



# Experiences and expectations for glioma immunotherapeutic approaches

Ryuya Yamanaka\* and Azusa Hayano

Graduate School for Health Care Science, Kyoto Prefectural University of Medicine, Kyoto, Japan

\*Correspondence: ryaman@koto.kpu-m.ac.jp

**Edited by:**

Lois A. Lampson, Harvard Medical School, USA

**Reviewed by:**

Hiroshi Shiku, Mie University, Japan

**Keywords:** central nervous system, CTLA4, dendritic cell, genetically engineering T cells, glioma, immunotherapy, PD-1, peptide

Malignant gliomas are the most prevalent type of primary central nervous system (CNS) tumor in adults. Despite progress in brain tumor therapy, the prognosis for malignant glioma patients remains dismal. Standard treatment with temozolomide and radiotherapy for patients with newly diagnosed glioblastoma has increased the median overall survival (OS) by 15–20 months (1), but tumor recurrence is inevitable. Salvage treatments upon recurrence are palliative at best and rarely provide significant survival benefit. Among the new treatments currently being investigated for malignant glioma, immunotherapy is theoretically attractive, because it offers the potential for high tumor-specific cytotoxicity (2). Although recent clinical trials of immunotherapy protocols for malignant gliomas focused on initiating and amplifying a host response with some clinical success, most of them failed to induce objective tumor shrinkage in patients (2). Antitumor activities of tumor cytotoxic T cells (CTL) and antibodies induced by these therapies are insufficient to overcome tumor growth because tumors have immune evasion mechanisms instigated by myeloid derived suppressor cells (MDSCs) and regulatory T cells ( $T_{reg}$ ) (3). In this paper, we will review past experiences and discuss the promising future of immunotherapeutic approach for glioma treatment.

## WHAT HAVE WE LEARNED FROM PREVIOUS CLINICAL TRIALS?

Preliminary results from recent immunotherapeutic clinical trials (2, 4–6) with dendritic cells or peptide vaccines for malignant glioma patients are encouraging. However, these trials have some

limitations, and we will have to await the results of several phase III trials to make definitive conclusions. There are several concerns from past experiences.

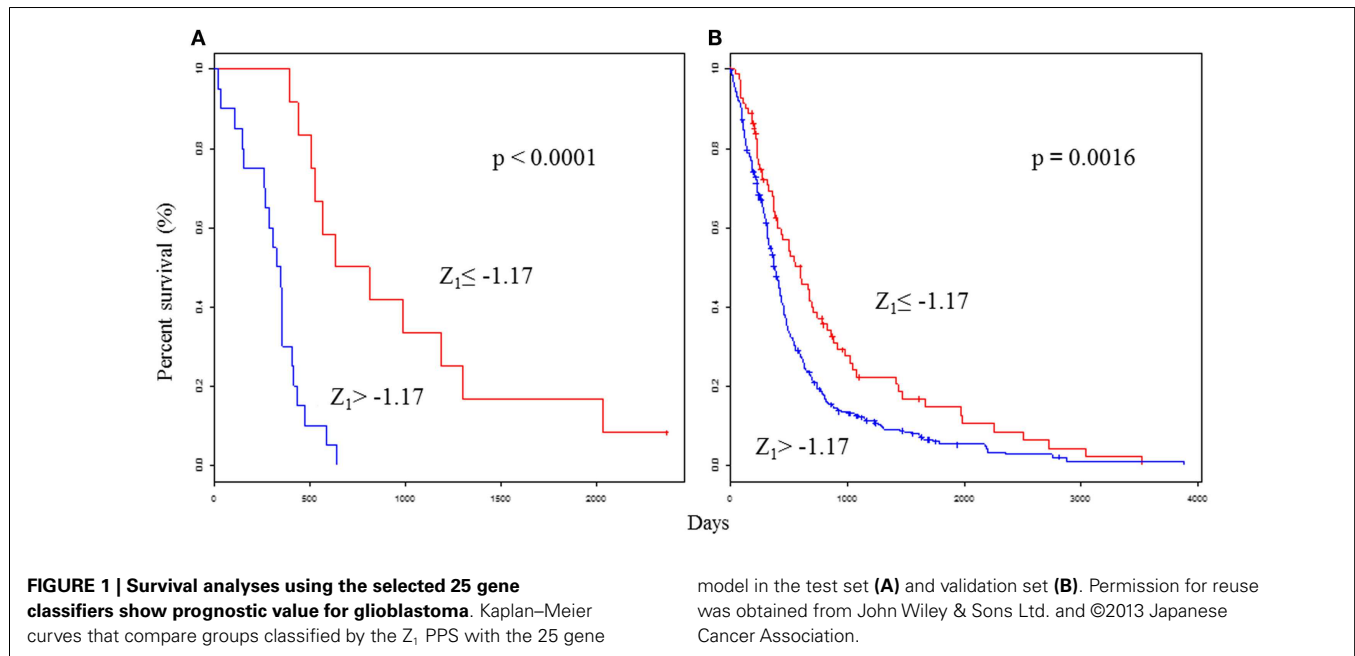
1. The immune responses such as CTL and antibody production were not sufficient to overcome glioma progression, and were not correlated to clinical outcomes.
2. New issues have emerged regarding the evaluation of disease response, and with the identification of patterns such as pseudoprogression (7) that is frequently indistinguishable from disease progression. Additionally, there are delayed radiation responses after radiotherapy. In short, there are pitfalls in distinguishing the response of radiotherapy to that of immunotherapy.
3. There are prognostic variations and long term survivors among glioblastoma patients (8). We therefore have to develop molecular markers to predict the prognosis of the patient more precisely to conduct clinical trials with less bias.
4. We have to develop biomarkers that predict patients' responses to individualized immunotherapy. To do so, we have to conduct clinical trials that exclude patients with pseudoprogression, a delayed radiation response and a biologically good prognostic group.
5. Most immunotherapy clinical trials state that the therapy is safe. This is a concern because the adverse events of immunotherapy are usually interpreted as those of the clinical course of glioma. We have to continue to carefully monitor patients, because acute disseminated encephalomyelitis and neuropathic syndrome following vaccination against

human papillomavirus for cervical cancer are now serious problems (9).

6. In recent years, there has been a significant increase in OS and progression free survival (PFS) owing to improvements in standard of care (10). In phase II clinical trials, survival data are usually compared to that of a decade ago, so emerging therapeutics are easily misconstrued as effective therapies.
7. In Japan, bevacizumab was approved for glioblastoma in June 2013. Therefore, we should reconsider whether an immunotherapeutic approach for glioma could be a new standard of care.
8. In Japan, medical oncologists are expected to participate in the development of global immunotherapeutic protocols for glioblastoma.

## PROGNOSTIC MARKERS FOR GLIOBLASTOMA

The World Health Organization (WHO) currently has the most widely used system for prognostic markers; a high WHO grade correlates with clinical progression and decreased survival rate (11). However, individual fates vary within diagnostic categories. There are several prognostic factors that are associated with longer survival of glioblastoma patients, including age, performance status (PS), *MGMT* status, and *IDH1* mutation. The inadequacy of histopathological grading is shown, in part, by the inability to recognize patients prospectively. We and other researchers have developed a predictive method for patient outcome that enables clinicians to make optimal clinical decisions using microarray technology (8, 12–14). Our work described an expression profiling study of glioblastoma patients



for the identification of genes that predict OS using random survival forests models (8). The gene expression predictor, which we named the Prognosis Prediction Score (PPS), was computed from a linear combination of 25 selected genes and was calculated for each tumor as follows:

$$\begin{aligned}
 Z_1 = & 0.27 \times GPNMB + 0.09 \times EFNB2 \\
 & - 0.22 \times ASF1A + 0.02 \\
 & \times LOC283027 + 0.15 \times AMIGO2 \\
 & + 0.22 \times IL13RA2 + 0.25 \times ITGA7 \\
 & + 0.15 \times LDHA - 0.01 \times C11orf71 \\
 & + 0.15 \times AFTPH + 0.15 \\
 & \times TBC1D19 - 0.21 \times MED29 \\
 & + 0.02 \times ACN9 + 0.29 \\
 & \times SLC25A19 + 0.16 \times RPL12 \\
 & - 0.09 \times ALS2CR4 - 0.14 \\
 & \times C10orf88 - 0.11 \times ARHGAP39 \\
 & + 0.18 \times LMAN2L + 0.29 \times CASP8 \\
 & - 0.28 \times ST6GAL2 + 0.33 \times LOXL3 \\
 & + 0.08 \times ANGPTL1 + 0.22 \times MRRF \\
 & - 0.33 \times ARHGAP32.
 \end{aligned}$$

As expected, the predictor performed well in terms of patient prognosis: the improved prognosis group ( $Z_1 \leq -1.17$ ) had a median survival time of 721 days, while the poor prognosis group ( $Z_1 > -1.17$ ) had a significantly lower median survival time of

335 days ( $P < 0.0001$ ; **Figure 1A**). For more practical purposes, the PPS could also be computed from a linear combination of three genes and was calculated for each tumor as follows:

$$\begin{aligned}
 Z_2 = & -0.63 \times ASF1A + 0.62 \times ITGA7 \\
 & + 0.47 \times AFTPH.
 \end{aligned}$$

As expected, the predictor performed well in terms of patient prognosis: the improved prognosis group ( $Z_2 \leq -0.76$ ) and the poor prognosis group ( $Z_2 > -0.76$ ) had identical median survival times and significance scores as  $Z_1$ . The Z PPS results were compared with traditional individual indicators.  $Z_1$ ,  $Z_2$ , age, PS, and subtype were significantly associated with OS in univariate analyses.  $Z_1$  was significantly associated with OS by multivariate analyses. The PPS was the most significant feature of these clinical parameters. The PPS formula was validated in the validation set ( $n = 488$ ), which was derived from glioblastoma patients in four external data sets (12–15). As expected, the OS was significantly higher in the improved prognosis group ( $Z_1 \leq -1.17$ ) than in the poor prognosis group ( $Z_1 > -1.17$ ) ( $P = 0.0016$ ; **Figure 1B**). Two-year survival rates were 36.3 and 30.8% in the improved prognosis group, and 4.7 and 11.8% in the poor prognosis group, using the test and validation data sets, respectively. Even

among glioblastomas in both test ( $n = 32$ ) and validation sets ( $n = 488$ ), the OS ranged between 0 and 3,880 days. Fifty-two patients (10%) survived for longer than 1,000 days. Class prediction models based on defined molecular profiles allow the classification of malignant gliomas in a manner that will better correlate with clinical outcomes than with standard pathology. Glioblastomas have a wide-ranging survival time, which requires a more precise prognostic scoring system to study novel therapeutic approaches. Therefore, the identification of molecular subclasses could greatly facilitate our ability to develop effective treatment protocols.

## FUTURE PERSPECTIVES

The genetic landscape of gliomas has been revealed by the advancements of genome sequencing technology (15, 16). Researchers are now trying to develop novel therapeutic strategies based on these exciting discoveries. New therapeutic strategies, such as targeted therapies and anti-angiogenic treatments that appear promising with regard to improving the results have been reported (17). Immunotherapies have also shown promise for treating advanced solid tumors. In particular, monoclonal antibodies that block inhibitory immune checkpoint molecules and enhance the immune response to tumors such as

cytotoxic T-lymphocyte-associated antigen 4 (CTLA4) and programmed cell death protein 1 (PD-1) (18, 19). Another forefront of immunotherapy research is genetically engineering T cells to target tumor cells (20). Future efforts will need to focus on development of novel therapies that appear active as monotherapies or in combinatorial regimens that modulate the host immune system. Although it is still unknown whether these novel discoveries will be suited for use in the CNS microenvironment, we are awaiting the next generation of progress for glioma immunotherapy based on the fundamental pathophysiology of this challenging disease.

## REFERENCES

- Stupp R, Hegi ME, Mason WP, van den Bent MJ, Taphoorn MJ, Janzer RC, et al. Effects of radiotherapy with concomitant and adjuvant temozolomide versus radiotherapy alone on survival in glioblastoma in a randomised phase III study: 5-year analysis of the EORTC-NCIC trial. *Lancet Oncol* (2009) **10**(5):459–66. doi:10.1016/S1470-2045(09)70025-7
- Yamanaka R. Cellular and peptide based immunotherapeutic approaches for glioma. *Trends Mol Med* (2008) **14**(5):228–35. doi:10.1016/j.molmed.2008.03.003
- Parney IF. Basic Concepts in Glioma Immunology. In: Yamanaka R, editor. *Glioma: Immunotherapeutic Approaches*. Austin, TX: Landes Bioscience/Springer (2012). p. 42–52.
- Yamanaka R, Abe T, Yajima N, Tsuchiya N, Homma J, Kobayashi T, et al. Vaccination of recurrent glioma patients with tumour lysate-pulsed dendritic cells elicits immune responses: results of a clinical phase I/II trial. *Br J Cancer* (2003) **89**(7):1172–9. doi:10.1038/sj.bjc.6601268
- Yamanaka R, Homma J, Yajima N, Tsuchiya N, Sano M, Kobayashi T, et al. Clinical evaluation of dendritic cell vaccination for patients with recurrent glioma: results of a clinical phase I/II trial. *Clin Cancer Res* (2005) **11**(11):4160–7. doi:10.1158/1078-0432.CCR-05-0120
- Yajima N, Yamanaka R, Mine T, Tsuchiya N, Homma J, Sano M, et al. Immunological evaluation of personalized peptide vaccination for patients with advanced malignant glioma. *Clin Cancer Res* (2005) **11**(16):5900–11. doi:10.1158/1078-0432.CCR-05-0559
- Chamberlain MC. Pseudoprogression in glioblastoma. *J Clin Oncol* (2008) **26**(26):4359. doi:10.1200/JCO.2008.18.4440
- Kawaguchi A, Yajima N, Tsuchiya N, Homma J, Sano M, Natsumeda M, et al. Gene expression signature-based prognostic risk score in patients with glioblastoma. *Cancer Sci* (2013) **104**(9):1205–10. doi:10.1111/cas.12214
- Wildemann B, Jarius S, Hartmann M, Regula JU, Hametner C. Acute disseminated encephalomyelitis following vaccination against human papilloma virus. *Neurology* (2009) **72**(24):2132–3. doi:10.1212/WNL.0b013e3181aa53bb
- Nava F, Tramacere I, Fittipaldo A, Bruzzone MG, Dimeco F, Fariselli L, et al. Survival effect of first- and second-line treatments for patients with primary glioblastoma: a cohort study from a prospective registry, 1997–2010. *Neuro Oncol* (2014) **16**(5):719–27. doi:10.1093/neuonc/not316
- Kleihues P, Louis DN, Wiestler OD, Burger PC, Scheithaur BW. WHO grading of tumours of the central nervous system. In: Louis DN, Ohgaki H, Wiestler OD, Cavenee WK, editors. *World Health Organization Classification of Tumours of the Nervous System*. Lyon: IARC Press (2007). p. 10–1.
- Freije WA, Castro-Vargas FE, Fang Z, Horvath S, Cloughesy T, Liaw LM, et al. Gene expression profiling of gliomas strongly predicts survival. *Cancer Res* (2004) **64**:6503–10. doi:10.1158/0008-5472.CAN-04-0452
- Phillips HS, Kharbanda S, Chen R, Forrest WF, Soriano RH, Wu TD, et al. Molecular subclasses of high-grade glioma predict prognosis, delineate a pattern of disease progression, and resemble stages in neurogenesis. *Cancer Cell* (2006) **9**:157–73. doi:10.1016/j.ccr.2006.02.019
- Petalidis LP, Oulas A, Backlund M, Wayland MT, Liu L, Plant K, et al. Improved grading and survival prediction of human astrocytic brain tumors by artificial neural network analysis of gene expression microarray data. *Mol Cancer Ther* (2008) **7**:1013–24. doi:10.1158/1535-7163.MCT-07-0177
- Cancer Genome Atlas Research Network. Comprehensive genomic characterization defines human glioblastoma genes and core pathways. *Nature* (2008) **455**:1061–8. doi:10.1038/nature07385
- Frattini V, Trifonov V, Chan JM, Castano A, Lia M, Abate F, et al. The integrated landscape of driver genomic alterations in glioblastoma. *Nat Genet* (2013) **45**(10):1141–9. doi:10.1038/ng.2734
- Yamanaka R, Saya H. Molecular targeted therapies for glioma. *Ann Neurol* (2009) **66**:717–29. doi:10.1002/ana.21793
- Hodi FS, O'Day SJ, McDermott DF, Weber RW, Sosman JA, Haanen JB, et al. Improved survival with ipilimumab in patients with metastatic melanoma. *N Engl J Med* (2010) **363**(8):711–23. doi:10.1056/NEJMoa1003466
- Topalian SL, Hodi FS, Brahmer JR, Gettinger SN, Smith DC, McDermott DF, et al. Safety, activity, and immune correlates of anti-PD-1 antibody in cancer. *N Engl J Med* (2012) **366**(26):2443–54. doi:10.1056/NEJMoa1200690
- Ikeda H, Shiku H. Antigen-receptor gene-modified T cells for treatment of glioma. In: Yamanaka R, editor. *Glioma: Immunotherapeutic Approaches*. Austin, TX: Landes Bioscience/Springer (2012). p. 202–15.

**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 30 September 2014; accepted: 26 November 2014; published online: 10 December 2014.

Citation: Yamanaka R and Hayano A (2014) Experiences and expectations for glioma immunotherapeutic approaches. *Front. Oncol.* **4**:355. doi: 10.3389/fonc.2014.00355

This article was submitted to *Neuro-Oncology*, a section of the journal *Frontiers in Oncology*.

Copyright © 2014 Yamanaka and Hayano. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) or licensor are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.