



# Experiment on Strength and Failure Behavior of Sandstone Containing Pre-Existing Cracks Under Brazilian Compression

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Determination of the mechanical properties of rock containing pre-existing cracks under tension condition is of great significance to understand the failure process of rock in engineering. This paper presents the experimental results of sandstone containing pre-existing cracks under Brazilian compression. The characteristics of the microcracks were analyzed by a scanning electron microscope. The results show that the rock containing pre-existing cracks has an obvious anisotropic characteristic. When the crack inclination is 45°, the rock has the minimum tensile strength and the weakest axial deformation resistance.

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# INTRODUCTION

Crack is widely distributed in the rock mass, which is an important reason for significant anisotropy in the mechanical properties of rock. Many engineering activities and geological disasters had close connection with the new crack emanating from the pre-existing crack as well, including hydraulic fracture [1], the failure of surrounding rock [2], and the rock-slope collapse [3]. Therefore, to maintain the safety and stability of the engineering project and environment, it is practically important to study the effect of cracks on the mechanical properties of rock.

Considerable efforts have been done to study the strength and failure behavior of rock materials containing cracks through laboratory tests and numerical simulations [4–8]. The fracture mode and initiation mechanism of crack under compression condition are also well analyzed. However, the mechanical behavior of cracks under tensile condition is still a great challenge to safety of rock engineering. Thus, the study of strength and failure behavior of sandstone containing pre-existing cracks under Brazilian compression was carried out.

# EXPERIMENTAL METHOD AND MATERIALS

The sandstone used in this study was drilled from a hydropower station in Southwest China. The mean natural density is  $2.18 \text{ g/cm}^3$ . The sandstone has a homogenous structure; therefore, the specimens without pre-existing cracks are assumed to be not anisotropic obviously at sample scale. The sandstones were cut and polished to disk-shaped specimens of 50 mm diameter and 25 mm thickness; then, the cross-cutting cracks through the center of disks were drilled in the specimens,

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which are 20 mm in length and 0.8 mm in width. Before testing, the specimens were dried for 36 h at  $60^{\circ}\text{C}$ .

The Brazilian test on the sandstone specimen with preexisting cracks was conducted in the electronic universal testing machine CMT5504, whose capacity is 50 kN. In this test, the displacement control mode is employed to apply the axial force at a rate of 0.1 mm/min, which can ensure that the sandstone specimen is under a quasi-static loading condition. A high-speed video camera was employed to capture the extension of new cracks in the specimen. As shown in **Figure 1**, the crack inclination ( $\alpha$ ) is defined as the preexisting crack angle with respect to the horizontal direction, and the loading direction is vertical.

The Brazilian tensile strength is calculated by the following equation:

$$\sigma_t = \frac{2P_{max}}{\pi DL},\tag{1}$$

where  $P_{max}$  is the peak loading force applied to the sandstone specimen and D and L are the diameter and thickness of the specimen, respectively. In Tavallali's research studies [9,10], the applicability of **Eq. 1** has been illustrated.

## **RESULTS AND DISCUSSIONS**

The loading force-displacement curves of sandstone specimens are presented in Figure 2A. In the initial stage,



FIGURE 2 | (A) Loading force-displacement curves of sandstone specimens; (B) relationship between the axial deformation rate and the crack inclination; (C) relationship between the tension strength and the crack inclination.

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Serial number	Crack inclination	Diameter (mm)	Thickness (mm)	Peak lo	ading force	Brazilian tensile strength	
				Test value (kN)	Average value (kN)	Test value (MPa)	Average value (MPa)
S0-1	O°	49.79	25.04	1.471	1.463	0.752	0.748
S0-2	0°	49.85	24.98	1.455		0.744	
S30-1	30°	49.96	24.82	1.296	1.227	0.666	0.629
S30-2	30°	49.86	25.02	1.158		0.591	
S45-1	45°	49.87	24.56	0.858	0.901	0.446	0.468
S45-2	45°	49.79	24.68	0.943		0.489	
S60-1	60°	49.93	24.97	0.985	0.966	0.503	0.494
S60-2	60°	49.83	24.97	0.946		0.484	
S90-1	90°	49.87	25.03	1.146	1.150	0.585	0.588
S90-2	90°	49.86	24.98	1.153		0.590	

we can see that the deformation of specimen increases quickly, which is caused by the compaction of pores in the specimen. After compaction, the loading force rises linearly before the plastic-hardening stage. When the specimen is close to failure, the curve obviously flattens out, and the propagation of preexisting cracks captured by a high-speed camera is at this stage. Exceptionally, the loading force rises linearly in the initial stage when  $\alpha = 0^{\circ}$ , and two peaks appear on the loading force–displacement curves when  $\alpha = 90^{\circ}$ . For the first peak, the new transfixion crack extending from the pre-existing crack appeared, and the specimen are still intact and have a certain bearing capacity. When the load continues to increase, the two pieces of the specimen are broken, and the second peak appears on the curve.

The slope at the linear elastic stage of the curves shown in Figure 2 can characterize the axial deformability with respect to the axial loading force of the sandstone specimen. Figure 2B shows the relationship between the axial deformation rate and the crack inclination. We can see that the axial deformability variation displayed a "V" shape with respect to the crack inclination. The strongest axial deformation resistance is at  $\alpha$ = 0°, while the weakest is at  $\alpha$  = 45°. Due to the existence of crack inclination (not 0° or 90°), there are dislocation deformations on both sides of the pre-existing crack, which increase the axial deformability of the specimen. The inclination of the pre-existing crack also has an influence on the failure strength of the specimen. The tested Brazilian tensile strength (BTS) values are listed in Table 1, and the BTS variation with pre-existing crack inclination is plotted in Figure 2C. The BTS variation also displayed a "V" shape with respect to the crack inclination. The maximum failure strength appears at 0°, and the minimum is at 45°. The sandstone disk specimen shows obvious anisotropic characteristics in strength and deformation, which are caused by the existence of pre-existing cracks.

The propagation evolution of new cracks before failure is observed by the high-speed camera with 250 FPS (frames per second). The resolution of the image taken by the high-speed camera is  $1,624 \times 1,224$  pixels. The failure pattern of the specimen is shown in **Figure 3A**. The inclination of preexisting cracks also affects the initiation and propagation of new cracks under loading. There is no obvious dislocation phenomenon on both sides of the new crack. For the point of new crack initiation, except for the specimen at 0°, the initiation points in which are near the center of the preexisting crack. The initial new cracks of the other specimens are generated near the tip of the pre-existing crack. In terms of extension direction, the new crack does not continue to extend along the direction of the pre-existing crack. The propagation path of new cracks is significantly affected by the direction of the load. The wing crack forms at the tip of the pre-existing crack, propagates along the direction perpendicular to the maximal principal stress, and eventually extends to the loading end. The new crack in specimens at 0° and 90° is linear, and that in other specimens is "Z"-shaped (Figure 3A).

In addition, some small cracks near the loading end appear in specimens at 30°, 45°, and 60°. These small cracks do not connect with the cracks extending from pre-existing cracks, and appear later, which would be caused by the load reaching the failure strength of sandstone itself. From the perspective of the final failure mode, the new cracks have a certain symmetry with respect to the specimen center, while in the Brazilian test, the stress distribution in the specimen has a symmetry with respect to the specimen center, which indicates that the extension direction of pre-existing cracks is controlled by the stress state in the specimen.

Additionally, as shown in **Figures 3A,B**, tensile microcracks in the 0° specimen can be observed at  $\times$ 5,000 magnification. Different from microcracks caused by shear failure [11], the surfaces of the microcrack are relatively smooth and clean. Moreover, the crack trajectory shows that the microcrack is transgranular. A small amount of hair-line tensile cracks can be observed in the vicinity of tensile microcracks (in the yellow box). However, the shear microcracks in the 75° specimen indicated that the surfaces of shear microcracks are rough and rugged, and there are obvious signs of sliding friction on both sides of the microcracks, such as exfoliated debris (**Figure 3C**). A lot of flocculent microcracks.



# CONCLUSION

Both the axial deformability and the BTS variation displayed a "V" shape with respect to the crack inclination. The strongest axial

deformation resistance is at  $\alpha = 0^{\circ}$ , while the weakest is at  $\alpha = 45^{\circ}$ . The existence of pre-existing cracks results in the anisotropy of sandstone in strength and deformation under tension condition, and the strength and failure behavior of rock depend on the crack

inclination. The forces along both sides of the pre-existing crack are the reason for the drop of the strength and deformation resistance of sandstone, resulting in the tension crack at the tip of the pre-existing crack. In the future work, the mechanical properties of rock containing multiple pre-existing flaws under tensile conditions will be discussed.

# DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## **AUTHOR CONTRIBUTIONS**

JY was responsible for defining the research aims, collating and reviewing the literature and previous research for the

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discussion, conceptualizing the article structure, writing the manuscript draft, and figure conception and execution. XC, HZ and JY jointly agreed upon the research objectives; provided supportive analyses and interpretations; and contributed, reviewed, and edited text. YQ contributed and edited text.

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