



Using *Caenorhabditis elegans* to Model Therapeutic Interventions of Neurodegenerative Diseases Targeting Microbe-Host Interactions

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Emerging evidence from both clinical studies and animal models indicates the importance of the interaction between the gut microbiome and the brain in the pathogenesis of neurodegenerative diseases (NDs). Although how microbes modulate neurodegeneration is still mostly unclear, recent studies have started to probe into the mechanisms for the communication between microbes and hosts in NDs. In this review, we highlight the advantages of using *Caenorhabditis elegans* (*C. elegans*) to disentangle the microbe-host interaction that regulates neurodegeneration. We summarize the microbial pro- and anti-neurodegenerative factors identified using the *C. elegans* ND models and the effects of many are confirmed in mouse models. Specifically, we focused on the role of bacterial amyloid proteins, such as curli, in promoting proteotoxicity and neurodegeneration by cross-seeding the aggregation of endogenous ND-related proteins, such as α -synuclein. Targeting bacterial amyloid production may serve as a novel therapeutic strategy for treating NDs, and several compounds, such as epigallocatechin-3-gallate (EGCG), were shown to suppress neurodegeneration at least partly by inhibiting curli production. Because bacterial amyloid fibrils contribute to biofilm formation, inhibition of amyloid production often leads to the disruption of biofilms. Interestingly, from a list of 59 compounds that showed neuroprotective effects in *C. elegans* and mouse ND models, we found that about half of them are known to inhibit bacterial growth or biofilm formation, suggesting a strong correlation between the neuroprotective and antibiofilm activities. Whether these potential therapeutics indeed protect neurons from proteotoxicity by inhibiting the cross-seeding between bacterial and human amyloid proteins awaits further investigations. Finally, we propose to screen the long list of antibiofilm agents, both FDA-approved drugs and novel compounds, for their neuroprotective effects and develop new pharmaceuticals that target the gut microbiome for the treatment of NDs. To this end, the *C. elegans* ND models can serve as a platform for fast, high-throughput, and low-cost drug screens that target the microbe-host interaction in NDs.

Keywords: neurodegenerative diseases, *Caenorhabditis elegans*, gut microbiome, curli fibers biofilm, *csgA* gene, Parkinson's disease, disease modeling, microbe-host interaction

INTRODUCTION

Neurodegenerative diseases (NDs), such as Alzheimer's disease (AD), Parkinson's disease (PD), Huntington's disease (HD), and Amyotrophic Lateral Sclerosis (ALS), are characterized at the cellular level by the aggregation of misfolded proteins into β -sheet-rich amyloid deposits in neurons, the failure of cellular proteostasis machinery to clear out the aggregates, and mitochondrial dysfunction and energy crisis that eventually lead to neuronal death (Martin, 2012). Different types of NDs involve distinct misfolded proteins, which can not only self-propagate in a prion-like fashion but also recruit other types of proteins and convert them to misfolded conformers in a process called cross-seeding (e.g., A β cross-seeds the aggregation of α -synuclein) (Lim, 2019). The latter may explain the co-occurrence of multiple NDs in the same patient. Although great efforts have been devoted to developing therapeutics that can remove existing protein aggregates or prevent the formation of new ones, almost no drugs showed success in clinical trials for the treatment of NDs. Such failure suggests that our understanding of ND pathogenesis is likely incomplete, and new ideas are needed for therapeutic interventions.

One of such new ideas in the past decade came from the realization that intestinal bacteria may be a crucial predisposing factor that contributes to the development of ND through the "microbiota-gut-brain axis" (Peterson, 2020). ND patients have altered microbial composition in the gut compared to healthy individuals (Li C. et al., 2019). Gastrointestinal dysfunction and intestinal inflammation are positively correlated with an elevated risk of PD (Fasano et al., 2015; Chen et al., 2019), and infection with *Helicobacter pylori* has been associated with increased severity of PD (Tan et al., 2015; Huang HK. et al., 2018). Moreover, both clinical studies and laboratory research indicate that microbes and their metabolic products can cross the blood-brain barrier to cause chronic inflammation in the brain, which is an important risk factor for neurodegeneration in several NDs, such as AD (Cattaneo et al., 2017) and PD (Wang H. et al., 2020). Despite the emerging link between the gut microbiota and NDs, the development of therapeutics that target the microbe-host interaction in the treatment of NDs is still in its infancy, largely due to the limited mechanistic understanding of the communication between the microbiota and the host and the lack of high-throughput, physiologically relevant model systems to screen drug candidates for their therapeutic effects. In this review, we describe the use of *Caenorhabditis elegans* ND models to identify microbial components that affect neurodegeneration and to test chemical compounds for their potential effects in inhibiting neurodegeneration. Specifically, by closely examining the literature, we generated a comprehensive list of compounds that showed both neuroprotective effects in *C. elegans* ND models (oftentimes confirmed in mouse models) and inhibitory effects on bacterial biofilm formation. Our summary highlights the possibility of targeting the secretion of extracellular fibrous polymers (e.g., curli) by gut bacteria as a novel therapeutic strategy for NDs.

C. elegans ND Models

Although mouse ND models provided crucial insights into the neurodegenerative symptoms associated with neuro- and systemic inflammation caused by abnormal microbiota or pathogenic bacteria infection, the complexity of the mammalian nervous system and microbiome often makes it difficult to pinpoint the key microbial proteins or metabolites that directly impact the host neurons in the progression of neurodegeneration. Thus, the use of simpler organisms, such as *C. elegans*, became instrumental in disentangling the microbe-host interaction in the context of NDs.

First, *C. elegans* uses bacteria as their natural diet, and alteration of the bacterial genomes has been shown to affect the development and behavior of *C. elegans* (Watson et al., 2014). Moreover, the microbiome of *C. elegans* in its natural habitats has been characterized and many of these bacteria can be cultured in the laboratory (Berg et al., 2016). Second, the presence of microorganisms can be effectively controlled using a bleaching method that kills all microbes but keeps the eggs unharmed, thus allowing the cultivation of *C. elegans* under monoxenic conditions or with a defined mixture of microbes. Third, the nematode is transparent, so that the interaction between microbes and fluorescently labeled neurons can be visualized in live animals. Fourth, many signaling molecules and pathways are evolutionarily conserved between *C. elegans* and humans, indicating that the disease mechanisms found in *C. elegans* could be conserved in humans. Fifth, *C. elegans* has a short life cycle and is highly amenable to genetic manipulations. Several transgenic *C. elegans* ND models have been generated and provided important insights into the genetic factors that contribute to NDs. These models were also used as drug testing platforms to evaluate the therapeutic potential of various chemical compounds and natural products. Since *C. elegans* ND models have been extensively reviewed elsewhere (Alexander et al., 2014; Van Pelt and Truttmann, 2020), we will only briefly mention the most widely used transgenic ND models and their use in drug discovery and focus more on the microbial factors of the diseases.

A general strategy to model human NDs in *C. elegans* is to express the human proteins that form the protein aggregates in *C. elegans* muscles or neurons and to observe the degenerative phenotypes and aggregation of fluorescently labeled proteins. For AD, the first *C. elegans* model expressed the human A β peptide in the body wall muscle and found an age-dependent paralysis phenotype (Link, 1995). This model, however, has several disadvantages. First, a signal peptide is cleaved in the process of A β peptide generation, which leads to the production of A β_{3-42} instead of the A β_{1-42} found in human patients (McCull et al., 2012). Second, the age-dependent paralysis phenotype came under scrutiny as it is unclear whether the phenotype is a result of A β toxicity or intrinsic aging. To overcome these problems, other *C. elegans* AD models were constructed to express full-length human A β peptides under the control of a temperature-sensitive mRNA surveillance system that induces A β production after heat shock (Link et al., 2003; Hassan et al., 2009). These studies were able to observe an early-onset paralysis phenotype caused by A β toxicity in the muscle of young adult animals. To model the neuropathology of human AD more closely, later studies

expressed A β in *C. elegans* neurons using pan-neuronal promoters and found that these AD animals have a shorter lifespan, impaired associative learning, and a significant decrease in serotonin-stimulated egg-laying (Wu et al., 2006).

The microtubule-associated protein Tau, which forms the neurofibrillary tangles in AD, was also expressed in *C. elegans* to model AD. The expression of the human Tau (V337M) mutants under a pan-neuronal promoter recapitulated some of the key features of AD in *C. elegans*, including uncoordinated movement, accumulation of insoluble tau, and age-dependent neuronal degeneration and loss. Similarly, Miyasaka et al. (2005) established the second tau model by expressing Tau mutants in the mechanosensory neurons of *C. elegans*; this model showed accumulation of hyperphosphorylated tau, morphological alteration of these touch neurons, and a progressive decrease in their sensory functions. More recently, A β and Tau co-expression models were also generated in *C. elegans* and showed increased deficits in associative learning, enhanced neuronal loss, and caused specific transcriptomic changes, compared to the single transgenic models (Wang et al., 2018).

Similarly, for PD models, human α -synuclein was expressed in *C. elegans* body wall muscles or neurons. Pan-neuronal expression of α -synuclein (A53T) mutants but not the wild-type protein caused defects in locomotion and the loss of dopaminergic neurons, which recapitulated the major aspects of PD symptoms in humans. Using the *C. elegans* PD model, the Caldwell group identified genetic factors that affect α -synuclein-mediated proteotoxicity via genome-wide RNAi screen and uncovered the involvement of the endocytic pathway in ameliorating α -synuclein toxicity (Hamamichi et al., 2008; Kuwahara et al., 2008).

For HD, which is caused by the polyglutamine (polyQ) expansion in the human huntingtin protein (Htt), Htt fused with polyQ repeats of different lengths were expressed in the ASH sensory neurons, which mediate avoidance behaviors to chemo- and mechanosensory stimuli. The expression of Htt-Q150 led to weak neurotoxicity in ASH neurons, but the loss of a glutamine/proline-rich protein PQE-1 significantly enhanced polyQ repeats-induced neurodegeneration (Faber et al., 2002). Using the same model, later studies found that the loss of several histone deacetylases or mutations in H3K9 methyltransferases and H3K9 methylation readers also enhanced polyQ toxicity (Bates et al., 2006; Zheng et al., 2013). Pharmacological screens with the HD model identified the neuroprotective role of mithramycin (MTR), trichostatin A (TSA), and lithium chloride (LiCl) (Voisine et al., 2007).

ALS is characterized by progressive death of motor neurons and is associated with mutations in genes encoding the Cu/Zn superoxide dismutase 1 (SOD1), RNA-binding proteins TDP-43, and fused in sarcoma (FUS). Expression of SOD1 (G85R) mutants fused with GFP in *C. elegans* neurons resulted in the formation of insoluble SOD1 aggregates in the perinuclear region of motor neurons and strong locomotor defects (Wang et al., 2009). Similarly, human TDP-43 (A315T) mutants were expressed in *C. elegans* neurons and the loss of GABAergic motor neurons and the change in locomotion speed were used as phenotyping criteria to test compounds for their

neuroprotective effects against TDP-43-mediated toxicity (Boyd et al., 2014). Using the *C. elegans* ALS model, the Parker group screened more than 4000 FDA approved compounds and identified methylene blue, an aggregation inhibitor of the phenothiazine class, as a potent suppressor of mutant TDP-43 and FUS-induced neurotoxicity (Vaccaro et al., 2012b; Vaccaro et al., 2013; Therrien and Parker, 2014). Moreover, Kraemer and colleagues showed that inhibition of cell division cycle 7-related protein kinase (CDC7) by the small molecule inhibitor PHA767491 could reduce TDP-43 phosphorylation and prevent TDP-43-triggered neurodegeneration in *C. elegans* ALS models (Liachko et al., 2013).

Pro-Neurodegenerative Factors in Bacteria

Pioneering works from mouse models pointed out a pro-neurodegenerative role of the intestinal bacteria in PD animals. For example, antibiotic treatment ameliorates the pathophysiology of PD mice, and microbial recolonization after the treatment restored the PD symptoms (Sampson et al., 2016). Colonization of α -synuclein-overexpressing mice with the gut microbiota from PD patients exacerbated the physical impairments compared to transplantation of microbiota from healthy donors (Sampson et al., 2016). Metagenomic analysis of the fecal samples of PD patients revealed not only changes in gut bacterial composition (e.g., increased Lactobacillaceae and Akkermansiaceae, decreased *Faecalibacterium* and *Roseburia* (Barichella et al., 2019; Nishiwaki et al., 2020)), but also a decrease of total intestinal bacterial count compared to healthy controls (Hasegawa et al., 2015). Fecal microbiota transplantation (FMT) from healthy donors was able to alleviate the tremor and some gastrointestinal dysfunctions (e.g., constipation) in PD patients (Huang et al., 2019). Similarly, for AD, cognitive deficits, protein aggregation of A β and hyper-phosphorylation of tau, and synaptic plasticity were significantly improved after FMT in mouse models (Sun et al., 2019). Rapid improvement of cognitive functions in senior AD patients after FMT was reported in two independent clinical cases (Hazan, 2020; Park et al., 2021). Despite the promise, the application of FMT has its limitations due to safety concerns and the limited availability of donor microbiota. Targeted treatment of the gut microbiota in PD patients is still more desirable than the gross replacement of the microbial flora. Identification of pro-neurodegenerative factors in bacteria is the key to the development of such targeted therapy.

C. elegans ND models provide a powerful tool to systematically discover bacterial components that contribute to ND pathogenesis. Recently, using several *C. elegans* PD models, we screened the entire genome of *E. coli* to identify pro-neurodegenerative genes by feeding the *E. coli* single-gene knockout strains in the Keio library (Baba et al., 2006) individually to PD worms and searched for genes whose deletion led to alleviation of α -synuclein-induced locomotion defect and dopaminergic neuron death (Wang C. et al., 2021). From the 3,985 non-essential *E. coli* genes, we identified 38 pro-neurodegenerative genes, which fall into several genetic pathways including curli formation, lipopolysaccharide (LPS) production,

lysozyme inhibition, adenosylcobalamin synthesis, oxidative stress response, metabolism, and energy homeostasis. These results suggest that a diverse array of bacteria components could promote neurodegeneration in the host.

Among the bacterial pro-neurodegenerative factors, the curli amyloid fibril has a prominent function in promoting α -synuclein aggregation through cross-seeding. Curli fibril is formed by the polymerization of the major curli subunit CsgA with the help of the membrane-bound subunit CsgB. Both CsgA and α -synuclein are enriched in β -sheet structures, and our immunofluorescent study found that bacteria-secreted CsgA could enter *C. elegans* neurons and human neuroblastoma cells to seed the aggregation of α -synuclein (Wang C. et al., 2021). Although curli proteins from different bacterial species were known to cross-seed (Zhou et al., 2012), and purified CsgA was found to accelerate α -synuclein fibrilization *in vitro* (Sampson et al., 2020), our study provided strong evidence for *in vivo* cross-seeding between CsgA and α -synuclein in neurons. This cross-seeding appears to be bidirectional, since α -synuclein also facilitated the retention of CsgA in neurons. Removing *csgA* or *csgB* from the *E. coli* genome significantly reduced α -synuclein aggregation, rescued mitochondrial dysfunction and energy failure, and prevented the loss of dopaminergic neurons. In addition to promoting α -synuclein neurotoxicity in PD, curli also promoted the toxicity of A β , SOD1, and Htt-polyQ in *C. elegans* models of AD, ALS, and HD, respectively, likely through similar cross-seeding mechanisms (Wang C. et al., 2021). Thus, bacterial curli may have detrimental effects on a range of NDs.

The idea that amyloid proteins produced by the gut bacteria may cross-seed endogenous proteins, such as A β and α -synuclein, to promote neurodegeneration has been hypothesized before (Friedland, 2015) and independently validated in multiple ND models in recent studies. In addition to the *C. elegans* models, oral exposure to curli-producing *E. coli* enhanced α -synuclein deposition in the brain of aged rats (Chen et al., 2016); and colonizing germ-free mice with curli-producing *E. coli* exacerbated α -synuclein-induced motor impairment compared to colonization with mutant *E. coli* that did not produce curli (Sampson et al., 2020). Thus, the pro-neurodegenerative role of bacterial curli has been validated in multiple organisms. Targeting curli production in the gut may be a novel therapeutic approach to prevent or slow down the progression of NDs.

Besides bacterial amyloid proteins, microbial metabolites or small molecules could also promote host neurodegeneration. For example, Ray et al. (2014a), showed that an unidentified bacterial metabolite produced by *Streptomyces venezuelae* caused age- and dose-dependent neurodegeneration in *C. elegans* PD models and human SH-SY5Y neurons. This neurotoxic metabolite increased the level of ROS and damaged mitochondria, disrupted proteostasis, and enhanced the toxicity of aggregation-prone proteins in multiple *C. elegans* ND models (Martinez et al., 2015). Mechanistically, the metabolite acts upstream of the ubiquitin-proteasome system (UPS) and PINK (a PD-associated kinase) to regulate mitochondrial maintenance and autophagy; the well-known antioxidant glutathione (GSH) attenuated the metabolite-enhanced α -synuclein toxicity and proteasomal dysfunction (Martinez et al., 2015).

Anti-Neurodegenerative Effect of Microbes

In addition to the pro-neurodegenerative effects, studies have also found that certain bacteria and their metabolites could protect against protein aggregation and neurotoxicity. For example, the probiotic *Bacillus subtilis* inhibited α -synuclein aggregation and removed preformed aggregates in a *C. elegans* PD model (Goya et al., 2020). Interestingly, both dividing vegetative cells and environmentally resistant spores could inhibit α -synuclein aggregation but act through two distinct mechanisms: spores act *via* the PHA-4/Foxa dietary restriction pathways and vegetative cells *via* DAF-16/FOXO. Similarly, *Bacillus subtilis* also reduced A β -induced paralysis and cognitive defects and extended lifespan in a *C. elegans* AD model (Cogliati et al., 2020). The neuroprotective effect of *B. subtilis* may be mediated by beneficial gut-associated biofilm formation, the quorum-sensing peptide, and metabolites (e.g., nitric oxide). These results offer promises of using probiotics to prevent or delay neurodegeneration and suggest that altering microbial composition in the gut through nutraceutical interventions may have beneficial effects on NDs.

Some bacteria-derived compounds were shown to have anti-neurodegenerative effects. For example, mithramycin is produced by *Streptomyces plicatus* and is used as an antineoplastic drug to treat cancer by inhibiting RNA synthesis. Mithramycin is found to inhibit polyQ-mediated neuronal death in *C. elegans* HD models (Voisine et al., 2007) and to enhance motor performance and extend survival in a mouse HD model (Ferrante et al., 2004). Thus, bacteria-produced compounds, if able to cross the blood-brain barrier, may directly modulate neurodegeneration.

Microbes could also metabolize other nutrients or chemicals to produce neuroprotective effects. For example, Guo et al. (2020) found that water-soluble extracts of the herb *Peganum harmala* L. (wild rue) can be metabolized by *E. coli* OP50 (the laboratory diet for *C. elegans*) into oligosaccharides, which protected against polyQ-induced motility and fertility deficiency in *C. elegans* HD models.

Outside of the standard ND models, bacteria were also found to protect against neurotoxicity caused by leaky ion channels. Utilizing a neurotoxic allele of the mechanosensitive sodium channel to generate an ND model, Urrutia et al. (2020) found that certain bacteria species, including *E. coli* HT115, *Comamonas aquatica*, *Pseudomonas aeruginosa*, *Stenotrophomonas humi*, and *Bacillus megaterium* could protect neurons from leaky channel-induced degeneration. Interestingly, this neuroprotection is partially dependent on the GABA (γ -aminobutyric acid) produced by the bacteria. Since decreased GABA is associated with motor dysfunction in PD patients (Gong et al., 2018), microbe-derived GABA may also help alleviate motor defects in PD.

Neuroprotective Compounds That Inhibit Bacterial Growth and Biofilm Formation

Since bacteria can produce amyloid-forming proteins (e.g., curli) produced by intestinal *Enterobacteriaceae* (Bian et al., 2000) and SpaP produced by *Streptococcus mutans* in the oral cavity (Guo

et al., 2017)), which may enter neurons to cross-seed protein aggregation, one possible treatment or preventive measure of NDs would be to inhibit the production of amyloid fibril by the bacteria. To identify potential drug candidates that target this pathway, we compiled a list of 59 neuroprotective compounds that reduced neurotoxicity in *C. elegans* and mouse ND models and highlight the 34 compounds that also inhibited microbial growth or biofilm formation (**Table 1**; description of their neuroprotective effects are in **Supplementary Table S1**). Several compounds were also able to induce biofilm dispersal. Since the amyloid fibers are the major constituent of the extracellular matrix in biofilms, compounds that inhibit biofilm formation likely also reduce amyloid productions. Although the neuroprotective and antibiofilm effects of these compounds were mostly identified in separate studies, we attempt to make connections between these two seemingly independent effects and propose that these chemical agents may suppress neurodegeneration at least partly by inhibiting the microbial secretion of amyloid fibrils. Below, we list some examples of these potential therapeutic compounds based on their known effects on microorganisms.

Antibiotics

Several of the neuroprotective compounds are well-known antibiotics, including tetracycline, rifampicin, oligomycin, and bacitracin. As an example, tetracycline, the first glycylicycline antibiotic, inhibits protein synthesis by blocking the binding of aminoacyl tRNA to bacterial ribosomes and has been extensively used to treat infections of various microorganisms, including Gram-positive and Gram-negative bacteria, intracellular bacteria Chlamydiae, protozoan parasites, etc. (Chopra and Roberts, 2001). Interestingly, tetracycline was found to decrease A β aggregation and alleviate A β -induced paralysis phenotype and oxidative stress in *C. elegans* AD models (Diomedea et al., 2010). Similarly, Balducci et al. (2018) found that long-term treatment of Doxy, a second-generation tetracycline, reduced the level of A β oligomers (18-mers) and significantly restored memory in a mouse AD model. Surprisingly, even an acute treatment of Doxy was sufficient to improve memory formation.

Although the exact mechanism for the neuroprotective function of antibiotics, such as tetracycline, is still unclear, it is reasonable to suspect that they suppress neurodegeneration at least partly by inhibiting bacterial growth in the gut microbiome, given the significance of the microbiota-gut-brain-axis in NDs. Therefore, the FDA-approved antibiotics can be potentially repurposed to treat NDs.

Inhibitors of Bacterial Biofilm Formation

Many of the neuroprotective compounds were found to inhibit bacterial biofilm formation, suggesting a potential link between bacterial biofilm and neurodegeneration. For example, the polyphenol epigallocatechin-3-gallate (EGCG), which is a natural compound found in green tea extract, has been well-known for its effects in reducing oxidative stress, inhibiting protein aggregation, and protecting against neurodegeneration in PD and AD (Singh et al., 2016). At the same time, EGCG also has broad-spectrum effects in inhibiting biofilm formation (Serra

et al., 2016). It is, however, unclear whether these two functions are connected.

Our recent study disentangled these two functions by feeding PD *C. elegans* with bacteria pre-treated with EGCG (Wang C. et al., 2021). In this scenario, only the bacteria but not the neurons are treated by EGCG. We found that EGCG strongly inhibits curli production and biofilm formation in *E. coli* bacteria. Importantly, treating the bacteria alone with EGCG provides strong protection against α -synuclein-induced neurodegeneration, which is almost indistinguishable from the effects of treating both the bacteria and the PD animals. Therefore, the neuroprotective effects of EGCG may be largely due to its activities in inhibiting the curli expression and bacterial biofilm formation.

Another example came from the bioactive components of *Ginkgo biloba* extract. *G. biloba* has an extensive history of being used to treat dementia in traditional Chinese medicine. In *C. elegans* AD models, *G. biloba* extract and one of its components, ginkgolide A, was found to reduce A β oligomerization and deposition and inhibit A β -induced paralysis and chemotaxis defects (Wu et al., 2006). In a mouse AD model, the same extract also reduced A β toxicity, improved cognitive functions, and induced neurogenesis in the hippocampus (Tchanchou et al., 2007). These studies support the use of *G. biloba* extracts as neuroprotective agents. Interestingly, *G. biloba* extracts and ginkgolic acid could block biofilm formation in *E. coli* O157:H7, *Staphylococcus aureus*, *Salmonella* and *Listeria* and downregulate the expression of curli structural subunit *csgA* in *E. coli* K12 (Lee et al., 2014; Wu et al., 2016). Thus, just like EGCG, the natural products in *Ginkgo biloba* extract may also exert neuroprotective effects by inhibiting curli production in gut bacteria.

From our literature search, we found 27 compounds that inhibit both neurodegeneration and bacterial biofilm formation (compounds with asterisks in **Table 1**). The correlation between the two activities in these compounds deserves further investigation. We hypothesize that at least some of these compounds may suppress neurodegeneration by blocking the cross-seeding of the bacterial amyloid proteins with ND-associated aggregation-prone proteins. Nevertheless, we could not rule out the possibility that some compounds may exert neuroprotective effects through multiple mechanisms that also include the inhibition of ER stress and oxidative stress (see below).

Inhibitors of Fungal Biofilm Formation

Among the neuroprotective agents, a few have antifungal effects and could inhibit fungal biofilm formation. For example, clioquinol is an antifungal drug widely used to treat skin infections such as infected eczema and athlete's foot. Clioquinol inhibits *Candida albicans* biofilm formation in a dose-dependent manner by disrupting metal ion homeostasis (You et al., 2020). Unexpectedly, clioquinol was also found to promote the degradation of A β oligomers and rescue A β toxicity in a *C. elegans* AD model (Matlack et al., 2014). Similarly, clioquinol could reduce A β burden and reverse memory impairment in a mouse AD model (Grossi et al., 2009). These studies highlight the possibility, although not tested, that the

TABLE 1 | Neuroprotective compounds identified in *C. elegans* neurodegenerative disease models and confirmed in mouse models showed effects on microorganisms.

Compounds	Worm models		Mouse model		Known effects on the microorganism
	Disease	Reference	Disease	Reference	
Ginkgo biloba extract*	AD	Wu et al. (2006)	AD	Tchantchou et al. (2007)	Inhibit biofilm formation (Wu et al., 2016).
Caffeine*	AD	Dostal et al. (2010)	AD	Arendash et al. (2006); Eskelinen and Kivipelto. (2010)	Inhibit bacteria growth at high dose; inhibit biofilm formation and cause biofilm dispersal (Chakraborty et al., 2020; Sandlie et al., 1980).
Clioquinol	AD	Matlack et al. (2014)	AD	Grossi et al. (2009)	Inhibit fungal biofilm formation (You et al., 2018; You et al., 2020).
Curcumin*	AD	Alavez et al. (2011); Miyasaka et al. (2016)	AD	Lim et al. (2001) Begum et al. (2008)	Inhibit biofilm formation (Kali et al., 2016) and induce biofilm dispersal (Ding et al., 2017).
Ferulic acid*	AD	Wang et al. (2020b)	AD	Wang et al. (2021b)	Inhibit bacteria growth and inhibit biofilm formation (Borges et al., 2012; Takahashi et al., 2013); induce biofilm dispersal (Dasagrhandhi et al., 2018).
Fluoxetine*	AD	Keowkase et al. (2010b)	AD	Huang et al. (2018b)	Modulate bacterial gut colonization and inhibit biofilm formation (Fung et al., 2019; Pelling et al., 2019).
Galanthamine	AD	Xin et al. (2013)	AD	Bhattacharya et al. (2014)	N/A
Glycitein	AD	Gutierrez-Zepeda et al. (2005)		N/A	Among the antibacterial components of Doenjang extracts (Lalouckova et al., 2021)
JAY2-22-33	AD	Keowkase et a. (2010a)		N/A	N/A
JWB1-84-1	AD	Keowkase et al. (2010a)	AD	Sood et al. (2007)	N/A
Quercetin*	AD	Regitz et al. (2014)	PD	Ay et al. (2017)	Inhibit biofilm formation (Memariani et al., 2019).
Rifampicin*	AD	Lublin et al. (2011)	AD	Umeda et al. (2018)	Antibiotic; inhibit biofilm formation (Verma et al., 2021).
Tannic acid*	AD	Lublin et al. (2011)	AD	Takashi Mori (2012)	Inhibit bacterial growth and biofilm formation (Dong et al., 2018); induce biofilm dispersal (Siddiquia, 2019).
Tetracycline*	AD	Diomede et al. (2010)	AD	Balducci et al. (2018)	Antibiotic; inhibit biofilm formation (Stone et al., 2002).
Thioflavin T*	AD	Gamir-Morralla et al. (2019)	AD	Sarkar et al. (2015)	Inhibit biofilm formation (Bondia et al., 2021).
Acetylcorynoline	AD, PD	Fu et al. (2014)		N/A	N/A
Bacitracin*	AD, PD	Lehtonen et al. (2016); Lublin et al. (2011)	PD	Koutzoumis et al. (2020)	Antibiotic; inhibit biofilm formation (Zaidi et al., 2020).
EGCG*	AD, PD	Abbas and Wink. (2010); Wang et al. (2021a)	ALS, PD, AD	Dragicevic et al. (2011); Koh et al. (2006); Zhou et al. (2018)	Inhibit biofilm formation and induce biofilm dispersal (Serra et al., 2016)
Valproic acid	AD, PD	Evason et al. (2008); Kautu et al. (2013)	PD	Kidd and Schneider. (2011)	Inhibit fungal growth and fungal biofilm formation (Singh et al., 2021).
Acetaminophen*	PD	Chen et al. (2021); Locke et al. (2008); Lublin et al. (2011)		Zhao et al. (2017)	Inhibit biofilm formation (Abidi et al., 2019).
Losartan	PD	Chen et al. (2021)	PD	Chen et al. (2021)	N/A
Rifabutin*	PD	Chen et al. (2021)	PD	Chen et al. (2021)	Inhibit bacterial biofilm and infection (Doub et al., 2020).
Spermidine	AD, PD	Buttner et al. (2014); Yang et al. (2020)	FTLD	Wang et al. (2012)	Promote biofilm formation (Hobley et al., 2017; Thongbhubate et al., 2021).
Metformin*	AD, PD, HD	Ahmad and Ebert. (2017); Saewanee et al. (2021); Sanchis et al. (2019)	AD, HD, PD	Farr et al. (2019); Patil et al. (2014); Sanchis et al. (2019)	Inhibit bacterial biofilm and quorum sensing (Abbas et al., 2017).
Icariin and its derivative icariside II*	AD, HD	Cai et al. (2011)	AD	Li et al. (2019b)	Inhibit biofilm formation (Coenye et al., 2012).
PBT2	AD, HD	Cherny et al. (2012); McColl et al. (2012)	AD, HD	Cherny et al. (2012); Sedjahtera et al. (2018)	Inhibit polymyxin-resistance of Gram-negative pathogens (De Oliveira et al., 2020).
Apomorphine	PD	Mocko et al. (2010)	AD	Himeno et al. (2011)	N/A
Baicalin*	PD	Ma et al. (2021)	AD	Zhang et al. (2013)	Antimicrobial activity; inhibit biofilm formation (Luo et al., 2017).
Bromocriptine	PD	Mocko et al. (2010)	PD	Ogawa et al. (1994)	N/A
Betulin*	PD	Tsai et al. (2017)	AD	Cho et al. (2016)	Inhibit biofilm formation (Viszwapiya et al., 2016).
Indoline and its derivative GW5074	PD	Liu et al. (2011)	HD	Chin et al. (2004)	Inhibit gram-positive bacteria growth (Clement Opoku-Temeng, 2017).
Ginsenoside*	PD	Chalorak et al. (2021)	AD	Zhang et al. (2021)	Antibiofilm activity; induce biofilm dispersion (Cao et al., 2019).
Lisuride	PD	Braungart et al. (2004)	PD	Laloux et al. (2008)	N/A
LRRK2-IN1	PD	Yao et al. (2013)	PD	Chen et al. (2018)	N/A
P7C3	PD	De Jesus-Cortes et al. (2012)	PD	Gu et al. (2018)	N/A
Rottlerin*	PD	Braungart et al. (2004)	PD	Zhang et al. (2007)	Inhibit bacterial quorum sensing and biofilm formation (Suresh et al., 2021).
Sorafenib and its derivative*	PD	Liu et al. (2011)	PD	Zhang et al. (2017)	Inhibit biofilm formation (Cui et al., 2019).

(Continued on following page)

TABLE 1 | (Continued) Neuroprotective compounds identified in *C. elegans* neurodegenerative disease models and confirmed in mouse models showed effects on microorganisms.

Compounds	Worm models		Mouse model		Known effects on the microorganism
	Disease	Reference	Disease	Reference	
Tauroursodeoxycholic acid	PD	Ved et al. (2005)	PD	Cuevas et al. (2020)	N/A
TTT-3002	PD	Yao et al. (2013)		N/A	N/A
Celecoxib*	HD	Ching et al. (2011)	PD	Kaizaki et al. (2013)	Inhibit biofilm formation (Tzeng et al., 2020).
Lithium	HD	Voisine et al. (2007)	HD	Chiu et al. (2011)	Absorbed by biofilm polymer (Kurniawan, 2013).
Mithramycin	HD	Voisine et al. (2007)	HD	Ferrante et al. (2004)	Produced by bacteria (Pham et al., 2019).
ML346*	HD	Calamini et al. (2010)		N/A	Inhibit biofilm formation (Guan et al., 2022).
Oligomycin*	HD	Varma et al. (2007)		N/A	Antibiotic; clear established biofilm (Yamada et al., 2020).
Rotenone	HD	Varma et al. (2007)		Inden et al. (2011)	N/A
Salidroside*	HD	Xiao et al. (2014)	PD	Zhang et al. (2016)	Inhibit biofilm formation (Coenye et al., 2012).
Trichostatin A and other HDAC inhibitors	HD, PD	Bates et al. (2006); Voisine et al. (2007)	PD	Suo et al. (2015)	Inhibit fungal biofilm formation (Cécile Garnaud et al., 2016).
Azaperone or isoniazid	FTDP	McCormick et al. (2013)	FTDP	Crowe et al. (2020)	N/A
Perphenazine	FTDP	McCormick et al. (2013)		N/A	N/A
Trazodone	FTDP	McCormick et al. (2013)	FTDP	Halliday et al. (2017)	N/A
Zotepine	FTDP	McCormick et al. (2013)		N/A	Inhibit fungal biofilm formation (Siles et al., 2013).
Guanabenz	ALS	Vaccaro et al. (2013)	ALS	Vieira et al. (2015)	N/A
Propyl gallate*	ALS	Tauffenberger et al. (2013)	AD	Chan et al. (2016)	Inhibit biofilm formation (Kosuru et al., 2021).
Salubrinal	ALS	Vaccaro et al. (2013)	ALS	Saxena et al. (2009)	N/A
Trolox	ALS	Tauffenberger et al. (2013)	ALS	Rojas et al. (2015)	N/A
α -methyl- α -phenylsuccinimide	ALS	Wong et al. (2018)		N/A	N/A
Methylene blue*	ALS, FTDP	Fatouros et al. (2012); Vaccaro et al. (2012a); Vaccaro et al. (2012b)	FTDP	Hosokawa et al. (2012)	Visualize biofilm; inhibit biofilm formation; induce biofilm dispersal (Shaw et al., 2020; Wu et al., 2009).
PHA767491	ALS	Liachko et al. (2013)	ALS	Chung et al. (2020)	N/A
LDN-0130436	ALS	Boyd et al. (2014)		N/A	N/A

Asterisks (*) mark the compounds that could inhibit the bacterial biofilm formation. "N/A" means the effect of the compounds is not assessed.

neuroprotective effects of clioquinol may be connected to its activity in regulating metal ion metabolism and biofilm formation in the microbes.

Bacterial Biofilm, ER Stress, and Oxidative Stress in NDs

At the cellular level, the mechanisms of neurological damage in NDs involve protein aggregation, mitochondrial dysfunction, oxidative stress, calcium homeostasis dysfunction, and neuroinflammation (Jellinger, 2010). The loss of cellular homeostasis often leads to the activation of the endoplasmic reticulum (ER) stress-triggered unfolded protein response (UPR) pathway and the impairment of the nuclear factor erythroid 2-related factor 2 (Nrf2)-antioxidant response element (ARE) pathway, which play vital roles in ND pathogenesis (Branca et al., 2017; Ren et al., 2021).

ER stress is induced by disturbances in the structure and function of the ER with the accumulation of misfolded proteins and alterations in the calcium homeostasis. For example, tau aggregates trigger abnormal interactions between ER proteins and the essential components of ER-associated degradation (ERAD) in AD brains, leading to ER stress (Meier et al., 2015). Conversely, overexpression of *xbp-1*, a major regulator of UPR, alleviated ER stress and protected dopaminergic neurons from α -synuclein-induced neurotoxicity (Ray et al., 2014b). Intriguingly, pathogenic bacterial biofilm was also found to induce host ER

stress. For example, when forming host-associated biofilms, Group A Streptococcus (GAS), a human pathogen that causes a range of infections, could secrete streptolysins, which induce host ER-stress in both mammalian cells and an *in vivo* mouse model (Vajjala et al., 2019). Thus, inhibiting microbial biofilm formation may reduce ER stress and provide beneficial effects for neurons in ND patients. Indeed, many of the neuroprotective compounds (e.g., Salubrinal in **Table 1**) showed activities of both inhibiting biofilm formation and reducing ER stress.

Nrf2-ARE pathway, an indicator and regulator of oxidative stress, plays an important role in protecting neurons from degeneration in many NDs. Reduced Nrf2 levels were found in human AD and PD brains and in animal models of AD (Branca et al., 2017; Ramsey et al., 2007). Removing Nrf2 increased the levels of A β and phosphorylated tau and enhanced neurodegeneration in a mouse AD model (Branca et al., 2017; Rojo et al., 2018), whereas activating Nrf2 (by knocking down its negative regulator) led to the reduction in oxidative stress and neuroinflammation (Williamson et al., 2012). Several compounds in our list (e.g., metformin and caffeine in **Table 1**) were shown to pharmacologically activate Nrf2, induce the expression of antioxidant enzymes, and protect neurons against degeneration (Link et al., 2003; Dostal et al., 2010; Boettler et al., 2011; Cui et al., 2016; Saewanee et al., 2021). For example, in a *C. elegans* model of AD, caffeine induced the nuclear translocation of SKN-1 (the *C. elegans* homolog of Nrf2) and delayed A β -mediated paralysis

(Dostal et al., 2010). Given that these compounds also inhibit the formation of bacterial biofilms, it is unclear whether they suppress neurodegeneration by inhibiting cross-seeding or inducing antioxidative response or both. Reduced protein aggregation by the inhibition of cross-seeding may also facilitate the activation of the antioxidant Nrf2-ARE pathway.

Oxidative stress often exacerbates ER stress in NDs. During oxidative stress, the accumulation of reactive oxygen species (ROS) disrupts the redox-dependent protein folding process and thus increases the production of misfolded proteins, which further enhance ER stress and proteotoxicity in neurons. Alleviating both ER stress and oxidative stress provide synergistic benefit for the treatment of NDs. For example, curcumin, a polyphenol compound from the curry spice turmeric, possesses potent antioxidant and anti-UPR activities and could modulate multiple targets implicated in the pathogenesis of NDs (Lim et al., 2001; Begum et al., 2008). In fact, curcumin was shown to alleviate A β and tau-induced neurotoxicity and protein aggregation in *C. elegans* AD models (Alavez et al., 2011; Miyasaka et al., 2016).

Interestingly, curcumin shows anti-bacterial activity against a variety of infections when administrated together with antibiotics (Kali et al., 2016). Curcumin can inhibit biofilm formation, perturb bacterial membranes, disturb bacterial cell division, and alter gene expression patterns (Vaughn et al., 2017). Thus, although it is unclear whether the neuroprotective effect of curcumin relates to its bactericidal activity, this example raises the possibility of targeting bacterial biofilm to simultaneously reduce both ER stress and oxidative stress in NDs.

Discussion and Future Perspectives

The gut microbiome holds the promise of becoming the therapeutic target of NDs, which currently have no effective treatments. Understanding the molecular mechanisms by which intestinal bacteria modulate neurodegeneration is, however, challenging, given the complexity of the microbial composition in the gut and the difficulties of studying the effects of a single bacterial component in isolation in a well-controlled system. Therefore, the use of simple model organisms like *C. elegans* can provide unparalleled advantages in studying the communication between microbes and neurons in the context of NDs. As we have shown above, using a variety of *C. elegans* ND models, both pro- and anti-neurodegenerative factors can be identified from the bacteria, paving the way for a mechanistic understanding of how bacterial proteins and metabolites affect host neurodegeneration.

Nevertheless, the *C. elegans* ND models also have certain limitations compared to rodent models. For example, *C. elegans* lacks the complex immune system found in mammals. Although certain molecular pathways in innate immunity are conserved between *C. elegans* and humans (Ermolaeva and Schumacher, 2014), *C. elegans* has no specialized immune cells, no adaptive immunity, and no typical inflammatory response. Thus, it will be difficult to use *C. elegans* models to investigate the effects of the microbes in triggering neuroinflammation. Interestingly, *C. elegans* does have glia cells comparable to mammalian microglia, but their roles in neurodegeneration have not been studied. The absence of a

complex immune system in *C. elegans* ND models, however, simplifies the studies of microbe-neuron interaction and allows direct molecular interaction to be revealed.

In this review, we paid specific attention to bacterial amyloid proteins and biofilm formation as an important pro-neurodegenerative mechanism in microbes, given the cross-seeding between bacterial amyloid proteins (e.g., curli) and human endogenous aggregation-prone proteins (e.g., α -synuclein), both of which are enriched in β -sheet structures. Guided by this cross-seeding mechanism, we searched the literature to identify compounds that showed both neuroprotective effects in ND models and antibiofilm effects on microorganisms and raised the hypothesis that some of these therapeutic compounds may suppress neurodegeneration at least partly through inhibiting bacterial amyloid production (which leads to antibiofilm activities) and thus preventing cross-seeding.

Although direct evidence demonstrating the causal relationship between the antibiofilm and neuroprotective activities are still missing for most compounds except for a few (e.g., EGCG (Wang C. et al., 2021), 30 (51%) out of the 59 neuroprotective compounds we found have known effects of inhibiting bacterial growth or biofilm formation, suggesting that the correlation of these two activities is quite strong. The percentage may be even higher, given that the effects on microorganisms are not tested for many of these compounds. Although the list we compiled (Table 1) is in no way a complete list, we hope it could inspire fellow researchers to consider the alteration of gut microbiota as a possible pharmacological mechanism of neuroprotective agents or to develop drugs that specifically target the intestinal microbes for treating NDs.

In fact, previous works have identified a wide range of anti-biofilm agents including FDA-approved drugs (Gilbert-Girard et al., 2020) and novel compounds (Junker and Clardy, 2007; Paytubi et al., 2017). It will be of great interest to test their neuroprotective effects with the attempts of repurposing them for the treatment of human NDs in future research. Again, the *C. elegans* ND models could be instrumental for screening these compounds for potential anti-neurodegenerative activities, given the convenience of setting up fast and high-throughput drug screens using *C. elegans*. Moving forward, with a deeper understanding of the mechanisms underlying the microbiota-gut-brain interactions in NDs and more therapeutic candidates targeting the gut microbiome for ND treatment, we expect a potential paradigm shift in the research of ND pathogenesis and drug development.

AUTHOR CONTRIBUTIONS

CW and CZ wrote the draft and edited it. CW prepared the Table. CZ secured the funding and supervised the study. Both authors read and approved the manuscript.

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SUPPLEMENTARY MATERIAL

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