

## Challenges of Future Accelerators for Particle Physics Research

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For over half a century, high-energy particle accelerators have been a major enabling technology for particle and nuclear physics research as well as sources of X-rays for photon science research in material science, chemistry and biology. Particle accelerators for energy and intensity Frontier research in particle and nuclear physics continuously push the accelerator community to invent ways to increase the energy and improve the performance of accelerators, reduce their cost, and make them more power efficient. The accelerator community has demonstrated imagination and creativity in developing a plethora of future accelerator ideas and proposals. The technical maturity of the proposed facilities ranges from shovel-ready to those that are still largely conceptual. At this time, over 100 contributed papers have been submitted to the Accelerator Frontier of the US particle physics decadal community planning exercise known as Snowmass'2021. These papers cover a broad spectrum of topics: beam physics and accelerator education, accelerators for neutrinos, colliders for Electroweak/Higgs studies and multi-TeV energies, accelerators for Physics Beyond Colliders and rare processes, advanced accelerator concepts, and accelerator technology for Radio Frequency cavities (RF), magnets, targets and sources. This paper provides an overview of the present state of accelerators for particle physics and gives a brief description of some of the major facilities that have been proposed, their perceived advantages and some of the remaining challenges.

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## **1 INTRODUCTION**

There are more than 30,000 particle accelerators in operation around the world. Most of them use low energy beams ( $\ll$  1 MeV) and are used in industry [1]. Less numerous are high energy accelerators for research where there are just over a hundred, many of which have been recently constructed for photon science. Particle physics requires pushing the accelerated beams to the highest possible energies [2] and to the highest possible intensities [3]. Below, we briefly consider the most actively developing accelerator projects, such as the high energy lepton and hadron colliders and accelerator beam physics as well as in the core accelerator technologies - magnets, Radio Frequency cavities (RF), plasma, targets and sources. There are about two dozen energy Frontier colliders that complement or exceed the LHC in their discovery potential. Among them is the three TeV center-of-mass (CoM) CLIC option (100 MV/m accelerating gradient, 50 km long), two 100 km circumference *pp* colliders: the SPPC in China (75 TeV CoM, based on 12 T Superconducting (SC) magnets) and the FCC-hh at CERN (100 TeV CoM, 16 T SC

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magnets) [4], and a 10–14 TeV CoM  $\mu^+\mu^-$  collider (10–14 km

## **2 ENERGY FRONTIER COLLIDERS**

## 2.1 Lepton Colliders

circumference, 16 T SC magnets) [5].

Understanding the unification of electromagnetism with the weak interactions is one of the cornerstones of the Standard Model of particle physics and the Higgs is the mechanism that breaks the electroweak symmetry and gives particles their mass. Thus, understanding the electroweak/Higgs sector is a major focus of particle physics. At present, there are as many as eight Higgs/ElectroWeak factories under consideration:  $e^+e^-$  colliders such as the CepC in China and FCC-ee at CERN, both about 100 km circumference, which require O (100 MW) RF systems to sustain high luminosity [6]; or an 11 km long CLIC (CERN) two-beam normal-conducting RF linear accelerator with an average gradient of 72 MV/m [7]; or the 21 km long International Linear Collider (ILC) based on super-conducting RF (SRF) linacs with an average gradient of 31.5 MV/m [8]. Besides technical feasibility and the cost, the most critical requirements for a post-LHC energy Frontier collider include the CoM energy reach, the required AC power consumption (see Figure 1), and the required duration and scale of the R&D effort to

reach construction readiness - see the discussion in [2; Table 1].

#### 2.1.1 International Linear Collider (ILC)

The ILC is the most technologically mature of the proposed nextgeneration  $e^+e^-$  colliders - see [8]. The initial phase of the project has a CoM energy of 250 GeV for precision studies of the Higgs boson, a major goal in collider physics. As a linear machine it can operate at higher or lower energies. For example, the initial 21 km length can be extended to reach the threshold for the production of a top antitop quark pair ( $t\bar{t}$ ) as well as for the associated production of a Higgs boson with a  $t\bar{t}$  with CoM energies up to 500 GeV with a length of 31 km. The site allows an upgrade to one TeV by extending the SRF linac lengths and, with improvements to the SRF cavities, CoM energies of three to four TeV may be possible.

At 250 GeV, the primary enabling technology is Superconducting RF (SRF) cavities operating at an average gradient of 31.5 MV/m. The European XFEL in Hamburg Germany is a multi-billion dollar project that provides a 10%scale demonstration of the ILC acceleration systems with over 750 SRF cavities operating at an average of 23 MV/m and producing a 17.5 GeV electron beam for the X-ray Free Electron laser (FEL). A Technical Design Report (TDR) for the ILC has been completed and the project is considered to be "shovel ready." At this point, the R&D focus is on reducing the cost of the cryomodules. The remaining technical challenges are: improvement of the positron source, achieving the nanometer-scale spot size and stability at the interaction point (IP), and optimizing the damping ring injection and extraction systems.

#### 2.1.2 Compact Linear Collider (CLIC)

The Compact Linear Collider (CLIC) is a multi-TeV highluminosity linear  $e^+e^-$  collider proposed by an international collaboration led by CERN - see [7]. The design is based on a staged approach that includes three CoM energies of 380 GeV, 1.5 TeV and three TeV. In contrast to the ILC, CLIC uses a novel two-beam acceleration technique with normal-conducting accelerating structures operating in the range of 70 MV/m to 100 MV/m. A CDR was produced for CLIC in 2012 and, while the design is less mature than the ILC, the CLIC design parameters are well understood and have been reproduced in beam tests indicating that the CLIC performance goals are achievable.

The main risks and uncertainties for CLIC will be in scaling from the small-scale low-power demonstrations to the km-scale high-power two-beam deceleration systems. Efforts to reduce power consumption are ongoing and new estimates show a significant reduction related to improvements in the X-band RF technology and klystron design. Like the ILC, other R&D includes improvement of the positron source, achieving the nanometer-scale spot size and stability at the interaction point (IP), and optimizing the damping ring injection and extraction systems. In general, the spot sizes and stability requirements are tighter for CLIC than for the ILC while the positron system requirements may be easier due to a lower number of  $e^+$ /sec and a higher macro-pulse repetition rate that eases requirements on a rotating target.

### 2.1.3 Future Circular e<sup>+</sup>e<sup>-</sup> Collider (FCC-ee)

The Future Circular Collider (FCC) is a proposed international collider complex located near Geneva Switzerland. It is based on the same successful staging strategy used for the Large Electron-Positron collider (LEP) and the Large Hadron Collider (LHC). The approximately 100 km tunnel would initially house the FCC-ee  $e^+e^-$  collider that would would offer a broad physics reach operating at four different CoM energies: the *Z* pole, *WW* threshold, the *ZH* production peak and the  $t\bar{t}$  threshold. The tunnel would eventually house the FCC-hh, a 100 TeV proton-proton collider - see [4]. A Conceptual Design Report (CDR) for the FCC complex was completed and published in 2019 [9–11] and a Siting and Feasibility Study for the FCC-ee [12,13] will be completed in 2025, making it one of the more advanced proposals.

The main technologies for the FCC-ee are well-developed. The technology R&D is focused on incremental improvements aimed mainly at further optimizing electrical efficiency, obtaining the required diagnostic precision, and achieving the target performance in terms of beam current and luminosity. Optimization is also desired to improve the performance of the positron source [14]. The greatest challenge facing the facility is the difficulty and cost of tunneling in the Geneva area that the ongoing Feasibility Study is aimed at addressing. The proposed schedule allows for the start of tunnel construction in the early 2030s with first collisions in the early 2040s.

#### 2.1.4 Circular Electron Positron Collider (CepC)

Proposed by chinese scientists in 2012, the CepC is an international scientific project hosted by China to build a 240 GeV circular  $e^+e^-$  collider in an approximately 100 km tunnel - see [6]. Similar to the FCC, the tunnel for the CepC would eventually be used for a Super Proton-Proton Collider (SPPC). A CDR for the CepC was released in November 2018. The TDR is planned for completion at the end of 2022 followed by work on an Engineering Design Report (EDR) that will look at the detailed engineering design of components, site selection and preparations for industrialization.

Like the FCC-ee, the technological basis for the design is wellunderstood and the R&D focus is now on improving performance of the RF klystrons, SRF cavities, high precision magnets, and vacuum systems. The proposed schedule calls for first collisions in the mid-2030s.

## 2.1.5 Cool Copper Collider (C<sup>3</sup>)

The  $C^3$  is a linear collider concept based on recent innovations in the technology of cold copper cavities using distributed coupling that allows for increased accelerator performance and better optimization - see [15]. Operation at liquid nitrogen temperature substantially increases the RF efficiency and gradient of normal-conducting copper cavities. For a 250 GeV CoM energy the accelerator is 8 km and, with additional innovation, 550 GeV could be reached using the same footprint. A GeV-scale demonstration facility is proposed to provide input for a TDR. It would include three cryomodules operating at 70, 120 and 170 MeV/m to test the RF design. A gradient of 155 MeV/m would be needed for the 550 GeV upgrade. Other collider subsystems can be based on those developed for CLIC and ILC. Areas of technical focus are development of an ultra-low emittance polarized electron gun which would benefit all linear collider designs, optimization of the RF structures and reducing the cost of the RF sources.

## 2.1.6 Energy Recovery and Recirculating Linacs (ERLs and RLAs)

Energy Recovery Linacs (ERL) and Circular Colliders are an alternative approach to high energy electron-positron colliders with the aim to significantly reduce beam energy losses and consequently, power consumption. There are two proposed configurations. A circular  $e^+e^-$  Collider with two 100 km storage rings using Energy-Recovery Linacs (CERC) [16,17] or two large linear colliders with damping rings, Linear Energy Recovery Linac Collider (ReLiC) [18]. Starting as a Higgs factory they have the capability of achieving CoM energies up to 600 GeV. The energy as well as the particles are recycled in this scheme and make fully polarized electron and positron beams possible. A large fraction of the energy of the used beams is recovered by decelerating them. The beams are then reinjected into a damping ring where they are cooled and reused. Beam that is lost during the recovery process is replaced via a linear injector into the damping rings. An alternative concept for an SRF ERL-based linear  $e^+e^-$  collider has been recently proposed in [19].

ERL's are an ongoing technological development. A number of compact ERL facilities and demonstrators have been constructed. The highest circulating Continuous Wave (CW) power was achieved in the IR FEL ERL at Jefferson Laboratory which operated with 8.5 mA at 150 MeV. A global development program for the ERL technology is discussed in [20].

## 2.1.7 X-Ray Free Electron Laser Compton Collider (XCC)

The XCC concept combines the cold copper distributed coupling technology of C<sup>3</sup> with X-ray FELs [21] to create a  $\gamma$ - $\gamma$  collider. The linac accelerates electron bunches with a gradient of 70 MeV/m until 31 GeV is reached, at which point alternate bunches are diverted to an X-ray FEL to produce circular polarized 1 keV X-rays using a helical undulator. The electron bunches remaining in the linac are accelerated to 62.8 GeV through a final focus system to the  $e^-e^-$  interaction points (IP). The 62.8 GeV electrons then collide with the focused X-ray laser light from the opposite X-ray FEL, producing 62.5 GeV photons with are then collided at 125 GeV CoM in the primary IP. The number of Higgs' produced in such a machine would be comparable to the ILC, but backgrounds need to be studied in more detail.

Relative to some other  $e^+e^-$  Higgs factory proposals the XCC requires two additional beamlines and collision points and requires significant improvement to X-ray FEL technology. However, if a high brightness polarized RF gun can be developed, damping rings would not be required and the beam energy is half of that required for  $e^+e^-$  Higgs factories, raising the possibility of significant reduction in cost, a common obstacle for large-scale facilities. Gun development is challenging, but will benefit from experience with the LCLS-II-HE SRF gun when it turns on.



#### 2.1.8 Muon Colliders

The muon collider has great potential to extend the energy of lepton colliders by taking advantage of the strong suppression of synchrotron radiation from muons relative to electrons, though the finite lifetime of the muon is a critical issue. This allows for efficient acceleration in rings and a more compact RF system - see **Figure 2** - as well as a better defined collision energy. For example, the energy consumption of a 10 TeV CoM energy muon collider is estimated to be lower than CLIC at 3 TeV - see [5]. As a ring, a muon collider may be able to provide luminosity to two detectors.

There are a number of technological challenges that need to be addressed to be able to take advantage of the large energy and luminosity reach. To this end, an International Muon Collider Collaboration (IMCC) was formed based on a recommendation in the update of the European Strategy for Particle Physics [22]. The initial focus is on 10 TeV with an integrated luminosity of  $10 \text{ ab}^{-1}$ .

The main critical enabling technologies are 6D cooling of the muon beams, development of high field solenoids and accelerator magnets, very fast ramping magnets, high power targets, a proton driver, and operating with very strong collective effects. There has been recent progress in most of these areas demonstrating feasibility, but in the near term, a robust R&D program will be needed to bring the technology to the same level as linear or circular lepton colliders [20].

The scale of the R&D program can be expected to be a significant fraction of the final facility cost, much as for the linear collider program, where a large fraction of a B\$ has been invested in dedicated international test facilities beyond the Stanford Linear Collider. Assuming sufficient funding to support a technically limited program and successful development of key technologies, it may be feasible to start colliding beams in the mid 2040s.

#### 2.1.9 Fermilab Site Fillers

Domestically, the US is fully engaged in the Long Baseline Neutrino Facility (LBNF) and the Deep Underground Neutrino Experiment (DUNE). However, given the long timeframe needed to plan and build large accelerator facilities, preparation for major new projects needs to start soon. The Snowmass decadal strategic planning process for the US Particle Physics community (snowmass21.org) is an opportunity to consider possible options that could potentially be built in the US, and in particular, on the Fermilab site [23]. Given the current situation with the ILC and strong community support for a Higgs factory, it may be time to reconsider the option of hosting it in the US.

Other future collider concepts of intermediate-scale that might feasibly be built on the Fermilab site are also being considered:

- About 7 km long  $C^3$  (250 GeV up to 550 GeV);
- Linear colliders utilizing high gradient SRF, standing wave or travelling wave structures (250–500 GeV);
- 16 km circumference circular  $e^+e^-$  collider with (90–240 GeV);
- Same circumference proton-proton collider (24–27 TeV);
- A staged muon collider from a Higgs factory at 125 GeV up to 8–10 TeV.

Each of these options will require varying levels of R&D to produce a CDR by the time of the next Snowmass a decade from now. To accomplish this, it is proposed that the U.S. establish an integrated future colliders R&D program in the DOE Office of High Energy Physics (OHEP) to carry out feasibility studies and collaboratively engage in projects proposed abroad.

#### **2.2 Hadron and Hadron/Lepton Colliders** 2.2.1 Future Circular Collider - Hadron/Hadron (FCC-hh)

Proposed as a second phase of the FCC program after FCC-ee, the FCC-hh is a proton-proton collider aimed at increasing the physics reach by an order of magnitude beyond the LHC [24]. Following the same strategy used for LEP and LHC, the accelerators will utilize the same 100 km-scale tunnel. It is one of the relatively mature proposals, and a conceptual design report was published in 2019 [10]. One of the major enabling technologies are the 16 T superconducting magnets required to reach the target energy of 100 TeV. This field level does not currently exist but programs in the US and EU/CERN are actively

pursuing the R&D. Experience from the construction of high gradient quadrupoles for the LHC high luminosity (HL-LHC) upgrade will serve as a launching point for further development.

Attaining the desired luminosity of  $30 \cdot 10^{34}$  cm<sup>-2</sup>s<sup>-1</sup> will be challenging. However, the HL-LHC will be an opportunity to gain considerable experience. Other challenges are related to the size and number of components, and increased number of injector rings, adding considerably to the overall complexity. Crab cavities, necessary for compensating the crossing angle at the interaction points, are still an untested system in hadron colliders, but the HL-LHC will provide an opportunity to develop this technology.

#### 2.2.2 Super Proton-Proton Collider (SPPC)

The SPPC is proposed as the second phase of the CepC-SPPC, sharing the same tunnel in a scheme parallel to the FCC-ee/hh [25]. It is planned to operate at a CoM energy of up to 125 TeV in the final stage using 20T magnets with an intermediate stage at 75 TeV using 12T magnets.

High-field magnets are the key enabling technology for future hadron colliders. A unique feature of the magnet technology is the proposed use of iron-based high temperature superconductor (HTS). This material is still in the R&D stage, but if successful, has the potential for significant cost savings over currently available HTS materials. The project is currently in the pre-CDR phase. In addition to the high field magnets, synchrotron radiation power, luminosity and site power are a consideration in the overall design [26].

## 3 HIGH INTENSITY ACCELERATORS FOR NEUTRINO RESEARCH AND RARE PROCESSES

The leading accelerator-based facilities for high energy neutrino research are superbeams based on a conventional beam dump technique: an intense high energy proton beam is directed onto a thick nuclear target producing mostly pions and kaons, that are captured by focusing magnetic horns in order to obtain a well directed beam of same charge secondaries. High-energy neutrino beams are products of the decays of charged pions and kaons in a long decay channel [3]. Superbeams sources operate with proton beam intensities close to the mechanical stability limit of the primary targets which is at present O(1 MW). The most powerful accelerators for neutrino research to date are the rapid cycling synchrotron facility J-PARC in Japan which has reached 515 kW of 30 GeV proton beam power and the Fermilab Main Injector delivering up to 862 kW of 120 GeV protons on target. These facilities support neutrino oscillations research programs at the SuperK experiment (295 km from J-PARC) and MINOS (810 km from Fermilab), correspondingly.

The needs of neutrino physics call for the next generation, higher-power, megawatt and multi-MW-class superbeams facilities. Average proton beam power on the neutrino target scales with the beam energy  $E_b$ , number of particle per pulse  $N_{ppp}$  and cycle time  $T_{cycle}$  as  $P_b = (E_b N_{ppp})/T_{cycle}$ . Corresponding upgrades of the RF system and magnet power supply ramping



rate  $1/T_{cycle}$  have been initiated at J-PARC, while Fermilab has started construction of an 800 MeV SRF H- PIP-II linac (Proton Improvement Plan-II) that will help to boost  $N_{ppp}$  and the Main Injector beam power to above 1.2 MW, and considers further facility upgrades to get to 2.4 MW - see **Figure 3**.

Many existing and planned high intensity accelerators can be effectively used for fixed target experiments complementary to high-energy-Frontier colliders [27]. For example, a recent CERN study of the *Physics Beyond Colliders* (PBC) [28] resulted in numerous accelerator-based proposals, ranging from a gamma-factories [29] to explorations of the dark sector, to precision measurements of either strongly interacting processes or light, feebly interacting particles at beam dump facilities, such as, e.g., the SHiP experiment [30] at the SPS North Area [31]. A similar study is underway in the US as part of the Snowmass process. It considers a variety of potentially available beams ranging from 800 MeV to 120 GeV protons [32–34] to multi-GeV electrons [35].

## 4 ACCELERATOR TECHNOLOGIES AND ADVANCED ACCELERATORS

The cost of large accelerators is set by the scale (energy, length, power) and technology. Typically, accelerator components (Normal Conducting (NC) or/and Superconducting (SC) magnets and RF systems) account for 50  $\pm$  10% of the total cost, while the civil construction takes 35  $\pm$  15%, and power production, delivery and distribution technology adds the remaining 15  $\pm$  10% [36]. While the last two parts are mostly determined by industry, the magnet and RF technologies are linchpins in the progress of accelerators.

#### 4.1 Superconducting Magnet R&D

Superconducting magnets are required for many of the proposed facilities, in some cases with operational parameters well beyond current state-of-the-art, such as muon colliders or next



generation high energy hadron colliders. This need was recognized by the last P5 process and the US Department of Energy-Office of High Energy Physics initiated the US Magnet Development Program (MDP) [37,38], a general R&D program that pulls together US HEP magnet research groups at DOE laboratories and Universities under a common collaboration, with focused mission and goals. Another similar collaborative effort, the High Field Magnet (HFM) program has recently been organized in the EU [39]. Superconducting Nb-Ti magnets needed for colliders operate with fields up to 8.3 T (in the LHC). Dipole fields in excess of 16 T (up to 24 T) are needed, and solenoids, primarily for the muon collider, up to 50 T [40]. A recently tested US MDP prototype Nb<sub>3</sub>Sn magnet has reached 14.5 T [41] - see Figure 4. Fields above 16 T require the use of high temperature superconductors (HTS); Bi-2212, REBCO and Fe-based either in lieu of or combined in a hybrid scheme with Nb<sub>3</sub>Sn. The fastest rapid cycling magnets (required for a muon collider) show up to 300 T/s ramping rates in the HTS-based superconducting magnet test at Fermilab [42].

## 4.2 RF Technology R&D

The highest gradient large-scale NC RF system is the 28 MV/m linac of the SwissFEL at the PSI (Switzerland). Up to 100 MV/m accelerating beam gradients were achieved in the CLIC 12 GHz structures at the CERN test facility, while up to 150 MV/m gradients were reported in the first test of short 11.4 GHz NC structures cooled to 77 K at SLAC [15] - **Figure 5**. As for the SC RF, the largest scale accelerator to date is the 17.5 GeV 1.3 GHz pulsed linac of the European XFEL at DESY that has achieved an average beam gradient of roughly 23 MV/m with a nominal Superconducting RF (SRF) cavity quality factor of  $Q_0 \approx 1.4 \cdot 10^{10}$  at 2 K. The full ILC specification on the beam acceleration gradient of 31.5 MV/m has been demonstrated at the FNAL

FAST facility [43]. Recent advances in SRF cavity processing such as *nitrogen doping* allow further improvement of the quality factor to  $(3-6) \cdot 10^{10}$  (hence, reducing the required cryogenic cooling power) and an initial cryomodule for the LCLS-II-HE, operated at 25 MV/m CM with a  $Q_0 = 3 \cdot 10^{10}$ . Further R&D aims for 50 MV/m gradients in 1.3 GHz structures [44]. An active ongoing accelerator R&D program for future multi-MW proton beams includes development of more efficient power supplies with capacitive energy storage and recovery, more economical RF power sources such as 80% efficient klystrons, magnetrons, and solid-state RF sources (compared to current ~ 55%) see for example [45,46].

## 4.3 Targets and Sources R&D

The next generation of high power targets for future accelerators will use more complex geometries, novel materials, and new concepts (like flowing granular materials, Ammigan et al. [47]). Under active development are advanced numerical approaches that satisfy the physical design requirements of reliable beam targets [48]. In parallel, there is work to be done on radiation hardened beam instrumentation [49], development of irradiation methods for high power targets and irradiation facilities [50].

There are challenges in high-intensity high-brightness beam sources for future accelerators. They are particularly formidable for sources of beams with special characteristics, such as polarized electrons and ions or ultra-small emittances, and for tertiary and secondary particles, such as muons and positrons. High intensity positron sources, which are critical for future  $e^+e^-$  linear colliders, may be simplified using advanced accelerator concepts and photon-driven schemes that can potentially outperform conventional  $e^+$  production techniques [51].

## **4.4 Advanced Acceleration Methods** 4.4.1 Acceleration in Plasma

Electric fields due to charge separation in plasma can sustain > GV/m gradients and have enormous promise for accelerator technology - see, e.g., [52]. To date, several experiments demonstrated *O* (1–10 GeV) acceleration over 0.1–10 m long plasma channels.

Plasma waves can be effectively excited by short and powerful external drivers. Three effective ways to excite plasma have been



1 m structure consists of 40 cavities. A rf manifold that runs parallel to the structure feeds 20 cavities on each side. The structure operates at 5.712 GHz - from [45].

demonstrated in the past 2 decades: 1) by intense electron bunches (9 GeV acceleration over 1.3 m at  $10^{17}$  cm<sup>-3</sup>) at the SLAC FACET facility [53]; 2) short laser pulses resulted in an electron beam energy gain of up to 7.8 GeV over 20 cm in a few  $10^{17}$  cm<sup>-3</sup> plasma at the LBNL BELLA facility [54]; and 3) by selfmodulated high energy proton bunches (2 GeV over 10 m at  $10^{15}$  cm<sup>-3</sup>) at the CERN AWAKE experiment [55]. In principle, plasma-based linear accelerators can be employed in high energy  $e^+e^-$  colliders, but a significant long-term R&D effort is required to address many critical issues, such as acceleration of positrons, beamstrahlung, staging of multiple plasma acceleration cells, power efficiency, emittance control, jitter and scattering in plasma, etc [2,20].

There are three remarkable recent developments on the way to practical plasma-based beam accelerators: 1) EuPRAXIA-a 569 MEuro European plasma accelerator project proposal, supported by 50 institutions from 15 countries, and aiming for 5 GeV electron beam acceleration and development of plasma-based FELs—has been included in the ESRFI 10-20 year roadmap [56]; 2) the laser wakefield accelerator at SIOM/CAS in Shanghai (China) has achieved an outstanding quality of the accelerated 0.5 GeV electron beam (produced by a 200 TW laser exciting plasma in a 6 mm He gas jet) sufficient for initiation of the FEL generation of 27 nm light in the downstream undulators-making it the first demonstration of the plasmabased FEL [57]; and 3) a similar free electron lasing demonstration at the LNF-INFN with a beam-driven plasma accelerator [58].

#### 4.4.2 Acceleration in Structures

Relativistic electrons or positrons passing near a material boundary produce wakefields when the particle velocity exceeds the Cherenkov radiation condition. The longitudinal wakes can be used to accelerate an appropriately phased trailing beam [59] or can be extracted by a high efficiency RF coupler as a high power RF source [60]. There has been significant progress on another approach that utilizes laser-driven microstructure accelerators [61].

Structure wakefield acceleration (SWFA) has an advantage for application to linear colliders because the structures naturally accelerate electrons and positrons and are expected to have smaller challenges preserving the beam emittance than plasma accelerators. Recent progress in development has increased the gradient to 300 MV/m and wakefield power generation to 500 MW [62]. The next proposed steps are to design, construct and test a laboratory-scale SWFA module and incorporate it into a SWFA-based facility design with the intent of implementing a dedicated test facility as a scalable demonstration of the technology aimed at an energy Frontier machine.

## **5 BEAM PHYSICS ADVANCES**

Accelerator and beam physics (ABP), the science of charged particle beams, is an essential aspect of designing and building the next Frontier accelerators. A robust and scientifically challenging

TABLE 1 | HEP Collider proposals: near-term, medium-term, and longer-term.

Collider	Species	Nominal c.m. Energy (Range), TeV 10 <sup>34</sup> cm <sup>-2</sup> s <sup>-1</sup>	Luminosity per IP at Nominal c.m.e
FCCee	e <sup>+</sup> e <sup>-</sup>	0.24 (0.09–0.37)	8.5 (28.9)
CEPC	e <sup>+</sup> e <sup>-</sup>	0.24 (0.09–0.24)	8.3 (16.6)
ILC	e <sup>+</sup> e <sup>-</sup>	0.25 (0.09–3)	1.4
CCC	e <sup>+</sup> e <sup>-</sup>	0.25 (0.25–0.55)	1.3
CLIC	e <sup>+</sup> e <sup>-</sup>	0.38 (0.09–3)	1.5
CERC	e <sup>+</sup> e <sup>-</sup>	0.24 (0.09–0.6)	78
MC-Higgs	$\mu^+\mu^-$	0.13	0.01
LHeC	e <sup>-</sup> p	1.3	1
High-Energy ILC	e <sup>+</sup> e <sup>-</sup>	3 (1–3)	6.1
High-Energy CCC	e <sup>+</sup> e <sup>-</sup>	3 (1–3)	6.0
High-Energy CLIC	e <sup>+</sup> e <sup>-</sup>	3 (1–3)	5.9
Muon Collider	$\mu^+\mu^-$	10 (3–14)	20
FCChh	рр	100	30
SPPC	рр	75 (75–150)	10
Laser-Driven WFA-LC	e <sup>+</sup> e <sup>-</sup>	3 (1–15)	10
Beam-Driven WFA-LC	e <sup>+</sup> e <sup>-</sup>	3 (1–14)	6
Structure WFA-LC	e <sup>+</sup> e <sup>-</sup>	3 (1–15)	5.9

program in accelerator and beam physics is critical for the field of particle physics to be productive and successful. Major ABP challenges aim at improving the reliability, performance, safety, and cost reduction of future accelerators and push the envelope of beam intensity, beam quality, beam measurement and control, and development of methods to model and predict beam behavior.

There are many notable recent developments in the physics of beams - see. e.g. [2]. Here we will present those in the fields of beam cooling and artificial intelligence and machine learning (AI/ ML) and then summarize other developments across the field.

## 5.1 Beam Cooling

Beam cooling refers to the process of increasing the beam phase space density (ideally, 6D and loss-less) or, equivalently, beam emittance reduction. Widely used methods include synchrotron radiation damping, electron, stochastic and laser cooling. Over the past few years, we have seen several novel cooling schemes experimentally demonstrated at operational accelerators. A true breakthrough was the demonstration of the ionization cooling of 140 MeV/c muons at the MICE experiment RAL. at (United Kingdom)-some 10% beam emittance reduction was observed in a single pass through the cooling section

[63]. In 2020, "bunched" electron beam cooling of ions in RHIC  $(\gamma \sim 5)$ —remarkable by the pioneering use of high quality bunched electron beams from an electron beam RF photoinjector gun (before, only DC electron accelerators were used with limited capability to get to very high energies)-was demonstrated at BNL [64]. Earlier this year another outstanding result was reported by the Fermilab team which has successfully carried out a proof-of-principle experiment on the optical stochastic cooling of 100 MeV electrons in the IOTA ring in which the use of undulator magnets - instead of electrostatic pickups in traditional stochastic cooling setups - allowed to expand the feedback system bandwidth by several orders of magnitude to a THz range [65]. Finally, the proof-of-principle tests of a novel coherent electron cooling of the record-high energy 26 GeV/ u ions have started this year at BNL [66].

# 5.2 Artificial Intelligence and Machine Learning for Accelerators

Efforts have been ramping-up in recent years to use Artificial Intelligence and Machine Learning (AI/ML) to enhance the performance of accelerators and beamlines [67,68]. There is significant promise of applications of AI/ML in beam diagnostics, controls, and modeling [69]. Opportunity exists in broadening AI/ML methods for early detection of a broad range of accelerator component or subsystem failures [70] and for optimization of advanced numerical simulations through identification of the most promising combinations of parameters thereby reducing the total number of required simulations ([71]).

## 5.3 Other Beam Physics

A recent community exercise summed up the needs and directions of future developments in ABP [72]. Among many, those include issues related to beam loss control in high-intensity high-power accelerators (space-charge effects, instabilities, collimation, electron lens compensation, integrable optics, etc) which require innovative approaches, theoretical and experimental studies (at, e.g., the IOTA ring [73], and operational accelerators in the US and abroad) and validated computer models/codes. A key challenge would be to reduce particle losses (dN/N) at a faster rate than increases in achieved beam intensity (power) (N) [3].

Future circular and linear  $e^+e^-$  colliders (FCC-ee, CepC, gamma-gamma Higgs factory) require collision optimization studies including 3D beam size flip-flop from the beam-beam effect, polarization and Interaction Region (IR) design; pico-meter vertical emittance preservation techniques in high-charge circular colliders with strong focusing IR, detector solenoids, and beambeam effects; end-to-end emittance preservation simulations for linear colliders should be augmented with experimental tests of the beam-based alignment techniques in the presence of realistic external noise sources; plasma-lens-based final focus and beam transport system design [74,75]. Required ABP explorations for future hadron colliders include efficient collimation techniques [76], electron lenses for Landau damping and collimation [77], dynamic aperture optimization methods to make possible new integrable optics solutions [78], and studies to obtain lower emittances from new particle sources for injecting beams in high-bunch-charge colliders.

## **6 SUMMARY**

Accelerator science and technology has advanced significantly in the decade since the last Snowmass/Particle Physics Project Prioritization Panel (P5) strategic planning process. Advances in super-conducting and normal-conducting RF cavities, high field magnets, particle sources, targets, and advanced acceleration technologies as well as advances in the modeling and understanding of beam physics have allowed the development of many new or improved proposals for future accelerators to advance particle physics. Current activities such as the High Luminosity LHC upgrade, SuperKEKB, PIP-II, the ESS, the EIC and FAIR also serve as platforms for these future accelerators.

Thus, the ongoing Snowmass and P5 strategic planning process will have the opportunity and challenge of a variety of proposals to consider in developing a strategy for the future of particle physics. These proposals vary widely in terms of physics potential, cost, size, maturity and executable time-frame. However, with the support of a strong international accelerator and particle physics community, we are confident that there are multiple paths that will deliver a multi-decadal program in accelerator-based particle physics.

## **AUTHOR CONTRIBUTIONS**

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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