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# Development and validation of a GRGPI model for predicting the prognostic and treatment outcomes in head and neck squamous cell carcinoma

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**Background:** Head and neck squamous cell carcinoma (HNSCC) is among the most lethal and most prevalent malignant tumors. Glycolysis affects tumor growth, invasion, chemotherapy resistance, and the tumor microenvironment. Therefore, we aimed at identifying a glycolysis-related prognostic model for HNSCC and to analyze its relationship with tumor immune cell infiltrations.

**Methods:** The mRNA and clinical data were obtained from The Cancer Genome Atlas (TCGA), while glycolysis-related genes were obtained from the Molecular Signature Database (MSigDB). Bioinformatics analysis included Univariate cox and least absolute shrinkage and selection operator (LASSO) analyses to select optimal prognosis-related genes for constructing glycolysis-related gene prognostic index(GRGPI), as well as a nomogram for overall survival (OS) evaluation. GRGPI was validated using the Gene Expression Omnibus (GEO) database. A predictive nomogram was established based on the stepwise multivariate regression model. The immune status of GRGPI-defined subgroups was analyzed, and high and low immune groups were characterized. Prognostic effects of immune checkpoint inhibitor (ICI) treatment and chemotherapy were investigated by Tumor Immune Dysfunction and Exclusion (TIDE) scores and half inhibitory concentration (IC50) value. Reverse transcription-quantitative PCR (RT-qPCR) was utilized to validate the model by analyzing the mRNA expression levels of the

prognostic glycolysis-related genes in HNSCC tissues and adjacent non-tumorous tissues.

**Results:** Five glycolysis-related genes were used to construct GRGPI. The GRGPI and the nomogram model exhibited robust validity in prognostic prediction. Clinical correlation analysis revealed positive correlations between the risk score used to construct the GRGPI model and the clinical stage. Immune checkpoint analysis revealed that the risk model was associated with immune checkpoint-related biomarkers. Immune microenvironment and immune status analysis exhibited a strong correlation between risk score and infiltrating immune cells. Gene set enrichment analysis (GSEA) pathway enrichment analysis showed typical immune pathways. Furthermore, the GRGPIdel showed excellent predictive performance in ICI treatment and drug sensitivity analysis. RT-qPCR showed that compared with adjacent non-tumorous tissues, the expressions of five genes were significantly up-regulated in HNSCC tissues.

**Conclusion:** The model we constructed can not only be used as an important indicator for predicting the prognosis of patients but also had an important guiding role for clinical treatment.

#### KEYWORDS

prediction, glycolysis prognosis model, head and neck squamos cell carcinoma, immune microenviroment, chemothearapeutic responses

# **1** Introduction

HNSCC is a heterogeneous epithelial tumor that includes nasopharyngeal, oropharyngeal, hypopharyngeal, and laryngeal cancers. The risk factors for HNSCC include long-term alcohol exposure, smoking, betel nut chewing, chronic oral trauma, and HPV infections (1). The complexity of its etiology is a major contributor to HNSCC heterogeneity. Surgical, radiotherapychemotherapy, targeted therapy and immunotherapy approaches have been developed to treat HNSCC patients. However, HNSCC is associated with poor prognostic outcomes, and its 5-year OS rate is 50% (2). Therefore, there is a need to establish viable markers for the clinical prophetic prediction of HNSCC.

Recent studies have evaluated metabolic changes in tumor cells. The Warburg effect, the most prevalent and widely studied metabolic change in cancer cells, explains that under aerobic conditions, tumor tissues metabolize approximately tenfold more glucose to lactate in a given time than normal tissues, enhanced glucose uptake by tumor cells, and inhibited glucose oxidation in adjacent tissues (3). During glycolysis, glucose is converted to lactate, and cancer cells gain maximum energy. Molecular imaging revealed markedly increased glycolysis levels in HNSCC (4–6), a metabolic phenotype typical of aggressive tumor growth.

This metabolic change increases glucose uptake and lactate production, affecting cell growth, proliferation, angiogenesis, and invasion (7). Overall, the oncogenic regulation of glycolysis emphasizes the biological significance of tumor glycolysis in HNSCC patients, demonstrating that targeting glycolysis remains potentially effective for clinical relevance and therapeutic intervention (8, 9). In addition, researchers have suggested that glycolysis in HNSCC is associated with alterations in oncogenes and tumor suppressor genes (10). Akt, the serine/threonine kinase, an oncogene that boosts cancer growth (11), has been proven to activate aerobic glycolysis significantly, leading to cancer cells dependent on glycolysis for survival (12). Notably, screening and identification of biological markers predicting prognosis in HNSCC by using broad glycolysis-related gene expression profiles have enormous potentially clinical relevance in targeting glycolysis for cancer therapy.

Premalignant cells frequently metastasize but are spontaneously eliminated by the immune system before developing aggressive tumors, thereby preventing tumor transformation. Thus, there is an interaction between the cancerous tissue and the immune suppressive network within the tumor microenvironment (TME). Changes in peripheral blood immune cell pool and activity are also associated with tumors (13, 14). The immune system plays a key role in carcinogenesis, development, and progression of HNSCC, where immune cell infiltration is diverse and heterogeneous. The immune system is controlled by immune checkpoint pathways that typically remain self-tolerant and limit collateral tissue damage during inflammation. Upregulated TIM-3 (15), OX40 (16), and IDO1 expressions in tumor-infiltrating lymphocytes suggest a rationale for the therapeutic targeting of these molecules. Targeting these checkpoints has led to breakthroughs in cancer immunotherapy. Immunotherapy, which activates the host's natural defense system to identify and eliminate tumor cells, has emerged as a practical therapeutic approach. We analyzed tumor-infiltrating immune cells, immune checkpoints, and immune pathways. Our findings have clinical implications for developing personalized immunotherapeutic strategies to improve treatment outcomes for HNSCC patients.

# 2 Method and materials

### 2.1 Gene set enrichment analysis

GSEA was performed using the GSEA software (version 4.2.3) (https://www.gsea-msigdb.org/gsea/downloads.jsp) with the MSigDB glycolysis-related pathway gene sets, which contain 1320 gene sets. Pathways with p < 0.05 and FDR < 0.05 were considered significantly enriched.

# 2.2 Data collection and acquisition of glycolysis-related genes

The HNSCC gene expression data (RNA-Seq) and the corresponding clinical data (including age, gender, stage, grade, smoking, alcohol, HPV, survival time, and survival status) were downloaded from the TCGA database (https://portal.gdc.cancer.gov) and GEO dataset (https://www.ncbi.nlm. nih.gov/geo/). Used as a training cohort, the inclusion criteria for TCGA-HNSCC were: HNSCC samples with complete somatic mutation data and clinical information (457 retrieved HNSCC samples with single nucleotide polymorphism(SNP) data were analyzed), with 462 HNSCC samples and 32 adjacent non-tumor tissue samples included. The glycolysis-related gene dataset was downloaded from MSigDB. Expression characteristics of glycolysis-related genes were obtained from the MSigDB (https://www.gsea-msigdb.org/gsea/msigdb/index.jsp).

# 2.3 Identification of differential glycolysis-related genes

Using  $|\log FC| > 1$  and p < 0.05 as thresholds, differentially expressed genes between HNSCC samples and adjacent non-tumor

tissue samples were evaluated using the Wilcoxon test in the limma package. Then, differentially expressed glycolysis-related genes were selected from all differentially expressed genes (DEGs) and displayed on a Venn diagram.

# 2.4 Identification and validation of a glycolysis-related gene signature

Survival-associated differentially expressed glycolytic genes were identified *via* univariate Cox regression and Lasso regression analyses, after which a polygenic prognostic risk model was constructed. Based on the median risk score of the TCGA training set as the cutoff, HNSCC patients were assigned into high- and low-risk groups. Clustering effects of Principal Component Analysis (PCA) dimensionality reduction revealed significant differences between the groups. Kaplan-Meier survival curves, time-dependent receiver operating characteristic (ROC) curves, and risk score distributions for OS prediction were evaluated to verify the prognostic significance of risk scores. Similar to the training set approach, the GEO cohort was used as an independent validation set to assess the generality and reliability of the prognostic risk model.

### 2.5 Construction of the nomogram

Independent prognostic factors in HNSCC patients were determined by univariate and multivariate Cox regression analysis. Both TCGA training set and GEO validation set were used to construct a nomogram for predicting the 1-year, 3-year, and 5-year survival outcomes of HNSCC patients. Consistency between actual survival rates and nomogram-predicted rates was tested *via* a calibration curve. In addition, decision curves were used to assess the reliability of risk scores and clinical stage.

# 2.6 Analysis of tumor immune microenvironment

The "Cell Type Identification by Estimating Relative Subsets of RNA Transcripts (CIBERSORT)" was used to assess immune cell infiltrations. The immune, stromal, and ESTIMATE (Estimation of STromal and Immune cells in MAlignant Tumors using Expression data) scores for each sample were calculated using the ESTIMATE algorithm. Correlations between the GRGPI score and those scores were determined by Spearman correlation analysis.

# 2.7 Assessment of tumor mutation burden

The tumor mutation data was obtained from the cBioPortal database. The tumor mutation burden (TMB) for all samples

was calculated using "maftools" in R. Based on median TMB values, HNSCC samples were assigned into high TMB and low TMB groups. A total of 16360 genes involved in developing SNP in 457 samples were obtained by MusigCV (running under the linux system), and the top ten were screened using q<0.05 as the cut-off. Correlations between the prognosis for HNSCC patients with GRGPI and TMB were determined by Kaplan-Meier survival curves in R.

## 2.8 Analysis of drug sensitivity

To assess the clinical applicability of the established model, pRRophetic was used to calculate the IC50 of HNSCC chemotherapeutic drugs.

### 2.9 Statistical analysis

The R software (version 4.1.1) was used for statistical analyses. Differentially expressed genes between tumor and adjacent normal tissues were compared by the Wilcoxon test. Survival-associated differentially expressed glycolytic genes were identified by univariate Cox and Lasso regression analyses. Then, Kaplan-Meier survival curves were plotted. Univariate COX and multivariate COX regression analyses were performed to determine the independent prognostic factors for OS. The predictive ability of the model was assessed by KM survival curves and ROC curves. Correlation tests were conducted by Spearman correlation analyses. Categorical data were compared by the chi-square test.  $p \leq 0.05$  was the threshold for statistical significance. The flow chart of our study is shown in Figure 1.

# 2.10 Reverse transcriptionquantitative PCR

All HNSCC and adjacent non-tumorous tissue samples were collected from 10 patients in the Shanxi Province Cancer Hospital. Extraction of total RNA from HNSCC tissues and adjacent non-tumor tissues was performed by the TRIzol reagent (Invitrogen, CA, USA). cDNA synthesis from the extracted RNA was performed by PrimeScriptTM RT Master Mix (RR036B, Takara). We use Quantitative PCR to analyze the mRNA expression levels of the prognostic glycolysis-related genes by GoTaq<sup>®</sup> qPCR Master Mix (Promega, A6001). The RT-qPCR was utilized in the ABI Vii7 Sequence detection system (ABI, USA). The PCR reaction system and conditions were according to the manufacturer's instructions. Gene expression levels of STC1, STC2, AURKA, P4HA1, and PLOD2 were calculated using the 2- $\Delta\Delta$ CT method.



# **3 Results**

# 3.1 GSEA

Based on KEGG, REACTOME and HALLMARK gene sets, GSEA was performed to reveal potential differences between HNSCC and control groups. These pathways are associated with glycolysis, implying that glycolysis plays an essential role in HNSCC (Supplementary Figures 1A–C).

### 3.2 Identification of glycolysisrelated DEGs

A total of 1695 differentially expressed genes (DEGs) (149 upregulated and 119 downregulated genes) in the TCGA training cohort were identified by Wilcoxon signed-rank test and visualized using volcano plots (Figure 2A) and heatmaps (Figure 2B). Then, 49 glycolysis-related genes were extracted from the DEGs (Figure 2C).

# 3.3 Construction of glycolysis-related gene signature for predicting patient outcomes

Through univariate Cox regression analysis, 15 prognosis glycolytic genes were established to be closely associated with survival outcomes of HNSCC patients (Figure 3A). The 15 OS-related genes may be collinear rather than independent. LASSO Cox regression analysis was performed to determine the real OS-affecting factors, and finally, a prognostic panel consisting of five glycolysis-related genes was established. The risk score was calculated as: Riskscore=0.021\*AURKA+0.099\*P4HA1+0.015\*PLOD2+0.031\*STC1+0.163\*STC2. (Figure 3B, C). Based on this gene signature, all patients were assigned to high (n=231) and low-risk (n=231) subgroups using the risk score median as the threshold. Risk scores, survival scores, and

heatmap of prognostic glycolytic gene expressions among the low-risk and high-risk patients are presented in Figure 3D. Based on expressions of these five hub genes, dimensionality reduction was performed in all patients and presented with methods of tdistributed stochastic neighbor embedding (t-SNE), suggesting that different risk subgroups show significant discrete tendencies directly in the two-dimensional plane (Figure 3E). Kaplan-Meier survival curves revealed that high-risk score patients had significantly worse OS outcomes than low-risk score patients. The area under the curve (AUC) analysis for HNSCC patients at 1-year, 3-year, and 5-year revealed respective OS rates of 0.622, 0.649, and 0.614, demonstrating the optimal predictive performance of GRGPI (Figures 3F, G). Finally, the year with the largest AUC value is shown in the RMST plot (Figure 3H).

# 3.4 Verification of the five gene signature using the validation cohort

Given that the predictive potential of GRGPI in different datasets is misty into account, GSE65858 was used as the independent validation set. Based on the above risk scores, patients were assigned to low-risk (n=140) and high-risk (n=130) groups (Figure 4A). Findings from t-SNE and KM survival analyses of the GEO validation set were consistent with the results of the TCGA training cohort (Figures 4B, C). The AUC values for ROC curves accurately revealed the predictive performance of the prognostic risk model, with the largest AUC value year shown as an RMST plot (Figures 4D, E).

# 3.5 Independent prognostic, predictive value of risk scores and construction of the nomogram

In this study, the risk score, gender, smoking, and clinical stage were established to be independent prognostic factors in HNSCC patients, and they were used to construct subsequent





The Glycolysis-Related Gene Signature on the training cohort was constructed to predict patient outcomes. (A) Univariate Cox regression analysis yielded 15 prognosis-associated differentially expressed glycolysis-related genes. (B, C) LASSO regression analysis identified the five prognostic genes. (D) The TCGA risk score, survival time, survival status, and expression of the five-gene signature. (E) t-SNE cluster showed groups with high and low-risk scores. (F) Kaplan-Meier survival curve analysis for HNSCC patients divided into high-risk and low-risk groups. (G) Time-independent ROC curve of a risk score for prediction of 1-year, 3-year, and 5-year overall survival outcomes. (H) RMST plot for the TCGA training set.

nomograms (Figure 5A). Nomograms were used to predict the 1-year, 3-year, and 5-year survival probabilities of HNSCC patients (Figure 5B). Moreover, a calibration curve was constructed to assess the agreement between nomogram predictions and actual survival outcomes (Figure 5C). The actual and predicted survival rates at 1-year, 3-year, and 5-year were well matched, indicating that the nomogram has a good predictive performance. A decision curve (DCA) was used to assess the reliability of the risk score. It was observed that Model1 (Stage) was close to the extreme curve, while Model2 (RiskScore) was significantly higher than the extreme curve (Figure 5D).

The above analyses were also performed on the GEO validation set to verify the robustness of the model (Figure 6A). Unlike the TCGA training set, univariate and multivariate Cox regression analyses revealed that in the GEO

validation set, only risk score and clinical stage were independent prognostic factors for HNSCC patients. Therefore, a nomogram integrating risk scores and clinical stages was constructed to predict the 1-year, 3-year, and 5-year survival probabilities of HNSCC patients (Figure 6B). Findings from the calibration curve were consistent with those of the TCGA training set (Figure 6C).

# 3.6 Clinical relevance form

Based on the relationship between high and low-risk groups and clinical stages in the TCGA training set, a clinical correlation table was prepared. It was established that about 60% of patients in the low-risk group were in locations I/II, while 76% of patients in the high-risk group were in stages III/IV (Supplementary



#### FIGURE 4

Verification of the five-gene signature in the validation cohort (GSE65858). (A) Risk map of patients based on risk score heatmap of survival status and risk gene expression profiles of individual HNSCC patients. (B) t-SNE grouping cluster. (C) Kaplan–Meier curves according to the five-gene signature. Log-rank tests were performed to determine the p values. (D) ROC curve and AUC values of five-gene feature classification in GEO. (E) RMST plot for the GEO testing set.



#### FIGURE 5

Prognostic values of the 5-gene signature model in the TCGA training set. (A) Results of univariate and multivariate Cox regression analyses regarding OS. (B) Nomogram for prediction of 1-year, 3-year, and 5-year survival probabilities of HNSCC patients. (C) Calibration curve for assessing the agreement between nomogram predicted and actual survival outcomes. (D) Assessment of the reliability of risk scores by DCA (decision curve). (\*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001).



probabilities of HNSCC patients. (C) Calibration curve for assessing the agreement between nomogram predicted and actual survival outcomes. (D) Decision curve analyses of the nomogram based on OS outcomes.

Figure 2), implying that risk grouping was positively correlated with the clinical stage. These findings prove that the constructed GRGPI model is clinically valuable.

# 3.7 The tumor mutation burden

Mutation data for HNSCC were downloaded from the cBioPortal database. Somatic mutation types for the 457 patients were evaluated, and SNP was found to be the most dominant mutation type (Figures 7A, C). About 95.18% of samples in the high-risk group had SNPs, compared to 96.07% in the low-risk group (Figures 7B, D).

Given that SNP was the most dominant mutation type in HNSCC, 16,360 SNP-mediating genes were identified in the 457 samples using MusigCV. Using q<0.05 as the cut-off, the top ten genes were screened. Mutations types of the 10 genes and their distributions in high-risk and low-risk groups were analyzed (Figure 7E). The top ten genes with the highest mutation rates in the high-risk group were TP53, TTN, FAT1, MUC16, CSMD3, CDKN2A, LRP1B, KMT2D, DNAH5, and PIK3CA (Figure 7B), while those in the low-risk group were TP53, TTN, FAT1, MUC16, CSMD3, NOTCH1, SYNE1, CDKN2A, LRP1B, and PIK3CA (Figure 7D). It was observed that the gene with the highest mutation rate was TP53 in HNSCC patients regardless of the high GRGPI group or the low GRGPI group, suggesting that the mutations of the tumor suppressor gene TP53 may have potential

clinical and pathophysiological significance in HNSCC patients. In fact, in a recent study, the mutational profile of TP53 has been proved to act as an independent prognostic factor in HNSCC patients. This relationship is associated with unique site-specific biological networks, consistent with our findings (17). Correlation analyses showed that GRGPI was positively correlated with TMB (R=0.015, p=0.75). The difference in the number of HNSCC patients in the high and low TMB groups was insignificant (Supplementary Figure 3A). Moreover, the difference in TMB values between the groups was negligible (Supplementary Figure 3B). We combined GRGPI and TMB and grouped them into three; high GRGPI high mutation (HTMB+HGRGPI), high GRGPI low mutation or low GRGPI high mutation (HTMB+LGRGPI & LTMB+HGRGPI), and low GRGPI low mutation (LTMB+LGRGPI). Then, Kaplan-Meier survival curves were drawn. The survival curve showed that the LTMB +LGRGPI group had the best prognosis, while the HTMB +HGRGPI group had the worst prognosis (Figure 7F). These findings imply that high GRGPI and high TMB play a synergistic role in promoting tumor occurrence and development, and the combined effects of the two may lead to worse prognostic outcomes.

# 3.8 Prognostic glycolysis gene interaction network

Interactions among the five glycolysis key genes and transcription factors may elucidate on mechanisms of the



distribution in high-GRGPI group and low-GRGPI group. (F) Kaplan-Meier survival curve showing OS differences among the three subgroups.

GRGPI model. Using cor Filter=0.5 and fdr Filter=0.01 as critical values, associations between AURKA, P4HA1, PL0D2 and 11 transcription factors were obtained (Supplementary Figure 4), which proved that the genes used to construct the GRGPI model were correlated with transcription factors in cancer and para cancer differentially expressed genes.

# 3.9 The immune microenvironment and immune status

Compared to the low-risk group, infiltrations of resting CD4 memory T cells, M0 macrophages, M2 macrophages, and activated mast cells were marked in the high-risk group, while infiltrations of CD8 T cells, follicular helper T cells, and Treg cells were to a greater extent (Figure 8A). Cell immunity-related cells, such as CD8 T cells, were highly infiltrated in the low-risk group, suggesting that immune cells may be activated in the lowrisk group and suppressed in the high-risk group. Moreover, the M0 macrophages, activated mast cells, and resting CD4 memory cells were positively correlated with GRGPI scores while resting dendritic cells, CD8 T cells, follicular helper T cells, and Treg cells were negatively correlated with GRGPI scores (Figure 8B). The higher the GRGPI scores, the worse the extent of T cell infiltrations, validating that weaker antitumor immunity may be one of the reasons for poor prognostic outcomes. Therefore, the



high-risk group was defined as the low-immunity group, while the low-risk group was defined as the high-immunity group. Differences in ESTIMATE scores between the high-risk group and low-risk group were insignificant. However, the high-risk group exhibited low immune scores (p < 0.001, Figure 8D). These findings are consistent with those obtained from CIBERSORT, whereby the high-risk group exhibited worse immune status while the low-risk group exhibited better immune status. The relationship between stromal cells and GRGPI scores was further investigated (18). The high-risk group had higher stromal scores (p < 0.01, Figure 8E), implying that tumor stroma plays an important role in tumor development.

# 3.10 Immune checkpoints and immune pathways

ICI therapy has advanced the treatment of many solid tumors. Therefore, 11 human leukocyte antigen(HLA) class immune checkpoints were included, and their differential expressions in high-risk and low-risk groups were determined. Four HLA class checkpoints (HLA-A, HLA-C, MICA, and MICB) were highly expressed in the high-risk group (Figure 9A), while the remaining seven were highly said in the low-risk group. Since the HLA class immune checkpoints are closely associated with immune responses, the better prognostic outcomes in the low-risk group could have been due to better immune responses. The expressions of 7 genes (CD274, CTLA4, IDO1 LAG3, PDCD1, TIGIT, and TNFRSF9) in the high-risk group and low-risk group were also analyzed (Figure 9B). Results show that five immune checkpoints cut in the high-risk group, CTLA4, IDO1, LAG3, PDCD1, and TIGIT, which are consistent with the result, once again proved that the GRGPI model and the close correlation between HLA class immune checkpoints.

GSEA was performed to assess the immune pathways, and differentially expressed immune-related pathways between the



Immunization between high and low risk groups. (A) Differential expressions of 11 HLA class immune checkpoints. (B) 7 genes. CD274, CTLA4, IDO1, LAG3, PDCD1, TIGIT, and TNFRSF between the high-GRGPI group and low-GRGPI group. (C) Immune-related pathways. (D, E) Violin diagram for differences in cytolytic activities and Tumor Inflammation Signature between the high-GRGPI and low-GRGPI groups. (ns, not significant, \*P<0.05, \*\*p < 0.01, \*\*p < 0.001).

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high-risk and low-risk groups were obtained (Figure 9C). The BCR, Chemokines, Chemokine, Receptors, Interleukins Receptor, NK cell Cytotoxicity and TCR signalling pathway were found to be enriched in the high-risk group. However, enrichments of "TGF $\beta$  Family Members" and "TGF $\beta$  Family Members Receptor" were significantly high in the low-risk group, in accordance with the functions of TGF- $\beta$ , which is involved in tumorigenesis and immunosuppression.

### 3.11 Tumor inflammation signature

The Tumor Inflammation Signature (TIS) is investigational use only (IUO) 18-gene signature that measures pre-existing but suppressed adaptive immune responses within tumors (19). The high-risk group had a low TIS score, implying that this group had weaker adaptive immune responses and worse prognostic outcomes (Figure 9D).

### 3.12 Cytolytic activity

The CYT score is a novel cancer immune index calculated from mRNA expressions of GZMA and PRF1 (20). The transcriptional levels of GZMA and PRF1 were determined to assess the cytolytic activities of immune lymphocytes in HNSCC. Based on previous risk grouping, the low-risk group exhibited a higher CYT score (Figure 9E), implying that immune cells in the low-risk group had stronger cytolytic activities and anti-tumor immune response, leading to a better prognosis.

# 3.13 GRGPI was highly predictive in ICI therapy

TIDE is a computational framework developed by Peng Jiang et al. to identify two tumor immune escape mechanisms (21). A higher TIDE score means a greater likelihood of immune evasion, indicating that a patient is less likely to benefit from ICI therapy and may have worse prognostic outcomes. The TIDE website was used to process 457 HNSCC samples with complete somatic mutation data in the training cohort, of which 131 responded to immunotherapy while the remaining 326 did not. Then, the GRGPIs of responding and non-responding samples were evaluated, which revealed that responding samples had lower GRGPIs (Figure 10A). This confirms our findings in a previous study. Since the low-risk group had better performance in immune gene expressions, immune cell infiltrations, and activation of immune pathways, the higher degree of immune cell infiltrations enables it to achieve better results in immunotherapy, proving that our definition of the low-risk group as the high-immunity group in terms of immunotherapeutic effects is correct. Then, TMB values of response and non-response samples were determined, which did

not reveal significant differences in TMB values (Figure 10B). The GRGPI established in this paper is superior to TMB in predicting immunotherapeutic effects. To validate the effects of immunotherapy in IMvigor210, differences in GRGPIs between response and non-response samples were investigated and found to be insignificant (Figure 10C). Differences between the two groups of TMB values were analyzed, and the response group was found to have higher TMB values (Figure 10D).

Therefore, the proportion of response and non-response samples in the three subgroups was identified after combining GRGPI and TMB (Figure 10E). The HTMB+HGRGPI group had the most significant proportion of responding models, followed by HTMB+LGRGPI& LTMB+HGRGPI, and LTMB+LGRGPI, suggesting that immunotherapy had better effects in the HTMB +HGRGPI group. To determine the prognostic performance of the established three subgroup models, we compared the AUC values of the three predictive models of GRGPI, TMB, and GRGPI combined with TMB (Figure 10F), which were 0.534, 0.647, and 0.646. The TMB and GRGPI combined with TMB exhibited better predictive performance. Finally, the prognostic value of the predictive model in melanoma was assessed using the GSE78220 cohort for external validation. Differences in GRGPIs between response and nonresponse groups were insignificant (Supplementary Figure 5).

### 3.14 Drug sensitivity

Although ICI therapy has shown great promise for the treatment of HNSCC, given its high costs and limited therapeutic effects (326/457 showed no responses to ICI therapy in this study), chemotherapy is a clinically meaningful treatment. However, HNSCC is associated with significant resistance to chemotherapeutic drugs during clinical treatment. To assess the application effects in the clinical chemotherapy process of the established model, IC50 was used to express the sensitivity of the high-risk and low-risk groups to several common chemotherapeutic drugs. Cisplatin, paclitaxel, and docetaxel were recommended by the Chinese Society of Clinical Oncology (CSCO) Guidelines of 2021 as first-line therapeutic drugs for HNSCC. Therefore, IC50 values in a high-risk group and low-risk group of the three drugs were calculated (Figures 10G-I). Patients in the high-risk group were more sensitive to cisplatin (p=1.4e-05) and docetaxel (p=5.5e-12). In contrast, those in the low-risk group were more sensitive to paclitaxel (p=9.9e-01), implying that the established model indicates chemotherapeutic sensitivity.

### 3.15 RT-qPCR analysis

To verify the accuracy of GRGPI in HNSCC patients, we collected HNSCC tissues and adjacent non-tumorous tissues from 10 HNSCC patients. RT-qPCR was implemented to



GRGPIs and TMB between responsive and non-responsive samples from IMvigor210. (E) Proportions of immunotherapy-responsive and nonresponsive samples in the three subgroups from the IMvigor210 cohort. (F) ROC curves of GRGPI, TMB, and GRGPI combined with TMB in the IMvigor210 cohort. Analysis of drug sensitivity. Differences in IC50 values of (G) Gefitinib, (H) Erlotinib, and (I) Cisplatin in the high-GRGPI group and low-GRGPI group.

analyze the expressions of five prognostic glycolysis-related genes in the GRGPI. We found that compared with adjacent non-tumorous tissues, the terms of five genes were significantly up-regulated in HNSCC tissues (Figures 11A–E).

# 4 Discussion

Conversion of the primary energy source from oxidative phosphorylation (OXPHOS) to aerobic glycolysis is an emerging hallmark of cancer cells (22). Although the amount of ATP produced by glycolysis is low, several advantages inherent to aerobic glycolysis can explain this metabolic switch in cancer cells. Glycolysis produces ATP 100 times faster than OXPHOS (23), which can provide sufficient energy for cell survival. Second, glycolytic intermediates can be transferred to various biosynthetic pathways to provide materials for the synthesis of biomolecules and organelles (24, 25). In addition, glutathione is key in protecting cancer cells from oxidative damage and antitumor drugs. In contrast, intermediates accumulated by cancer cells during glycolysis promote the pentose phosphate pathway and can ensure their growth in an environment with reduced glutathione levels (26, 27). Finally, the formation of an acidic microenvironment associated with lactate accumulation due to increased glycolysis provides a tissue environment for tumor recurren tumor metastasis (28). These factors increase the dependence of tumor cells on glycolysis and provide a biochemical basis for the preferential killing of cancer cells by using glycolysis as a therapeutic target, possibly resulting in improved therapeutic efficacies (29).

Studies are evaluating the molecular mechanisms of glycolysis in tumorigenesis, proliferation, and invasion. For instance,



PLOD2 induces epithelial-mesenchymal transition (EMT) via the PI3K/AKT signaling pathway. It is involved in regulating outer stromal collagen and tumor metastasis through EMT, TGF-β, and hypoxic signaling. PLOD2 levels are significantly associated with advanced cancer staging. The presence of regional STC1 uncouples the oxidative phosphorylation process by increasing the expressions of mitochondrial UCP2, which is a valuable biomarker for the diagnosis of malignant glioma for the assessment of postoperative prognosis. Elevated STC2 levels selectiveprotectcts HeLa cells from endoplasmic reticulum stress-induced cell death and are also associated with larger tumor formation, tumor invasion, lymph node metastasis, and poor prognostic outcomes. P4HA1 is a hypoxia-responsive gene that plays a key role in regulating collagen biosynthesis (30). HPV infections promote HNSCC by suppressing P4HA1. AURKAmediated phosphorylation can regulate the function of AURKAdiscovered substrates, some of which are filamentous regulators, tumor suppressors, or factors in cancer. There are already several small molecules targeting AURKA that have been tested in AURKA (AKI) preclinical studies (31).

Given the importance of glycolysis in HNSCC, it can be hypothesized that glycolysis-related genes are potential prognostic factors for HNSCC. In addition, computed multigene prognostic markers outperformed single biomarkers in predicting overall survival. We analyzed the mRNA expression profiles of 49 glycolysis-related genes in the TCGA head and neck squamous cell carcinoma cohort. Five genes associated with glycolysis were selected as candidate prognostic factors for HNSCC. These genes are potential molecular predictive biomarkers and may help inform individualized treatments based on patient risk. We combined the established risk scores and multiple clinical parameters to construct column line plots for predicting the 1-year, 3-year, and 5-year OS in HNSCC patients. Calibration plots based on the TCGA database showed that the expected and observed values were very close, indicating the excellent predictive performance of column line plots. The predictive efficacy was equally good when examined in the validation set. Thus, our new prognostic column line plot may be better than the original clinical factors for predicting survival status for HNSCC patients and informing specific individualized treatment.

Analysis of the new risk scoring model (GRGPI) revealed higher immune cell infiltration scores in the low-risk group. Host immunosuppression is an integral factor in HNSCC carcinogenesis (32). The immune microenvironment is characterized by the presence of infiltrating immune cells (33). We compared immune cell infiltrations between the high-risk and low-risk groups of HNSCC. We found that resting CD4 memory cells, M0-phase macrophages, M2-phase macrophages, and activated mast cells were highly infiltrated in the high-risk group. In contrast, Tregs and other cells were more in the lowrisk group. Acquired immune-related cell infiltrations were lower in the high-risk group compared to the low-risk group, suggesting that the higher risk score may be associated with immunosuppression. CD8 T cells directly targeting tumor cells were more stable in the low-risk group. However, CD4 T cells in

the tumor microenvironment were unstable for a broad subpopulation with potentially different functions (34). CTLA-4, which is downregulated in the low-immune group, is the first negative regulator of T cell activation identified in the context of antitumor immunity, and its blockade using monoclonal antibodies triggers tumor regression with durable antitumor immunity in preclinical models. LAG-3 acts synergistically with other checkpoint molecules to promote T cell dysfunction. However, the molecular mechanisms and pathways associated with LAG-3 signaling have not been fully established (35). In this regard, the conserved KIEELE motif in the cytoplasmic structural domain was indispensable for LAG-3 downstream signaling and inhibition of CD4 T cell activation. MHC-II/LAG-3 triggers the activation of ITAM signaling in DCs, thereby promoting a tolerance profile (36). Thus, MHC-II/LAG-3 interactions function as a bidirectional inhibitory pathway.

Through immune pathway analyses, cytokines, TGF-B family, and TGF-B family receptors were activated in the highrisk group of the TCGA dataset. The postulate that overproduction of TGF- $\beta$  promotes tumor progression was verified. While the TGF-β-related pathway plays an important role in inhibiting the proliferation of immunoreactive cells and stimulating the expressions of the extracellular matrix, activation of the TGF-\beta-related pathway in the high-risk group may be one of the reasons for the immunosuppression and lower stromal scores. Immune cell dysfunctions within the HNSCC-TME promote immunosuppression and may thus be associated with tumor survival and progression outcomes. Therefore, it also requires therapeutic interventions (37, 38). We found that the density of CD8 T cells, resting dendritic cells, follicular helper T cells, Treg cells, and high immune scores correlated with patient prognosis, consistent with findings from previous studies (20, 39). This underscores the fact that preexisting immune responses have antitumor effects and positively influence immunotherapeutic responses. Several seminal clinical and genomic studies have reported that HNSCC has a high degree of immune cell infiltrations. However, less than 20% of HNSCC patients respond to immunotherapy, implying that even the resistant phenotype in the tumor is not an absolute predictor of immunotherapeutic responses (40, 41). Molecular analyses of HNSCC have identified a range of cytokines, chemokines, and other TME components that determine the ability of the host to mount anti-tumor immune responses. During tumorigenesis, these molecular changes may interfere with intercellular communication between infiltrating immune cells, disrupting the balance between immune tolerance and cellular activity (42).

Higher CYT scores were associated with higher expressions of inhibitory ligands by tumor cells that predispose to immune evasion. Patients with high CYT scores showed better efficacies regarding checkpoint inhibitors such as PD-L1 than those with low CYT scores. Based on previous risk groupings, we found that the low-risk group had higher CYT scores (43), suggesting that immune cells in the low-risk group had stronger cytolytic activities and antitumor immune responses may have better prognostic outcomes. Drug sensitivity assays revealed that patients in the high-risk group were more sensitive to cisplatin and docetaxel. In contrast, patients in the low-risk group were more sensitive to paclitaxel, gefitinib, and erlotinib, suggesting that this model can be used as a potential predictor of chemotherapeutic sensitivity for screening sensitive drugs. Tumor cell chemotherapy drug sensitivity testing can provide valuable information to physicians to support their treatment decisions and provide a powerful tool for physicians and patients in their battle against cancer.

Overall, according to survival analysis, functional analysis, ICI therapy, drug sensitivity, and RT-qPCR analysis, the signature was a valuable indicator for predicting survival outcomes among HNSCC patients. But our study still has some limitations. First, it was carried out based on the TCGA database, which lacked specific data on surgery, chemotherapy, and tumor size. Besides, some patients have undergone immune or targeted therapy, which may impact the prognosis analysis. Second, a very high proportion of patients with tumors located in the oral cavity in the model could make it difficult to generalize the results of head and neck cancer. Third, the number of patients we collected was too small to validate the performance of our prognostic model.

# 5 Conclusion

In conclusion, a new HNSCC prognostic signature based on five glycolysis-related genes was constructed in the TCGA cohort and validated in the GEO database. The signature shows excellent performance in predicting survival outcomes among HNSCC patients, reveals the relationship between glycolysis-related genes and tumor immunity in HNSCC and provides guidance to clinical treatment decisions.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material. Further inquiries can be directed to the corresponding authors.

# Ethics statement

The studies involving human participants were reviewed and approved by Cancer Hospital Affiliated to Shanxi Medical University. The patients/participants provided their written informed consent to participate in this study.

# Author contributions

Conceived and designed the study: FH, H-ZW, M-JC, P-FH, X-TY, FLi. Collected and analyzed the data: Y-TH and M-JC. Performed the experiments and verificate experiments: H-ZW and FH. Wrote the initial draft: L-ZL, SL. The corresponding authors are responsible for ensuring that the descriptions are accurate and agreed by all authors. All authors contributed to the article and approved the submitted version.

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# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ fonc.2022.972215/full#supplementary-material

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