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The profit and risk in the interdisciplinary behavior

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Evaluating the influence of interdisciplinary research is important to the development of science. This work considers the large and small disciplines, calculates the interdisciplinary distance, and analyzes the influence of interdisciplinary behavior and interdisciplinary distance in the academic network. The results show that the risk of interdisciplinary behavior in the large discipline is more significant than the benefits. The peer in the small disciplines will tend to agree with the results of the small discipline across the large discipline. We further confirmed this conclusion by utilizing PSM-DID. The analysis between interdisciplinary distance and scientists' influence shows that certain risks will accompany any distance between disciplines. However, there still exists a "Sweet Spot" which could bring significant rewards. Overall, this work provides a feasible approach to studying and understanding interdisciplinary behaviors in science.

KEYWORDS

interdisciplinary behavior, scientific influence, large and small disciplines, interdisciplinary distance, causal inference

1 Introduction

Modern science aims to solve complex and large-scale social and natural problems [1, 2]. These systematic researches raises higher requirements on participating research team [3–5], which raises higher requirements on participating research teams. For example, collaborators may need expertise in different disciplines [6]. Furthermore, with the in-depth penetration of interdisciplinary expertise, the inherent boundaries of science have been broken, and interdisciplinary behavior has become more popular in modern science [7]. In addition, some pioneering researches involve expertise that often exceeds the scope of knowledge of a single discipline [8, 9]. Nowadays, interdisciplinary research achieves knowledge breakthroughs and innovations through colliding ideas between different disciplines, which is considered a significant feature and future trend of science society [10]. However, the study and the underlying operation mechanisms of interdisciplinarity are still in their infancy. With the successive emergence of academic databases, e.g., Web of Science, Scopus, PubMed, and Microsoft Academic Graph, these databases provide data support for interdisciplinary research. Currently, interdisciplinary research mainly focuses on three aspects: 1) interdisciplinary metrics; 2) interdisciplinary-related policies and funding; and 3) interdisciplinary influence.

The most commonly used measurements to evaluate interdisciplinarity are publications and citations. And the derived index of interdisciplinarity quantifies the diversity of disciplines involved in a paper [11, 12]. Based on the publications, interdisciplinary diversity can define with three dimensions [13], i.e., variety, balance, and disparity. Subsequent research has expanded the measurement dimensions by adding the concept of similarity and adopting cohesiveness [14]. Meanwhile, interdisciplinary research related to citation has also been explored and discovered. For example, the analysis based on citation showed that the knowledge structure of literature has changed and became increasingly interdisciplinary [15]. Furthermore,

a recent study further explored the interdisciplinary citation index and the weighted forms, and conducted verification in different disciplines [16].

Interdisciplinary research has attracted more and more attention and one of these foci is to investigate the policy and funding of interdisciplinary research [17–20]. Several prominent institutions have begun to emphasize and encourage the development of interdisciplinary research, such as the interdisciplinary development program of the National Academy of Sciences [21]. However, the evaluation of interdisciplinary funding in the academic field is mixed. One voice says interdisciplinary research is merely a policy incentive without financial support [22], i.e., interdisciplinary research is often unrewarding. For example, researchers have shown that interdisciplinary research has a lower citation and funding success rate [23]. On the contrary, another voice against this conclusion [24] showed that interdisciplinary scientists play an essential role in knowledge dissemination and are superior to scientists in traditional research for both the amount and scale of funding.

Furthermore, the underlying relationship between interdisciplinarity and scientists' influence [25–27] remains to be investigated. Previous researches provide conflicting evidence. On the one hand, it may be caused by the different definitions of interdisciplinarity. For example, scientists find that interdisciplinarity in physics has a negative influence when they measure the interdisciplinarity by calculating the proportion of papers published in other disciplines [28]. Meanwhile, studies on biomedical disciplines show a negative correlation between interdisciplinarity and citation growth [29]. However, a recent study indicates that interdisciplinary papers receive more citations when interdisciplinarity is combined with novelty [30]. Furthermore, research about journals' analysis shows that papers published in journals with multiple disciplinary classifications receive fewer citations than papers published in disciplinary journals with clear disciplinary boundaries [31]. On the other hand, interdisciplinary analysis based on specific disciplines may lead to deviations. For example, biology and chemistry have a high degree of overlap in expertise, and collaborations among these disciplines tend to receive high citations in target disciplines, both for biology and chemistry. Meanwhile, low citation rates in computer science and humanities interdisciplinarity may be caused by the low coverage of literature published in the interdisciplinary disciplines [32].

Although academic fields spend an enormous amount of time and energy on analyzing interdisciplinary behavior, the relationship between interdisciplinary and scientists' influence is still in its infancy. In this work, we propose the concepts of large and small disciplines and compare the changes in the influence of papers and scientists under interdisciplinary behavior. The main contributions of this work are summarized as follows.

- Our work examines a total of 4.9 million papers over the last 20 years and utilizes statistics and causal inference to quantify scientists' influence on interdisciplinary behavior.
- We find that the risk is greater than the benefit for the large across small discipline, and the opposite trend in the small discipline. Furthermore, we explore the relationship between scientists' influence and interdisciplinary distance. The results suggest that there exists a “Sweet Spot” which could bring significant rewards.

- We reveal and analyze the causal relationship between scientists' influence and interdisciplinary behavior.

The rest of the paper is organized as follows. Section 2 introduces the data preparation and the methods. Section 3 demonstrates the results of scientists' influence on interdisciplinary behavior. Finally, Section 4 concludes the investigation with some discussions.

2 Dataset and methods

2.1 Data preparation

This work uses the dataset from Aminer 1. As the release dataset version continues to update, it has become more popular and used for analyzing the information spread [33], studying the scientific influence [34–36], building recommendations in academic networks [37, 38], researching citation and cooperation networks [39–42], and developing the prediction in academic networks [43, 44]. This work adopts the 12th version of the dataset, which includes 4.9 million papers from 113,887 disciplines. The majority of papers contain the paper number, title, scientists, publication, citation, and field information [45]. Specifically, the field information is extracted from Microsoft Academic Graph (MAG) [46], which contains field names and the weight w for fields of study. We selected 3,054,175 papers from 2000 to 2019, including 3,052,873 papers containing field information and 3,051,022 papers containing more than two fields information. For papers without two fields' information, we consider the field information according to the fields' proportion of the reference list, e.g., for paper P, the field A, B and C is 50%, 40% and 10% in the reference list, we attribute field A and B to the paper P.

2.2 Field-normalization

The influence meaning of citations in different fields is different. In order to avoid the bias, field-normalization is needed. In this work, we introduce the method in [47], and use a weight of the paper given by the dataset to eliminate the impact of the field. Specifically, we define the citation C_f of paper p by,

$$C_f = \sum_{i=1}^k \frac{w_i}{w_1 + w_2 + \dots + w_k} * \frac{C_p}{\bar{C}_i} \quad (1)$$

Here, k is the total number of fields covered by paper p , i is one of the fields ($i = 1, 2, 3, \dots, k$), and w_i is the weight of the i -th field; the field of research with weight w are given by the dataset; C_p is the number of citations we counted in the dataset; \bar{C}_i is the total number of citations received in the i -th field.

2.3 Classification and distance for discipline

Our work explores the influence of interdisciplinary behavior based on large and small disciplines on scientists. Specifically, we extract the major and minor disciplines for the paper according to the field weight w and define the top 5% as the large discipline and the bottom 50% as the small discipline. Then, we map the relationship

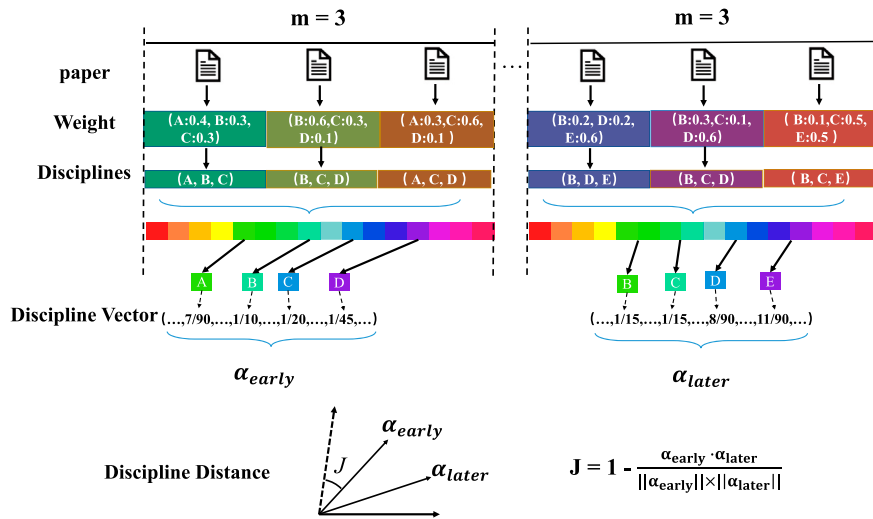


FIGURE 1
 An example to calculate the interdisciplinarity distance $J(m = 3)$. The early careers' discipline vector α_{early} and the later careers' discipline vector α_{later} are generated based on the early and later m papers. Finally, the interdisciplinarity distance J is measured according to the complementary cosine similarity between discipline vectors.

between each paper and large or small disciplines according to citations. For example, paper A has received 10, 5, and two citations in F1, F2, and F3 disciplines, respectively. We can determine that the major discipline of paper A is F1, and the minor is F2. Finally, according to the major and minor disciplines, all papers in the data set can be classified as the large discipline set P_{l-b} , the large cross the small discipline set P_{l-s} , the small discipline set P_{s-s} , and the small cross the large discipline set P_{s-l} .

To quantify the discipline distance of inter-discipline, we utilize the method proposed by recent literature to measure the research interest evolution [48]. Specifically, all papers are attributed to the first scientist, and we have counted all authors in the data set who have continuously published papers for more than 6 years, and the maximum "tolerance year" is 2 years. For example, a scientist who has continuously published papers in 2000 and 2001, and then continued to publish papers in 2003, is also considered as consecutive publisher. Then we consider paper series for each consecutive publishing scientist as sorted by the publication period. We select three papers at the beginning and the end of the paper series for each scientist, regarded as the scientific outputs of the early and later careers, respectively. It should be noted that the early and late career we defined is not the scientists' career period, but the period before and after in a long time series. The dataset provides the discipline information representation of each paper, and we calculate the early and later discipline vector according to the discipline weight w . Finally, we can quantify the distance between the early and later career disciplines by calculating the cosine similarity of early and later career discipline vectors J . Figure 1 demonstrates an example of the specific calculation.

2.4 Causal inference

Different from correlation analysis, causal inference is not only based on correlation but also requires the temporal order of causality.

Thus, correlation is only a necessary and insufficient condition for causal inference. In recent decades, causal inference has been dramatically applied in various fields, especially in finance [49] and education [50]. With the development of artificial intelligence [51–53], causal inference has new developments and applications [54, 55]. Currently, the most basic causal inference is to estimate the treatment effect by comparing the differences between the observation results of the control and treatment groups. the expected value of the treatment effect of all individuals receiving treatment, i.e., Average Treatment Effect on the Treated (ATT), can be defined as,

$$ATT = E[Y_i(1) - Y_i(0) | D_i = 1] \tag{2}$$

where $D_i = 1$ means individual i is disposed, $Y_i(1)$ represents the observed value of individual i after treatment, $Y_i(0)$ represents the observed value of individual i in the control group. However, randomized controlled trials will consume a lot of time and resources, individuals participating in the experiment can only be grouped into the control or treatment group. Therefore, the current causal inference tends to analyze causal relationships from statistical data [56], e.g., Differences in Differences (DID) [57], Granger Causality [58], Propensity Score Matching (PSM) [59], Generalized Propensity Score Matching (GPS) [60], Instrumental Variable [61], and Regression Discontinuity Design [62]. Compared with the above causal inference methods, DID is more suitable for panel data [63]. Specifically, we conduct the scientists into treatment and control groups according to whether they have interdisciplinary behavior, and the regression equation for DID can be written as,

$$Y_{it} = \beta_0 + \beta_1 treat_i + \beta_2 period_t + \beta_3 treat_i \times period_t + \epsilon_{it} \tag{3}$$

where Y_{it} is a measurement of the influence (citations) of scientist i . $treat_i$ is a dummy variable for group membership and ϵ_{it} is the error term. If scientist i has interdisciplinary behavior, then scientist i belongs to the treated group, $treat_i = 1$; otherwise, $treat_i = 0$. $period_t$ is a dummy variable for the period. Assume that the time

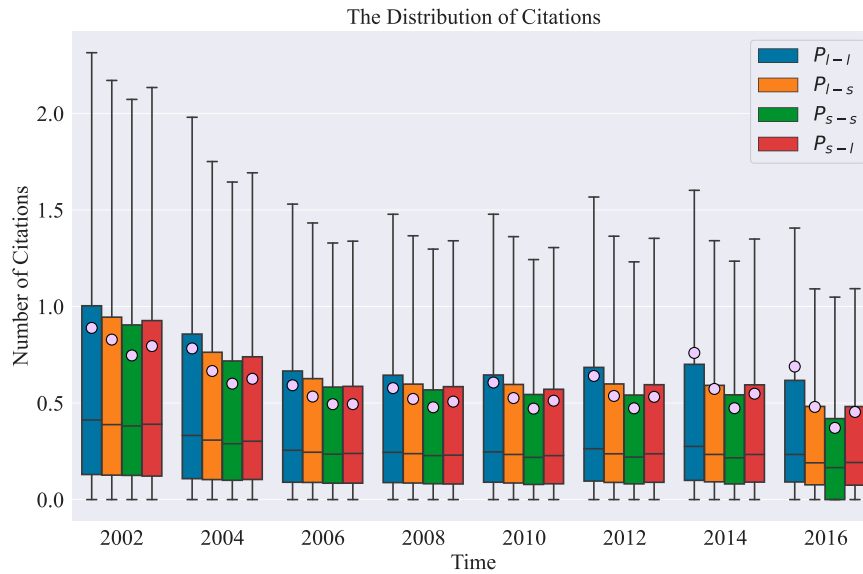


FIGURE 2

The evolution of citations of papers within different interdisciplinary types. We classify papers as the large discipline (blue box), the large across the small discipline (orange box), the small discipline (green box), and the small across large discipline (red box). The solid line and the pink dot in box represent the median and average number of citations, respectively.

for the interdisciplinary is t , and the observe time $t_i < t$ ($|t - t_i| < 2$), $period_t = 0$, otherwise $period_t = 1$. The composite variable $treat_t \times period_t$ is a dummy variable, indicating whether the individual of the treated group is in the treated period, and the coefficient β_3 represents the treated effects for interdisciplinary behavior. It is worth noting that DID requires the treated and control group to be entirely in accord with the parallel trend assumption before the treatment, i.e., the influence of scientists should increase at the same rate, whatever in treated and control groups. In addition, even if the parallel trend assumption is satisfied, it is still necessary to control features that may affect scientists' influence, e.g., the career years of the scientist, the number of cooperation scientists, and the total number of publications. Thus, this work investigates the relationship between interdisciplinary behavior and scientists' influence by utilizing PSM-DID. PSM transforms multi-dimensional features into one-dimensional propensity scores through a functional relationship, and matches individuals in the treatment group with the control group according to the propensity score. Specifically, we select four observable features (covariates) for 2 years before and after scientists' interdisciplinary behavior: 1) the total number of publications; 2) the total number of disciplines of the scientist; 3) the career ages of the scientist; 4) the number of collaborators in each paper. Then, we calculate the propensity score of each scientist and conduct the match. The result of PSM provides supporting evidence for the parallel trend assumption. Finally, we consider citations and whether the scientist has interdisciplinary behavior as dependent and independent variables, respectively, and evaluate the treated effects of the treated group by utilizing DID.

Another goal of this work is to quantify the causal relationship between interdisciplinary distance and scientists' influence, i.e., the treated effect of continuous variables. However, the usual causal inference models allow only binary variables, i.e., the treated variable = 0 (1) in the control (treated). Thus, we consider utilizing

the GPSM to evaluate the treated effect of interdisciplinary distance. GPSM is an extension of PSM and is widely used in many different fields, such as economics [64], education [65], and medicine [66]. Furthermore, compared with the PSM, GPSM inherits the core concept and has similar covariate balancing properties. The most significant advantage is that it breaks the PSM constraint that the treated variable only allows binary variables. We consider the relative citation growth rate in early and late careers as the quantification of scientists' influence (dependent variable). The independent variable is the interdisciplinary distance, and covariates are consistent in PSM.

3 Results

3.1 Citation dynamic of interdisciplinary paper

Papers play an essential role in academic society, it is interesting to investigate the influence caused by interdisciplinary behavior. To investigate this, our work considers 2 years as the observed time (t_i) and 3 years as the citation period to explore the citations of different types of interdisciplinary papers. Figure 2 compares the evolution of citations for papers with different interdisciplinary types. Compared with papers published in the large discipline (P_{l-l}), papers published in the large across small discipline (P_{l-s}) receive lower average citations (pink dot) in each interval. However, the papers in the small across large discipline (P_{s-l}) receive more average citations (pink dot) than that in the small discipline (P_{s-s}). On the one hand, it may be caused by the different citation dynamics in the inter-discipline and a single discipline, i.e., interdisciplinary papers need more than 3 years to reach peak citations. On the other hand, the large discipline cross to the small discipline receives less recognition and attention, and peers agree more with papers of the small cross to the large discipline.

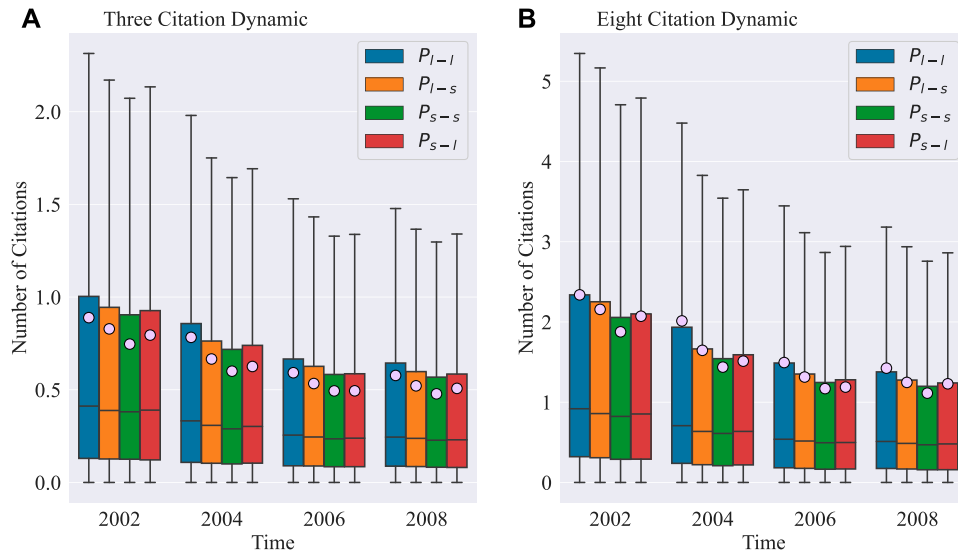


FIGURE 3 The evolution of citations of papers within different interdisciplinary types. We compare the number of citations with three (A) and eight (B) years citation period, and other elements are consistent with Figure 2.

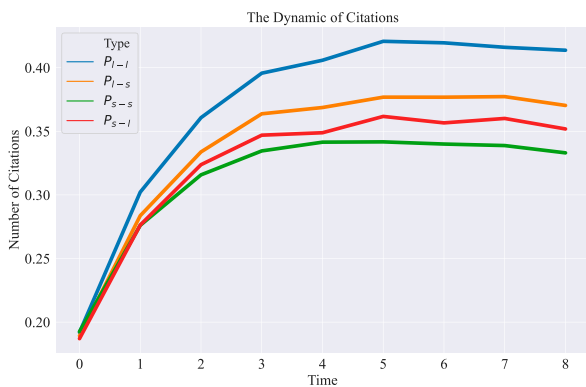


FIGURE 4 The dynamic of citations of papers for different interdisciplinary types. We select paper published before 2008, and calculate the average number of citations for different interdisciplinary types, i.e., the large discipline (blue line), the large across the small discipline (orange line), the small discipline (green line), and the small across large discipline (red line).

In order to explore the underlying reasons for the different trends in the dynamic of papers with different types of interdisciplinary behaviors. We first investigate whether the interdisciplinary papers presented different citation dynamics from others by changing the citation period for papers. Figure 3 compares the citation period in three and 8 years in different disciplinary types. Papers published before 2008 are selected to avoid partial papers without 8 years citation period. When the citation period extends from 3 years (Figure 3A) to 8 years (Figure 3B), the citation of papers in the large cross the small discipline is less than that in the large disciplines, whatever three and eight citation period. Furthermore, citations of papers in the small cross the large discipline exhibit similar trends. This result indicates

TABLE 1 The result of Z-test and K-S test.

	Z-test	K-S test
P_{l-l} Vs. P_{l-s}	-0.96(0.34)	0.67(0.35)
P_{s-l} Vs. P_{s-s}	0.43(0.66)	0.66(0.38)

that the short- and long-term influence of interdisciplinary papers is similar to that of other papers, i.e., the increasing or decreasing of citations for interdisciplinary papers is irrelevant to the citation period. In particular, we further investigate the citation dynamics of papers in different disciplinary types published before 2008 in each year after publication. The average number of citation distribution is almost the same in different disciplines in Figure 4. We adopt the Z-test and Kolmogorov-Smirnov (K-S) test to examine distributions' differences. Our null hypothesis is that the distribution of average citations of interdisciplinary papers is different from that of single-discipline papers. The result in Table 1 shows that P -value > 0.05 , whatever P_{l-l} Vs. P_{l-s} and P_{s-l} Vs. P_{s-s} , which refuses the null hypothesis, and indicates that the citation dynamics of interdisciplinary and single discipline are the same distribution. It further indicates that interdisciplinary behavior will increase or decrease the citations, but the citation dynamics for interdisciplinary papers are similar to others.

One possible reason for the different trends in the citation of different types of interdisciplinary papers is the different recognition of scientific outputs. We analyze the citation sources for the different types of interdisciplinary papers within 8 years after publication in Figure 5. The disciplines with the most cited papers published by the large discipline (Figure 5A) and the small discipline (Figure 5C) are the large discipline (44%–46%) and small disciplines (41%–51%), respectively. It indicates that papers without interdisciplinary behavior have been widely recognized in self-discipline. Papers

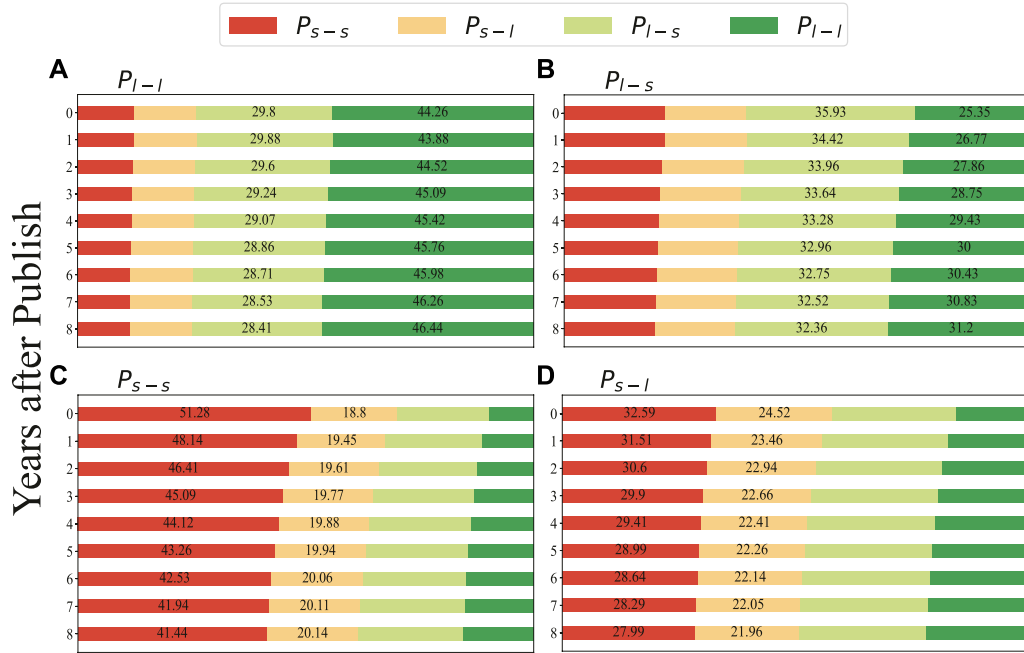


FIGURE 5 The citations attribution for different interdisciplinary types. We select the papers published after 2008, and the vertical axis represent the years after publish. The target disciplines are considered as the large discipline P_{l-l} (dark green column) across small discipline P_{l-s} (light green column), and the small P_{s-s} (red column) across large discipline P_{s-l} (pink column). **(A)** The large discipline (P_{l-l}). **(B)** The large across small discipline P_{l-s} . **(C)** The small discipline P_{s-s} . **(D)** The small across large discipline P_{s-l} .

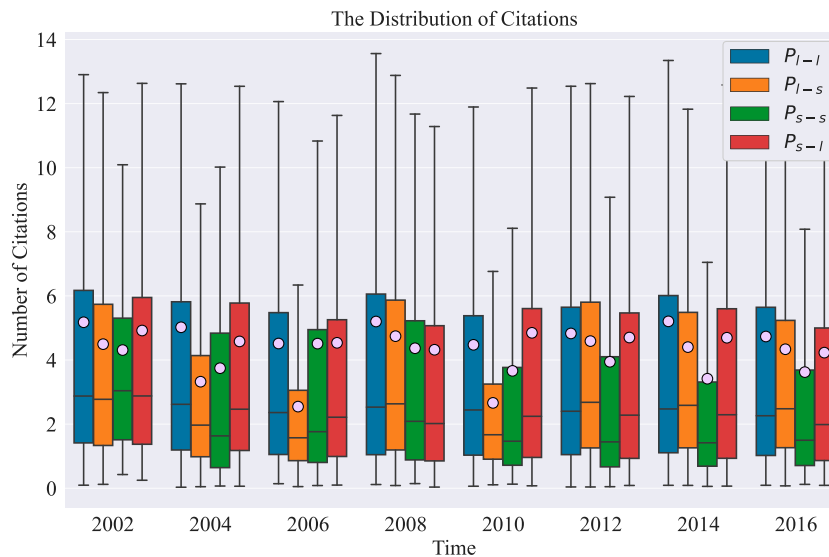


FIGURE 6 The evolution of citations for scientists within different interdisciplinary types. We classify scientists as the large discipline (blue box), the large across the small discipline (orange box), the small discipline (green box), and the small across large discipline (red box). The solid line and the pink dot in the box represent the median and the average number of citations for scientists, respectively.

published in P_{l-s} (Figure 5B) receive more citations in the same interdisciplinary type (32%–36%), while papers in P_{s-l} (Figure 5D) are cited most in the small discipline (28%–33%). Compared Figure 5C with Figure 5D, despite the proportion of citations in the small across

large discipline having decreased in the small disciplines, the proportion is the highest in different disciplines, i.e., the initial disciplines (the small disciplines) tend to accept the scientific outputs in the small across large discipline.

TABLE 2 The result of DID.

Year	S_{l-s}		S_{s-l}		Obs
	β_3	P-Value	β_3	P-Value	
2002	-2.643	0.162	5.047***	0.007	693
2004	-2.583**	0.031	7.117***	0.023	1858
2006	-2.215***	0.002	5.793***	0.001	3,723
2008	-1.999***	0.000	6.067***	0.000	5,871
2010	-1.153*	0.076	5.696***	0.000	8,248
2012	-1.438**	0.024	6.144***	0.000	10,350
2014	-0.933	0.127	5.915***	0.000	10,903
2016	-1.412***	0.000	7.209***	0.004	8,814
					1,471
					3,363
					5,555
					7,364
					8,892
					9,679
					8,870
					6,307

3.2 The effect of different interdisciplinary types

We further explore the influence of interdisciplinary behavior on scientists. Specifically, according to the interdisciplinary types and attributing each paper to the first scientist, we can define an interdisciplinary type for the scientist, including the large discipline scientist (S_{l-l}), the large cross small discipline scientist (S_{l-s}), the small discipline scientists (S_{s-s}), and the small cross large discipline scientists (S_{s-l}).

Since the dynamic distribution of citations in interdisciplinary papers is similar to that in others (Figure 4), we consider citations received within 3 years after publication to measure the scientists' influence. Figure 6 compares the citations of scientists in different interdisciplinary types. In total periods, the influence of scientists in the large across small discipline (S_{l-s}) is lower than that of scientists in the large discipline (S_{l-l}) except in 2000. A different phenomenon is that scientists in the small across large discipline (S_{s-l}) receive more positive impacts from interdisciplinary behaviors. This finding indicates that the risk of interdisciplinary behavior of large disciplinary scientists is more significant than the rewards. In contrast, scientists in small disciplines can enhance their influence through interdisciplinary behavior, which benefits the sustainable development of their careers.

Table 2 demonstrates the result of DID in the different interdisciplinary types. As shown in Table 2, the interdisciplinary behavior of scientists in S_{l-s} significantly reduces their influence, especially in 2004, nearly reduced 2.6 citations. However, scientists in S_{s-l} increase their influence on interdisciplinary behavior, and the most significant increase occurred in 2016, with an increase of about 7.2 citations. In general, our results exhibit a causal perspective for developing scientists' careers, especially for scientists in the small discipline.

3.3 The effect of interdisciplinary distance

Interdisciplinary research is a tough career challenge for scientists, i.e., the trade-off between the new research field and influence [67]. Thus, the scientist may balance the risks and benefits of interdisciplinary behavior. To find out the "Sweet Spot" in the transition, this work further explores the relationship between interdisciplinary distance and scientists' influence. Specifically, We consider J as the interdisciplinary distance and use the growth of citations to evaluate the scientists' influence. The growth of citations is defined as $G_c = (C_{after} - C_{before})/C_{before}$, where C_{after} and C_{before} is the citations for scientists in early and later careers, respectively.

Figure 7 compares the relationship between inter-discipline distance J and the growth of citations. We find that both long and short inter-disciplinary distances limit scientists' benefits and that only appropriate interdisciplinary distances could enhance the influence of scientists. Furthermore, the interdisciplinary also may introduce negative influence, which displays a uniform distribution (inserted figure in Figure 7), which indicates that inter-disciplinary behavior may reduce the influence of scientists, whatever the interdisciplinary distance. This phenomenon suggests that scientists need to bear the risks through interdisciplinary behavior and turn an appropriate interdisciplinary distance if they want to increase their influence.

We further investigate the underlying relationship between interdisciplinary distance and scientists' influence by utilizing GPSM.

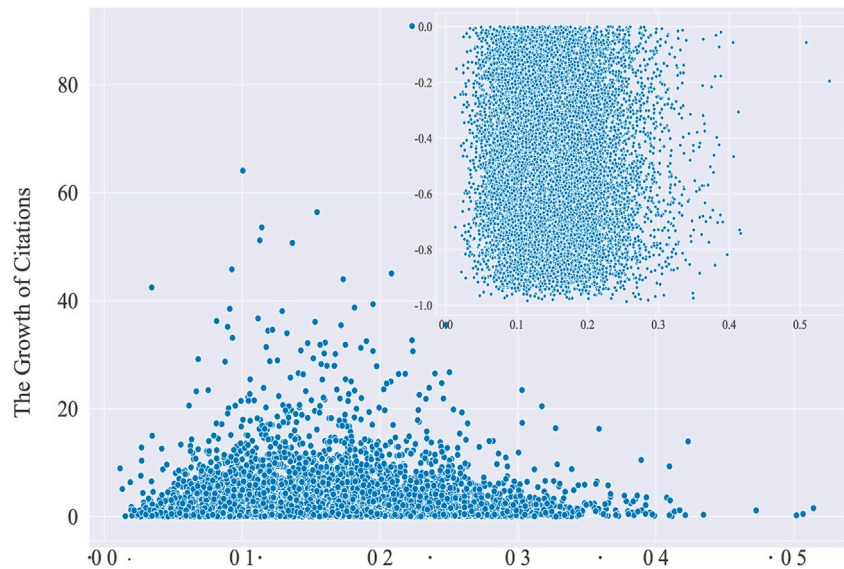


FIGURE 7

The distribution of citations' growth for the interdisciplinary scientists. The blue dot is G_c for each scientist. The insert picture shows the negative growth for citations.

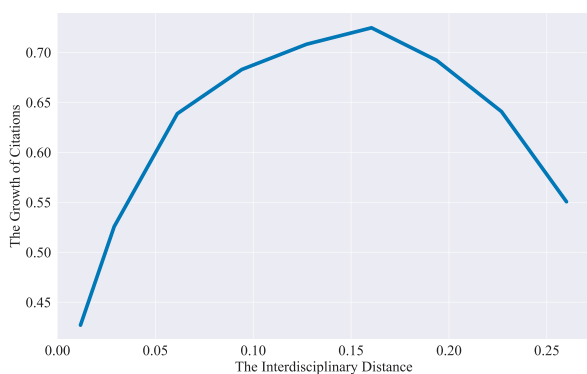


FIGURE 8

The evolution of treatment effect for GPSM. The blue line is the growth of citations G_c , which is the same as Figure 7. The horizontal and vertical axis represents the interdisciplinary distance and the growth of citations, respectively.

As shown in Figure 8, scientists' influence increases have a non-monotonic behavior: it increases for small inter-disciplinary distances and decreases (i.e., $J > 0.16$) for large inter-disciplinary distances (i.e., $J < 0.152$). The result implies that interdisciplinary behavior is an effective way to enhance scientists' influence. Furthermore, there exists a "Sweet spot" for the influence introduced by interdisciplinary behavior, that is, the interdisciplinary distance is 0.16.

4 Conclusion and discussion

This work studies the underlying relationship between interdisciplinary and influence. By introducing the concept of the large and small disciplines, we first investigate the relationship

between interdisciplinary behavior and citations. The results show that different types of interdisciplinary behaviors will have different effects on the citations, i.e., the citations of papers from the large discipline across the small discipline will decrease, and the opposite trend in the small discipline. Then, we find that papers of the large discipline across the small discipline have been high-cited in the same interdisciplinary type papers, while peers in the small discipline will widely accept the paper of the small across the large discipline. The analysis of the relationship between interdisciplinary behavior and scientists' influence and the result of DID-PSM confirm this phenomenon. The previous study also confirmed that interdisciplinary research might have a high impact, but they may encounter challenges in collaboration and more obstacles in peer review [68]. Furthermore, the analysis of interdisciplinary distance and scientists' influence finds that interdisciplinary behavior will bring risks, and there exists a "Sweet spot" for the influence introduced by interdisciplinary behavior. It is important for scientists to choose the appropriate interdisciplinary distance while undertaking the risks. The short interdisciplinary distance may lead to a low impact caused by the lack of novelty, and excessive interdisciplinary distance may lead scientists to work in entirely unfamiliar disciplines and descend the scientific influence. Furthermore, this work only considers the first author, i.e., the credit of the paper attributes to the first author. With the increase of the number of co-authors of each publication, the scientific credit system is also facing the pressure of development [69–71]. Our future work will find a more reasonable credit allocation method to further reveal the potential influence of interdisciplinary behavior. In general, causality is the focus of research in the future academic network. Our research introduced causal inference into practice in the academic field. This work analyzes the correlation between interdisciplinary behavior and scientists' influence and reveals its potential impact mechanism by quantifying the causal relationship among them, which provides a new perspective for future related research in the academic field.

Data availability statement

Publicly available datasets were analyzed in this study. This data can be found here: <https://www.aminer.cn/citation>.

Author contributions

CF and HL wrote the main manuscript text. CF and SY conceived the experiments. CF, XL, and HL conducted the experiments. All authors analyzed the results and reviewed the manuscript.

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