



When the Loss Costs Too Much: A Systematic Review and Meta-Analysis of Sarcopenia in Head and Neck Cancer

Xin Hua^{1,2†}, Shan Liu^{3†}, Jun-Fang Liao^{4†}, Wen Wen^{1,2†}, Zhi-Qing Long^{1,2}, Zi-Jian Lu^{1,5}, Ling Guo^{1,5+†} and Huan-Xin Lin^{1,2+†}

OPEN ACCESS

Edited by:

Jorge A. R. Salvador, Faculty of Pharmacy, University of Coimbra, Portugal

Reviewed by:

Edgar K. Selzer, Medical University of Vienna, Austria Ik Jae Lee, Gangnam Severance Hospital, South Korea Yeona Cho, Yonsei University College of Medicine, South Korea, in collaboration with reviewer IL

*Correspondence:

Ling Guo guoling@sysucc.org.cn Huan-Xin Lin linhx@sysucc.org.cn

[†]These authors have contributed equally to this work

Specialty section:

This article was submitted to Head and Neck Cancer, a section of the journal Frontiers in Oncology

Received: 08 July 2019 Accepted: 23 December 2019 Published: 05 February 2020

Citation:

Hua X, Liu S, Liao J-F, Wen W, Long Z-Q, Lu Z-J, Guo L and Lin H-X (2020) When the Loss Costs Too Much: A Systematic Review and Meta-Analysis of Sarcopenia in Head and Neck Cancer. Front. Oncol. 9:1561. doi: 10.3389/fonc.2019.01561 ¹ State Key Laboratory of Oncology in South China, Collaborative Innovation Center for Cancer Medicine, SunYat-sen University Cancer Center, Guangzhou, China, ² Department of Radiotherapy, Sun Yat-sen University Cancer Center, Guangzhou, China, ³ Department of Radiation Oncology, Sichuan Cancer Hospital & Institute, Sichuan Cancer Center, School of Medicine, University of Electronic Science and Technology of China, Chengdu, China, ⁴ Department of Radiation Oncology, National Cancer Center/National Clinical Cancer Research Center for Cancer/Cancer Hospital & Shenzhen Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Shenzhen, China, ⁵ Guangdong Key Laboratory of Nasopharyngeal Carcinoma Diagnosis and Therapy, Department of Nasopharyngeal Carcinoma, Sun Yat-sen University Cancer Center, Guangzhou, China

Purpose: Whether or not skeletal muscle mass (SMM) depletion, known as sarcopenia, has significant negative effects on the prognosis of patients with head and neck cancer (HNC) is both new and controversial. In this meta-analysis, we aimed to determine the prognostic significance of sarcopenia in HNC.

Methods: We searched PubMed, the Cochrane Library, Embase, and Web of Science, which contain trial registries and meeting proceedings, to identify related published or unpublished studies. We used the Newcastle–Ottawa Scale (NOS) to appraise the risk of bias of the included retrospective studies. Pooled hazard ratios (HR) and the *I*² statistic were estimated for the impact of sarcopenia on overall survival (OS) and relapse-free survival (RFS).

Results: We analyzed data from 11 studies involving 2,483 patients (39.4% on average of whom had sarcopenia). Based on the univariate analysis data, the sarcopenia group had significantly poorer OS compared to the non-sarcopenia group [HR = 1.97, 95% confidence interval (CI): 1.71–2.26, $l^2 = 0\%$]. In the cutoff value subgroup, group 1, defined as skeletal muscle index (SMI) of 38.5 cm²/m² for women and 52.4 cm²/m² for men (HR = 2.41, 95% CI: 1.72–3.38, $l^2 = 0\%$), had much poorer OS. In the race subgroup, the results were consistent between the Asia (HR = 2.11, 95% CI: 1.59–2.81) and non-Asia group (HR = 1.92, 95% CI: 1.64–2.25). The sarcopenia group also had significantly poorer RFS (HR = 1.74, 95% CI: 1.43–2.12, $l^2 = 0\%$).

Conclusions: Presence of pre-treatment sarcopenia has a significant negative impact on OS and RFS in HNC compared with its absence. Further well-conducted studies with detailed stratification are needed to complement our findings.

Keywords: head and neck cancer, sarcopenia, meta-analysis, prognostic factor, skeletal muscle mass (SMM)

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INTRODUCTION

Head and neck cancer (HNC) is a complex heterogeneous disease; numerous covariates affect its survival outcomes. According to National Comprehensive Cancer Network (NCCN) guidelines, radiotherapy (RT) with or without chemotherapy is the main treatment method for locally advanced HNC (1). Due to the local toxic effects of RT and chemoradiotherapy, patients with HNC may experience significant progressive weight loss and muscle mass depletion, which eventually lead to poor prognosis (2–4). Although weight loss is commonly used in clinical settings to screen for the risk of adverse outcomes in HNC, there are no universally recognized clear and reliable conclusions on the association of skeletal muscle mass (SMM) depletion and prognosis in HNC.

The main factors affecting treatment outcome are tumor characteristics and host-related factors (including age, sex, and nutritional status). Patients with HNC have a much higher risk of malnutrition than patients with other malignancies (5). Cancer patients with malnutrition typically lose lean body mass and muscle mass, while fat mass may remain or even increase (6). Muscle mass depletion, known as sarcopenia, can theoretically affect the treatment tolerance and prognosis of patients with HNC.

Sarcopenia is officially defined as generalized and progressive low SMM and function, and is related to physical disability and functional impairment (7). Sarcopenia in HNC can be quantified by the cross-sectional area in square centimeters (cm²) divided by the squared height in meters (m^2) at the third lumbar (L3) or cervical (C3) vertebra level using computed tomography (CT) imaging (8). Recent studies have shown that sarcopenia is associated with increased risk of complications after tumor therapy and reduced disease-free survival (DFS) and overall survival (OS) (9-12). Sarcopenia and its effect on treatmentrelated complications and the clinical prognosis of HNC have recently attracted research attention. However, underestimation of the importance of sarcopenia continues to evolve when compared to the large number of studies that have been focused on different patient- and disease-related variables affecting the prognosis of patients with HNC (13-16).

Currently, whether sarcopenia in HNC can act as a prognostic factor is both little well-known and controversial (17, 18). Accordingly, we conducted this meta-analysis to investigate the prevalence of sarcopenia in patients with HNC and to determine its impact on clinical prognosis.

METHODS

Search Strategy

The prospective registration number of this meta-analysis on PROSPERO was CRD42019128406. This study was approved by the Ethics Committee of Sun Yat-sen University Cancer Center. Databases such as PubMed, the Cochrane Library, Embase, and Web of Science, which contain trial registries and meeting proceedings, were searched before August 30, 2019. In each database, we used the same search term: ("sarcopenia" or "fragility" or "sarcopenic" or "muscle index" or "muscle mass" or "muscle depletion" or "muscular atrophy") and ("head and neck cancer" or "head and neck neoplasm" or "HNSCC"). The language restriction was English; there were no other filters.

Study Selection

At the full-text screening step, two reviewers (X.H. and S.L.) assessed the relevant literature independently for inclusion. The κ statistic was used for inter-rater reliability (19). The inclusion criteria were as follows: (1) cohort and case–control study; (2) studied patients with HNC(s); (3) reported SMM or function measurement; and (4) reported prognostic data such as OS, progression-free survival (PFS), or DFS. Studies were excluded if data on the impact of sarcopenia on survival outcomes were unavailable.

Data Extraction

The two reviewers (S.L. and X.H.) extracted data from primary texts and Supplementary Appendixes independently and summarized them in a standardized data abstraction form. The extracted items are partly listed in **Table 1**. The results were reconciled and a third reviewer (J.F.L.) was consulted if there were discrepancies. In the case of missing data, the authors of the study in question were contacted via e-mail. If the authors did not reply, data from the published articles were used.

Risk of Bias Assessment

Two reviewers (W.W. and Z.Q.L.) assessed the bias independently. We used the modified Newcastle–Ottawa Scale (NOS) (35), which involves patient selection, study group comparability, and assessment of outcomes, to appraise the methodological quality of the included retrospective studies. The quality of each cohort study was scored 0–9, and case–control studies were scored 0–10; studies with scores of at least 6 were deemed good quality (19).

Meta-Analysis

We calculated and subsequently pooled in standard metaanalyses and hazard ratios (HRs) with corresponding 95% confidence intervals (95% CIs) for survival outcomes. HR and its 95% CI were directly used if these values were reported; otherwise, the natural logarithm of the HR (lnHR) and standard error of the lnHR [se(lnHR)] were calculated to determine the pooled HRs and 95% CIs according to the method of Parmar et al. (36) and Tierney et al. (37). The χ^2 and I^2 tests were used to appraise statistical heterogeneity between studies, with significance set at P < 0.10. The random-effects model was consistently used to maintain a conservative conclusion. Exploratory subgroup analyses were also performed. Potential publication bias was quantitatively assessed by funnel plot and quantified by the Egger test (38) and the trim-and-fill method (39) using Stata 14.0 (Stata Corp, College Station, TX, USA). The meta-analyses were performed using Review Manager 5.3 (Cochrane Collaboration, Oxford, UK).

TABLE 1 | Characteristics of included studies.

Author year	Country	Cancer	Stage	No. of patients	Age	Follow-up (months)	Sarcopenia assessment		Cut point	(cm ² /m ²)	Sarcopenia (%)	Treatment	Outcome	Adjusted major confounders	NOS scor
								Female	Male	Methods					
Ganju et al. (20)	America	Head and neck excluding p16+ oropharynx cancer	AJCC 7 th III–IVB	246	60 (19–88)	35.1 (1–83)	*L3 SMI	41	43 or 53 by BMI	Martin et al. (12)	58	CCRT/IC+ CCRT, Surgery-	OS + PFS	Baseline BMI, Age, Sex, Race, Site, Stage, Smoke, Treatmen	7
Stone et al. (21)	America	Head and neck	AJCC I–IVE	260	61.1 (±11)	ND	L3 SMI	38.5	52.4	Prado et al. (6)	55.4	Surgery ± RT/CRT	OS	Baseline BMI, Stage, Smoke, ALB, HPV, Treatment	7
Bril et al. (22)	Netherlands	Larynx and Hypopharynx	AJCC 6/7 th 0–IV	235	64.7 (±9.1)	62.4	*L3 SMI	43.2	43.2	Wendrich et al. (23)	46.4	Surgery \pm pre Chemo/RT \pm adjuvant treatment	OS	Baseline BMI, Sex, Smoke, Site, Treatment	7
Jung et al. (24)	Korea	Head and neck	AJCC 7 th III–IV	258	64 (56–73)	53.6 (26.3–70.5)	L3 SMI	38.5	52.4	Prado et al. (6), Mourtzakis et al. (25)	6.6	Surgery ± RT/CCRT	OS DFS	Baseline Age, CCI, ALB, Site, HPV-P16, Smoke Treatment	7
[†] Van Rijn–Dekker et al. (26)	Netherlands	HNSCC	AJCC I–IVE	750	ND	ND	*L3 SMI	30.6	42.4	Lowest gender-specific quartile	25	Chemo/RT	OS DFS	Baseline Age, WHO score, stage, site	6
Cho et al. (17)	Korea	Head and neck	AJCC III–IVB	221	59 (18–94)	30 (1–110)	L3 SMI	31	49	Go et al. (27), Kim et al. (28)	48.0	RT/ CCRT/ IC+CCRT	OS, PFS	Univariate analysis	3 7
[‡] Fattouh et al. (29)	America	HNSCC	AJCC 6/7 th M0	113	ND	≥60	L3 SMI	38.5	52.4	Prado et al. (30), Mokdad et al. (31)	64.6	Chemo/RT, Surgery+	OS	Baseline BMI, Age, Sex, Stage, Treatment	8
Grossberg et al. (18)	ND	HNSCC	AJCC 7 th M0	190	57.7 (±9.4)	68.6	L3 SMI	38.5	52.4	Prado et al. (6), Parsons et al. (32)	35.3	RT/CCRT/IC+ CCRT, Surgery-	OS, +	Baseline BMI, Age, Sex, Smoke, Site, Stage, Treatment, HIV, Diabetes, Cardiovascular disease	8
Nishikawa et al. (33)	Japan	HNSCC	MO	85	66 (28–89)	29.6 (1–40.7)	L3 SMI	30.3	46.7	Prado et al. (6)	46.0	RT/ CCRT/ BioRT/Surgery, NACT+	OS	Baseline weight loss, ALB, CRP	6
Tamaki et al. (34)	Japan	SCC of oropharyngeal	AJCC II–IVC	2 113	Non-sarcopenia 57.63 (±10.25); sarcopenia 63.5 (±12.91)	0–120	L3 SMI	41	41or 43	Martin et al. (12)	28.3	CCRT/surgery = adjuvant treatment	± OS DFS	Baseline BMI, HPV-P16, Sex, Smoke, Alcohol	6
Wendrich et al. (23)	Dutch	HNSCC	AJCC III-IV (locally advanced)	112	54.5 (±9.4)	15–90	*L3 SMI	43.2	43.2	Non-gender- specific optimal stratification	54.5	CCRT	OS	Univariate analysis	6 6

*L3 SMI was calculated by C3 SMI using the method from Swartz et al. (8).

[†]Research as a conference meeting paper and the author provided information about sarcopenia (%).

[‡]Research does not have a univariate analyzed OS data.

AJCC, American Joint Committee on Cancer; BMI, body mass index; HNSCC, head and neck squamous cell carcinoma; CCRT, concurrent chemoradiotherapy; IC, induction chemotherapy; L3, the third lumbar vertebra; No., number; ND, no description; OS, overall survival; DFS, disease-free survival; DSS, disease-specific survival; M, metastasis; NOS, Newcastle–Ottawa Scale; PFS, progression-free survival; RT, radiation therapy; SMI, skeletal muscle index. Bold represents the value of NOS-Score.

RESULTS

Search Strategy

After the initial literature search on August 30, 2019, 11 studies (17, 18, 20–24, 26, 29, 33, 34), including one nested case–control study (29) and a meeting abstract (26), assessing 2,483 patients were pooled in the present meta-analysis. Of the patients involved, an average of 39.4% had sarcopenia (979 patients and 1,504 patients had and did not have sarcopenia, respectively, according to different cutoff values; **Figure 1**). The kappa coefficient was 0.842 (**Figure S1**).

Characteristics of the Studies

Table 1 summarizes the characteristics of the 11 retrospective studies. Four studies (17, 24, 33, 34) were from Asia, i.e., Japan and Korea. All studies included patients with non-metastatic clinical stage, except the cohort of Tamaki et al. (34), which included four patients with stage IVC disease. All studies used the SMI, quantified by the cross-sectional area in cm² divided by m² at the L3 or the C3, and then calculated the L3 vertebra level mainly using CT imaging. There were different sarcopenia cutoff definitions (6, 12, 25, 27, 28, 30-32); three studies (22, 23, 26) used self-defined definitions to obtain optimum stratification. Sarcopenia prevalence ranged from 6.6 to 64.6%. The HRs from nine studies were adjusted for major confounders such as baseline body mass index (BMI) etc. The quality of all included studies was fair (Table S1). All studies had low risk of bias, with NOS scores of 6-8. HR and 95% CI data from two studies (17, 23) were extracted and estimated from survival curves using indirect methods. Lastly, no authors except Van Rijn-Dekker (26) replied to our query e-mails; therefore, we used only the available published data.

Overall Survival

The meta-analysis of the univariate and multivariate data of the influence of the SMI on OS using the random-effects model is depicted in (Figures 2A,B). The sarcopenia group had significantly poorer OS compared to the non-sarcopenia group (in Figure 2A HR = 1.97, 95% CI: 1.71–2.26, $I^2 = 0\%$ and P = 0.46; in **Figure 2B** HR = 2.15, 95% CI: 1.66–2.79, $I^2 = 50\%$ and P = 0.04). Table 2 shows the exploratory subgroup analyses. In the primary SMI subgroup, the L3 SMI calculated from the C3 SMI showed results consistent with the L3 primary SMI (HR = 1.90, 95% CI: 1.60-2.25; HR = 2.12, 95% CI: 1.66-2.71, respectively). In the three subgroups according to cutoff values, group 1, defined as SMI of 38.5 cm²/m² for women and 52.4 cm^2/m^2 for men, had much poorer OS (HR = 2.41, 95% CI: 1.72– 3.38, $I^2 = 0\%$). Sarcopenia had a similar impact on the Asia and non-Asia subgroups (HR = 2.11, 95% CI: 1.59–2.81; HR = 1.92, 95% CI: 1.64-2.25, respectively). There was no difference between the high-quality group with NOS \geq 7 and intermediate-quality group with NOS = 6 (HR = 2.13, 95% CI: 1.74-2.60; HR = 1.83, 95% CI: 1.48–2.26, respectively). As the χ^2 test P-value of 0.46 and an I^2 of 0% indicated consistency between the studies (Figure 2A), we did not perform sensitivity analysis except for multivariate meta-analysis for OS (Table S2).

Relapse-Free Survival

We defined RFS as the interval between diagnosis to the detection of first progression, death from any cause, or last follow-up that



•			sarcopnia	no sarcopnia		Hazard Ratio		Hazard Ratio
Study or Subgroup	log[Hazard Ratio]	SE	Total	Total	Weight	IV, Random, 95% Cl	Year	IV, Random, 95% Cl
Grossberg et al 2016	0.6523	0.2451	67	123	8.2%	1.92 [1.19, 3.10]	2016	
Vendrich et al 2017	0.3577	0.2146	61	51	10.7%	1.43 [0.94, 2.18]	2017	
'amaki et al 2018	0.654926	0.312097	32	82	5.1%	1.93 [1.04, 3.55]	2018	
lishikawa etal 2018	1.223775	0.454026	39	46	2.4%	3.40 [1.40, 8.28]	2018	
Choetal 2018	0.4574	0.2193	106	115	10.3%	1.58 [1.03, 2.43]	2018	
/an Rijn - Dekker et al 2019	0.629142	0.12048	188	562	34.0%	1.88 [1.48, 2.38]	2019	-
Jung et al 2019	1.175573	0.302969	17	241	5.4%	3.24 [1.79, 5.87]	2019	
Stone et al 2019	0.966984	0.405417	144	116	3.0%	2.63 [1.19, 5.82]	2019	
Bril et al 2019	0.796155	0.17723	109	126	15.7%	2.22 [1.57, 3.14]	2019	
Ganju et al 2019	0.828552	0.309775	143	103	5.1%	2.29 [1.25, 4.20]	2019	
Fotal (95% CI)			906	1565	100.0%	1.97 [1.71, 2.26]		•
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Heteroneneity: Tau? = 0.00° C	hi² = 8,75, df = 9,/P =	$= \Pi (46) \cdot P = 1$	196					
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Heterogeneity: Tau ² = 0.00; C Test for overall effect: Z = 9.62 prest plot of comparison: 1	hi² = 8.75, df = 9 (P = (P < 0.00001) I sarcopnia as the	= 0.46); I ² = (s of head an	nd neck cance	er, outco	me: 1.1 OS univaria Hazard Ratio	ate.	0.01 0.1 1 10 Favours sarcopnia Favours no sarcop Hazard Ratio
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Heterogeneity: Tau ² = 0.00; C Test for overall effect: Z = 9.62 prest plot of comparison: 1 <u>tudy or Subgroup</u> prossberg et al 2016 amaki et al 2018 attouh et al 2018 lishikawa et al 2018 ian Rijn - Dekker et al 2019 ianju et al 2019 tone et al 2019 ung et al 2019	Init = 8.75, df = 9 (P = (P < 0.00001) sarcopnia as the 0.636577 0.6642 1.0818 1.2528 0.361165 0.662888 0.614645 0.966984 1.368639	<pre>c 0.46); F = 0 prognosis 0.258468 0.3394 0.3712 0.5409 0.12572 0.324141 0.21961 0.21961 0.21961</pre>	s of head an sarcopnia 1 Total 67 32 73 39 188 143 143 109 144 17	nd neck cance no sarcopnia 123 82 40 46 562 103 126 116 241	Weight 12.7% 9.4% 8.4% 4.8% 20.1% 9.9% 14.7% 7.4% 12.6%	me: 1.1 OS univaria Hazard Ratio <u>IV, Random, 95% CI</u> 1.89 [1.14, 3.14] 1.94 [1.00, 3.78] 2.95 [1.43, 6.11] 3.50 [1.21, 10.10] 1.44 [1.12, 1.84] 1.94 [1.03, 3.66] 1.85 [1.20, 2.84] 2.63 [1.19, 5.82] 3.93 [2.36, 6.65]	Year 2016 2018 2018 2018 2019 2019 2019 2019 2019	0.01 0.1 1 10 Favours sarcopnia Favours no sarcop Hazard Ratio IV, Random, 95% Cl
Heterogeneity: Tau ² = 0.00; C Test for overall effect: Z = 9.62 prest plot of comparison: 1 tudy or Subgroup rossberg et al 2016 amaki et al 2018 attouh et al 2018 iishikawa et al 2018 an Rijn - Dekker et al 2019 anju et al 2019 tine et al 2019 ung et al 2019 ung et al 2019 otal (95% CI)	I ^{II} ^{III} = 8.75, df = 9 (P = (P < 0.00001) I sarcopnia as the 0.636577 0.6642 1.0818 1.2528 0.361165 0.662898 0.614645 0.966984 1.368639	<pre>c 0.46); F = 0 prognosis 0.258468 0.3394 0.3712 0.5409 0.12572 0.324141 0.21961 0.21961 0.260798</pre>	s of head an sarcopnia 1 Total 67 32 73 39 188 188 143 109 144 17 812	nd neck cance no sarcopnia 123 82 40 46 562 103 126 116 241 1439	Weight 12.7% 9.4% 8.4% 4.8% 20.1% 9.9% 14.7% 7.4% 12.6% 100.0 %	me: 1.1 OS univaria Hazard Ratio IV, Random, 95% CI 1.89 [1.14, 3.14] 1.94 [1.00, 3.78] 2.95 [1.43, 6.11] 3.60 [1.21, 10.10] 1.44 [1.12, 1.84] 1.94 [1.03, 3.66] 1.85 [1.20, 2.84] 2.63 [1.19, 5.82] 3.93 [2.36, 6.55] 2.15 [1.66, 2.79]	Year 2016 2018 2018 2018 2019 2019 2019 2019 2019	0.01 0.1 1 10 Favours sarcopnia Favours no sarcop Hazard Ratio IV, Random, 95% Cl

Forest plot of comparison: 1 sarcopnia as the prognosis of head and neck cancer, outcome: 1.3 OS multivariate.

FIGURE 2 | Forest plot of (A) univariate data of OS, (B) multivariate data of OS.

represented PFS in the study by Cho et al. (17) and DFS by Tamaki et al. (34). The sarcopenia group had significantly poorer RFS based on both univariate and multivariate data (HR = 1.74, 95% CI: 1.43–2.12, P < 0.00001, $I^2 = 0\%$; HR = 1.68, 95% CI: 1.27–2.23, P = 0.003, $I^2 = 14\%$; **Figures 3A,B**).

Publication Bias

The publication bias test results are not separately reported (**Figures S2**, **S3**). In accordance with the funnel plot in **Figure S3**, Egger's test indicated a high likelihood of reporting bias (P = 0.035); however, the trim-and-fill method indicated that three hypothetical studies were filled in while the final conclusion remained unchanged (**Figure S2**).

DISCUSSION

Sarcopenia, known as the loss of SMM and function, is common in patients with various solid cancers with incidence ranging from 11 to 74% (40, 41). Following digestive cancer, patients with HNCs have a higher risk of experiencing malnutrition than patients with other cancer types (5, 42), due to the impact of the special tumor location and more serious treatment toxicity on the food intake. Accordingly, several recent studies have further explored the predictive value of sarcopenia in treatmentrelated complications and the prognosis of survival in HNC. Wendrich et al. (23) found that sarcopenia increased the risk of chemotherapy dose-limiting toxicity (CDLT) in patients with LA-HNSCC receiving chemoradiotherapy (44.3 vs. 13.7%, P < 0.001). Achim et al. (43) showed that up to 77% of patients with laryngeal cancer had preoperative sarcopenia and that sarcopenia was an independent predictor for all complications of total laryngectomy. Wendrich et al. (23) did not find a significant OS reduction for low SMM (P = 0.187). Grossberg et al. (18) found that, in patients with HNSCC, pre-RT SM depletion was no longer prognostic when BMI was included in the multivariate analysis. Indeed, obese patients without sarcopenia have significantly better prognosis than obese patients with sarcopenia (sarcopenia obesity) (6, 44). Therefore, as a nutrition-related indicator, whether sarcopenia independently affects the prognosis of HNC is appealing.

This is the first meta-analysis to report quantitative assessment of SMI and prognosis in HNC. The pooled HRs show that pre-treatment sarcopenia is significantly associated with poorer OS and RFS. The univariate HRs for survival outcomes were used to derive conclusions because we believed and observed that the multivariate meta-analysis that negative results did not participate in could be a source of publication bias.

We found relatively significant heterogeneity ($I^2 = 50\%$) in the multivariate meta-analysis for OS (**Figure 2B**). It appears that results by Jung et al. (24) and Van Rijn-Dekker et al. (26) are debatable (**Table S2**). The former had a much higher risk than any other research (HR = 3.93, 95% CI; 2.36–6.55). Interestingly,

Variable	Subgroups	Avail	ability	Effect		Heterog	eneity
		Studies (<i>N</i>)	Patients (N)	HR (95% CI)	P-values	l² (%)	$\boldsymbol{P}_{inter}^{*}$
Race	Asian Non-Asian	4 6	678 1,793	2.11 [1.59, 2.81] 1.92 [1.64, 2.25]	<0.00001 <0.0001	39 0	0.5
Stage	Locally advanced	4	837	1.92 [1.35, 2.73]	0.0003	49	0.95
	Non-metastasis	5	1,520	2.04 [1.71, 2.42]	< 0.0001	0	
	Contained M1	1	114	1.94 [1.04, 3.55]	0.04	-	
Primary SMI	L3	6	1,128	2.12 [1.66, 2.71]	< 0.00001	7	0.47
	C3	4	1,343	1.90 [1.60, 2.25]	< 0.00001	0	
[†] Cutoff	Group1	3	708	2.41 [1.72, 3.38]	< 0.0001	0	0.45
	Group2	3	1,056	1.87 [1.47, 2.38]	< 0.00001	14	
	Group3	4	707	1.92 [1.53, 2.41]	< 0.00001	0	
NOS quality	$\begin{array}{l} NOS \geq 7\\ NOS = 6 \end{array}$	6 4	1,410 1,061	2.13 [1.74, 2.60] 1.83 [1.48, 2.26]	<0.0001 <0.00001	0 8	0.3
HR data extract	Directly Indirectly	8 2	2,138 333	2.11 [1.81, 2.47] 1.50 [1.11, 2.03]	<0.00001 0.008	0 0	0.05

TABLE 2 | Subgroup analyses of the prognostic effect on OS of the sarcopenia vs. non-sarcopenia group in head and neck cancer.

*P_{inter} represents the significance of heterogeneity between subgroups calculated by Revman software.

^{\dagger} Cutoff value in Group 1: 38.5 cm²/m² for women and 52.4 cm²/m² for men; Group 2: 30.3–31 cm²/m² for women and 42.4–49 cm²/m² for men; Group 3: 41–43.2 cm²/m² for women and 41–43.2 cm²/m² for men.

N, number; HR, hazards ratio; NOS, Newcastle-Ottawa Scale.

			sarcopnia	no sarcopnia		Hazard Ratio		Hazard Ratio
Study or Subgroup	log[Hazard Ratio]	SE	Tot	ni Tota	I Weight	IV, Fixed, 95% CI	Year	IV, Fixed, 95% Cl
Cho et al 2018	0.2852	0.2161	10	6 11:	5 21.5%	1.33 [0.87, 2.03]	2018	+
Tamaki et al 2018	0.64238	0.353781	1 3	2 8:	2 8.0%	1.90 [0.95, 3.80]	2018	
Ganju et al 2019	0.770108	0.338253	3 14	3 10:	3 8.8%	2.16 [1.11, 4.19]	2019	
Jung et al 2019	0.850151	0.354191	1	7 24	1 8.0%	2.34 [1.17, 4.68]	2019	
Van Rijn - Dekker et al 2019	0.569283	0.136727	7 18	8 56	2 53.7%	1.77 [1.35, 2.31]	2019	-
Total (95% CI)			48	6 1103	3 100.0%	1.74 [1.43, 2.12]		•
Listerenensity Ohiz - 0.70 de	$r = 4 (P = 0.60) \cdot I^2 = 0$	%					E	
Heterogeneity: $Chr = 2.73$, di	- + (1 - 0.00/, 1 - 0							11 111 1 1 11
Test for overall effect: Z = 5.5	3 (P < 0.00001) 1 sarcopnia as the	prognosi	s of head	and neck cano	er, outco	ome: 1.2 RFS univ	ariate.	Favours sarcopenia Favours non sarcopen
Test for overall effect: Z = 5.5	3 (P < 0.00001) 1 sarcopnia as the	e prognosi	s of head	and neck cano	er, outco	ome: 1.2 RFS univ	ariate.	Favours sarcopenia Favours non sarcopen
Test for overall effect: Z = 5.5	3 ($P < 0.00001$)	e prognosi	s of head	and neck cano	er, outco	ome: 1.2 RFS univ Hazard Ratio	ariate.	Favours sarcopenia Favours non sarcopen
Test for overall effect: Z = 5.5: orest plot of comparison:	I sarcopnia as the	e prognosi SE	s of head sarcopnia Total	and neck cano no sarcopnia Total	er, outco Weight	ome: 1.2 RFS univ Hazard Ratio IV, Random, 95% CI	ariate. Year	Favours sarcopenia Favours non sarcopen Hazard Ratio IV. Random, 95% Cl
Test for overall effect: Z = 5.5: orest plot of comparison: tudy or Subgroup amaki et al 2018	(= 0.00001) 1 sarcopnia as the <u>log[Hazard Ratio]</u> 0.6729	e prognosi <u>se</u> <u>SE</u> 0.3647	s of head sarcopnia <u>Total</u> 32	and neck cano no sarcopnia <u>Total</u> 82	er, outco <u>Weight</u> 14.0%	ome: 1.2 RFS univ Hazard Ratio <u>IV. Random, 95% CI</u> 1.96 10.96, 4.011	ariate. Year 2018	Hazard Ratio
Test for overall effect: Z = 5.5 orest plot of comparison: tudy or Subgroup amaki et al 2018 ung et al 2019	1 sarcopnia as the log[Hazard Ratio] 0.6729 1.118415	prognosi se 0.3647 0.458438	s of head sarcopnia Total 32 17	and neck cano no sarcopnia Total 82 241	er, outco <u>Weight</u> 14.0% 9.2%	ome: 1.2 RFS univ Hazard Ratio <u>IV. Random, 95% CI</u> 1.96 [0.96, 4.01] 3.06 [1.25, 7.52]	ariate. Year 2018 2019	Favours sarcopenia Favours non sarcopen Hazard Ratio IV. Random, 95% Cl
Test for overall effect: Z = 5.5: orest plot of comparison: <u>tudy or Subgroup</u> amaki et al 2018 ung et al 2019 aniu et al 2019	3 (P < 0.00001) 1 sarcopnia as the <u>log[Hazard Ratio]</u> 0.6729 1.118415 0.693147	prognosi se 0.3647 0.458438 0.338336	s of head sarcopnia Total 32 17 143	and neck cand no sarcopnia Total 82 241 103	er, outco <u>Weight</u> 14.0% 9.2% 16.1%	Hazard Ratio Hazard Ratio M. Random, 95% CI 1.96 (0.96, 4.01) 3.06 (1.25, 7.52) 2.00 (1.03, 3.88)	ariate. <u>Year</u> 2018 2019 2019	Hazard Ratio
Test for overall effect: Z = 5.5 orest plot of comparison: tudy or Subgroup amaki et al 2018 ung et al 2019 anju et al 2019 an Rijn - Dekker et al 2019	3 (P < 0.00001) 1 sarcopnia as the <u>log[Hazard Ratio1</u> 0.6729 1.118415 0.693147 0.349247	e prognosi se 0.3647 0.458438 0.338336 0.141093	s of head sarcopnia Total 32 17 143 188	no sarcopnia Total 82 241 103 562	weight 14.0% 9.2% 16.1% 60.7%	Hazard Ratio Hazard Ratio <u>IV. Random, 95% CI</u> 1.96 [0.96, 4.01] 3.06 [1.25, 7.52] 2.00 [1.03, 3.88] 1.42 [1.08, 1.87]	Year 2018 2019 2019 2019 2019	Hazard Ratio
Test for overall effect: Z = 5.5: orest plot of comparison: tudy or Subgroup amaki et al 2018 ang et al 2019 anju et al 2019 an Rijn - Dekker et al 2019 otal (95% CI)	a (P < 0.00001) 1 sarcopnia as the <u>log/Hazard Ratio1</u> 0.6729 1.118415 0.693147 0.349247	e prognosi se 0.3647 0.458438 0.338336 0.141093	s of head sarcopnia Total 32 17 143 188 380	and neck cano no sarcopnia Total 82 241 103 562 988	weight 14.0% 9.2% 16.1% 60.7% 100.0%	Hazard Ratio <u>M. Random, 95% CI</u> 1.96 (0.96, 4.01) 3.06 (1.25, 7.52) 2.00 (1.03, 3.88) 1.42 (1.08, 1.87) 1.68 (1.27, 2.23)	Year 2018 2019 2019 2019 2019	Hazard Ratio
Test for overall effect: Z = 5.5: orest plot of comparison: tudy or Subgroup amaki et al 2018 ang et al 2019 anju et al 2019 an Rijn - Dekker et al 2019 otal (95% CI) eterogeneity: Tau ² = 0.01; Ch	3 (P < 0.00001) 1 sarcopnia as the <u>log[Hazard Ratio]</u> 0.6729 1.118415 0.693147 0.349247 F = 3.47, df = 3 (P = 0	prognosi se 0.3647 0.458438 0.338336 0.141093 0.141093	s of head sarcopnia 32 17 143 188 380	and neck cano no sarcopnia Total 82 241 103 562 988	weight 14.0% 9.2% 16.1% 60.7% 100.0 %	Hazard Ratio M. Random, 95% CI 1.96 (0.96, 4.01) 3.06 (1.25, 7.52) 2.00 (1.03, 3.88) 1.42 (1.08, 1.87) 1.68 [1.27, 2.23]	ariate. <u>Year</u> 2018 2019 2019 2019	Hazard Ratio

FIGURE 3 | Forest plot of (A) univariate data of RFS, (B) multivariate data of RFS.

the cutoff value they used was the same as that from three other included articles (18, 21, 29) (**Table 1**), but the incidence rate of sarcopenia was only 6.6%; the possible reasons for this are as follows: (a) 6.6% is for sarcopenia with visceral obesity in their study, (b) the locally advanced cancer stage is the distinguishing property, or (c) there might be potential bias that affected the incidence. The study by Van Rijn-Dekker et al., which will soon be published in full, was a meeting abstract that investigated a

large-scale cohort of 750 patients with HNSCC, the incidence of sarcopenia was also low, i.e., as 25%, and the result was conservative and narrow (HR = 1.44, 95% CI: 1.12–1.84). In their e-mail reply, the cutoff was set by the lowest sex-specific quartile categorized in our Group 2 cutoff subgroup. Group 2 was less good enough to report a prognostic effect of sarcopenia than Group 1, which is based on log-rank statistics to separate patients with sarcopenia (6) (**Table 2**), so we agree that setting a

cutoff for sarcopenia by using the log-rank test may be better. It is common to obtain the head and neck CT in HNC, and we also did not observe significant intergroup heterogeneity between the primary site of SMI definition subgroups (C3 or L3) (**Table 2**). We suggest that more studies should explore the effect and cutoff value of neck muscles on HNC prognosis.

In our review, sarcopenia had a similar impact on the Asia and non-Asia subgroups, which suggest that sarcopenia could be widely used. Sarcopenia was not a prognostic factor for p16+ oropharyngeal cancer (34, 45), and maybe different tumor types that caused a wide range of prognosis have specific influence on sarcopenia; thus, it is imperative for further studies on particular and rare types of tumors other than p16+ oropharyngeal cancer to determine the prognostic value of sarcopenia. As for the set of cutoff value, the low intergroup heterogeneity indicates that different cutoffs could all be used (**Table 2**). Therefore, a unitary cutoff is not reasonable, and it can be inferred that using different races, tumor-node-metastasis (TNM) clinical stages, tumor types, age groups, and other features to form the appropriate multi-factor model can identify patients with poor prognosis as accurately as possible.

Our study also aims to turn its attention to the routine evaluation and intervention of sarcopenia for HNC. Many strategies can be attempted to prevent and treat sarcopenia. Among them, lifestyle modification, specific dietary habits, and therapeutic measures have been recommended. Protein supplementation and regular resistance exercise are the mainstream treatments of sarcopenia: to increase muscle mass and help augment muscle strength (46, 47). In addition, drugs that can block the cytokines associated with the muscle atrophy signaling pathways [such as myostatin/activin, interleukin (IL)-6, and tumor necrosis factor (TNF)- α] or medications that induce signals of muscle hypertrophy (such as growth hormone agonists, ghrelin, and anabolic steroids) may be useful for sarcopenia accompanied by visceral obesity (48).

Due to the retrospective nature of the included studies, the present meta-analysis has several limitations. First, only some of those articles included the treatment variable, which is a significant prognostic factor for survival outcomes, into their multivariate analysis, and no matching methods were used, so there might have been interaction effects. Second, there were little data about stratifying the impact of pre-treatment sarcopenia on survival according to clinical stages, which is commonly used for identifying higher-risk groups. For example, Van Rijn-Dekker et al. (26) found that sarcopenia is not a prognostic factor in early-stage HNSCC. Third, because Fattouh et al. (29) only reported the positive HR in their multivariate analysis, the univariate meta-analysis included 10/11 of eligible primary studies; however, according to the principle of Cox regression, there is little chance that the conclusion of the meta-analysis

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will be affected. Finally, the reasons for the different statistical significance between Egger's test and the trim-and-fill method might derive from the low number of included studies; however, these studies are relatively new, and we did not receive replies from the authors of three conference articles with positive (49, 50) and negative (51) results, which requires further evaluation after their official publication.

CONCLUSION

The presence of pre-treatment sarcopenia has a significant negative impact on OS and RFS in HNC compared with its absence. Further well-conducted studies with detailed stratification are needed to complement our findings.

DATA AVAILABILITY STATEMENT

Please contact author for data requests.

AUTHOR CONTRIBUTIONS

XH, SL, and J-FL collected, extracted, and analyzed the data and wrote the paper. WW, Z-QL, and Z-JL performed quality assessment and analyzed the data. LG and H-XL conceived and designed this study. All authors reviewed the paper, read, and approved the final manuscript.

FUNDING

This work was partly supported by the National Natural Science Foundation of China (Nos. 81772877, 81773103, and 81572848).

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fonc. 2019.01561/full#supplementary-material

 Table S1 | NOS score of included retrospective studies.

 Table S2 | Sensitivity analysis (one study was omitted in each round) of multivariate meta-analysis of OS between the sarcopenia and non-sarcopenia groups.

Figure S1 | Kappa coefficient value for 38 eligible studies at full text step. Kappa value 0.0–0.20 (slight), 0.21–0.40 (fair), 0.41–0.60 (moderate), 0.61–0.80 (substantial), and 0.81–1 (almost perfect).

Figure S2 | Egger's test for OS and trim-and-fill method. For Egger's test, if the test of H0: P > 0.1, there is no publication bias; for the trim-and-fill method, if the final conclusion from the estimated pooled HR and 95%CI remains unchanged, publication bias has little influence on the authenticity of the final conclusion.

Figure S3 | Funnel plot of univariate meta-analysis for OS.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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