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*CORRESPONDENCE Wan-Dong Yu, wandongyu@pku.edu.cn

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Strain effects on high-harmonic generation in monolayer hexagonal boron nitride

Xiao-Shuang Kong, Xiao-Yuan Wu, Lei Geng and Wan-Dong Yu*

State Key Laboratory for Mesoscopic Physics and Frontiers Science Center for Nano-optoelectronics, School of Physics, Peking University, Beijing, China

Based on the time-dependent density functional theory, we theoretically investigate the influence of mechanical strains on the high-order harmonic generation (HHG) in the monolayer hexagonal boron nitride (hBN) crystal. We show that mechanical strains can largely modify the band structure and facilitate the harmonic emission. Compared to uniaxial strains, we find that biaxial strains may enhance the HHG yield significantly, and the HHG spectroscopy generated by a linearly polarized laser is closely related to the symmetry of the deformed hBN. Moreover, when driven by a circularly polarized laser, we find that the appearance of the *3n*-order harmonics manifests the restoration of the three-fold rotational symmetry. Our results will be useful in controlling the HHG spectroscopy and probing lattice deformations in crystals.

KEYWORDS

mechanical strains, high-order harmonic generation, monolayer hexagonal boron nitride crystal, time-dependent density functional theory, laser-matter interaction

1 Introduction

Since the high-order harmonic generation (HHG) from the bulk ZnO was first observed in 2010 [1], much attention has been paid to the HHG from crystalline solids, which may provide a direct access to high-efficiency and high-stability light sources [2]. State-of-the-art experiments have recorded HHG in various condensed-matter systems [3–7], providing a great opportunity to all-optically image the electronic structure and ultrafast processes [5], such as valance charge imaging [4], energy band reconstruction [3, 8], Berry curvature measurement [6, 9], lattice symmetry probe [9–11] and transition moment visualization [12]. Due to the complicated interaction between solids and the intense laser field, the HHG mechanism from solids can not be sufficiently explained by the atomic three-step model [13]. At a basic level, interband and intraband transitions were demonstrated to be critical for solid HHG [14]. Up-to-now, the coupling mechanism between interband and intraband processes has not been fully understood, and more importantly, a general rule to manipulate the solid-state harmonics has not yet been proposed.

Among various solid-state materials, the ability to continuously tune the electronic structure is one of the most attractive properties of two-dimensional (2D) materials. The atomic thickness of 2D materials makes their electronic and optical properties very sensitive to external perturbations [15]. The HHG from 2D materials brings some distinctive features. For example, it has been demonstrated both experimentally [6] and theoretically [16] that the HHG from the isolated monolayer MoS₂ is more efficient than that from its bulk forms. Also, it is found that the even-order HHG in the monolayer MoS_2 is predominantly polarized perpendicular to the pump field, which is caused by the intraband anomalous transverse current arising from the Berry curvature of the material [6]. The HHG in the monolayer graphene shows an anomalous dependence on the laser ellipticity [7]. The monolayer hBN can generate bulk-like harmonics when it is driven by an inplane polarized laser field, and atomic-like harmonics when driven by an out-of-plane polarized pulse [15-17]. In addition, Yu et al. [17] investigated the double-plateau structure of the HHG spectrum in the bilayer hBN crystal with the driven laser pulse at grazing incidence. They found that the photon energy of the second plateau far beyond atomiclike harmonics can be well explained by the inclusion of backscattering of ionized electrons.

In addition to modulate laser parameters, tailoring the electronic structure of solid-state materials has also been proven to be a powerful way to control the HHG. For example, this can be achieved by doping [18, 19] or growing nanostructures on the surface [20, 21], lowering the dimensionality of the material [6, 16], and changing the layer stack [16, 22, 23]. It is worth mentioning that the mechanical engineering is one of the most commonly used methods to tune the lattice deformation, band gap and the carrier effective mass, providing an effective way to change the transition temperature of the ferromagnetic-paramagnetic [24, 25], metal-insulator [26] and superconductor [27, 28]. Interestingly, the semiconductormetal transition in the thin film MoTe₂ can be realized at room temperature by applying tensile strains [29, 30].

Strain engineering, as a traditional low-cost route to manipulate the electronic structure, has been widely used in material sciences. By imposing strains, e.g., stretching or compressing the lattice structure, the device performance as well as optical properties can be effectively regulated [31, 32]. Therefore, it does stand to reason that tailoring the HHG from solid-state materials can also be achieved by applying strains. So far, to the best of our knowledge, controlling the HHG from 2D materials *via* strains remains seldom explored. Recently, Guan *et al.* demonstrated the high sensitivity of HHG by applying uniaxial strains in the monolayer MoS₂ and showed strong correlations between intraband and interband contributions [33]. Generally speaking, by exerting biaxial stretching strains, the harmonic efficiency can be facilitated due to the downshifting energy level of the conduction band [34]. Besides, Qin *et al.* [35] showed that, under biaxial and uniaxial strains, the harmonic intensity in the zero-gap monolayer silicene can be significantly enhanced up to an order of magnitude.

As a prototype of 2D materials, the hBN attracts great interest due to its outstanding optical and structural performances, e.g., a wide band gap, excellent structrual stability [36], hardly damaged under radiations [15], and strong exciton coincidences [37]. The hBN relevant materials have been demonstrated to be a promising candidate for the HHG, such as Graphene/hBN heterostructures [22], hBN stacking forms [16] and holedefect hBN structures [19]. More importantly, *ab initio* calculations showed that the exertion of strains can effectively tune the bandgap structure of the monolayer hBN, and with a certain large lateral deformation, the single-layer hBN may be turned from insulator to semiconductor [38].

In this paper, we focus on the stretching effect on the HHG. As an example, we theoretically investigate the HHG from the monolayer hBN crystal within the time-dependent density functional theory (TDDFT). Compared to the previous research, we systematically study the influence of uniaxial and biaxial strains along the zigzag direction and the armchair direction on HHG from the monolayer hBN crystal. To launch a more convincing dynamics, we compute the initial ground-state density for each stretching geometry. For the nonlinear HHG response, we consider two kinds of laser fields, i.e., the linearly polarized and the counter-rotating bicircular pulses.

This paper is organized as follows: In Section 2, we introduce the framework of the TDDFT method and numerical details. In Section 3, we discuss the strained band structure and the HHG in the monolayer hBN. Finally, in Section 4, we summarize and discuss the prospects of our results.

2 Method

The electron dynamics of the monolayer hBN is investigated by solving the following time-dependent Kohn–Sham (KS) equations (atomic units is adopted and the spin notation is omitted hereafter) [39, 40],

$$i\hbar\frac{\partial}{\partial t}\psi_{i}(\mathbf{r},t) = \left(-\frac{\nabla^{2}}{2} + V_{H}\left[\rho(\mathbf{r},t)\right] + V_{ext}(\mathbf{r},t) + V_{xc}\left[\rho(\mathbf{r},t)\right]\right)\psi_{i}(\mathbf{r},t), \quad (1)$$

where ψ_i are KS orbitals and the subscript *i* corresponds to both a band and a *k*-point index. The KS effective potential consists of three parts: the classical Hartree potential V_{H} , the electronic exchange-correlation (*xc*) potential V_{xc} and the external potential V_{ext} that contains the incident laser field and the electron-ion Coulomb potential. The total density $\rho(\mathbf{r}, t)$ is defined by summing up all the orbital densities,

$$\rho(\mathbf{r},t) = \sum_{i} |\psi_{i}(\mathbf{r},t)|^{2}.$$
(2)



FIGURE 1

(A) Schematic diagram of the strain-free monolayer hBN. The primitive (hexagonal) cell and cubic unit cell are framed by solid and dashed lines.
 (B) The first Brillouin zone of the strain-free monolayer hBN and the corresponding high symmetric points Γ, K, M, R, and S in the reciprocal space. (C) The band structure of the strain-free monolayer hBN.

The microscopic electric current density $\mathbf{j}(\mathbf{r}, t)$ is computed from time-evolved KS orbitals:

$$\mathbf{j}(\mathbf{r},t) = -e\sum_{i} \operatorname{Re}\left[\psi_{i}^{*}(\mathbf{r},t)\left(-i\hbar\nabla + \frac{e}{c}\mathbf{A}(t)\right)\psi_{i}(\mathbf{r},t)\right].$$
 (3)

Then, the HHG spectrum is obtained from the total timedependent electronic current $\mathbf{j}(\mathbf{r}, t)$ by the following Fourier transform,

$$Y(\omega) = \left| FT \left[\frac{\partial}{\partial t} \int_{\Omega} \mathbf{j}(\mathbf{r}, t) d^{3} \mathbf{r} \right] \right|^{2}, \qquad (4)$$

where Ω is the volume of the computational box.

In the present work, we consider both uniaxial and biaxial stretching strains applied to the monolayer hBN crystal. For strained geometries, we optimize lattice parameters of the monolayer hBN by using the Vienna ab intio Software Package (VASP) [41, 42]. The projected augmented wave [43, 44] pseudopotentials are employed with a cutoff energy of 500 eV for the plane-wave basis, and the *xc* functional is treated with the generalized gradient approximation of the Perdew-Burke-Ernzerhof (PBE) functional. The ground-state structural optimizations are carried out using a cubic cell which contains four atoms, i.e., two boron atoms and two nitrogen atoms, as shown in Figure 1A. The corresponding Brillouin zone (BZ) integrations are approximated by adopting the special k-point sampling of the Monhkorst-Pack scheme with a size of 28×16 points. The uniaxial deformations are imposed by stretching the crystal lattice on either the x $[\varepsilon_{uni.(x)}]$ or the $y [\varepsilon_{uni.(y)}]$ direction, and the biaxial deformations

are applied in both the *x* and the *y* directions (ε_{bi}). Due to the Poisson effect [45, 46], the lattice tends to be compressed along the direction perpendicular to the uniaxial stretching direction. Therefore, during the optimization, when the uniaxial strain [$\varepsilon_{uni.(x)}$ or $\varepsilon_{uni.(y)}$] is applied, the *x* (or *y*)-direction lattice parameter L_x (or L_y) in the cubic cell is fixed, and the L_y (or L_x) is obtained by the minimum process that the system relaxes to its lowest energy state. For the symmetric strain distribution ($\varepsilon_{bi.}$), we scale both the L_x and L_y by the same ratio. Here, stretching percents in the range from 0 to 6% are considered, where $\varepsilon = 0$ manifests a strain-free operation, and $\varepsilon > 0$ corresponds to a stretching operation.

After the lattice parameter optimization, the corresponding simplified two-atom primitive cell framed by the thin black solid lines in Figure 1A is used for ground-state calculations and timedependent propagations, which is performed within OCTOPUS package [47, 48]. In all the calculations, nuclei are fixed, so that the energy transfer from electrons to ions is not considered. The lattice parameter of the primitive cell for the unstrained monolayer hBN is 4.73 a_0 . The length of the simulation box in the out-of-plane direction is 66 a_0 . A complex absorbing boundary condition [49] is employed to prevent the possible electronic wave-packet reflection, and the width of the absorbing boundary is 3 a_0 laying on the edges of the computational box. All the physical quantities are discretized in a three-dimensional box with a uniform grid size of 0.33 a_0 . We employ a local-density approximation (LDA) [50] for the electronic exchangecorrelation, and Hartwigsen-Goedecker-Hutter (HGH) pseudopotentials [51] for core-electron potentials. The 2D BZ is sampled by a 44 × 44 Monkhorst-Pack k-point mesh for self-



consistent converged calculations. The monolayer hBN is exposed to a linearly or a counter-rotating bichromatic circularly polarized mid-infrared laser field, polarized along the in-plane direction. The laser-matter interaction is described in the velocity gauge with the vector potential $\mathbf{A}(t)$ given by,

$$\mathbf{A}(t) = \mathbf{A}_{1}(t) + \mathbf{A}_{2}(t)$$

$$= \sum_{i=1}^{2} A_{i0} f(t) \left[\frac{1}{\sqrt{1 + \epsilon_{i}^{2}}} \cos(\omega_{i} t) \hat{\mathbf{e}}_{x} + \frac{\epsilon_{i}}{\sqrt{1 + \epsilon_{i}^{2}}} \sin(\omega_{i} t) \hat{\mathbf{e}}_{y} \right],$$
(5)

where A_{i0} is the peak vector potential. ϵ_i and ω_i are the ellipticity and the frequency of the *i*th pulse, respectively. The laser pulse envelope f(t) is a sin-square profile. For the linearly polarized laser, A_{20} and ϵ_i are set to be zero. For the bichromatic circularly polarized field, $A_{10} = A_{20}$, $\epsilon_i = 1$ and $\omega_2 = 2\omega_1$. The "±" in Eq. 5 is used to distinguish a circularly polarized field with the lefthanded (+) or the right-handed (–) rotation. Both kinds of laser pulses share the same pulse length, and contain 8 cycles of the fundamental pulse. The electric field **E**(t) relates to the **A**(*t*) by $\mathbf{E}(t) = -\frac{1}{c} \frac{\partial}{\partial t} \mathbf{A}(t)$. The total energy of the incident laser field in the current work always remains the same as the fundamental linearly polarized pulse with a peak laser intensity of 10^{12} W/cm².

3 Results and discussion

The strain-free monolayer hBN is a direct-gap insulator with a hexagonal lattice. The top view of the crystal structure is shown in Figure 1A. Figures 1B,C show the first BZ and the band structure, respectively. The minimum direct band gap is located at K point with a magnitude of ~ 4.5 eV, in good agreement with other calculations [38, 52]. For the strain-free hBN, the energy at the high symmetry points of S and M in the band structure is degenerate, as well as the R and K points.

By taking the lattice structure of the strain-free monolayer hBN as a reference, the symmetry of the reciprocal space will be changed under different strain strengths. In Figures 2A–C, we show electronic band structures of the monolayer hBN that under different stretching percents. We find that the band structure strongly depends on the strength and direction of the applied strains, and the band energies shift when strains are applied. For the cases of $\varepsilon_{uni.(x)}$ and ε_{bi} , the top of the valence



(A) The total HHG spectra in the monolayer hBN with the stretching percent of $\varepsilon = 6\%$ under the linear pulse polarized along the x direction. The laser wavelength is 1,600 nm with a peak intensity of 10¹² W/cm². The gray solid line is for the HHG spectra in the strain-free case. (B) The strain-dependent excited electron number after the laser ends for different strains strengths.

band (VB) and the bottom of the conduction band (CB) locate at K point, and the increase of the stretching percent always results in the decrease of the direct bandgap. For the $\varepsilon_{uni.(y)}$ case, the monolayer hBN has a direct bandgap under small strains. However, its bandgap turns into indirect for larger stretching magnitude. Biaxial strains usually modify the bandgap more seriously than uniaxial strains. For instance, when $\varepsilon_{uni.(x)} = 6\%$ (or $\varepsilon_{uni.(y)} = 6\%$), the direct bandgap at K point decreases to ~ 4.3 eV, while when $\varepsilon_{bi.} = 6\%$, the direct bandgap under strains in this work agrees with that in Ref. [38].

To explain the strain effect on the HHG, we first study the HHG spectra of the monolayer hBN crystal under different strains, driven by a linearly polarized laser pulse. Our results show that the distortion of the band structure alters significantly the in-plane harmonic emission. Since the phonon effect is not considered in the present work, the electronic indirect transition may not contribute to the harmonic generation. Figure 3A shows the total HHG intensity in the monolayer hBN under stretching strain values of $\varepsilon = 0$ and $\varepsilon = 6\%$. Compared with the strain-free case, both uniaxial and biaxial strains lead to the enhancement of the HHG intensity. The strain-dependent excited electron number (N_{exc}) of the monolayer hBN after the laser field ends is shown in Figure 3B. The N_{exc} is larger under the biaxial stretching, and the corresponding HHG intensity is slightly stronger than that in the uniaxial strain cases. This may be attributed to the fact that reducing the bandgap makes electrons easier transfer from the VB to the CB. This finding is in consistent with the strain-controlled HHG mechanism in silicene, in which both the intraband and the interband HHG can be enhanced due to the increase of electronic population in the CB [35].

To explain the strained HHG mechanism, we plotted the time-frequency HHG with stretching strains in Figure 4. We find

that the strained HHG mechanism is closely related to the symmetry of the monolayer hBN. For the strain-free hBN, it has the reflection symmetry with respect to the B-N bond, and the three-fold rotation symmetry with respective to the center of the hexagonal lattice [53]. However, when applied stretching strains, some symmetries are destroyed, resulting in a concert change in the HHG spectra. Specifically, under uniaxial stretching along the x or the y direction, the three-fold rotation symmetry is broken, while the reflection symmetry in the x direction is preserved. This gives rise to different HHG mechanisms that only odd harmonics are found in the x direction and only even harmonics are found in the y direction. Besides, we demonstrate that high harmonics are emitted as discrete bursts in phase concerted with the change of vector potentials, and magenta lines in Figure 4 stand for the absolute value of the vector potentials. This suggests that the electronic interband transition is the dominant mechanism for the stretchingstrained harmonic emission [54].

To further investigate the energy transfer from the laser field to the strained monolayer hBN, Figure 5A shows the energy absorption per atom at different laser rotation angles that defined as the angle between the laser polarization and the x direction. The laser field is initially polarized in the x direction, and then rotates counterclockwise, as shown in the insert in Figure 5A.

The energy absorption is an effective representation to clarify the laser-matter energy connection. The energy transfer function W in the unit cell volume of Ω can be defined as

$$W = \Omega \int_{-\infty}^{t_{end}} dt' \mathbf{E}(t') \cdot \mathbf{j}(t'), \qquad (6)$$

where t_{end} is the moment that the laser pulse ends. In Figure 5A, for the strain-free case, *W* vibrates with a period of ~ 60 degrees, indicating a high anisotropy of the monolayer hBN. Maximum



values of W are found when the laser polarization is parallel to the B-N bond (30°, 90°, 150°), and minimal values are identified when the laser polarization is paralled to either the B-B or the N-N bond (0°, 60°, 120°, 180°). When the lattice structure is stretched along the *y* direction, the original hexagonal geometry is lost. Therefore, the B-B (or N-N) distance in the x direction is slightly compressed and the B-N bond in the y direction is stretched. Interestingly, we find that W is larger under $\varepsilon_{uni.(y)}$ = 6% than the strain-free case when the laser is polarized around the 0° angle, and becomes smaller when the laser is polarized around the 90° angle. This demonstrates that the anisotropy is greatly enhanced in the stretching case. Neufeld et al. also found that the harmonic yield in the plateau region oscillates periodically in accordance with the instantaneous structural changes in the lattice, and the dominant HHG contribution arises when the laser polarization is parallel to the compressed B-N bond [55].

The high-energy harmonics around the cutoff region are sensitive to the interatomic distance and electronegativity [4], in other words, it can be used to reconstruct the geometric symmetry of the material. To this end, we study the (15th-22nd)-integrated HHG yield. As shown in Figures 5B,C, triangle symbols represent the composed HHG yield parallel to the polarization direction and circle symbols represent the yield component perpendicular to the polarization direction. It can be seen that the total harmonic yield is in consistent with the change of the energy absorption in Figure 5A. For both the $\varepsilon = 0$ and $\varepsilon_{uni.(y)} = 6\%$, the parallel contribution to the total HHG yield is dominant. The weak perpendicular component of HHG is generally attributed to the Berry curvature. In addition, contributions of orientation-dependent HHG yield from the parallel and the perpendicular configuration are opposite to each other. A more detailed analysis of the selection rule for the strain-free monolayer hBN can be found in our previous paper [53].

Figure 6 shows the non-perturbative anisotropy map of the HHG from the monolayer hBN obtained *via* rotating the polarization of the linearly polarized driving field. We analyze the two polarization components of the harmonics, i.e., perpendicular and parallel to the linearly polarized laser field. For the $\varepsilon = 0$ and the $\varepsilon_{uni.(y)} = 6\%$ cases, the crystal symmetry is quite different. The parallel and the perpendicular HHG components reflect the symmetry of the hexagonal layered structure. Compared with the parallel component, the weak HHG perpendicular component is dominated by the even harmonics, as shown in Figure 6B. When the laser polarization is in the B-B (or N-N) direction, pure odd harmonics are embodied in the parallel component. The perpendicular harmonic component is forbidden when



(A) The calculated energy absorption per atom of the monolayer hBN as a function of the laser rotation angle under stretching percents of $\varepsilon = 0$ and $\varepsilon_{uni,(y)} = 6\%$. The inset shows the angle of the laser in-plane polarization. The laser field is first polarized in the x direction and then rotated counterclockwise. The harmonic yield as a function of the laser rotation for cases of $\varepsilon = 0$ (B) and $\varepsilon_{uni,(y)} = 6\%$ (C). The HHG yield component that parallel or perpendicular to the linearly polarized field is denoted by circle and triangle symbols, respectively. Cubic symbols are the total harmonic yield.

the laser is polarized along the B-N bond. For the $\varepsilon_{uni.(y)} = 6\%$ case, the breaking of the three-fold rotation symmetry is reflected by the perpendicular HHG spectrogram, e.g., the mirror symmetry with respect to the B-N bond is broken at 30° and 150°. Therefore, the HHG perpendicular component is strictly forbidden when the laser is polarized in the *y* direction, and the odd harmonic yield in Figure 6D is higher than that in Figure 6B. One has demonstrated that the polarization properties of the solid-state HHG are largely governed by the crystal symmetry by comparing the experimental measurements with the theoretical results [56]. The similar odd/even order contrast has been reported for many other crystals, such as the monolayer MoS₂ [6], the bulk ZnO [56], α -quartz crystals [9, 57], etc. These results show that the polarization-dependent harmonics provide a powerful way to probe the crystal spatial symmetry.

For the HHG selection rule, it has been proven that the HHG emission must obey the same symmetry owned by the target system and the driving field [58, 59]. Therefore, if the

incident laser field has the three-fold rotation symmetry as same as the monolayer hBN lattice, the 3n-order harmonics should be missing. According to this, we investigate the harmonic generation in the monolayer hBN under $\varepsilon_{uni,(y)}$ stretching driven by a bichromatic counter-rotating circularly polarized laser pulse. Such a laser pulse is a combination of a circularly polarized fundamental field of frequency ω_1 (1,600 nm) and its counter-rotating second harmonic ω_2 (800 nm), which exhibits a three-fold rosette pattern as shown in the insert of Figure 7. The intensity of both two single circularly polarized pluses is set to be $I_1 = I_2 =$ 5×10^{11} W/cm². For the strain-free case, governed by the selection rule, it is obvious that the 3nth harmonics are forbidden. However, as the uniaxial stretching percent increases, the forbidden 3nth harmonics gradually restore. In this case, the uniaxial stretching breaks the three-fold rotation symmetry, and the selection rule is replaced by selection deviations [60]. Comparing with the results of $\varepsilon_{uni.(y)} = 3\%$ and $\varepsilon_{uni.(y)} = 6\%$, one can find that a larger



Logarithmic colormap for the polarization-dependent harmonic intensity in the monolayer hBN. Left panels: the HHG in the strain-free monolayer hBN for the (A) parallel and (B) the perpendicular components. Right panels: The HHG in the stretching monolayer hBN with $\varepsilon_{uni.(y)} = 6\%$ for the (C) parallel and (D) the perpendicular components.



stretching percent gives rise to a stronger 3nth harmonic signal. This suggests that exerting a stronger external perturbation may result in a larger deviation, and such a signal is useful to reconstruct the lattice deformation.

4 Conclusion

In conclusion, we have studied the strain-dependent HHG in the monolayer hBN crystal within the TDDFT. The monolayer hBN is exposed to an intense linearly polarized or a counterrotating bichromatic circularly polarized mid-infrared laser field. To ensure the structural stability of the hBN crystal, stretching percents are limited in the range of 0-6%. Using the strain-free monolayer hBN as a reference, we studied band structures and the resulting HHG under two typical kinds of strains, i.e., the uniaxial and the biaxial stretching strains. We find the band structure is sensitive to the structural deformation modulated by strains. For both the uniaxial and biaxial cases, the interband dynamics is the dominant mechanism in the strained monolayer hBN. By rotating the laser polarization direction, the HHG spectra from the strained monolayer hBN exhibit some special features compared with that from the strain-free case. The results show that the anisotropy of HHG spectra is closely related to the structural symmetry of the strained hBN. Furthermore, when exposed to a bichromatic counter-rotating circularly polarized laser pulse, the restoration of 3nth harmonics is a potential probe to reconstruct the lattice deformation. Therefore, our work provides a useful way to control the HHG by applying mechanical strains, and the results may be heuristic to enhance the optoelectronic efficiency of solid-state nano-devices.

Data availability statement

The datasets for this study can be obtained from the authors upon reasonable requests.

Author contributions

KX did all the calculations and wrote the manuscript. YW contributed to supervision and manuscript revision. GL, WX, and YW commented on the manuscript. All authors contributed to manuscript revision and approved the submitted version.

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