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RECEIVED 11 January 2024 ACCEPTED 31 July 2024 PUBLISHED 22 August 2024

#### CITATION

Kögl J, Pan TL, Marth C and Zeimet AG (2024) The game-changing impact of POLE mutations in oncology—a review from a gynecologic oncology perspective. Front. Oncol. 14:1369189. [doi: 10.3389/fonc.2024.1369189](https://doi.org/10.3389/fonc.2024.1369189)

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# [The game-changing impact of](https://www.frontiersin.org/articles/10.3389/fonc.2024.1369189/full) POLE [mutations in oncology](https://www.frontiersin.org/articles/10.3389/fonc.2024.1369189/full)—a [review from a gynecologic](https://www.frontiersin.org/articles/10.3389/fonc.2024.1369189/full) [oncology perspective](https://www.frontiersin.org/articles/10.3389/fonc.2024.1369189/full)

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Somatic mutations within the exonuclease proofreading domain (EDM) of the DNA polymerase Pol  $\varepsilon$  (POLE) gene are increasingly being discovered in ovarian, colorectal, urological, and, especially, endometrial carcinoma (EC), where these are found in up to 10% of the cases. In EC, there are five confirmed pathogenic somatic POLE-EDM mutations that are located at codons 286, 411, 297, 456, and 459, and these are called "hotspot" mutations. POLE mutant tumors are ultramutated entities with a frequency of base substitution mutations that is among the highest in human tumors. Interestingly, these mutations are associated with excellent clinical outcome in EC. An additional six "nonhotspot" POLE-EDM EC mutations are also considered pathogenic, and they also confer a favorable prognosis. Currently, de-escalation of adjuvant treatment is recommended for patients with EC with stage I–II tumors involving any of these 11 EDM mutations, even in patients with other clinicopathological risk factors. The high tumor mutational burden and the consequent increased infiltration of immune cells due to the overexpression of different neoantigens are probably responsible for the improved prognosis. Ongoing studies are examining POLE hotspot mutations among many non-gynecologic tumors, although the impact of such mutations on clinical outcomes is still a topic of debate. Therapeutic modalities for these hypermutated tumors are also an important consideration, including the need for or de-escalation of adjuvant treatments and the response to immune therapy. This review addresses the critical role of POLE mutations in gynecologic oncology and oncology in general, focusing on definitions, variants, underlying pathogenic mechanisms, upcoming developments in the field, and the clinic behavior associated with such mutations.

#### KEYWORDS

POLE mutations, EDM, ultramutation, tumor mutational burden, hotspot mutations, endometrial cancer

#### Kögl et al. [10.3389/fonc.2024.1369189](https://doi.org/10.3389/fonc.2024.1369189)

# 1 Introduction

The role of the DNA polymerase epsilon (POLE) in the correct replication of cellular DNA has been studied intensively ([1](#page-9-0)). As a consequence of this research, the prognostic value of specific mutations in the POLE gene, so called "hotspot mutations," has recently recognized, and this has revolutionized the management of endometrial and other cancers [\(2](#page-9-0)–[4\)](#page-9-0). A single missense mutation in a hotspot region of the gene can guide a clinician to reconsider the need for adjuvant therapy in cases, which, until recently, would have received treatments known to be associated with a high risk of complications ([3](#page-9-0)). POLE mutant tumors are described in endometrial, ovarian, colorectal, and urological cancers ([5](#page-9-0)–[8\)](#page-9-0). They lead to a ultramutated tumor phenotype and consistently demonstrate an excellent clinical outcome, especially in colorectal cancer (CRC) and endometrial cancer (EC) [\(5](#page-9-0), [9](#page-9-0)).

Historically, standard treatment of EC consisted of hysterectomy, bilateral salpingo-oophorectomy, and pelvic lymph node dissection followed by adjuvant therapy in the form of radiotherapy and/or chemotherapy based on final histology ([10\)](#page-9-0). However, management of EC has become more patient-specific over the past 10 years: differences in the histo-molecular classification predict prognosis and dictate whether adjuvant therapies are required or to be avoided ([10](#page-9-0)) (Figure 1).

In 2013, The Cancer Genome Atlas (TCGA) identified a novel subgroup of ECs with unique mutations in POLE and associated unfavorable histomorphological features but, nevertheless, showing good survival outcomes. This new histo-molecular group was studied in the PORTEC-3 trial for patients with high-risk EC with Fédération International de Gynécologie et d'Obstétrique (FIGO) stage I–III, which investigated the benefit of adjuvant chemotherapy during and after radiotherapy over pelvic radiotherapy alone. The results of this trial showed that addition of adjuvant chemotherapy showed improved 5-year overall survival, especially in stage III patients. Crucially, molecular profiling revealed that POLE-mutated patients showed superior outcome, especially in stages I and II, irrespectively of their adjuvant treatment. This led to the author's recommendation of deescalation of adjuvant therapy for POLE-mutated patients ([11,](#page-9-0) [12](#page-9-0)). These findings are limited by the relatively low number of POLE-mutated patients (12%) in general, and the fact that patients with stage III tumor disease showed superior outcome, if they had received a combined treatment. Furthermore, stage IV patients were not included in this trial. In 2016, the TCGA molecular classification was integrated into the ESMO (European Society for Medical Oncology) guideline, and this resulted in an updated risk classification for recurrence in stage I POLE-mutated ECs ([13\)](#page-9-0). The subgroup of POLE-mutated tumors was further integrated into the European Society of Gynecological Oncology (ESGO)/European Society for Radiotherapy and Oncology (ESTRO) and European Society of Pathology (ESP) guidelines for EC, resulting in specific changes in the recommendations for adjuvant treatment [\(3\)](#page-9-0). The ESGO/ESTRO/ESP guidelines recommended that omission of adjuvant treatment should be considered for patients with stage I–II POLE-mutated EC. For the rare patients at stage III–IVA ECs with pathogenic POLE mutations, there are no reliable data on survival regarding omission of adjuvant treatment ([3](#page-9-0)). In CRC as well, genetic testing for POLE was incorporated into the National Comprehensive Cancer Network guidelines in 2022 ([14\)](#page-9-0). Recently, this molecular classification has been integrated into the FIGO staging classification system of 2023. This shows the high clinical impact achieved by molecular characterization, including testing for POLE mutation, for optimal treatment of patients with EC. Although POLE mutant tumors tend to have a favorable outcome, there remain a number of questions to be answered: What is the physiological function and role of Pol e? Is the good prognosis linked to the high mutational burden of POLE-mutated tumors? How is the correct annotation of the "POLE hotspot" mutations achieved? Is each POLE mutation pathogenic? What screening methods are available to determine POLE pathogenicity? What is the role of immunotherapy in POLE-mutated tumors and do POLE variants impact other tumor entities comparably? This review aims to discuss these fundamental questions and to highlight the current controversies related to this topic.

# 2 Molecular characteristics of POLE

Accurate replication of DNA prior to cell division is essential for maintaining genomic stability and for suppressing mutagenesis and tumor development [\(15\)](#page-9-0). The high fidelity of eukaryotic DNA replication is due to a combination of highly accurate base



incorporation and 3′-5′ exonuclease proofreading by the replicative DNA polymerases Pol  $\delta$  and Pol  $\varepsilon$  and post-replication surveillance of the newly synthesized DNA by the mismatch repair (MMR) apparatus  $(5)$  $(5)$ . In humans, Pol  $\varepsilon$  belongs to the B family polymerases, comprises four subunits, and is encoded by POLE ([5](#page-9-0)). The proofreading function of Pol e requires highly conserved motifs in their exonuclease domain (EDM), named exo-motifs, within which lie the catalytic site residues that are essential for exonuclease activity [\(5](#page-9-0), [15\)](#page-9-0). Misincorporation of a base into the leading strand leads to pausing of the polymerase e and, consequently, to a switch from the catalytic site to the exonuclease domain, where the incorrect base is excised and replaced by the correct base ([16](#page-9-0)).

Considering the close correlation between POLE mutations and increased mutation rates, it is important to define the tumor mutational burden (TMB) when describing tumor biology. TMB indicates the number of single mutations per megabase presented in the specimen. A high TMB describes a highly mutated tissue, which could be considered to have more aggressive biological behavior. More specifically, hypermutation is defined by a mutational load of 10 or more mutations per megabase ( $\geq$ 10 mut/Mb) [\(17\)](#page-9-0), whereas ultramutated tumors show a frequency of base substitution mutations that is equal to or higher than 100 mutations per megabase (ultramutation ≥100 mut/Mb) [\(1\)](#page-9-0).

# 3 Relevance of POLE mutations in endometrial cancer

## 3.1 Pathogenic POLE-EDM mutations in EC —definition, examples, and correlation with TMB

Somatic mutations within the POLE exonuclease proofreading domain (EDM) are found in 7%–12% of ECs [\(18](#page-9-0)), and these are always heterozygous changes ([5,](#page-9-0) [15](#page-9-0)). Approximately 90% of the POLE proofreading mutations are in exons 9 and 13 and are recognized as pathogenic, i.e., driver mutations that are causal for tumor genesis by ultramutation ([19\)](#page-9-0). Generally, there are five common and confirmed pathogenic somatic POLE-EDM mutations that are located at codons 286, 411, 297, 456, and 459 (listed according to their decreasing prevalence). These are defined as "hotspot" mutations, but recurrent substitutions were also found in the complete TCGA EC cohort at codons 367, 424, 295, 368, 436, 444, 278, 428, 465, 352, 396, 402, 453, and 461 (decreasing frequency) ([Table 1\)](#page-3-0) ([1\)](#page-9-0). Most of these somatic substitutions lie within or close to the exo-motifs and will abolish exonuclease activity by causing perturbation of the DNA-binding pocket [\(8,](#page-9-0) [15](#page-9-0)). This affects protein function and subsequently increases the mutation rate ([8](#page-9-0), [15](#page-9-0)).

POLE mutant ECs are by definition ultramutated and exhibit a frequency of base substitution mutations that is among the highest in human tumors [\(1](#page-9-0)). In general, although TMB in POLE mutant ECs is always elevated with a median value of 268 mut/Mb, overall TMB varies not only between different hotspot mutations but also among ECs with the same hotspot mutation [\(1](#page-9-0)). POLE mutant ECs

present distinctive features such as a strong association with endometroid histology, high grade, microsatellite stability (MSS), a low proportion of small insertion and deletion mutations (indels), and a high proportion of C>A and T>G mutations in TCT and TTT tri-nucleotide contexts. These specific biological features are described as COSMIC signature 10 [\(15,](#page-9-0) [18,](#page-9-0) [20](#page-9-0)). ECs with POLE hotspot mutations are associated with a high prevalence of C>A, frequently exceeding 20%, and slightly lower T>G substitutions (13%) ([1](#page-9-0)). Another unique aspect is the correlation with mutations in the Mismatch Repair Genes, which are commonly referred to as microsatellite (in)stability (MSI). Endometrial tumors with POLE mutations in one of the five most common codons and MSI have a high TMB (339 mut/Mb), whereas EC tumors with non-hotspot POLE-EDM mutations and MSI have a lower TMB (median, 207 mut/Mb) [\(1\)](#page-9-0). As expected, ECs with mutations outside the EDM and concomitant MSI status display an even lower TMB of only 48.5 mut/Mb ([1\)](#page-9-0). This raises the question—is the better prognosis of POLE hotspot mutant ECs linked to the concomitant high TMB? High TMB causes genomic instability, which leads to an increased neoantigen expression and activation of the immune system [\(21\)](#page-9-0). This is associated with a better immune response, which has also been seen in other solid tumors ([22](#page-9-0)) and may explain at least, in part, the favorable clinical outcome of POLE mutant tumors [\(21\)](#page-9-0). Incorrect annotation of a POLE variant can lead to erroneous classification of an endometrial carcinoma within the POLEmutated subgroup, and this can impact the clinical management of the patient ([2\)](#page-9-0). How is correct annotation of POLE pathogenicity achieved and does pathogenicity of a hotspot and non-hotspot EDM mutation differ? Of note, the tumor cell content should be determined to provide most accurate information. Analysis of the TCGA endometrial carcinoma cohort using only ECs with a known pathogenic hotspot POLE-EDM as a "truth set" allowed the development of a scoring system, with well-defined cutoff points for examining pathogenicity of POLE variants ([1](#page-9-0), [2](#page-9-0)). In order to understand the scoring system, one has to understand that pathogenicity in this sense is causal for tumor ultramutation and, thus, favors a good clinical outcome. Taking into account the characteristic features of the known pathogenic hotspot POLE-EDM (TMB > 100 mut/Mb, C>A  $\geq$  20%, T>G  $\geq$  4%, C>G  $\leq$  0.6%, and indels  $\leq$  5%), a pragmatic scoring system was developed by Leon-Castillo et al., in which tumors scored 1 point for each of the presented characteristic ([1](#page-9-0)). Hotspot POLE mutation scored 3–5 points, ECs with non-hotspot POLE-EDM mutations scored ≥3 points, whereas ECs with POLE mutations outside the exonuclease domain scored  $\leq$ 2 points, due to the lack of genomic alterations ([1\)](#page-9-0). As pathogenicity increases with recurrent mutations, recurrence was also incorporated into the described POLE-score model. Based on this model, a POLE score ≥4 was used to define pathogenicity of POLE mutations in EC [\(1\)](#page-9-0). ECs with a POLE-score  $\leq$ 2 were classified as having non-pathogenic POLE-EDM, whereas ECs with a score of 3 were classified as variant of uncertain significance [\(1\)](#page-9-0). Considering this POLE-score, only 11 of the 21 different POLE exonuclease domain variants in the TCGA cohort qualified as pathogenic [\(1](#page-9-0), [2\)](#page-9-0) ([Table 1\)](#page-3-0). This illustrates that the presence of a POLE mutation variant alone is not sufficient for classifying an endometrial carcinoma as POLE-mutated, let alone as



<span id="page-3-0"></span>TABLE 1 Fifty-nine somatic POLE–exonuclease domain mutations (EDMs) in the TCGA cohort in EC with 11 pathogenic variants, listed by their frequency ([1](#page-9-0)).

Pathogenic mutations are presented  $(n = 11)$ ; the most common of these are in bold  $(n = 5)$ .

\*TCGA (The Cancer Genome Atlas) cohort: 530 ECs, including 82 tumors with a somatic POLE mutation of which 59 were in the exonuclease domain [\(1](#page-9-0)).

†Pathogenicity was determined according to the developed scoring system by Leon-Castillo et al., in which characteristic features of the known pathogenic hotspot POLE-EDM (TMB > 100 mut/ Mb, C>A ≥ 20%, T>G ≥ 4%, C>G ≤ 0.6%, and indels ≤ 5%) were taken into account. Tumors scored one point for each of the presented characteristic. A POLE-score ≥4 was considered as a pathogenic POLE mutation, a POLE-score = 3 as a variant of unknown significance and a score <3 as non-pathogenic POLE mutation.

pathogenic. The scoring system of Leon-Castillo has not been validated on independent and larger cohorts and, therefore, does not yet represent an international standardized tool for classifying the pathogenicity of hotspot versus non-hotspot EDM and non-EDM POLE mutations and for deciding on potentially de-escalating adjuvant treatment. So far, in the majority of the relatively small early retrospective studies, only the five hotspot mutations were generally classified as POLEmut and considered as a reference category in survival analysis. This was also the case for the data analysis of the larger PORTEC-3 Trial. However, the international meta-analysis on 294 POLEmut ECs included the 11 pathogenic POLE-EDM mutations described above and revealed a recurrence

rate of 3.7%. However, one case was associated with both hotspot mutations P286R and V411L ([23](#page-9-0)). The still recruiting phase II, RAINBO POLEmut-BLUE Trial (NCT05255653-4), also includes the 11 mentioned pathogenic EDM mutations. This first prospective trial of POLEmut EC is investigating complete omission of adjuvant therapy in lower-risk disease and deescalation of treatment (observation versus radiotherapy, but not chemoradiation) in higher-risk disease ([2](#page-9-0)). The outcome of RAINBO-BLUE will shed light on the mutations for which a deescalation in the adjuvant treatment can be justified without concern. However, it is unclear if POLE pathogenicity is best assessed using a scoring system, TMB, or associated MSI status. Nevertheless, as whole-genome/exome sequencing techniques become more widely available, the current list of 11 pathogenic POLE-EDMs will increase in the near future, along with the need to precisely annotate defined POLE mutations. However, more evidence will be needed before new pathogenic mutations are included among those currently known to improve prognosis and thereby affect routine treatment decisions.

## 3.2 POLEmut EC in the context of the TCGA classification

Historically, EC has been classified into two subtypes (Bokhman classification) based on their clinical, endocrine, and histopathological characteristics ([10](#page-9-0), [24](#page-9-0)) In the last decade, molecular characteristics became components of Bokhman's dualistic classification ([25](#page-9-0)). However, the substantial heterogeneity of EC was not represented in this dichotomous classification ([25](#page-9-0)). In 2013, analysis of TCGA identified four new genomic classes of ECs by combining information on somatic mutational burden and somatic copy number alterations ([18](#page-9-0)). In recent years, Murali et al. [\(25\)](#page-9-0) suggested that incorporation of molecular and genetic characteristics into the classification reflects tumor biology and prognostic outcome in EC more accurately. Traditionally, multiple factors such as histological subtype, G3 histology, myometrial invasion ≥50%, lymphovascular space invasion (LVSI), lymph node metastases, tumor diameter >2 cm, and presence of L1 cell adhesion molecule (L1CAM = CD171) have been identified as conferring high risk for recurrent disease ([26](#page-9-0)). In more recent years, surrogate markers have been identified and incorporated in routine surgical pathology in order to allow identification of the four genomic classes of EC [\(2](#page-9-0), [13\)](#page-9-0). This entails sequencing of the exonuclease domain of POLE and assessment of the expression of MMR proteins (MLH1, PMS2, MSH2, and MSH6) and p53 by immunohistochemistry. This results

TABLE 2 International molecular classification of EC.

in the current molecular classification of ECs into four new subgroups: POLEmut (ultramutated), MMR-deficient (MMRd), p53-abnormal (p53abn), and no specific molecular profile (NSMP), which represents the most heterogenous group (Table 2) ([2,](#page-9-0) [13](#page-9-0)). The ProMisE (Proactive Molecular Risk Classifier for Endometrial Cancer) approach has been proven to be a reliable method for classifying tumors into the four subclassifications of TCGA. These four subgroups also show significant differences in clinical outcomes.

However, 3%–6% of EC tumors are referred to as multipleclassifiers, i.e., at first appearance, they belong to more than one molecular class and include those with combined POLEmut and p53abn, combined MMRd and p53abn, combined MMRd and POLEmut and a combination of all three defects (MMRd-POLEmut-p53abn) ([27\)](#page-9-0). Nevertheless, recently, a study has shown that multiple-classifier POLEmut-p53abn and MMRd-POLEmut can be categorized as single-classifier POLEmut and MMRd-p53abn as single-classifier MMRd EC ([27](#page-9-0)). These findings result in a top-down classification hierarchy with POLEmut situated on top, followed by MMRd. In other words, if a patient's tumor exhibits a p53abn status and a POLEmut, then no adjuvant therapy would be needed to treat such a patient in case of a stage I–II tumor. However, the current guidelines do not respect multiple classifiers yet. Furthermore, the data are yet limited on this subject.

The ESGO/ESTRO and the ESP 2021 guidelines integrated the molecular subgroups with traditional clinicopathological features into a novel risk stratification system for assessing the relative risk of recurrence and guiding treatment decisions ([2](#page-9-0), [3\)](#page-9-0). The molecular characteristics have also been recently incorporated into the 2023 version of the FIGO staging classification system for EC. This new risk stratification system relies on the identification of surrogate markers that show a good relationship with clinical outcomes. However, implementation and interpretation of the surrogate markers in clinical practice remains challenging, especially for the POLE variants ([2](#page-9-0)). The POLE-mutated class represents the smallest



POLEmut, polymerase epsilon–ultramutated; MMRd, mismatch repair–deficient; NSMP, no specific molecular profile; TCGA, The Cancer Genome Atlas; IHC, immunohistochemistry; MMR, mismatch repair; MLH, MutL homolog; MSH, MutS protein homolog; PMS2, postmeiotic segregation increased 2; MSI, microsatellite Instability; BMI, body mass index; mut/Mb, mutations per megabases.

#### TABLE 3 New 2023 FIGO endometrial cancer stage with molecular classification.



POLEmut, polymerase epsilon–ultramutated; p53abn, p53abnormal; LVSI, lymphovascular space invasion.

subgroup (7%) of ECs and is defined by somatic mutations in the catalytic subunit of the EDM of POLE ([18](#page-9-0), [28](#page-9-0)). Sixty percent of POLE ultramutated ECs are high-grade endometrioid lesions and 35% harbor a mutation of the TP53 gene ([10](#page-9-0)). Nevertheless, among POLE multiple-classifier cases, POLEmut outweighs the other described mutational defects. The new ESGO/ESTRO/ESP guidelines recommend that patients with stage I–II POLEmutated EC do not need adjuvant treatment, irrespective of p53abn or MMR status. Such assessments are independent of traditional high risk factors ([3](#page-9-0)). Patients with EC-classified POLEmut have an excellent prognosis and are expected to benefit from a de-escalation of postoperative adjuvant treatment, whereas patients with a POLE-unrelated p53abn EC have a worse prognosis and, thus, are expected to benefit from an intensification of treatment [\(4](#page-9-0)). The presence of a pathogenic POLE or  $p53$ mutation leads to a significant modification of the FIGO stage in early EC, in terms of downstaging or upstaging of disease (Table 3) ([4\)](#page-9-0).

Thus, stage II tumors with a POLEmut are now classified as Stage IA $m_{\text{POLEmut}}$ , whereas stage I tumors with a  $p53$  mutation are classified as Stage IIC $m_{p,53abn}$  (Table 3).

# 4 Impact of POLE variants in other tumor entities and controversies

Given how the presence of a POLE-EDM mutation impacts the outcome and especially the therapeutic approach in EC, this raises the question—does this impact and approach generalize to other tumor entities? The oncological literature on this topic is expanding rapidly, and, almost in every field, there is an effort to identify possible pathogenic POLE-mutated variants.

## 4.1 Ovarian cancer

There is an increasing interest in POLE mutations in ovarian cancer (OC). Four of the five POLE hotspot mutations (P286R, S297F, V411L, and A456P) have been found in an OC cohort of 195 patients, with POLE mutations found in 1.5% of tumors. All such tumors were of the endometroid histotype and had an earlier onset with an average age at diagnosis of 48 years [\(6\)](#page-9-0). However, Parra-Herran et al. [\(29\)](#page-9-0) analyzed POLE-EDM mutations in ovarian clear cell cancer (OCCC) but could not detect any pathogenic POLE mutation in a total of 47 cases. Nevertheless, several variants of unknown significance were detected. Among endometroid OCs, the overall incidence of POLE mutations appears to be up to 8% with a high proportion of heterozygous POLE p.297 mutations ([30\)](#page-9-0). Furthermore, in endometroid OCs, POLE-mutated cases present at an early stage (75% at FIGO stage I), and none was staged FIGO III or higher. All mutations were somatic mutations at P286R and V411L, and the patients had an uneventful clinical course without recurrence. These data are extrapolated from three single center studies and are not inadequate for establishing the overall prevalence of POLE mutations among all OCs. A study by Leskela et al. [\(31\)](#page-9-0) determined MMR, p53 and POLE-EDM in early stage endometrioid OCs based on the molecular classification used for EC. Five tumors (3%) were double classifiers, whereas most of the cohort (66%) belonged to the NSMP (no specific molecular profile) group. In the POLE-EDM– mutated group, tumors (overall 8%) were ultramutated and showed higher infiltrations of CD8-lymphocytes compared with the rest of the cohort. Although the prognosis did not differ among subgroups in the multivariate analysis, a tendency toward better prognosis in POLE-mutated and a worse prognosis in p53 abnormal tumors was noted [\(31\)](#page-9-0).

### 4.1.1 Conclusion—POLE in OC

The role of POLE-EDM mutations in endometroid OC is currently emerging in the oncological literature. It has been shown that POLE mutations are more common in endometroid OC and are associated with younger age and earlier stage at diagnosis. Whether POLE mutations also lead to a better prognosis in endometroid OCs remains unconfirmed, and there appears to be a rationale for testing patients with early onset endometroid OCs, as they could be candidates for immunotherapy.

## 4.2 Colorectal cancer and urological cancers (prostate and bladder cancers)

The role of POLE-EDM mutations with regard to pathogenesis, prognosis, and therapeutic options has been widely investigated in CRC over the past few years [\(9\)](#page-9-0). Ultramutated phenotypes with a high TMB (cutoff >150mut/Mb) can help to identify possible POLEmutated CRCs and to guide selected screening. Furthermore, CRC POLE-mutated tumors are mainly diagnosed at relatively younger age (before 55 years) and at an early stage ([14](#page-9-0), [32](#page-9-0)–[34\)](#page-9-0).

Genomic studies of urothelial bladder carcinomas from the TCGA cohort have revealed a prevalence of 6.1% for POLE mutations ([7\)](#page-9-0). These POLE mutant urological cancers present known pathogenic hotspot mutations with a high TMB and a durable response to ICI (immune checkpoint inhibitor) therapy ([35,](#page-9-0) [36\)](#page-9-0).

A summary of the known POLE-EDM mutations in different tumor entities is presented in [Table 4](#page-6-0).

<b>Tumor location</b>	Described mutation $^1$	Clinical characteristics	Prevalence	Level of evidence
Endometroid ovarian cancer	P286R, S297F, V411L, A456P No cases in OCCC	Younger age at diagnosis; early stage; lower recurrence rates: higher CD8- lympocytes infiltration	$1.5\% - 8\%$	Retrospective single center studies (6, 29-31)
Colorectal cancer	P286R, V411L, S459F	Younger age at diagnosis; early stage	About 6%	Meta-analysis from retrospective single center studies (9, 14, 32–34)
Urothelial bladder carcinomas	P286R	Durable response to ICI therapy	About 6%	Retrospective single center studies (7, 35, 36)

<span id="page-6-0"></span>TABLE 4 Described pathogenic POLE-EDM mutations in different tumor entities.

<sup>1</sup>From the five defined pathogenic "hotspot" mutations; OCCC, ovarian clear cell cancer; ICI, immune checkpoint inhibitor.

# 5 The problem with screening techniques for identifying POLE-EDM variants and new possible surrogate markers (TILs and Immunoscores)

Evaluation of a pathogenic POLE mutation remains challenging, as parameters and methods that allow a standard procedure in clinical practice have not been validated as yet. Whole-exome or wholegenome sequencing (WES/WGS) by Sanger or next-generationsequencing can be used to identify POLE mutations in the exonuclease domain (exons 9–14). However, these methods are timeconsuming, not widely available, and expensive; require expertise; and, therefore, limit routine use in current clinical practice. Estimation of pathogenicity of somatic POLE mutations in the absence of exome and genome sequencing has been carried out by some authors by using in silico prediction tools ([1](#page-9-0)). Although this seems to be a feasible technique, the setting prognosis relies on the sequencing tools that have been used. Clinical practice requires a POLE testing method that is not only affordable, with a fast turnaround time, but also easy to interpret and implement. Therefore, sequencing methods restricted to the analysis of the hotspot POLE exonuclease domain mutations could present an alternative technique and have been developed recently by several research groups. Deveraux et al. ([37,](#page-9-0) [38](#page-9-0)), for example, use a single-gene POLE hotspot SNaPshot assay in their routine prospective molecular classification of ECs. This technique involves an initial PCR amplification of the relevant gene target regions of the POLE-EDMs, followed by multiplexed single-nucleotide primer extension [\(38\)](#page-9-0). Van den Heerik et al. [\(39\)](#page-9-0) created a quantitative polymerase chain reaction (qPCR) assay for pathogenic POLE mutations (QPOLE). So far, there is no standardized method that allows determination of POLE mutations in ECs in clinical practice. Moreover, a workflow that reports both the molecular and histologic findings in an integrative manner is still not available. However, the integration of molecular classification together with clinicopathologic features into the ESGO/ESTRO/ESP guidelines and into the novel 2023 FIGO staging classification system shows the high clinical impact that testing of POLE mutation has in the patients' treatment and management. This issue cannot be ignored any longer. It is critically necessary that the clinical assay used in daily practice reliably identifies POLE mutations in the hotspot POLE-EDM as their role in tumor biology and their therapeutical consequences are known. However, as new hotspot POLE-EDM mutations continue to emerge, their clinical role must be rapidly evaluated, and they should be incorporated into validated assays as appropriate. One indirect approach to identify POLE-mutated cancers is by looking at the number of tumor-infiltrating lymphocytes (TILs), as we know that a highly mutated microenvironment expresses more antigens and, therefore, activates the host's immune system. For example, in CRC cases, MSI tumors were TIL-high (≥4 lymphocytes per high-power field), in 68% of cases with a TMB of 54 mut/Mb, whereas MSS CRCs were only TIL-high in 4.5% of cases. In contrast, MSS CRC tumors with POLE/POLD1 pathogenic variants were TIL-high in 82% of cases and had a TMB over 150 mut/Mb. These differences in tumoral immunity provide the rationale for immunotherapy [\(40\)](#page-9-0). A possible way to screen for patients who might benefit from immunotherapy even if they are MMR-intact could be by using an immune microenvironment evaluation system such as that described by Galon et al. [\(41\)](#page-9-0). In CRC, the Immunoscore (IS) has been shown to be a prognostic factor superior to the previous tumor, node, metastasis (TNM) classification of malignant tumors. There are ongoing validation and promotion initiatives to increase the use of IS in routine clinical settings ([41\)](#page-9-0). There are several ongoing clinical trials assessing the efficacy of ICI therapy for treating patients with POLE/ POLD1 mutations, especially for metastatic CRC ([40,](#page-9-0) [42](#page-9-0)–[44\)](#page-9-0). Different systems of immunoscoring have also found application in gastric and endometrial cancer ([45](#page-10-0)), but further prognostic studies are needed to validate the routine use of ISs in routine clinical practice.

# 6 Effects of POLE mutations on prognosis and their therapeutic consequences—role of immunotherapy

In EC, the ultramutated phenotype caused by POLE-EDM mutations has been shown to cause a "self-limiting" tumor progression with excellent prognosis after surgery. This has been demonstrated even without adjuvant treatment in patients previously classified as "high" or "intermediate-high" risk [\(10,](#page-9-0) [46\)](#page-10-0). The Identification of pathogenic POLE mutations in earlystage EC also plays a crucial role when considering fertility sparing <span id="page-7-0"></span>treatments (FSTs) such as hormonal therapy or hysteroscopic resection in young women [\(47,](#page-10-0) [48](#page-10-0)). Improving risk stratification for FST is one of the future targets, because the molecular classification and different molecular markers emerging are changing the risk profile assessment for patients. A preliminary molecular analysis of an endometrial biopsy is, therefore, necessary in patients with desire to conceive or in case of organ sparing. Pathologists should systematically perform a molecular analysis of hysteroscopic biopsy samples, including the sequencing for the presence of the POLE mutation. In OC of the endometrioid histological subtype, there is reason to believe that POLE mutations lead to a better prognosis, but further confirmation is needed ([49](#page-10-0)). Although there are many molecular studies on POLEmutated CRCs, data on the clinical implications of the POLE ultramutated phenotype are lacking. In some studies of POLE-EDM–mutated stage II CRCs, a robust intratumoral T-cell response was detected in a small subset of cases with excellent outcomes [\(50\)](#page-10-0). However, a significantly better prognosis across all stages of CRC has not been confirmed as yet (as in the case of ECs). Therefore, the therapeutic management of CRC is currently not impacted by the presence of a POLE mutation. In other solid tumors such as pancreatic cancer, mutations in the hotspot regions of POLE are very rare events. In advanced pancreatic cancer, it is highly unlikely that POLE mutations contribute to genetic instability; therefore, POLE mutations do not serve as a relevant biomarker and should not be tested for on a regular basis [\(51\)](#page-10-0). In a totally different oncologic entity, namely, high-grade gliomas (HGGs), a subgroup based on somatic POLE mutations has been identified. Such cases are genomically, histologically, and clinically different from the other HGGs and exhibit an improved prognosis [\(52\)](#page-10-0). Most recently, two trials have clarified the role of ICI for the treatment of EC. These two big randomized phase III trials (RUBY and GY018) with still limited follow-up did so far not change the practice for POLEmutated EC [\(53](#page-10-0)). Over the last several years, an enormous effort has been made with regard to EC to find new biomarkers that can accurately identify patients who can benefit from immunotherapy even if they are not MMR deficient. In silico analysis has proved that POLE mutant cancers display more antigenic neoepitopes than other ECs, providing a potential rational for POLE immunogenicity ([54](#page-10-0)). Yet POLE-mutated tumors are currently not a recommended indication for ICIs even in advanced and metastatic EC cases. On the other hand, CRCs have recently received approval for treatment of MSI CRC with ICIs. Assessment of POLE status may help guide therapeutic decisions for tumors with high TMB and intact MMR. Recent reports have shown that, even in advanced CRC or multiresistent disease, patients can significantly benefit from ICIs, if they harbor a pathogenic POLE mutation ([55](#page-10-0)–[57\)](#page-10-0). A case report of a high-grade CRC with POLE-EDM (P286R) mutation and TMB of 119 mut/Mb described triple-chemotherapy being ineffective, whereas ICIs had a significant impact on progression-free survival (PFS) ([55](#page-10-0)). Another example involved the case of a 24-year-old male patient with an aggressive stage IV high-grade, poorly differentiated CRC, where response was complete and durable (over 48 months) with a single-agent ICI after rapidly progression with standard chemotherapy. Genetic testing of this case revealed a P286R POLE mutation and an elevated TMB of 126 mut/Mb [\(57\)](#page-10-0).

These cases highlight the interplay between genetic instability and immune-checkpoint blockade. In a case report of OC (OCCC inoperable at stage IIIB), resistant to platinum-based chemotherapy, the same P286R POLE mutation was found, and the third-line treatment attempt with a programmed cell death protein 1 (PD-1) inhibitor showed a tumor with postoperative pathologic complete response. The patient achieved a PFS of 29 months under maintenance with ICI therapy [\(58](#page-10-0)). Therefore, even if the ProMisE classification does not find an application in OC, the simple presence of POLE hotspot mutation can, in exceptional cases, guide for treatment with ICIs.

# 7 Ongoing trials for POLE-mutated endometrial cancers

At the present, it is important to acknowledge that the changes in clinical treatment of POLE-mutated ECs as recommended by the ESGO/ESTRO/ESP guideline of 2021 are based on much less data than what is typically used for such profound and nearly dogmatic shifts in clinical care. Evaluation of the benefit of these clinical and therapeutic changes through prospective studies can help provide more information on this issue. Currently, prospective clinical trials, such as PORTEC-4 and TAPER, are ongoing and will shed light and yield more insights whether POLE-mutated ECs have a favorable outcome even without or de-escalated adjuvant treatment. The TAPER trial is an interventional study based on tailored adjuvant therapy in POLE-mutated and p53 wild-type/NSMP early-stage EC. Its primary objective is to determine if women with cancers with the specific molecular characteristics who

TABLE 5 A summary of relevant concluded or ongoing trials about ICIs and adjuvant treatments in EC based on molecular classification.

<b>Trial</b>	Full name of trial	<b>Results</b>	
PORTEC- 4а	Molecular profile-based versus standard adjuvant radiotherapy in EC	Study completion estimated: 31 December 2028	
<b>RAINBO</b>	Refining adjuvant treatment in EC based on molecular features	Study completion estimated: 1 January 2023	
<b>TAPER</b>	Adjuvant therapy in POLE- mutated and p53 wild-type/ NSMP early stage EC	Study completion estimated: 30 <b>Iune 2029</b>	
<b>RUBY</b>	A study to evaluate dostarlimab plus carboplatin- paclitaxel versus placebo plus carboplatin-paclitaxel in participants with recurrent or primary advanced EC (RUBY)	Conclusion: Dostarlimab plus carboplatin-paclitaxel significantly increased PFS among patients with primary advanced or recurrent EC, with a substantial benefit in the dMMR-MSI-H population.	
GY018	Testing the addition of the immunotherapy drug pembrolizumab to the usual chemotherapy treatment (paclitaxel and carboplatin) in stage III-IV or recurrent EC	Conclusion: In patients with advanced or recurrent EC, the addition of pembrolizumab to standard chemotherapy resulted in significantly longer PFS than with chemotherapy alone.	

underwent adequate surgery have a relative low risk (lower than 5%) of pelvic and vaginal recurrence at 3 years with no or deescalated adjuvant treatment ([59\)](#page-10-0). The PORTEC-4a trial is a randomized phase III trial of molecular profile-based versus standard recommendations for adjuvant radiotherapy in stage I EC and is focused on cancers classified as high-intermediate risk according to the ESMO-ESGO-ESTRO consensus of 2015. The primary endpoint is vaginal recurrence, and other oncologic but secondary endpoints are recurrence-free and overall survival, as well as pelvic and distant recurrence. As, in this study, all patients with POLE-mutated cancers are allocated without restrictions to the "favorable molecular risk group" with omission of vaginal brachytherapy and external pelvic beam radiotherapy, this trial is very likely to extend our knowledge on prognosis of POLE-mutated cancers at least in this prespecified subset of patients. First results are expected at the end of 2024 or in the spring 2025, and study completion is set for 2028 with 550 patients enrolled ([60](#page-10-0)). Lastly, the blue arm of the RAINBO trial is also focused at POLE-mutated ECs. The RAINBO program is a platform of four international clinical trials and an overarching research program, including a randomized PHASE III trial with three arms for p53-abn EC (red), MMRd EC (green), and NSMP (orange) ECs. The POLEmut-BLUE trial is a phase II trial in which the safety of de-escalation of adjuvant therapy is investigated for women with stage I–III POLEmut EC. This trial will evaluate no adjuvant therapy for lower-risk disease and no adjuvant therapy or radiotherapy alone with omission of concomitant chemotherapy for higher-risk disease. The primary endpoint of this trial will be pelvic recurrence at 3 years. This study is in the recruiting phase and main trial results are expected in 2028 ([61](#page-10-0)).

A summary of relevant concluded or ongoing trials about ICIs and adjuvant treatments in EC based on molecular classification is shown in [Table 5.](#page-7-0)

# 8 Conclusion

POLE mutational status in EC is of great clinical interest. It determines the prognosis of the patient, and the FIGO classification system 2023 stipulates that its presence should result in a significant de-escalation of adjuvant treatment. In the future, unresolved questions will be better answered by the results of the POLEmut-BLUE arm of the prospective phase II RAINBO trial, where even stage III patients are included. POLE-mut ECs are assigned depending on risk status either to an observational arm with complete omission of adjuvant treatment or to radiotherapy alone. We describe in detail that the sole presence of a POLE variant is not sufficient to classify a tumor as POLEmutated or to classify a POLE mutation as pathogenic. For that, the exact localization of the mutation in the POLE gene needs to be known. It has a higher probability of being pathogenic when it is located in the EDM of Pol e. However, unanswered questions, such as the exact molecular pathways associated with the good prognosis of POLE mutations, remain unclear and, therefore, unmentioned. Due to inconsistencies in POLE mutation testing and its interpretation, the study investigators have advocated a concomitant TMB determination in order to underscore the pathogenicity of the POLE-EDM mutation. Performed as a routine procedure, this appears to be one of the best and easiest approaches to identify new pathogenic POLE mutations. We are hopeful that the increasing knowledge on the exact oncologic driver qualities of POLE mutations together with the outcome of mentioned prospective clinical research will enable reassured avoidance or at least de-escalation of adjuvant treatment in EC without harming patients by under- or overtreatment.

## Author contributions

JK: Conceptualization, Writing – original draft, Writing – review & editing. TP: Conceptualization, Writing – original draft, Writing – review & editing. CM: Writing – review & editing. AZ: Conceptualization, Writing – original draft, Writing – review & editing.

# Funding

The author(s) declare financial support was received for the research, authorship, and/or publication of this article. This work was supported by the "Verein zur Krebsforschung in der Frauenheilkunde," an association that is exclusively financed by donation funds for cancer research in female malignancies (grant number 01722).

# Acknowledgments

The authors thank Professor K.S. Joseph for reviewing the manuscript with regard to syntax and grammar issues.

# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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