



# Effect of Sterile Neutrino on Low-Energy Processes in Minimal Extended Seesaw With $\Delta(96)$ Symmetry and $TM_1$ Mixing

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We study the effect of sterile neutrino on some low-scale processes in the framework of the minimal extended seesaw (MES). MES is the extension of the seesaw mechanism with the addition of sterile neutrino of intermediate mass. The MES model in this work is based on  $\Delta(96) \times C_2 \times C_3$  flavor symmetry. The structures of mass matrices in the framework lead to  $TM_1$  mixing with  $\mu$ - $\tau$  symmetry. The model predicts the maximal value of the Dirac CP phase. We carry out our analysis to study the new physics contributions from the sterile neutrino to different charged lepton flavor violation (cLFV) processes involving muon and tau leptons as well as neutrinoless double beta decay ( $0\nu\beta\beta$ ). The model predicts normal ordering (NO) of neutrino masses, and we perform the numerical analysis considering normal ordering (NO) only. We find that a heavy sterile neutrino can lead to cLFV processes that are within the reach of current and planned experiments. The sterile neutrino present in our model is consistent with the current limits on the effective neutrino mass set by  $0\nu\beta\beta$  experiments.

**Keywords:** numbers: 1260-i, 1460Pq, 1460St, neutrino, discrete flavor symmetry, lepton flavor violation (LFV), sterile neutrino

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## I INTRODUCTION

The observed neutrino oscillation phenomenon, the origin of the idea behind the massive nature of neutrinos, has been one of the most appealing evidence to expect physics beyond the standard model (BSM). Neutrino oscillation probabilities are dependent on the three mixing angles, the neutrino mass-squared differences ( $\Delta m_{21}^2$ ,  $\Delta m_{31}^2$ ), and the Dirac CP phase ( $\delta_{CP}$ ). Though there are precise measurements of the mixing angles and mass-squared differences, yet there are no conclusive remarks on  $\delta_{CP}$  or the mass ordering of the neutrinos.  $NO\nu A$  [1] and T2K [2] experiments have recently provided hint toward the CP violation in the Dirac neutrino matrix. Again, another important unsolved issue is the mass ordering of the neutrinos whether it is normal ( $m_1 < m_2 < m_3$ ) or inverted ( $m_3 < m_1 < m_2$ ). There are some other open questions in particle physics as well as cosmology such as CP violation in the lepton sector, baryon asymmetry of the universe, and particle nature of dark matter. Motivated by these shortcomings, different beyond standard model (BSM) theories [3] are pursued in different experiments.

Many searches for new physics beyond the standard model are going on in different experiments. Charged lepton flavor violation (cLFV) processes can provide a way to search for new physics beyond the standard model. cLFV processes are heavily suppressed in the standard model. However, the well-established neutrino oscillation phenomenon gives a signal toward the flavor violation in the

**TABLE 1** | Current experimental bounds and future sensitivities of different cLFV processes [10–13].

cLFV process	Present bound	Future sensitivity
$\mu \rightarrow eee$	$1.0 \times 10^{-12}$	$\sim 10^{-16}$
$\mu \rightarrow e\gamma$	$5.7 \times 10^{-13}$	$6.0 \times 10^{-14}$
$\tau \rightarrow e\gamma$	$3.3 \times 10^{-8}$	$\sim 3 \times 10^{-9}$
$\tau \rightarrow \mu\gamma$	$4.4 \times 10^{-8}$	$\sim 10^{-9}$
$\tau \rightarrow eee$	$2.7 \times 10^{-8}$	$\sim 10^{-9}$

charged lepton sector also. There are present and planned experiments to search for lepton flavor violating radiative decay ( $l_i \rightarrow l_j\gamma$ ) [4] and also three body decays ( $l_i \rightarrow l_j l_k l_k$ ) [5]. The present and future experimental constraints on cLFV processes can be found in **Tables 1, 2**. In this work, we study the transition among the three charged leptons. However, the transitions of muon such as  $\mu - e, N, \mu \rightarrow eee, \mu \rightarrow e\gamma$  [6, 7] and recently proposed  $\mu^- e^- \rightarrow e^- e^-$  [8] are extensively analyzed as the parent particle is substantially available in the cosmic radiation as well as in dedicated accelerators [9]. Many other challenging cLFV processes are those which involve the third family of leptons (taus) as it opens many flavor violating channels. Among these,  $\tau \rightarrow e\gamma, \tau \rightarrow \mu\gamma, \tau \rightarrow 3e$ , and  $\tau \rightarrow 3\mu$  are significant. The processes involving taus also open up many channels involving hadrons in the final state such as  $\tau \rightarrow l\pi^0, \tau \rightarrow l\pi^+\pi^-$  [9, 10].

There are various theoretical models which are the extension of the SM that can account for cLFV processes [14–17]. These models usually introduce new particle fields to act as a source of flavor violation. The models with heavy sterile neutrinos can provide prominent contributions to cLFV processes. There are many theoretical motivations as well as experimental background for the existence of sterile neutrinos. The anomalies of the LSND [18] and MiniBooNE [19] results provide a hint toward the presence of one or two sterile neutrino states. Again from the theoretical point of view, the addition of sterile fermions into the standard model can explain the neutrino mass and also mixing [20, 21]. Moreover, sterile neutrinos can account for many cosmological observations such as dark matter [22–26] and baryon asymmetry of the universe (BAU) [27, 28]. Furthermore, their mixing with the active neutrinos can contribute to certain non-oscillation processes such as neutrinoless double decay ( $0\nu\beta\beta$ ) amplitude or to beta decay spectra in the KATRIN experiment [29, 30]. To study the effect of sterile neutrino on low-scale processes, we have chosen the minimal extended seesaw (MES) framework augmented with  $\Delta(96)$  flavor symmetry. In the MES framework, three right-handed neutrinos and one additional gauge singlet field  $S$  are added to the SM particle content [31, 32]. The extra sterile state may have a significant contribution to cLFV processes and  $0\nu\beta\beta$  depending on its mass and mixing with the active neutrinos in the model. In the present work,  $C_2$  and  $C_3$  discrete groups are introduced along with  $\Delta(96)$  to avoid the unwanted couplings among the particles. The mass matrices constructed in the MES model embedded with  $\Delta(96)$  flavor symmetry lead to a particular mixing pattern widely known as  $TM_1$  mixing [33].  $TM_1$  mixing is

**TABLE 2** | Experimental bounds for the process  $CR (\mu - e, N)$  [13].

cLFV process	Experimental bound
$(\mu - e, Au)$	$7 \times 10^{-13}$
$(\mu - e, Al)$	$3 \times 10^{-12}$

one of the most significant mixing patterns which comply with the experimental predictions on mixing angles and Dirac CP phase. In the present work, after constructing the mass matrices leading to  $TM_1$  mixing, the model parameters have been evaluated using three neutrino oscillation parameters, and then mass and mixing of the particles are calculated as a function of these model parameters. Furthermore, we have evaluated different observables characterizing the different cLFV processes and neutrinoless double beta decay ( $0\nu\beta\beta$ ).

This paper is organized as follows. In **Section II**, we describe  $TM_1$  mixing and the model with  $\Delta(96)$  flavor symmetry. The particles are assigned with different charges under the symmetry group, and the mass matrices involved in the model are constructed. **Section III** gives the brief discussion of different cLFV processes and contribution of sterile neutrinos to such processes. In **Section IV**, we briefly discuss the neutrinoless double beta decay process in the presence of heavy sterile neutrinos. The results of the numerical analysis are discussed in detail in **Section V**. Finally, we conclude in **Section VI**.

## II MINIMAL EXTENDED SEESAW WITH $\Delta(96)$ FLAVOR SYMMETRY AND $TM_1$ MIXING

### A The Minimal Extended Seesaw Framework

The minimal extended seesaw (MES) is the extension of the canonical type I seesaw by the addition of extra gauge singlet field,  $\nu_s$ , to accommodate sterile neutrinos. This field has a coupling with the heavy right-handed neutrino fields that are present in the type I seesaw [34, 35]. Thus, the Lagrangian in this MES model can be obtained as [36]

$$-\mathcal{L} = \bar{\nu}_L M_D N + \frac{1}{2} N^c M_R N + \bar{S} M_S N + h.c. \quad (1)$$

Subsequently, the mass matrix arising from the Lagrangian in **Eq. 1** in the basis  $(\nu_L, N^c, S^c)$  can be written as

$$M_\nu^{7 \times 7} = \begin{pmatrix} 0 & M_D & 0 \\ M_D^T & M_R & M_S^T \\ 0 & M_S & 0 \end{pmatrix}. \quad (2)$$

Since the right-handed neutrinos are much heavier than the electroweak scale as in the case of the type I seesaw, they should be decoupled at low scales. Effectively, the full  $7 \times 7$  matrix can be block diagonalized into a  $4 \times 4$  neutrino mass matrix as follows [36]:

$$M_\nu^{4 \times 4} = - \begin{pmatrix} M_D M_R^{-1} M_D^T & M_D M_R^{-1} M_S^T \\ M_S (M_R^{-1})^T M_D^T & M_S M_R^{-1} M_S^T \end{pmatrix}. \quad (3)$$

Assuming  $M_S > M_D$ , the active neutrino mass matrix of Eq. 3 takes the form

$$M_\nu \approx M_D M_R^{-1} M_S^T (M_S M_R^{-1} M_S^T)^{-1} M_S M_R^{-1} M_D^T - M_D M_R^{-1} M_D^T \quad (4)$$

The sterile neutrino mass can be obtained as

$$m_4 \approx M_S M_R^{-1} M_S^T. \quad (5)$$

The charged lepton mass matrix, in general, can be diagonalized using unitary matrices  $U_L$  and  $U_R$  as follows:

$$U_L M_l U_R^\dagger = \text{diag}(m_e, m_\mu, m_\tau). \quad (6)$$

Again, we obtain the light neutrino masses using the unitary matrix  $U_\nu$  as

$$U_\nu^\dagger M_\nu^{3 \times 3} U_\nu = \text{diag}(m_1, m_2, m_3). \quad (7)$$

The  $4 \times 4$  neutrino mixing matrix in the MES model using  $U_L$  and  $U_\nu$  can be obtained as [36]

$$V = \begin{pmatrix} U_L \left(1 - \frac{1}{2} R R^\dagger\right) U_\nu & U_L R \\ -R^\dagger U_\nu & 1 - \frac{1}{2} R^\dagger R \end{pmatrix}. \quad (8)$$

The matrix  $U_L R$  that governs the active–sterile mixing in which R can be expressed as

$$R = M_D M_R^{-1} M_S^T (M_S M_R^{-1} M_S^T)^{-1} \quad (9)$$

is given as

$$U_L R = \text{diag}(U_{e4}, U_{\mu 4}, U_{\tau 4})^T. \quad (10)$$

Finally, the  $3 \times 3$  lepton mixing matrix (PMNS matrix) can be written as [36]

$$U_{PMNS} = U_L \left(1 - \frac{1}{2} R R^\dagger\right) U_\nu, \quad (11)$$

$$U_{PMNS} \approx U_L U_\nu. \quad (12)$$

Thus, the PMNS matrix can be obtained by multiplying the diagonalizing matrix of the charged lepton mixing matrix and that of the effective seesaw matrix.  $U_L$  is an identity matrix in the framework where the charged lepton mass matrix is diagonal.

## B $TM_1$ Mixing

Trimaximal ( $TM_1$ ) mixing is a mixing ansatz that preserves the first column of tri–bimaximal mixing  $U_{TBM}$  and mixes its second and third columns. It is a perturbation to TBM mixing, and we can write the mixing matrix as [37, 38]

$$U_{TM_1} = U_{TBM} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta e^{-i\zeta} \\ 0 & -\sin \theta e^{i\zeta} & \cos \theta \end{pmatrix}, \quad (13)$$

$$U_{TM_1} = \begin{pmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{\cos \theta}{\sqrt{3}} & \frac{\sin \theta}{\sqrt{3}} e^{-i\zeta} \\ -\frac{1}{\sqrt{6}} & \frac{\cos \theta}{\sqrt{3}} - \frac{\sin \theta}{\sqrt{2}} e^{i\zeta} & \frac{\sin \theta}{\sqrt{3}} e^{-i\zeta} + \frac{\cos \theta}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{\cos \theta}{\sqrt{3}} + \frac{\sin \theta}{\sqrt{2}} e^{i\zeta} & \frac{\sin \theta}{\sqrt{3}} e^{-i\zeta} - \frac{\cos \theta}{\sqrt{2}} \end{pmatrix}. \quad (14)$$

**TABLE 3** | Fields and their respective transformations under the symmetry group of the model. Here,  $\omega = e^{2\pi i/3}$  and  $\bar{\omega} = e^{-2\pi i/3}$  are the complex roots of unity.

Field	L	$e_R$	$\mu_R$	$\tau_R$	N	S	$\phi_\mu$	$\phi_\tau$	$\phi_M$	$\phi_{M_i}$	$\phi_D$	$\phi_{D_i}$	$\phi_S$
$\Delta(96)$	$3'_i$	1	1	1	$\bar{3}'_i$	1	$3'_i$	$3'_i$	$3'$	$\bar{3}'_i$	$3'$	$\bar{3}'_i$	$3'_i$
$C_3$	1	1	$\Omega$	$\bar{\omega}$	1	1	$\bar{\omega}$	$\omega$	1	1	1	1	1
$C_2$	1	1	1	1	-1	1	1	1	1	1	-1	-1	-1
$C_3'$	1	1	1	1	1	$\omega$	1	1	1	1	1	1	$\bar{\omega}$

Comparing the above mixing matrix in Eq. 14 with the standard PMNS mixing matrix, one can obtain the three mixing angles in terms of  $\theta$  as follows [39]:

$$\sin^2 \theta_{13} = \frac{\sin^2 \theta}{3}, \quad (15)$$

$$\sin^2 \theta_{23} = \frac{1}{2} \left(1 + \frac{\sqrt{6} \sin 2\theta \cos \zeta}{3 - \sin^2 \theta}\right), \quad (16)$$

$$\sin^2 \theta_{12} = 1 - \frac{2}{3 - \sin^2 \theta}, \quad (17)$$

$$J_{CP} = \frac{\sin 2\theta \sin \zeta}{6\sqrt{6}}. \quad (18)$$

Jarlskog’s rephasing invariant  $J_{CP}$  can be written in terms of the elements of the mixing matrix as

$$J_{CP} = \text{Im}(U_{\mu 3} U_{e 3}^* U_{e 2} U_{\mu 2}^*) \quad (19)$$

$$= \frac{1}{8} \sin \delta \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13}.$$

One can write the expression for the CP phase in the  $TM_1$  scenario as

$$\sin^2 \delta = \frac{8 \sin^2 \theta_{13} (1 - 3 \sin^2 \theta_{13}) - \cos^4 \theta_{13} \cos^2 2\theta_{23}}{8 \sin^2 \theta_{13} \sin^2 2\theta_{23} (1 - 3 \sin^2 \theta_{13})}. \quad (20)$$

For a given  $\theta_{13}(\theta)$ ,  $TM_1$  mixing with  $\mu$ – $\tau$  reflection symmetry leads to maximal CP violation. Again, it can be seen that if  $\zeta = \pm \frac{\pi}{2}$ ,  $\theta_{23} = \frac{\pi}{4}$ , which leads to  $\mu$ – $\tau$  reflection symmetry.

## C The Lagrangian

In this work, we have used  $\Delta(96)$  flavor symmetry [40–43] giving rise to unique textures of the mass matrices involved in the MES model. For a brief discussion about properties of  $\Delta(96)$  and its character table and tensor product rules, refer to **Appendix A**.  $\Delta(96)$  symmetry is further augmented by  $C_2$  and  $C_3$  discrete flavor symmetries to get rid of some unwanted interactions. The particle assignments in the model are shown in **Table 3**.

In our MES model, the lepton doublets of the SM and the SM gauge singlets transform as triplets  $3_i$  and  $3_i$  of  $\Delta(96)$ , respectively. The sterile neutrino and the three right-handed charged leptons transform as singlets under this symmetry group. We introduce flavons  $\phi_\mu, \phi_\tau, \phi_S$  transforming as triplets  $3'_i$ , while  $\phi_M, \phi_D$  are triplet  $3'$  and  $\phi_{M_i}, \phi_{D_i}$  are  $\bar{3}'_i$  under  $\Delta(96)$ . These fields are also assigned various charges under the Abelian groups  $C_2, C_3$ , and  $C_3'$  which can be found in **Table 3**.  $C_3, C_2$ , and  $C_3'$  are associated with charged leptons, Dirac neutrino sectors, and sterile neutrino sectors, respectively. These symmetries

ensure that various flavons couple to their respective scalars only. For example,  $C_3$  symmetry ensures that  $\tau_R$  couples to  $\phi_\tau$  and  $\mu_R$  couples to  $\phi_\mu$ .  $C_3'$  prevents the term  $S^c S$  which ensures that the  $(3, 3)$  element of  $M_\nu^{7 \times 7}$  in Eq. 2 is zero. Besides the flavor symmetries, we assume that the model is CP conserving above the flavor symmetry breaking scale. Therefore, all the coupling constants appearing in the model Lagrangian will be real above this scale.

The Yukawa Lagrangian for the charged leptons and also for the neutrinos can be expressed as

$$-\mathcal{L} = \mathcal{L}_{\mathcal{M}_L} + \mathcal{L}_{\mathcal{M}_D} + \mathcal{L}_{\mathcal{M}} + \mathcal{L}_{\mathcal{M}_S} + h.c. \quad (21)$$

We assume that the above Lagrangian is CP conserving. As a result, all parameters appearing in the Lagrangian become real. However, CP along with most of the discrete symmetries in the model is broken at a low-energy scale by the VEVs of the flavons.

In Eq. 21,  $\mathcal{L}_{\mathcal{M}_D}$  represents the Dirac neutrino Lagrangian given as

$$\mathcal{L}_{\mathcal{M}_D} = \frac{y_D}{\Lambda} (\bar{L}N)_3 \bar{H} \phi_D + \frac{y_{Di}}{\Lambda} (\bar{L}N)_{3i} \bar{H} \phi_{Di}. \quad (22)$$

The neutrino Majorana mass term  $\mathcal{L}_{\mathcal{M}}$  can be expressed as

$$\mathcal{L}_{\mathcal{M}} = y_M (\bar{N}^c N)_3 \phi_M + y_{Mi} (\bar{N}^c N)_{3i} \phi_{Mi}. \quad (23)$$

The interactions between the sterile and the right-handed neutrinos are involved in  $\mathcal{L}_{\mathcal{M}_S}$  given as

$$\mathcal{L}_{\mathcal{M}_S} = y_S \bar{S}^c N \phi_S. \quad (24)$$

$\mathcal{L}_{\mathcal{M}_L}$  is the Lagrangian for the charged leptons which can be written as

$$\mathcal{L}_{\mathcal{M}_L} = \frac{y_\mu}{\Lambda} \bar{L} H \phi_\mu \mu_R + \frac{y_\tau}{\Lambda} \bar{L} H \phi_\tau \tau_R + \frac{y_e}{\Lambda^2} \bar{L} H (\bar{\phi}_\tau \bar{\phi}_\mu)_3 e_R. \quad (25)$$

After spontaneous symmetry breaking (SSB), the scalar fields acquire VEVs which are assigned as

$$\begin{aligned} \langle \phi_\mu \rangle &= v_\mu (1, \bar{\omega}, \omega)^T, \quad \langle \phi_\tau \rangle = v_\tau (1, \omega, \bar{\omega})^T, \quad \langle \phi_S \rangle = (0, v_S, -v_S), \\ \langle \phi_M \rangle &= v_M (1, 1, 1)^T, \quad \langle \phi_{Mi} \rangle = v_{Mi} (1, 0, -1)^T, \quad \langle \phi_D \rangle \\ &= v_D (0, 1, 0)^T, \quad \langle \phi_{Di} \rangle = v_{Di} (1, 0, -1)^T. \end{aligned} \quad (26)$$

The above VEVs remain invariant under the following group actions:

$$\omega Q \langle \phi_\mu \rangle = \langle \phi_\mu \rangle, \quad QPQ^2 \langle \phi_\mu \rangle^* = \langle \phi_\mu \rangle, \quad (27)$$

$$\bar{\omega} Q \langle \phi_\tau \rangle = \langle \phi_\tau \rangle, \quad QPQ^2 \langle \phi_\tau \rangle^* = \langle \phi_\tau \rangle, \quad (28)$$

$$-QPQ^2 \langle \phi_S \rangle = \langle \phi_S \rangle, \quad \langle \phi_S \rangle^* = \langle \phi_S \rangle, \quad (29)$$

$$Q \langle \phi_M \rangle = \langle \phi_M \rangle, \quad \langle \phi_M \rangle^* = \langle \phi_M \rangle, \quad (30)$$

$$-P \langle \phi_{Mi} \rangle = \langle \phi_{Mi} \rangle, \quad \langle \phi_{Mi} \rangle^* = \langle \phi_{Mi} \rangle, \quad (31)$$

$$C^2 \langle \phi_D \rangle = \langle \phi_D \rangle, \quad \langle \phi_D \rangle^* = \langle \phi_D \rangle, \quad (32)$$

$$-P \langle \phi_{Di} \rangle = \langle \phi_{Di} \rangle, \quad \langle \phi_{Di} \rangle^* = \langle \phi_{Di} \rangle. \quad (33)$$

Here,  $p$ ,  $Q$ , and  $C$  are generators of  $\Delta(96)$  provided in Appendix A. These group actions generate the residual symmetries of the corresponding VEVs. Also, they uniquely define the VEVs up to their norms. It can be shown that every potential constructed

using an irreducible multiplet will have such unique alignments as stationary points (assuming a condition of non-vanishing norm) [44–46]. In Eqs. 27, 28,  $\omega$  and  $\bar{\omega}$  appear as a consequence of  $C_3$  symmetry associated with the charged lepton sector. The resulting VEVs  $\langle \phi_\mu \rangle$  and  $\langle \phi_\tau \rangle$  are complex which spontaneously break the CP symmetry of the high-energy scale Lagrangian. Since  $\phi_\mu$  and  $\phi_\tau$  couple to  $\mu_R$  and  $\tau_R$ , we obtain a complex charged lepton mass matrix, which becomes the sole source of CP violation in our model.

## D The Mass Matrices Involved in the Model

The textures of the mass matrices involved in the MES model can be obtained using flavon alignments defined with residual symmetries under our flavor group. With these flavon alignments mentioned above, we obtain the charged lepton and the neutrino mass matrices. In the charged lepton sector,  $L$  couples to  $l_R$  ( $l = e, \mu, \tau$ ) through the flavons  $\phi_\mu$  and  $\phi_\tau$ . Using the VEVs of the flavons and the Higgs in the Lagrangian given by Eq. 25, the charged lepton mass matrix can be written as

$$M_C = \frac{i\sqrt{3}v_\mu v_\tau}{\Lambda^2} \begin{pmatrix} y_e & 0 & 0 \\ y_e & 0 & 0 \\ y_e & 0 & 0 \end{pmatrix} + \frac{v}{\Lambda} \begin{pmatrix} 0 & y_\mu v_\mu & y_\tau v_\tau \\ 0 & \bar{\omega} y_\mu v_\mu & \omega y_\tau v_\tau \\ 0 & \omega y_\mu v_\mu & \bar{\omega} y_\tau v_\tau \end{pmatrix}. \quad (34)$$

The charged lepton mass matrix  $M_C$  is diagonalized using the unitary matrix  $U_L$  given as

$$U_L = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \bar{\omega} \\ 1 & \bar{\omega} & \omega \end{pmatrix}. \quad (35)$$

$U_L$  is referred to as the  $3 \times 3$  trimaximal matrix (TM) or the magic matrix:

$$U_L M_C \text{diag}(-i, 1, 1) = \text{diag}(m_e, m_\mu, m_\tau), \quad (36)$$

and we obtain the masses of the charged leptons as

$$m_e = 3y_e v \frac{v_\mu v_\tau}{\Lambda^2}, \quad m_\mu = \sqrt{3}y_\mu v \frac{v_\mu}{\Lambda}, \quad m_\tau = \sqrt{3}y_\tau v \frac{v_\tau}{\Lambda}. \quad (37)$$

It is seen from Eq. 37 that the mass scale of the electron is suppressed by an additional factor  $\frac{\mathcal{O}(v_\alpha)}{\Lambda}$  (where  $\mathcal{O}(v_\alpha)$  represents the order of magnitude of the flavon VEVs) compared to tau or muon mass similar to the Froggatt–Nielsen mechanism of obtaining the mass hierarchy.

Again, from Eq. 22, we obtain the Dirac neutrino mass matrix as

$$M_D = \frac{v}{\Lambda} \begin{pmatrix} 0 & -y_{Di} v_{Di} & 0 \\ -y_{Di} v_{Di} & y_D v_D & y_{Di} v_{Di} \\ 0 & y_{Di} v_{Di} & 0 \end{pmatrix}. \quad (38)$$

Denoting  $\frac{y_D v_D v}{\Lambda} = m_D$  and  $\frac{y_{Di} v_{Di}}{y_D v_D} = r_1$ , we rewrite the Dirac mass matrix in Eq. 38 as

$$M_D = m_D \begin{pmatrix} 0 & -r_1 & 0 \\ -r_1 & 1 & r_1 \\ 0 & r_1 & 0 \end{pmatrix}. \quad (39)$$

$m_D$  has the dimension of mass similar to the order of the SM fermion masses and  $r_1$  is dimensionless. The Majorana mass

matrix for the heavy right-handed neutrinos can be obtained using the VEVs of  $\phi_M$  and  $\phi_{Mi}$  in Eq. 23 as

$$M_R = \begin{pmatrix} y_M v_M & -y_{Mi} v_{Mi} & 0 \\ -y_{Mi} v_{Mi} & y_M v_M & y_{Mi} v_{Mi} \\ 0 & y_{Mi} v_{Mi} & y_M v_M \end{pmatrix}. \quad (40)$$

Here also, we denote  $y_M v_M = m_R$  and  $\frac{y_{Mi} v_{Mi}}{y_M v_M} = r_2$  and rewrite the above matrix as

$$M_R = m_R \begin{pmatrix} 1 & -r_2 & 0 \\ -r_2 & 1 & r_2 \\ 0 & r_2 & 1 \end{pmatrix}. \quad (41)$$

$m_R$  has the dimension of mass at the scale of flavon VEV and  $r_2$  is dimensionless.

Finally, we obtain the mass matrix representing the coupling between right-handed neutrinos and sterile neutrinos as

$$M_S = y_S v_S (0 \ 1 \ -1), \quad (42)$$

or we can rewrite it as

$$M_S = m_S (0 \ 1 \ -1), \quad (43)$$

where  $m_S = y_S v_S$  has the dimension of mass.

The light neutrino mass matrix in the framework of the MES arising from the mass matrices in Eqs. 39, 41, 43 can be written using Eq. 3 as

$$M_\nu = \begin{pmatrix} K_1 & -K_2 & -K_1 \\ -K_2 & K_3 & K_2 \\ -K_1 & K_2 & K_1 \end{pmatrix}, \quad (44)$$

where

$$K_1 = -\frac{m_D^2 r_1^2}{m_R (2 + r_2 - r_2^2)}, \quad (45)$$

$$K_2 = \frac{m_D^2 r_1 (-1 + r_1 (-1 + r_2))}{m_R (2 + r_2 - r_2^2)}, \quad (46)$$

$$K_3 = -\frac{m_D^2 (1 + 3r_1^2 - 2r_1 (-1 + r_2))}{m_R (2 + r_2 - r_2^2)}. \quad (47)$$

The effective seesaw mass matrix in Eq. 44 can be diagonalized in two steps using the unitary matrices  $U_{BM}$  and  $U_\theta$  as

$$U_\theta^T U_{BM}^T M_\nu U_{BM} U_\theta = \text{diag}(m_1, m_2, m_3), \quad (48)$$

or one may write

$$M_\nu = U_{BM} U_\theta \text{diag}(m_1, m_2, m_3) U_\theta^T U_{BM}^T. \quad (49)$$

In MES models, the mass of the lightest neutrino vanishes in the lowest order. The suppression of higher order terms will be at least of the order of  $\frac{\mathcal{O}(v_a)}{\Lambda}$ . It has been mentioned above that this factor is responsible for the suppression of the electron mass in relation to the muon and tau. This implies that  $\frac{\mathcal{O}(v_a)}{\Lambda}$  should be  $\mathcal{O}(10^{-2})$ . Therefore, the mass of the lightest neutrino obtained from higher order corrections will be in the sub-millieV range.

The matrix  $U_\theta$  and the bimaximal matrix  $U_{BM}$  in Eq. 48 are given by

$$U_\theta = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{pmatrix}, \quad U_{BM} = \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \\ 0 & 1 & 0 \\ \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \end{pmatrix}, \quad (50)$$

where  $\theta$  can be expressed in terms of the model parameters as

$$\tan 2\theta = \frac{2\sqrt{2}K_2}{2K_1 - K_3}. \quad (51)$$

Comparing Eq. 48 with Eq. 7, we can write the neutrino diagonalizing matrix  $U_\nu$  as

$$U_\nu = U_{BM} U_\theta. \quad (52)$$

Therefore, using Eq. 12, the PMNS matrix in this model can be expressed as

$$U_{PMNS} \simeq U_L U_{BM} U_\theta. \quad (53)$$

Here,  $U_L U_{BM}$  is the tri-bimaximal (TBM) mixing matrix with phases:

$$U_L U_{BM} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \bar{\omega} \end{pmatrix} \begin{pmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & i \end{pmatrix}. \quad (54)$$

The multiplication of  $U_L U_{BM}$  with  $U_\theta$  mixes its second and third columns resulting in the  $TM_1$  mixing matrix  $U_{TM_1}$ . Since our effective seesaw matrix  $M_\nu$  is real, we obtain a real diagonalizing matrix  $U_{BM} U_\theta$ . Therefore, the neutrino sector does not contribute toward the phases  $e^{\pm i\zeta}$  in  $TM_1$  mixing of Eq. 14. Rather, these phases are a direct manifestation of  $i$  appearing in Eq. 54, and we obtain  $\zeta = \pm \frac{\pi}{2}$ . The resulting  $TM_1$  matrix possesses  $\mu$ - $\tau$  reflection symmetry as can be seen by assigning  $\zeta = \pm \frac{\pi}{2}$  in Eq. 14. This symmetry is not apparent in the light neutrino mass matrix  $M_\nu$  given in Eq. 44. However, it becomes apparent if we express  $M_\nu$  in the basis where the charged lepton mass matrix,  $M_C$  in Eq. 34, is diagonal,  $\mu$ - $\tau$  reflection can be explicitly observed in the mass matrix  $U_L^* M_\nu U_L^\dagger$ .

Our construction of  $M_\nu$  given in Eq. 44 leading to  $TM_1$  mixing implies that  $m_1 = 0$ , which rules out inverted hierarchy. Using this in Eq. 49 and comparing with Eq. 44, we can find the expressions for model parameters  $K_1$ ,  $K_2$ , and  $K_3$  in terms of the parameters  $\theta$ ,  $m_2$ , and  $m_3$  as

$$K_1 = \frac{1}{2} (m_3 \cos^2 \theta + m_2 \sin^2 \theta), \quad (55)$$

$$K_2 = \frac{1}{\sqrt{2}} (m_3 - m_2) \cos \theta \sin \theta, \quad (56)$$

$$K_3 = m_2 \cos^2 \theta + m_3 \sin^2 \theta. \quad (57)$$

## E- Sterile Neutrino Mass and Mixing in the Model

Apart from the active neutrinos, the mass and mixing of the sterile neutrino present in the model play a crucial role in cLFV

processes which will be discussed in the next section. As mentioned above, the sterile neutrino mass can be obtained using Eq. 5, and we can write the mass term for sterile neutrinos as

$$m_4 = \frac{m_S^2(-2 - 2r_2 + 2r_2^2)}{m_R(-1 + 2r_2^2)}. \tag{58}$$

The active–sterile mixing using Eqs. 9, 10 can be obtained as

$$U_{e4} = \frac{m_D(-1 + r_1 - r_2 + 2r_1r_2)}{\sqrt{3}m_S(-2 - 2r_2 + 2r_2^2)}, \tag{59}$$

$$U_{\mu 4} = \frac{m_D((1 - i\sqrt{3})(1 + r_2) + r_1(2 + 2i\sqrt{3} + r_2 + 3i\sqrt{3}r_2))}{2\sqrt{3}m_S(-2 - 2r_2 + 2r_2^2)}, \tag{60}$$

$$U_{\tau 4} = \frac{m_D((1 + i\sqrt{3})(1 + r_2) + r_1(2 - 2i\sqrt{3} + r_2 - 3i\sqrt{3}r_1))}{2\sqrt{3}m_S(-2 - 2r_2 + 2r_2^2)}. \tag{61}$$

In Eqs. 58–61,  $m_D$ ,  $m_R$ ,  $r_1$ , and  $r_2$  are the model parameters.

### III Charged Lepton Flavor Violation Processes

#### A Processes Involving Muonic Atoms

Many experiments such as MECO, SINDRUM II [46], and COMET [47] involved in searching for  $\mu - e$  conversion with different targets. The observable characterizing this process is defined as

$$CR(\mu - e, N) = \frac{\Gamma(\mu^- + N \rightarrow e^- + N)}{\Gamma(\mu^- + N \rightarrow \text{all capture})}. \tag{62}$$

These experiments are running with different targets such as titanium (Ti), lead (Pb), gold (Au), and aluminum (Al) and give bounds for different targets. There are also some planned future experiments like the second phase of the COMET experiment, Mu2e [48], to improve the sensitivity of this cLFV process.

There are several theoretical models to account for such rare LFV processes. As explained in [49], in the extension of the standard model with one heavy sterile neutrino, such processes originate from one-loop diagrams involving active and sterile neutrinos with non-zero mixing angles. In the MES model, the conversion ratio can be written as [49]

$$CR(\mu - e, N) = \frac{2G_F^2\alpha_\omega^2 m_\mu^5}{(4\pi)^2\Gamma_{cap}(Z)} \left| 4V^{(p)}(2F_u^{\mu\tilde{e}} + F_d^{\mu\tilde{e}}) + 4V^{(n)}(F_u^{\mu\tilde{e}} + 2F_d^{\mu\tilde{e}}) + DG_\gamma^{\mu e} \frac{s_\omega^2}{2\sqrt{4\pi\alpha}} \right|^2. \tag{63}$$

Here,  $G_F$ ,  $s_\omega$ ,  $\Gamma_{cap}(Z)$  are the Fermi constant, sine of the weak mixing angle, and capture rate of the nucleus, respectively. Here,  $\alpha = \frac{e^2}{4\pi}$  and  $\tilde{F}_q^{\mu e}$  are form factors given as

$$\tilde{F}_q^{\mu e} = Q_q s_\omega^2 F_\gamma^{\mu e} + F_Z^{\mu e} \left( \frac{I_q^3}{2} - Q_q s_\omega^2 \right) + \frac{1}{4} F_{Box}^{\mu eqq}. \tag{64}$$

Here,  $Q_q$  represents the quark electric charge which is  $\frac{2}{3}$  and  $-\frac{1}{3}$  for up and down quarks, respectively. The weak isospin  $I_q^3$  is  $\frac{1}{2}$  and  $-\frac{1}{2}$  for

up and down quarks, respectively. The numerical values of  $V^{(p)}$ ,  $V^{(n)}$ , and  $D$  can be found in [49]. In the small limit of masses ( $x_i = \frac{m_i^2}{m_\nu^2} \ll 1$ ), the form factors can be written as [49]

$$F_\gamma^{\mu e} \rightarrow \sum_{j=1}^{3+n_S} U_{ej} U_{\mu j}^* [-x_j], \tag{65}$$

$$G_\gamma^{\mu e} \rightarrow \sum_{j=1}^{3+n_S} U_{ej} U_{\mu j}^* \left[ \frac{x_j}{4} \right], \tag{66}$$

$$F_Z^{\mu e} \rightarrow \sum_{j=1}^{3+n_S} U_{ej} U_{\mu j}^* \left[ x_j \left( -\frac{5}{2} - \ln x_j \right) \right], \tag{67}$$

$$F_{Box}^{\mu eee} \rightarrow \sum_{j=1}^{3+n_S} U_{ej} U_{\mu j}^* [2x_j(1 + \ln x_j)]. \tag{68}$$

However, for the heavier neutrinos which do not satisfy the small mass limit, we use the expressions of form factors given in [49]:

$$F_\gamma^{\mu e} = \sum U_{ej} U_{\mu j}^* F_\gamma(x_j), \tag{69}$$

$$G_\gamma^{\mu e} = \sum U_{ej} U_{\mu j}^* G_\gamma(x_j), \tag{70}$$

$$F_Z^{\mu e} = \sum U_{ej} U_{\mu k}^* (\delta_{ij} F_Z(x_j) + C_{jk} G_Z(x_j, x_k) + C_{jk}^* H_Z(x_j, x_k)), \tag{71}$$

$$F_{Box}^{\mu eee} = \sum U_{ej} U_{\mu k}^* (U_{ej} U_{ek}^* G_{Box}(x_j, x_k) - 2U_{ej}^* U_{ek} F_{XBox}(x_j, x_k)). \tag{72}$$

There may be flavor violating non-radiative decay of  $\mu^-$  into three electrons ( $\mu \rightarrow eee$ ) [50]. The Mu3e experiment running at PSI is aimed at finding the signatures of this type of decay [51]. The branching ratio of this decay process can be written as

$$BR(\mu \rightarrow eee) = \frac{\alpha_\omega^4}{24576\pi^3} \frac{m_\mu^4}{m_W^4} \frac{m_\mu}{\Gamma_\mu} 2 \left| \frac{1}{2} F_{Box}^{\mu eee} + F_Z^{\mu e} - 2s_\omega^2 (F_Z^{\mu e} - F_\gamma^{\mu e}) \right|^2 + 4s_\omega^4 |F_Z^{\mu e} - F_\gamma^{\mu e}|^2 + 16s_\omega^2 \text{Re} \left[ \left( F_Z^{\mu e} + \frac{1}{2} F_{Box}^{\mu eee} \right) G_\gamma^{\mu e*} \right] - 48s_\omega^4 \text{Re} \left[ (F_Z^{\mu e} - F_\gamma^{\mu e}) G_\gamma^{\mu e*} \right] + 32s_\omega^4 |G_\gamma^{\mu e}|^2 \left[ \ln \frac{m_\mu^2}{m_e^2} - \frac{11}{4} \right]. \tag{73}$$

Here, the form factors can be obtained from Eqs. 65–68.

The MEG experiment [52] is aimed at investigating the LFV process  $\mu \rightarrow e\gamma$ , and there are many planned projects in the search for this kind of decay. In the framework of the minimal extended seesaw, the heavy neutrinos can cause  $\mu \rightarrow e\gamma$  decay. The branching ratio of the process can be given as

$$BR(\mu \rightarrow e\gamma) = \frac{\alpha_\omega^3 s_\omega^2}{256\pi^2} \frac{m_\mu^4}{M_W^4} \frac{m_\mu}{\Gamma_\mu} |G_\gamma^{\mu e}|^2. \tag{74}$$

Here, the total decay width of the muon ( $\Gamma_\mu$ ) is obtained as

$$\Gamma_\mu = \frac{G_F^2 m_\mu^5}{192\pi^3} \left( 1 - 8 \frac{m_e^2}{m_\mu^2} \right) \left[ 1 + \frac{\alpha_{em}}{2\pi} \left( \frac{25}{4} - \pi^2 \right) \right]. \tag{75}$$

Another possible cLFV process is the decay of a bound  $\mu^-$  in a muonic atom into a pair of electrons ( $\mu^- e^- \rightarrow e^- e^-$ ) proposed in [53]. This particular decay process offers several advantages over three body decay processes from the experimental point of view. There are different classes of extension of the SM which can show

**TABLE 4** | Latest global fit neutrino oscillation data [60, 61].

Oscillation parameters	3σ(NO)
$\frac{\Delta m_{21}^2}{10^{-5} \text{eV}^2}$	6.82–8.04
$\frac{\Delta m_{31}^2}{10^{-5} \text{eV}^2}$	2.431–2.598
$\sin^2 \theta_{13}$	0.0203–0.0243

**TABLE 5** | Predictions of the model on different parameters. The value of  $m_{\beta\beta}$  is taken from the KamLAND-ZEN experiment [62] and  $\sum m_i$  from latest Planck data [63].

Parameters	Predictions (NO)	Experimental range
$m_{\beta\beta}$	(0.009–0.010) eV	<0.06 eV
$\sum m_i$	(0.057–0.059) eV	<0.11 eV

a contribution to such processes. In this model with one extra sterile state, the effective Lagrangian describing this process contains long-range interactions and local interaction terms. The branching ratio of such processes in muonic atoms, with an atomic number Z, can be expressed as

$$BR(\mu^- e^- \rightarrow e^- e^-, N) = 24\pi f_{Coul}(Z) \alpha \frac{m_e^3}{m_\mu^3} \frac{\tilde{\tau}_\mu}{\tau_\mu} \left( 16 \left| \frac{1}{2} \left( \frac{g_\omega}{4\pi} \right)^2 \left( \frac{1}{2} F_{Box}^{\mu e e e} + F_Z^{\mu e} - 2s_\omega^2 (F_Z^{\mu e} - F_\gamma^{\mu e}) \right) \right|^2 + 4 \left| \frac{1}{2} \left( \frac{g_\omega}{4\pi} \right)^2 2s_\omega^2 (F_Z^{\mu e} - F_\gamma^{\mu e}) \right|^2 \right) \quad (76)$$

Here,  $\tau_\mu$  represents the lifetime of free muons and the lifetime  $\tilde{\tau}_\mu$  depends on specific elements. In our analysis, we have considered Al and Au whose values of  $\tilde{\tau}_\mu$  are  $8.64 \times 10^{-7}$  and  $7.26 \times 10^{-8}$ , respectively.  $f_{Coul}(Z) \approx (Z - 1)^3$  represents the enhancement of muonic atom decay due to Coulomb interactions. This decay process would possibly be probed in the COMET collaboration. As suggested in many literature studies, we have used the future sensitivity of  $CR(\mu - e, N)$  to constrain such a decay process.

### B Processes Involving Tau Leptons

There are many flavor violating channels open for tau lepton decays. The search for such decays involving taus is also challenging. Theoretical models which predict cLFV in the muon indicate a violation in the tau sector also. However, the amplitude of the process involving the tau channel is enhanced by many orders of magnitude in comparison with muon decays. Experiments such as BaBar [53] and Belle [54] provide limits to cLFV decays involving tau leptons. In this work, we have investigated three processes involving tau leptons  $\tau \rightarrow e\gamma$ ,  $\tau \rightarrow \mu\gamma$ , and  $\tau \rightarrow eee$ . The branching ratios of these mentioned processes can be written as [54]

$$BR(\tau \rightarrow e\gamma) = \frac{\alpha_\omega^3 s_\omega^2}{256\pi^2} \frac{m_\tau^4}{m_W^4} \frac{m_\tau}{\Gamma_\tau} |G_\gamma^{\tau e}|^2, \quad (77)$$

$$BR(\tau \rightarrow \mu\gamma) = \frac{\alpha_\omega^3 s_\omega^2}{256\pi^2} \frac{m_\tau^4}{m_W^4} \frac{m_\tau}{\Gamma_\tau} |G_\gamma^{\tau \mu}|^2. \quad (78)$$

Here,  $\Gamma_\tau$  represents the total width of tau leptons with an experimental value  $\Gamma_\tau = 2.1581 \times 10^{-12}$  GeV [55].

$$BR(\tau \rightarrow eee) = \frac{\alpha_\omega^4}{24576\pi^3} \frac{m_\tau^4}{m_W^4} \frac{m_\tau}{\Gamma_\tau} 2 \left| \frac{1}{2} F_{Box}^{\tau e e e} + F_Z^{\tau e} - 2s_\omega^2 (F_Z^{\tau e} - F_\gamma^{\tau e}) \right|^2 + 4s_\omega^4 |F_Z^{\tau e} - F_\gamma^{\tau e}|^2 + 16s_\omega^2 \text{Re} \left[ \left( F_Z^{\tau e} + \frac{1}{2} F_{Box}^{\tau e e e} \right) G_\gamma^{\tau e*} \right] - 48s_\omega^4 \text{Re} [ (F_Z^{\tau e} - F_\gamma^{\tau e}) G_\gamma^{\tau e*} ] + 32s_\omega^4 |G_\gamma^{\tau e}|^2 \left[ \ln \frac{m_\tau^2}{m_e^2} - \frac{11}{4} \right], \quad (79)$$

where the composite form factors  $F_\gamma^{\tau e}$ ,  $G_\gamma^{\tau e}$ ,  $F_Z^{\tau e}$  and  $F_{Box}^{\tau e e e}$  for the light neutrinos assume the following form:

$$F_\gamma^{\tau e} \rightarrow \sum U_{ej} U_{\tau j}^* [-x_j], \quad (80)$$

$$G_\gamma^{\tau e} \rightarrow \sum U_{ej} U_{\tau j}^* \left[ \frac{x_j}{4} \right], \quad (81)$$

$$F_Z^{\tau e} \rightarrow \sum U_{ej} U_{\tau j}^* \left[ x_j \left( -\frac{5}{2} - \ln x_j \right) \right], \quad (82)$$

$$F_{Box}^{\tau e e e} \rightarrow \sum U_{ej} U_{\tau j}^* [2x_j (1 + \ln x_j)]. \quad (83)$$

For the heavier neutrinos, we can use the expressions

$$F_\gamma^{\tau e} = \sum U_{ej} U_{\tau j}^* F_\gamma(x_j), \quad (84)$$

$$G_\gamma^{\tau e} = \sum U_{ej} U_{\tau j}^* G_\gamma(x_j), \quad (85)$$

$$F_Z^{\tau e} = \sum U_{ej} U_{\tau k}^* (\delta_{ij} F_Z(x_j) + C_{jk} G_Z(x_j, x_k) + C_{jk}^* H_Z(x_j, x_k)), \quad (86)$$

$$F_{Box}^{\tau e e e} = \sum U_{ej} U_{\tau k}^* (U_{ej} U_{\tau k}^* G_{Box}(x_j, x_k) - 2U_{ej}^* U_{ek} F_{XBox}(x_j, x_k)). \quad (87)$$

The different loop functions involved in the above expressions can be seen in [55].

### IV Neutrinoless Double Beta Decay (0νββ)

The presence of sterile neutrinos in addition to the standard model particles may lead to new contributions to lepton number violating interactions like neutrinoless double beta decay (0νββ) [55–57]. We have studied the contributions of the sterile state to the effective electron neutrino Majorana mass  $m_{\beta\beta}$  [57, 58]. The most stringent bounds on the effective mass are provided by the KamLAND-ZEN experiment [59]:

$$m_{\beta\beta} < 0.061 - 0.165 \text{ eV}. \quad (88)$$

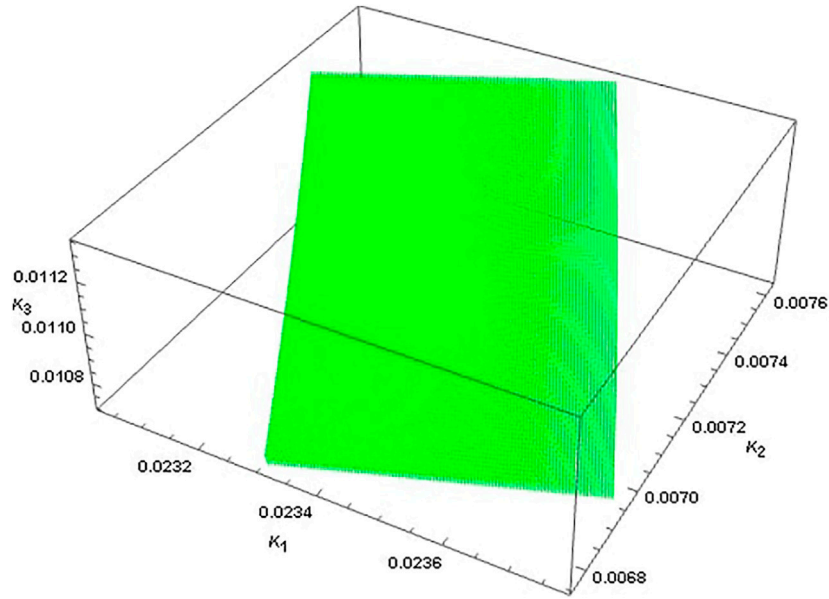
The amplitude of these processes depends upon the neutrino mixing matrix elements and the neutrino masses. The decay width of the process is proportional to the effective electron neutrino Majorana mass  $m_{\beta\beta}$  which in the case of standard contribution, i.e., in the absence of any sterile neutrino, is given as

$$m_{\beta\beta} = \left| \sum_{i=1}^3 U_{ei}^2 m_i \right|. \quad (89)$$

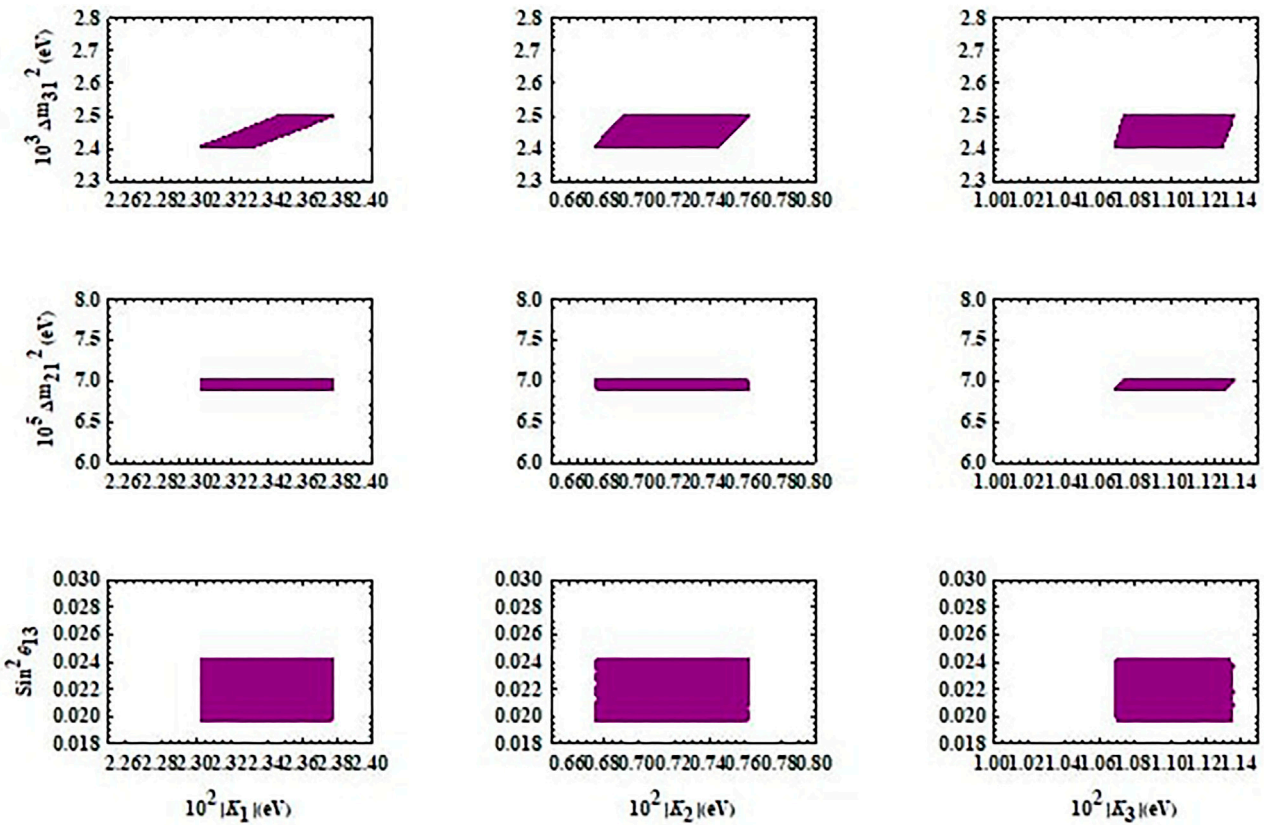
The above equation is modified with the addition of sterile fermions and is given by [50, 60]

$$m_{\beta\beta} = \left| \sum_{i=1}^3 U_{ei}^2 m_i \right| + U_{e4}^2 \frac{m_4}{k^2 - m_4^2} |<k>|^2, \quad (90)$$

where  $m_4$  and  $U_{e4}$  are the mass of the sterile neutrino and its couplings to the electron neutrino, respectively.  $|<k>| = 190 \text{ MeV}$  represents the neutrino virtuality momentum.

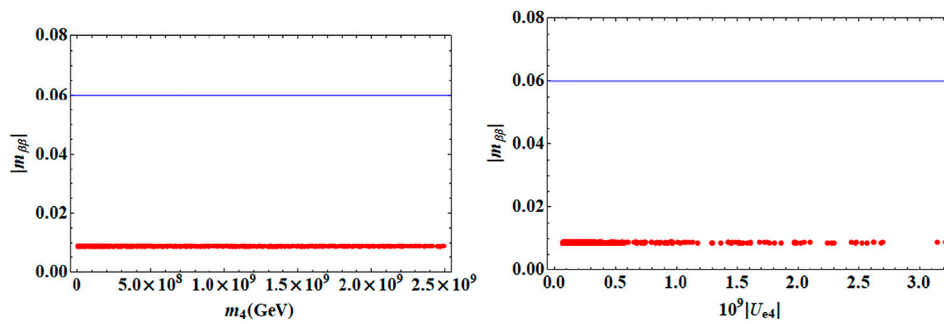


**FIGURE 1** | Correlation plots for the model parameters (in eV).

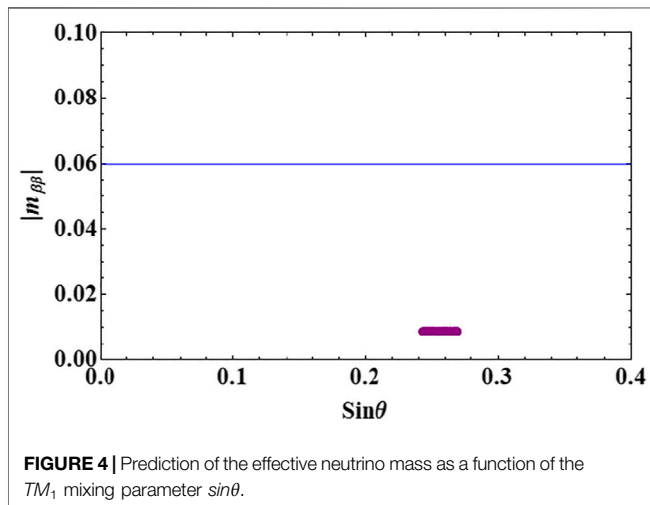


**FIGURE 2** | The allowed region of  $\Delta m_{31}^2$ ,  $\Delta m_{21}^2$  and mixing angle  $\sin^2\theta_{13}$  as a function of model parameters.





**FIGURE 3** | Prediction of the effective neutrino mass as a function of the sterile neutrino mass and mixing.



**FIGURE 4** | Prediction of the effective neutrino mass as a function of the  $TM_1$  mixing parameter  $\sin\theta$ .

## V Results of Numerical Analysis and Discussions

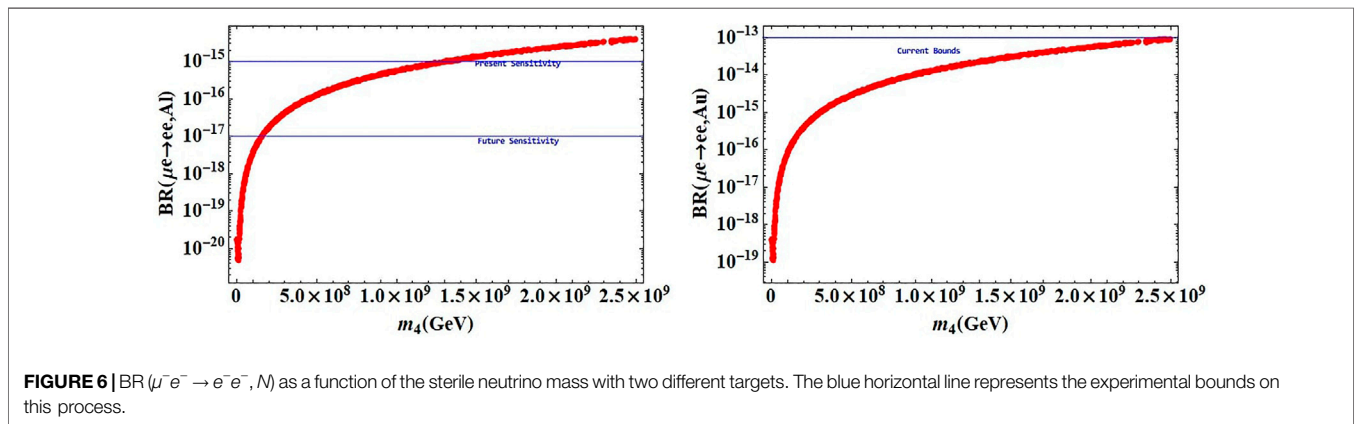
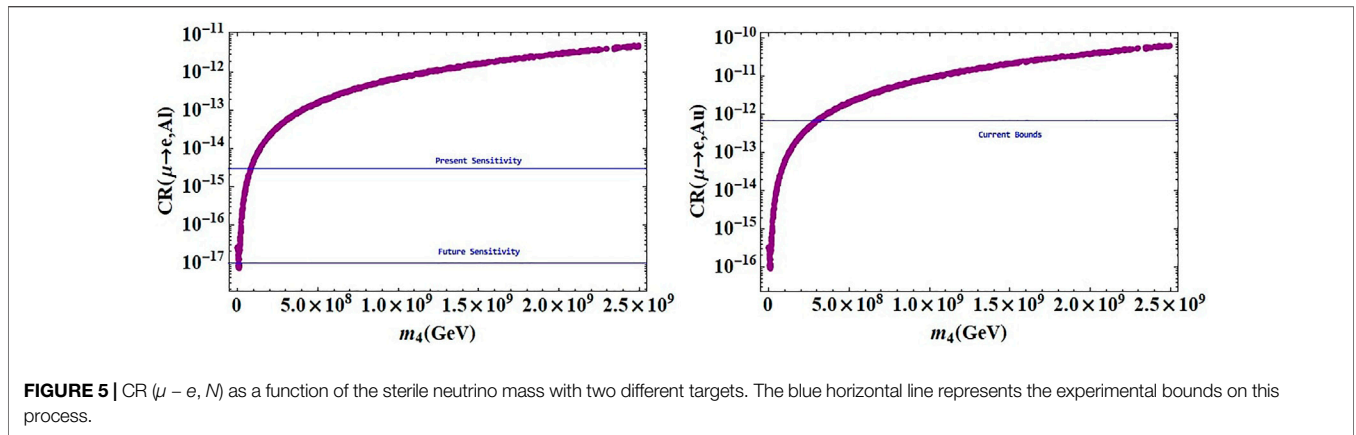
It is evident from the above discussion that the neutrino mass matrix in Eq. 44 contains three model parameters  $K_1, K_2, K_3$ . We can express the experimentally measured six oscillation parameters  $\Delta m_{21}^2, \Delta m_{31}^2, \sin^2\theta_{12}, \sin^2\theta_{23}, \sin^2\theta_{13}, \delta_{CP}$  in terms of these model parameters. Hence, the three model parameters can be evaluated by comparing with the three oscillation parameters in the  $3\sigma$  range as given in Table 4 and then constraining the other parameters. These parameters  $K_1, K_2, K_3$  in turn are related to  $m_D, m_R, r_1$ , and  $r_2$  as given in Eqs. 45–47 which are functions of Yukawa couplings and VEVs of the scalars. In our model, we have evaluated the model parameters comparing with the experimental range of  $\Delta m_{21}^2, \Delta m_{31}^2, \sin^2\theta_{13}$ . Since the lightest neutrino mass is zero in the MES model,  $\Delta m_{21}^2$  and  $\Delta m_{31}^2$  will correspond to the other two masses. Our construction of the MES model with  $TM_1$  mixing rules out the inverted ordering (IO) of the neutrino masses. The inverted ordering is disfavored with  $\Delta\chi^2 = 7.3$  including atmospheric data from Super-Kamiokande [60–62]. Hence, our results are in good agreement with the latest global data. Figure 1 represents the correaltion plots for the model parameters in our model. Figure 2 shows the variation of different neutrino oscillation parameters as a function of the model parameters.

The model leads to the  $TM_1$  parameter  $\zeta = \pm \frac{\pi}{2}$  resulting in  $\mu$ - $\tau$  reflection symmetry,  $\theta_{23} = \frac{\pi}{4}$  and  $\delta_{CP} = \pm \frac{\pi}{2}$ . The values  $\theta_{23} = \frac{\pi}{4}$  and  $\delta_{CP} = -\frac{\pi}{2}$  are consistent with the current global fit. Again using Eqs. 15, 17 and the values of  $\sin^2\theta_{13}$  given in Table 4, we obtain the range of  $\sin^2\theta_{12}$  as 0.3167–0.3195. This is also consistent with the global fit. We have also calculated the sum of the three light neutrino masses from the model parameters. It predicts  $\sum m_i$  within the range 0.057–0.059, which is below the cosmological upper bounds. Thus, it is clear that the predictions of the model comply with the latest neutrino and cosmology data.

Apart from studying active neutrino phenomenology, we have calculated different observables related to the different cLFV processes with the numerically evaluated model parameters. All the masses and mixing in the model are dependent on the model parameters which are highly constrained by the neutrino oscillation data. The masses and mixing of the active and sterile neutrinos in turn are related to the observables of different cLFV processes and also the  $0\nu\beta\beta$  process as mentioned above. Hence, the same set of model parameters that are supposed to produce correct neutrino phenomenology can also be used to estimate the observables of different low-energy processes. Thus, this model is constrained by these processes also. The predictions of our model on the sum of the neutrino masses and the  $0\nu\beta\beta$  can be found in Table 5. The motivation is to see if the neutrino mass matrix that can explain the neutrino phenomenology can also provide sufficient parameter space for other low-energy observables  $0\nu\beta\beta$ , cLFV, etc. We also correlate the sterile neutrino mass with  $0\nu\beta\beta$  and cLFV processes to see the impact of the sterile neutrino.

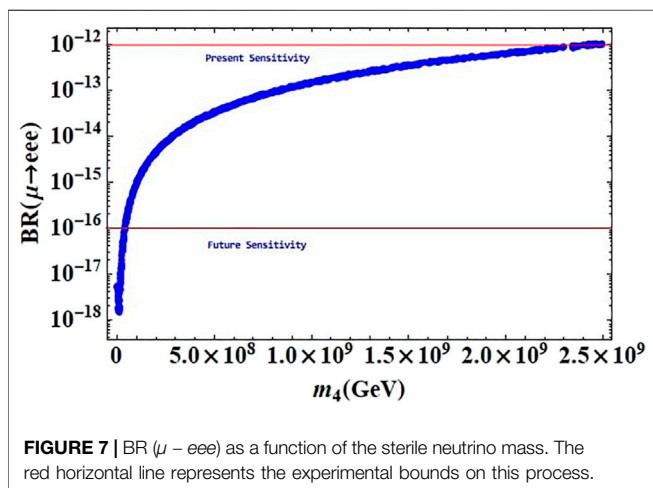
The effective mass ( $m_{\beta\beta}$ ) characterizing the  $0\nu\beta\beta$  process along with the presence of heavy sterile neutrinos is calculated using Eq. 90. Figure 3 shows the effective mass against the sterile neutrino mass and mixing. For new physics contributions coming from extra sterile neutrinos, the effective mass is consistent with the upper bound ( $|m_{\beta\beta}| \leq 0.06$  eV) followed by the data of the KamLAND-ZEN [59] experiment. It has been observed that the presence of very heavy sterile neutrinos in the model has no significant effect on the  $0\nu\beta\beta$  process since  $m_{\beta\beta}$  is proportional to  $\frac{1}{m_4}$ . Figure 4 shows variation of effective mass as a function of the parameter  $\theta$  characterizing  $TM_1$  mixing. This plot shows how the model with  $TM_1$  mixing constrains the effective neutrino mass  $m_{\beta\beta}$ .

We have performed the analysis of  $\mu - e$  conversion with two different targets—aluminum (Al) and gold (Au). Figure 5 shows



the calculated conversion ratios with these two targets as a function of the mass of the sterile neutrinos. In both cases, the results are within the reach of current and future experiments. It has been observed that sterile neutrinos with mass  $10^8$  GeV can lead to such a process within the experimental bound.

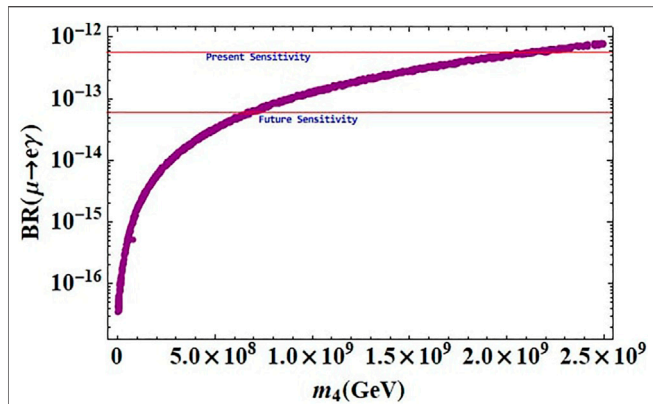
We have seen the sterile neutrino contribution to the process  $\mu^- e^- \rightarrow e^- e^-$  in the model. **Figure 6** shows the variation of branching ratios with the mass of the sterile neutrinos. It has been observed that, for targets with Al, the experimental limits are reached for a lower value of the mass of the sterile neutrinos (around  $10^8$  GeV) than in the case with Au (around  $2.5 \times 10^9$  GeV). This shows that the cLFV process induced by an additional sterile neutrino could certainly be probed in near future experiments with aluminum targets. The stringent bound on the sterile neutrino mass to cause such a process is around  $3 \times 10^8$  GeV.



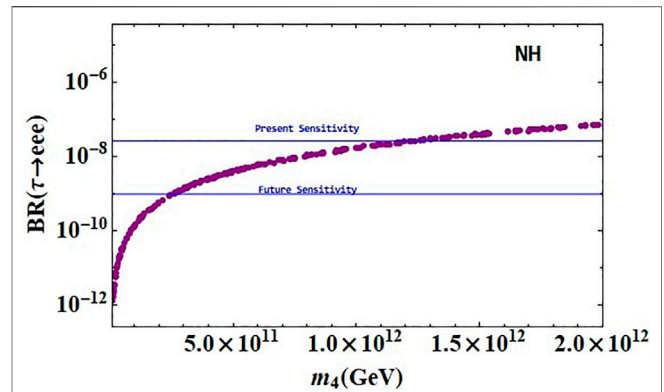
**Figure 7** indicates the impacts of sterile neutrino in the  $\mu \rightarrow eee$  process. It is evident from the figure that the branching ratios have a stronger experimental potential, with contributions well within the current (future) experimental reach for sterile masses above  $2 \times 10^9$  ( $10^8$ ) GeV.

The branching ratios of another appealing process  $\mu \rightarrow e\gamma$  in the presence of the heavy sterile neutrino as a function of its mass are shown in **Figure 8**. It is seen that the results are well within the current (future) experimental reach for sterile masses above  $2 \times 10^9$  ( $10^9$ ) GeV.

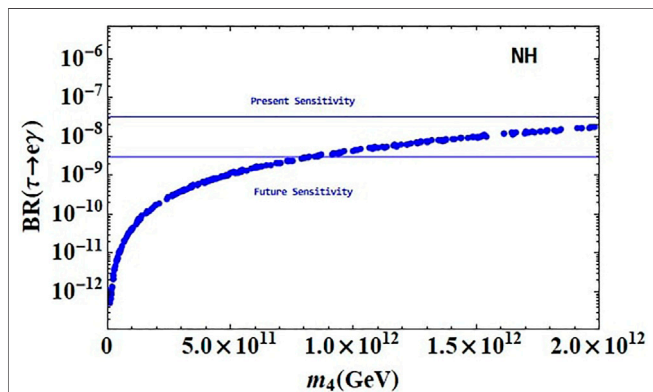
Similarly, we have carried out our analysis for processes involving tau atoms and calculated the observables using **Eqs. 77–79**. The results are shown in **Figures 9–11**. It is observed that



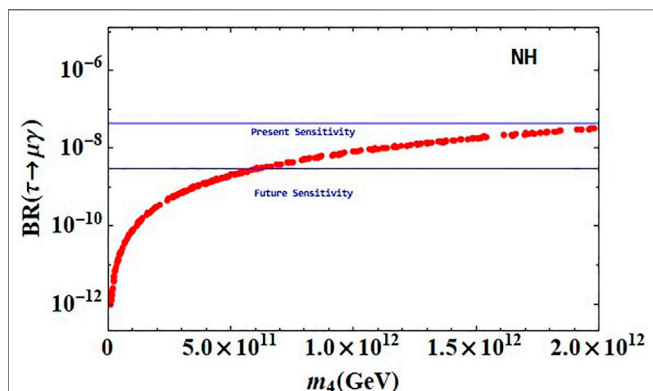
**FIGURE 8** | BR ( $\mu \rightarrow e\gamma$ ) as a function of the sterile neutrino mass. The red horizontal line represents the experimental bounds on this process.



**FIGURE 11** | BR ( $\tau \rightarrow eee$ ) as a function of the sterile neutrino mass. The blue horizontal line represents the experimental bounds on this process.



**FIGURE 9** | BR ( $\tau \rightarrow e\gamma$ ) as a function of the sterile neutrino mass. The blue horizontal line represents the experimental bounds on this process.



**FIGURE 10** | BR ( $\tau \rightarrow \mu\gamma$ ) as a function of the sterile neutrino mass. The blue horizontal line represents the experimental bounds on this process.

the sterile neutrino can have sizable contributions to such processes only when it has mass above  $10^9$  GeV which is quite higher than that in the case of processes involving muonic atoms.

**TABLE 6** | Constraints on the sterile neutrino mass from different cLFV processes.

cLFV process	Bounds on sterile neutrino mass
$(\mu e \rightarrow ee, A_I)$	$3 \times 10^8$
$(\mu e \rightarrow ee, A_U)$	$2.5 \times 10^9$
$\mu \rightarrow eee$	$10^9$
$\mu \rightarrow e\gamma$	$10^9$
$(\mu - e, A_I)$	$2 \times 10^8$
$(\mu - e, A_U)$	$5 \times 10^8$
$\tau \rightarrow e\gamma$	$10^{12}$
$\tau \rightarrow \mu\gamma$	$5 \times 10^{11}$
$\tau \rightarrow eee$	$3 \times 10^{11}$

For the process  $\tau \rightarrow e\gamma$ , the current experimental bound on the branching ratio is achieved for  $m_s > 2 \times 10^{12}$  GeV, and however, a lower mass of sterile neutrino (around  $10^{12}$ ) can contribute to such processes in future experiments as shown in **Figure 9**. **Figure 10** indicates that the contributions of sterile neutrino to the process  $\tau \rightarrow \mu\gamma$  are well within the current experimental limit for  $m_s > 2 \times 10^{12}$  GeV and the sensitivity of future experiments is reached for a lower mass of sterile neutrino (around  $5 \times 10^{11}$ ). For the process  $\tau \rightarrow eee$ , the current and future experimental bounds on the branching ratio are achieved for  $m_s > 10^{12}$  GeV and around  $3 \times 10^{11}$ , respectively, which can be seen in **Figure 9**. In **Table 6**, we have summarized the constraints on the sterile neutrino mass coming from different cLFV processes.

## VI CONCLUSION

In this work, we have studied the effect of sterile neutrino on the low-energy processes focusing on charged lepton flavor violation and neutrinoless double beta decay. The framework of our study is an MES model which is obtained by the addition of a triplet of right-handed neutrinos and a sterile neutrino singlet field to the standard model. The gauge group of the standard model is extended by the flavor symmetry group  $\Delta(96)$  along with two  $C_2$  groups and one  $C_3$  group. The model is constructed in such a

way that it gives rise to a special mixing pattern known as  $TM_1$  mixing. Implementation of  $TM_1$  mixing in the MES framework with an extra sterile neutrino has been not done before. The model leads to  $TM_1$  mixing with  $\mu$ - $\tau$  reflection symmetry which predicts the maximal atmospheric mixing angle and maximal breaking of the CP symmetry. These two important constraints of the model comply with the experimental data. Moreover, our construction of the model rules out the inverted ordering of the neutrino masses. The model is represented by three model parameters that have been evaluated by comparing the light neutrino oscillation parameters in the  $3\sigma$  range. We have obtained the sterile neutrino mass and mixing from the model parameters. We then fed the model parameters in calculating different observables characterizing different low-energy processes. The textures of the mass matrices in our model predict the effective mass  $m_{\beta\beta}$  that is consistent with the experimental data. We have investigated different cLFV processes involving muon and tau leptons. It has been observed that a wide range of parameter space can be probed in near future experiments. There are no theoretical upper bounds on the mass of the sterile neutrino. However, in this model, the different cLFV processes highly constrain the mass of the sterile neutrino. In this work, we have summarized the limits on the mass of the sterile neutrino to contribute such processes. Another important conclusion that can be drawn from the present work is that the sterile neutrino mass range allowed by different cLFV processes can give rise to an effective neutrino mass within the experimental limits. Thus, the two low-energy observables can also be correlated in the proposed model.

In conclusion, the MES model with  $\Delta(96)$  discrete flavor symmetry can address neutrino phenomenology in the

presence of heavy sterile neutrinos with the prediction of experimentally observed neutrino parameters. We have shown that the model has interesting implications in rare decay experiments such as lepton flavor violation and also neutrinoless double beta decay. The estimation of the model on baryon asymmetry of the universe (BAU) can also be studied in the future.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## AUTHOR CONTRIBUTIONS

NG, RK, and MD conceptualized the idea. NG and RK performed the methodology, ran the software, involved in formal analysis, and visualized the results. NG wrote the original draft. RK and MD reviewed and edited the manuscript. MD involved in supervision, funding acquisition, and project administration.

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### APPENDIX A PROPERTIES OF $\Delta(96)$ GROUP

$\Delta(96)$  is one of the members of  $\Delta 6n^2$  with  $n = 4$ . The triplets  $3_i, \bar{3}'_i, 3'_i$  and  $\bar{3}_i$  are faithful representations of  $\Delta(96)$ . To generate  $3'_i$ , we may conveniently use the matrices given by

$$P = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, Q = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, C = \begin{pmatrix} i & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -i \end{pmatrix}. \tag{A1}$$

There are 11 irreducible representations of  $\Delta(96)$ , two singlets 1 and  $1'$ , one doublet 2, six triplets 3,  $3'$ ,  $3_i, 3'_i, \bar{3}_i, \bar{3}'_i$  and 6. We note that the first five representations correspond to those of  $S_4$  which is a subgroup of  $\Delta(96)$ . The character table for  $\Delta(96)$  is given in **Appendix Table A7**.

Here, the tensor products of 1,  $1'$ , 2, 3,  $3'$  follow the product rules of  $S_4$ :

$$3 \times 1 = 3, 3 \times 1' = 3', 3' \times 1' = 3, 2 \times 1' = 2. \tag{A2}$$

$$2 \otimes 3 = 3 \oplus 3', \tag{A3}$$

$$3 \otimes 3 = 1 \oplus 2 \oplus 3' \oplus 3, \tag{A4}$$

$$3' \otimes 3' = 1 \oplus 2 \oplus 3' \oplus 3, \tag{A5}$$

$$3'_i \otimes 3'_i = 3' \oplus \bar{3}'_i \oplus \bar{3}'_i, \tag{A6}$$

$$\bar{3}'_i \otimes 3'_i = 1 \oplus 2 \oplus 6. \tag{A7}$$

For the Clebsch–Gordan coefficients all the above expansion, refer to [62, 63]. The tensor products involving  $3_i$  and  $3'_i$  are given by

$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}_{3'_i} \otimes \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix}_{3_i} = \begin{pmatrix} a_1 b_1 \\ a_2 b_2 \\ a_3 b_3 \end{pmatrix}_{3'} \oplus \begin{pmatrix} a_2 b_3 + a_3 b_2 \\ a_2 b_3 + a_3 b_2 \\ a_1 b_2 + a_2 b_1 \end{pmatrix}_{\bar{3}'_i} \oplus \begin{pmatrix} a_2 b_3 - a_3 b_2 \\ a_2 b_3 - a_3 b_2 \\ a_1 b_2 - a_2 b_1 \end{pmatrix}_{\bar{3}'_i}, \tag{A8}$$

$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}_{3'_i} \otimes \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix}_{\bar{3}'_i} = (a_1 b_1 + a_2 b_2 + a_3 b_3)_1$$

$$\oplus \begin{pmatrix} 1/\sqrt{2} (a_2 b_2 - a_3 b_3) \\ 1/\sqrt{6} (-2a_1 b_1 + a_2 b_2 + a_3 b_3) \end{pmatrix}_2 \oplus \begin{pmatrix} a_2 b_3 \\ a_3 b_1 \\ a_1 b_2 \\ a_3 b_2 \\ a_1 b_3 \\ a_2 b_1 \end{pmatrix}_6. \tag{A9}$$

**TABLE A7** | Character table of the  $\Delta(96)$  group.

$\Delta(96)$	$C_0$	$C_1$	$C_2$	$C_3$	$C_4$	$C_5$	$C_6$	$C_7$	$C_8$	$C_9$
1	1	1	1	1	1	1	1	1	1	1
$1'$	1	1	-1	-1	1	-1	-1	1	1	1
2	2	2	0	0	-1	0	0	2	2	2
3	3	3	1	1	0	-1	-1	-1	-1	-1
$3'$	3	3	-1	-1	0	1	1	-1	-1	-1
$3_i$	3	-1	i	-i	0	-1	1	1	z	z
$3_j$	3	-1	-i	i	0	-1	1	1	z	z
$3'_i$	3	-1	-i	i	0	1	-1	1	z	z
$3'_j$	3	-1	i	-i	0	1	-1	1	z	z
6	0	-2	0	0	0	0	0	-2	2	2