Check for updates

OPEN ACCESS

EDITED BY Ghazala Hayat, Saint Louis University, United States

REVIEWED BY Makoto Inoue, Niigata University, Japan Tiffany J. Glass, University of Wisconsin-Madison, United States

*CORRESPONDENCE Teresa Pitts Image: t.pitts@health.missouri.edu

RECEIVED 23 February 2024 ACCEPTED 20 June 2024 PUBLISHED 09 July 2024

CITATION

Kitamura I, Frazure M, Iceman K, Koike T and Pitts T (2024) Stochastic electrical stimulation of the thoracic or cervical regions with surface electrodes facilitates swallow in rats. *Front. Neurol.* 15:1390524. doi: 10.3389/fneur.2024.1390524

COPYRIGHT

© 2024 Kitamura, Frazure, Iceman, Koike and Pitts. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

Stochastic electrical stimulation of the thoracic or cervical regions with surface electrodes facilitates swallow in rats

In Kitamura¹, Michael Frazure², Kimberly Iceman³, Takuji Koike¹ and Teresa Pitts³*

¹Department of Mechanical and Intelligent Systems Engineering, The University of Electro-Communications, Chōfu, Tokyo, Japan, ²Department of Physiology, School of Medicine, University of Louisville, Louisville, KY, United States, ³Department of Speech, Language, and Hearing Sciences and Dalton Cardiovascular Center, University of Missouri, Columbia, MO, United States

Introduction: Aspiration pneumonia, a leading cause of mortality, poses an urgent challenge in contemporary society. Neuromuscular electrical stimulation (NMES) has been commonly used in dysphagia rehabilitation. However, given that NMES at motor threshold targets only specific muscles, it carries a potential risk of further compromising functions related to swallowing, respiration, and airway protection. Considering that the swallow motor pattern is orchestrated by the entire swallow pattern generator (the neural mechanism governing a sequence of swallow actions), a rehabilitation approach that centrally facilitates the entire circuit through sensory nerve stimulation is desirable. In this context, we propose a novel stimulation method using surface electrodes placed on the back to promote swallowing.

Methods: The efficacy of the proposed method in promoting swallowing was evaluated by electrically stimulating sensory nerves in the back or neck. Probabilistic stimulus was applied to either the back or neck of male and female rats. Swallows were evoked by an oral water stimulus, and electromyographic (EMG) activity of the mylohyoid, thyroarytenoid, and thyropharyngeus muscles served as the primary outcome measure.

Results: Gaussian frequency stimulation applied to the skin surface of the thoracic back elicited significant increases in EMG amplitude of all three swallow-related muscles. Neck stimulation elicited a significant increase in EMG amplitude of the thyroarytenoid during swallow, but not the mylohyoid or thyropharyngeus muscles.

Discussion: While the targeted thoracic spinal segments T9-T10 have been investigated for enhancing respiration, the promotion of swallowing through back stimulation has not been previously studied. Furthermore, this study introduces a new probabilistic stimulus based on Gaussian distribution. Probabilistic stimuli have been reported to excel in nerve stimulation in previous research. The results demonstrate that back stimulation effectively facilitated swallow more than neck stimulation and suggest potential applications for swallowing rehabilitation.

KEYWORDS

swallow, dysphagia, spinal cord stimulation, central pattern generator, rehabilitation

1 Introduction

Dysphagia refers to the difficulty in safely forming or transferring food boluses from the oral cavity to the esophagus. Untreated dysphagia can lead to the aspiration of food particles and saliva containing bacteria into the lungs, resulting in inflammation and infection. Aspiration pneumonia constitutes almost 70% of pneumonia cases (1), ranking as the third leading cause of death among elderly individuals in Japan (2). Additionally, the prevalence of dysphagia among the elderly has been reported as high as 33% in the United States (3), 23% in Europe (4), 33% in individuals aged 80 and above in Europe (4), 14% in Japan (5), and 34% in South Korea (6). Therefore, the development of rehabilitation techniques for dysphagia is of utmost urgency in contemporary aging societies.

An established rehabilitation technique for dysphagia is neuromuscular electrical stimulation (NMES). This often involves stimulating the muscles in the anterior neck region through surface electrodes. However, this therapy does not directly induce swallow and is limited in its effectiveness as it primarily targets specific muscles rather than facilitation of the central swallow pattern generator. Moreover, there are concerns that electrical stimulation therapy may lead to rapid muscle fatigue, potentially further compromising functions related to swallow, respiration, and airway protection with stimulation (7). Since the sequence of swallow muscle activities is not solely dependent on specific muscles but also on the activation of the entire swallow reflex circuit, it is logical to explore rehabilitation methods to activate the entire swallow reflex circuit through sensory nerve system stimulation (8).

As a method to activate sensory nerves, it has been proposed that dysphagia can be treated by directly applying magnetic stimulation to the areas near the primary somatosensory cortex, as a large portion of the primary somatosensory cortex is associated with swallow (9–12). While this approach holds promise for improving dysphagia, there are challenges associated with the enlargement of stimulation devices, resulting in high implementation costs for rehabilitation equipment (13–15).

Respiration and swallow are closely related, with both behaviors relying on signals from various sensory nerves. Generally, the respiratory cycle can be divided into inspiration, early expiration (also known as post-inspiration), and late expiration phases. Swallow is inhibited during the inspiration phase (16, 17). Based on this fact and considering the desirability of the expiration phase for swallow timing, we proposed a method that involves electrical stimulation of sensory nerves which travel through pathways within the spinal cord. We used Gaussian frequency stimulation applied through surface electrodes placed either near the thoracic spine T9-T10 on the dorsal side, or on the anterior lateral region of the neck to test the hypothesis that this novel stimulus would facilitate swallow.

2 Materials and methods

2.1 Animals

The experiments were conducted using spontaneously breathing retired breeder Sprague Dawley rats $[N=16, 8 \text{ male } (0.63 \pm 0.11 \text{ kg}), 8$ female $(0.26 \pm 0.02 \text{ kg})$; 9–12 months of age]. Ethical approval for the protocol was obtained from the Institutional Animal Care and Use Committee (IACUC) at the University of Louisville.

The animals were initially anesthetized through inhalation of gaseous isoflurane (1.5-2%). Subsequently, pentobarbital sodium was administered at a dosage of 25 mg/kg via a femoral vein catheter (IV). Thereafter, isoflurane was ceased, and supplementary doses of pentobarbital sodium (ranging from 1 to 4 mg/kg via IV injection) were administered. To reduce tracheal secretions, atropine sulfate was administered at the beginning of the experiment at a dose of 0.01 mg/ kg via IV injection. The depth of anesthesia was assessed every 15 min by evaluating withdrawal reflexes, blinking, and jaw tone. At the same intervals, body temperature, respiratory rate and heart rate were monitored. If the anesthetic level was deemed insufficient based on these evaluations, supplemental doses of pentobarbital sodium (ranging from 1 to 4 mg/kg via IV injection) were administered as required (18). Prior to initiating the stimulation protocol, anesthetic depth was confirmed, and then no additional doses were administered during the experiment. Body temperature was maintained using a heating pad.

2.2 Electrophysiology recording

Electromyographic (EMG) recordings of all muscle activities were obtained using bipolar insulated fine-wire electrodes (AM Systems stainless steel No. 791050) placed on each muscle following the technique described by Basmajian and Stecko (19). Three swallow muscles were evaluated: mylohyoid, thyroarytenoid, and thyropharyngeus muscles. The costal diaphragm was recorded to evaluate breathing. Figure 1 illustrates the anatomical locations of each muscle and typical traces of muscle activity during pharyngeal swallow.

The recording electrodes were surgically placed as follows: The mylohyoid muscle electrodes were inserted into both the right and left mylohyoid muscles after making a small horizontal incision along the midline of the chin to expose the surface of the digastric muscle. The thyroarytenoid muscle electrodes were inserted anteriorly through the window of the cricothyroid membrane, reaching the front of the vocal cords. For the thyropharyngeus muscle, the electrodes were inserted into the posterior part of the thyropharyngeus muscle by curving the insertion needle. The diaphragm electrode was positioned by inserting the injection needle caudally, guided by palpating and elevating the xiphoid process. Electrocardiogram (ECG) activity was recorded by placing electrodes on the left pectoralis major and right caudal gastrocnemius muscles. ECG was used for measuring heart rate and removing cardiac artifacts from the EMG traces.

2.3 Experimental protocol

Our objective was to investigate the effects on swallow of electrical stimulation via surface electrodes placed on the back (T9-T10) or neck (Lateral side of the neck) regions. In this study, we introduce a novel probabilistic stimulus based on Gaussian distribution (Figure 2B). In the figure, t_c denotes a pulse width, and V denotes a voltage of the pulse waveform. Let $N(\mu,\sigma)$ be a Gaussian distribution with mean \propto and standard deviation σ (Figure 2A). For each pulse, the value of the interval between pulses are set as $t_{N(\mu,\sigma)}$, which is the inverse of the value $x_{N(\mu,\sigma)}$ realized value from $N(\mu,\sigma)$. The electrical stimulator used in this experiment was the Grass Stimulator Model S88 (RRID:SCR_016192) (Grass, Inc., Warwick, RI). The waveform



generation program was implemented using custom scripts in Spike2 software (CED, Cambridge Electronic Design). In this experiment, the parameters for the stimulation waveform were set with a pulse width of 15 ms and an output voltage of 5 V. Surface electrodes were placed as follows: in the back stimulation group, surface electrodes were positioned on the dorsal thoracic area (T9-T10) of 8 rats [4 males $(0.64 \pm 0.14 \text{ kg})$ and 4 females $(0.26 \pm 0.02 \text{ kg})$; in the neck stimulation group, surface electrodes were positioned bilaterally near the larynx on the cervical area of 8 rats [4 males $(0.61 \pm 0.06 \text{ kg})$ and 4 females $(0.26 \pm 0.02 \text{ kg})$] (Figure 3). Surface electrodes with a diameter of 25 mm were used for female rats, while electrodes with a diameter of 30 mm were used for male rats. The electrodes were fixed in place with tape after shaving the hair on the surface of the application site. Using a probabilistic paradigm, pulses were applied at each site for 120s in four repetitions (designated as S1-S4) with a frequency randomly generated according to the Gaussian distribution set with a mean of 30 Hz and a standard deviation of 10 Hz (Figure 2C). Previous studies on spinal stimulation in rats have demonstrated that muscle activity can be induced with low current intensities of approximately 1 mA (20, 21). The current applied in this study ranged from 0.75 mA to 1.1 mA, which was lower than the current threshold (14 mA) required to induce muscle contraction (22). It has been found that when highamplitude stimulation is used peripherally, exceeding the optimal amplitude may block orthodromic action potentials generated within the spinal cord (23).

We recorded EMG activity from three swallow-related muscles (mylohyoid muscle, thyroarytenoid muscle, thyropharyngeus muscle), as well as the diaphragm, along with heart rate and respiratory rate. Oral water administration was performed by injecting 1 cc of room temperature water into the oropharynx through a thin polyethylene catheter (0.5–1.0 mm outer diameter, 0.5 inches in length) placed at the base of the tongue. Three oral water administrations were conducted immediately before stimulation (control) and immediately after each of the four stimulation trials (S1-S4) (Figure 2D). At the conclusion of the experimental protocol, euthanasia was induced through an overdose of pentobarbital sodium followed by intravenous administration of 1 cc of saturated potassium chloride. Pneumothorax was also induced as a secondary euthanasia method.

2.4 Analysis

The EMG signals were amplified using Grass P511 AC Amplifiers (Natus Neurology), bandpass-filtered (200–5,000 Hz), recorded at a sampling rate of 10 KHz (1,401 Power3 + ADC16 Expansion, Cambridge Electronic Design), and analysed using Spike2 Software (RRID:SCR_000903) (v8, Cambridge Electronic Design). EMG signals were rectified and integrated with a time constant of 20 ms. Swallows were identified by coordinated bursts in EMG activity of the mylohyoid, thyroarytenoid, and thyropharyngeus muscles. Swallow events were included if they were induced within 30 s after oral water infusion. To normalize EMG values across animals, the percent change was calculated by dividing the average maximum EMG amplitude during post-stimulation swallows (S1-S4) by the average maximum EMG amplitude during control pre-stimulation swallows.

Changes in respiration were evaluated by determining the phase based on the diaphragmatic EMG. Inspiration (I) was defined as the period from the onset of diaphragmatic activity to the peak of





diaphragmatic burst. Expiration (E) was defined as the period from the peak of diaphragmatic activity to the onset of the subsequent diaphragmatic activation. Furthermore, expiration was subdivided into early expiration (E1; from the peak of diaphragmatic amplitude to diaphragmatic quiescence) and late expiration (E2; from the offset of diaphragmatic activity to the onset of the subsequent diaphragmatic activity) (24) (Figure 1C). To assess the variations in the three phases (I, E1, E2) within a single breath, we conducted comparisons to normalize by dividing the time duration of each phase by the total duration of one respiratory cycle. This approach enables the elimination of differences in respiratory cycles between measurement trials, allowing for the observation of changes in the proportion of each respiratory phase. Swallow duration was defined as the interval from the onset of activity in the mylohyoid muscle to the cessation of thyropharyngeus muscle activity.

All measures are reported as mean ± standard deviation (SD). The following parameters were measured and compared between the swallows that were induced in the pre-stimulus (control) and post-stimulus (S1-S4) time periods: amplitudes of the three swallow-related muscles, diaphragm amplitude, duration of each swallow, respiratory rate per minute, heart rate per minute, and proportion of each respiratory phase within one respiratory cycle. Statistical analysis was performed using Prism (GraphPad) software. Normality tests were conducted, and then either analysis of variance (ANOVA) or Friedman tests were performed as appropriate to test for main effects. Post hoc multiple comparison tests were performed (Dunnet's for one-way parametric, Fisher's LSD for two-way parametric, and Dunn's for non-parametric) to assess specific differences between the control and stimulus groups. Differences with p-values below 0.05 were considered statistically significant.

3 Results

First, we evaluated changes in maximum EMG amplitude of three swallow-related muscles (mylohyoid, thyroarytenoid, and thyropharyngeus) (Figure 4B) before and after the application of Gaussian frequency electrical stimulation (four stimulation trials each, labelled S1-S4). The stimulating electrodes were placed bilaterally on the surface of the skin either at the posterior T9-T10 thoracic spine region, or at the anterior cervical region bilateral to the larynx. We compared EMG amplitudes during swallow induced by oral water infusion before stimulation (control) and immediately after each electrical stimulation (S1 to S4). For the back stimulation groups (T9-T10 region), ANOVA detected a significant effect of stimulus on EMG amplitude of the mylohyoid $[F_{(4,28)} = 6.219]$, p = 0.006], thyroarytenoid [F_(4,28) = 4.798, p = 0.02], and thyropharyngeus $[F_{(4,28)} = 5.909, p = 0.009]$ muscles during swallow (Figure 4A). Post hoc comparisons showed that mylohyoid EMG amplitude increased following the second (p=0.02) and fourth (p=0.02) trials of back stimulation. Thyroarytenoid EMG amplitude increased following the first (p=0.005) and third (p=0.04) trials of back stimulation. Thyropharyngeus EMG amplitude increased following the fourth (p = 0.04) trial of back stimulation. For the anterior neck stimulation groups, ANOVA detected a significant effect of stimulus on EMG amplitude of the thyroarytenoid $[\chi^2(5) = 10.70, p = 0.03]$, but not the mylohyoid or thyropharyngeus muscles during swallow. Post hoc comparisons showed that thyroarytenoid EMG amplitude increased following the fourth (p = 0.02) trial of neck stimulation. An ANOVA omnibus test detected a significant interaction effect between sex and stimulus treatment [$F_{(4,24)}$ = 3.19, p = 0.03] and a significant effect of sex $[F_{(1,6)} = 6.92, p = 0.04]$ for the thyropharyngeus EMG amplitude following back stimulation.

We then evaluated the effects of the back and neck Gaussian frequency electrical stimulation on swallow duration, respiratory rate, and heart rate (Figure 5). Swallow duration increased in the back stimulation group [$\chi^2(5) = 12.50$, p = 0.01], and *post hoc* comparisons showed a significant effect following the second (p = 0.03), third (p = 0.045), and fourth (p = 0.01) back stimulus trials. While omnibus ANOVA did not detect an overall difference in swallow duration for the neck stimulation group [$F_{(4,28)} = 2.177$, p = 0.2], *post hoc* tests

detected a significant increase in swallow duration following the second (p=0.009) and fourth (p=0.02) neck stimulus trials (Figure 5i). There were no differences detected for the effect of back stimulation on respiratory rate. However, respiratory rate was increased following neck stimulation [$F_{(4,28)}$ =4.113, p=0.04], and *post hoc* tests detected a significant increase in respiratory rate following the third (p=0.03) neck stimulus trial (Figure 5ii). While omnibus ANOVA did not detect an overall difference in heart rate for the back stimulation group [$F_{(4,28)}$ =0.925, p=0.4], *post hoc* tests detected a significant increase in heart rate for the back stimulus trial. There were no differences detected for the effect of neck stimulus on heart rate (Figure 5ii).

Finally, we assessed whether electrical stimulation at the neck or back affects diaphragm amplitude during breathing or modulates respiratory phase. There were no significant changes in the maximum EMG amplitude of the diaphragm for the back or neck stimulus groups (Figure 6A). Omnibus F-tests conducted for each group revealed no significant changes in the proportions of the I (inspiratory), E1 (early expiratory), and E2 (expiratory) phases of respiration, and post-hoc multiple comparisons similarly indicated no significant differences in any of the groups (Figure 6B).

4 Discussion

This study aimed to investigate whether a novel Gaussian frequency stimulation paradigm applied to skin of the back could facilitate swallow. Gaussian frequency stimulation applied to the skin surface of the thoracic back (at the T9-T10 level) elicited significant increases in EMG amplitude of all three swallow-related muscles at a variety of timepoints (S1-S4), indicating that this stimulation method facilitates swallow. This effect on thyropharyngeus EMG activity was more pronounced in the male group compared to the female group. Swallow duration was also increased after stimulation of the back or the neck.

Swallow preferentially occurs in the expiratory phase of breathing. While swallow occurs during post-inspiration in rats and mice (25, 26), it is most likely to occur later in expiration in cats and humans (27–29). While swallow is mediated by cranial nerves, it is impaired by spinal cord injury (18), and is influenced by afferent feedback from pulmonary stretch receptors that are activated during inspiration (16, 25). Activation of spinal afferents in the chest wall also affects swallow (25), and rib vibration increases laryngeal tone, which is important during respiration and for airway protection during swallow (30). Swallow also influences the phase coordination of the respiratory cycle, including inspiration and expiration (16, 31, 32). Indeed, the swallow and respiratory pattern generators are both situated in the brainstem, adjacent to each other and overlapping at least somewhat.

Spinal pathways are also involved in respiration. The load compensation reflex that occurs during airway occlusion is spinally mediated (33), and stimulus of the chest wall by vibration or electrical stimulation induces significant changes to respiration (34–41). The muscles that we recorded are representative of key movements that occur during the pharyngeal phase of swallow. The mylohyoid represents laryngeal elevation, the thyroarytenoid represents laryngeal adduction and the thyropharyngeus represents pharyngeal constriction. Each of these components is necessary for airway protection and bolus passage through the pharynx and into the



FIGURE 4

(A) Example of changes in EMG amplitude of swallow muscles when stimulating the back of male rats, (B) Percent change in maximum amplitude of swallow EMG potentials immediately after stimulation (S1-S4) relative to control (set as 100%) for each rat and for the back and neck group means.



FIGURE 5

Changes in various physiological parameters of the back and neck groups. Filled black symbols represent male data points; open symbols represent female data points.



FIGURE 6

(A) Changes in the maximum amplitude of diaphragm muscle EMG activity (S1-S4) immediately after each stimulation trial, expressed as a percent change relative to the control diaphragm EMG activity (normalized to 100%). (B) Variations in the proportion of each respiratory phase within one respiratory cycle, expressed as a percentage.

esophagus during swallow. Each muscle is innervated by different nerves and/or branches, suggesting that a stimulus which would affect all these muscles would act centrally. Sensory nerves involved in respiration or swallowing are likely to influence both functions. The precise mechanisms by which spinal afferent information influences the swallow and respiratory central pattern generators, differentially or in concert, to produce changes in swallow and breathing remain to be discovered.

The Gaussian frequency stimulation used in this study differs from the conventional fixed-frequency single-pulse waves commonly used in therapeutic devices. Applying stimulation with Gaussian frequency can enhance the detection of weak signals in sensory signal transmission (42), and varying the applied frequency is expected to prevent adaptation to the stimulation (43). NMES using commercially available hardware stimulate with a fixed frequency of 70 Hz (44), investigations using in vivo animal models traditionally use fixed frequency stimulation between 5 and 30 Hz. Stimulation of the SLN with 20-30 Hz fixed frequency evokes rapid continuous swallow with short latencies and low threshold (45-49). Recent research has reported that probabilistic frequency stimulation led to a more significant swallow response compared to fixed-frequency stimulation of the superior laryngeal nerve (SLN) (50). In that study, stochastic SLN stimulation decreased swallow latency when compared to fixed-frequency stimulation, and increased swallow number and swallow EMG amplitudes; thus it appeared to increase excitability of the swallow pattern generator. Some of these same effects are observed when the frequency is increased in the setting of SLN stimulation with a fixed frequency (51). Adding stochastic variance to the electrical stimulation signal may work similarly to increasing the fixed frequency, while maintaining the overall stimulation delivered. Furthermore, since the swallow-related muscle groups are not directly stimulated to contract during swallow, the method we used in this study importantly does not act as an inhibitory factor during natural swallow.

The therapeutic effects of spinal cord stimulation have been demonstrated in various medical conditions, improving sensory-motor function and autonomic nervous system functions (52, 53). Two approaches for spinal cord stimulation are epidural spinal cord stimulation (eSCS), which requires surgical intervention, and non-invasive stimulation techniques, such as magnetic or electrical stimulation applied to the skin surface over the spinal cord. In epidural SCS, studies have explored the selective stimulation of different segments of the cervical, thoracic, and lumbar spine to treat disorders related to cardiorespiratory, motor function, and excretion (54-56). For instance, stimulation at the C4 level in a rat model with spinal cord injury at C3-C5 improved normal breathing and blood oxygen concentration, induced diaphragmatic nerve response time, and produced frequencydependent changes (short-term facilitation) (57). Furthermore, in the case of patients with chronic paralysis, voluntary leg movements recovery has been observed through electrical stimulation by implanting electrodes at the T11-T12 level (58).

In previous research on transcutaneous electrical stimulation using surface electrodes, electrical stimulation near the thoracic spine (T11-L1) with surface electrodes induced an increase in sensation and contraction pressure in the anal-rectal region in patients with neurogenic bowel dysfunction following spinal cord injury (59). Additionally, for individuals with idiopathic lower urinary tract dysfunction after spinal cord injury, high-frequency biphasic burst wave transcutaneous spinal cord electrical stimulation at T11 and L1 resulted in immediate effects such as decreased overactivity of voiding muscles and improved incontinence (60).

While research on the effects of spinal cord stimulation on swallow movements remains limited, significant progress has been made in studying how spinal cord stimulation influences respiratory regulation. DiMarco and colleagues demonstrated that stimulation at the thoracic spine levels T9-T11 facilitates laryngeal and abdominal expiratory muscle activity (41, 61-63). In one study, patients with cervical C5-C6 injuries who were unable to cough normally had electrodes implanted and stimulation was applied to either T9, T11, or L1 of the thoracic spine, either individually or in combination. The results showed an increase in airway pressure and expiratory flow, allowing patients to induce coughing (62). Other areas of the thoracic spine are recognized as sites to modify ongoing inspiratory drive (64-66). In another study on spinal cord stimulation in dogs, stimulating at T2-T3 allowed for the maintenance of 6 h of respiration. Analysis of inspiratory muscles revealed firing frequencies of motor units equivalent to spontaneous breathing, leading to the activation of both the diaphragm and inspiratory intercostal muscles (64). In contrast, in the current study, stimulation of the T9-T10 region on the back did not produce significant differences in any respiratory phases. The disparity in these results is believed to stem from the fact that, in prior studies, high-intensity direct stimulation of the spinal cord (T9-T10) was employed, rather than the transcutaneous low-intensity stimulation via surface electrodes conducted in this study. This distinction implies a significant difference in the actual current flowing through the spinal cord. It is best if any therapeutic stimulation targeting swallow does not affect respiration, and it is plausible that higherintensity stimulation than what was performed in the current protocol is necessary to induce the off-target effects on expiration. However, because we calculated respiratory parameters directly after, rather than during, the electrical stimulation, changes in airway pressure and respiratory muscle activity during stimulation as reported in prior studies cannot be discounted. In addition, in contrast to the experimental model of spinal cord injury utilized in prior research, the present study employed a healthy rat model. Prior to experimentation, stable spontaneous respiration was confirmed, and no decline in respiratory capacity was observed.

Possible therapeutic applications for Gaussian electrical stimulation include stabilizing breathing (67, 68) and infant suckswallow patterns (69), and decreasing tremor and bradykinesia using deep brain stimulation in patients with Parkinson's disease (70). In clinical dysphagia therapy, an 80 Hz fixed frequency has been successfully used (71) in the short term (7, 72). However, in several studies long-term therapeutic use has not been successful (73–77).

In the present study we found that surface probabilistic electrical stimulation of sensory nerves in the back or neck of rats can enhance activation of certain muscles during swallow in rats immediately after stimulation. Probabilistic electrical stimulation should be explored further in future experiments to test the sustained effectiveness of facilitating swallow over longer time courses. Previous studies have shown long-term brain plasticity after sensory nerve stimulation (78). Additionally, although the stimulation waveform in this study differs, experiments using transcranial random noise stimulation (tRNS) have suggested the rapid modulation of voltage-dependent sodium channels (79), and the application of tRNS to the pharyngeal cortex has demonstrated a sustained two-hour increase in pharyngeal motor-evoked potentials (80). In conclusion, the results from the current study suggest that stochastic electrical surface stimulation, especially to the thoracic T9-T10 region, may show promise for improving swallow dysfunction. This stimulation method should be explored for therapeutic potential in further studies.

Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

Ethics statement

The animal studies were approved by Institutional Animal Care and Use Committee (IACUC) at the University of Louisville. The studies were conducted in accordance with the local legislation and institutional requirements.

Author contributions

IK: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Project administration, Resources, Software, Supervision, Validation, Visualization, Writing – original draft, Writing – review & editing. MF: Conceptualization, Data curation, Investigation, Methodology, Project administration, Resources, Supervision, Validation, Writing – review & editing. KI: Conceptualization, Formal analysis, Investigation, Supervision, Validation, Writing – review & editing. TK: Supervision, Writing – review & editing. TP: Conceptualization, Data

References

1. Teramoto S, Fukuchi Y, Sasaki H, Sato K, Sekizawa K, Matsuse T. High incidence of aspiration pneumonia in community and hospital acquired pneumonia in hospitalized patients: a multicenter, prospective study in Japan. *J Am Geriatr Soc.* (2008) 56:577–9. doi: 10.1111/j.1532-5415.2008.01597.x

2. Statistics and Information Department. 2016 analysis by cause of death, abridged life tables for Japan 2016, life tables 2016. Tokyo: Ministry of Health, Labor and Welfare (2023).

3. Roy N, Stemple JC, Merrill RM. Dysphagia in the elderly: preliminary evidence of prevalence, risk factors, and socioemotional effects. *Ann Otol Rhinol Laryngol.* (2007) 116:858–65. doi: 10.1177/000348940711601112Thomas, l

4. Serra-Prat M, Hinojosa G, Lopez D, Juan M, Fabré E, Voss DS, et al. Prevalence of oropharyngeal dysphagia and impaired safety and efficacy of swallow in independently-living older persons. *JAGS*. (2011) 59:186–7. doi: 10.1111/j.1532-5415.2010.03227.x

5. Kawashima K, Motohashi Y, Fujishima I. Prevalence of dysphagia among community-dwelling elderly individuals as estimated using a questionnaire for dysphagia screening. *Dysphagia*. (2004) 19:266–71. doi: 10.1007/s00455-004-0013-6

6. Yang EJ, Kim MH, Lim JY, Paik NJ. Oropharyngeal dysphagia in a communitybased elderly cohort: the Korean longitudinal study on health and aging. *J Korean Med Sci.* (2013) 28:1534–9. doi: 10.3346/jkms.2013.28.10.1534

7. Ludlow CL, Humbert I, Saxon K, Poletto C, Sonies B, Crujido L. Effects of surface electrical stimulation both at rest and during swallowing in chronic pharyngeal dysphagia. (2007) 22:1–10. doi: 10.1007/s00455-006-9029-4

8. Jean A. Brain stem control of swallowing: neuronal network and cellular mechanisms. *Physiol Rev.* (2001) 81:929–69. doi: 10.1152/physrev.2001.81.2.929

curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Resources, Supervision, Validation, Writing – review & editing.

Funding

The author(s) declare that financial support was received for the research, authorship, and/or publication of this article. Research reported in this publication was supported by NIH grants NS110169, HL155721, HL163008, HD110951, OT20D001983, the Craig H. Neilsen Foundation Pilot Research Grant 546714, Kentucky Spinal Cord and Head Injury Research Trust, and the Commonwealth of Kentucky Challenge for Excellence. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The author(s) declared that they were an editorial board member of Frontiers, at the time of submission. This had no impact on the peer review process and the final decision.

Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

9. Tamura Y, Shibukawa Y, Shintani M, Kaneko Y, Ichinohe T. Oral structure representation in human somatosensory cortex. *NeuroImage*. (2008) 43:128–35. doi: 10.1016/j.neuroimage.2008.06.040

10. Brown S, Ngan E, Liotti M. A larynx area in the human motor cortex. Cereb Cortex. (2008) 18:837–45. doi: 10.1093/cercor/bhm131

11. Aziz Q, Rothwell JC, Hamdy S, Barlow J, Thompson DG. The topographic representation of esophageal motor function on the human cerebral cortex. *Gastroenterology*. (1996) 111:855–62. doi: 10.1016/S0016-5085(96)70053-7

12. Dziewas R, Soros P, Ishii R, Chau W, Henningsen H, Ringelstein EB. Cortical processing of esophageal sensation is related to the representation of swallowing. *Neuroreport.* (2005) 16:439–43. doi: 10.1097/00001756-200504040-00005

13. Simons A, Hamdy S. The use of brain stimulation in dysphagia management. Dysphagia. (2017) 32:209–15. doi: 10.1007/s00455-017-9789-z

14. Gow D, Rothwell J, Hobson A, Thompson D, Hamdy S. Induction of long-term plasticity in human swallowing motor cortex following repetitive cortical stimulation. *Clin Neurophysiol.* (2004) 115:1044–51. doi: 10.1016/j. clinph.2003.12.001

15. Wei K.C., Wang T.G., Hsiao M.Y. (2023). The cortical and subcortical neural control of swallowing: A narrative review. *Dysphagia*. (2024) 39:177–97. doi: 10.1007/s00455-023-10613-x16

 Nishino T, Sugimori K, Kohchi A, Hiraga K. Nasal constant positive airway pressure inhibits the swallowing reflex. *Am Rev Respir Dis.* (1989) 140:1290–3. doi: 10.1164/ajrccm/140.5.1290 17. Takemura A, Sugiyama Y, Yamamoto R, Kinoshita S, Kaneko M, Fuse S, et al. Effect of pharmacological inhibition of the pontine respiratory group on swallowing interneurons in the dorsal medulla oblongata. *Brain Res.* (2022) 1797:148101. doi: 10.1016/j.brainres.2022.148101

18. Pitts T, Iceman KE, Huff A, Musselwhite MN, Frazure ML, Young KC, et al. Laryngeal and swallow dysregulation following acute cervical spinal cord injury. *J Neurophysiol.* (2022) 128:405–17. doi: 10.1152/jn.00469.2021

19. Basmajian J, Stecko G. A new bipolar electrode for electromyography. J Appl Physiol. (1962) 17:849. doi: 10.1152/jappl.1962.17.5.849

20. Dietz BE, Mugan D, Vuong QC, Obara I. Electrically evoked compound action potentials in spinal cord stimulation: implications for preclinical research models. *Neuromodulation.* (2022) 25:64–74. doi: 10.1111/ner.13480

21. Hogan MK, Barber SM, Rao Z, Kondiles BR, Huang M, Steele WJ, et al. A wireless spinal stimulation system for ventral activation of the rat cervical spinal cord. *Sci Rep.* (2021) 11:14900. doi: 10.1038/s41598-021-94047-1

22. Honda Y, Tanaka N, Kajiwara Y, Kondo Y, Kataoka H, Sakamoto J, et al. Effect of belt electrode-skeletal muscle electrical stimulation on immobilization-induced muscle fibrosis. *PLoS One.* (2021) 16:0244120. doi: 10.1371/journal.pone.0244120

23. Arpin DJ, Ugiliweneza B, Forrest G, Harkema SJ, Rejc E. Optimizing neuromuscular electrical stimulation pulse width and amplitude to promote central activation in individuals with severe spinal cord injury. *Front Physiol.* (2019) 10:1310. doi: 10.3389/fphys.2019.01310

24. Richter DW. Generation and maintenance of the respiratory rhythm. J Exp Biol. (1982) 100:93–107. doi: 10.1242/jeb.100.1.93

25. Huff A, Reed MD, Iceman KE, Howland DR, Pitts T. Sex-specific vagal and spinal modulation of swallow and its coordination with breathing. *PLoS One.* (2020) 15:e0234194. doi: 10.1371/journal.pone.0234194

26. Huff A, Karlen-Amarante M, Pitts T, Ramirez JM. Optogenetic stimulation of pre-Bötzinger complex reveals novel circuit interactions in swallowing-breathing coordination. *Proc Natl Acad Sci USA*. (2022) 119:e2121095119. doi: 10.1073/pnas.2121095119

27. Horton KK, Segers LS, Nuding SC, O'Connor R, Alencar PA, Davenport PW, et al. Central respiration and mechanical ventilation in the gating of swallow with breathing. *Front Physiol.* (2018) 9:785. doi: 10.3389/fphys.2018.00785

28. Martin-Harris B, McFarland DH. Coordination of deglutition and respiration. In: *Princip Deglut Springer*. eds. Shaker, R., Belafsky, P., Postma, G., Easterling, C. (New York, NY: Springer) (2013):25–34.

29. Pitts T, Rose MJ, Poliacek I, Condrey J, Davenport PW, Bolser DC. Effect of laparotomy on the swallow-breathing relationship in the cat. *Lung.* (2015) 193:129–33. doi: 10.1007/s00408-014-9662-x

30. Bolser DC, Remmers JE. Synaptic effects of intercostal tendon organs on membrane potentials of medullary respiratory neurons. *J Neurophysiol.* (1989) 61:918–26. doi: 10.1152/jn.1989.61.5.918

31. Oku Y, Dick TE. Phase resetting of the respiratory cycle before and after unilateral pontine lesion in cat. *J Appl Physiol.* (1992) 72:721–30. doi: 10.1152/jappl.1992.72.2.721

32. Paydarfar D, Gilbert RJ, Poppel CS, Nassab PF. Respiratory phase resetting and airflow changes induced by swallowing in humans. *J Physiol*. (1995) 483:273–88. doi: 10.1113/jphysiol.1995.sp020584

33. Corda M, Eklund G, Von E. External intercostal and phrenic alpha-motor responses to changes in respiratory load. *Acta Physiol Scand*. (1965) 63:391–400. doi: 10.1111/j.1748-1716.1965.tb04079.x

34. Homma I, Eklund G, Hagbarth KE. Respiration in man affected by TVR contractions elicited in inspiratory and expiratory intercostal muslces. *Respir Physiol.* (1978) 35:335–48. doi: 10.1016/0034-5687(78)90007-5

35. DiMarco AF, Romaniuk JR, Supinski GS. Electrical activation of the expiratory muscles to restore cough. *Am J Respir Crit Care Med.* (1995) 151:1466–71. doi: 10.1164/ ajrccm.151.5.7735601

36. DiMarco AF, Romaniuk JR, Kowalski KE, Supinski G. Pattern of expiratory muscle activation during lower thoracic spinal cord stimulation. *J Appl Physiol.* (1999) 86:1881–9. doi: 10.1152/jappl.1999.86.6.1881

37. DiMarco AF, Onders RP, Kowalski KE, Miller ME, Ferek S, Mortimer JT. Phrenic nerve pacing in a tetraplegic patient via intramuscular diaphragm electrodes. *Am J Respir Crit Care Med.* (2002) 166:1604–6. doi: 10.1164/rccm.200203-175CR

38. DiMarco AF, Kowalski KE, Romaniuk JR. Effects of diaphragm activation on airway pressure generation during lower thoracic spinal cord stimulation. *Respir Physiol Neurobiol.* (2007) 159:102–7. doi: 10.1016/j.resp.2007.06.007

39. Kowalski KE, Romaniuk JR, Brose SW, Richmond MA, Kowalski T, DiMarco AF. High frequency spinal cord stimulation-new method to restore cough. *Respir Physiol Neurobiol.* (2016) 232:54–6. doi: 10.1016/j.resp.2016.07.001

40. Kowalski KE, Romaniuk JR, Kowalski T, DiMarco AF. Effects of expiratory muscle activation via high-frequency spinal cord stimulation. *J Appl Physiol.* (2017) 123:1525–31. doi: 10.1152/japplphysiol.00402.2017

41. DiMarco AF, Kowalski KE, Supinski G, Romaniuk JR. Mechanism of expiratory muscle activation during lower thoracic spinal cord stimulation. *J Appl Physiol.* (2002) 92:2341–6. doi: 10.1152/japplphysiol.01231.2001

42. Bloch-Salisbury E, Indic P, Bednarek F, Paydarfar D. Stabilizing immature breathing patterns of preterm infants using stochastic mechanosensory stimulation. J Appl Physiol. (2009) 107:1017–27. doi: 10.1152/japplphysiol.00058.2009

43. Dimitrijević MR, Faganel J, Gregorić M, Nathan PW, Trontelj JK. Habituation: effects of regular and stochastic stimulation. *J Neurol Neurosurg Psychiatry*. (1972) 35:234–42. doi: 10.1136/jnnp.35.2.234

44. Humbert IA, Michou E, MacRae PR, Crujido L. Electrical stimulation and swallowing: how much do we know? *Semin Speech Lang.* (2012) 33:203–16. doi: 10.1055/ s-0032-1320040

45. Kessler J, Jean A. Identification of the medullary swallowing regions in the rat. *Exp* Brain Res. (1985) 57:256–63. doi: 10.1007/BF00236530

46. Ezure K, Oku Y, Tanaka I. Location and axonal projection of one type of swallowing interneurons in cat medulla. *Brain Res.* (1993) 632:216–24. doi: 10.1016/0006-8993(93)91156-M

47. Oku Y, Tanaka I, Ezure K. Activity of bulbar respiratory neurons during fictive coughing and swallowing in the decerebrate cat. *J Physiol.* (1994) 480:309–24. doi: 10.1113/jphysiol.1994.sp020361

48. Ootani S, Umezaki T, Shin T, Murata Y. Convergence of afferents from the SLN and GPN in cat medullary swallowing neurons. *Brain Res Bull*. (1995) 37:397–404. doi: 10.1016/0361-9230(95)00018-6

49. Kitagawa J, Nakagawa K, Hasegawa M, Iwakami T, Shingai T, Yamada Y, et al. Facilitation of reflex swallowing from the pharynx and larynx. *J Oral Sci.* (2009) 51:167–71. doi: 10.2334/josnusd.51.167

50. King SN, Shen TY, Nicholas M, Huff A, Reed MD, Poliacek I, et al. Swallow motor pattern is modulated by fixed or stochastic alterations in afferent feedback. *Front Hum Neurosci.* (2020) 14:112. doi: 10.3389/fnhum.2020.00112

51. Beyak M, Collman P, Valdez D, Xue S, Diamant N. Superior laryngeal nerve stimulation in the cat: effect on oropharyngeal swallowing, oesophageal motility and lower oesophageal sphincter activity. *Neurogastroenterol Motil.* (1997) 9:117–27. doi: 10.1046/j.1365-2982.1997.d01-22.x

52. Laskin JJ, Waheed Z, Thorogood NP, Nightingale TE, Noonan VK. Spinal cord stimulation research in the restoration of motor, sensory, and autonomic function for individuals living with spinal cord injuries: a scoping review. *Arch Phys Med Rehabil.* (2022) 103:1387–97. doi: 10.1016/j.apmr.2022.01.161

53. Tator CH, Minassian K, Mushahwar VK. Spinal cord stimulation: therapeutic benefits and movement generation after spinal cord injury. *Handb Clin Neurol.* (2012) 109:283–96. doi: 10.1016/B978-0-444-52137-8.00018-8

54. Darrow D, Balser D, Netoff TI, Krassioukov A, Phillips A, Parr A, et al. Epidural spinal cord stimulation facilitates immediate restoration of dormant motor and autonomic Supraspinal pathways after chronic neurologically complete spinal cord injury. *J Neurotrauma*. (2019) 36:2325–36. doi: 10.1089/neu.2018.6006

55. Boakye M, Ball T, Dietz N, Sharma M, Angeli C, Rejc E, et al. Spinal cord epidural stimulation for motor and autonomic function recovery after chronic spinal cord injury: a case series and technical note. *Surg Neurol Int.* (2023) 14:87. doi: 10.25259/SNI_1074_2022

56. Chang HH, Yeh JC, Ichiyama RM, Rodriguez LV, Havton LA. Mapping and neuromodulation of lower urinary tract function using spinal cord stimulation in female rats. *Exp Neurol.* (2018) 305:26–32. doi: 10.1016/j.expneurol.2018.03.007

57. Bezdudnaya T, Lane MA, Marchenko V. Paced breathing and phrenic nerve responses evoked by epidural stimulation following complete high cervical spinal cord injury in rats. *J Appl Physiol.* (2018) 125:687–96. doi: 10.1152/japplphysiol.00895.2017

58. Angeli CA, Edgerton VR, Gerasimenko YP, Harkema SJ. Altering spinal cord excitability enables voluntary movements after chronic complete paralysis in humans. *Brain.* (2014) 137:1394–409. doi: 10.1093/brain/awu038

59. Kreydin E, Zhong H, Lavrov I, Edgerton VR, Gad P. The effect of non-invasive spinal cord stimulation on anorectal function in individuals with spinal cord injury: a case series. *Front Neurosci.* (2022) 16:816106. doi: 10.3389/fnins.2022.816106

60. Kreydin E, Zhong H, Latack K, Ye S, Edgerton VR, Gad P. Transcutaneous electrical spinal cord neuromodulator (TESCoN) improves symptoms of overactive bladder. *Front Syst Neurosci.* (2020) 14:1. doi: 10.3389/fnsys.2020.00001

61. Remmers JE. Extra-segmental reflexes derived from intercostal afferents: phrenic and laryngeal responses. *J Physiol.* (1973) 233:45–62. doi: 10.1113/jphysiol.1973. sp010296

62. DiMarco AF, Kowalski KE, Geertman RT, Hromyak DR. Spinal cord stimulation: a new method to produce an effective cough in patients with spinal cord injury. *Am J Respir Crit Care Med.* (2006) 173:1386–9. doi: 10.1164/rccm.200601-097CR

63. DiMarco AF, Kowalski KE. Effects of chronic electrical stimulation on paralyzed expiratory muscles. J Appl Physiol. (2008) 104:1634–40. doi: 10.1152/japplphysiol.01321.2007

64. DiMarco AF, Kowalski KE. High-frequency spinal cord stimulation of inspiratory muscles in dogs: a new method of inspiratory muscle pacing. *J Appl Physiol.* (2009) 107:662–9. doi: 10.1152/japplphysiol.00252.2009

65. DiMarco AF, Altose MD, Cropp A, Durand D. Activation of the inspiratory intercostal muscles by electrical stimulation of the spinal cord. *Am Rev Respir Dis.* (1987) 136:1385–90. doi: 10.1164/ajrccm/136.6.1385

66. DiMarco AF, Kowalski KE. Activation of inspiratory muscles via spinal cord stimulation. *Respir Physiol Neurobiol.* (2013) 189:438–49. doi: 10.1016/j. resp.2013.06.001

67. Paydarfar D, Eldridge FL, Kiley JP. Resetting of mammalian respiratory rhythm: existence of a phase singularity. *Am J Physiol Regul Integr Comp Physiol.* (1986) 250:R721-7. doi: 10.1152/ajpregu.1986.250.4.R721

68. Paydarfar D, Forger DB, Clay JR. Noisy inputs and the induction of on-off switching behavior in a neuronal pacemaker. *J Neurophysiol.* (2006) 96:3338-48. doi: 10.1152/jn.00486.2006

69. Finan DS, Barlow SM. Intrinsic dynamics and mechanosensory modulation of non-nutritive sucking in human infants. *Early Hum Dev.* (1998) 52:181–97. doi: 10.1016/S0378-3782(98)00029-2

70. Brocker DT, Swan BD, So RQ, Turner DA, Gross RE, Grill WM. Optimized temporal pattern of brain stimulation designed by computational evolution. *Sci Transl Med.* (2017) 9:eaah3532. doi: 10.1126/scitranslmed.aah3532

71. Poorjavad M, Talebian Moghadam S, Nakhostin Ansari N, Daemi M. Surface electrical stimulation for treating swallowing disorders after stroke: a review of the stimulation intensity levels and the electrode placements. *Stroke Res Treat.* (2014) 2014:1–7. doi: 10.1155/2014/918057

72. Humbert IA, Fitzgerald ME, Mclaren DG, Johnson S, Porcaro E, Kosmatka K, et al. Neurophysiology of swallowing: effects of age and bolus type. *NeuroImage*. (2009) 44:982–91. doi: 10.1016/j.neuroimage.2008.10.012

73. Shaw GY, Sechtem PR, Searl J, Keller K, Rawi TA, Dowdy E. Transcutaneous neuromuscular electrical stimulation (VitalStim) curative therapy for severe dysphagia: myth or reality? *Ann Otol Rhinol Laryngol.* (2007) 116:36–44. doi: 10.1177/000348940711600107

74. Bülow M, Speyer R, Baijens L, Woisard V, Ekberg O. Neuromuscular electrical stimulation (NMES) in stroke patients with oral and pharyngeal dysfunction. *Dysphagia*. (2008) 23:302–9. doi: 10.1007/s00455-007-9145-9

75. Christiaanse ME, Mabe B, Russell G, Simeone TL, Fortunato J, Rubin B. Neuromuscular electrical stimulation is no more effective than usual care for the treatment of primary dysphagia in children. *Pediatr Pulmonol.* (2011) 46:559–65. doi: 10.1002/ppul.21400

76. Langmore SE, McCulloch TM, Krisciunas GP, Lazarus CL, Van Daele DJ, Pauloski BR, et al. Efficacy of electrical stimulation and exercise for dysphagia in patients with head and neck cancer: a randomized clinical trial. *Head Neck*. (2016) 38:E1221–31. doi: 10.1002/hed.24197

77. Krisciunas GP, Castellano K, McCulloch TM, Lazarus CL, Pauloski BR, Meyer TK, et al. Impact of compliance on dysphagia rehabilitation in head and neck Cancer patients: results from a multi-center clinical trial. *Dysphagia*. (2017) 32:327–36. doi: 10.1007/s00455-016-9760-4

78. Hamdy S, Rothwell JC, Aziz K, Singh KD, Thompson DG. Long-term reorganization of human motor cortex driven by short-term sensory stimulation. *Nat Neurosci.* (1998) 1:64–8. doi: 10.1038/264

79. Potok W, van der Groen O, Bächinger M, Edwards D, Wenderoth N. Transcranial random noise stimulation modulates neural processing of sensory and motor circuits, from potential cellular mechanisms to behavior. *Scop Rev eNeuro*. (2022) 9:ENEURO.0248-21.2021. doi: 10.1523/ENEURO.0248-21.2021

80. Zhang M., Cheng I., Sasegbon A., Dou Z., Hamdy S. (2021). Exploring parameters of gamma transcranial alternating current stimulation (tACS) and full-spectrum transcranial random noise stimulation (tRNS) on human pharyngeal cortical excitability. Neurogastroenterol Motil. 33:e14173. doi: 10.1111/nmo.14173