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# Neoadjuvant osimertinib and chemotherapy for stage IIIA primary pulmonary carcinosarcoma with EGFR 19DEL mutation: A case report

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Epidermal growth factor receptor (EGFR) mutations have been frequently detected in patients with pulmonary adenocarcinoma. EGFR Exon 19Del and 21L858R mutations are the two most common EGFR mutations. EGFR-tyrosine kinase inhibitors (TKIs) are widely employed to treat patients with non-small cell lung cancer (NSCLC) harboring EGFR mutations. Recently, there has been rapid growth in clinical trials assessing neoadjuvant targeted therapy, indicating good application prospects owing to high efficiency and low toxicity. Herein, we discuss the case of a 56-year-old male patient who was initially diagnosed with stage IIIA pulmonary adenocarcinoma (AJCC, 8<sup>th</sup> edition) of the left lower lung with an EGFR Exon 19Del mutation. The patient was treated with osimertinib but failed to undergo timely review and surgery. Subsequently, the patient underwent two cycles of neoadjuvant chemotherapy (NAC) combined with neoadjuvant targeted therapy. After the tumor load and size had significantly decreased, radical surgery was successfully performed under thoracoscopy. However, postoperative pathology revealed carcinosarcoma, pT2aN0M0, stage IB, and the pathological response was 50%. The present case report provides practical clinical evidence for the application of neoadjuvant targeted therapy combined with chemotherapy for locally advanced primary pulmonary carcinosarcoma with EGFR mutation.

## KEYWORDS

osimertinib, chemotherapy, neoadjuvant, pulmonary carcinosarcoma, EGFR

## 1 Introduction

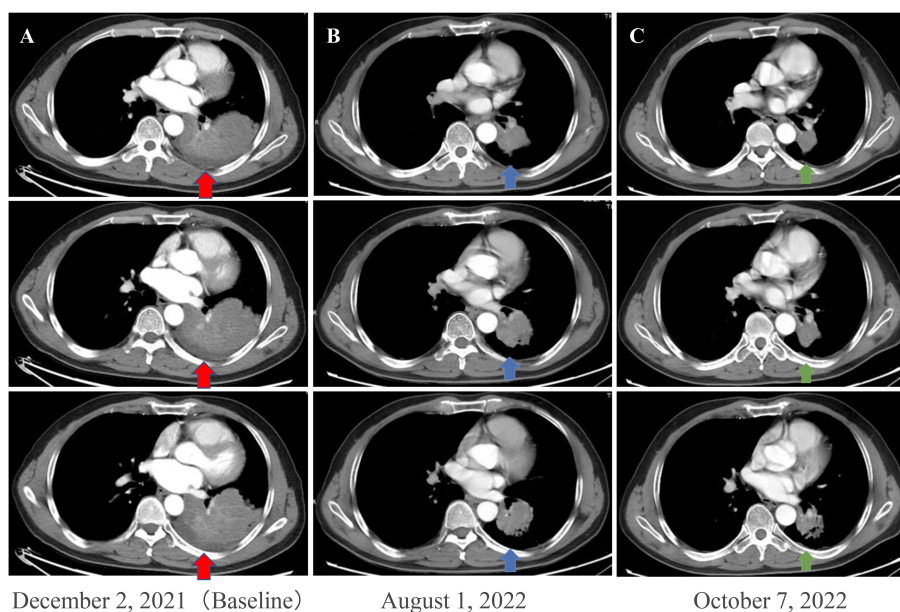
Osimertinib, the first approved third-generation irreversible selective inhibitor of epidermal growth factor receptor (EGFR) mutations, has been widely used in patients with advanced NSCLC harboring Exon 19Del/21L858R mutations. Osimertinib reportedly exhibits marked efficacy in untreated patients with EGFR mutations, especially those with the EGFR Exon 19Del mutation, thereby affording a longer progression-free survival than first-generation EGFR-TKIs with a similar safety profile (1). On April 14, 2021, the China National Medical Products Administration officially approved the application of osimertinib for the adjuvant treatment of patients with stage IB-III A NSCLC harboring EGFR Exon 19Del/21L858R mutations. Considering a prospective clinical trial assessing neoadjuvant targeted therapy, preliminary results have revealed that osimertinib affords substantial clinical effects and good safety, reducing the complexity and scope of surgical resection and improving surgical efficacy (2).

According to the World Health Organization (WHO) classification of thoracic tumors (2021), pulmonary carcinosarcoma (PCS) is a rare type of pulmonary sarcomatoid carcinoma (PSC), accounting for only 4% of PSCs and approximately 0.27% of malignant lung tumors, associated with poor prognosis (3). PCS is more common in middle-aged and elderly male patients than that in female patients, and most patients typically have a prolonged history of heavy smoking. PCS is a special category of lung malignancy with malignant epithelial and mesenchymal components, either clearly demarcated or mixed. Malignant epithelial components mainly include squamous cell carcinoma and adenocarcinoma, whereas malignant mesenchymal

components primarily include rhabdomyosarcoma, chondroid sarcoma, and osteosarcoma. Undifferentiated pleomorphic sarcomas are rare. Clinical manifestations are nonspecific, including cough, bloody sputum, chest pain, low fever, emaciation, fatigue, and other discomforts. Chest computed tomography (CT) scans frequently exhibit large lobulated masses prone to bleeding and necrosis. PCS is likely to be misdiagnosed as simple pulmonary carcinoma or sarcoma upon both bronchoscopic biopsy and peripheral puncture biopsy owing to the limited sample size; thus, pathological examination with complete excision is needed to further confirm the diagnosis.

## 2 Case report

A 56-year-old male patient with dry cough, weight loss (2 kg) over 2 months, and a history of smoking (> 35 years), without expectoration, hemoptysis, fever, chills, or dyspnea, was admitted to the Department of Respiratory Medicine at our hospital on December 2, 2021. Family history and physical examination revealed no positive findings. He had an Eastern Cooperative Oncology Group (ECOG) performance status of 1. Enhanced chest CT showed occupation of the left lower lung (approximately 93 mm × 70 mm), with no obvious enlargement of the mediastinal lymph nodes (Figure 1A). Electronic bronchoscopy revealed an external pressure stenosis of the basal branch of the lower lobe of the left lung. Ultrasound-guided puncture biopsy of the left lower lung mass revealed moderately differentiated adenocarcinoma (Figures 2A, B). No signs of metastasis were detected on upper abdominal enhanced CT,



**FIGURE 1**  
Enhanced chest CT scans of the patient during neoadjuvant therapy. (A) Baseline imaging demonstrating a 93 mm × 70 mm abnormal lung mass (red arrows) in the lower lobe of the left lung. (B) After 210 days of osimertinib therapy, the chest CT scan shows mass shrinkage (blue arrows) to 48 × 44 mm, achieving a partial response (PR). (C) After two cycles (42 days) of osimertinib and chemotherapy, the chest CT scan shows mass shrinkage (green arrows) to 40 mm × 37 mm, achieving a sustained partial response (sPR). CT, computed tomography.

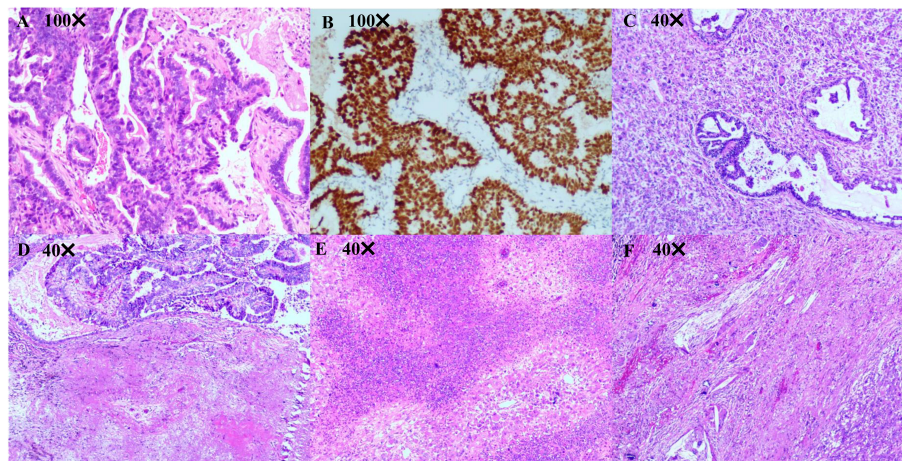


FIGURE 2

Pathological diagnosis. (A, B) Pathological diagnosis of the lung biopsy revealing a moderately differentiated lung adenocarcinoma. Immunohistochemistry results are presented as follows: TTF-1(+), Napsin A (+), CK7 (+), CK5/6 (-), P40 (-), Ki67 (50%+), Syn (-), and CgA (-). (C-F) Pathological diagnosis of lung surgery is carcinosarcoma (adenocarcinoma accounts for approximately 40% and undifferentiated pleomorphic sarcoma accounts for approximately 60%) with a size of 40 mm × 33 mm × 26 mm, and the visceral pleura appears uninvolved. No tumor involvement can be observed in the bronchial stump, with no tumor metastasis in the lymph nodes of each group (-, 0/14). Immunohistochemistry outcomes were presented as follows: CK(pan)(partial+), Vimentin (partial+), TTF-1(partial+), CK7 (partial+), NapsinA (partial+), P40 (-), CK5/6 (-), Syn (-), CD56 (few+), S100 (-), SMA (-), Desmin (-), Calponin (-), MyoD1 (-), Myogenin (-), P53 (90%+), Ki67 (60%+), CD31 (partial +), ERG (Vascular +).

whole-body bone imaging, or brain magnetic resonance imaging (MRI). The tumor was classified as stage IIIA (cT4N0M0). Next-generation sequencing (NGS) analysis (including EGFR, ALK, ROS1, MET, RET, KRAS, BRAF, NRAS, HER2, PIK3CA, and TP53) indicated EGFR Exon 19Del and TP53 mutations (Supplementary Material). Following a discussion with the multidisciplinary team at our hospital (including respiratory physicians, oncologists, thoracic surgeons, pathologists, and radiologists), surgical resection combined with adjuvant or neoadjuvant targeted therapy (osimertinib) was recommended. The patient received immediate neoadjuvant targeted therapy (osimertinib 80 mg orally once daily with or without food). However, regular re-examinations and surgery were not performed as required.

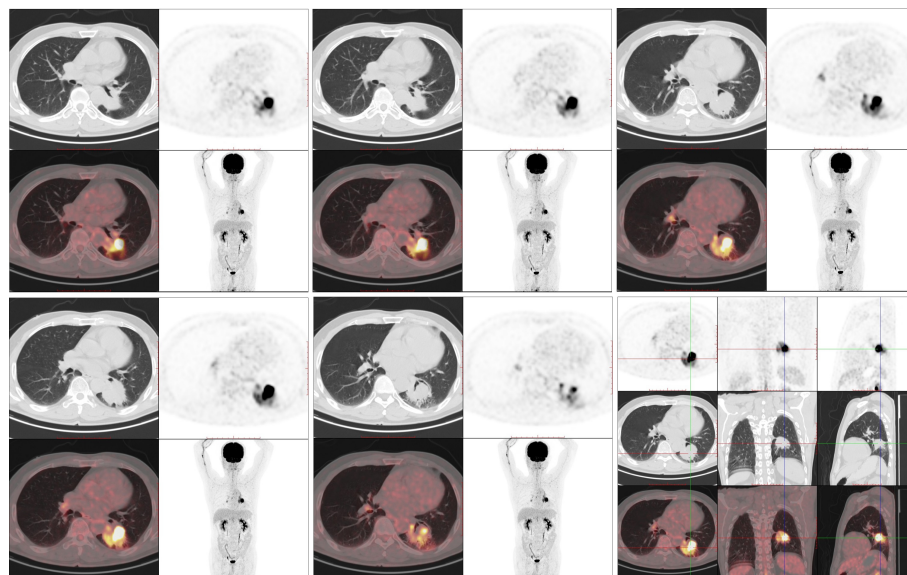
On August 1, 2022 (210 days after osimertinib therapy), the patient visited the hospital for a re-examination. Based on enhanced chest CT, the tumor in the left lower lung was significantly reduced (Figure 1B). Radiographic assessment was partial response (PR) based on the Response Evaluation Criteria in Solid Tumors version 1.1 (RECIST 1.1). Subsequent positron emission tomography (PET)-CT displayed a tumor in the left lower lung accompanied by increased glucose metabolism (SUV<sub>max</sub>:27.998) and no other signs of metastasis (Figure 3). There was no obvious abnormality on the enhanced brain MRI. Moreover, no notable adverse reactions were observed during osimertinib treatment. Surgery was recommended, and the patient and his family requested consultation before the final determination. From August 25, 2022, to September 16, 2022, the patient received neoadjuvant osimertinib, combined with neoadjuvant chemotherapy, a two-cycle PC regimen (pemetrexed + carboplatin). After chemotherapy, the patient developed moderate gastrointestinal reactions with no obvious myelosuppression. On October 7, 2022,

an enhanced chest CT scan showed progressive tumor shrinkage in the left lower lung, exhibiting a size of approximately 40 mm × 37 mm (Figure 1C). Radiographic assessment revealed sustained PR (sPR). The urgency of surgery was well-communicated with the patient and his family, and thoracoscopic left lower lobectomy, mediastinal lymph node dissection, and bronchoplasty were successfully completed on October 14, 2022. Postoperative pathological diagnosis was carcinosarcoma with marked necrosis, interstitial degeneration, inflammatory cell infiltration, cholesterol crystals, and small vascular hyperplasia (Figures 2C-F). Complete resection was performed, and the pathological response was 50%. The final postoperative pathological stage was pT2aN0M0, stage IB. The patient recovered well post-surgery. Considering the diagnosis of rare primary PCS post-surgery, NGS analysis was re-performed on November 05, 2022, which revealed EGFR Exon 19Del and TP53 mutations (Supplementary Material). The patient requested continued adjuvant targeted therapy with osimertinib and regular review. Owing to the impact of the coronavirus disease 2019 (COVID-19) pandemic, the patient was telephonically followed up for three months, exhibiting good health to date (January 14, 2023). The process of clinical diagnosis and treatment for this patient is shown in Figure 4.

### 3 Discussion

Although stage IIIA NSCLC is potentially resectable, traditional treatment options, including preoperative or postoperative chemotherapy, offer similar effects (4). Considering patients with resectable NSCLC without known ALK translocations or EGFR mutations, the emergence of neoadjuvant immunotherapy combined with chemotherapy could markedly prolong the event-





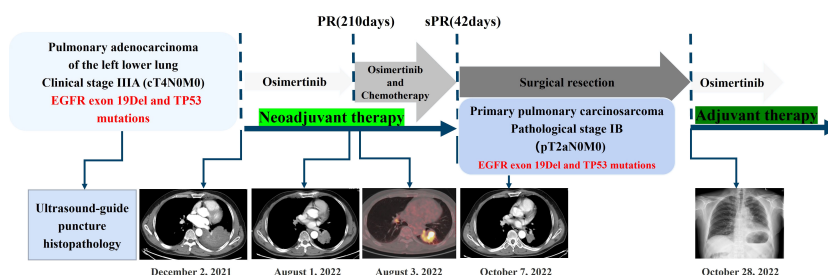
**FIGURE 3**  
The 18F-FDG PET/CT examination (August 3, 2022). 18-FDG PET/CT shows robust 18-FDG uptake in the left lung mass (SUVmax: 27.998) with a size of 45 × 41 mm; no distant metastasis can be observed.

free survival of patients and improve the pathological complete response (pCR) rate, with no increase in the incidence of adverse events, thereby suggesting survival benefits in patients (5). In the present case report, we recommended neoadjuvant EGFR-TKI treatment for NSCLC owing to the high response rate to osimertinib. However, neoadjuvant targeted therapy for resectable NSCLC with EGFR mutations is currently in its infancy. Based on preliminary studies, EGFR-TKIs have good application prospects in neoadjuvant therapy (2, 6). A phase III, randomized, controlled, multicenter, three-arm study assessing neoadjuvant targeted therapy with EGFR-TKI is ongoing (NeoADAURA) (7). The duration of neoadjuvant targeted therapy was found to vary across different clinical studies and was frequently less than 90 days; the optimal neoadjuvant duration remains uncertain (8, 9).

Considering the present patient, the need for prolonged neoadjuvant targeted therapy could be attributed to poor compliance. However, surgery was not performed despite successful downgrading on the first radiographic assessment of PR after 210 days of osimertinib therapy. In patients with advanced NSCLC harboring EGFR mutations,

osimertinib combined with chemotherapy remains safe and tolerable despite increased toxicity (10). Currently, the NeoADAURA study is recruiting patients to evaluate neoadjuvant osimertinib with or without chemotherapy versus chemotherapy alone prior to surgery in patients with operable stage II-IIIb N2 EGFR mutation NSCLC (7). The findings of the NeoADAURA study will likely clarify the most effective combination strategy for neoadjuvant therapy.

TP53 is the most common co-mutant gene in patients with NSCLC carrying EGFR mutations. In addition, TP53/EGFR co-mutations have been associated with poor prognosis (11). Targeted therapy combined with chemotherapy can afford considerable survival benefits in patients with TP53 mutations and a poor prognosis. Moreover, TP53 mutations can shorten the relapse time in postoperative patients who are more likely to benefit from targeted therapy combined with chemotherapy (12). Herein, the postoperative pathology of the patient indicated carcinosarcoma. Establishing whether sarcoma components carry EGFR and TP53 mutations could help further elucidate the pathogenesis of carcinosarcoma and guide postoperative adjuvant therapy. Reportedly, both EGFR



**FIGURE 4**  
A summary of the treatment strategy employed in this patient.



and TP53 mutations exhibit a certain mutation frequency in patients with PCS; however, limited patients carry the same gene mutations in both components (13). Related cases have reported that both pulmonary adenocarcinoma and sarcoma components can simultaneously carry EGFR Exon 19Del mutation (14, 15), corroborating the theory of monoclonal histogenesis (13). In the present case report, we successfully separated the carcinoma and sarcoma components using microdissection technology, revealing that both components harbored TP53 and EGFR mutations using NGS (Supplementary Material).

In the ADAURA study (16), disease-free survival (DFS) was documented in patients who received adjuvant chemotherapy (hazard ratio [HR] = 0.16, 95% confidence interval [CI]: 0.10–0.26), as well as in those who did not receive adjuvant chemotherapy (HR = 0.23, 95% CI: 0.13–0.40). The authors found that the DFS of the osimertinib group was superior to that of the placebo group regardless of disease stage (stage IB–IIIA). However, the ADAURA study failed to clarify whether the combination adjuvant chemotherapy should be undertaken. The Lung Adjuvant Cisplatin Evaluation (LACE) study (17) revealed that cisplatin-based chemotherapy could significantly improve overall survival (OS) and DFS of the overall population, and the absolute OS rate could be significantly increased by 5.4% in 5 years. However, the OS of stage IB patients was not significantly improved (HR = 0.92, 95% CI: 0.78–1.10). According to the CALGB9633 study (18), some patients with stage IB NSCLC (with high-risk factors) could benefit from postoperative adjuvant chemotherapy. Therefore, adjuvant chemotherapy should not be recommended for stage IB NSCLC except in the presence of pathological risk factors for relapse. In addition, studies have evaluated and demonstrated the potential of circulating tumor DNA-minimal residual disease in predicting the risk of disease recurrence and the benefit of adjuvant chemotherapy post-surgery; however, these results need to be further confirmed in future investigations (19, 20). Moreover, adjuvant immunotherapy may afford limited or uncertain benefits in patients with lung cancer harboring EGFR mutations (21), and related phase II clinical studies are being conducted (22). Large-scale, prospective, phase III, randomized controlled clinical studies are urgently needed for further validation.

In conclusion, this is a successful case of radical surgery after neoadjuvant therapy for stage IIIA PCS with EGFR 19DEL mutation, which also provides specific clinical experience and guidance for perioperative therapy for oncogene-driven NSCLC.

## Data availability statement

The datasets presented in this article are not readily available because of ethical/privacy restrictions. Requests to access the datasets should be directed to the corresponding author.

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## Author contributions

HW and ZW collected and analyzed the clinical material and drafted the manuscript. HW, YD, TW, JQ, and WT prepared the figures and Supplementary Material. WX performed the surgery. HW, ZW, WD, JC, JZ, SL, and YZ contributed to management and treatment of the patient. ZX revised the final manuscript. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fonc.2023.1145021/full#supplementary-material>

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