### Check for updates

## OPEN ACCESS

EDITED BY Yajie Sun, Huazhong University of Science and Technology, China

REVIEWED BY Corrado Spatola, University of Catania, Italy Rui Zhou, Huazhong University of Science and Technology, China

\*CORRESPONDENCE Shuanghu Yuan Wuanshuanghu@sina.com

<sup>†</sup>These authors have contributed equally to this work

RECEIVED 03 September 2024 ACCEPTED 03 December 2024 PUBLISHED 18 December 2024

#### CITATION

Wang K, Zhao J, Duan J, Feng C, Li Y, Li L and Yuan S (2024) Radiomic and dosimetric parameter-based nomogram predicts radiation esophagitis in patients with nonsmall cell lung cancer undergoing combined immunotherapy and radiotherapy. *Front. Oncol.* 14:1490348. doi: 10.3389/fonc.2024.1490348

#### COPYRIGHT

© 2024 Wang, Zhao, Duan, Feng, Li, Li and Yuan. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms. Radiomic and dosimetric parameter-based nomogram predicts radiation esophagitis in patients with non-small cell lung cancer undergoing combined immunotherapy and radiotherapy

Kang Wang<sup>1†</sup>, Junfeng Zhao<sup>1†</sup>, Jinghao Duan<sup>1</sup>, Changxing Feng<sup>1</sup>, Ying Li<sup>2</sup>, Li Li<sup>1,3,4</sup> and Shuanghu Yuan<sup>1,3,4</sup>\*

<sup>1</sup>Department of Radiation Oncology, Shandong Cancer Hospital and Institute, Shandong First Medical University, and Shandong Academy of Medical Sciences, Jinan, Shandong, China, <sup>2</sup>Department of Medical Oncology, Shandong Cancer Hospital and Institute, Shandong First Medical University, and Shandong Academy of Medical Sciences, Jinan, Shandong, China, <sup>3</sup>Department of Radiation Oncology, The First Affiliated Hospital of University of Science and Technology of China (USTC), Division of Life Sciences and Medicine, University of Science and Technology of China, Hefei, Anhui, China, <sup>4</sup>Department of Radiation Oncology, Anhui Provincial Cancer Hospital, Hefei, Anhui, China

**Background:** The combination of immune checkpoint inhibitors (ICIs) and radiotherapy (RT) may increase the risk of radiation esophagitis (RE). This study aimed to establish and validate a new nomogram to predict RE in patients with non-small cell lung cancer (NSCLC) undergoing immunochemotherapy followed by RT (ICI-RT).

**Methods:** The 102 eligible patients with NSCLC treated with ICI-RT were divided into training (n = 71) and validation (n = 31) cohorts. Clinicopathologic features, dosimetric parameters, inflammatory markers, and radiomic score (Rad-score) were included in the univariate logistic regression analysis, and factors with p < 0.05 in the univariate analysis were included in the multivariate logistic regression analysis. Factors with significant predictive values were obtained and used for developing the nomogram. The area under the receiver operating characteristic curve (AUC), calibration curve, and decision curve were used to validate the model.

**Results:** A total of 38 (37.3%) patients developed RE. Univariate and multivariate analyses identified the following independent predictors of RE: a maximum dose delivered to the esophagus >58.4 Gy, a mean esophagus dose >13.3 Gy, and the Rad-score. The AUCs of the nomogram in the training and validation cohorts were 0.918 (95% confidence interval [CI]: 0.824–1.000) and 0.833 (95% CI:

0.697–0.969), respectively, indicating good discrimination. The calibration curves showed good agreement between the predicted occurrence of RE and the actual observations. The decision curve showed a satisfactory positive net benefit at most threshold probabilities, suggesting a good clinical effect.

**Conclusions:** We developed and validated a nomogram based on imaging histological features and RT dosimetric parameters. This model can effectively predict the occurrence of RE in patients with NSCLC treated using ICI-RT.

#### KEYWORDS

radiation esophagitis, non-small-cell lung cancer, radiomics, radiotherapy, immunotherapy

## **1** Introduction

Lung cancer is the leading cause of cancer-related death globally, with non-small cell lung cancer (NSCLC) accounting for approximately 85% of all lung cancers. Approximately one-third of patients with NSCLC have locally advanced disease at the time of diagnosis (1). The emergence of immune checkpoint inhibitors (ICIs) has transformed the treatment of NSCLC, bringing new options for clinical care with significant efficacy in improving disease control, overall survival, and quality of life (2, 3). Radiotherapy (RT) has long been a cornerstone of NSCLC treatment (4). The combination of ICIs and RT has a synergistic effect on NSCLC and can enhance the tumor-killing effect of effector T cells and the distant effect of RT (5, 6).

The side effects of RT remain a significant challenge for treatment management. Radiation esophagitis (RE) usually occurs 2–4 weeks after the start of treatment, and some symptoms, such as progressive dysphagia, can appear up to 2 months after RT. Despite advances in RT techniques, RE remains one of the major toxicities among patients with NSCLC following RT (7). The incidence of RE  $\geq$ grade 2 ranges from 30% to 50% and increases at higher radiation doses (8). RE can lead to dysphagia, retrosternal pain, and even esophageal ulcer or fistula formation in severe cases. The development of RE affects the quality of life of the patient and may also require interruption or early termination of treatment, resulting in a significant financial burden and poor prognosis (8, 9). Importantly, RE affects local tumor control, and severe RE has a negative impact on overall survival (10, 11). Therefore, early identification of patients with risk factors for developing RE allows clinicians to take appropriate preventive measures, such as pharmacologic prophylaxis, dietary guidance, or nasal feeding. Identifying patients at low risk for RE provides the opportunity to moderately increase the dose of RT to improve tumor control.

Using radiomics, medical images can be converted into mineable data through high-throughput extraction of quantitative features, which is promising for cancer diagnosis, prognosis, and treatment-response prediction (12–14). The maximum dose delivered to the esophagus ( $D_{max}$ ), mean esophagus dose (MED), percent of esophagus volume receiving  $\geq$ 50 Gy ( $V_{50}$ ), concurrent chemoradiotherapy (cCRT), neutrophil nadir during RT, high platelet counts, and low hemoglobin levels before treatment have been associated with the development of RE (15, 16).

However, the above studies have focused on the high-risk factors for RE in patients with NSCLC undergoing cCRT, and did not analyze the data of patients treated with ICIs prior to RT. In this study, we collected data on clinicopathological features, computed tomography (CT) imaging histological features, RT dosimetric parameters, and inflammatory markers of patients to develop and validate a noninvasive and personalized predictive model for the occurrence of RE in patients with NSCLC who underwent RT after immunochemotherapy (i.e., who had received ICIs prior to RT, ICI-RT).

# 2 Methods

## 2.1 Patients

The data of patients with NSCLC who underwent ICI-RT from April 2021 to September 2023 at the Shandong Cancer Hospital and Institute were retrospectively analyzed. The inclusion criteria were as follows: (a) histopathologically confirmed diagnosis of NSCLC, which included squamous cell carcinoma, adenocarcinoma, and other types of NSCLC, such as large cell carcinoma; (b) clinical stage II–IV carcinoma considered inoperable; and (c) patients treated with ICIs prior to RT. The exclusion criteria were as follows: (a) previous chest

**Abbreviations:** AUC, area under the ROC curve; cCRT, concurrent chemoradiotherapy; CI, confidence interval;  $D_{max}$ , maximum dose; GTV, gross tumor volume; ICIs, immune checkpoint inhibitors; MED, mean esophagus dose; NSCLC, non-small cell lung cancer; OR, odds ratio; PD-1, programmed cell death-1; PD-L1, programmed cell death-ligand 1; Rad-score, radiomic score; RE, radiation esophagitis; ROC, receiver operating characteristic; ROI, regions of interest; RT, radiotherapy.

RT and (b) incomplete recording of clinical information or loss of visits. A total of 102 patients were eligible for enrollment and randomly assigned to a training (n = 71) or a validation (n = 31) set in a 7:3 ratio, as shown in Figure 1. This study was approved by the Ethics Committee of the Shandong Cancer Hospital and Institute (approval number: SDTHEC2022009020), which waived the requirement for participant informed consent considering the retrospective nature of the study. The study was conducted in accordance with the ethical guidelines outlined in the Declaration of Helsinki.

## 2.2 Treatment regimen and dosimetric data

All patients underwent intensity-modulated radiation therapy or three-dimensional conformal radiation therapy. The RT dose was 36–70 Gy fractions, 5 days per week, 1 time per day. All patients underwent CT scanning with a 3 mm slice thickness using a Philips 16-slice Brilliance large-aperture CT scanner (Philips Medical Systems). The CT images were imported into the Eclipse 16.1 (Varian) planning system for target area and organ at risk outlining. The gross tumor volume (GTV) was defined as the primary tumor and metastatic lymph nodes visible on imaging. The clinical target volume (CTV) was defined as microscopically visible tumor microfoci outside the GTV. CTV margins were 0.8 cm beyond the GTV for adenocarcinoma and 0.6 cm beyond the GTV for squamous cell cancer, including the drainage area of the positive lymph nodes. The planning target volume was 0.5–1 cm outside the CTV owing to various errors. Dosimetric parameters such as  $D_{max}$ , MED,  $V_{20}$ ,  $V_{30}$ ,  $V_{40}$ ,  $V_{50}$ , and  $V_{60}$  were extracted from dose-volume histograms of the Eclipse 16.1 planning system.  $V_n$  was defined as the percentage of the total esophageal volume irradiated with doses exceeding n Gy.

All patients underwent ICIs prior to RT, and chemotherapy could be administered concurrently with ICIs. Immunotherapy regimens were 1-8 cycles of programmed cell death-1 (PD-1) inhibitor administered intravenously (pembrolizumab at a dose of 200 mg, camrelizumab at a dose of 200 mg, tislelizumab at a dose of 200 mg, or sintilimab at a dose of 200 mg) every 3 weeks or programmed cell death-ligand 1 (PD-L1) inhibitor (atezolizumab at a dose of 1200 mg) every 3 weeks. Chemotherapy regimens consisted mainly of platinum drugs combined with pemetrexed or paclitaxel/albumin paclitaxel intravenous infusion therapy. The former consisted of platinum agents (carboplatin area under the curve 5–6 or cisplatin 75 mg/m<sup>2</sup>, day 1) and pemetrexed 500 mg/m<sup>2</sup>, day 1; the latter chemotherapy regimen consisted of paclitaxel 135-175 mg/m2, day 1 or albumin paclitaxel 125 mg/m<sup>2</sup>, days 1 and 8 in combination with platinum agents. Patients underwent chemotherapy every 3 weeks for an average of 4 cycles, with the dose of chemotherapy adjusted according to patient tolerance.

## 2.3 Toxicity assessment

Patients were assessed weekly for toxicities during RT and followed up monthly for 6 months after the end of RT. The diagnosis of RE was based on a combination of clinical presentation, upper gastrointestinal barium meal, hematology test



results, and endoscopy findings. The RE classification was based on the Common Terminology Criteria for Adverse Events v5.0. as follows: (a) Grade 1: asymptomatic, clinical or diagnostic findings only, no treatment required; (b) Grade 2: symptomatic, altered feeding/swallowing, need for nutritional supplementation by mouth; (c) Grade 3: severe alteration of feeding/swallowing, need for nasogastric feeding, total gastrointestinal parenteral nutrition, or hospitalization; (d) Grade 4: life-threatening, need for urgent surgical intervention; and (e) Grade 5: death.

## 2.4 Radiomic feature extraction

We analyzed the CT scans obtained from the enrolled patients before the RT. If multiple CT images were available, the most recent CT images before commencement of RT were used. CT images in DICOM format were extracted from a PACS system. All tumor target areas were manually depicted layer-by-layer by a radiation oncologist using a 3D Slicer (version 5.2.1). The regions of interest (ROI) were then confirmed by another clinician experienced in chest CT analysis. All features were extracted using the open source software package Pyradiomics in 3D Slicer. To reduce the variation across different patient images, Z-score normalization was performed on all data as a preprocessing step. To reduce any type of bias or overfitting caused by too many features, features with high repeatability and stability were first screened by calculating the intraclass correlation coefficient (ICC). In this study, the features were screened using ICC > 0.9 as the criterion. After the initial screening of features with high repeatability, these features were further downscaled and screened for key features using least absolute shrinkage and selection operator regression (17, 18). Finally, the selected features and corresponding weighting coefficients were linearly combined to create a radiomic score (Rad-score) for each patient (19).

## 2.5 Model construction and evaluation

The point with the largest Youden's index was determined as the optimal cutoff value for each parameter using receiver operating characteristic (ROC) curves. Univariate logistic regression was used to analyze the correlation between clinicopathological characteristics, RT dosimetry parameters, inflammatory indicators, Rad-score, and RE in the training cohort. Factors with p < 0.05 were included in the multivariate logistic regression analysis to screen for independent risk factors. Next, a nomogram was constructed using factors with significant predictive values derived from the multivariate analysis. Finally, the predictive performance of the nomogram model for RE was evaluated using the area under the ROC curve (AUC), calibration curves, and decision curves in the training and validation cohorts.

## 2.6 Statistical analysis

Continuous variables were compared using independent sample t-tests or rank-sum tests, whereas categorical variables were

compared using chi-square or Fisher's exact tests. Univariate and multivariate logistic regression analyses were performed to identify independent risk factors for RE. Spearman rank correlation coefficients were used to assess the relationship between dose parameters. All tests were two-sided, and p < 0.05 was considered statistically significant. All data analyses and graphing were performed using SPSS software (version 25.0; IBM Corp.) and R software (version 4.3.2.).

# **3** Results

# 3.1 Patient characteristics and incidence of RE

A total of 102 patients with NSCLC treated with ICI-RT participated in this study. Of these, 88 (86.3%) were male and 43 (42.2%) aged  $\leq$ 60 years. The tumors were located in the left and right lung of 39 (38.2%) and 63 (61.8%) patients, respectively. More than half of the patients had squamous cell carcinoma of the pathologic type. Seventy-one patients were included in the training cohort and 31 were included in the validation cohorts, respectively. Table 1 summarizes the baseline characteristics of the training and validation cohorts.

All patients had undergone prior treatment with PD-1 (96.1%) or PD-L1 (3.9%) inhibitors, and the median time between immunotherapy and initiation of RT was 17 days (IQR, 5–28). The median time interval from RT initiation to RE occurrence was 18.5 days (IQR, 13.25–24.25). In total, 64 (62.7%) patients did not develop RE, whereas 38 (37.3%) developed RE. Of these, 30 (29.4%) had grade 2 RE, 1 (1.0%) had grade 3 RE, and no patients had grade 4–5 RE. RE occurred in 28 (39.4%) and 10 (32.3%) patients in the training and validation cohorts, respectively. Table 2 lists the pre-RT inflammatory indices and RT dosimetric parameters of patients in the training and validation cohorts. No significant differences were observed between the cohorts with respect to the clinicopathological features, dosimetric parameters, and inflammatory indices (p > 0.05).

## 3.2 Rad-score construction

A total of 991 features were extracted from each patient's ROI using the open-source package Pyradiomics in 3D Slicer software. The extracted radiomics features included shape features, first-order statistical features, gray level co-occurrence matrix, gray level dependence matrix, gray level run-length matrix, gray level size zone matrix, neighbor gray tone difference matrix, and wavelet features. The meaning of these features has been previously described (20), and details are available at https://pyradiomics.readthedocs.io/en/latest/. A total of 13 radiomic features that were most valuable for predicting RE were screened (Figure 2). The Rad-score was derived from the linear combinations of the selected key features and corresponding weighting coefficients, as follows:

TABLE 1 Basic clinical information of patients in the training and validation cohorts.

Characteristics	Training (n = 71)	Validation (n = 31)	χ²	p-value		
Sex (%)						
Female	9 (12.68)	5 (16.13)	0.02	0.878		
Male	62 (87.32)	26 (83.87)				
Age (%)						
≤60	30 (42.25)	13 (41.94)	0.00	0.976		
>60	41 (57.75)	18 (58.06)				
Pathology (%)						
Squamous cell carcinoma	39 (54.93)	16 (51.61)	0.10	0.757		
Adenocarcinoma	32 (45.07)	15 (48.39)				
Clinical stage (%)						
II-III	30 (42.25)	15 (48.39)	0.33	0.566		
IV	41 (57.75)	16 (51.61)				
Location (%)						
Right	44 (61.97)	19 (61.29)	0.00	0.974		
Left	27 (38.03)	12 (38.71)				
KPS (%)						
≥90	48 (67.61)	21 (67.74)	0.00	0.989		
<90	23 (32.39)	10 (32.26)				
Number of treatment cycl	les (%)					
≤2	22 (30.99)	14 (45.16)	1.90	0.168		
>2	49 (69.01)	17 (54.84)				
T stage (%)						
1	9 (12.68)	3 (9.68)	0.82	0.844		
2	20 (28.17)	8 (25.81)				
3	13 (18.31)	8 (25.81)				
4	29 (40.85)	12 (38.71)				
N stage (%)						
0	7 (9.86)	2 (6.45)	4.58	0.205		
1	14 (19.72)	4 (12.90)				
2	19 (26.76)	15 (48.39)				
3	31 (43.66)	10 (32.26)				
Immunotherapy drugs (%)						
PD-L1	2 (2.82)	2 (6.45)	0.10	0.753		
PD-1	69 (97.18)	29 (93.55)				

KPS, Karnofsky performance status; PD-L1, programmed cell death-ligand 1; PD-1, programmed cell death-1.

### TABLE 2 Inflammatory indicators and radiotherapy parameters of patients in the training and validation cohorts.

Characteristics	Training (n = 71)	Validation (n = 31)	χ²	p-value		
NLR						
≤3.3	49 (69.01)	24 (77.42)	0.75	0.387		
>3.3	22 (30.99)	7 (22.58)				
LMR				I		
≤3.8	51 (71.83)	23 (74.19)	1.85	0.828		
>3.8	20 (28.17)	8 (25.81)				
PLR						
≤178.0	42 (59.15)	18 (58.06)	0.01	0.918		
>178.0	29 (40.85)	13 (41.94)				
SII						
≤703.4	48 (67.61)	21 (67.74)	0.00	0.989		
>703.4	23 (32.39)	10 (32.26)				
PAR						
≤4.0	30 (42.25)	9 (29.03)	1.60	0.206		
>4.0	41 (57.75)	22 (70.97)				
D <sub>max</sub>						
≤58.4	40 (56.34)	14 (45.16)	1.08	0.298		
>58.4	31 (43.66)	17 (54.84)				
MED						
≤13.3	43 (60.56)	13 (41.94)	3.02	0.082		
>13.3	28 (39.44)	18 (58.06)				
V <sub>20</sub>						
≤22.3	31 (43.66)	10 (32.26)	1.17	0.280		
>22.3	40 (56.34)	21 (67.74)				
V <sub>30</sub>						
≤15.9	34 (47.89)	11 (35.48)	1.35	0.246		
>15.9	37 (52.11)	20 (64.52)				
V <sub>40</sub>						
≤14.1	42 (59.15)	17 (54.84)	0.16	0.685		
>14.1	29 (40.85)	14 (45.16)				
V <sub>50</sub>						
≤11.3	47 (66.20)	19 (61.29)	0.23	0.633		
>11.3	24 (33.80)	12 (38.71)				
V <sub>60</sub>						
≤0	28 (39.44)	18 (58.06)	3.02	0.082		
>0	43 (60.56)	13 (41.94)				

NLR, neutrophil–lymphocyte ratio; LMR, lymphocyte–monocyte ratio; PLR, platelet–lymphocyte ratio; SII, systemic immunoinflammatory index; PAR, platelet–albumin ratio;  $D_{max}$ , maximum dose; MED, mean esophagus dose;  $V_{20}$ , percent of esophagus volume receiving  $\geq 20$  Gy;  $V_{30}$ , percent of esophagus volume receiving  $\geq 30$  Gy;  $V_{40}$ , percent of esophagus volume receiving  $\geq 40$  Gy;  $V_{50}$ , percent of esophagus volume receiving  $\geq 50$  Gy;  $V_{60}$ , percent of esophagus volume receiving  $\geq 60$  Gy.



#### FIGURE 2

Radiomic feature selection using the LASSO regression model. (A) The LASSO regression model identified radiomic features with nonzero coefficients predicting RE. (B) Distribution of LASSO regression coefficients for predicting radiomic characteristics of RE. (C) Optimal combination of radiomic features and their correlation coefficients for predicting RE. LASSO, least absolute shrinkage and selection operator; RE, radiation esophagitis.

Rad $-$ score = $-0.321496486039533 \times \text{original} - \text{shape}$
– Maximum2DDiameterSlice
+ – 0.179385553956634 $\times$ Wavelet – LHL glszm SmallAreaEmphasis
+ – 0.091388948744633 $\times$ Wavelet – HLH glcm Idm
+ – 0.052823747597495 $\times$ Wavelet – HHH ngtdm Busyness
+ - 0.033454057057413 $\times$ Original - ngtdm - Busyness
+ – 0.019905495717509 $\times$ Long – sigma – 3 – 0 – mm – 3D – firstorder
– InterquartileRange
+0.006413584851584 $\times$ Original – glrlm – GrayLevelNonUniformity
+0.019058449571357 $\times$ Log – sigma – 5 – 0 – mm – 3D – glcm – SumAverage
+0.032345439587447 $\times$ Log – sigma – 5 – 0 – mm – 3D – glrlm
<ul> <li>LongRunLowGrayLevelEmphasis</li> </ul>
+0.055888333774646 $\times$ Original – glszm – LargeAreaLowGrayLevelEmphasis
+0.161459645775567 $\times$ Log – sigma – 3 – 0 – mm – 3D – glcm – SumEntropy
+0.254976457754456 $\times$ Log – sigma – 3 – 0 – mm – 3D – gldm
– DependenceVariance
+0.333814752875522 $ imes$ Wavelet – LLH firstorder Maximum

# 3.3 Univariate and multivariate analyses in the training cohort

The univariate logistic regression analysis of the training cohort revealed that left location (p = 0.017), Karnofsky performance status (KPS) < 90 (p = 0.008), platelet-albumin ratio (PAR) >4.0 (p = 0.022), D<sub>max</sub> > 58.4 Gy (p < 0.001), MED > 13.3 Gy (p = 0.001), V<sub>20</sub> > 22.3% (p = 0.041), V<sub>30</sub> > 15.9% (p = 0.032), V<sub>60</sub> > 0 (p = 0.032), and Rad-score (p < 0.001) were potential risk factors for the development of RE (Table 3).

As shown in Figure 3, a significant correlation was observed between the Vn parameters. Therefore, left location, KPS < 90, PAR > 4.0,  $D_{max}$  > 58.4 Gy, MED > 13.3 Gy,  $V_{60}$  > 0, and Rad-score were included in the multivariate logistic regression analysis. The results showed that  $D_{max}$  > 58.4 Gy (odds ratio [OR]: 0.09, 95% confidence interval [CI]: 0.02–0.99, p = 0.044), MED > 13.3 Gy (OR: 6.23, 95% CI: 1.98–9.56, p = 0.027), and Rad-score (OR: 0.24, 95%CI: 0.10– 0.58, p = 0.002) all maintained significant differences and were independent predictors of RE occurrence (Table 3).

# 3.4 Establishment and evaluation of the nomogram

Based on the results of the multivariate logistic regression analysis, a nomogram was constructed using the identified independent predictors (Figure 4). The ROC curves indicated the AUC of the model in the training and validation cohorts to be 0.918 (95%CI: 0.824-1.000) and 0.833 (95%CI: 0.697-0.969), respectively, indicating the good discriminative ability of the model (Figures 5A, D). In addition, the predictive performance of the nomogram and three other independent predictors for RE was compared. In the training cohorts, the AUCs based on the MED,  $D_{max}$ , and Rad-score models were 0.857, 0.797, and 0.684, respectively, and the nomogram model obtained an AUC of 0.918 (Supplementary Figure 1A). In the validation cohorts, the AUCs based on the MED, Dmax, and Rad-score models were 0.779, 0.633 and 0.630, respectively, while the AUC of the nomogram model is 0.833 (Supplementary Figure 1B). The calibration curves of the training and validation cohorts showed good agreement between the actual and predicted occurrence probabilities of RE (Figures 5B, E), and decision curves showed that the nomogram had a positive net benefit for most threshold probabilities, suggesting that the model had satisfactory clinical outcomes (Figures 5C, F).

# 4 Discussion

According to the PACIFIC criteria, approximately half of the patients with unresectable NSCLC undergoing cCRT are not eligible for durvalumab treatment (21, 22). RT after induction immunochemotherapy has become a viable alternative treatment strategy for patients with unresectable locally advanced NSCLC (23). However, the combination of ICIs and RT also increases the risk of RE. In this study, a total of 38 (37.3%) patients developed RE; however, the field currently lacks a validated tool to identify patients at high risk of developing RE. To the best of our knowledge, this was the first study to use a predictive model for the development of RE after treatment with a combination of ICIs and RT.

In this study, after multivariate analysis,  $D_{max} > 58.4$  Gy, MED > 13.3 Gy, and radiomic features were identified as independent risk

## TABLE 3 Univariate and multivariate analysis of clinical and radiomic characteristics for RE prediction.

	Univariate analysis			Multivariate analysis	
Characteristics	OR (95% CI)	p-value	Regression coefficient	OR (95% CI)	p-value
Sex					
Female	0.93 (0.79-0.90)	0.898			
Male	Ref				
Age					
≤60	Ref				
>60	0.50 (0.22–1.14)	0.101			
Pathology					
Squamous cell carcinoma	0.92 (0.41-2.06)	0.840			
Adenocarcinoma	Ref				
Clinical stage					
II-III	0.58 (0.26-1.30)	0.184			
IV	Ref				
Location					
Right	Ref		Ref		
Left	2.73 (1.20-6.25)	0.017	1.22	2.72 (0.32-11.48)	0.335
KPS					
≥90	0.26 (0.09-0.70)	0.008	-1.87	0.11 (0.02–1.45)	0.117
<90	Ref		Ref		
Number of treatment cy	rcles	1	1		
≤2	0.76 (0.56-0.84)	0.184			
>2	Ref				
т		1	1		
1	Ref				
2	1.06 (1.02-2.45)	0.941			
3	1.06 (1.01-3.52)	0.930			
4	1.65 (1.40-2.51)	0.327			
Ν		1	1		
0	Ref				
1	1.23 (1.13-2.12)	0.581			
2	1.77 (1.33-2.44)	0.123			
3	1.01 (1.00-1.32)	0.980			
Immunotherapy drugs					
PD-L1	1.62 (0.23-2.76)	0.595			
PD-1	Ref				
Total dose					
≤60	0.62 (0.27-1.41)	0.256			
>60	Ref				
<u> </u>	ı	1	1		ı

(Continued)

## TABLE 3 Continued

Univariate analysis		Multivariate analysis			
Characteristics	OR (95% CI)	p-value	Regression coefficient	OR (95% CI)	p-value
NLR					
≤3.3	Ref				
>3.3	1.28 (0.53-3.08)	0.587			
LMR					
≤3.8	Ref				
>3.8	1.46 (0.58-3.64)	0.423			
PLR					
≤178.0	Ref				
>178.0	1.50 (0.67-3.38)	0.329			
SII					
≤703.4	Ref				
>703.4	2.01 (0.86-4.70)	0.107			
PAR					
≤4.0	Ref		Ref		
>4.0	2.84 (1.16-6.96)	0.022	-0.44	1.75 (1.15-6.33)	0.733
D <sub>max</sub>					
≤58.4	0.06 (0.02-0.18)	<0.001	-2.44	0.09 (0.02–0.99)	0.044
>58.4	Ref		Ref		
MED					
≤13.3	Ref		Ref		
>13.3	25.89 (8.44-79.40)	0.001	4.22	6.23 (1.98–9.56)	0.027
V <sub>20</sub>					
≤22.3	Ref				
>22.3	1.99 (1.59–10.03)	0.041			
V <sub>30</sub>					
≤15.9	Ref				
>15.9	2.14 (2.04–12.95)	0.032			
V <sub>40</sub>					
≤14.1	Ref				
>14.1	1.37 (1.46-7.80)	0.094			
V <sub>50</sub>	-	-	-		-
≤11.3	Ref				
>11.3	1.33 (1.42–7.81)	0.101			
V <sub>60</sub>					
≤0	Ref		Ref		
>0	2.14 (2.04-12.95)	0.032	1.54	1.47 (0.91–3.55)	0.225
Rad-score	0.30 (0.19-0.44)	<0.001	-1.12	0.24 (0.10-0.58)	0.002

RE, radiation esophagitis; OR, odds ratio; CI, confidence interval; KPS, Karnofsky performance status; PD-L1, programmed cell death-ligand 1; PD-1, programmed cell death-1; NLR, neutrophillymphocyte ratio; LMR, lymphocyte-monocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immunoinflammatory index; PAR, platelet-albumin ratio;  $D_{max}$  maximum dose; MED, mean esophagus dose; V20, percent of esophagus volume receiving  $\geq$  20 Gy; V30, percent of esophagus volume receiving  $\geq$  30 Gy; V40, percent of esophagus volume receiving  $\geq$  40 Gy; V50, percent of esophagus volume receiving  $\geq$  50 Gy; V<sub>60</sub>, percent of esophagus volume receiving  $\geq$  60 Gy; Rad-score, radiomic score.



factors for the development of RE following RT after immunochemotherapy. The AUC values were 0.918 and 0.833 for the training and validation cohorts, respectively, indicating good discrimination.

Our study revealed  $D_{max}$  and MED to be the independent risk factors for RE, and the optimal thresholds for  $D_{max}$  and MED in ICI-RT were 58.4 Gy and 13.3 Gy, respectively. Huang et al. retrospectively analyzed 193 patients with NSCLC undergoing cCRT, multivariate analysis showed that MED and  $V_{10}$ – $V_{60}$  were significantly correlated with RE and that the MED model was the best fitted model compared with models with other parameters (24). Belderbos et al. evaluated 156 patients with inoperable or locally advanced NSCLC to analyze the relationship between clinical and dosimetric parameters and acute esophageal toxicity. The results showed that the most important



Nomograms used for predicting RE in the training cohort. Nomogram incorporating the Dmax, MED, and Rad-score from patients with NSCLC. RE, radiation esophagitis; Dmax, maximum dose; MED, mean esophageal dose; Rad-score, radiomic score; NSCLC, non-small cell lung cancer.

Wang et al.



ROC, calibration curves, and decision curves for nomograms predicting RE in the training cohort and validation cohort. (A) ROC curves for the nomograms for the training cohort. The x and y axes represent the predicted and actual probabilities, respectively. (C) Decision curves for the nomograms for the training cohort. The x and y axes represent the threshold probability and net benefit, respectively. (D) ROC curves for the validation cohort nomogram. (E) Calibration curves for the validation cohort nomogram. The x and y axes represent the predicted and actual probabilities, respectively. (D) ROC curves for the validation cohort nomogram. (E) Calibration curves for the validation cohort nomogram. The x and y axes represent the predicted and actual probabilities, respectively. (F) Decision curves for the validation cohort nomogram. The x and y axes represent the threshold probabilities, respectively. ROC, receiver operating characteristic; RE, radiation esophagitis.

clinical parameter predicting acute esophageal toxicity was cCRT, and among the dosimetric parameters, V35 was the strongest predictor of grade  $\geq 2$  acute esophageal toxicity (25). Notably, Kim et al. showed that V<sub>60</sub> was significantly associated with the occurrence of grade 3 and higher RE (26). Furthermore, a meta-analysis by Palma et al. based on data from 1082 patients treated with cCRT revealed that although D<sub>max</sub> was significant in univariate analysis, it was not an independent risk factor. Only V60 was the best predictor of grade 2 and 3 RE with good calibration and discrimination (27). This difference can be attributed to the fact that previous studies were based on the direct effects of RT on tumors and normal tissues; however, the addition of ICIs strengthens the antitumor immune response by inducing lymphocyte differentiation and upregulating cytokine and autoantibody levels, resulting in excessive cytokine release and increased immune cell infiltration, which amplifies the inflammatory response in irradiated normal tissues (28). In addition, the antitumor effect on the body is enhanced after the application of ICIs, and the accumulation of self-DNA released from dead tumor cells can trigger the cGAS-STING signaling pathway, which induces the production of interferon and inflammatory cytokines and ultimately triggers an inflammatory response (29).

Artificial intelligence methods to extract tumor information and build machine models have been applied to tumor lymph node metastasis, tumor clinicopathological grading, and T staging (13). Moreover, quantifying tumor heterogeneity is also possible using artificial intelligence, which plays an important role in personalized prediction. Zheng et al. included 161 patients with locally advanced NSCLC treated with RT and developed a model to predict grade  $\geq 2$ acute RE based on multi-omics features, including imaging and dosimetry. Multi-omics features exhibited similar predictive properties as radiomics features; however, the separate predictive properties of dosimetry features and clinical factors were limited

(30). Xie et al. combined deep learning, radiomics, and dosimetry features to predict RE in patients with esophageal cancer undergoing volumetric modulated arc therapy, and the combination of various feature extraction methods improved the accuracy of RE prediction (31). In addition, radiomics exhibit good efficacy in predicting RT-associated lung injury (32, 33). Additional studies have shown that the use of complex static step and shoot technique can reduce radiotherapy-related toxicity by keeping the organs at risk dose within the limits of quantitative analyses of normal tissue effects in the clinic (34). In this study, we selected the 13 radiomics features that were most valuable for predicting RE. The Rad-score was obtained by weighting them according to their respective coefficients and were statistically different in multivariate analyses. These findings support the potential of imaging histology in predicting the occurrence of RE in patients with NSCLC treated with ICI-RT. Internal validation was performed to validate the accuracy of our prediction model. The AUC of the ROC curve, the calibration curve, and the decision curve indicated that the model had good discriminatory power and clinical effectiveness. In the modern era of personalized medicine, integrated multi-omics approaches improve diagnostic accuracy and predictive precision, and this study integrates radiomics, dosimetry, and clinical factors to predict the risk of RE in patients with NSCLC treated with ICI-RT. Our findings provide a new direction for individualized decision-making and the prediction of adverse effects of RT for NSCLC.

Our study also has some limitations. First, avoiding selection bias was difficult owing to the retrospective nature of this study; therefore, further prospective studies on RE are required to validate these results. Second, this was a single-center study, and although internal validation indicated an excellent AUC of the current predictive model, the results would have been more convincing with external validation. Third, the sample size of the present study was relatively small, and future studies should include data from more research centers and larger population samples.

In summary,  $D_{max} > 58.4$  Gy, MED > 13.3 Gy, and Rad-score were independent predictors of RE occurrence in patients with NSCLC treated with ICI-RT. These variables were used to develop and validate a novel nomogram for early screening of patients with NSCLC treated with ICI-RT. The predictive model developed in this study can be used to identify patients who are at increased risk of developing RE during RT.

# Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## **Ethics statement**

The studies involving humans were approved by The Ethics Committee of the Shandong Cancer Hospital and Institute. The studies were conducted in accordance with the local legislation and institutional requirements. Written informed consent for participation was not required from the participants or the participants' legal guardians/next of kin in accordance with the national legislation and institutional requirements.

## Author contributions

KW: Data curation, Formal analysis, Investigation, Methodology, Validation, Writing – original draft. JZ: Data curation, Methodology, Writing – original draft. JD: Data curation, Writing – review & editing. CF: Investigation, Writing – review & editing. YL: Data curation, Writing – review & editing. LL: Investigation, Writing – review & editing. SY: Conceptualization, Funding acquisition, Resources, Supervision, Validation, Writing – review & editing.

# Funding

The author(s) declare financial support was received for the research, authorship, and/or publication of this article. This study was supported in part by the National Natural Science Foundation of China (NSFC82073345), Natural Science Innovation and Development Joint Foundation of Shandong Province (ZR202209010002), Jinan Clinical Medicine Science and Technology Innovation Plan (202019060), and Taishan Scholars Program to SY.

# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

# Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fonc.2024.1490348/ full#supplementary-material

#### SUPPLEMENTARY FIGURE 1

ROC curves for single model prediction of RE. (A) ROC curves for single model in the training cohort. (B) ROC curves for single model in the validation cohort. ROC, receiver operating characteristic; Dmax, maximum dose; MED, mean esophageal dose; Rad-score, radiomic score; AUC, area under the ROC curve; RE, radiation esophagitis.

## References

1. Siegel RL, Miller KD, Fuchs HE, Jemal A. Cancer statistics, 2022. CA Cancer J Clin. (2022) 72:7–33. doi: 10.3322/caac.21708

2. Ribas A, Wolchok JD. Cancer immunotherapy using checkpoint blockade. *Science*. (2018) 359:1350-5. doi: 10.1126/science.aar4060

3. de Miguel M, Calvo E. Clinical challenges of immune checkpoint inhibitors. Cancer Cell. (2020) 38:326-33. doi: 10.1016/j.ccell.2020.07.004

4. Vinod SK, Hau E. Radiotherapy treatment for lung cancer: Current status and future directions. *Respirology*. (2020) 25:61–71. doi: 10.1111/resp.v25.S2

 Theelen WSME, Chen D, Verma V, Hobbs BP, Peulen HMU, Aerts JGJV, et al. Pembrolizumab with or without radiotherapy for metastatic non-small-cell lung cancer: A pooled analysis of two randomised trials. *Lancet Respir Med.* (2021) 9:467–75. doi: 10.1016/ S2213-2600(20)30391-X

 Girard N, Bar J, Garrido P, Garassino MC, McDonald F, Mornex F, Filippi AR, et al. Treatment characteristics and real-world progression-free survival in patients with unresectable Stage III NSCLC who received durvalumab after chemoradiotherapy: Findings from the PACIFIC-R study. J Thorac Oncol. (2023) 18:181–93. doi: 10.1016/ j.jtho.2022.10.003

7. Murro D, Jakate S. Radiation esophagitis. Arch Pathol Lab Med. (2015) 139:827–30. doi: 10.5858/arpa.2014-0111-RS

8. Baker S, Fairchild A. Radiation-induced esophagitis in lung cancer. *Lung Cancer* (Auckl). (2016) 7:119–27. doi: 10.2147/LCTT.S96443

9. Movsas B, Hu C, Sloan J, Bradley J, Komaki R, Masters G, et al. Quality of life analysis of a radiation dose-escalation study of patients with non-small-cell lung cancer: A secondary analysis of the Radiation Therapy Oncology Group 0617 randomized clinical trial. *JAMA Oncol.* (2016) 2:359–67. doi: 10.1001/jamaoncol.2015.3969

10. Machtay M, Hsu C, Komaki R, Sause WT, Swann RS, Langer CJ, et al. Effect of overall treatment time on outcomes after concurrent chemoradiation for locally advanced non-small-cell lung carcinoma: Analysis of the Radiation Therapy Oncology Group (RTOG) experience. *Int J Radiat Oncol Biol Phys.* (2005) 63:667–71. doi: 10.1016/j.ijrobp.2005.03.037

11. Bradley JD, Paulus R, Komaki R, Masters G, Blumenschein G, Schild S, et al. Standard-dose versus high-dose conformal radiotherapy with concurrent and consolidation carboplatin plus paclitaxel with or without cetuximab for patients with stage IIIA or IIIB non-small-cell lung cancer (RTOG 0617): A randomised, two-by-two factorial phase 3 study. *Lancet Oncol.* (2015) 16:187–99. doi: 10.1016/S1470-2045(14) 71207-0

12. Verma V, Simone CB, Krishnan S, Lin SH, Yang J, Hahn SM. The rise of radiomics and implications for oncologic management. *J Natl Cancer Inst.* (2017) 109:10. doi: 10.1093/jnci/djx055

13. Gillies RJ, Kinahan PE, Hricak H. Radiomics: Images are more than pictures, they are data. *Radiology*. (2016) 278:563–77. doi: 10.1148/radiol.2015151169

14. Aerts HJ, Velazquez ER, Leijenaar RT, Parmar C, Grossmann P, Carvalho S, et al. Decoding tumour phenotype by noninvasive imaging using a quantitative radiomics approach. *Nat Commun.* (2014) 5:4006. doi: 10.1038/ncomms5006

15. Rodríguez N, Algara M, Foro P, Lacruz M, Reig A, Membrive I, et al. Predictors of acute esophagitis in lung cancer patients treated with concurrent three-dimensional conformal radiotherapy and chemotherapy. *Int J Radiat Oncol Biol Phys.* (2009) 73:810–7. doi: 10.1016/j.ijrobp.2008.04.064

16. Hawkins PG, Boonstra PS, Hobson ST, Hayman JA, Ten Haken RK, Matuszak MM, et al. Prediction of radiation esophagitis in non-small cell lung cancer using clinical factors, dosimetric parameters, and pretreatment cytokine levels. *Transl Oncol.* (2018) 11:102–8. doi: 10.1016/j.tranon.2017.11.005

17. Zhao W, Xu Y, Yang Z, Sun Y, Li C, Jin L, et al. Development and validation of a radiomics nomogram for identifying invasiveness of pulmonary adenocarcinomas appearing as subcentimeter ground-glass opacity nodules. *Eur J Radiol.* (2019) 112:161–8. doi: 10.1016/j.ejrad.2019.01.021

18. Zhao W, Wu Y, Xu Y, Sun Y, Gao P, Tan M, et al. The potential of radiomics nomogram in non-invasively prediction of epidermal growth factor receptor mutation status and subtypes in lung adenocarcinoma. *Front Oncol.* (2019) 9:1485. doi: 10.3389/ fonc.2019.01485

19. Liu Z, Zhang XY, Shi YJ, Wang L, Zhu HT, Tang Z, et al. Radiomics analysis for evaluation of pathological complete response to neoadjuvant chemoradiotherapy in locally advanced rectal cancer. *Clin Cancer Res.* (2017) 23:7253–62. doi: 10.1158/1078-0432.CCR-17-1038

20. van Griethuysen JJM, Fedorov A, Parmar C, Hosny A, Aucoin N, Narayan V, et al. Computational radiomics system to decode the radiographic phenotype. *Cancer Res.* (2017) 77:e104–7. doi: 10.1158/0008-5472.CAN-17-0339

21. Eichkorn T, Bozorgmehr F, Regnery S, Dinges LA, Kudak A, Bougatf N, et al. Consolidation immunotherapy after platinum-based chemoradiotherapy in patients with unresectable Stage III non-small cell lung cancer-cross-sectional study of eligibility and administration rates. *Front Oncol.* (2020) 10:586449. doi: 10.3389/fonc.2020.586449

22. Cotarla I, Boron ML, Cullen SL, Spinner DS, Faulkner EC, Carroll MC, et al. Treatment decision drivers in Stage III non-small-cell lung cancer: Outcomes of a webbased survey of oncologists in the United States. *JCO Oncol Pract.* (2020) 16:e1232–42. doi: 10.1200/JOP.19.00781 23. Wu L, Cheng B, Sun X, Zhang Z, Kang J, Chen Y, et al. Induction immunochemotherapy followed by definitive chemoradiotherapy for unresectable locally advanced non-small cell lung cancer: A multi-institutional retrospective cohort study. *Med.* (2024) 5:e501. doi: 10.1002/mco2.v5.3

24. Huang J, He T, Yang R, Ji T, Li G. Clinical, dosimetric, and position factors for radiation-induced acute esophagitis in intensity-modulated (chemo)radiotherapy for locally advanced non-small-cell lung cancer. *Onco Targets Ther.* (2018) 11:6167–75. doi: 10.2147/OTT.S174561

25. Belderbos J, Heemsbergen W, Hoogeman M, Pengel K, Rossi M, Lebesque J. Acute esophageal toxicity in non-small cell lung cancer patients after high dose conformal radiotherapy. *Radiother Oncol.* (2005) 75:157–64. doi: 10.1016/j.radonc.2005.03.021

26. Kim TH, Cho KH, Pyo HR, Lee JS, Han JY, Zo JI, et al. Dose-volumetric parameters of acute esophageal toxicity in patients with lung cancer treated with three-dimensional conformal radiotherapy. *Int J Radiat Oncol Biol Phys.* (2005) 62:995–1002. doi: 10.1016/j.ijrobp.2004.12.025

27. Palma DA, Senan S, Oberije C, Belderbos J, de Dios NR, Bradley JD, et al. Predicting esophagitis after chemoradiation therapy for non-small cell lung cancer: An individual patient data meta-analysis. *Int J Radiat Oncol Biol Phys.* (2013) 87:690–6. doi: 10.1016/j.ijrobp.2013.07.029

28. Deng L, Liang H, Burnette B, Beckett M, Darga T, Weichselbaum RR, et al. Irradiation and anti-PD-L1 treatment synergistically promote antitumor immunity in mice. *J Clin Invest.* (2014) 124:687–95. doi: 10.1172/JCI67313

29. Corrales L, Gajewski TF. Endogenous and pharmacologic targeting of the STING pathway in cancer immunotherapy. *Cytokine*. (2016) 77:245–7. doi: 10.1016/ j.cyto.2015.08.258

30. Zheng X, Guo W, Wang Y, Zhang J, Zhang Y, Cheng C, et al. Multi-omics to predict acute radiation esophagitis in patients with lung cancer treated with intensity-modulated radiation therapy. *Eur J Med Res.* (2023) 28:126. doi: 10.1186/s40001-023-01041-6

31. Xie C, Yu X, Tan N, Zhang J, Su W, Ni W, et al. Combined deep learning and radiomics in pretreatment radiation esophagitis prediction for patients with esophageal cancer underwent volumetric modulated arc therapy. *Radiother Oncol.* (2024) 199:110438. doi: 10.1016/j.radonc.2024.110438

32. Zhang Z, Wang Z, Yan M, Yu J, Dekker A, Zhao L, et al. Radiomics and dosiomics signature from whole lung predicts radiation pneumonitis: A model development study with prospective external validation and decision-curve analysis. *Int J Radiat Oncol Biol Phys.* (2023) 115:746–58. doi: 10.1016/j.ijrobp.2022.08.047

33. Huang Y, Feng A, Lin Y, Gu H, Chen H, Wang H, et al. Radiation pneumonitis prediction after stereotactic body radiation therapy based on 3D dose distribution: Dosiomics and/or deep learning-based radiomics features. *Radiat Oncol.* (2022) 17:188. doi: 10.1186/s13014-022-02154-8

34. Spatola C, Militello C, Tocco A, Salamone V, Raffaele L, Migliore M, et al. Intensity-modulated radiotherapy for relapsed Malignant pleural mesothelioma. *Future Oncol.* (2016) 12:67–71. doi: 10.2217/fon-2016-0330