#### Check for updates

#### **OPEN ACCESS**

EDITED BY Jorge J. Nieva, University of Southern California, United States

#### REVIEWED BY Carolyn Presley, The Ohio State University, United States Karine A. Al Feghali, University of Texas MD Anderson Cancer Center, United States

\*CORRESPONDENCE Sansgiry S. Sujit Sansgiry@central.uh.edu

<sup>†</sup>These authors have contributed equally to this work

#### SPECIALTY SECTION

This article was submitted to Cancer Epidemiology and Prevention, a section of the journal Frontiers in Oncology

RECEIVED 08 November 2022 ACCEPTED 12 December 2022 PUBLISHED 09 January 2023

#### CITATION

Olateju OA, Zeng Z, Adenaiye OO, Varisco TJ, Zakeri M and Sujit SS (2023) Investigation of racial differences in survival from non-small cell lung cancer with immunotherapy use: A Texas study. *Front. Oncol.* 12:1092355. doi: 10.3389/fonc.2022.1092355

#### COPYRIGHT

© 2023 Olateju, Zeng, Adenaiye, Varisco, Zakeri and Sujit. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

# Investigation of racial differences in survival from non-small cell lung cancer with immunotherapy use: A Texas study

Olajumoke A. Olateju<sup>1</sup>, Zhen Zeng<sup>1</sup>, Oluwasanmi O. Adenaiye<sup>2</sup>, Tyler J. Varisco<sup>1†</sup>, Marjan Zakeri<sup>1</sup> and Sansgiry S. Sujit<sup>1\*†</sup>

<sup>1</sup>Department of Pharmaceutical Health Outcomes and Policy, University of Houston College of Pharmacy, Houston, TX, United States, <sup>2</sup>Department of Medicine and Rehabilitation Science, University of Pittsburgh Medical Center, Pittsburgh, PA, United States

**Background:** The use of immunotherapy is associated with improved survival among patients with Non-Small Cell Lung Cancer (NSCLC) and has gained widespread use in its management. However, there is limited information on whether the survival benefits associated with immunotherapy differ among races and ethnicities.

**Objective:** This study aimed to investigate racial differences in survival amongst patients with NSCLC who received immunotherapy as the first-line treatment in Texas.

**Methods:** Patients with NSCLC who received immunotherapy between October 2015 to December 2018 were identified from the Texas Cancer Registry (TCR). Disease-specific survival was evaluated and compared among patients across racial/ethnic categories using the Kaplan-Meier survival analysis, log-rank test, and a multivariable Cox proportional hazard regression model following an inverse probability treatment weighting (IPTW) propensity score analysis.

**Results:** A total of 1453 patients were included in the analysis. Median survival (in months) was longest among Asians (34, 95% CI: 15-Not Estimable), followed by African Americans (AAs) (23, 95% CI: 15-34), Hispanics (22, 95% CI: 16-26), and Whites (19, 95% CI: 17-22). The adjusted regression estimates had no statistically significant differences in survival among AAs (aHR = 0.97; 95% CI = 0.78-1.20; P = 0.77) and Hispanics (aHR = 0.96; 95% CI = 0.77-1.19, P = 0.73) when compared to White patients. Asians on the other hand, had 40% reduction in mortality risk compared to Whites (aHR = 0.60; 95% CI = 0.39-0.94, P = 0.03).

**Conclusions:** Our study indicated that African Americans and Hispanics do not have poorer survival compared to White patients when receiving immunotherapy as first-line treatment. Asians however had longer survival compared to Whites. Our findings suggest that existing racial disparity in NSCLC survival might be mitigated with the use of immunotherapy and should be considered in providing care to these minority groups.

#### KEYWORDS

immunotherapy, non-small cell lung cancer, racial disparity, retrospective study, survival analysis

# 1 Introduction

Non-small cell lung cancer (NSCLC) is the most common type of lung cancer in the United States (US) and accounts for about 85% of all lung cancer cases (1, 2). Lung cancer is the leading cause of cancer-related deaths in the U.S (3). Fortunately, lung cancer-related mortality is on the decline, largely due to advances in treatment options (4, 5). Immunotherapy is an innovative therapy that has been well documented to improve survival in patients with NSCLC; these drugs act by activating immune cells and enhancing their antitumor responses (6). In the past decade, the U.S. Food and Drug Administration (FDA) has approved immunotherapeutic agents including immune checkpoint inhibitors (ICIs) and cytotoxic T-lymphocyte–associated protein 4 receptor (CTL4-A) inhibitors (7) as first- and second-line agents for NSCLC (7, 8).

Many studies have reported that racial disparity exists in lung cancer (8-13). For instance, across racial groups in the U.S., AAs have the highest incidence of lung cancer and mortality rates despite having lower smoking prevalence compared to Whites (14, 15). The American Lung Association (ALA) reports on racial differences among Asians, African Americans, Hispanics, and Whites with regards to prevalence, access to treatment and survival; ALA's statistics have shown poorer survival among African Americans and Hispanics compared to their White counterparts even when there is access to treatment (16). Further complicating this issue is the underrepresentation of minority racial groups in clinical trials targeting cancers (17). Many trials that have led to the approval of several immunotherapy drugs for NSCLC did not consider national representation of racial groups or racial differences in the burden of the disease in their study samples (18-22). These trials did not consider oversampling the minority groups to support subgroup analyses. In these trials, African American patients comprised only 1-4% of the treatment and control samples, despite the fact that 13.6% of the US population are AAs (23). Just as concerningly, Hispanics were not considered as a distinct

category despite their increasing representation, currently at 18.9% of the U.S. population (23). Only few observational studies have evaluated immunotherapy for NSCLC in diverse patient samples found in real-world, clinical settings (7, 24-26) and although these studies provided valuable insights into whether racial disparity occurs with immunotherapy utilization, they were limited by sample size, insufficient representation of minority races or ethnic groups, and low generalizability. To our knowledge, no state-specific study has been done as the majority of previous studies were retrospective reviews of patients receiving treatments in a single treatment center (7, 27). Based on the ALA statistics, the state of Texas is below the national average in achieving racial equity for Lung cancer and White patients often have higher survival rates (28). As such, we hypothesized that White patients with NSCLC have longer survival compared to minority races when immunotherapy is received as the first course of therapy in Texas. Therefore, the objective of this study was to examine if there are racial differences in survival from NSCLC when immunotherapy is administered as the first course of treatment among patients receiving treatment in Texas.

# 2 Methods

#### 2.1 Study design and data source

Our study was a retrospective cohort study of patients with histologically confirmed NSCLC who received immunotherapy as their first-line of treatment. Patient data were obtained from the Texas Cancer Registry (TCR) database. The TCR is a statewide population-based cancer registry with gold certification by the North American Association of Central Cancer Registries and is recognized as one of the largest cancer registries in the United States (29). The database provides information on cancer patients' sociodemographic, tumor, and other clinical characteristics as well as the general class of treatments received as the first-line of treatment (30). All

study procedures were approved by the University of Houston Institutional Review Board (IRB) with a waiver of informed consent as this was secondary research that used de-identified data. The study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline (31).

## 2.2 Identification of study population and variables

We identified patients with NSCLC, aged 18 years and above, who received immunotherapy as the first-line of treatment from October 2015 to December 2018. Patients were retrospectively followed till December 2020. Patients were excluded if they had any missing values for race, ethnicity, or any other study variables (Figure 1). The third edition of the International Classification of Diseases for Oncology (ICD-O) codes was used to identify cases of NSCLC, based on the primary site of the cancer, its morphology, and behavior (Table S1) (30, 32).

The primary independent variable was race and ethnicity, referred to simply as race henceforth in the study. The use of immunotherapy was defined as whether patients received an immunotherapy agent as the first-line of treatment. The cancer stage was classified as localized, regional, and distant, according to the classification by the Commission on Cancer (CoC) (31, 33). The primary exposure was the receipt of immunotherapy, defined as being treated with immunotherapy as first-line of treatment. The unexposed group did not receive immunotherapy as first-line agents but received other treatments such as chemotherapy. The primary outcome was disease-specific survival and was defined as the time from initiation of immunotherapy to death or censoring at end of the follow-up period. Censored patients were those who were alive or died of other causes during the study period (34).

Covariates evaluated were demographic and socioeconomic characteristics such as age, sex, insurance type, county-level poverty index, and geographical location. Patient's smoking history and clinical characteristics such as cancer stage were also identified and defined at baseline. Immunotherapy is commonly administered with other agents, especially chemotherapy (29, 32), so receipt of other treatment modalities as first-line agents such as chemotherapy, radiotherapy, hormone therapy, and surgery were also measured.

## 2.3 Statistical analysis

Data management and statistical analyses were performed using SAS v9.4 software (SAS Institute Inc., Cary, NC, USA). Two-sided statistical significance was used to test hypotheses and was defined as P<0.05.

## 2.4 Descriptive statistics

To describe the baseline characteristics of the study cohort, continuous variables were presented as means with standard deviations, while categorical variables were presented as frequency and percentages, and comparisons were made using the Chi-square test. Comparisons across racial categories were performed using the analysis of variance (ANOVA) test.

## 2.5 Survival analysis

Crude survival differences across racial categories were obtained using unadjusted Kaplan-Meier (K-M) analysis and a



received immunotherapy as the first treatment course between October 1, 2015, and December 31, 2018.

bivariate Cox proportional hazards (PH) regression model. K-M curves were used to depict the monthly survival probabilities of patients per racial category and statistical differences in these survival probabilities across the study period were determined using the log-rank test. Pairwise analysis of the K-M analysis was done to evaluate survival differences in each minority group versus Whites alone while adjusting for multiplicity hence inflation of Type 1 error using the Sidak test (35). Sidak adjustment assumes that each comparison is independent of the others and has more power than the more conservative Bonferroni adjustment (36). Median survival times obtained from the K-M analysis were compared across races. As a secondary analysis to obtain survival time, the restricted mean survival time (RMST) was obtained for all races. The RMST is not commonly used in survival analysis but is generally considered a more reliable estimate than the median survival time estimated using the K-M curve due to its ability to circumvent skewness and challenges associated with censoring in survival data (37, 38). In addition, it provides a summary of the survival time in the entire period of observation, as opposed to obtaining the survival rate at a specified time as with the K-M curve (38).

A multivariable Cox proportional hazards regression model was fitted to compare mortality risk among the different racial categories while adjusting for baseline covariates. Cancer stage and smoking status of the patients were introduced as interaction terms in the Cox proportional hazard model to examine if the survival of patients across racial categories varied according to the spread of cancer or smoking history (2, 39).

#### 2.6 Propensity score analysis

The difference in mortality risk between patients across racial and ethnic groups was further examined after balancing differences in treatment (racial) groups by inverse probability treatment weighting (IPTW). IPTW has the advantage of yielding marginal treatment estimates while conserving sample size of all propensity score (PS) methods (40). Multiple PS technique which controls for bias by comparing more than two treatment groups was used in this study as there were four racial groups (41). The IPTW is the probability of assignment to each treatment category (41). Pairwise PS analysis when dealing with more than two groups i.e., comparing two groups at a time, is not recommended because the probability of choosing all treatment groups will be greater than one, and the model fits are less efficient leading to variance inflation (41, 42) hence our opting for the multiple propensity score technique. To carry out our PS analysis, PS (probability of each patient of a particular race belonging to another racial group) was obtained using a multinomial logistic regression analysis (41, 43). The generalized logit function was specified in the link option to contrast minority races to White as the reference group. All identified potential confounders, including interaction terms, were added to the logistics model. Overlap of generated PS across the racial groups was assessed. The inverse of the propensity scores was then used to generate the IPTW, also known as the propensity score weights (28, 29). The weights were stabilized to prevent undue influence of extreme weights which can bias the result (40). The balance of the baseline characteristics across treatment groups was assessed using Absolute Standardized Mean Differences (ASMD) (40, 44). Finally, a weighted multivariable Cox proportional hazard model was fitted with race as the only predictor and White patients as the reference group.

#### 2.7 Sensitivity analysis

Sensitivity analysis was performed to examine the possible impact of informative censoring on the results obtained (44, 45). First, the risk of mortality was evaluated with censored patients assumed to have been observed for the entire follow-up period, i.e., the entire period of observation. This analysis tests the hypothesis that censored cases are at low mortality risk and thus have more extended times of death from NSCLC than other cases (45). A second analysis was done whereby patients who died of causes other than NSCLC were not censored. This analysis tests the hypothesis that people who died of other causes (and thus censored) would have experienced this if they had not died and were thus at high risk of mortality from NSCLC (45). The sensitivity analysis was repeated using the IPTWs and race as the only covariate.

# **3** Results

## 3.1 Characteristics of the study cohort

A total of 244,249 adult patients with NSCLC diagnosis and self-reported race and ethnicities were identified in the TCR database. The racial categories were defined as non-Hispanic White, non-Hispanic African American or African American, non-Hispanic Asian and Hispanic. These are henceforth referred to as White, AA, Asian, and Hispanic respectively. Of the identified patients with NSCLC, 2366 received immunotherapy as the first-line of therapy between October 2015 and December 2018. After excluding patients with missing information, the final cohort consisted of 1,453 patients. An attrition flowchart detailing inclusion and exclusion criteria is provided in Figure 1.

Among the study population, 1,044 (71.8%) were White, 185 (12.7%) were African American, 172 (11.8%) were Hispanic, and 72 (3.6%) were Asian. The median age for all patients was 68 years (Interquartile range, IQR: 61 – 74 years) [White: 69 (IQR: 27 - 98) years, African American: 66 (IQR: 40 - 90) years, Hispanic: 65 (IQR: 21 - 89) years, and Asian: 63 (IQR: 36 - 89) years]. All patients had immunotherapy initiation within two months of NSCLC diagnosis. More than half of the study population were females (52.6%). A larger

proportion of all patients had government-type insurance (66.8%), lived in metropolitan or urban areas (85.1%), were current or former smokers (80.3%), had metastatic cancer (75.6%), and received chemotherapy (as adjunct therapy or second-line treatment; 64.6%). Asians were the youngest population, with 44.2% of them being younger than 65 years of age at the diagnosis. Hispanics had the highest proportion (11.1%) of uninsured patients. African Americans (43.2%) and Hispanics (40.7%) had the highest proportion of patients at the highest percentile of the census tract poverty level category (20 – 100%), considered as the most extreme level of poverty. Almost all the Asian population lived in metropolitan areas (98.1%). The rate of smoking was highest among African Americans (86.5%) and Whites (83.0%) followed by Hispanics (64.0%) and Asians (59.6%).

# 3.2 Unadjusted survival characteristics of patients across racial groups

A larger proportion of White patients (N = 588; 56.3%) died during the observation period (Table S1). This was followed by Hispanics [N = 95; 55.2%], African Americans [N= 89; 48.2%] and Asians [N= 52; 42.3%]. While Asians maintained a slightly higher survival across the follow-up period, the overall Kaplan-Meier estimates (Figure 2) did not show any significant differences across all racial groups (P = 0.18). The pairwise tests showed that Asians had a higher survival probability compared to Whites (P = 0.05) (Figure 2E). The cohort's overall median disease-specific survival (DSS) was 19 months (95% CI = 17 - 22 months) and Asians had the longest median survival time (34 months, lower bound 95% CI = 15 months), followed by African Americans (23 months, 95% CI = 15 - 34), Hispanics (22 months, 95% CI = 16 - 26), and Whites (19 months, 95% CI = 17 - 22). The upper confidence interval limit of the median DSS for Asians was inestimable due to high rate of censoring in this population (30). The RMST values [(mean (SD)] obtained were 25.4 (0.68) months for Whites, 27.3 (1.64) months for African Americans, 26.3 (1.64) months for Hispanics, and 30.8 (3.14) months for Asians. (Figure S1). As with the K-M analysis, there was no statistically significant difference in survival among the racial categories (P = 0.29). No pairwise analysis was performed for the RMST analysis.

## 3.3 Adjusted association between race and survival using conventional Cox proportional hazard regression

The multivariable Cox proportional hazard regression results (Figure 3) indicated no significant differences in survival of African American (aHR = 0.84; 95% CI = 0.68-1.04, P =0.113) patients, and Hispanic patients (aHR = 0.98; 95% CI = 0.78-1.22, P =0.862) in comparison with White patients

when immunotherapy was administered as the first-line of treatment. Asians on the other hand, had about 35% reduction in risk compared to White patients but the upper confidence level shows evidence of probability of similar survival chances as White patients (aHR = 0.65, 95% CI = 0.42-1.00, P =0.05) (52,53). Although cancer stage and smoking status were independently associated with survival in the adjusted regression model (P<0.05), their interaction terms were not statistically significant (P>0.05), indicating that the influence of race on survival does not differ by the stage of cancer or smoking status when immunotherapy is received.

The results of the first sensitivity analysis which assumed that censored observations had the longest follow-up period provided similar results with the main analysis (African Americans: aHR = 0.86, 95% CI = 0.69 - 1.07, P =0.18, Hispanics: aHR = 1.01, 95% CI = 0.81 - 1.26, P =0.97; Asians: aHR = 0.64, 95% CI = 0.42 - 0.99, P =0.04). The second analysis which assumed that patients who died from other causes had similar mortality risk as those who died from cancer, did not show any significant difference among the racial groups regarding the mortality risk.

### 3.4 Propensity score estimation

The baseline characteristics among all races were comparable after adjustment using IPTW. There was considerable overlap among the treatment groups (Figure 4). The distribution of propensity scores was similar between all racial groups and the groups were thus comparable (31). The maximum ASMD for all covariates after IPTW was 11% and 90% of the measured covariates after IPTW had ASMD values below 0.1, as shown in (Table 1; Figure S2). This value was much less than the 25% recommended value (33, 34) confirming a good balance across the racial groups. ASMD below 0.1 indicated an acceptable balance between treatment (racial) groups (35). Figure 5 shows the regression estimates for the PS analysis. The propensity-score-weighted Cox proportional hazards model showed similar results with Cox analysis using regression adjustment (Figure 5). African Americans and Hispanics had comparable mortality risk as White patients (African Americans: aHR = 0.97, 95% CI = 0.78-1.20, P = 0.77, Hispanics: aHR = 0.96, 95% CI = 0.77-1.19, P = 0.73) while Asians had lower mortality risk compared to White patients (aHR = 0.60, 95% CI = 0.39 - 0.94, P = 0.03). The results of the sensitivity analyses using IPTW was similar to the results obtained from the adjusted regression model.

# 4 Discussion

This retrospective cohort study examined if differences in survival exist amongst NSCLC patients of different races who



Kaplan-Meier curves showing disease-specific survival in patients with non-small cell lung cancer who received immunotherapy as the first course of treatment in Texas from 2009 to 2018. From L to R: (A) the entire cohort; (B) patients stratified by race and ethnicity; and comparisons between (C) African American and White patients, (D) Hispanic and White patients, and (E) Asian and White patients.



Forest plot of multivariable Cox proportional hazard regression analysis showing the association between patient characteristics and diseasespecific survival using regression adjustment.

receive immunotherapy as the first-line of treatment in Texas. Our findings revealed no differences in survival among Whites, African Americans, and Hispanics (P>0.05), while Asians had a 40% decreased risk of mortality. We adjusted for patient baseline characteristics using regression adjustment and propensity score analysis, and there was no qualitative difference in the results obtained via both methods, as both methods gave the same interpretation of mortality risk across the racial groups. We demonstrated that the propensity score analysis reduced bias from measured confounders based on ASMD values, improving the reliability of our estimates.

Our findings are similar to those reported in previous studies.A retrospective cohort study using data collected from over 260 community cancer clinics in the U.S (25). reported a longer median overall survival (OS) among Asians [9.7 (IQR: 6.8 -13.2)] followed by African Americans [9.0 months (IQR: 4.8-12.7)], and Whites [8.0 months (IQR: 7.3 -9.2)]. This pattern was observed in our study, but they could not make further comparisons because their study did not include the Hispanic population, and race-stratified multivariable analysis was not done. Another study evaluated differences in survival between White and African American patients receiving treatment at a single institution in Georgia state (27) and reported similar OS (aHR = 0.90, 95% CI = 0.59 - 1.37, P = 0.13) and progression-free survival, PFS (aHR = 2.70, 95% CI = 1.08 - 6.74, P = 0.08) among the groups. This is also similar to our finding in which there was no significant difference in DSS between African American and White patients, but again other minority races were not considered in their study. Ayers et al. (7) oversampled African American patients (30.1% of the racial cohort) and included Asian (9.6%) and Hispanic populations (14.1%) in their study. Similar to our finding, their multivariable Cox analysis found no significant difference in survival of African Americans (aHR = 0.60, 95% CI = 0.34-1.03, P = 0.06) and Hispanics (aHR = 0.69, 95% CI = 0.37-1.27, P = 0.23) when compared to Whites, while their Asian population had improved overall survival (aHR =



FIGURE 4

Histogram showing the distribution of propensity scores by treatment (racial) group. From L to R: stacked histogram showing individual propensity scores for treatment groups, the overlap of propensity scores among treatment groups

TABLE 1 Descriptive characteristics of 1453 Patients with Non-Small Cell Lung Cancer Identified in the Texas Cancer Registry from October 2015 to December 2018 and Absolute Standardized Mean Differences (ASMD) Before and After Propensity Score Analysis using the Inverse Probability Treatment Weighting (IPTW) Method.

|   |                 | Race            |                     |                    |                    |             | ASMD           |               |  |  |  |
|---|-----------------|-----------------|---------------------|--------------------|--------------------|-------------|----------------|---------------|--|--|--|
|   | Total           | White           | African<br>American | Hispanic           | Asian              |             |                |               |  |  |  |
| Characteristic                                      | (N =1453)       | (N =1044)       | (N=185)             | (N=172)            | (N=52)             | P-<br>value | Before<br>IPTW | After<br>IPTW |  |  |  |
| Time to treatment initiation, Median (Q1-Q3), month | 1.0 (1.0 – 2.0) | 1.0 (1.0 – 2.0) | 1.0 (1.0 – 2.0)     | 1.0 (1.0 –<br>2.0) | 1.0 (1.0 –<br>2.0) | 0.6         | 0.09           | 0.04          |  |  |  |
| Age group   |                 |                 |                     |                    |                    |             |                |               |  |  |  |
| 18-64   | 532 (36.6)      | 344 (32.9)      | 80 (43.2)           | 79 (45.9)          | 29 (55.8)          | <0.0001*    | 0.27           | 0.06          |  |  |  |
| ≥65   | 921 (63.4)      | 700 (67.1)      | 105 (56.8)          | 93 (54.1)          | 23 (44.2)          |             |                | 0.07          |  |  |  |
| Sex   |                 |                 |                     |                    |                    |             |                |               |  |  |  |
| Male  | 689 (47.4)      | 506 (48.5)      | 87 (47.0)           | 74 (43.0)          | 22 (42.3)          | 0.50        | 0.10           | 0.08          |  |  |  |
| Female  | 764 (52.6)      | 538 (51.5)      | 98 (53.0)           | 98 (57.0)          | 30 (57.7)          |             |                | 0.09          |  |  |  |
| Insurance   |                 |                 |                     |                    |                    |             |                |               |  |  |  |
| Private   | 401 (27.6)      | 280 (26.8)      | 44 (23.8)           | 53 (30.8)          | 24 (46.2)          | <0.0001*    | 0.10           | 0.06          |  |  |  |
| Government  | 971 (66.8)      | 716 (68.6)      | 132 (71.3)          | 100 (58.1)         | 23 (44.2)          |             | 0.21           | 0.07          |  |  |  |
| Uninsured   | 81 (5.6)        | 48 (4.6)        | 9 (4.9)             | 19 (11.1)          | 5 (9.6)            |             | 0.23           | 0.08          |  |  |  |
| Poverty Index                                       |                 |                 |                     |                    |                    |             |                |               |  |  |  |
| 0-<5  | 272 (18.7)      | 231 (22.1)      | 15 (8.1)            | 12 (7.0)           | 14 (26.9)          | <0.0001*    | 0.40           | 0.06          |  |  |  |
| 5-9.9   | 352 (24.2)      | 292 (28.0)      | 22 (11.9)           | 27 (15.7)          | 11 (21.2)          |             | 0.37           | 0.07          |  |  |  |
| 10-19.9   | 507 (34.9)      | 357 (34.2)      | 68 (36.8)           | 63 (36.6)          | 19 (36.5)          |             | 0.05           | 0.06          |  |  |  |
| 20-100  | 322 (22.2)      | 164 (15.7)      | 80 (43.2)           | 70 (40.7)          | 8 (15.4)           |             | 0.54           | 0.03          |  |  |  |
| Location  |                 |                 |                     |                    |                    |             |                |               |  |  |  |
| Metro   | 1236 (85.1)     | 866 (83.0)      | 162 (87.6)          | 157 (91.3)         | 51 (98.1)          | 0.0008*     | 0.23           | 0.11          |  |  |  |
| Non-metro   | 217 (14.9)      | 178 (17.1)      | 23 (12.4)           | 15 (8.7)           | 1 (1.9)            |             |                | 0.10          |  |  |  |
| Smoking status                                      |                 |                 |                     |                    |                    |             |                |               |  |  |  |
| Never smoked  | 286 (19.7)      | 178 (17.0)      | 25 (13.5)           | 62 (36.0)          | 21 (40.4)          | <0.0001*    | 0.43           | 0.08          |  |  |  |
| Current/former smoker                               | 1167 (80.3)     | 866 (83.0)      | 160 (86.5)          | 110 (64.0)         | 31 (59.6)          |             |                | 0.06          |  |  |  |
| Stage   |                 |                 |                     |                    |                    |             |                |               |  |  |  |
| Localized   | 82 (5.6)        | 58 (5.6)        | 9 (4.9)             | 14 (8.1)           | 1 (1.9)            | 0.17        | 0.06           | 0.06          |  |  |  |
| Regional  | 273 (18.8)      | 205 (19.6)      | 33 (17.8)           | 31 (18.1)          | 4 (7.7)            |             | 0.08           | 0.09          |  |  |  |
| Distant   | 1098 (75.6)     | 781 (74.8)      | 143 (77.3)          | 127 (73.8)         | 47 (90.4)          |             | 0.11           | 0.07          |  |  |  |
| Histopathology                                      |                 |                 |                     |                    |                    |             |                |               |  |  |  |
| Non-squamous cell                                   | 1184 (81.5)     | 833 (79.8)      | 154 (83.2)          | 150 (87.2)         | 47 (90.4)          | 0.03*       | 0.23           | 0.05          |  |  |  |
| Squamous cell                                       | 269 (18.5)      | 211 (20.2)      | 31 (16.8)           | 22 (12.8)          | 5 (9.6)            |             |                | 0.05          |  |  |  |
| Surgery   |                 |                 |                     |                    |                    |             |                |               |  |  |  |
| No  | 1373 (94.5)     | 982 (94.1)      | 174 (94.0)          | 165 (95.9)         | 52<br>(100.0)      | 0.24        | 0.08           | 0.07          |  |  |  |
|   |                 |                 |                     |                    |                    |             |                | (Continued)   |  |  |  |

08

|  |             | Race        |                     |            |           |             | ASMD           |               |  |  |
|--|-------------|-------------|---------------------|------------|-----------|-------------|----------------|---------------|--|--|
|  | Total       | White       | African<br>American | Hispanic   | Asian     |             |                |               |  |  |
| Characteristic   | (N =1453)   | (N =1044)   | (N=185)             | (N=172)    | (N=52)    | P-<br>value | Before<br>IPTW | After<br>IPTW |  |  |
| Yes  | 80 (5.5)    | 62 (5.9)    | 11 (6.0)            | 7 (4.1)    | 0 (0.0)   |             |                | 0.08          |  |  |
| Radiotherapy   |             |             |                     |            |           |             |                |               |  |  |
| No   | 908 (62.5)  | 640 (61.3)  | 119 (64.3)          | 118 (68.6) | 31 (59.6) | 0.28        | 0.15           | 0.11          |  |  |
| Yes  | 545 (37.5)  | 404 (38.7)  | 66 (35.7)           | 54 (31.4)  | 21 (40.4) |             |                | 0.10          |  |  |
| Hormone therapy <sup>†</sup>                                 |             |             |                     |            |           |             |                |               |  |  |
| No   | 1426 (98.1) | 1026 (98.3) | 183 (98.9)          | 167 (97.1) | 50 (96.1) |             | 0.08           | 0.05          |  |  |
| Yes  | 27 (1.9)    | 18 (1.7)    | 2 (1.1)             | 5 (2.9)    | 2 (3.9)   |             |                | 0.07          |  |  |
| Chemotherapy   |             |             |                     |            |           |             |                |               |  |  |
| No   | 514 (35.4)  | 383 (36.7)  | 57 (30.8)           | 55 (32.0)  | 19 (36.5) | 0.34        | 0.11           | 0.06          |  |  |
| Yes  | 939 (64.6)  | 661 (63.3)  | 128 (69.2)          | 117 (68.0) | 33 (63.5) |             |                | 0.05          |  |  |
| Number and percentages are reported except stated otherwise. |             |             |                     |            |           |             |                |               |  |  |

#### TABLE 1 Continued

<sup>†</sup>Hormone therapy did not meet the Chi-square assumption that the expected value of cells in the contingency table should be 5 or greater in at least 80% of cells.

\*Statistically significant at a significance level of 5%.

ASD means absolute standardized difference, the largest ASD among treated groups is reported.

0.32, 95% CI = 0.12-0.85, P = 0.02). After PS analysis with White and African American patients, African Americans showed improved survival, but the strength of the association was weak (aHR = 0.53, 95% CI = 0.28-1.01, P = 0.054). Our study findings are in line with previous studies, therefore, suggest that minority races can equally benefit from immunotherapy if they have access to immunotherapy. This is a positive finding since many studies have reported longer survival among only White population using conventional therapies like chemotherapy and surgery (9, 36).

In our analyses, the cancer stage, and patients 'smoking history were considered as potential moderators of the effect of race on survival. This is because these variables are known independent predictors of survival from lung cancers, individual responses to immunotherapy may therefore differ based on these factors' asides from racial status (37-39). In addition, race mediated through genetics may influence the aggressiveness of a cancer, hence, the stage (40) and behavioral factors such as smoking habits may differ across races. For instance, in our study population, there were more White and



FIGURE 5

Forest plot of multivariable Cox proportional hazard regression analysis showing the association between patient characteristics and diseasespecific survival using the inverse probability treatment weighting (IPTW) method.

African American smokers than Hispanics and Asians who smoked. Our analysis however indicated no interaction between race and cancer stage, or between race and smoking status. Therefore, we did not proceed with subgroup analysis for these variables to prevent inflation of type 1 error rates (31). Our analysis also included an evaluation of how sensitive our Cox regression estimates were to possible informative censoring, and the results showed that our study findings were moderately robust to informative censoring. The fact that Asians lost their "superior" survival in the second sensitivity analysis may suggest that Asians who died of other causes were systematically different from those who died of lung cancer. Also, high censoring percentage which was observed with Asians (57.7%) can increase bias if patients who provided most of the information, were censored (41). Overall, this result still shows that patients of all races may equally benefit from

immunotherapy for treatment of NSCLC (37, 62-64)

The strength of our study is in its ability to corroborate existing but limited knowledge about racial variations in survival that may exist with immunotherapy utilization. To further improve on these studies, we used more rigorous analytic techniques and included more populations utilizing this therapy in real-world. For the first time in related studies, we used multiple propensity score techniques to effectively reduce potential confounding bias through its pseudo-randomization (31, 44) and increased the reliability of our estimates. PS methods have been mainly used for two treatment groups. Another strength of our study was balancing pre-treatment characteristics for more than two groups, which is not very common. Other studies did not conduct sensitivity analysis and to our knowledge, our study has the largest sample size (N =1,453) and explored the most heterogeneous patient population due to utilization of a registry database collecting information from all cancer institutes in Texas (8-12, 36). The distribution of racial categories in our study was more representative of national estimates than previous studies and clinical trials. The implication of our overall study finding is that the efficacy of immunotherapy as observed in clinical trials is likely realized in the heterogenous patient population in real world, especially across an important social and or biologic construct such as race. Access to immunotherapy should be increased for minority races since disparity in access to treatment has been reported by NSCLC (42, 43).

Our study has limitations. Firstly, we did not have information on some parameters such as the specific immunotherapeutic agents used by the patients, their dosing regimen, and duration of therapy. Response markers to immunotherapy and tumor mutations that could have been used for prediction of a patient's response to immunotherapy, were also not available (6). Second, Race and ethnicity were self-reported; information on ancestry and genetic data may provide more accurate information on the survival characteristics (46). The database we used lacked genetic data which may also drive differential survival characteristics observed in our study, for instance, being Asian has been reported to be a favorable prognostic factor for overall survival in NSCLC irrespective of smoking status (44). Our Asian population was small, similar to previous studies. This might be due to the low incidence of lung cancer among Asian population (16, 45). Third, unmeasured baseline characteristics which may act as confounders e.g., comorbidities were largely missing and were not considered in our analysis. Also, we excluded patients with missing information, which might have resulted in biased findings. Lastly, given that this study only focused on Texans, a more robust study in a nationally representative population is needed to confirm existing findings.

# 5 Conclusion

This retrospective cohort study showed no differences in survival between African American, Hispanic, and White patients in Texas when immunotherapy was used as the firstline of treatment for NSCLC. These results corroborate findings in previous studies and demonstrated similar outcomes for immunotherapy across races, thus reinforcing the value of observational studies in contributing to evidence-based knowledge and clinical decisions. It is recommended that access to immunotherapy is maintained across minority groups and nationally representative studies being conducted to generalize the finding across U.S. populations.

## Data availability statement

The data analyzed in this study is subject to the following licenses/restrictions: The TCR dataset is available upon request at no cost. Requests to access these datasets should be directed to https://www.dshs.texas.gov/tcr/data/requests.aspx.

# Ethics statement

All study procedures were approved by the University of Houston Institutional Review Board (IRB) with a waiver of informed consent as this was secondary research that used de-identified data. The study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline.

# Author contributions

OO, ZZ, and SS conceptualized and designed the study. OO did the methodology, data analysis, data visualization and wrote initial draft of the manuscript. OA supported the study design

and analysis. OO, OA, and SS interpreted the results. TV and MZ were involved in manuscript drafts. SS was involved in study supervision, review, and editing of the draft. All authors conducted a critical revision of the manuscript for important intellectual content and approved the submitted version.

# Acknowledgments

The data used in this study was collected by the Texas Cancer Registry (TCR), a statewide cancer registry funded by the National Cancer Institute's Surveillance, Epidemiology and End Results (SEER) Program and the Centers for Disease Control and Prevention's National Program of Cancer Registries (NPCR).

# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## References

1. Zhou K, Shi H, Chen R, Cochuyt JJ, Hodge DO, Manochakian R, et al. Association of race, socioeconomic factors, and treatment characteristics with overall survival in patients with limited-stage small cell lung cancer. *JAMA Netw Open* (2021) 4:e2032276. doi: 10.1001/JAMANETWORKOPEN. 2020.32276

2. Raphael J, Batra A, Boldt G, Shah PS, Blanchette P, Rodrigues G. Predictors of survival benefit from immune checkpoint inhibitors in patients with advanced non-small-cell lung cancer: A systematic review and meta-analysis. *Clin Lung Cancer* (2020) 21:106–113.e5. doi: 10.1016/J.CLLC.2019.11.004

3. Hirsch FR, Scagliotti G v, Mulshine JL, Kwon R, Curran WJ, Wu Y-L, et al. Lung cancer: current therapies and new targeted treatments. *Lancet* (2017) 389:299–311. doi: 10.1016/S0140-6736(16)30958-8

4. Giaquinto AN, Miller KD, Tossas KY, Winn RA, Jemal A, Siegel RL. Cancer statistics for African American/Black people 2022. *CA Cancer J Clin* (2022) 72 (3):220–9. doi: 10.3322/CAAC.21718

5. Lung Cancer Statistics. *How common is lung cancer*? Available at: https:// www.cancer.org/cancer/lung-cancer/about/key-statistics.html (Accessed March 17, 2022).

6. Steven A, Fisher SA, Robinson BW. Immunotherapy for lung cancer. Respirology (2016) 21:821-33. doi: 10.1111/RESP.12789

7. Ayers KL, Mullaney T, Zhou X, Liu JJ, Lee K, Ma M, et al. Analysis of realworld data to investigate the impact of race and ethnicity on response to programmed cell death-1 and programmed cell death-ligand 1 inhibitors in advanced non-small cell lung cancers. *Oncologist* (2021) 26:e1226–39. doi: 10.1002/ONCO.13780

8. Wolf A, Alpert N, Tran Bv, Liu B, Flores R, Taioli E. Persistence of racial disparities in early-stage lung cancer treatment. *J Thorac Cardiovasc Surg* (2019) 157:1670–1679.e4. doi: 10.1016/J.JTCVS.2018.11.108

9. Harrison S, Judd J, Chin S, Ragin C. Disparities in lung cancer treatment. Curr Oncol Rep (2022) 24:241-8. doi: 10.1007/S11912-022-01193-4/FIGURES/1

10. Singh GK, Williams SD, Siahpush M, Mulhollen A. Socioeconomic, ruralurban, and racial inequalities in US cancer mortality: Part I-All cancers and lung cancer and part II-colorectal, prostate, breast, and cervical cancers. *J Cancer Epidemiol* (2011) 2011:27. doi: 10.1155/2011/107497

11. Jones CC, Mercaldo SF, Blume JD, Wenzlaff AS, Schwartz AG, Chen H, et al. Racial disparities in lung cancer survival: The contribution of stage, treatment, and ancestry. *J Thorac Oncol* (2018) 13:1464–73. doi: 10.1016/J.JTHO.2018.05.032

# Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

# Author disclaimer

The views expressed in this study are solely those of the authors and do not necessarily reflect those of the TCR, SEER, or NPCR.

## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ fonc.2022.1092355/full#supplementary-material

12. Hardy D, Xia R, Liu CC, Cormier JN, Nurgalieva Z, Du XL. Racial disparities and survival for nonsmall-cell lung cancer in a large cohort of black and white elderly patients. *Cancer* (2009) 115:4807–18. doi: 10.1002/CNCR.24521

13. Gadgeel SM, Kalemkerian GP. Racial differences in lung cancer. *Cancer Metastasis Rev* (2003) 22:39–46. doi: 10.1023/A:1022207917249

14. Mitchell KA, Zingone A, Toulabi L, Boeckelman J, Ryan BM. Comparative transcriptome profiling reveals coding and noncoding RNA differences in NSCLC from African americans and European americans. *Clin Cancer Res* (2017) 23:7412–25. doi: 10.1158/1078-0432.CCR-17-0527

15. Desantis CE, Siegel RL, Sauer AG, Miller KD, Fedewa SA, Alcaraz KI, et al. Cancer statistics for African americans, 2016: Progress and opportunities in reducing racial disparities. *CA Cancer J Clin* (2016) 66:290–308. doi: 10.3322/CAAC.21340

16. State of Lung Cancer. *Racial and ethnic disparities*. American Lung Association. Available at: https://www.lung.org/research/state-of-lung-cancer/racial-and-ethnic-disparities (Accessed June 3, 2022).

17. Loree JM, Anand S, Dasari A, Unger JM, Gothwal A, Ellis LM, et al. Disparity of race reporting and representation in clinical trials leading to cancer drug approvals from 2008 to 2018. *JAMA Oncol* (2019) 5(10):e191870. doi: 10.1001/JAMAONCOL.2019.1870

18. Borghaei H, Paz-Ares L, Horn L, Spigel DR, Steins M, Ready NE, et al. Nivolumab versus docetaxel in advanced nonsquamous non-Small-Cell lung cancer. *N Engl J Med* (2015) 373:1627–39. doi: 10.1056/NEJMOA1507643

19. Brahmer J, Reckamp KL, Baas P, Crinò L, Eberhardt WEE, Poddubskaya E, et al. Nivolumab versus docetaxel in advanced squamous-cell non-Small-Cell lung cancer. *N Engl J Med* (2015) 373:123–35. doi: 10.1056/NEJMOA1504627

20. Garon EB, Rizvi NA, Hui R, Leighl N, Balmanoukian AS, Eder JP, et al. Pembrolizumab for the treatment of non-small-cell lung cancer. *N Engl J Med* (2015) 372:2018–28. doi: 10.1056/NEJMOA1501824

21. Rittmeyer A, Barlesi F, Waterkamp D, Park K, Ciardiello F, von Pawel J, et al. Atezolizumab versus docetaxel in patients with previously treated non-smallcell lung cancer (OAK): a phase 3, open-label, multicentre randomised controlled trial. *Lancet* (2017) 389:255–65. doi: 10.1016/S0140-6736(16)32517-X

22. Socinski MA, Jotte RM, Cappuzzo F, Orlandi F, Stroyakovskiy D, Nogami N, et al. Atezolizumab for first-line treatment of metastatic nonsquamous NSCLC. *N Engl J Med* (2018) 378:2288–301. doi: 10.1056/NEJMOA1716948

23. U.S. census bureau QuickFacts. United States. Available at: https://www.census.gov/quickfacts/fact//US/RHI725219 (Accessed December 7, 2021).

24. Nazha B, Mishra M, Pentz R, Owonikoko TK. Enrollment of racial minorities in clinical trials: Old problem assumes new urgency in the age of immunotherapy. *Am Soc Clin Oncol Educ Book* (2019) 39:3–10. doi: 10.1200/EDBK\_100021

25. Khozin S, Carson KR, Zhi J, Tucker M, Lee SE, Light DE, et al. Real-world outcomes of patients with metastatic non-small cell lung cancer treated with programmed cell death protein 1 inhibitors in the year following U.S. regulatory approval. *Oncologist* (2019) 24:648–56. doi: 10.1634/THEONCOLOGIST.2018-0307

26. Florez MA, Kemnade JO, Chen N, Du W, Sabichi AL, Wang DY, et al. Persistent racial/ethnic associated disparity in anti-tumor effectiveness of immune checkpoint inhibitors despite equal access. *Cancer Res Commun* (2022). doi: 10.1158/2767-9764.CRC-21-0143

27. Nazha B, Goyal S, Chen Z, Engelhart A, Carlisle JW, Beardslee TJ, et al. Efficacy and safety of immune checkpoint blockade in self-identified blacks with advanced non-small cell lung cancer (NSCLC). *Cancer* (2020) 126(23):5040–9. doi: 10.1002/cncr.33141

28. State data . Texas: American Lung Association. Available at: https://www. lung.org/research/state-of-lung-cancer/states/texas (Accessed September 25, 2021).

29. Hofman P. The power of immunotherapy plus platinum-based chemotherapy for locally advanced or early stage non-small cell lung cancer. *Ann Transl Med* (2020) 8:151-1. doi: 10.21037/ATM.2020.01.91

30. Rao SR, Schoenfeld DA. Survival methods. *Circulation* (2007) 115:109–13. doi: 10.1161/CIRCULATIONAHA.106.614859

31. Vittinghoff E, Glidden DV, Shiboski SC, McCulloch CE. Regression methods. In Biostatistics: Linear, logistic, survival, and repeated measures models. 2nd ed. Springer-Verlag, Inc. (2012). doi: 10.1007/978-1-4614-1353-0

32. Ning X, Yu Y, Shao S, Deng R, Yu J, Wang X, et al. The prospect of immunotherapy combined with chemotherapy in patients with advanced non-small cell lung cancer: a narrative review. *Ann Transl Med* (2021) 9:1703–3. doi: 10.21037/ATM-21-4878

33. McCaffrey DF, Ridgeway G, Morral AR. Propensity score estimation with boosted regression for evaluating causal effects in observational studies. *Psychol Methods* (2004) 9:403–25. doi: 10.1037/1082-989X.9.4.403

34. Coffman DL, Zhou J, Cai X. Comparison of methods for handling covariate missingness in propensity score estimation with a binary exposure. *BMC Med Res Methodol* (2020) 20:168. doi: 10.1186/S12874-020-01053-4//7

35. Austin PC. An introduction to propensity score methods for reducing the effects of confounding in observational studies. *Multivariate Behav Res* (2011) 46:399–424. doi: 10.1080/00273171.2011.568786

36. Farjah F, Wood DE, Yanez ND, Vaughan TL, Symons RG, Krishnadasan B, et al. Racial disparities among patients with lung cancer who were recommended operative therapy. *Arch Surg* (2009) 144:14–8. doi: 10.1001/ARCHSURG.2008.519

37. Chen VW, Ruiz BA, Hsieh MC, Wu XC, Ries LAG, Lewis DR. Analysis of stage and clinical/prognostic factors for lung cancer from SEER registries: AJCC staging and collaborative stage data collection system. *Cancer* (2014) 120:3781–92. doi: 10.1002/CNCR.29045

38. Tsao AS, Liu D, Lee JJ, Spitz M, Hong WK. Smoking affects treatment outcome in patients with advanced nonsmall cell lung cancer. *Cancer* (2006) 106:2428–36. doi: 10.1002/CNCR.21884

39. Janjigian YY, McDonnell K, Kris MG, Shen R, Sima CS, Bach PB, et al. Pack-years of cigarette smoking as a prognostic factor in patients with stage IIIB/ IV nonsmall cell lung cancer. *Cancer* (2010) 116:670–5. doi: 10.1002/CNCR.24813

40. Kehm RD, Spector LG, Poynter JN, Vock DM, Altekruse SF, Osypuk TL. Does socioeconomic status account for racial and ethnic disparities in childhood cancer survival? *Cancer* (2018) 124:4090–7. doi: 10.1002/CNCR.31560

41. Lin NX, Logan S, Henley WE. Bias and sensitivity analysis when estimating treatment effects from the cox model with omitted covariates. *Biometrics* (2013) 69:850. doi: 10.1111/BIOM.12096

42. Osarogiagbon RU, Sineshaw HM, Unger JM, Acuña-Villaorduña A, Goel S. Immune-based cancer treatment: addressing disparities in access and outcomes. *Am Soc Clin Oncol Educ Book* (2021) 41:1–13. doi: 10.1200/EDBK\_323523

43. Mulligan CR, Meram AD, Proctor CD, Wu H, Zhu K, Marrogi AJ. Unlimited access to care: Effect on racial disparity and prognostic factors in lung cancer. *Cancer Epidemiology Biomarkers Prev* (2006) 15:25–31. doi: 10.1158/1055-9965.EPI-05-0537

44. Ou SHI, Ziogas A, Zell JA. Asian Ethnicity is a favorable prognostic factor for overall survival in non-small cell lung cancer (NSCLC) and is independent of smoking status. *J Thorac Oncol* (2009) 4:1083–93. doi: 10.1097/ JTO.0B013E3181B27B15

45. Raz DJ, Gomez SL, Chang ET, Kim JY, Keegan THM, Pham J, et al. Epidemiology of non-small cell lung cancer in Asian americans: Incidence patterns among six subgroups by nativity. *J Thorac Oncol* (2008) 3:1391–7. doi: 10.1097/JTO.0B013E31818DDFF7

46. Jorde LB, Wooding SP. Genetic variation, classification and "race." Nat Genet (2004) 36:S28-33. doi: 10.1038/ng1435