



# Non-local Effects in Shear Banding of Polymeric Flows

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Many fluids undergo shear banding, in which two states of different apparent viscosity coexist for a given shear rate (or for a given stress). In the idealized case of an infinite gap between shearing plates the selection of the conditions for shear banding has been shown to depend on the spatial structure and shape of the interface between shear bands. With the advent of microfluidic design for processing and additive manufacturing, the processing of many complex fluids often occurs in situations where this idealized limit doesn't apply, and the gap between walls, in either shearing flow or more often for pressure driven flow, is no longer "infinite" compared to the structural scales. It is increasingly clear that the effective rheology and structure of flowing fluids in these conditions requires information about the entire sample size, i.e., that the rheology is intrinsically non-local. In this review we discuss some recent attempts (both theoretical and experimental) to address non-local rheology and its implications for shear-banding flows of polymeric fluids. This manifests itself in rheology extracted from velocity profiles, as well as the dependence of shear-banding conditions on the position of the interface between shear bands, as well as the system size.

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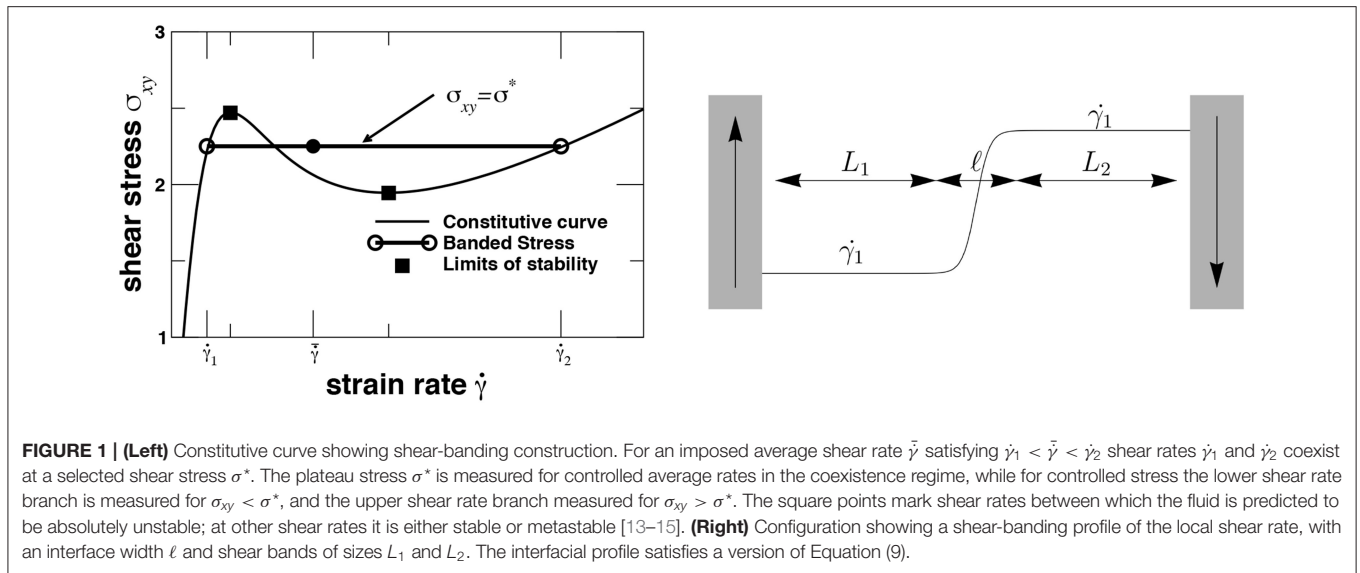
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## 1. INTRODUCTION

Shear banding occurs when a fluid can support two different states of apparent viscosity for either the same shear rate (different local stresses) or the same shear stress (different local strain rates). It is expected when the relation between shear stress  $\sigma$  and shear rate  $\dot{\gamma}$  [called the *constitutive curve*  $\sigma(\dot{\gamma})$ ] is non-monotonic. Shear banding, either steady or transient, is now well-established in many soft materials [1], including surfactant solutions (wormlike micelles [2], lamellar phases [3], associating polymers [4]), potentially in polymer solutions and melts [5, 6]; yield stress fluids, such as emulsions [7], colloidal suspensions [8], microgels [9], and star polymer solutions [10]; and liquid crystalline solutions [11, 12]. Banding is often initiated by an instability, but can also appear as if it is a "nucleated" event, in which, for example, a lower viscosity state appears somewhere in the system under certain conditions of either imposed global stress or average shear rate. Shear banding results in heterogenous flows (**Figure 1**), with the spatial organization determined by the nature of the multi-valued relation between shear stress and shear rate. In the most common situation two different shear rates coexist for a given stress, which corresponds to *gradient banding*, in which shear bands are organized along the flow gradient direction, with the interface between the bands lying in the velocity-vorticity plane. In parallel sliding plates this corresponds to bands parallel to the plates, while in cylindrical Couette flow the bands are arranged in concentric cylinders. In



*vorticity banding* the bands are arranged in the vorticity direction such that the shear rate is the same in each band while the shear stress differs. In polymeric fluids, such as polymer solutions and melts, and surfactant solutions that self-assemble into long thread-like *wormlike micelles*, the shear banding is usually driven by the lower viscosity that results when the polymers are aligned more parallel to each other in flow. This is thought to be accompanied by a reduction in the degree of entanglement among the polymers (wormlike micelles have the additional complication that they can break and reform rapidly [16]). Breakage leads to a simpler relaxation spectrum than that of polymers in the fast-breaking limit, since all lengths of micelles relax together; but the effect of breakage on entanglement is unknown.

There are clear similarities between shear banding and equilibrium phase transitions, such as liquid-vapor coexistence. The non-monotonic constitutive curve  $\sigma(\dot{\gamma})$  is analogous to the non-monotonic pressure-density relation  $p(\rho)$  of an equilibrium liquid-vapor isotherm. The conditions of liquid-vapor equilibrium are equality of chemical potential (Gibbs free energy per particle) and pressure. For shear banding the shear stresses must balance, which is analogous to the pressure; but because the system is dissipative one cannot use equality of chemical potentials to determine which shear stress is “selected” as the coexisting stress. Instead, one can solve the steady-state inhomogeneous dynamics to find coexistence and determine stress selection, which is analogous to finding the shape and width of the liquid-vapor interface [17, 18]. Correspondingly, the steady-state shear-banding configuration contains three length scales: the sizes  $L_1$  and  $L_2$  of the two bands<sup>1</sup> and the width  $\ell$

of the interface between bands. In a very large system the width  $\ell$  can be ignored compared to the distance  $L \gg \ell$  between the shearing surfaces, and the relative size of the two shear bands is determined to satisfy the applied average shear rate, such that (see **Figure 1**)

$$L\bar{\dot{\gamma}} = L_1\dot{\gamma}_1 + L_2\dot{\gamma}_2, \tag{1}$$

where the two bands have shear rates  $\dot{\gamma}_1$  and  $\dot{\gamma}_2$ . The width  $\ell$  of the interface between bands is usually microns in size, which is negligible compared to the sizes of most processing devices (millimeters or centimeters).

This simple picture, which will be described below, has several complications and challenges. The value of  $\ell$  is predicted to be determined by a constitutive parameter (or parameters) that is independent of the conditions of flow; typically in the form of a coefficient that governs the contributions of spatial gradients to the total shear stress and to the dynamics of microstructural order. A reliable theory for this parameter is lacking, despite much work in the area. Many modern processing methods based on microfluidic technologies use very small geometries to transport, mix, and deliver soft materials, with length scales ranging from microns to millimeters, and in some cases sub-micron in scale. Experiments on small gaps show that it is difficult to consistently model and describe the both small scale and larger scale rheologies [19, 20]. The simple shear-banding model predicts that the interfacial width  $\ell$  is independent of the applied average shear rate  $\bar{\dot{\gamma}}$ . However, experiments show that this is not correct [21], as will be explained below.

In this brief review we focus on polymeric fluids, i.e., polymer and surfactant solutions. We outline some of the main phenomenology of non-local terms in models for shear-banding polymeric fluids, and discuss some of the implications for experiments, as well as challenges posed by recent experiments.

<sup>1</sup>In practice many shear bands can form with shear rates  $(\dot{\gamma}_1, \dot{\gamma}_2)$ , but in most situations these will coarsen to leave only two bands. This is usually driven by small stress gradients in rotational rheometers; for example, Taylor Couette and cone-and-plate geometries both have gradients in stress at steady state.

## 2. NON-LOCAL MODELING

### 2.1. Constitutive Models

#### 2.1.1. Dynamics of the Structural Stress Tensor

To physically model shear banding the stress tensor must incorporate stresses due to microstructural quantities, such as molecular or segmental orientation, micellar length, concentration fields in polymeric fluids. Other fluids could include degrees of association, fraction of frictional or non-frictional contacts in suspensions, structural (such as local icosahedral ordering) or crystalline order parameters, and many others. The principal guiding rules are to (1) include all non-negligible contributions to the total stress, and (2) incorporate variables that relax slowly compared to typical thermal or flow-induced noise (“slow” can unfortunately be used subjectively!). In polymeric fluids the total stress  $\sigma$  is often written in terms of a molecular configurational tensor  $\mathbf{W}$ , or equivalently a polymeric stress tensor  $\Sigma$ , where

$$\sigma = 2\eta\mathbf{D} + G\mathbf{W} - p\mathbf{I} \quad (2a)$$

$$\equiv 2\eta\mathbf{D} + \Sigma - p\mathbf{I}, \quad (2b)$$

Here  $G$  is a characteristic modulus,  $\mathbf{I}$  denotes the identity matrix,  $\eta$  is a Newtonian viscosity,  $\mathbf{D} = \frac{1}{2}[\nabla\mathbf{v} + (\nabla\mathbf{v})^T]$  is the symmetric velocity gradient tensor, and  $p$  is the isotropic pressure determined by incompressibility ( $\nabla \cdot \mathbf{v} = 0$ ). The configurational tensor can be defined in a number of ways; as  $\mathbf{W} = \langle \mathbf{r}\mathbf{r} \rangle$  of the second moment of the normalized molecular end-to-end vector  $\mathbf{r} = \mathbf{R}/|\mathbf{R}_0|$ , where  $\mathbf{R}_0$  is the equilibrium RMS end to end vector; as the right Cauchy-Green deformation tensor acting on the polymeric degrees of freedom [22]; or the average tangent-tangent correlation function along the chain  $\mathbf{W} = \langle \int_0^{L_c} \mathbf{t}(s)\mathbf{t}(s)ds/L_c \rangle$ , where  $L_c$  is the contour length. In most cases of interest we assume creeping flow, corresponding to small Reynolds numbers, for which

$$\nabla \cdot \sigma = \mathbf{0}. \quad (3)$$

This condition holds for most, but not all, conditions under which shear banding is seen. The microstructure dynamics

determines the non-monotonic behavior of the fluid; a finite strain rate induces molecular alignment, which in turn reduces the shear stress  $\sigma \equiv \sigma_{xy}$  below the Newtonian limit  $\sigma = \eta\dot{\gamma}$ . For rapid shear rates the increasing alignment actually leads to a stress maximum, beyond a strain rate corresponding to the molecular relaxation time. For greater shear rates progressively fewer chains carry stress through a plane with normal vector in the flow gradient direction [23], and thus the shear stress is predicted to decrease [23, 24].

Numerous dynamical models have been proposed for  $\mathbf{W}$  or equivalently  $\Sigma$ . They have the form

$$\overset{\blacksquare}{\Sigma} = -\frac{1}{\tau}\Sigma + \Phi(\Sigma, \kappa) + \mathcal{D}\nabla^2\Sigma, \quad (4)$$

where  $\overset{\blacksquare}{\Sigma}$  denotes a covariant derivative that compares the time dependence of the tensor  $\Sigma$  to the manner in which the microstructure is expected to rotate in the least dissipative manner in a flow field parameterized by the velocity gradient tensor  $\kappa_{\alpha\beta} = \nabla_\beta v_\alpha$ . Several models are collected in **Table 1**. The models shown do not accommodate concentration changes (so-called “two-fluid” models), and have been used to varying degrees of success in modeling banding behavior in wormlike micelles or polymer solutions. None of the models is an excellent fit to experimental data, so in the absence of better microscopically-derived models that are tractable for modeling inhomogeneous flows, the choice of model depends on the details of the calculations necessary, and the features required.

The “diffusion constant” is usually written to indicate that the stress variable  $\Sigma$  can “diffuse,” or more precisely, that spatial gradients in  $\Sigma$  relax. Hence, the diffusion term is actually a product of a mobility and a local free energy cost, and thus in this form  $\mathcal{D}$  has dimensions  $[\mathcal{D}] = (\text{length})^2/\text{time}$ . Hence, the product  $\mathcal{D}\tau$  is the square of a length, and we define

$$\ell = \sqrt{\mathcal{D}\tau} \quad (5)$$

as the characteristic lengthscale corresponding to stress “diffusion.” In most cases this is also of order the length scale

**TABLE 1** | Constitutive models that exhibit shear banding for polymeric fluids with no concentration variations from Olmsted et al. [15] [a], Giesekus [25] [b], Likhtman and Graham [26] [c], Yuan et al. [27] and Dhont [28] [d].

Johnson-Segalman	$\overset{\blacklozenge}{\Sigma} = -\frac{1}{\tau}\Sigma + 2\frac{\mu}{\tau}\mathbf{D} + \mathcal{D}\nabla^2\Sigma$	[a]
Giesekus	$\overset{\blacktriangledown}{\Sigma} = -\frac{1}{\tau}\Sigma + 2\frac{\mu_p}{\tau}\mathbf{D} - \alpha\Sigma^2 + \mathcal{D}\nabla^2\Sigma$	[b]
Rolie-Poly	$\overset{\blacktriangledown}{\mathbf{W}} = -\frac{1}{\tau_d}(\mathbf{W} - \mathbf{I}) - \frac{2}{\tau_R} \left(1 - \sqrt{\frac{\text{Tr}\mathbf{W}}{3}}\right) \left[\mathbf{W} + \beta \frac{\mathbf{W} - \mathbf{I}}{\sqrt{\text{Tr}\mathbf{W}/3}}\right] + \mathcal{D}\nabla^2\mathbf{W}$	[c]
Curvature stress	$\overset{\blacklozenge}{\Sigma} = -\frac{1}{\tau}\Sigma + \frac{2}{\tau}(\mu\mathbf{D} - \kappa\nabla^2\mathbf{D})$ $\overset{\blacktriangledown}{\Sigma} \equiv (\partial_t + \mathbf{v} \cdot \nabla)\Sigma - (\kappa\Sigma + \Sigma\kappa^T)$ (Upper convected Maxwell derivative) $\overset{\blacklozenge}{\Sigma} \equiv (\partial_t + \mathbf{v} \cdot \nabla)\Sigma - (\Omega\Sigma - \Sigma\Omega) - a(\mathbf{D}\Sigma + \Sigma\mathbf{D})$ (Gordon-Schowalter derivative)	[d]

Here,  $\kappa$  is the “curvature stress” of Dhont [28],  $\tau$  is a simple Maxwell-like relaxation time,  $\tau_d$  is the reptation time for polymer liquids,  $\tau_R$  is the Rouse or stretch relaxation time;  $\mu$ ,  $\mu_p$  are characteristic viscosities,  $a$ ,  $\beta$ ,  $\alpha$  are phenomenological parameters that can be tuned to control the non-monotonicity of the constitutive models,  $\mathcal{D}$  is a phenomenological non-local coefficient that has been added in an ad hoc way to each equation of motion, and  $\Omega = \frac{1}{2}(\kappa - \kappa^T)$  is the anti-symmetric velocity gradient tensor. Models that incorporate coupling of shear banding to concentration include two-fluid versions of the d-JS model [29, 30], the VCM model of Vasquez et al. [31], which incorporates two species of short and long micelles that can break and recombine, and a two-fluid version of the Rolie-Poly model [32].

of the interface between shear bands [15, 33]. In practice the experiments described below extract  $\mathcal{D}$  from an experimental method in conjunction with a model, and then use the measured (longest) relaxation time  $\tau$  of the fluid in equilibrium to estimate  $\ell$ .

The Johnson-Segalman (JS, or d-JS with the diffusive term) model is a very simple model that exhibits a non-monotonic flow curve due to the slip parameter  $a$ , which parameterizes the degree to which polymers “slip” relative to the local flow field [34]. It has been used by many authors to model shear banding [15, 35]. The non-linear Giesekus (or d-Giesekus) model is another phenomenological model that can exhibit banding, due to a second-order non-linearity in the polymer stress  $\Sigma$ . The microscopically-inspired Rolie-Poly [26] model approximates the more detailed microscopic GLaMM model [36–38], which incorporates reptation, convected constraint release, retraction, and contour length fluctuations of entangled non-breakable polymers. By controlling the parameters one can tune continuously between shear-banding and non-shear banding fluids in the Rolie-Poly model [39].

### 2.1.2. Stress “Diffusion”

In all cases an *ad hoc* “diffusive” term with coefficient  $\mathcal{D}$  has been added to the constitutive models in order to model the strongly inhomogeneous flow associated with shear banding [15]. The need for such a term to obtain physically and mathematically sensible models in polymeric solutions was recognized by El-Kareh and Leal [40], who demonstrated that diffusing polymers can carry a non-local stress. One simple motivation for such a term is the small, but non-zero, contribution from Frank elastic effects familiar from liquid crystals [1, 41]. Frank elasticity accounts for the free energy increase due to the average molecular orientation becoming inhomogeneous. Liquid crystalline polymers exhibit such free energies, with distortion energy controlled by the persistence length of the polymers. Nematic liquid crystals themselves are also predicted to exhibit shear banding between the higher viscosity isotropic phase and the lower viscosity nematic phase [17, 42–45]; in this case non-local contributions to the total stress and segment orientation dynamics arise naturally from Frank elasticity and lead to stress selection. Note that experimental liquid crystalline systems of rod-like molecules are often in the tumbling regime [11], so that the nematic state is often time-dependent and banding is not so clear. Pujolle-Robic and Noirez [46] presented experimental for shear banding in a side-chain liquid crystalline polymer system. The non-zero contribution of nematic effects to the segment orientation dynamics of polymers appears as non-local terms in the dynamics for the segment orientation tensor  $\mathbf{Q}$  as well as in the total stress tensor. If the orientation dynamics are fast then the fluctuations can be integrated out at the level of the free energy [47, 48], which leads to non-local terms in the free energy penalizing  $\mathbf{W}$  (or equivalently  $\Sigma$ ). This will generate a diffusive term in the dynamics for  $\mathbf{W}$  (or  $\Sigma$ ) that, in a melt, scales as [41]

$$\mathcal{D}\tau \simeq \frac{\ell_p^2}{126}, \quad (6)$$

where  $\ell_p$  is the persistence length of the polymer (of order 0.5 nm for synthetic polymers, 15–20 nm for wormlike micelles, and 25 nm for single-stranded DNA); one expects  $\mathcal{D}\tau$  to acquire a concentration dependence for solutions. Note that the corresponding length scale for this contribution scales as  $\ell = \sqrt{\mathcal{D}\tau} \simeq \ell_p/11$ , which is much smaller than the micron length scale estimated experimentally.

The non-local terms in the total stress arising from Frank-elastic effects are analogous to the *Korteweg stresses* suggested by Renardy [49] as a mechanism for stress selection. Korteweg stresses arise from an expansion of the free energy in gradients of  $\mathbf{W}$ , where the expansion coefficients can, most generally, be functions of  $\mathbf{W}$ . This leads to additional terms in the total stress tensor  $\sigma$  that are non-linear in  $\mathbf{W}$  and its gradients:

$$\sigma = \dots + \Psi(\mathbf{W}) : \frac{\delta F}{\delta \mathbf{W}} + \Xi(\mathbf{W}) : \nabla_\mu \frac{\delta F}{\delta \nabla_\mu \mathbf{W}}, \quad (7)$$

where  $F$  is a free energy functional and the fourth rank tensors  $\Xi$  and  $\Psi$  depend on the formulation of the model.

Non-locality can also arise from long-range hydrodynamic coupling between polymer segments [18, 28]. Jin et al. [50] demonstrated that this non-locality enters as a so-called *curvature viscosity*  $\kappa$ , in addition to the usual viscosity, and has the form  $-2\kappa \nabla^2 \mathbf{D}/\tau$  in the dynamics for the polymeric contribution to the stress (Equation [d], Table 1). In steady state this gives a contribution to the total stress of the form  $-2\kappa \nabla^2 \mathbf{D}$ , as proposed by [28]. Jin et al. [50] estimated this term as

$$\kappa \simeq \mathcal{N} \eta_s a_m^2, \quad (8)$$

where  $\eta_s$  is the solvent viscosity,  $a_m$  is a molecular size and  $\mathcal{N}$  is a numerical pre-factor. In this case the interfacial width is given by  $\ell = \sqrt{\kappa/\eta_s}$ .

The d-JS model has been coupled to concentration degrees of freedom in several different guises [29, 30, 51–55], as has the Rolie-Poly model [32]. These models have non-local effects due to coupling of stress gradients to solvent or polymer concentrations [56].

There have been no simulations that we are aware of that study the interface between shear bands in polymeric or micellar fluids. Germano and Schmid [57] studied shear banding in nematic liquid crystals using molecular dynamics simulations of ellipsoidal particles, where the equilibrium interface width is set by Frank elasticity and is fairly well-understood. Micellar systems are still too complex to simulate large enough systems that can shear band; Mohagheghi and Khomami [58] observed transient shear banding in dissipative particle dynamics simulations of bead-spring polymers, but did not study the apparent diffusive or interfacial effects.

## 2.2. Results From Non-local Models

### 2.2.1. Stress Selection

Non-locality in the form of a diffusive term in the microstructure dynamics can successfully model shear-banding behavior. The steady-state shear-banding configuration becomes a spatial

differential equation that describes the shear-banding profile, for given imposed conditions, of the form [1, 15]

$$\sigma_{xy} \equiv \sigma = \eta \dot{\gamma} + \tau \Phi_{xy}(\Sigma, \kappa)_{xy} \quad (9a)$$

$$\Sigma - \partial_t \Sigma = -\frac{1}{\tau} \Sigma + \Phi(\Sigma, \kappa) + \mathcal{D}\nabla^2 \Sigma, \quad (9b)$$

where  $\sigma$  is the uniform total shear stress that satisfies  $\nabla \cdot \sigma = 0$ . In the second equation the time derivative has been removed from the covariant derivative to lead to a steady-state condition (see **Table 1**). To solve this differential equation one requires boundary conditions. Many authors have assumed a so-called “zero flux” condition  $\hat{\mathbf{n}} \cdot \nabla \Sigma = 0$ , where  $\hat{\mathbf{n}}$  denotes the surface normal [15, 29]. There is no specific justification for such a boundary condition, since there is no specific conservation law on a “stress flux” governed solely by the polymer; the diffusive contribution derived by El-Kareh and Leal [40] is an exception to this.

In the limit of an infinite system undergoing shear flow between parallel plates the specific boundary conditions do not play an important role, and one finds that a shear-banding state is selected at a unique value of the total shear stress  $\sigma_{xy} = \sigma^*$  in the non-monotonic region of the constitutive curve [15, 18]. For other values of the stress the interface propagates until all of the fluid has been converted to either the high or low viscosity state. The interface has a width  $\ell \simeq \mathcal{D}\tau$ , and the infinite system limit corresponds to  $L \gg \ell$ . For imposed average shear rates in the non-monotonic part of  $\sigma(\dot{\gamma})$  shear bands will develop to satisfy Equation (1), and select the stress  $\sigma^*$ . One then finds a stress plateau that extends from the low shear rate to high shear rate branch between coexisting shear rates  $\dot{\gamma}_1$  and  $\dot{\gamma}_2$ . For non-uniform stresses, which occurs for virtually all practical geometries (cylindrical Couette flow, cone and plate flow, pressure driven flows) the interface will migrate to the position within the cell at which the local total shear stress  $\sigma_{xy}(y) = \sigma^*$  [15, 33]. In rheology experiments this leads to a measured average shear stress that has a slope, rather than a flat plateau, with a slope increasing with the stress gradient imposed by the flow geometry. This feature has been verified in cylindrical Couette flow [59, e.g.,]. In practice band formation develops via an instability or nucleation of an inhomogeneous shear rate profile [14, 28, 30, 60], which then develops into two (or sometimes more) shear bands, followed by migration of the shear band to the position in the shear cell at which the stress is equal to the selected stress [61].

### 2.2.2. Finite Size and Boundary Effects

For imposed average shear rates close to either  $\dot{\gamma}_1$  or  $\dot{\gamma}_2$  one of the shear bands will be very small; when the shear band size  $L_i \simeq |(\dot{\gamma} - \dot{\gamma}_i)/(\dot{\gamma}_1 - \dot{\gamma}_2)|$  is of order the interfacial width  $\ell$ , the shear band ceases to be well-defined, and the polymer stress will vary more or less smoothly from the edge of the wider shear band to the wall. This is predicted to give departures from the stress plateau at its extremities, by typically increasing (low shear branch) or decreasing (high shear rate branch) the stress  $\sigma_{xy}$  relative to  $\sigma^*$  [15]. For small systems  $L \gtrsim \ell$  the stress should

depart more readily from  $\sigma^*$ , and eventually one expects to suppress banding completely for  $\ell > L$ .

### 2.2.3. Different Boundary Conditions

There have been limited attempts to generalize the no-flux boundary condition  $\hat{\mathbf{n}} \cdot \nabla \Sigma = 0$ . Adams et al. [41] introduced a generalized boundary condition inspired by the anchoring condition in liquid crystals,

$$\mathcal{D}\tau \hat{\mathbf{n}} \cdot \nabla \Sigma + \omega_a (\Sigma - \Sigma_0) = 0. \quad (10)$$

The first term is a surface partner to the bulk diffusive term, and represents the surface contribution to the molecular torques that control the dynamics of the microstructure. The second term represents surface-specific interactions, controlled by an anchoring energy  $\omega_a$  and a preferred surface value for the stress  $\Sigma_0$ . For polymer liquid crystals or charged polymers the wall chemistry will influence the preferred conformational state at the wall. For uncharged flexible polymers [62] showed that steric exclusion should lead to an oblate polymer conformation at the wall.

The limit of weak anchoring  $\omega_a \rightarrow 0$  reduces to the traditional no-flux boundary condition, while the wall will typically enforce surface stress with the symmetry of the wall (such as an oblate polymer conformation near the surface). Depending on the strength and direction of alignment one or the other of the shear bands (which have different directions and degrees of alignment) will be preferred near the walls. A preferred wall alignment (or misalignment) can also reduce (or increase) the degree of hysteresis found during increasing shear rate ramps, since the material near an aligning wall is closer in character to the high shear rate band [41]. Rossi et al. [54] studied the effects of similar boundary conditions on a two-fluid version of the d-JS model, and found standard shear banding with hysteresis at either side of the stress plateau for zero imposed gradient ( $\omega_a \rightarrow 0$ ); and hysteretic behavior at the high shear rate side of the stress plateau (where the molecules are also better aligned in bulk) when the walls specify alignment, analogous to  $\omega_a \rightarrow \infty$ .

An outstanding experimental challenge is to impose different known boundary conditions on the polymer or micelle degrees of freedom, and measure the resulting effects on shear banding. These wall effects are expected to be more important in confined geometries, where the “anchoring length”  $\xi = \mathcal{D}\tau/\omega_a$  competes with the interfacial width  $\ell$ .

## 3. EXPERIMENTAL EVIDENCE FOR NON-LOCAL TERMS

Experimental attempts to determine orders of magnitude of non-local terms in polymeric fluids have exclusively focused on shear-banding (semi-dilute and concentrated) wormlike micelles. The characteristic length scale  $\ell$  has been estimated in both in macro- and micro-flows using various experimental techniques, either global (rheology) or local (velocimetry, optical visualizations), as summarized in **Table 2**. Essentially two types of experimental approaches have been used. The first one is based on the fact that the diffusive term, even vanishingly small is expected to

**TABLE 2** | Summary of measurements of the interface length  $\ell$ , based on different techniques in channels flow (C) or Taylor Couette flow (TC) with a gap size  $L$ .

Reference	System	Method	Cell	T/°C	D/(m <sup>2</sup> /s)	$\ell/\mu\text{m}$	Notes
Radulescu et al. [61]	0.3M CTAB, 1.79M NaNO <sub>3</sub>	Interface travel	TC	30	$7.2 \cdot 10^{-14}$	0.111	Fit to d-JS model. Underestimated $\mathcal{D}$ , $\ell$
	0.3M CTAB, 0.405M NaNO <sub>3</sub>	Interface travel	TC	30	$6.1 \cdot 10^{-14}$	0.1	See Fardin et al. [21].
	0.3M CTAB, 0.0M KBr	Interface travel	TC	34	$1.2 \cdot 10^{-14}$	0.044	
Masselon et al. [19]	6% CpCl and NaSal, [Sal]/[CpCl] = 0.5, 0.5M Brine	Flow profiles	C	22	$7.0 \cdot 10^{-12}$	3 – 22	Fit to simple scalar model.
	0.3M CTAB, 0.405M NaNO <sub>3</sub> %	Flow profiles	C	25	$6.7 \cdot 10^{-12}$	3 – 8	Fit to simple scalar model.
Masselon et al. [20] <sup>a</sup>	6% CpCl and NaSal, [Sal]/[CpCl] = 0.5, 0.5M Brine	Flow profiles	C	22	$2.9 \cdot 10^{-10}$	9	Fit to simple scalar model.
Fardin et al. [21]	0.3M CTAB, 0.405M NaNO <sub>3</sub>	Interface travel	TC	28	$10^{-11} - 10^{-10}$	1 – 6	Corrected [61]. Fit to d-JS and d-Giesekus models. Found $\mathcal{D} = \mathcal{D}(\dot{\gamma})$ .
Mohammadigoushki and Muller [63]	0.3M CTAB, 0.4M NaNO <sub>3</sub>	Interface travel and Superposition Rheology	TC	25 30 35	$10^{-12} - 10^{-8}$	1 – 20	Found $\mathcal{D} \sim L^3$ and $\ell$ independent of $T$ .
	8% CpCl and NaSal, [Sal]/[CpCl] = 0.5, 0.5M Brine	Superposition Rheology	TC	21	$10^{-12} - 10^{-11}$	1 – 4	Found $\mathcal{D} \sim L^3$ .
Helgeson et al. [64]	490 mM CTAB in D <sub>2</sub> O	Flow profiles	TC	32	$0.9 \cdot 10^{-8}$	13	Fit to d-Giesekus model.
Ballesta et al. [65]	8% CpCl and NaSal, [Sal]/[CpCl] = 0.5, 0.5M Brine	Interface travel and Superposition Rheology	TC	21	$10^{-12} - 10^{-11}$	1 – 10	Fit to d-JS.

<sup>a</sup>Masselon et al. [20] calculated an asymmetric interfacial profile, with lengths in either side determined by  $\ell_i = \sqrt{\kappa/\eta_i}$  (see Equation 11), where  $\eta_i$  is the viscosity in either band. The two half-widths found were  $\ell_1 \simeq 8\mu\text{m}$ ,  $\ell_2 \simeq 1\mu\text{m}$ , giving a net width of  $\ell \simeq 9\mu\text{m}$ .

control the stage of slow migration of the interface between bands toward its final stationary position [33]. The second one is based on direct comparison of the flow profiles (i.e., the velocity field) with predictions of non-monotonic constitutive models including spatial gradient terms (see **Table 1**).

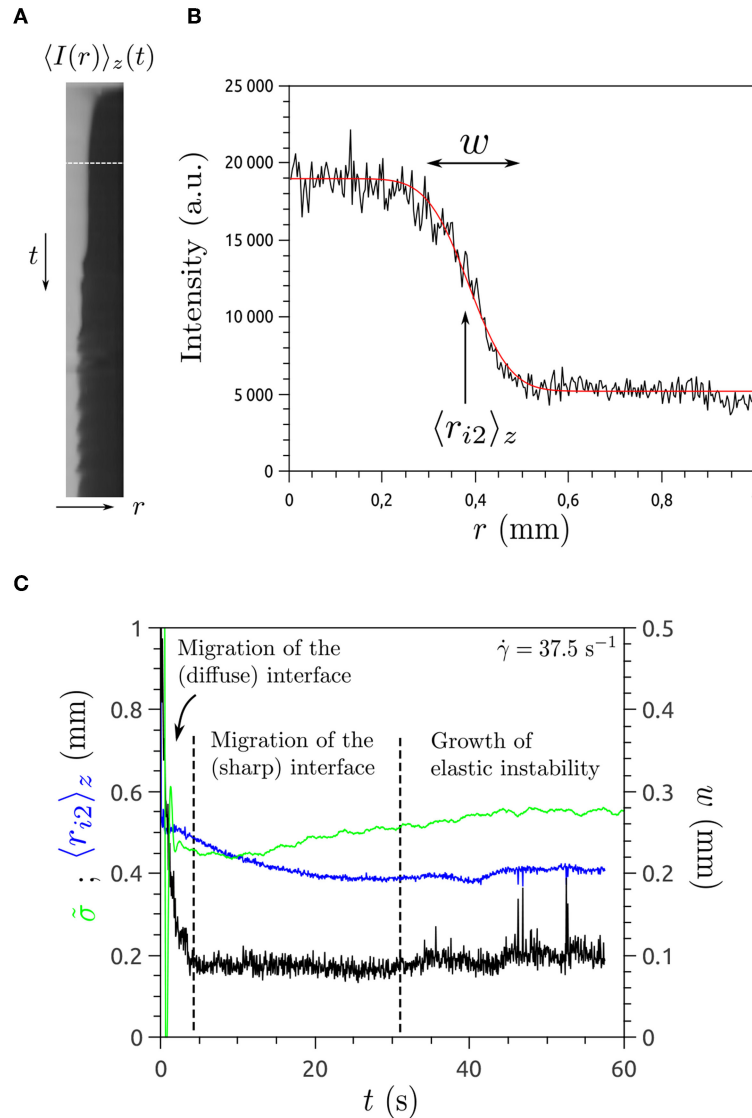
### 3.1. Interface Migration

In shear-banding wormlike micelles, the shear stress response following a step shear rate exhibits transient features that are intimately related to the dynamics of the interface between bands (**Figure 2**). Successive relaxation regimes over well-separated time scales are commonly observed [66]. The last regime, which precedes steady state, is expected to be related to the migration of the interface that separates the shear bands. It is predicted to be the slowest relaxation and to be controlled by stress diffusive terms [61]. It starts when the interface between stable bands forms at a position corresponding to a stress value above or below the plateau stress and adopts a fully sharp profile. As the shear stress  $\sigma$  is different from the plateau value  $\sigma^*$ , the front between bands has a non-zero velocity and propagates over a short distance to reach its final equilibrium position ( $\sigma = \sigma^*$ ) where its velocity becomes equal to zero. For a general non-local and non-monotonic constitutive equation of the reaction-diffusion type [67] the interface position during this stage was shown to follow, at first order, a single exponential evolution as a function of time. The characteristic

time scale (or equivalently the characteristic velocity) associated with this slow migration process was found to depend on the flow geometry, the stress diffusion coefficient  $\mathcal{D}$ , and the local constitutive model. Hence, from the knowledge of the migration time scale (or the front velocity) and within the framework of a given constitutive model, the diffusion coefficient and the corresponding length scale  $\ell$  can be computed. In practice, the effect of non-local terms on the transient evolution toward the steady shear-banded state has been mostly investigated in the framework of the d-JS model [61]. Predictions with the d-Giesekus model have also been tested [21] and a similar approach has been recently developed in the framework of the VCM model [68].

Different experimental strategies have been implemented to access the slow interface migration process, namely transient rheology [61], direct visualizations [21, 63], ultrasonic velocimetry [65], and superposition rheology [63, 65], all performed on semi-dilute wormlike micelles sheared in a Taylor-Couette (TC) device. In all of these cases the diffusion coefficient was computed in the framework of the d-JS model.

The first estimate of the magnitude of non-local terms in shear-banding wormlike micelles was inferred from the transient shear response following a step shear rate between two banded states [61]: from the characteristic time scale of the longest stage preceding steady state, a stress diffusion coefficient  $\mathcal{D} \sim$



**FIGURE 2** | Sketch of measurement of interface properties during stress relaxation after strain rate step from a sample initially at rest. **(A)** Space-time plot showing shear band migration after a step, where the white band signifies turbidity in light transmission. **(B)** Spatial profile across shear band along the white line in **(A)** showing a well-formed interface. **(C)** Stress relaxation showing the region where a well-formed band migrates, from which the diffusion constant was inferred by fitting the relaxation to the predictions of the d-JS model. Here  $\langle r_{i2} \rangle_z$  is the position of the interface between band,  $w$  is its apparent width, and  $\bar{\sigma}$  is the dimensionless shear stress. Adapted with permissions from Fardin et al. [21], copyright by American Institute of Physics.

$10^{-14} \text{ m}^2 \text{ s}^{-1}$  was computed, leading to diffusion length scale or interface width  $\ell$  around tens of nanometers, i.e., compatible with the mesh size of the micellar network. However, more recent experiments showed that the last and slowest regime preceding steady state in the transient stress relaxation of shear-banding wormlike micelles is not related to interface migration but to the subsequent development of an elastic instability [69], which is ubiquitous in these systems [2, 70]. The migration stage of interest occurs before the elastic instability and spreads over a shorter time scale. Consequently, the order of magnitude of the non-local terms established in the pioneering study by [61] was

greatly underestimated. The original study was recently revisited using optical visualization of the interface between bands [21, 63]. By tracking the interface position as a function of time, the relevant migration stage has been identified. The corresponding time scale led to stress a diffusion coefficient in the range  $\mathcal{D} \simeq 10^{-12} - 10^{-8} \text{ m}^2 \text{ s}^{-1}$  and corresponding diffusive lengths (or interface widths)  $\ell \simeq 1 - 20 \mu\text{m}$  (see **Table 2**).

Mohammadigoushki and Muller [63] found that the stress diffusion coefficient increases linearly with increasing temperature, leading to a corresponding length scale  $\ell$  independent of  $T$ . They also found a monotonic increase

of  $\mathcal{D}$  with the gap size  $L$  as  $\mathcal{D} \sim L^3$ , for a given fixed curvature ratio (inner to outer radii), thus ensuring the same percentage stress drop across the gap [63]. This dependence  $\mathcal{D} \sim L^3$  cannot be understood from current theory. Finally, Fardin et al. [21] found a dependence of the migration time scale on the applied shear rate, also suggesting that a given micellar system is not characterized by a single value of  $\mathcal{D}$ . Assuming that the relaxation time of the system does not vary with  $\dot{\gamma}$ ,  $\ell$  was thus found to decrease with increasing  $\dot{\gamma}$ . Note that such a dependence on the shear rate was not observed by Mohammadigoushki and Muller [63]. This discrepancy may arise from insufficient spatial resolution in the experiments performed by Mohammadigoushki and Muller [63], a drawback that also limited the data from Fardin and Lerouge [71].

Non-local terms were also probed using parallel superposition rheology, which consists in adding a small-amplitude oscillatory shear parallel to a main steady shear [63, 65]. In that case, the stress diffusion coefficient was inferred from the velocity of the interface during the migration stage, by comparing with the d-JS model. The interface velocity was deduced from fitting the imaginary part of the complex viscosity within the framework of a two-fluid phenomenological model [65] combined with the reaction-diffusion model proposed by Radulescu et al. [67]. This method of determination of the interface velocity has been validated using ultrasonic velocimetry where the interface position was deduced from tracking the crossover between the two shear bands in the velocity profiles [65]. Stress diffusion coefficients and diffusive length were found in the range  $\mathcal{D} \simeq 10^{-12}$ - $10^{-11}$  m<sup>2</sup>s<sup>-1</sup> and  $\ell \simeq 1$ - $10 \mu\text{m}$ , respectively.

### 3.2. Flow Profiles

The diffusive terms have also been estimated through direct comparison between measured velocity profiles in the banding regime and predictions of non-local models. Helgeson et al. [64] measured the velocity profile using particle image velocimetry (PIV) at a given applied shear rate in Taylor-Couette geometry, and fitted to the non-homogeneous form of the d-Giesekus model with the stress diffusion coefficient as the only adjustable parameter. From the value of  $\mathcal{D} \simeq 10^{-8}$  m<sup>2</sup>s<sup>-1</sup>, a stress diffusion length  $\ell \simeq 13 \mu\text{m}$  was inferred. Recently, a model-free experimental procedure was developed to distinguish shear banding from strong shear thinning using high-resolution PIV [72]. An appropriate statistical method was used to smooth the steady-state experimental velocity profiles and calculate their local numerical derivatives. This procedure was tested on semi-dilute wormlike micelles systems. From the third derivative of a shear-banding velocity profile, an effective thickness of the transition zone between bands, which can be interpreted as an upper bound for the interface width, has been inferred. A stress diffusion coefficient  $\mathcal{D} \simeq 10^{-10}$  m<sup>2</sup>s<sup>-1</sup> giving  $\ell \simeq 20 \mu\text{m}$  was then deduced in the framework of the d-Giesekus model.

Another attempt to determine the order of magnitude of spatial gradient terms came from confined microfluidic flows in straight channel. Pressure-driven flows in channels have a linear shear stress gradient  $\sigma_{xy} = \sigma_w r/R$ , where  $\sigma_w$  is the wall stress and  $R$  is the half-width of the channel (or radius of a cylindrical

channel)<sup>2</sup>. A shear-banded state would typically have a thin and fast flowing band near the wall, and plug-like flow in the middle where the stress is much smaller. Hence the stress drop across the interface between shear bands is  $\delta\sigma_{xy} \simeq \ell\sigma_w/R$ . For small channels one expects a significant stress difference across the interface, so banding is expected to be influenced [33].

Experiments by Masselon et al. [19, 20] using  $\mu$ -PIV in straight rectangular microchannels measured the local velocity of a shear-banding fluid across the channel, and by correlating this with the known wall stress (obtained from the driving pressure) they could reconstruct the local stress  $\sigma_{\text{loc}}(y)$  as a function of shear rate  $\dot{\gamma}_{\text{loc}}(y)$  through the channel, as well as the velocity profile.  $\sigma_{\text{loc}}(\dot{\gamma}_{\text{loc}})$  should follow the flow curve (including the stress plateau) measured by bulk rheology. Masselon et al. [19] showed that for small channels ( $R \sim 60$ - $100 \mu\text{m}$ ) this procedure doesn't work; the bulk and local flow curves deviated significantly. Some of this can be attributed to wall slip, and some is not well-accounted for by existing models. A simple model was devised to address this, based on a scalar version of Dhont's curvature stress:

$$\sigma_w \frac{y}{R} = \sigma_h(\dot{\gamma}) - \kappa \frac{d^2 \dot{\gamma}}{dy^2}, \quad (11)$$

where  $\kappa$  is the curvature-stress coefficient of Dhont (see **Table 1**). To fit this model to the measured velocity profiles a constitutive model  $\sigma_h(\dot{\gamma})$  was used based on bulk rheology, and a specific boundary condition  $\dot{\gamma}(y=R) = \dot{\gamma}_{w,\text{exp}}$  was applied, where the experimentally determined velocity gradient at the wall  $\dot{\gamma}_{w,\text{exp}}$  was used. This allowed for an estimate of  $\kappa$ , as well as a successful fit to the measured local rheology and the velocity profile. From a large set of data obtained by systematically changing the imposed pressure drop, the level of confinement, and the boundary conditions for different semi-dilute shear-banding wormlike micelles, the correlation length  $\ell = \sqrt{\kappa/\eta(\dot{\gamma})}$  was found to vary along the stress plateau from  $\ell \simeq 1$ - $20 \mu\text{m}$  [19, 20]. Note that this work has some unsatisfactory points: wall slip was put in "by hand" rather than emerging from a detailed stress balance at the wall, and a scalar theory was used, rather than also incorporating normal stresses.

## 4. BRIEF SUMMARY

Several experimental methods used in combination with *ad-hoc* constitutive models have estimated the magnitude of non-local terms in shear-banding wormlike micelles, to thus determine an interfacial length  $\ell$  of order a few microns, which is larger than the typical mesh size of the micellar network, and thus consistent with the models that depend on coarse-grained field, such as the average polymer conformation or stress. However, many challenges remain:

1. The diffusive length  $\ell$  is not related in an obvious way to mesoscopic length scales of the quiescent samples. Systems of various compositions have been tested, mostly semi-dilute systems, at various concentrations (ranging between

<sup>2</sup> $x$  and  $y$  refer to as the flow and flow gradient directions, respectively.



- 6 and 11% wt). Whatever the experimental technique and the theoretical framework used, the emerging length scale is still around 1–20 microns. In all the systems investigated, the high shear rate band appears slightly turbid, suggesting micron scale concentration fluctuations. Such fluctuations might explain the order of magnitude of  $\ell$  but more systematic studies are required to fully understand the connection between  $\ell$  and the microstructure of the micellar systems.
- In some cases (e.g., Fardin et al. [21]) the observed length scale  $\ell$  does not seem to have a unique value but depends on the average imposed shear rates or the width of the shear band. This behavior is not captured by phenomenological models like the d-JS or d-Giesekus models, but conceivably could be taken into account by more complex fluidity models where the relaxation time has more complex dynamics [73]; or with non-linear diffusive terms in which  $\mathcal{D}$  is replaced by a function  $\mathcal{D}(\kappa, \Sigma)$ .
  - No theory simultaneously treats boundary conditions on the polymeric degrees of freedom, shear banding, and wall slip consistently, despite progress on separate aspects of these issues. An ideal scenario would be to combine molecular theories of polymers slip [74, 75] with shear-banding calculations in which the wall boundary condition

has been generalized to include the effects of the wall on polymer conformation tensor [41].

- It would be very useful to have an experimental method for measuring the actual boundary conditions of the structural tensor at the wall (e.g., using Raman scattering, evanescent wave methods, or other techniques).

In this review we have limited ourselves to diffusion lengths extracted from non-local effects in wormlike micellar systems; non-local rheology has been shown to be important in other systems, notably dense yielding materials, such as emulsions and pastes [7]. We have not attempted to review these together, although there are certainly many parallels between the different classes of systems.

## AUTHOR CONTRIBUTIONS

SL and PO conceived and wrote the manuscript.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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