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RECEIVED 15 August 2023 ACCEPTED 21 November 2023 PUBLISHED 07 December 2023

CITATION

Yang J, Su T, Zhang Y, Jia M, Yin X, Lang Y and Cui L (2023) A bidirectional Mendelian randomization study investigating the causal role between gut microbiota and insomnia. *Front. Neurol.* 14:1277996. doi: 10.3389/fneur.2023.1277996

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A bidirectional Mendelian randomization study investigating the causal role between gut microbiota and insomnia

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Background: It has emerged that disturbances of the gut microbiota (GM) are linked to insomnia. However, the causality of the observed associations remains uncertain.

Methods: We conducted a two-sample Mendelian randomization analysis based on genome-wide association study data to explore the possible causal link between GM and insomnia. The GM data were from the MiBioGen consortium, while the summary statistics of insomnia were obtained from the FinnGen consortium R9 release data. Cochran's Q statistics were used to analyze instrumental variable heterogeneity.

Results: According to the inverse variance weighted estimates, the family Ruminococcaceae (odds ratio = 1.494, 95% confidence interval:1.004–2.223, p = 0.047) and the genus Lachnospiraceae (odds ratio = 1.726, 95% confidence interval: 1.191–2.501, p = 0.004) play a role in insomnia risk. In contrast, the genus Flavonifractor (odds ratio = 0.596, 95% confidence interval: 0.374–0.952, p = 0.030) and the genus Olsenella (odds ratio = 0.808, 95% confidence interval: 0.666–0.980, p = 0.031) tended to protect against insomnia. According to the reverse MR analysis, insomnia can also alter GM composition. Instrumental variables were neither heterogeneous nor horizontal pleiotropic.

Conclusion: In conclusion, our Mendelian randomization study provides evidence of a causal relationship between GM and insomnia. The identified GM may be promising gut biomarkers and new therapeutic targets for insomnia. This investigation also provides a foundation for future studies examining the influence of GM on sleep disorders beyond insomnia, with potential implications for redefining the mechanisms governing sleep regulation.

KEYWORDS

gut microbiota, insomnia, Mendelian randomization, causal inference, GWAS

Introduction

Insomnia represents a common sleep disorder characterized by challenges falling or remaining asleep, poor sleep quality, and diminished daytime functionality (1, 2). Evidence suggests that insomnia may be associated with various medical conditions such as depression, diabetes, and high blood pressure among others. The etiology of insomnia may be linked to genetic, biochemical, neuroendocrine, immune, and psychosocial factors.

10.3389/fneur.2023.1277996

While traditional sleep research often centers around neurological factors, recent research reveals that gut microbiota (GM) may contribute to the development of the central nervous system (CNS) and affect the pathogenesis and progression of a variety of brain conditions. This bidirectional relationship is commonly referred to as the brain–gut axis. Growing empirical data demonstrates that GM and sleep interact through metabolites, the immune system, and neural pathways (3). Insomnia is associated with an altered GM in both acute and chronic insomnia patients (4). Reversal of GM imbalance has been shown to improve sleep duration and sleep efficiency (5). Based on the gut–brain axis—a dynamic bidirectional communication system, this study further clarified the causal relationship between insomnia and GM.

Although randomized controlled trials offer reliable methods of inferring causality, the use of GM as an intervention has limitations, incurring time, and expense. Observational studies are prone to various biases, hence the need for an alternative approach. Mendelian randomization (MR), which utilizes single nucleotide polymorphisms (SNPs) as instrumental variables, provides a means of making causal inferences independently from confounding factors and biases (6). As a result, MR seems more appropriate for epidemiological studies (7). Genome-wide association study (GWAS) data on GM and insomnia offer a foundation for our MR approach. Our study employs a two-way MR analysis for the first time, exploring the association between GM and insomnia to develop our understanding of such an association and offer new treatment possibilities.

Methods

Study design

In this study, we applied a two-sample MR analysis to the pooled dataset of genome association studies to assess the causal relationship between GM and insomnia. In order to test the reliability of the results, a sensitivity analysis was performed. In order to satisfy the core assumptions of association, independence, and exclusivity of MR studies, the following core assumptions must be met: (1) instrumental variables must be strongly correlated with exposure factors; (2) instrumental variables cannot be correlated with any confounding

factors that are associated with exposure and outcome; (3) instrumental variables can only influence outcome variables through exposure factors (8) (Figure 1). The content of this article has been checked against the STROBE-MR checklist (9).

Data sources

Using the largest genome-wide meta-analysis of GM composition published to date by the MiBioGen consortium, the genetic variants of the GM were determined (10). Among 18,340 participants from 24 cohorts in 10 countries, including the United States, Canada, Israel, Korea, Germany, Denmark, the Netherlands, Belgium, Sweden, Finland, and the United Kingdom, the study analyzed 16S ribosomal RNA gene sequencing profiles and genotyping results. The GWAS summary statistics of insomnia were derived from the FinnGen consortium's R9 release (N=217,855) (11).

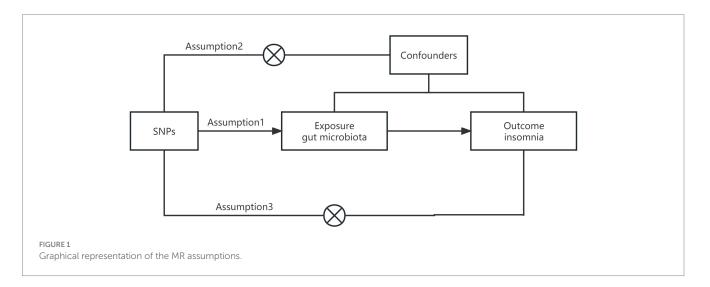
Instrumental variable

An instrumental variable (IV) associated with GM was identified using a two-step approach in this study. To begin with, we selected SNPs that were significantly associated with GM at the genome-wide level ($p < 1.0 \times 10^{-5}$, $R^2 < 0.001$, clumping window size = 10,000 kb) and were not associated with outcome. The final SNPs that were significantly associated with GM were obtained as instrumental variables through a query to the Phenoscanner database.

The F statistic was calculated to determine whether the selected instrumental variables have weak instrumental variable biases; F > 10 indicates that the correlation hypothesis cannot be tested further as there is no weak instrumental variable (12). F = beta²/se², where beta represents the estimate and se represents the standard error of the effect allele on exposure (13).

Mendelian randomization analyses

In this study, we employed an array of methodologies, including inverse variance weighting (IVW), MR-Egger regression, weighted



median estimator, simple mode, and weighted mode, to ascertain a causal relationship between GM and insomnia. IVW was used as the primary MR method, and the results produced served as the main factor in determining whether there was a causal relationship. IVW combines Wald estimates for each SNP to deduce the impact of GM on insomnia. The outcomes derived from IVW remain unbiased, provided there is an absence of horizontal pleiotropy (14). MR-Egger regression can determine whether genetic variants have pleiotropic effects on outcomes that differ from zero (directional pleiotropy), as well as provide a consistent estimate of the causal effect under a weaker assumption (15). Despite 50% of genetic variation violating the MR assumption, the weighted median estimation method accurately calculates causal association effects (16). All outcomes were tested for significant horizontal pleiotropy using MR-PRESSO (17) and MR-Egger intercept regression to minimize the bias caused by it. Further examination of the association between GM and insomnia was conducted with four other established MR methods, including MR-Egger regression, weighted median estimator, simple mode, and weighted mode. To evaluate the impact of a single SNP on the results, we performed a leave-one-out analysis by removing each instrumental variable. Further tests of heterogeneity were performed for statistically significant results, including Cochran's Q statistic, to ensure robustness. Statistics showed a significant difference at a p-value of <0.05. Moreover, we performed a reverse MR analysis between GM and insomnia, with selection criteria for SNPs of $p < 5.0 \times 10^{-6}$. Methodology and context are consistent with the forward model. We used the R packages two-sample MR (18) and MR-PRESSO (17) to carry out all statistical analyses.

Results

In this study, upon excluding IVs exhibiting linkage disequilibrium from the GWAS dataset, we selected 2,270 SNPs associated with GM as IVs in this study (Supplementary Table S1, Sheet 1). The F-values of all SNPs included in the analysis were greater than 10, indicating no weak bias and ensuring accurate results. There was at least one MR method that showed a link between insomnia and four known bacteria genera, including family Ruminococcaceae family, genus Lachnospiraceae, genus Flavonifractor, and genus Olsenella (Figure 2). According to the inverse variance weighted method (Table 1; Figure 3), the family Ruminococcaceae (odds ratio=1.494, 95%) confidence interval: 1.004-2.223, p=0.0477) and the genus Lachnospiraceae (odds ratio = 1.726, 95% confidence interval: 1.191-2.501, p = 0.003) were SE risk factors, whereas genus Flavonifractor (odds ratio = 0.596, 95% confidence interval: 0.374–0.952, *p* = 0.030) and genus Olsenella (odds ratio=0.808, 95% confidence interval: 0.666–0.980, p=0.031) provided protection against insomnia. MR-Egger regression, weighted median estimator, simple mode, and weighted mode produced similar results (Figure 4). MR-PRESSO and MR-Egger intercept regressions were also used to test the stability of the aforementioned outcomes (Supplementary Table S1, Sheet 4), but no potential pleiotropy was detected. The results of the leave-one-out sensitivity analysis showed that the estimated effects of each SNP locus were not attributed to any one locus (Figure 5). In the included SNP effects, Cochran's Q statistic showed no significant heterogeneity (Supplementary Table S1, Sheet 4). Our approach was supported by the funnel plot (Figure 6).

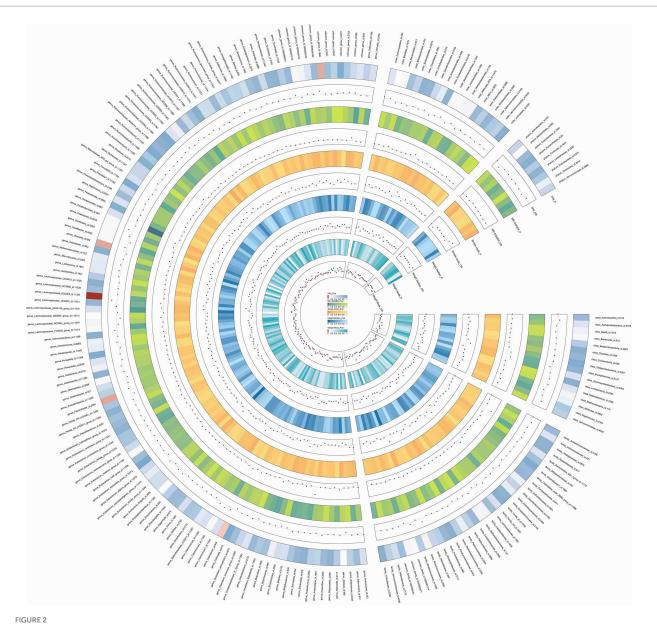
The identical MR method was used to investigate the causal relationship between insomnia and GM in reverse analysis (Supplementary Table S2; Supplementary Figures 1–3). The presence of reverse causality was not observed in the reverse MR analysis. Insomnia was associated with a higher relative abundance of genus Eggerthella (Beta \pm SE, 0.158 \pm 0.066; p = 0.017) and a lower relative abundance of NB1n (Beta \pm SE, -1.492 \pm 0.065; p = 0.024) and genus Holdemanella (Beta \pm SE, -0.139 \pm 0.055; p = 0.012).

Discussion

This is the first MR study to reveal a causal relationship between gut flora and insomnia based on GWAS data. This study confirmed that the family Ruminococcaceae and the genus Lachnospiraceae UCG004 are risk factors for insomnia, while the genus Flavonifractor and the genus Olsenella have potential protective effects on insomnia.

Over the past few decades, significant headway has been made in comprehending the bidirectional interactions linking GM and sleep, which happens through the brain-gut axis (3). First, a diverse range of metabolites produced by the gut and endocrine factors actively participate in sleep regulation. The neurotransmitters such as melatonin (19) and gamma amino butyric acid (GABA) among others synthesized in the intestine facilitate sleep initiation and reduce anxiety and stress while regulating emotions. On the other hand, neurotransmitters such as serotonin, orexin (20), and histamine (3)promote wakefulness. Microbial fermentation of dietary fiber in the intestine produces a considerable number of short-chain fatty acids (SCFAs) (21). Current research suggests that propionate has varying effects on sleep at different ages (22, 23). Butyrate may act as a sleepinducing signaling molecule (24). Second, not only GM can send signals directly to the brain through the vagus nerve (25), but neurotransmitters produced in the gut can also do the same (26). The two-way link between GM and sleep can also be achieved by regulating the immune system (27, 28). Significantly, there is a wellunderstood link between GM and insomnia. For instance, Liu et al. demonstrated noteworthy fluctuations in GM composition and diversity in patients with insomnia relative to 10 healthy controls (29). Li et al. also validated that the GM of acute and chronic insomnia patients was marked by lower microbial richness and diversity, a reduced number of anaerobes, and short-chain fatty acid (SCFA)generating microorganisms, while showing an increase in the number of potential pathogens compared to their healthy counterparts (4). Additionally, studies have asserted that gut microbes have an impact on brain function. A regional homogeneity study found a negative correlation between the relative abundance of lactic acid bacteria in the left fusiform gyrus and regional homogeneity values in patients with chronic insomnia (30). Moreover, treatment for the imbalance in the patient's intestinal flora using antibiotics proved effective in improving sleep duration and efficiency while also regulating mood(5).

Existing studies on the impact of family Ruminococcaceae on sleep are inconclusive. Some investigations have associated chronic disruption of host circadian rhythm and sleep deprivation with an increase in family Ruminococcaceae levels, leading to microbial imbalance (31, 32). However, Zhou et al. found a significant reduction in the family Ruminococcaceae in patients with insomnia by comparing the intestinal microbiota composition of 24 patients with



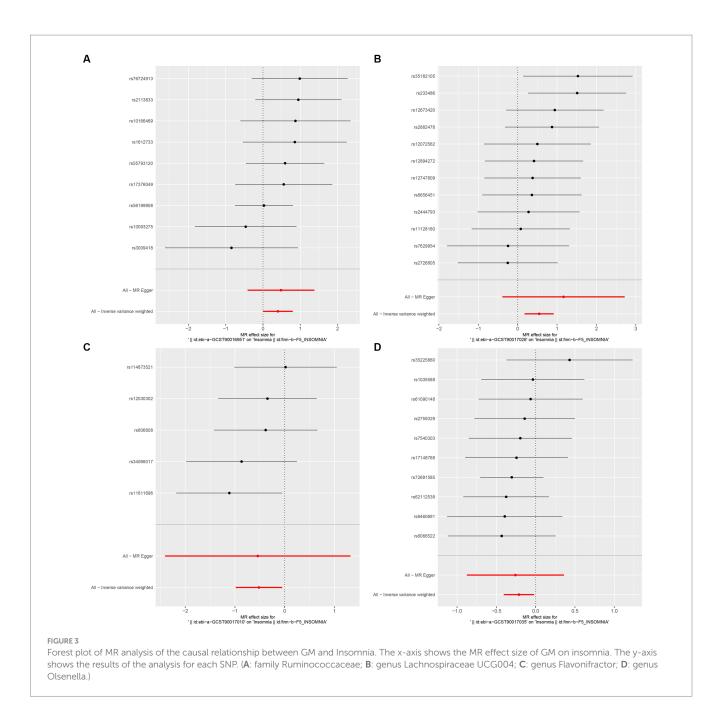
Circos of causal effects of GM on Insomnia. The first circle shows the name of GM, and the second to eleventh circles show the results of MR analysis, with the value of *p* magnitude indicated by color and the relevant annotation in the very middle of the figure.

TABLE 1 Gut microbiota on insomnia result.

Exposure	No. SNP	IVW			MR- PRESSO	MR-Egger intercept regression	Cochran's Q statistic
		Beta	Р	OR (95%CI)	Р	Р	Р
Family Ruminococcaceae	9	0.401	0.048	1.494 (1.004–2.223)	0.572	0.848	0.439
Genus Lachnospiraceae UCG004	12	0.546	0.004	1.726 (1.191–2.501)	0.716	0.440	0.683
Genus Flavonifractor	5	-0.517	0.030	0.596 (0.374-0.952)	0.648	0.981	0.594
Genus Olsenella	10	-0.213	0.031	0.808 (0.666-0.980)	0.903	0.886	0.899

insomnia and 22 healthy controls (33). This study suggests that the family Ruminococcaceae is a risk factor for insomnia. Family Ruminococcaceae consists of a large number of Firmicutes in the

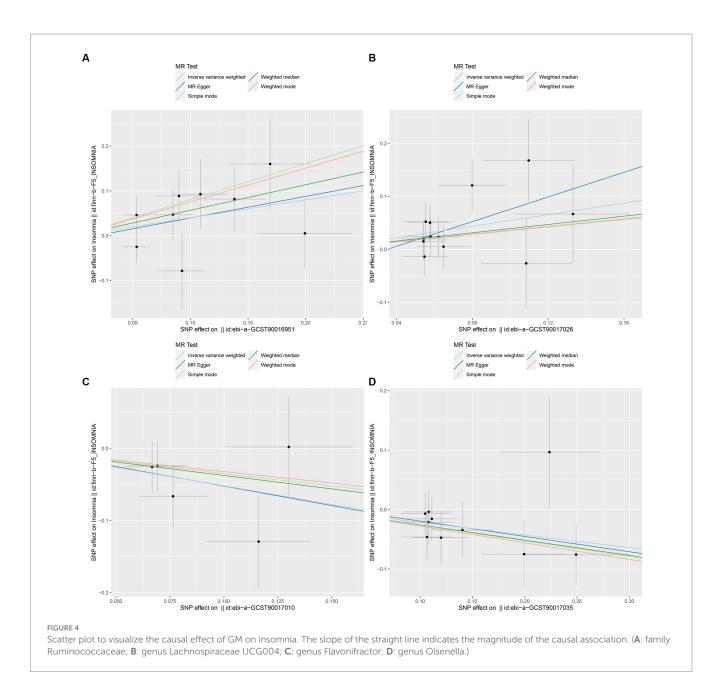
intestinal environment that break down substrates that the host cannot digest, then ferment and convert these compounds into shortchain fatty acids (mainly acetate, butyrate, and propionate) that can



be further absorbed by the host (34). This could be a probable leading cause of family Ruminococcaceae's effect on patients' sleep. As it turns out, family Ruminococcaceae has also featured in several inflammatory diseases while inducing the production of an inflammatory polysaccharide, capable of enabling dendritic cells to generate pro-inflammatory cytokines such as tumor necrosis factoralpha (TNF- α) (35), with a few studies confirming elevated levels of intestinal inflammatory cytokines, including TNF- α , in individuals with insomnia (4, 34). In patients with chronic insomnia, these pro-inflammatory bacteria are also responsible for disrupting brain activity. Further research is essential to understand other mechanisms of family Ruminococcaceae's effect on insomnia.

Present studies indicate that the genus Lachnospiraceae UCG004 demonstrates a negative correlation with sleep efficiency and total sleep time (36). The abundance of the genus Lachnospiraceae is

significantly increased in the gut of mice deprived of brief insomnia (37). In rats treated with gardenia for insomnia, the GM showed changes, including a reduction in the genus Lachnospiraceae (38). In a study that evaluated the GM characteristics of early and late sleepers, the "owls" exhibited an increase in the genus Lachnospiraceae (39). Lachnospira and Bacteroides serve as indicator bacteria to distinguish acute insomnia patients from healthy controls (4). Furthermore, the genus Lachnospiraceae is a significant producer of butyrate (40), which belongs to SCFAs and has been shown to be a source of sleep-and wakefulness-related signals. Genus Lachnospiraceae can affect sleep by means of SCFA metabolism. By utilizing resting-state functional magnetic resonance imaging (rs-fMRI), altered functional connectivity strength was apparent in the left superior parietal gyrus of patients with chronic insomnia when associated with the genus Lachnospiraceae (41). The abundance of genus Lachnospiraceae even

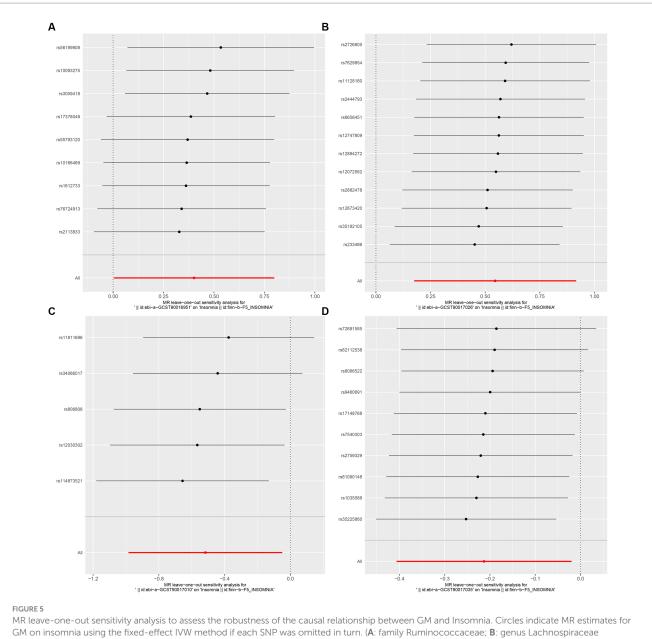


increased in the GM of mice exposed to sleep fragmentation (SF). Genus Lachnospiraceae exhibits pro-inflammatory effects (42), and it can induce macrophage recruitment and promote the transfer of lipopolysaccharide (LPS) from the digestive tract to the bloodstream (43). This suggests that the genus Lachnospiraceae may cause inflammatory processes associated with SF by allowing microbial products to escape into the circulation. Consequently, targeting the genus Lachnospiraceae may become vital for treating insomnia.

Flavonifractors might contribute to *in vivo* inflammatory homeostasis by suppressing TNF- α expression in the inflammatory milieu (44), as well as mitigating antigen-induced Th2 immune responses (45). Excessive secretion of pro-inflammatory cytokines is one of the pathogenic causes of insomnia (46). Studies have shown that this genus delays the progression of diabetes by producing SCFAs. Despite this evidence, further research is needed on the protective potential of Flavonifractors against insomnia. No research has been conducted on Olsenella and insomnia. Olsenella has demonstrated the capacity to utilize fructo-oligosaccharides (FOS) (47). FOS are known as prebiotics that deliver beneficial health effects through the restructuring of the GM. Additionally, Olsenella can produce metabolites such as butyric acid, acetic acid, lactic acid, and succinic acid, potentially elucidating the genus' protective mechanisms.

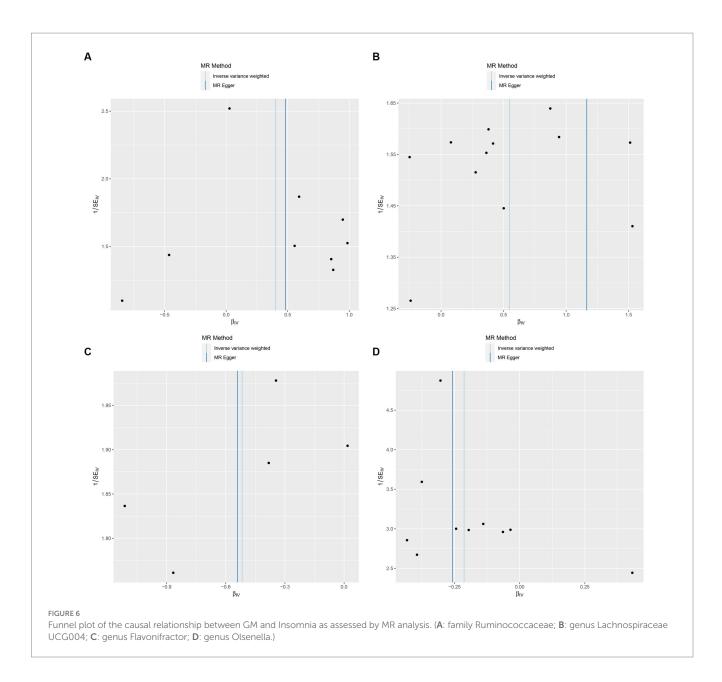
Reverse MR analysis indicates that an elevation in the GM genus Eggerthella may be linked to insomnia, which is in agreement with prior research. Additionally, insomnia may be connected to a decrease in the GM genus Holdemanella and order NB1n, and the exact mechanisms by which they influence sleep remain unknown.

This study boasts several noteworthy advantages over prior works. First, this study is the first MR study to focus on GM and insomnia. The recognition of specific gut bacteria as potential biomarkers can revolutionize insomnia diagnosis, moving away from subjective sleep logs to more objective, microbiota-based assessments. Insomnia exerts



UCG004; C: genus Flavonifractor; D: genus Olsenella.)

a considerable toll on patients' quality of life and often necessitates treatment with hypnotics and sedatives. However, concerns surrounding drug side effects and addiction risk among certain patients persist. Thus, the GM pinpointed in this research might pave the way for innovative insomnia interventions. Adjusting the GM composition through dietary measures, probiotics, or alternative microbiome-focused therapies could provide new therapeutic avenues for insomnia. Such strategies might diminish the reliance on drug treatments, presenting a more integrative solution to insomnia management. Second, in contrast to previous observational studies, our genetic variant data was derived from the most extensive available GWAS meta-analysis, thus securing the effectiveness of instruments in the MR analysis. Such an approach aids in mitigating potential confounding influences. Notwithstanding, a few constraints in our study merit discussion. First, our selection of GM instrumental variables (IVs) involved MibioGen study SNPs that were smaller than a threshold of 5×10^{-8} , which proved inadequate for MR analysis. Consequently, the significance threshold for GM IVs was set at 1×10^{-5} . While an F-statistic exceeding 10 was employed to counteract weak instrument bias, this threshold modification may engender potential biases in the MR estimates, potentially yielding erroneous outcomes. Subsequent research should consider validating these findings with alternative or more stringent instrumental variables to ascertain result reliability. Second, although the present analysis of the results demonstrated the effect of exposure on outcomes, the subgroup analyses were incomplete due to the lack of GWAS data, for example, separate subgroup analyses were not carried out for gender, geography, and age.



To achieve a more rigorous level of evidence, comprehensive data collection is essential. Third, the research community still awaits direct mechanistic examinations supporting our findings. To acquire more explicit proof of the GM-insomnia correlation, further inquiries pertaining to the effects of these bacteria on inflammation, immune reactions, blood-brain barrier permeability, and brain maturation must be undertaken. Fourth, these findings pertain primarily to European cohorts. Variations in GM and genetic predispositions among diverse ethnic groups might modulate the association with insomnia. Hence, extrapolation to non-European populations warrants prudence. Finally, the number of SNPs involved in some analyses was too small, which made it impossible to conduct separate subgroup analyses. Additionally, the limited number of SNPs precluded the use of a fully IVW-based MR method or comprehensive sensitivity analyses, possibly leading to potential biases that went undetected.

To conclude, our study thoroughly investigated the feasible causal association of GM with insomnia. Our two-way MR investigation uncovered that the family Ruminococcaceae and the genus Lachnospiraceae UCG004 are prominent risk factors for insomnia, whereas the genera Flavonifractor and Olsenella may act as efficacious protective factors against this disorder. These outcomes warrant further scrutiny as they may emerge as promising gut biomarkers and novel therapeutic targets for insomnia.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author.

Ethics statement

Ethical review and approval was not required for the study on human participants in accordance with the local legislation and institutional requirements. Written informed consent from the patients/participants or patients/participants' legal guardian/next of kin was not required to participate in this study in accordance with the national legislation and the institutional requirements.

Author contributions

JY: Conceptualization, Data curation, Formal analysis, Funding acquisition, Writing – original draft, Writing – review & editing. TS: Conceptualization, Data curation, Formal analysis, Investigation, Writing – original draft. YZ: Conceptualization, Data curation, Formal analysis, Writing – original draft. MJ: Conceptualization, Data curation, Formal analysis, Project administration, Writing – original draft. XY: Methodology, Project administration, Writing – review & editing. YL: Funding acquisition, Writing – review & editing. LC: Supervision, Validation, Visualization, Writing – review & editing.

Funding

The author(s) declare financial support was received for the research, authorship, and/or publication of this article. This work was

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supported by a grant from the National Natural Science Foundation of China (no. 82071351).

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fneur.2023.1277996/ full#supplementary-material

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