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EDITED BY
Dieter J. Meyerhoff,
University of California, United States

REVIEWED BY
Octavian Vasiliu,
Dr. Carol Davila University Emergency Military
Central Hospital, Romania
Elisabet Jerlhag,
University of Gothenburg, Sweden
Graeme F. Mason,
Yale University. United States

*CORRESPONDENCE
Corinde E. Wiers

☑ corinde.wiers@pennmedicine.upenn.edu
Peter Manza

☑ peter.manza@nih.gov
Nora D. Volkow

☑ nvolkow@nida.nih.gov

[†]These authors have contributed equally to this work

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Ketogenic diet reduces a neurobiological craving signature in inpatients with alcohol use disorder

Corinde E. Wiers^{1,2*†}, Peter Manza^{1*†}, Gene-Jack Wang¹ and Nora D. Volkow^{1*}

¹Laboratory of Neuroimaging (LNI), National Institute on Alcohol Abuse and Alcoholism, Bethesda, MD, United States, ²Center for Studies of Addiction, Department of Psychiatry, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA, United States

Background and aims: Increasing evidence suggests that a ketogenic (high-fat, low-carbohydrate) diet (KD) intervention reduces alcohol withdrawal severity and alcohol craving in individuals with alcohol use disorder (AUD) by shifting brain energetics from glucose to ketones. We hypothesized that the KD would reduce a neurobiological craving signature when individuals undergoing alcohol detoxification treatment were exposed to alcohol cues.

Methods: We performed a secondary analysis of functional magnetic resonance data of 33 adults with an AUD who were randomized to a KD (n=19) or a standard American diet (SA; n=14) and underwent 3 weeks of inpatient alcohol detoxification treatment. Once per week, participants performed an alcohol cuereactivity paradigm with functional magnetic resonance imaging. We extracted brain responses to food and alcohol cues and quantified the degree to which each set of brain images shared a pattern of activation with a recently established 'Neurobiological Craving Signature' (NCS). We then performed a group-by-time repeated measures ANOVA to test for differences in craving signature expression between the dietary groups over the three-week treatment period. We also correlated these expression patterns with self-reported wanting ratings for alcohol cues.

Results: For alcohol relative to food cues, there was a main effect of group, such that the KD group showed lower NCS expression across all 3 weeks of treatment. The main effect of time and the group-by-time interaction were not significant. Self-reported wanting for alcohol cues reduced with KD compared to SA but did not correlate with the NCS score.

Conclusion: A ketogenic diet reduces self-reported alcohol wanting, and induced lower NCS to alcohol cues during inpatient treatment for AUD. However, in the KD group alcohol wanting continued to decrease across the 3 weeks of abstinence while the NCS scores remained stable, suggesting that this cue-induced NCS may not fully capture ongoing, non-cue-induced alcohol desire.

KEYWORDS

brain energetics, ketone, ketosis, neuroimaging, withdrawal

Introduction

Alcohol use disorder (AUD) is a chronic relapsing condition that accounts for 5% of deaths globally (1) and is characterized by high levels of craving and drinking despite negative consequences. Fewer than 4% of patients with AUD receive an FDA-approved medication to treat the disorder (2) and available treatments are not efficacious for all patients, and have clinical limitations due to side effects, which can reduce adherence (3, 4). Thus, there is a critical need to identify treatments that can reduce alcohol craving and consumption.

Improving brain energetics by means of a ketogenic diet (KD; high-fat, low-carbohydrate diet) intervention may be a novel avenue for treatment of AUD (5, 6). Acute alcohol intake shifts brain energetics from glucose to acetate, an alcohol metabolite (7, 8). In heavy alcohol drinkers and individuals with AUD, low brain glucose and high acetate metabolism persist beyond acute intoxication (8-10), which we hypothesize contributes to alcohol withdrawal signs and symptoms, alcohol craving, and relapse due to low acetate availability (6). Ketone bodies (β-hydroxybutyrate [BHB], acetoacetate and acetone) structurally resemble acetate and provide an alternative to glucose as an energy source in the brain (11). We recently found that a KD intervention reduced alcohol withdrawal severity and alcohol craving in individuals with an AUD undergoing inpatient detoxification, by elevating blood and brain ketone bodies (12). Preclinical models have also demonstrated that a KD reduced both alcohol self-administration (12, 13) and the signs of alcohol withdrawal (14–16).

Koban et al. (17) recently established a Neurobiological Craving Signature (NCS) based on brain reactivity to drug cues using functional Magnetic Resonance Imaging (fMRI). The NCS predicted drug and food craving, and distinguished drug users (alcohol, cigarettes, and cocaine) from non-users with 82% accuracy across imaging studies using machine learning techniques. The NCS is a whole-brain pattern of responses to cues, with prominent regions including ventromedial prefrontal and cingulate cortices, ventral striatum, temporal/parietal association areas, mediodorsal thalamus and cerebellum (17). Here, we performed a secondary analysis of fMRI alcohol cue reactivity data of the individuals with AUD who were randomized to receive a 3-week KD intervention versus a Standard American control diet (SA) intervention during an inpatient alcohol detoxification program, as previously reported in Wiers et al. (12). The previous study reported both clinical outcomes and dorsal anterior cingulate cortical activation outcomes for alcohol > neutral cues, and food > neutral cues (12), but not for alcohol > food contrasts. Here we computed the novel NCS expression levels for the alcohol > food cues, as per Koban et al. (17). We hypothesized lower NCS with KD compared to SA, which would associate with low alcohol wanting ratings and elevated blood BHB levels.

Methods

Participants and screening

This report involves a secondary analysis of the fMRI alcohol cue reactivity paradigm of a dataset previously reported (12). Data on patient demographics, blood ketone levels, and behavioral craving ratings have been reported previously and were shown again here for context. Details of the clinical trial methodology can be found in (12).

Briefly, individuals with AUD were admitted to the National Institute on Alcohol Abuse and Alcoholism (NIAAA) inpatient unit while receiving treatment as usual, i.e., detoxification treatment for up to 4weeks and treatment with benzodiazepines (Oxazepam or Diazepam) in the first week to treat acute withdrawal signs and symptoms based on Clinical Institute Withdrawal Assessment -Alcohol revised (CIWA-Ar) assessment (18). Within 2 days of admission, patients were randomized to receive either a KD (n = 19randomized within 0.95 ± 0.23 SD days) or a SA (n = 14 randomized within 0.78 ± 0.42SD days) for 3 weeks. There were no significant differences in demographic or clinical characteristics between groups, including no group differences in benzodiazepine use at admission (16 out of 19 patients who would be subsequently randomized to KD received benzodiazepines; and 10 out of 14 patients who would be randomized to SA received Oxazepam; 0 Diazepam, 4 none), or on the MRI day (4 out of 19 in the KD group and 2 out of 14 in the SA group received benzodiazepines on the MRI day; Table 1). Patients provided written informed consent to participate in the study, which was approved by the Institutional Review Board at the National Institutes of Health (Combined Neurosciences White Panel). Participants were MRI scanned between October 2017 and February 2020. The study was registered at ClinicalTrials.gov (NCT03255031).

Patients were screened to exclude individuals who had contraindications for MRI, major medical problems including metabolic diseases (e.g., diabetes), chronic use of psychoactive medications, liver disease, head trauma, or milk or soy allergy; and current DSM-IV or DSM 5 diagnosis of a major psychiatric disorder (other than alcohol and nicotine use disorders, or substance use disorders that are mild/moderate) that required hospitalization, or that required daily medications for over 4 weeks in the past year, as assessed by the Structured Clinical Interview for the Diagnostic and Statistical Manual of Mental Disorders (DSM-IV or 5) (19, 20). Participants had at least 5 years' history of heavy drinking (5+ drinks/ day or 4+ drinks/day on at least 5 days per month for men or women, respectively). Alcohol had to be specified as the preferred drug of choice. All participants were free of psychoactive medications within 24h of study procedures (except benzodiazepines as needed for detoxification) and had a negative urine drug screen [and, if needed, a negative saliva screen to rule out 11-Nor-9-carboxy-Δ9tetrahydrocannabinol (THC-COOH) use, Draeger DrugTest5000; Draeger Safety Diagnostics, Lübeck, Germany] on days of testing. All patients demonstrated severe AUD (DSM criteria range 7–11).

Diet intervention

The KD and SA meals were equicaloric. The KD provided a classic 4: 1 ratio of grams of fat: grams of carbohydrate and protein (i.e., 80% fat, 15% protein, 5% carbohydrates). The SA corresponded to 50% calories from carbohydrates, 15% protein and 35% fat. For each meal at breakfast, lunch and dinner, the diets consisted of a shake (either KD or SA) that provided 75% of meal calories, and a ketogenic solid snack (e.g., scrambled egg, yogurt with nuts, salad, chicken salad, broth) that provided 25% of meal calories, which ensured double blinding (12). Patients were allowed to drink water *ad libitum* and were given the option to drink tea or coffee with or without stevia, and/or diet ginger-ale with their meals. Meals were provided from the Nutrition Department Metabolic Kitchen with all foods and beverages

TABLE 1 Demographics and clinical characteristics of the ketogenic diet (KD) and standard American (SA) groups.

	KD (n = 19)	SA (n = 14)	Value of <i>p</i>
Age (years)	39.3 (11.2)	44.2 (16.4)	0.311
Sex	7 females (37%)	3 females (21%)	0.341
	12 males (63%)	11 males (79%)	
BMI admission	24.5 (3.4)	27.6 (5.4)	0.051
Benzodiazepine use at admission	13 Oxazepam, 3 Diazepam, 3 none	10 Oxazepam, 4 none	0.375
Study weight loss (kg)	1.4 (2.8)	1.8 (2.2)	0.692
Mean calories per day during study (kcal)	2674.3 (469.2)	2632.1 (261.5)	0.764
Ethnicity	6 Black/African American, 11 White, 2 Multiracial	6 Black/African American, 6 White,	0.694
		2 Multiracial	
Years of education	12.7 (2.8)	14.2 (2.5)	0.110
WASI IQ	99.2 (16.3)	98.3 (22.5)	0.892
Smoking status	10 smokers, 9 non-smokers	9 smokers, 5 non-smokers	0.503
LDH (kg)	984.9 (945.5)	1414.4 (1462.6)	0.320
TLFB Drinks/day	15.2 (8.4)	17.0 (9.8)	0.584
ADS	21.0 (9.8)	23.6 (7.2)	0.299
DSM-5 Cannabis Use Disorder	4 (3 moderate, 1 severe)	5 (1 mild, 4 severe)	0.350
DSM-5 Stimulant Use Disorder	2 severe	2 (1 mild, 1 severe)	0.744
DSM-5 Opioid Use Disorder	1 mild	none	0.383

ADS, Alcohol Dependence Scale, BMI, Body Mass Index, IQ, Intelligence Quotient, LDH, Lifetime Drinking History, TLFB, Timeline Followback, WASI, Wechsler Abbreviated Scale of Intelligence. Mean values are provided with Standard Deviations.

weighed on a gram scale. There was no effect of group on diet expectation, indicating that the blinding of the diet was successful (12).

Blood ketone measures

BHB ketone levels were assessed after informed consent was obtained and prior to diet randomization (baseline), and before breakfast on the morning of each MRI scan in week 1, 2, and 3, using a finger stick and Precision Xtra Blood Ketone Monitoring System strips (Abbott; Alameda, CA).

Questionnaires and ratings

Participants completed the Wechsler Abbreviated Scale of Intelligence (WASI-II) subtests Matrix Reasoning and Vocabulary as a proxy for general intelligence (21), the Timeline Followback (TLFB) to assess daily alcohol consumption in the 90 days prior to the study (22), the Lifetime Drinking History (LDH) to assess lifetime alcohol consumption (23), the Alcohol Dependence Scale (ADS) to assess severity of dependence (24).

On a weekly basis, participants rated their alcohol craving on the Desire for Alcohol Questionnaire (DAQ) (25).

MRI acquisition and preprocessing

Participants underwent three MRI scans, in the first, second and third week of diet initiation. Because patients were in acute withdrawal and due to scheduling, the MRI in week 1 was performed 4.0 ± 1.7SD days after inpatient admission and after diet initiation (no KD/SA group differences). MRIs were performed on a 3.0 T Magnetom Prisma scanner (Siemens Medical Solutions USA, Inc., Malvern, PA) equipped with a 32-channel head coil. T1-weighted 3D magnetization-prepared gradient-echo (MP-RAGE, TR/TE = 2200/4.25 ms; $FA = 9^{\circ}$, 1 mm isotropic resolution) and T2-weighted multi-slice spin-echo (TR/ TE = 8000/72 ms; 1.1 mm in-plane resolution; 94 slices, 1.7-mm slice thickness; matrix = 192) pulse sequences were used to acquire high-resolution anatomical brain images. One participant did not complete session 3 due to scheduling problems. For functional MRI, a 32-channel head coil and a standard echo planar imaging (EPI) sequence were used: sequential interleaved acquisition, repetition time 1.5 s, echo time 30 ms, flip angle $\alpha = 70^{\circ}$, 64×64 pixels in-plane resolution, 36 slices, slice thickness 4 mm, voxel dimensions $3 \times 3 \times 4$ mm³, field of view 192×192 mm². Stimuli were presented on a black background under dimmed room lighting using a liquidcrystal display screen (BOLDscreen 32, Cambridge Research Systems; United Kingdom).

fMRI cue reactivity

A total of 40 alcohol, 40 appetitive food, 40 neutral images were randomly presented in an event-related design using E-prime software (see Wiers et al. (26), for details). There were 3 runs showing 40 cues that included all 3 categories of cues (alcohol, food, neutral). Cues were presented at 750 ms. Pictures were selected from the International Affective Picture System (IAPS) and from in-house

pictures libraries. To ensure that participants were paying attention they were instructed to press a button if they saw a bicycle. Total task duration was 13 min and 30 s. After completion of the MRI sessions, participants were asked to rate the cues for their subjective valence ("How negative/positive do you find the picture?," -3 to 3 [very negative – very positive]) and wanting ("How much do you want to consume this right now?" from 0 to 6 [not at all – extremely]), on a 7-point Likert scale.

Preprocessing

Functional data analysis was performed with SPM8 (Welcome Department of Cognitive Neurology, London, United Kingdom). During preprocessing, scans were slice-time corrected, spatially realigned, co-registered to the T1 structural images and normalized to the Montreal Neurological Institute (MNI) template. Smoothing was performed with a 6 mm full-width at half-maximum Gaussian kernel.

Neurobiological craving score

For fMRI, 3 fMRI regressors—food, alcohol, and neutral events were created and then convolved with the hemodynamic response function with default temporal filtering of 128 s. Six realignment parameters were included as regressors of no interest. The following contrasts were calculated at the single-subject level: alcohol>baseline (average), food>baseline, for which dorsal anterior cingulate activation has been reported previously for KD versus SA (12). In a secondary analysis, we took the first-level whole brain maps for each individual, and performed dot-product multiplication between the NCS map from Koban et al. (17) and the contrast alcohol > food cues. If the resulting NCS 'expression level' is high, it indicates a brain response pattern to alcohol > food cues that is similar to the NCS map which predicted alcohol and drug craving.

Statistical analysis

For all measures, we performed mixed ANOVAs with group (KD/SA) as between-group factor and time as within-subjects factor using SPSS. *Post-hoc* t-tests were performed with significance threshold of α < 0.05.

Results

Serum beta-hydroxybutyrate levels

The 4:1 KD intervention significantly increased serum BHB levels compared to SA (group × time interaction: $F_{3,93}$ =67.0, p<0.0001, η^2 =0.68). Blood ketones did not differ between groups at baseline before diet initiation (t_{31} =1.4, p=0.2), and became significantly elevated in the KD group the morning of the MRI day in week 1 (mean BHB in KD group=1.6±1.5 mM), week 2 (mean KD=4.6±1.0 mM), and week 3 (mean KD=4.4±1.5 mM; all p<0.001;

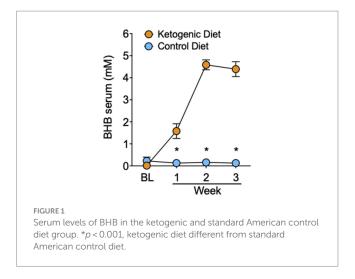


Figure 1), indicative of nutritional ketosis. The slight decrease in week 3 compared to week 2 for blood measures reflected two participants who were not compliant with the KD in week 3, one for 1 day and the other for 2 days.

All participants on the SA demonstrated blood BHB levels of <0.2 mM, indicating compliance to the SA, and no presence of nutritional ketosis.

Self-reported alcohol craving

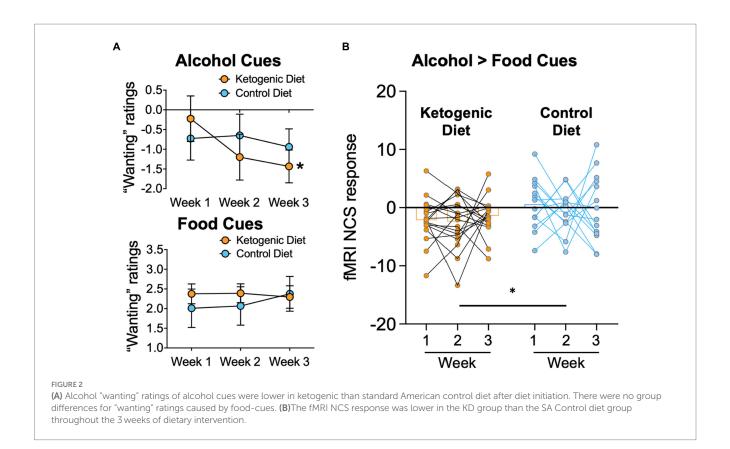
Self-reported DAQ alcohol craving showed a trend for a main effect of time (F_{2,58}=2.5, p=0.09, η^2 =0.08), which was largely due to reductions in DAQ scores in the KD group (F_{2,32}=3.2, p=0.056, η^2 =0.17), but not the SA group (F_{2,26}=0.45, p=0.64, η^2 =0.03). The effect of group or group × time for DAQ did not reach statistical significance.

Alcohol cue reactivity

Mean ratings of "wanting" alcohol cues relative to the neutral cues used in the fMRI cue reactivity task, decreased over time in the KD ($F_{2,32}$ =6.6, p=0.004, η^2 =0.29) but not in the SA group ($F_{2,24}$ =0.5, p=0.62, η^2 =0.04), and the group × time interaction effect was significant ($F_{2,56}$ =4.9, p=0.048, η^2 =0.20; Figure 2A). There were no significant group effects for "wanting" ratings when exposed to food cues versus neutral cues (Figure 2A), and no effects for valence ratings of alcohol cues or food cues, relative to neutral cues.

Neurobiological craving score

There was a main effect of group on the alcohol > food NCS ($F_{1,30} = 9.9$, p = 0.004, $\eta^2 = 0.248$), with lower overall NCS in the KD group than the SA group (Figure 2B). The effect of group × time or main effect of time for NCS did not reach statistical significance ($F_{2,60} = 5.6$, p = 0.77, $\eta^2 = 0.009$). Average 3-week NCS scores correlated with average 3-week serum BHB levels in both diet groups pooled



together (r=-0.365, p=0.037), corroborating that higher ketone levels covaried with lower neurobiological craving for alcohol in AUD patients. There were no other significant associations between BHB levels and alcohol craving or wanting or between the alcohol NCS with alcohol craving or wanting.

Discussion

We applied a newly identified fMRI Neurobiological Craving Signature (NCS) for drug craving (17) on a previously reported fMRI alcohol cue reactivity dataset acquired from individuals with AUD who were randomized into a 3-week KD or SA control diet interventions during inpatient alcohol detoxification (12). The KD group demonstrated lower NCS responses compared to the SA throughout the 3-week intervention, which covaried with the average blood BHB levels on the days of the MRI scans. Moreover, the KD compared to SA demonstrated lower "wanting" ratings of the alcohol cues presented in the alcohol cue reactivity task, which did not correlate with NCS. These findings provide novel insight into the effects of KD on neural signatures of alcohol craving, and add to the previously reported effects of KD increasing dorsal cingulate cortical activation for both alcohol and food cues. Overall, these findings provide evidence for the potential therapeutic benefit of a KD in reducing alcohol wanting and neurobiological craving for alcohol.

These findings are in line with recent preclinical models of alcohol dependence that showed that a 1-week KD reduced alcohol self-administration (but not the motivation to consume alcohol) in mice (13) and that a history of a 9-week KD reduced alcohol

self-administration in alcohol-dependent rats (12). Moreover a KD reduced the signs of alcohol withdrawal in rats (14-16). The reductions in alcohol NCS in the KD group may be the consequence of the reduced withdrawal symptoms and need for benzodiazepines in the KD group as reported previously (12), or may reflect a potentially beneficial effect of KD in disrupting conditioning to alcohol through its provision of ketone bodies that can serve as energy substrates. Although most of the research studying conditioned effects of alcohol associate it to its reinforcing effects mediated by its enhancement of GABAergic, opioid and dopaminergic systems, it is possible that the KD-induced bioenergetic switch from glucose to ketones is also relevant to its reinforcing effects, particularly given that energy-rich stimuli engender strong conditioned responses (8, 27, 28). In line with this, the NCS scores were negatively correlated with serum BHB levels of participants. We thus speculate that a KD would satisfy the altered energy requirements associated with chronic alcohol consumption through its metabolism of BHB. Alternatively, ketone supplementation may have reduced levels of neuroinflammation, or restored imbalances in glutamate and GABA neurotransmission (6), or in homeostatic hormones including ghrelin and leptin (29). Future studies are needed to further investigate mechanisms in which KD may decrease alcohol craving.

Our findings confirm our overall hypothesis that providing ketone bodies via a KD intervention during detoxification would attenuate the emergence of alcohol craving. We reasoned that the abrupt transition from the brain's consumption of acetate (which occurs in AUD as an adaptation to repeated alcohol intake) to the use of glucose as energy source, and reemerges with detoxification, may contribute to the alcohol withdrawal syndrome (7). Notably, BHB, which is a major

ketone body is thought to be a more efficient 'fuel' source than glucose (30). Therefore, inducing ketosis with a KD during detoxification would bolster or halt such an abrupt transition (11), thereby reducing alcohol withdrawal signs and symptoms, alcohol craving, and conditioned brain reactivity to alcohol cues. Disorders of brain glucose metabolism are associated with disrupted neuronal excitability, including epilepsy (31), and are ameliorated by KD. Thus, the reduction of withdrawal symptoms by KD may reflect an attenuation of neuronal excitability following alcohol discontinuation (32).

This study examined the effect of inpatient substance use disorder treatment on the NCS. Establishing a biomarker of craving has been a highly sought after target in neuroscience and psychiatry (33). Addiction is a chronic condition, and even in recovery, an individual will experience strong fluctuations in vulnerability to relapse (34). However, abstinence and drug use themselves are often not the best outcome measures to predict subsequent relapse; therefore, a neuroimaging biomarker that could better track recovery would be a highly useful tool for identifying when to intervene and prevent future drug use (35). The NCS, along with other recent machine learningbased neural signatures of craving (36) represent an exciting new approach for tracking risk of relapse during recovery. The current findings suggest that the NCS may indeed be sensitive to substance use disorder intervention and the recovery process, complementing recent studies using decision-making behavior to predict craving fluctuations in an opioid use disorder sample (37). Although Koban et al. (17) was published in a high impact journal, the Koban NCS has not been replicated or validated by other studies. Thus, justification for using the Koban NCS vs. other fMRI derived measures of craving using machine learning (e.g., Garrison (36)) is not provided. Future markers that combine imaging and behavioral markers may improve prediction further.

The main limitation of our clinical study was the relatively small sample size, which was constrained by the complexity of the study that required 3 weeks of hospitalization and compliance with the diet protocol. Another important limitation is that the first MRI was performed 4.0 ± 1.7SD days after inpatient admission (no group differences) at a time when the KD participants were already in mild ketosis (blood BHB = 1.6 ± 1.5 mM). It was not possible to perform MRI scans at an earlier time point before diet initiation, due to clinical constraints of participants being in acute alcohol withdrawal (that is, participants were too ill to be MRI scanned before diet initiation). Our design therefore did not have an absolute MRI baseline that allowed for comparison of NCS before and after diet initiations. This may be why our study found a main effect of KD on the alcohol NCS, but no group x diet interaction effect, as expected. Additionally, group differences in fMRI response, BHB levels, and withdrawal severity emerged at week 1, whereas craving differences did not emerge until week 3. It is possible that these brain changes are broadly related to, but not 100% explanatory of, self-reported tonic craving levels. This might be expected as the cue-reactivity fMRI task elicits cue-induced craving, which is not the same as tonic craving levels assessed via the DAQ self-report survey. Thus, there may be some additional variability in the DAQ that the NCS cannot account for. Future studies are needed that test the effects of ketone supplementation in participants with AUD that would include a baseline measure. Moreover, groups differed in BMI at baseline at a trending level. However, exploratory analyses demonstrated that BMI did not significantly associate with NSC ($F_{1,29} = 0.69$, p = 0.41) and the effects of diet on NCS remained while controlling for baseline BMI ($F_{1,29}$ =6.9, p=0.014). Last, nicotine use was not assessed repeatedly in this study, and future studies should include repeated assessments for smoking in their design. Despite these limitations, we demonstrated the first clinical trial on a KD intervention in inpatients with AUD undergoing detoxification, with a complex and successful randomized and blinded design.

In sum, this study documents an effect of KD in reducing a neurobiological signature associated with alcohol craving in individuals with AUD. These findings are in line with recent preclinical data that show that a KD (13) and a history of KD (12) reduce acute self-administration in alcohol-dependent rodents, and the intake of an oral ketone BHB supplement reduces alcohol liking and wanting in human volunteers (38). It remains to be studied whether a KD or a history of KD decreases alcohol craving and alcohol consumption in a human population with AUD.

Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

Ethics statement

The studies involving humans were approved by Institutional Review Board at the National Institutes of Health (Combined Neurosciences White Panel). The studies were conducted in accordance with the local legislation and institutional requirements. The participants provided their written informed consent to participate in this study.

Author contributions

CW: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Resources, Software, Supervision, Validation, Visualization, Writing – original draft, Writing – review & editing. PM: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Resources, Software, Supervision, Validation, Visualization, Writing – original draft, Writing – review & editing. G-JW: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Resources, Software, Supervision, Validation, Visualization, Writing – original draft, Writing – review & editing. NV: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Resources, Software, Supervision, Validation, Visualization, Writing – original draft, Writing – review & editing.

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Conflict of interest

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