(\beta) + 2J_8^2(\beta) - 2J_{12}^2(\beta) + 2J_{16}^2(\beta)] \\
 &\quad + [4J_0(\beta)J_8(\beta) - 2J_4^2(\beta) - 4J_4(\beta)J_{12}(\beta) + 4J_8(\beta)J_{16}(\beta)] \cos(8\omega_{RF}t) \\
 &\quad + [2J_8^2(\beta) - 4J_4(\beta)J_{12}(\beta) + 4J_0(\beta)J_{16}(\beta)] \cos(16\omega_{RF}t) \\
 &\quad + [4J_8(\beta)J_{16}(\beta) - 2J_{12}^2(\beta)] \cos(24\omega_{RF}t) + 2J_{16}^2(\beta) \cos(32\omega_{RF}t) \} \\
 &= \cos \theta E_c e^{j\omega_c t} 2\alpha^2 [C_0 + C_8 \cos(8\omega_{RF}t) \\
 &\quad + C_{16} \cos(16\omega_{RF}t) + C_{24} \cos(24\omega_{RF}t) + C_{32} \cos(32\omega_{RF}t)]
 \end{aligned} \tag{8}$$

where  $C_n$  is the coefficient of the  $\cos(n\omega_{RF}t)$  term.

From Eq. 8, the amplitudes of the signal from the second DPMZM are proportional to the  $C_n$ , which are related to the order  $n$  of the first-kind Bessel function and the modulation factor  $\beta$ . The relationship between  $C_n$  and  $\beta$  is shown in Figure 2.

### 2.3 The suppression of 0-order and $\pm 8$ -order optical sidebands

To obtain high-purity frequency 32-tupling MMW, the optical sidebands except for the  $\pm 16$ -th-order must be suppressed as much as possible. According to the characteristic of the Bessel function, the  $C_{24}$  and  $C_{32}$  are very small and the main sidebands needed to suppress are the  $\pm 8$ -th-order optical sidebands and the optical carrier.

To suppress the  $\pm 8$ -th-order sidebands, we adopt a method to make the  $C_8$  as small as possible by adjusting the modulation coefficient of the MZMs. As shown in Figure 2, when  $\beta$  is set to 8.43, then  $C_0 = 0.065$ ,  $C_8 = -2.244 \times 10^{-5}$ ,  $C_{16} = 0.15$ , and  $C_{24} = -3.108 \times 10^{-4}$ , which indicates that the  $\pm 8$ -th-order sidebands are effectively suppressed; When  $\beta$  is 6.67, the  $\pm 8$ -th-order sidebands are also suppressed, but the  $\pm 16$ -th-order optical sidebands are smaller.

To suppress the optical carrier, we adopt the method of polarization multiplexing. By setting the polarization angle of Pol to  $45^\circ$ , the output light field of the Pol is expressed as

$$\begin{aligned}
 E_{pol}(t) &= \frac{\sqrt{2}}{2} [E_{out}(t) + E_{cy}] \\
 &= \frac{\sqrt{2}}{2} \cos \theta E_c e^{j\omega_c t} 2\alpha^2 [C_0 + C_{16} \cos(16\omega_{RF}t) \\
 &\quad + C_{24} \cos(24\omega_{RF}t)] + \frac{\sqrt{2}}{2} \sin \theta E_c e^{j\omega_c t}
 \end{aligned} \tag{9}$$

From Eq. 9, the optical carrier can be completely suppressed when  $2\alpha^2 c_0 \cos \theta = -\sin \theta$ , where  $C_0$  is given in Eq. 8:  $C_0 = J_0^2(\beta) - 2J_4^2(\beta) + 2J_8^2(\beta) - 2J_{12}^2(\beta) + 2J_{16}^2(\beta)$ . From this, the azimuth  $\theta$  of the PC is

$$\theta = -\arctan\{2\alpha^2 [J_0^2(\beta) - 2J_4^2(\beta) + 2J_8^2(\beta) - 2J_{12}^2(\beta) + 2J_{16}^2(\beta)]\} \tag{10}$$

After suppressing the  $\pm 8$ -th-order sidebands and carrier, the output of the Pol in this case is

$$E_{pol}(t) = \alpha^2 \{C_{16} \cos(16\omega_{RF}t) + C_{24} \cos(24\omega_{RF}t)\} \tag{11}$$

TABLE 2 Main parameters of the devices involved in the simulations.

Parameters	Values
Center frequency of CW laser/THz	193.1
Dynamic noise of CW Laser/dB	3
Linewidth of CW laser/MHz	10
Optical power of CW laser/dBm	20
Azimuth of polarization controller/deg	-1.184
Frequency of RF locator/GHz	5
Amplitude of RF locator/a.u	10.733
Gain of optical amplifier/dB	25
Noise figure of amplifier/dB	4
Responsivity of photodetector A/W	0.8
Dark current of photodetector/nA	10
Thermal noise of PD/W/Hz	$10^{-26}$

From Eq. 11, the  $\pm 24$ -th-order optical sidebands are the maximum spurious sidebands. The OSSR can then be determined

$$\begin{aligned}
 OSSR &= 20 \log_{10} \left[ \frac{2J_8^2(\beta) - 4J_4(\beta)J_{12}(\beta) + 4J_0(\beta)J_{16}(\beta)}{4J_8(\beta)J_{16}(\beta) - 2J_{12}^2(\beta)} \right] \\
 &= 53.7dB
 \end{aligned} \tag{12}$$

After the optical signal output from Pol is beaten in the PD, the frequency  $8n$ -tupling MMW signals are generated. According to Eq. 11, the output of PD can be expressed as

$$\begin{aligned}
 I(t) &= \Re G^2 E_{pol} \times E_{pol}^* \\
 &= \Re G^2 \{ \alpha^2 [C_{16} \cos(16\omega_{RF}t) + C_{24} \cos(24\omega_{RF}t)] \}^2 \\
 &= \Re G^2 \alpha^4 [ (C_{16}^2 + C_{24}^2) + 2C_{16}C_{24} \cos(8\omega_{RF}t) \\
 &\quad + C_{16}^2 \cos(32\omega_{RF}t) + 2C_{16}C_{24} \cos(40\omega_{RF}t) \\
 &\quad + C_{24}^2 \cos(48\omega_{RF}t) ]
 \end{aligned} \tag{13}$$

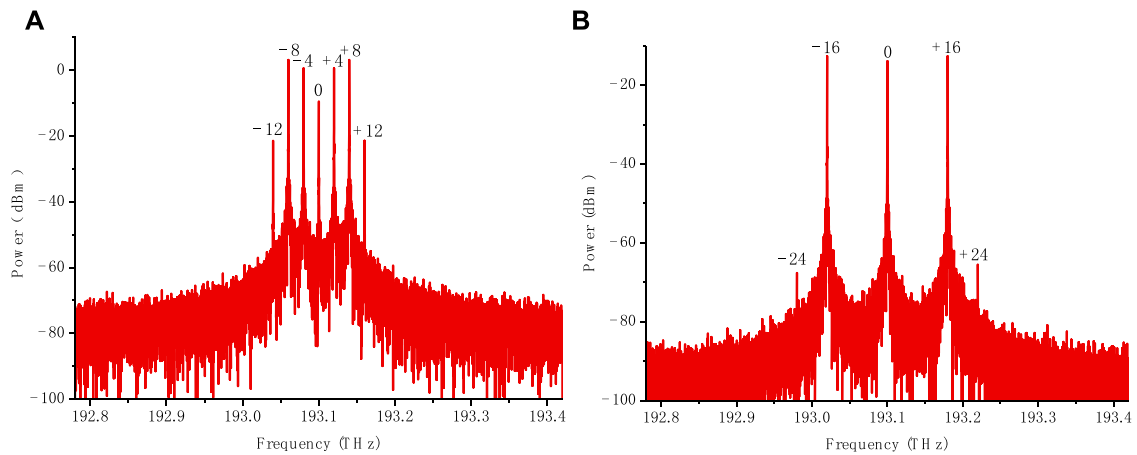
In Eq. 13,  $\Re$  and  $G$  represent the responsiveness of the PD and the gain factor of the optical amplifier, respectively. According to Eq. 13 and the  $C_n$ , which can be calculated by Eq. 8, the frequency for the 32-tupling MMW is the largest, and the frequency 40-tupling MMW is the largest spurious RF signal; thus, the RFSSR can be determined

$$\begin{aligned}
 RFSSR &= 20 \log_{10} \left[ \frac{2J_8^2(\beta) - 4J_4(\beta)J_{12}(\beta) + 4J_0(\beta)J_{16}(\beta)}{2[4J_8(\beta)J_{16}(\beta) - 2J_{12}^2(\beta)]} \right] \\
 &= 47.7dB.
 \end{aligned} \tag{14}$$

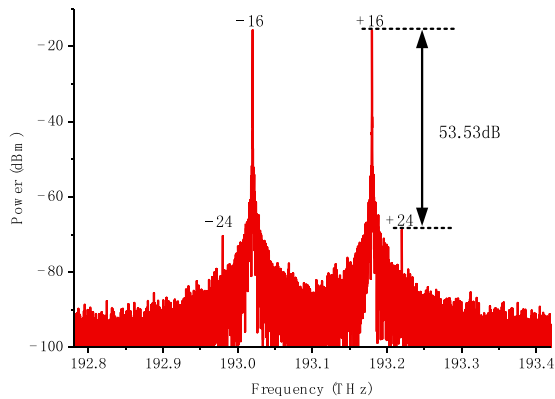
## 3 Simulation

A simulation link based on the structure in Figure 1 is set up using OptiSystem photonic software. The main parameters of the devices in the simulation experiment system are shown in Table 2. The values of the parameter of the devices in Table 2 are chosen as follows: The value of the ‘‘azimuth of the polarization controller’’ is

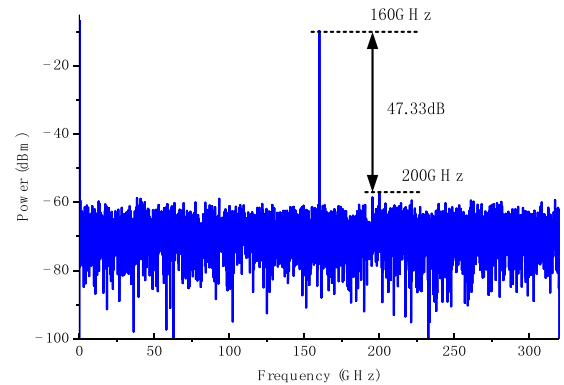




**FIGURE 3**  
Spectrum of the output optical signal from two DPMZMs. (A) The first DPMZM. (B) The second DPMZM.



**FIGURE 4**  
Optical spectrum from Pol.



**FIGURE 5**  
Spectrum from PD.

chosen according to Eq. 10. The value of the “amplitude of the RF locator” is chosen based on  $\beta = 8.43$ , where  $\beta = \pi V_{RF} / V_{\pi RF}$ . The value of the “frequency of the RF locator” is chosen to obtain 160 GHz MMW in our simulation; the other values of devices are chosen according to the default values of the software.

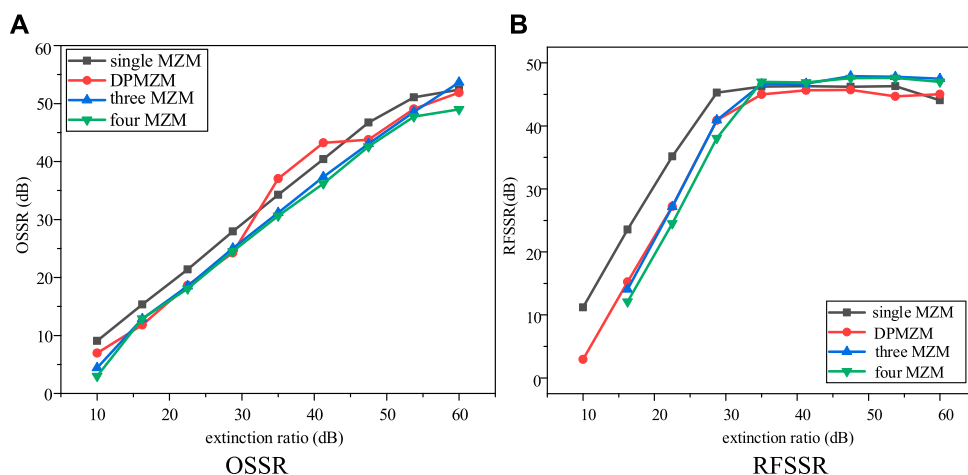
Figures 3A, B show the spectrum at points a and b in Figure 1, respectively. Figure 3A shows that the outputs of the first DPMZM are  $\pm n$ th-order optical sidebands, where the amplitudes of the optical sidebands with  $n > 3$  are very small. Figure 3B shows that the outputs of the second DPMZM are  $\pm 8n$ th-order optical sidebands, where the amplitudes of the sidebands with  $n > 3$  are very small. Figure 4 shows the spectrum of the signal from the Pol, in which the carrier component is well-suppressed and the main components of the signals are  $\pm 16$ th-order optical sidebands located at 193.02 THz and 193.18 THz, respectively. They have an interval of 160 GHz, which is 32 times the frequency of the RF drive signal. The  $\pm 24$ th-order sidebands are the largest spurious sidebands and lower 53.53 dB than the  $\pm 16$ th-order sidebands, indicating that the

OSSR is 53.53 dB, which is very close to the theoretical value of 53.7 dB calculated by Eq. 12. The power of the  $\pm 16$ th-order optical sidebands is 69.31 dB higher than that of the center carrier, which indicates that the optical carrier is well-suppressed.

Figure 5 shows the spectrum of the signal output from the PD, in which the 160 GHz MMW signal is highest, which is 32 times the frequency of the 5 GHz RF drive signal. Moreover, the 200 GHz MMW is the largest RF spurious signal, which is 40 times the frequency of the RF drive signal, with a power value lower at 47.33 dB than that at 160 GHz, indicating that the RFSSR value is 47.33 dB, which is very close to the theoretical value of 47.7 dB calculated by Eq. 14.

## 4 Analysis of the effects on the OSSR and RFSSR

The OSSR and RFSSR are significant parameters used to evaluate the quality of the generated signals. The previous



**FIGURE 6**  
Relationship of OSSR and RFSSR with the extinction ratio. (A) OSSR. (B) RFSSR.

theoretical analysis demonstrated that setting the device parameters is the key to obtaining high-purity frequency 32-tupling MMW. When the parameters deviate from the theoretical value, it decreases the purity of the generated MMW. The main parameters of the devices that affect the purity of MMW mostly are the extinction ratio (ER) and DC drift of the MZM, the amplitude and initial phase of the RF drive signal, and the azimuth of the PC. When these parameters deviate from the design values obtained from the theoretical analysis, they inevitably reduce the purity of the produced signal.

#### 4.1 Effect of the MZM extinction ratio

In general, the ER value plays an important role in system design, and there are certain restrictions on the ER value [40–41]. The relationship curves of OSSR and RFSSR with MZM extinction ratios are shown in Figure 6. When the extinction ratio of MZM is swept from 10 dB to 60 dB, the more MZMs, the greater the influence on OSSR and RFSSR. When the extinction ratio of MZM reaches approximately 35 dB, the value of RFSSR tends to be stable at 47.3 dB. When the extinction ratio is >18 dB, the RFSSR value is >15 dB, which can meet the transmission needs of general links.

According to the literature [42], the relationship between the power splitter ratio  $\gamma$  of two arms and the ER of the MZM is  $\gamma = (1 - 1/\sqrt{\epsilon_r})/2$ , where  $\epsilon_r = 10^{ER/10}$  thus,  $ER = -20\lg(1 - 2\gamma)$ . In the actual experiment, the ER of MZM can be adjusted by changing the  $\gamma$  of the MZM.

#### 4.2 Effect of the initial RF drive signal

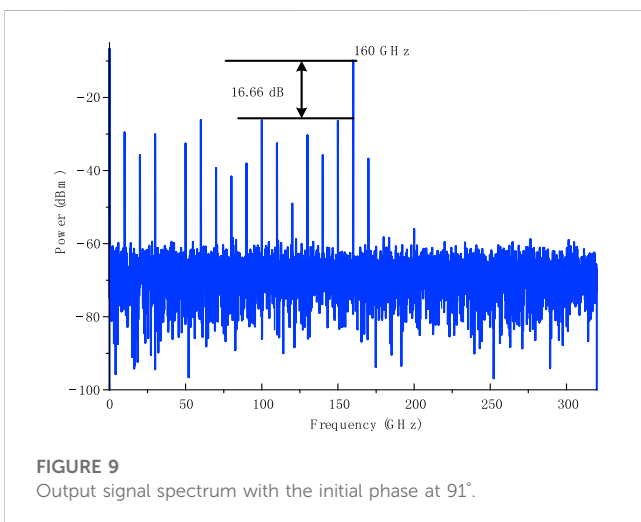
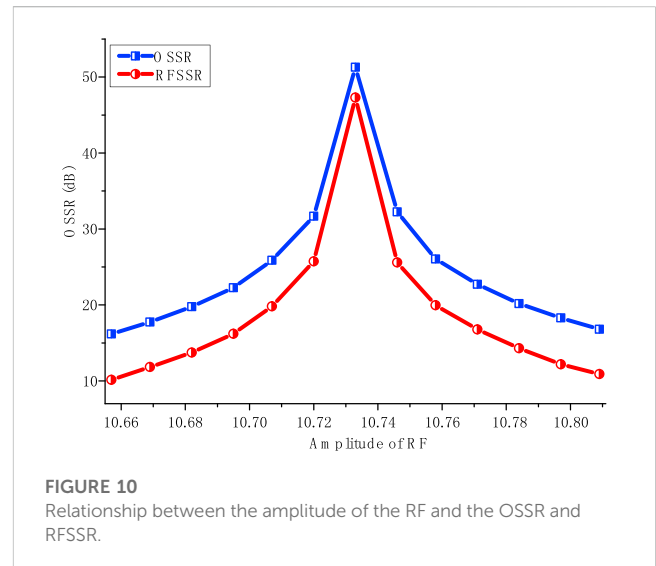
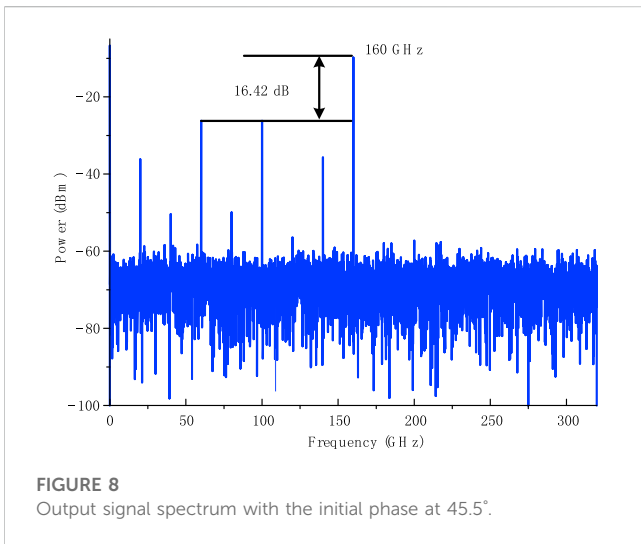
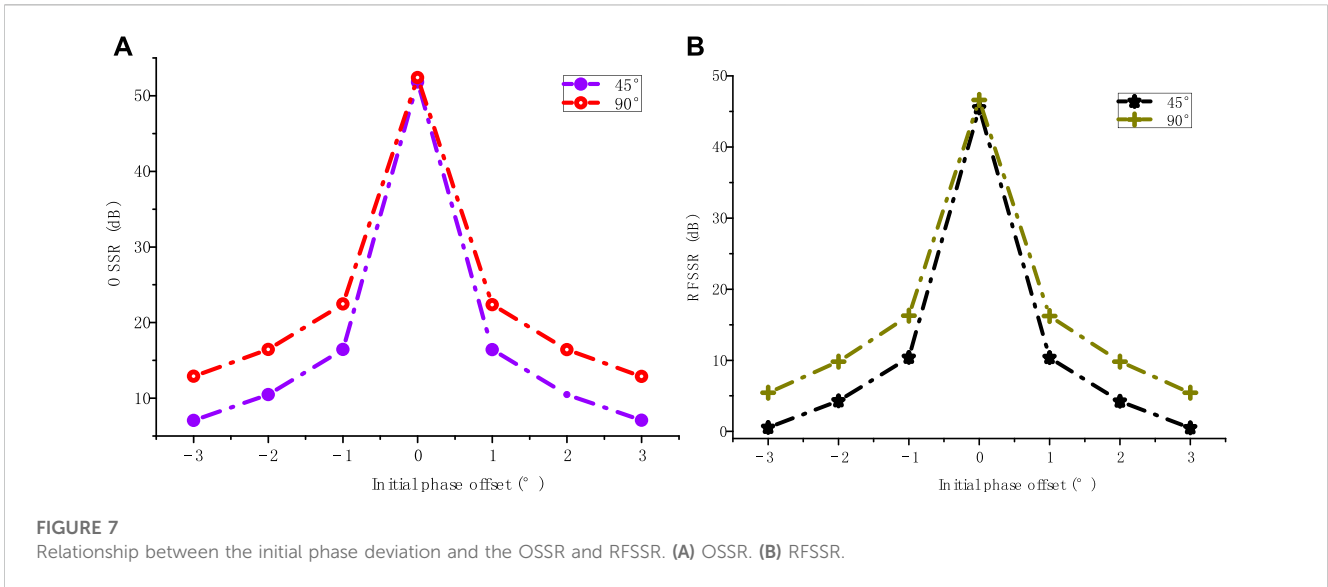
The initial phases of the RF drive signal loaded into the DPMZMs are set by the 45° EPS and 90° EPS, and the relationship between the offset of initial phases deviates from the design values and the OSSR and RFSSR are obtained by simulation.

The relationship between the deviations of the initial phase of the EPS and the OSSR is shown in Figure 7A. The OSSR drops from 51.79 dB to 12.86 dB when the deviation reaches  $\pm 1.5^\circ$  from  $\pi/4$  for the 45° EPS. The reason for this is that when the EPS deviates from the theoretical value, the optical signal sidebands that require suppression do not cancel well and more spurious sideband signals are generated. The value of OSSR decreases from 52.4 dB to 18.9 dB when the deviation of 90° EPS reaches  $\pm 1.5^\circ$  from  $\pi/2$ .

The relationship between the initial phase deviation of the EPS and the RFSSR is shown in Figure 7B. Deviations of 45° and 90° EPS are within  $\pm 0.5^\circ$  from  $\pi/4$  and  $\pm 1^\circ$  from  $\pi/2$ , respectively. The RFSSR is >15 dB, which can meet the performance requirements of the general transmission link. Figure 8 shows the RF spectrum when the deviation of 45° EPS reaches 0.5°, while Figure 9 shows the RF spectrum when the deviation of the 90° EPS reaches 1°. When the deviations of 45° EPS and 90° EPS are within  $\pm 0.5^\circ$  of  $\pi/4$  and  $\pm 1^\circ$  from  $\pi/2$ , respectively, the effect of two EPSs on RFSSR is small.

#### 4.3 Effect of the amplitude of the RF drive signal

The amplitude of the RF drive signal determines the value of the modulation index  $\beta$  of the MZMs. Figure 10 shows the relationship between OSSR and RFSSR with  $V_{RF}$  obtained by simulation. From Figure 10, we can see that the OSSR and RFSSR tend to change in approximately the same direction as the amplitude of the RF drive signal. Based on the aforementioned analysis, the theoretical value of  $\beta$  is 8.43. The default value of the  $V_{\pi RF}$  in our simulation is 4 V. According to  $\beta = \pi V_{RF}/V_{\pi RF}$ , when the deviation of  $\beta$  from the theoretical value is about  $\pm 0.03$ , the  $\beta$  takes 8.40 to 8.46, then the  $V_{RF}$  value is 10.695 V to 10.771 V. When the deviation of  $V_{RF}$  is  $\pm 0.038$ , the RFSSR is >15 dB, which can meet the performance requirements of common system links.



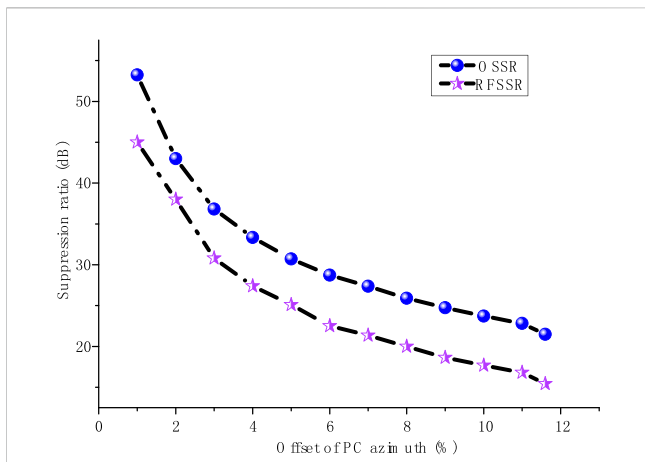
### 4.4 Effect of PC azimuth

The azimuth of the PC determines whether the carrier component of the output optical signal from Pol can be effectively suppressed. From the previous theoretical analysis, the theoretical value of the azimuth of the PC is  $-1.184^\circ$ . The relationships between the values of OSSR and RFSSR and the azimuth of PC deviation are shown in Figure 11. As shown in Figure 12, when the azimuth deviation of the PC is within 11.6% of the theoretical value, the RFSSR is not less than 15.42 dB, which can meet the requirements of a general transmission link.

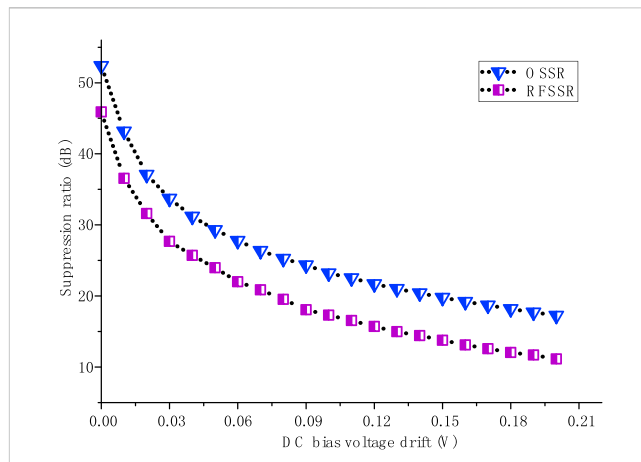
### 4.5 Effect of DC bias drift of the MZM

In MZM-based methods of MMW generation, DC bias drift is the key factor influencing signal quality. The relation curves between

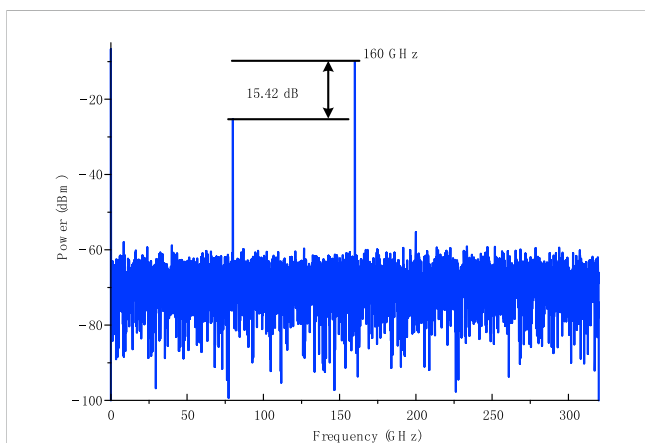




**FIGURE 11**  
Curves of OSSR and RFSSR with PC azimuthal deviation values.



**FIGURE 13**  
relationship between the bias voltage drift and the OSSR and RFSSR.



**FIGURE 12**  
RF spectrum at 11.6% PC azimuthal deviation.

the DC drift and OSSR and RFSSR are obtained by simulation. As shown in Figure 13, the value of RFSSR decreases to 15.71 dB when the DC drift is within 0.12 V, which can satisfy the requirements of general communication systems.

## 5 Discussion

Suppressing the carrier in the generation of optical millimeter waves is a key issue. In [43] an adjustable  $1 \times 2$  optical splitter and  $180^\circ$  phase shifter are adopted to suppress the carrier wave in the system. The splitting ratio of the splitter is set to 0.88:0.12 based on the theoretical analysis. Due to the limitations of the fabrication process, it is costly to manufacture a tunable  $1 \times 2$  optical beam splitter with 1% accuracy. In addition, the splitting ratio of the splitter varies with the input wavelength and temperature. In this work, we propose a method to suppress the optical carrier based on polarization multiplexing, which does not need costly tunable devices. The carriers are suppressed by adjusting the polarization

direction of the polarization devices, which is easier to implement in engineering.

The FMF of generated millimeter wave with our scheme is 32. The main problem for our scheme is the high modulation index of MZM, which will increase the complexity of the system and the difficulty of realization. To solve this problem, remodulation schemes have been proposed in recent years. The FMF of the generated millimeter wave can also reach 32 using remodulation schemes in the literature [32]. Their advantages and disadvantages need to be compared. Our scheme adopts two dual-parallel MZMs in the system structure and polarization multiplexing is used for optical carrier suppression. In the literature [32], the structure of two dual-parallel MZMs has also been adopted. Compared with the system structure, the complexity of the system is basically the same; however, the remodulation structure will introduce an optical phase difference, resulting in a decline in the quality of the generated signal. The modulation index in our scheme is 8.43. The modulation indexes in the literature [32] are 2.2 and 3.94 for primary modulation and secondary modulation, respectively. The OSSR and RFSSR obtained in our scheme are 53.53 dB and 47.33 dB, respectively, compared to 27.7 dB and 27.96 dB in the literature [32]. The modulation index in the literature [32] is lower than our scheme, which will reduce the difficulty of implementation, but their OSSR and RFSSR are lower than those in our scheme.

In engineering applications for our designed ROF system, if it is used only to generate 32-tupling MMW, it does not need any optical filters. However, downlink data must be transmitted, a total reflection filter is needed to filter the +16th order sideband with the downlink data modulated in the center station. This could be realized by using an FBG with 100% reflectivity. If we need to transmit uplink data for carrier reuse in the base station, a tunable rejection filter is needed to reflect part of the -16th-order sideband and the uplink data modulated on it. This could be achieved by using a tunable rejection FBG. To obtain better system performance, the requirements for the total reflection FBG are low insert loss and high reflection ratio, while the requirements for the tunable FBG are low loss and reflectance and transmittance accuracy above 1%.

The development trends of the generation of millimeter waves based on MZMs are increased millimeter wave frequency; simplified

system structure; improved purity of the generated millimeter wave signal.

## 6 Conclusion

This work proposed a novel scheme to generate 32-tupling frequency MMW based on two cascaded DPMZMs. A theoretical analysis of the generation frequency of the 32-tupling MMW was performed. The calculated OSSR and RFSSR values of the generated signal were 53.7 dB and 47.7 dB, respectively. Based on the design of this paper, simulation experiments were carried out, with experimental OSSR and RFSSR values of the generated signals of 53.53 dB and 47.33 dB, respectively, which were close to the theoretical calculated values, thus verifying the feasibility of our scheme. The effects on the OSSR and RFSSR caused by deviation of the parameters, such as the extinction ratio of the MZMs, the initial phase and amplitude of the RF drive signal, the azimuth of PC, and the DC bias drift, were analyzed. To the best of our knowledge, this is the first time that an FMF as high as 32 has been achieved by two cascaded DPMZMs without the use of an optical filter. The RFSSR of the generated MMW was higher than that for proposed schemes with four cascaded MZMs. This method is expected to have critical promising applications in high-frequency millimeter and submillimeter waves as well as terahertz waves.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary material; further inquiries can be directed to the corresponding author.

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## Author contributions

XiC and SD participated in the study design; XiC performed the study and collected important background information; ZL drafted the manuscript; XL and XuC provided assistance with the data analysis and plotted the graphs and tables; HX edited and generated the layout of the manuscript. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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