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Energy-length scaling of critical phase fluctuations in the cuprate pseudogap phase

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The quantum origin of the cuprate pseudogap and its relationship to symmetry-breaking orders is a central conundrum of unconventional superconductors. The difficulty is deeply rooted in modeling simultaneous organizations in multiple degrees of freedom (including spin, momentum, and real space) generated by strong electron-electron correlations. Beyond early theories focusing on the description in spin and momentum space, recent studies turn to examine the spatial organization and intertwining mechanism of multiple orders. In this review, we summarize some progress in understanding the spatial organization of critical fluctuations and highlight the recent discovery of a universal energy-length scaling. This scaling quantitatively explains the nontrivial magnitude and doping dependence of the pseudogap energy and critical temperature and their relations to charge and superconducting ordering. We close with a prospect of the spatial organization mechanism of intertwined orders and its possible composite energy scaling.

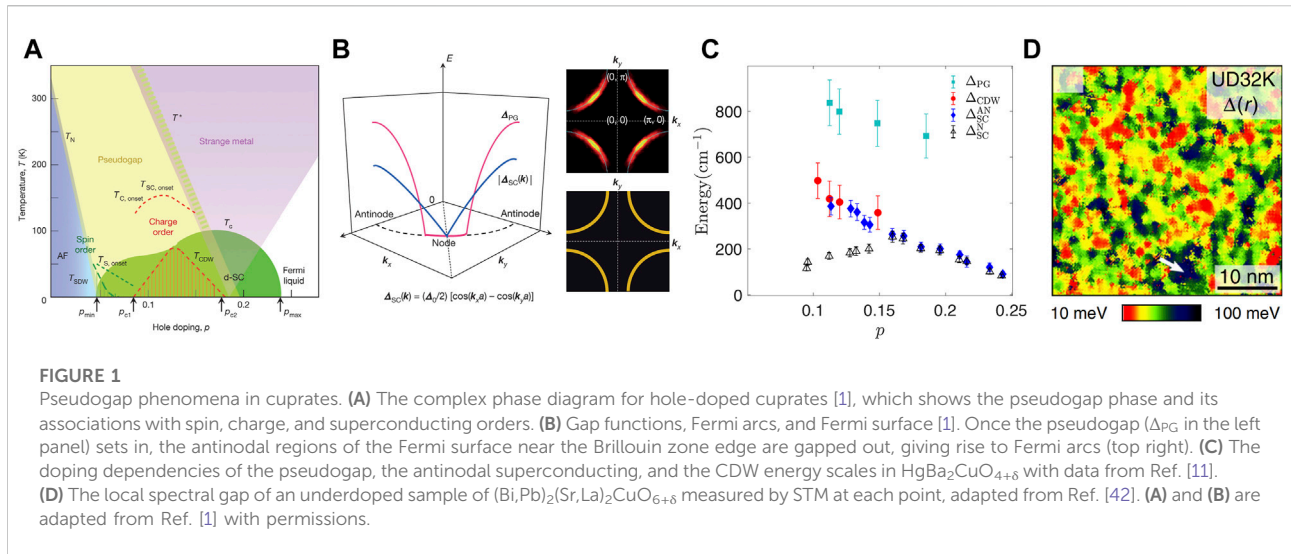
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1 Introduction

The origin of the pseudogap is one of the most critical problems for understanding the unconventional cuprate superconductivity [1–3]. This gap, Δ^* , features suppression of the electronic density of states around the Fermi level visible below a characteristic temperature T^* [4–7], see [Figure 1A](#). In the momentum space, pseudogap is apparent only in the Brillouin zone's antinodal regions, where the d-wave superconducting gap reaches its maximum [8, 9], see [Figure 1B](#). However, onset temperatures of the pseudogap and superconductivity show significantly distinct behaviors, i.e., T^* (as well as Δ^*) decreases linearly as doping (p) increases, while the superconducting transition temperature T_c (as well as the nodal gap) is dome-like [10, 11], see [Figures 1A,C](#). This distinction is ubiquitous in hole-doped cuprates, indicating that the doping dependences of characteristic energies are critically related to the intrinsic nature of pseudogap.

Current theories about the pseudogap origin fall into four categories [2, 12], namely, a precursor of an ordered state (e.g., superconductivity [13–15] or spin density wave [16, 17]), a band folding gap induced by a (spin [18, 19] or charge [20, 21]) density wave order



(DWO), a hybrid gap induced by intertwined orders [22, 23] (e.g., stripe [24] and pair DWOs [25]), and a spectral feature from strong correlations (e.g., short-ranged magnetic fluctuations [26]) without breaking any symmetry. Although these theories have qualitatively described some pseudogap properties, none of them can precisely describe the whole pseudogap phase and all essential experimental manifestations; thus, there is no consensus on the pseudogap origin [2, 12, 26–28]. For instance, though the precursor to superconductivity could explain the T_c dome, its prediction of the T^* ends with the superconducting dome far beyond the experimentally observed critical doping p^* [2, 28]. On the other hand, numerical solutions of the Hubbard model obtain a correct T^* line, but it is hard to accurately determine the phase boundaries and the competition between density wave and uniform phases at a finite temperature [26]. Besides, intertwined orders involving both particle-particle (i.e., superconductivity) and particle-hole (e.g., DWO) pairing channels provide a comprehensive description of angle-resolved photoemission spectroscopy (ARPES) data [29, 30], but the stability of the emergent symmetry and the multiple wave vectors trick remains questionable [22, 31].

Therefore, thoroughly elucidating the pseudogap origin requires a unified description of multiple mechanisms to comprehensively describe the whole pseudogap phase and the main experimental manifestations [2, 22, 23]. However, this faces a fundamental difficulty rooted in the dual nature of cuprate electrons [12]. That is, the strong electron-electron correlations together with the chemical disorder generate simultaneous organizations in both momentum and spatial degrees of freedom, which have generally been treated separately as extended (or long-range) and local (or short-range) electronic states, respectively. Most previous theories [26, 30, 32–41] focus on the momentum side, e.g., particle-particle (or particle-hole) pairing with zero (or finite) total momentum, the umklapp

scattering, to characterize the electronic dispersion and Fermi arcs. However, the spatial organization also results in nontrivial nanoscale inhomogeneity in the electronic structure, including nanoscale patterns of the gap energy scale (see Figure 1D), Fermi surface, and charge modulation in cuprates [42–50] observed by scanning tunneling microscope (STM). Owing to overlooking these nanoscale inhomogeneities, most momentum-resolved theories can only reach qualitative consistency with globally-averaged experimental observations but can not clarify the subtle local differences, which may be important to examine different mechanisms.

Studying the nontrivial organization mechanism in real space is crucial to breaking through this dilemma. Recently, accompanied by the observations of the nontrivial spatial pattern of charge ordering [46–52] and nematicity [53–56], theories focusing on spatial organization have been proposed for the vestigial nematicity [57], intertwined charge and superconductivity orders [58], and intertwined loop current and DWO [59]. These theories are mainly focused on specific intertwined mechanisms for distinct orders, which lack universality in describing the universal doping dependence of pseudogap energies (T^* and Δ^*). Therefore, it is crucial to discover a universal spatial organization mechanism that can yield quantitative descriptions for various cuprate compounds and orders. We note that the pseudogap phase contains a variety of mesoscopic orders, whose critical fluctuations affect the pseudogap phase. Furthermore, it is well known that critical fluctuations often have a universal scaling for various orders [60]. Therefore, this short review devotes to interpreting the recent finding of the universal energy-length scaling characterizing the spatial organization of local mesoscopic orders in the pseudogap phase. We will first derive this scaling, then show its application to charge density wave (CDW) and superconductivity, and close with a prospect about the spatial organization mechanism of intertwined orders.

2 Universal energy-length scaling associated with pseudogap phase

2.1 Universal scaling for critical phase fluctuations

In a real space perspective, the doping dependences of energy scales for the pseudogap [11, 61] correspond to varying spatial organizing structures of collective electron motions during the increase of hole spacing [62–64]. Therefore, the energy-length relation lies at the heart of the pseudogap origin and its relationship with symmetry-breaking orders [65]. It is well known that the strong electron-electron correlations stimulate multiple symmetry-breaking orders in cuprates, whose competitions result in unprecedented prominence of collective fluctuations [1]. These fluctuations are intimately related to and affect the pseudogap phase. For instance, the emergence of magnetic fluctuations [66, 67] and nematicity order coincide with the pseudogap opening temperature T^* [53–56], while the superconducting phase fluctuations defines T_c , the onset temperature of thermal vortices in the pseudogap phase [13, 68–70]. Therefore, we propose that the pseudogap phase can be treated as a “critical” phase (ansatz No. 1), which holds various quantum [63, 71–75] or thermal critical points and lines in the $T - p$ phase diagram. Thus, many collective fluctuations in this phase are of critical natures, e.g., having correlation functions with power law scalings. Although each order and its fluctuations have unique symmetry-broken form and energy, the common criticality may constrain the energy-length relationship to be a universal form.

In the following, taking pairing orders as an example, we derive this relation from the spatial organization of critical fluctuations. DWO and superconductivity are particle-hole and particle-particle pairings, respectively, with order parameters expressed by two-point correlation functions. In conventional metals, these orders are usually long-range coherent, so nontrivial pairing mainly occurs in the momentum space [76, 77]. On the contrary, strong electron-electron correlations and chemical disorder in cuprates constrain pairings to be short-range with coherence or correlation lengths at only several nanometers [20, 21, 78–80], revealing nontrivial spatial organizations [81–85]. Thus, we propose that they should be described by two-point correlation functions in real space. Moreover, for critical fluctuations, the universal criticality constrains the correlation function to be a power law versus length (ansatz No. 2), i.e., $g(l) \propto l^{-\eta}$, where η is a scaling exponent [60], l is the characteristic distance of pairing particle or hole.

In a classical theory of critical behavior, length scales are continuous coordinates. However, the Mott physics and strong correlations in cuprates result in a local tendency to phase separation [1], forcing the spatial organization of mesoscopic order and fluctuations occurring on specific nanoscales. Specifically, there

are two kinds of length scales in the order parameter, i.e., the spatial period of the DWO and the characteristic length of the (charge, spin, or superfluid) density, presenting in phase and amplitude, respectively. Like Emery and Kivelson [13], we assume the critical fluctuations have the particle-wave duality constrained by the Heisenberg uncertainty principle (ansatz No. 3). Therefore, the energy scale corresponding to the two-point correlation function should satisfy an inverse square relation with its appropriate length as follows [65].

$$E_o = \gamma_o \frac{h^2}{m^* l_o^2}, \quad (1)$$

where E_o and l_o are the characteristic energy (e.g., gap and its onset temperature) and length (e.g., CDW period or Cooper-pair spacing) of a mesoscopic order, respectively, and m^* is the effective mass, h is the Planck constant, γ_o is a dimensionless parameter.

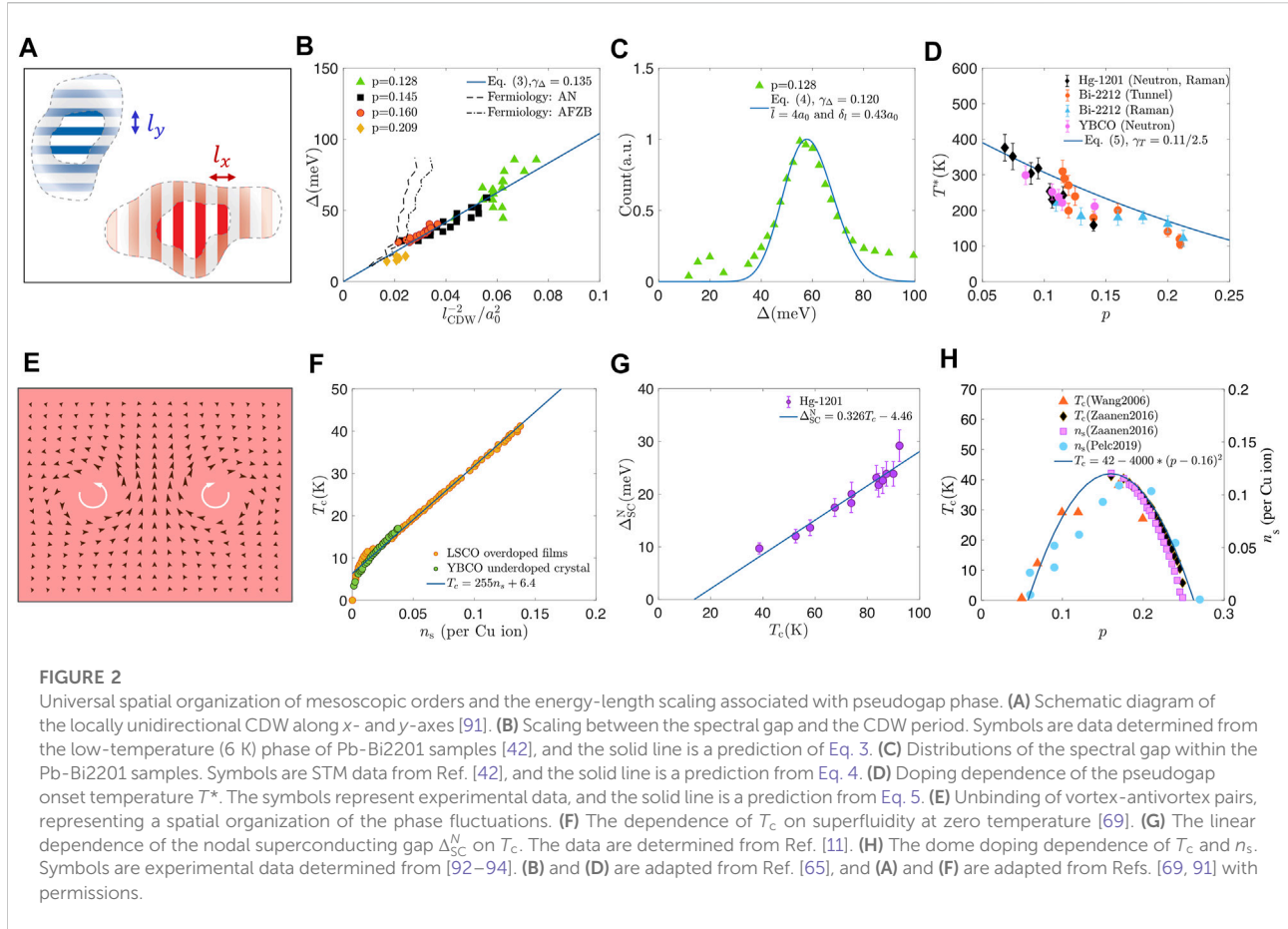
The scaling in Eq. 1 is universal for various phase-fluctuating orders. It can be derived from a series of physical mechanisms, including the phase-disordering transition (e.g., Berezinskii-Kosterlitz-Thouless (BKT) [86–88] and Bose-Einstein phase transition [89]), the umklapp scattering [65], and quantum kinetic energy of a density modulation¹. For these mechanisms, γ_o is determined by a geometric factor, the amplitude and coupling strength, as well as the ratio between density fluctuations and mean-field, respectively. Different γ_o and l_o for various symmetry-breaking forms would yield different magnitude and doping dependence, providing quantitative criteria to determine dominant orders of the pseudogap, as shown in the following.

It is also intriguing to mention that the power law form of the energy-length scaling is universal for both quantum and classical systems. However, the scaling index may vary for different systems owing to different physical mechanisms. For instance, the gravity and electric potentials of a point source are inversely proportional to the distance to this point (–1 index), while the fluctuation energy called Reynolds stress of a turbulent flow is proportional to the square of the stress length (+2 index) [90]. In contrast, for simple quantum phenomena with only one related length scale, the inverse square scaling (–2 index) must be universal due to the dimensional constraints with Planck constant h (i.e., constant angular momentum).

2.2 Energy-period scaling of phase-fluctuating charge density wave

For the pseudogap origin, charge orders were identified as an important candidate in moderately doped cuprates. Recently, the

1 For a small ($\delta_p \ll \rho_0$) and unidirectional density modulation, the wave function can be expressed as $\psi(X) = \sqrt{\rho_0 + \delta_p} \cos(2\pi X/l_o)$. The total quantum kinetic energy within a plaque with coherence lengths ξ_x and ξ_y can be obtained as $E_K = \int_S \psi^* \left(-\frac{\hbar^2}{2m^*}\right) \nabla^2 \psi dXdy \approx -\frac{(\delta_p)^2 \xi_x \xi_y}{16\rho_0} \frac{\hbar^2}{m^* l_o^2}$.



pseudogap opening at T^* was observed to coincide with the emergence of an electron-nematic order breaking the rotational symmetry [53–56], which is proposed to be generated by a partially melted unidirectional DWO with significant global phase fluctuations [8]. To test this assertion, it is crucial to quantify the spectral gap originating from a locally unidirectional CDW with space-time phase fluctuations. Taking the x -axis CDW in Figure 2A, for instance, this is considered as follows [65]:

$$\Psi(\mathbf{x}, t) = A \exp[i(\mathbf{Q}_0 \mathbf{x} + \phi(\mathbf{x}, t))]. \quad (2)$$

Here, A is the amplitude of charge modulation, $Q_0 = 2\pi/l_0$ is a phase-averaged wavevector, and $\phi(\mathbf{x}, t)$ is the residual phase fluctuations, whose simplest expression is, for phason mode, $\phi(\mathbf{x}, t) = qx - \omega_q t$. This fluctuating DWO generates an effective potential V proportional to the density wave modulations to induce the umklapp scattering (i.e., $\mathbf{k} \rightarrow \mathbf{k}' = \mathbf{k} + \mathbf{q} + n\mathbf{Q}_0$, where \mathbf{k} and \mathbf{k}' are the initial and final states, n is a nonzero integer). Following Lee and Rice [95], the characteristic energy of the mean scattering rate is $\Gamma = 4\pi m^* \sum |\mathbf{v}_k - \mathbf{v}_{k'}|^2 |\langle \mathbf{k}' | V | \mathbf{k} \rangle|^2 F_{k,k'}$, where \mathbf{v}_k and $\mathbf{v}_{k'}$ are velocity, $F_{k,k'}$ is a complex function

composed of Dirac and distribution functions. Under the assumption of small momentum difference ($\mathbf{v}_k - \mathbf{v}_{k'} \approx s\hbar(\mathbf{k} - \mathbf{k}')/m^*$) and long-wavelength approximation ($q \ll nQ_0$), we obtain $|\mathbf{v}_k - \mathbf{v}_{k'}|^2 \approx n^2 s^2 \hbar^2 Q_0^2 / (m^*)^2$ [65]. Therefore, an energy gap related to umklapp scattering in the form of energy-period scaling is obtained as:

$$\Delta = \gamma_\Delta \frac{\hbar^2 Q_0^2}{m^*} = \gamma_\Delta \frac{\hbar^2}{m^* l_0^2}, \quad (3)$$

which is a specific expression of Eq. 1.

Taking γ_Δ/m^* as constant for a moderate doping regime, Eq. 3 predicts an inverse square of energy-period scaling for cuprates, which is quantitatively consistent with the reported data of $(\text{Bi,Pb})_2(\text{Sr,La})_2\text{CuO}_{6+\delta}$ (Pb-Bi2201) [42], as shown in Figure 2B. In contrast, the previous theories [44, 96] attribute the connection between the pseudogap and Q_{CDW} to fermiology in momentum space, whose predictions show apparent overestimation for the optimal and underdoped regimes (see Figure 2B) due to the neglect of the renormalization of strong correlations [42, 96]. In contrast, the mean scattering strength $\gamma_\Delta = 0.135$ in our theory is found

to be close to the square ratio between the superexchange energy and the hopping energy ($J^2/t^2 \approx 0.11$ [42, 96]), revealing it is closely related to the antiferromagnetic correlation [22].

Another advantage of the energy-length scaling is its ability to characterize the global phase fluctuations, which was observed as spatial homogeneity of gap amplitude and period between different local CDW plaques. Assuming this phase fluctuations as a Gaussian type, we derive from Eq. 2 a gap distribution as [65]:

$$\Delta = f_0 \exp \left[-\frac{(\sqrt{\Delta} - \sqrt{\bar{\Delta}})^2}{(\sqrt{\Delta_m} - \sqrt{\bar{\Delta}})^2} \right], \quad (4)$$

where $\bar{\Delta} = \gamma_{\Delta} h^2 / m^* \bar{l}^2$, $\Delta_m = \gamma_{\Delta} h^2 / m^* (\bar{l} - \delta_l)^2$, \bar{l} is the globally averaged value of l_{CDW} , δ_l is the standard deviation, and f_0 is the peak intensity. As shown in Figure 2C, the experimental observations are consistent with Eq. 4, indicating that both the local CDW and its global phase fluctuations satisfy the energy-length scaling.

Furthermore, the pseudogap opening temperature can be defined with the emergence of the particle-hole pairing of CDW [39], implying $k_B T^*$ is proportional to the pseudogap energy [10, 11]. In other words, $k_B T^*$ also satisfies the energy-length scaling as follows,

$$k_B T^* = \gamma_T \frac{h^2}{m^* l_0^2}. \quad (5)$$

As m^* are nearly constant in a moderate doping regime [97, 98], Eqs 3, 5 predict that the previously known monotonic decreases in T^* and Δ^* with increasing doping actually originates from the reduction of the CDW wavevector [20] and its amplitude [99]. As shown in Figure 2D and Ref. [65], this prediction is verified by experimental data of T^* and Δ^* over a wide doping range of various cuprate compounds [11, 100]. Therefore, the energy-length scaling provides solid proof that the pseudogap mainly originates from phase-fluctuating CDW in the moderate doping regime ($p = 0.1 \sim 0.2$). It is worth mentioning that the energy-length scaling may also be approximately reflected in momentum-space structures, which is worth further exploration. For instance, we suspect that the antinodal pseudogap (3.5 or 2 times of local gap [65]) measured by ARPES or Raman response may have a similar inverse square relationship with the wave vector connecting the Fermi arc tips (close to the CDW wave vector [96]).

2.3 Energy-length scaling of superconducting phase fluctuations

Besides charge orders, superconducting phase fluctuations have been accepted as an important participant in part of the

pseudogap regime [101]. It is well known that the two-dimensionality (2D) and low carrier density result in significant phase fluctuations in underdoped cuprates [13]. In the Berezinskii-Kosterlitz-Thouless (BKT) phase transition scenario [86, 88], the 2D superconducting phase fluctuations are generated by the real-space unbinding of vortex-antivortex pairs at a critical temperature, (see Figure 2E). The balance between the vortex excitation energy and the entropy (i.e., $E_{\phi} = T_c S_{\phi}$) determines this BKT phase transition temperature as [87]

$$k_B T_c = \frac{\pi \hbar^2 n_s}{2m^*} = \frac{\pi \hbar^2}{2m^* l_{\text{cp}}^2}, \quad (6)$$

where $l_{\text{cp}} = \sqrt{n_s}$ is the Cooper pair distance. Eq. 6 indicates that the phase coherence energy $k_B T_c$ has an inverse square proportion to the Cooper-pair distance, satisfying the energy-length scaling Eq. 1. It provides another typical example of the energy-length scaling with length from the amplitude of a uniform phase but not the period of DWO.

Although there are other specific contexts to derive the scaling in Eq. 6 with different prefactors [13, 14], the linear scaling between T_c and n_s is now widely observed and accepted [68, 70]; see Figure 2F. Moreover, the recent observations of $\Delta_{\text{SC}}^N \propto T_c \propto n_s$ in both underdoped and overdoped cuprates [11, 68, 69] demonstrate that the superconducting dome of the nodal superconducting gap Δ_{SC}^N and T_c of thin cuprate films both originate from the dome-like doping dependence of the n_s , see Figures 2G,H. On the other hand, the relation between T_c and n_s in overdoped bulk samples shows more complexities [102] and need further clarifications. Furthermore, above the T_c dome, the BKT phase transition generates free thermal vortices in the low- T part ($T_c < T \ll T^*$) of the pseudogap phase. The best circumstantial evidence for this scenario comes from diamagnetism and Nernst signals [94, 103], which were accurately described by the time-dependent Ginzburg-Landau equation [104, 105] and vortex transport theory [106]. These evidences confirm that a spatial organization of superconducting phase-fluctuations (i.e., the unbinding of vortex-antivortex pair) determines the cuprate superconducting transition. However, contrary to Loktev et al.'s work [107] attributed the pseudogap formation mainly to superconducting phase fluctuations, we think the phase fluctuations of DWO dominate the upper pseudogap phase, and the superconducting phase fluctuations play a role in the pseudogap origin only in the lower part of the pseudogap phase.

It is intriguing that the present energy-length scaling (Eq. 1) also applies to the topological excitations of loop current in the strange metal phase at higher temperatures or beyond the pseudogap critical point [108]. These excitations are fluctuating vortices of unpaired electrons, whose characteristic

lengths are the thermal de Broglie wavelength ($l_T \propto T^{-1/2}$) or magnetic length ($l_B \propto B^{-1/2}$). These vortices scatter carriers with rates inversely proportional to the square of these lengths, which satisfies Eq. 1 and determines the well-known linear resistivity [108]. In summary, the above results in this section demonstrate the importance and universality of the spatial organization mechanism and the energy-length scaling for phase fluctuations of density wave, superconductivity, and loop current orders.

3 Outlook about the spatial organization and composite scaling of intertwined orders

Section 2 indicates that the pseudogap energies in the moderate doping regime are determined by the phase fluctuating CDW, and the thermally excited superconducting vortices survive in the low- T part of the pseudogap phase. Since these two kinds of order fluctuations coexist in a broad $T - p$ phase regime (Figure 1A), a natural question is whether the pseudogap arises from the intertwined orders of CDW with superconductivity. Indeed, many researchers believe that a thorough understanding of the pseudogap origin requires clarifying the intertwining relationship of multiple orders [22, 23]. Many experimental and theoretical studies have been carried out in this direction, introducing a novel spatially modulated superconducting phase [29, 30]. However, a universal spatial organization mechanism with quantitative description has not been present.

Here, we propose two critical open questions for the intertwined-order study from the spatial-organization perspective, i.e., what is the nanoscale pattern of intertwined orders in real space and how its composite energy-length scaling behaves. Recent STM and NMR measurements found that the CDW phase for underdoped cuprates [46–49] is locally unidirectional with significant global fluctuations, and the charge order can be locally enhanced in the superconducting vortex core [51, 52]. Thus, we believe there must be some nontrivial spatial organization pattern for intertwined orders of CDW, superconductivity, and loop-current order. For experiments, we suggest a combination of spatially resolved measurements to probe the spatial intertwining pattern of charge density, Cooper pair, and local magnetic excitation. More importantly, we suggest paying particular attention to examining the spatial distribution of topological excitations and orders (e.g., vortices and loop current), which may lie at the heart of intertwined mechanisms and the relationship between pseudogap and strange metal phases [67, 109]. For instance, we suspect that by increasing magnetic fields at low

temperatures, superconducting vortices will likely emerge in the transition region between the x- and y-unidirectional CDWs (see Figure 2A) for energy optimization. Since introducing a vortex requires the emergence of a loop current with a varying velocity around a circle and a significant increase of kinetic energy, the transition region with varying wave vectors (contrast to the unidirectional region) and higher kinetic energy (than uniform region¹) has both dynamical and thermodynamic advantages. Similarly, as the temperature rises close to T^* , we suspect the superconducting vortex may gradually transform into a loop current, resulting in many topological defects to drive the CDW into nematic order.

For theory, a critical question is whether intertwined orders have composite energy-length scalings, including characteristic lengths of different orders, or a simple scaling similar to Eq. 1. For example, there exist two anomalous energy scales: one is the antinodal superconducting gap Δ_{AC}^{SN} (defined by the peak signal of the B_{1g} Raman response below T_c [11]) with a monotonic decrease as the CDW gap (see Figure 1C); the other is the CDW onset temperature T_{CDW} with a dome dependence similar to the superconducting T_c (see Figure 1A), suggesting a possible intertwined mechanism between superconductivity and CDW. Do they come from composite energy scales, such as the product or quadrature coupling of two-order parameters [31, 51]? If so, does the scaling include characteristic lengths of CDW, superconductivity, or even loop current? We believe answers to these questions will help identify the origin of Δ_{AC}^{SN} and T_{CDW} and provide a quantitative criterion for advancing microscopic research of the intertwined orders.

4 Conclusion

The strong correlation and chemical disorder result in widespread nanoscale electronic structure in cuprates, making the spatial organization as crucial as the momentum-space organization. Exploring the spatial-organization mechanism of critical fluctuations, we find a simple scaling between the characteristic energy and length of mesoscopic order, which is universal to the phase fluctuations of CDW, superconductivity, and loop current. These results show that the spatial organization of critical fluctuations opens a new way to explore the relationship between the pseudogap and various order parameters and even the spatial organization of intertwined orders. Going forwards, we speculate that a comprehensive theory integrating the organizational principle in both real and momentum spaces is necessary to understand the pseudogap origins thoroughly in the future.

Author contributions

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