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Cherenkov radiation emitted by Kuznetsov–Ma solitons

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Cherenkov radiation emitted by Kuznetsov–Ma soliton (KMS) with an arbitrary propagation constant in the presence of higher-order dispersions is studied analytically and numerically. We show that the third-order dispersion (TOD) yields asymmetric radiated bands, while the fourth-order dispersion (FOD) gives rise to symmetric radiated bands only when the value of FOD is positive. In contrast to radiations emitted by other localized waves, such a radiation emerges periodically in propagation, and can exhibit multi-frequency bands which depends strongly on the propagation constant of the KMS. We presented radiation conditions to calculate different frequency bands, which shows great agreement with numerical simulations. Important radiation features such as radiation frequencies, velocities, and distances are shown in phase diagrams. Our results could be helpful for controllable radiations in nonlinear fiber and other nonlinear systems.

KEYWORDS

kuznetsov-ma soliton, cherenkov radiation, higher-order dispersion, radiation properties, propagation constant

1 Introduction

The ability of solitons to emit dispersive radiation also known as Cherenkov radiation is a property vividly expressing their wave nature. Although radiation emission by solitons has been well known in the 1990s [1-3], such a radiation is confirmed to be one of the key nonlinear frequency conversion mechanisms of coherent supercontinuum generation [4]. This allows a substantial increase in the spectral bandwidth of pulsed laser sources [5]. In particular, recent studies confirmed that soliton radiation leads to broader optical frequency combs on a photonic chip which can help refine time standards [5–7].

Cherenkov radiation in nonlinear optics is caused by the higher-order dispersion. The latter continues to pose major challenges in our understanding of nonlinear phenomena despite being vigorously investigated [8]. Indeed, owing to precise dispersion engineering, recent studies demonstrated that fourth-order dispersion (FOD) can give rise to gener ation of a new class of solitons, i.e., pure-quartic solitons [9, 10]. This opens a novel path for the design of pure-quartic soliton laser [11] and the exploration of pattern transition of modulation instability [12].

In addition to radiation emission by solitons, recent studies revealed radiation properties of shock waves [13, 14] as well as nonlinear "breathing" waves including Akhmediev breathers [15] and Peregrine rogue waves [16]. It has been shown that the symmetry-breaking dynamics of modulation instability (MI) spectrum induced by third-



Characteristics of radiations emitted by KMSs induced by TOD in both time and frequency domains with different propagation constants b=1.5 (left column) and b=2.5 (right column). (**A**,**B**) show false color plots of spatio-temporal evolution; the dashed black line refers to KMS velocity v_g , and the dashed white line refers to radiation velocity v_R . (**C**,**D**) correspond to the evolution of the Fourier spectrum (log scale) of (**A**,**B**). The radiation frequencies are obtained exactly from the resonance condition [10]. Namely, $w_0=25.6748$, $w_{01}=27.5391$, $w_{02}=-2.11617$, $w_{03}=-0.422941$. (**E**,**F**) are output spectrums in log scale (solid blue) compared with the input spectrum (dashed blue). The intersection points in the insets correspond to the radiation frequencies. Other parameters are a = 1, $\beta_3=0.24$.

order dispersion (TOD) [17] turns out to be the radiation emission by Akhmediev breathers [15]. This radiation can also lead to disappearances and recoveries of the Fermi-Pasta-Ulam recurrence [18]. Furthermore, higher-order nonlinear effects, such as the spontaneous Raman scattering and selfsteepening terms can also break the spectrum symmetry of breathers [19–21]. However, there exists another type of "breathing" wave—Kuznetsov-Ma soliton (KMS) [22, 23] that has attracted considerable attention recently [24–30]. KMS is oscillating due to the coherent interaction with a constant background [24, 28]. In contrast to Akhmediev breathers and Peregrine rogue waves, KMS describes not only the MI in the small amplitude regime, but also the interference between bright soliton and plane wave in the large amplitude regime [28]. When the amplitude of the background tends to zero, the period of oscillations increases and in the zero limit the KMS turns into an ordinary bright soliton [24]. The periodic evolution in propagation of the KMS has been observed experimentally both in fiber optics [25] and in hydrodynamics [26]. It has also been predicted in a microfabricated optomechanical array [27]. Even in dissipative optical systems, the KMS solution provides a basis in clarifying many experimental and numerical observations of oscillating phenomena [31, 32].



In this paper, we study Cherenkov radiation emitted by KMS with an arbitrary propagation constant in the presence of higherorder dispersions including TOD and FOD. Distinctive periodic radiation by KMS can be observed. We show such radiation depends on the propagation constant. The radiation frequencies can be calculated *via* the wavenumber-matching condition.

2 Physical model and radiation conditions

We consider the pulse propagation in optical fiber ruled by the nonlinear Schrödinger equation in the presence of the TOD and FOD. It reads in dimensionless form [8]:

$$\frac{\partial \psi}{\partial z} + \frac{\alpha}{2}\psi - \frac{i\beta_2}{2}\frac{\partial^2 \psi}{\partial t^2} + \frac{\beta_3}{6}\frac{\partial^3 \psi}{\partial t^3} - i\frac{\beta_4}{24}\frac{\partial^4 \psi}{\partial t^4} = i\gamma|\psi|^2\psi, \quad (1)$$

where, in nonlinear fiber system, ψ denotes the slowly varying pulse envelope of optical field, *z* is the evolution distance, *t* is the retarded time, β_3 and β_4 denote the third and fourth order dispersions, α is the gain or loss, and γ stands for the nonlinear parameter. If $\beta_3 = \beta_4 = 0$, $\beta_2 = 1$, $\alpha = 0$, and $\gamma = 1$. Eq. 1 admits the KMS solution [22, 23]:

$$\psi(z,t) = \left[a - 2\frac{\delta\cos(1/2\xi z) + ib\sqrt{\delta}\sin(1/2\xi z)}{b\cosh(2\sqrt{\delta}t) - a\cos(1/2\xi z)}\right]e^{i(1/2k_b z)},$$
(2)

where $k_b = 2a^2$, $\xi = 4b\sqrt{\delta}$ with $\delta = b^2 - a^2$. The parameter *a* is the background amplitude, while $b (\ge a)$ determines the KMS amplitude and the period $(D_z = 2\pi/\xi)$ in propagation. In the limit case $b \rightarrow a$, Eq. 2 reduces to the doubly localised Peregrine rogue wave with infinite period in *z*. The radiation properties of such limiting case induced by the TOD have been studies in Ref. [16]. In contrast, we consider here a general case of the KMS radiation with an arbitrary *b*. Such an additional degree of freedom induces nontrivial radiation properties of KMS.

We start with the phase of the KMSs. As the KMS is a particular nonlinear wave on the plane wave background, the total phase turns out to be the sum of the linear background contribution and the nonlinear KMS contribution. It reads explicitly:

$$\phi_{\rm kms}(z,t) = 1/2k_b z + \phi_{\rm nl}(z,t). \tag{3}$$

where the nonlinear phase ϕ_{nl} is given by

$$\phi_{\rm nl}(z,t) = \tan^{-1} \left[\frac{-2b\sqrt{\delta}\,\sin(2b\sqrt{\delta}\,z)}{ab\,\cosh\left(2\sqrt{\delta}\,t\right) + (a^2 - 2b^2)\cos\left(2b\sqrt{\delta}\,z\right)} \right]. \tag{4}$$

Clearly, such a phase exhibits periodic profile in propagation; it has the maximum value at the pulse peak t = 0. The *z*-derivative maximum phase corresponds to the maximum wavenumber of the KMS. The latter is given by:

$$k_{\rm kms}(z) = \frac{d\phi_{\rm kms}(z,t=0)}{dz} = k_b + k_{\rm nl}(z),$$
(5)

where

$$k_{\rm nl} = -\frac{2\sqrt{\delta}b\sin(4\sqrt{\delta}b)\mu + 4\delta b^2(a^2 - 2b^2)z\sin(2\sqrt{\delta}bz)}{\mu^2 + 4\delta b^2 + \sin^2(4\sqrt{\delta}b)},$$
 (6)

and $\mu = ab + (a^2 - 2b^2) \cos(2\sqrt{\delta}bz)$. Here, $k_{\rm nl}$ denotes the nonlinear wavenumber of the KMS. The variation of $k_{\rm kms}(z)$ follows that of the KMS amplitude, exhibiting periodic profile in *z*.

Resonant radiation occurs as a result of interaction between the KMS and linear dispersive waves. The latter has the form exp $(i\omega T + i1/2k_{dw}z)$, where $T = t - 1/2\nu_g z$ with ν_g being the group velocity, and k_{dw} is the wavenumber which constitutes the radiation from the soliton frequency. By inserting the term of linear waves in Eq. 1, we find the dispersion relationship:

$$k_{\rm dw} = \beta_4 \omega^4 + \beta_3 \omega^3 - \beta_2 \omega^2 + \nu_g \omega. \tag{7}$$

From here, we have the radiative velocity of linear wave

$$v_R = dk_{\rm dw} / d\omega = 4\beta_4 \omega^3 + 3\beta_3 \omega^2 - 2\beta_2 \omega + v_g.$$
(8)

Resonant radiated frequencies can be obtained by imposing the matching condition of wavenumber. As the KMS admits both linear and nonlinear contributions of wavenumber, the resonant condition admits different forms. The simplest resonant condition reads

$$k_{\rm dw} = k_b. \tag{9}$$

Namely, only the linear (lowest-order) wavenumber of the KMS is considered. This has been used in the resonant radiation of Akhmediev breathers [15], where the associated Fermi-Pasta-Ulam recurrence can be well controlled by the value of TOD.

A more accurate estimate of the radiated frequencies is obtained by considering the total wavenumber of the KMS. It is given by

$$k_{\rm dw} = k_{\rm kms}(z). \tag{10}$$

On the other hand, by considering an additional nonlinear correction arising from cross-phase modulation (induced by the intense background) [13], we obtain another radiation condition:

$$k_{\rm dw} = k_{\rm kms}(z) - 2k_b = k_{\rm nl}(z) - k_b. \tag{11}$$

This condition is composed of the effective nonlinear contributions of the KMS wavenumber. It has been demonstrated that this radiation condition produces accurate description of radiated frequencies of the limiting case of KMSs (i.e., Peregrine rogue waves) [16].

Despite being different in form, all radiation conditions can admit multiple radiated frequencies. We will investigate below the properties of resonant radiation emitted by KMSs by considering the radiation condition [10]. The availability of three different radiation conditions will be also clarified.

Note that since k_{dw} must be evaluated in the frame where the KMS is stationary, in each radiation condition, $v_g = 2dt/dz$ is equal to the velocity of the KMS induced by the odd-order dispersion. Moreover, as the KMS is a particular nonlinear periodic mode in propagation, resonant radiation can occur periodically. In particular, as *b* is large, KMS suffers acceleration in propagation [see Figures 1, 4]. Thus, for each cell of KMS, the velocity should be re-estimated carefully.

Furthermore, for a given optical fiber system, i.e., the higher-order dispersion parameters are fixed, the radiation properties of KMSs depend strongly on the parameter *b*. Such radiation properties can be well described by four physical quantities, namely, radiation frequency ω_R , the initial radiation distance z_0 , KMS velocity v_g and radiation velocity v_R . In the following, we will study these physical quantities with different *b*. All numerical results are obtained from the numerical integration of Eq. 1 by the split-step Fourier method.

3 Radiation induced by third-order dispersion

We first consider the radiation properties emitted by KMSs induced only by the TOD. As is well known, the TOD results in asymmetric spectral distribution in processes of modulation instability and Fermi-Pasta-Ulam recurrence [15]. TOD also causes asymmetric spectra for Peregrine rogue waves [16]. However, for KMSs with different parameters b, the radiation properties are different.

Figure 1 shows radiation characteristics emitted by KMSs with different *b* in both time and frequency domains. The initial condition is extracted from solution [2] at z = 0. We then consider two cases with b = 1.5 and b = 2.5. The other parameters are a = 1, $\beta_2 = 1$, $\beta_3 = 0.24$, and $\beta_4 = 0$. As can be seen from the figure, KMSs, in either case, generate distinctive radiation in propagation. The group velocity of KMSs is induced by the TOD. If one chooses the opposite value of TOD, the velocity will be opposite. In particular, the radiation occurs periodically at the particular (maximum-compressed) distance where KMSs have maximum amplitude. This can be clearly seen from the characteristic of spectral distributions.

An interesting result is that as b is small, the KMS has a unique group velocity as shown in Figure 1A. This results in that the radiation spectrum corresponds to a unique frequency ω_0 . However, when b is large, KMSs suffer accelerating propagation [Figure 1B]. This means that for each cell of KMS shown in Figure 1B, the velocity v_g is different and should be re-estimated. Due to the difference of v_g in each cell of KMS, radiation frequency in each cell is also different. A slight deviation from the initial radiation frequency (ω_{01}) can be clearly observed in the spectral distribution. This leads to radiation band broadening as z increases. In addition to the dominating radiation band, there are two radiation lines (ω_{02} and ω_{03}) which are not that obvious in the spectra. Such frequencies can be given by the cubic resonance equation. As can be seen, the appearance of these three radiation bands corresponds to different initial distances (z_{01} , z_{02} , and z_{03}).

Note that all these numerical results are completely consistent with the analytical results given by Eq. 10. This can be observed from the spectrum profiles shown in Figure 1. The intersection points in the insets correspond to the radiation frequencies obtained exactly from the resonance condition. As can be seen, such frequencies coincide with those obtained by numerical simulation.

To better understand the radiation phenomenon, we depict, in Figures 2A,B, the variations of radiation frequencies (ω_{0j} , j = 1, 2, 3), initial distances (z_{0j}), the group velocity of first cell of KMS (v_g), and the radiation velocity of dominating radiation band (v_R) as b increases. Interestingly, we numerically find a critical value of b, say $b_c \approx 2.45$, that divides the regions into two parts. Namely, when $b \leq b_c$, there is only one radiation frequency (ω_{01}) with its initial distance (z_{01}). As b increases, z_{01} decreases sharply while ω_{01}



Propagation of perturbed KMS without radiations (β_4 =-0.096, left column) and with radiations (β_4 =0.096, right column). (**A**,**B**) show the false color plot of spatio-temporal evolution. In (**B**), the dashed black line refers to group velocity, and the dashed white line refers to radiation velocity. (**C**,**D**) are the corresponding evolution of Fourier spectrum (log scale). (**E**,**F**) are graphical solution of Eq. 10. ω_{0j} is given by the cross of the green horizontal line standing for k_{dw} , with the blue solid curve signifying the quartic dispersion relation.

increases slightly. Instead, if $b > b_c$, three radiation frequencies (ω_{0j}) emerge from different initial distances (z_{0j}) . However, due to the secondary radiation bands $(\omega_{02} \text{ and } \omega_{03})$ are always weak, only the radiation velocity of dominating radiation band v_R is shown in Figure 2B. As *b* increases, v_R decreases and $|v_e|$ increases.

In addition to the radiation frequency, the efficiency of radiation is also the crucial property that should be considered. Here we define radiation efficiency $\eta = (S_1 - S_0)/S_0$, where S_1 denotes the numerical integration of the spectrum with higher-order dispersion for given *b*, while S_0 stands for the numerical integration of the spectrum without higher-order dispersion. We then show the radiation conversion efficiency

versus the propagation constant *b*. As shown in Figure 2C, the radiation efficiency increases and then decreases as *b* increases. The maximum efficiency occurs when $b \approx 2.4$.

4 Radiation induced by fourth-order dispersion

Next, we consider the radiation properties induced only by the FOD. In contrast to the case of radiation induced by the TOD, FOD can result in radiation only when β_2 and β_4 have the same sign.



Radiation properties induced by the combined effects of TOD and FOD. (**A**,**B**) show false color plots of spatio-temporal evolution and spectra with two. In (**A**), the dashed black lines refer to group velocities of the first and second cell of KMS, v_{g1} =-0.92033, v_{g2} =-2. The dashed white lines refer to radiation velocities v_{R1} =-21.5271, v_{R2} =58.0662. (**C**,**D**) Radiation frequencies and spectra for the first cell of KMS with v_{g1} . (**C**) Graphical solutions of Eq. 10. ω_{01} and ω_{02} are the intersection points corresponding to the radiation frequencies obtained exactly from the resonance condition. (**D**) Output spectrum in log scale (solid blue) compared with the input spectrum (dashed blue). (**E**,**F**) Similar to (**C**,**D**) but for the second cell of KMS with v_{q2} . The parameters are β_3 =0.24, β_4 =0.096, and b = 1.5.

Figure 3 displays the evolution characteristics of KMSs with positive and negative FOD (β_4). One can see from the figure that in either case, KMSs propagate with zero group velocity $v_g = 0$. As shown in Figure 3A, KMS cannot produce radiation when FOD is negative. It propagates with zero group velocity just as the standard KMS. This is because that the quartic equation (radiation condition) has no real roots.

On the contrary, when β_4 is positive, radiation waves appear in the first cell of the KMS, as shown in Figure 3B. Specifically, just as the case of TOD, radiation waves generate at the maximumcompressed distance where KMSs have maximum amplitude. Unlike the case of TOD, there are two radiation waves which are symmetrical about t = 0. Namely, they have opposite radiation velocity v_R . After the radiation, the KMS splits into several subwaves distributed symmetrically with respect to t = 0.

A further observation for resonance radiation in frequency domain shows that KMSs produce two symmetrical radiation bands with frequencies ω_{01} and ω_{02} ($\omega_{01} = -\omega_{02}$). This corresponds to the linear waves with opposite radiation velocity v_R shown in time domain. We emphasize that these radiation frequencies fit the calculated frequencies accurately. In addition, with the value of FOD increasing, the two radiation bands get closer. Note that although the radiation condition yields a quartic equation, there are only two real roots that correspond to the radiation frequencies.





Dominant radiation frequencies under different radiation conditions [9]–[11]. (A) Variations of single radiation frequency (ω_0) induced by TOD. (B,C) Variations of two radiation frequency (ω_{01} , ω_{02}) induced by TOD and FOD. (D) Comparison between radiation frequencies (ω_{01} , ω_{02}) and the numerical simulations with a special *b* circled in (B) and (C). (E) Comparison of the frequency calculations for the three resonance conditions with *b* = 2.8 when $\beta_3 = 0.24$ and $\beta_4 = 0$.

5 Radiation induced by third-order dispersion and fourth-order dispersion

Let us consider the radiation properties induced by the combined effects of TOD and FOD. In order to better understand this combined effects, we consider the radiation shown in Figure 1A but perturbed by a small positive value of FOD.

As shown in Figure 4, even when *b* is small, KMS can suffer accelerating propagation. This means that FOD enhances the group velocity of KMS. Clearly, the group velocity of the first cell is different from that of the second one, i.e., $v_{g1} \neq v_{g2}$. The radiation occurs in each cell of KMS. Due to the coexistence of

TOD and FOD, linear radiation waves appear on two sides of the KMS with velocities v_{R1} , v_{R2} . However, they are asymmetric about the group velocity of KMS. The spectrum distribution reveals that the two radiation waves correspond to the frequencies ω_{01} , ω_{02} . The radiation bands become wider as *z* increases, since the variety of the group velocity of KMS causes the slight frequency shift. We perform the radiation condition for the first 2 cells of the KMS. Again, the analytical frequencies agree with those obtained by numerical simulations.

Figure 5 shows the variations of radiation frequencies (ω_{0j}) , initial distances (z_{0j}) , group velocity of first cell of KMS (v_g) , and radiation velocities of two dominating radiation bands (v_{R1}, v_{R2}) as *b* increases. The critical value of *b* $(b_c = 2.15)$ is obtained numerically. When $b \le b_c$, two radiation bands with frequencies $(\omega_{01}, \omega_{02})$ appear at initial distances (z_{01}, z_{02}) . As $b \to 1, z_{01} \approx z_{02}$. As *b* increases, both z_{01} and z_{02} decrease, and in particular, $z_{01} \ne z_{02}$. Correspondingly, ω_{01} and ω_{02} increase slightly. Instead, if $b > b_c$, two additional radiation bands with frequencies $(\omega_{03}, \omega_{04})$ emerge at different initial distances (z_{03}, z_{04}) . The radiation efficiency in the presence of both TOD and FOD is shown in Figure 5C. As can be seen, the variation of the radiation efficiency is irregular as *b* increases due to the coexistence of TOD and FOD. This coexistence induces the difference of the initial radiation distances between two radiation bands.

We point out that all results shown above are obtained by considering the radiation condition [10]. Let us clarify here the availability of the conditions [9, 11]. To do so, we numerically calculate the radiation frequencies under different conditions. We first show in Figure 6A, the variation of single dominant frequency (ω_0) induced by TOD as b increases. As can be seen, three curves obtained under different conditions are much close to each other. In the case of two dominant frequencies (ω_{01} , ω_{02}) induced by both TOD and FOD (Figures 6B,C), a slight difference can be observed for ω_{01} when b is small, as shown in Figure 6C. We compare this difference with the numerical simulation. As shown in Figure 6D, the frequency ω_{01} obtained under the condition [10] shows a greet agreement with the numerical simulation. This is because when bis small the dominant mechanism of KMS formation is the modulation instability (namely, the property of KMSs is similar with that of Peregrine rogue waves). In this case, the plane wave background plays a key role and the linear contribution of the wavenumber cannot be ignored. However, when b is large the dominant mechanism of KMS formation is the linear interference between solitons and a plane wave. The nonlinear contribution of the soliton wavenumber plays a dominant role in radiation. As shown in Figure 6E, when b = 2.8, we can see clearly that the condition [11] provides a better description of the radiation frequency.

6 Conclusion

We have studied analytically and numerically Cherenkov radiation emitted by KMSs with arbitrary propagation constant b

in the presence of TOD and FOD. The radiation emerges periodically in propagation and can exhibit multi-frequency bands which depends strongly on the propagation constant. We presented radiation conditions to calculate different frequency bands, which shows great agreement with numerical simulations. All these results are available for the single-mode fiber. Breather emission can be extended into a generalized framework in a multimode fiber, where resonant radiations emitted by conical waves in a multimode fiber (socalled discretization of conical emission) have been revealed recently [33].

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

Author contributions

CL conceived the idea and presented the first draft of the manuscript. YZ preformed the numerical simulations. CL and YZ provided the physical analysis and interpretation of results.

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Conflict of interest

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