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# Mirror-terminated Mach-Zehnder interferometer based on SiNOI slot and strip waveguides for sensing applications using visible light

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In this work, a highly sensitive sensor based on silicon nitride (SiN) waveguide is proposed that can be used for gas sensing using visible light. The whole sensor waveguide uses a silicon dioxide (SiO<sub>2</sub>) cladding while the sensing arm uses a fluidic cladding such as water. The proposed device is based on loop-mirror terminated (LMT) Mach-Zehnder interferometer (MZI), where the reference arm is exposed to the reference SiO<sub>2</sub> medium, while the sensing arm is exposed to the sensing medium leading to a change in the refractive index of the waveguide cladding. The sensor performance is overall optimized by optimizing the design performance of all the components of the structure one by one. The waveguide sensitivity of both strip and slot types is analyzed for gaseous medium in order to compare their sensitivities and select the dimensions of the waveguide that results in the highest device sensitivity. Transverse-electric (TE) polarization is considered in this study for strip waveguide, where a higher sensitivity is founded with respect to the transverse-magnetic (TM) polarization. The field confinement in the slot waveguide in the sensing region is investigated and verified with a mode solver, where the optimum dimensions are obtained using finite difference eigenmode and finite difference time domain solvers. With a sensing arm length of 150  $\mu$ m only, the proposed sensor achieves a device sensitivity of about 1,320 nm/RIU and a figure-of-merit (FOM) as high as 641 RIU<sup>-1</sup> at the wavelength of 650 nm, which is the highest reported FOM up to the author's knowledge. Higher values of the FOM are possible by employing a longer sensing arm.

KEYWORDS

refractive index, MMI, MZI, photonics sensor, sensitivity

## **1** Introduction

Due to its crucial function in a wide range of fields, fluidic and specially gas sensing is quickly gaining a considerable importance. Several photonic gas sensors have been developed over the past years covering various sensing applications such as the detection of hazardous and toxic gases, biomedical diagnostics, food and industrial inspection, and environmental monitoring. Additionally, the small size of these devices greatly aids in their integration onto a single chip and their mass production, which substantially lower the price of these photonic devices (Hodgkinson and Tatam, 2012; Gervais et al., 2018). For instance,

the capability of the refractive index (RI) sensing technology to directly identify unlabeled biomolecules with high sensitivity to minute changes in the cover medium has recently attracted so much interest. In fact, a myriad of configurations for the RI sensing has been reported such as interferometers (Prieto et al., 2003; Yang et al., 2011), resonators (Barrios et al., 2007; Grist et al., 2013) surface plasmons (Liedberg et al., 1983; Tetz et al., 2006) and photonic crystals (Robinson et al., 2008; Lai et al., 2011). In the photonic integrated circuits (PICs) platform, the sensing method depends on the measurement of a change in the effective index since the optical field is extended beyond the optical waveguide surface. The percentage of this evanescent field experienced by the analyzed medium close to the waveguide surface strongly depends on the waveguide shape, refractive index contrast and core thickness (Parriaux and Veldhuis, 1998; Densmore et al., 2006). When the medium covering the waveguide surface is altered, the effective index changes. Between two high RI regions, a slot waveguide has the advantage of strongly confining and directing the light in nanoscale voids (low RI) (Almeida et al., 2004). In contrast to strip waveguides, the light would interact with the analyte more frequently and increasing the evanescent field's strength. As a result, it makes it possible to create sensors with smaller footprints. The well-established silicon nitride on insulator (SiNOI) has made a rapid and substantial progress in the past years and attracted significant attention as an integrated optics platform owing to its high refractive index contrast, and low propagation loss. Strip, rib and slot waveguide can be used to implement compatible photonic devices with the complementary metal oxide semiconductor (CMOS) technology (Dumon et al., 2004; Vlasov and McNab, 2004). The ability of silicon photonics to be easily co-integrated with CMOS chips makes them suitable for the high level of integration and lower cost.

Numerous advantages are available for the SiNOI waveguide platform, where silicon dioxide serves as the insulator (Porcel et al., 2019; Sharma et al., 2020). Like the silicon on insulator (SOI) platform, CMOS compatibility with SiNOI enables mass production with the existing technological capacity (Baets, 2016; Sharma et al., 2020). The SiNOI waveguide's smaller refractive index contrast compared to the SOI minimizes the scattering loss caused by surface roughness, leading to significantly lower propagation losses (Baets, 2016; Porcel et al., 2019), while still maintaining device compactness. This lower index contrast also makes SiNOI devices more tolerant to fabrication errors (Wilmart et al., 2019). In addition, SiN-based devices are less susceptible to temperature changes because their thermo-optical coefficient is an order of magnitude smaller than that of Si. Moreover, compared to the SOI platform, the SiNOI platform has a wider transparency range, from visible to mid-infrared (Porcel et al., 2019; Sharma et al., 2020). Thanks to this range, photonic applications outside of telecom bands, such as integrated optical phased arrays for LIDAR applications, can be realized (Poulton et al., 2017). Finally, the SiNOI platform supports supercontinuum generation as well as frequency combs (Poulton et al., 2017), which are essential for high data-rate telecommunications, high-resolution spectroscopy, and optical metrology (Xuan et al., 2016).

In this work, we propose a novel design of a single-chip highly compact gas sensor based on a Mach-Zehnder interferometer (MZI) utilizing a slot waveguide in the MZI sensing arm while a strip waveguide is utilized in the other parts of the sensor for its low propagation loss, as shown in Figure 1. The MZI is cascaded with a Sagnac interferometer that serves as a loop mirror to turn all the light beams back to the same path. The sensor is based on the SiNOI platform and optimized for the wavelength of 650 nm. The rest of this paper is organized as follows. In Section 2, the principle of operation of the sensor and its sensitivity of optimization are presented. The choice of the different dimensions and parameters is also explained in Section 2. In Section 3, the simulation results for the sensor are presented and discussed. The simulation setup information is given in the material and methods in Section 4. Finally, the work is concluded in Section 5.

# 2 Principle of operation and sensitivity optimization

The sensor structure is illustrated in the isometric view in Figure 1A and the top view in Figure 1B. The incident light beam is split symmetrically between the two MZI arms with a 3-dB directional coupler (DC). The sensor employs a slot waveguide in sensing arm because it has a greater sensitivity than the conventional strip waveguide. However, a strip waveguide is used in the rest of the sensor including the reference arm because the strip waveguide has a smaller attenuation (or power loss). The cross sections of the strip and slot waveguides are shown in Figure 1A insets with widths  $W_{strip}$  and W<sub>slot</sub>, respectively. A multi-mode interference (MMI) optical modeconverter is used as a matching element at the boundaries between the strip and the slot waveguide. Tapering is used to match the mode size as well. The converters are shown in Figure 1A at the beginning and at the end of the sensing arm of the MZI. The MZI is terminated by a 3-dB DC followed by a Sagnac loop mirror, where all incident light beams are reflected. The use of the loop-mirror terminated (LMT) MZI over its conventional equivalent introduces a phase shift between the two arms twice (Parriaux and Veldhuis, 1998). As a result, the performance of the proposed MZI sensor surpasses that of conventional MZI. As will be explained hereinafter, a water medium is used as a cladding to maximize the sensitivity. In this case, the gas detection mechanism is based on that fact that the orientation of water molecules is altered by exposure to a gas, which changes the optical characteristics and refractive index of water (Ho et al., 2013). The suggested setup to sense the gas with water cladding is shown Figure 1C. To make the measurements, an optical graded adhesive can be used to butt-join the waveguide input to an optical fiber. The sensor is put inside a gas chamber. A gas-filled syringe can be used to inject gas into the chamber. Then the chamber is used to evaporate the gas.

The employed waveguide uses the typical SiNOI platform that has a silicon Nitride (SiN) core surrounded by silicon dioxide (SiO<sub>2</sub>) bottom cladding and a low index top cladding (water). The platform uses a SiN waveguide height, H, of 400 nm for both the strip and slot types while the slot waveguide was implemented using a slot gap, *G*, of 120 nm to ease fabrication requirements. The DCs have a directional coupler gap, *G*, as well and a coupler length,  $L_{DC}$  of 7.8 µm. The transmission in each of the output arms is equal to 50% operating at wavelength 650 nm as shown Figure 2A. A colour plot of the field intensity is shown in Figure 2B.

In order to find  $W_{slot}$  that is optimum for the waveguide sensitivity,  $S_{WG}$ , of the slot waveguide, the sensitivity was



(A) Three dimensional view of the proposed sensor and cross setional views of the reference and sensing arm with their strip and slot waveguides. (B) Top view of the LT-MZI gas sensor showing the paths for the light, while marking the mode-converters with dotted-line box. (C) Suggested setup for gas sensing using the proposed sensor.



analyzed using finite difference Eigenmode solver while Eq. 1 was used to numerically calculate the waveguide sensitivity (Parriaux and Veldhuis, 1998):

$$\mathbf{S}_{wg} = \frac{\mathbf{d}\mathbf{n}_{eff}}{\mathbf{d}\mathbf{n}_{med}} \tag{1}$$

where  $S_{WG}$  is the rate of change in the effective index, *neff*, of the waveguide due to a change in the index of the cover medium. To obtain the maximum waveguide sensitivity, the optical modes and

effective indices were investigated. The widths,  $W_{Slot}$  and  $W_{Strip}$ , were swept from 150 nm to 350 nm for the case when air cladding is used and from 100 nm to 240 nm for the case when water cladding is used with an increment of 10 nm while *neff* was calculated at each width. The results are depicted in Figure 3A for air and Figure 3B for water. Due to the higher field intensity in the gap area, it is noticed that the slot waveguide consistently achieves higher S<sub>WG</sub> than the strip waveguide for the same width. It is also observed that the water medium consistently achieves higher S<sub>WG</sub> than the air medium.



Starting with a waveguide width equals to 130 nm and increasing the waveguide width, the waveguide becomes capable of supporting a more confined optical mode. The waveguide sensitivity reaches its peak value when the surrounding evanescent field is maximized. It was found based on the analysis that the maximum evanescent field occurs when the SiN waveguide has a W<sub>Strip</sub> of 170 nm and W<sub>Slot</sub> equals to 130 nm in case of water medium as shown Figure 3B. However for air medium, W<sub>Strip</sub> and W<sub>Slot</sub> are equal to 230 and 200 nm, respectively as shown Figure 3A. After reaching this point of the maximum waveguide sensitivities, the field becomes more confined inside the core of waveguide, and hence the evanescent field outside of the waveguides decreases. According to these results, it was decided to use water medium instead of air medium for the sensor. Also, the fact that water's refractive index is nearly identical to that of SiO<sub>2</sub> causes an increase in the evanescent field inside the slot region and a consequent increase in the sensitivity. The width of strip waveguide was chosen to be 200 nm to implement the other parts of the sensor because it enables a confined single mode and reduce the propagation loss. The minimum bending raduis was chosen to be 5 µm and the corresponding transmission of a semicircle was found to be larger than 98.8% at 650 nm. A width of 130 nm was selected to be the width of the slot waveguide to increase the sensitivity of the sensing arm. The low waveguide sensitivity for the strip waveguide (~0.4) when compared with a slot waveguide (~0.64) is useful for the reduction of the fabrication steps and costs since there is no need for depositing a cladding layer of SiO<sub>2</sub> and etching a specific window around the sensing arm. The confinement factor of the TM mode is smaller when thickness of the waveguide is larger than the width. The TM mode exhibits field discontinuity at the core edges in the y direction; as a result, reducing the width over thickness ratio will increase the amount of the evanescent field and, consequently, the sensitivity. Thus, for inexpensive and manufacturable big-feature size sensors, TM mode is better (El Shamy et al., 2022).

The coupling losses between the modes are challenging due to the optical mode mismatch between the slot and strip waveguides as shown in Figure 4. A mode-converter is used to switch between the various optical modes to solve this issue. There have been numerous attempts to develop a mode converter that couples the strip and slot modes effectively with low losses (Feng et al., 2007; Deng et al., 2016). The work in ref (Deng et al., 2016). was chosen to be adapted in our LMT-MZI sensor because it has the capability to be easily fabricated using the SiNOI platform. It could demonstrate mode conversion efficiency that is higher than 85%.

Three-dimensional finite difference time-domain (3D-FDTD) simulations were used to optimize the optical-mode converter dimensions shown in Figure 5A to reduce the insertion loss (IL) and boost the overall performance. The mode-converter is composed of two sections, an MMI section adjoined with a taper section. As illustrated in the field profile of the converter shown in Figure 5B, the MMI is used to split the input light into two identical beams, in order to change the mode of a strip waveguide into a slot waveguide and vice versa. The taper section gradually pushes the field from the upper high-index region to the low-index region. The simulation results show that the IL is slightly dependent on the taper length,  $L_{Taper}$ . As demonstrated in Figure 5C, it was found that for an IL lower than -0.94 dB, the length of the taper section should be longer than 5  $\mu$ m. Based on this conclusion, the final dimensions for realization the optimized optical modeconverter were designed to be  $W_{MMI}$  = 915 nm,  $L_{MMI}$  = 960 nm, and  $L_{Taper} = 10 \,\mu\text{m}$  to reach to a good low IL that is close to -0.93 dB.

Finally, the phase difference between the two MZI arms,  $\Delta \phi$ , depends on the changes in the medium refractive index and the percentage of evanescent field (Han et al., 2014):

$$\Delta \phi = \frac{2\pi}{\lambda} \Delta N_{\text{med}} L_{\text{sensing}} . \gamma$$
 (2)

where  $\Delta N_{med}$  is the changes in the medium's refractive index, the length of the sensing arm L<sub>sensing</sub>, and  $\gamma$  is the relative



The fundamental quasi-TE mode profile for a cross-section of (A) the strip waveguide when  $W_{strip} = 300$  nm and (B) the slot waveguide when  $W_{slot} = 130$  nm.



portion of the evanescent field outside the waveguide in the 0 to 1 range.

different lengths of the sensing arm. It can be shown that the power in the loop mirror paths is given by:

## 3 Results and discussion

The refractive index of the gas is typically very close to 1 with  $\Delta n = n_{gas\_water}-n_{water}$  that is less than 10–3. However, this refractive index can vary depending on the pressure reaching a higher value (Sang and Jeon, 2016). Therefore, the cladding medium index is varied over a wide range from 1.33101 to 1.33901. 3D-FDTD simulations were performed to examine the overall performance of the sensor using different values of the medium index and using

$$|\mathbf{A}_3|^2 = |\mathbf{A}_0|^2 \sin^2\left(\frac{\Delta\phi}{2}\right) \tag{3a}$$

$$|\mathbf{B}_3|^2 = |\mathbf{A}_0|^2 \cos^2\left(\frac{\Delta\phi}{2}\right) \tag{3b}$$

As plotted in Figure 6, it is noticed that the spectra are blue-shifted with increasing the medium index. Figure 7 depicts the shift in the position of the transmittance peaks as they move to the left of the spectrum, and accordingly the intensity of the peak of interest decreases till it reaches a minimum value. The interference pattern shifts, that results from a change in the refractive index (RI) of the







detected gas medium, is known as sensitivity. Thus, the overall device sensitivity, **S**, can be defined as the shift in wavelength due to the change of medium index (Sun et al., 2015):

$$S = \frac{\Delta \lambda}{\Delta N_{med}} = \frac{\Delta \lambda}{\Delta N_{neff}} \frac{\Delta N_{neff}}{\Delta N_{med}} = \frac{\Delta \lambda}{\Delta N_{neff}} S_{wg}$$
(4)

Consequently, the waveguide sensitivity directly impacts the overall sensor sensitivity. Therefore, to increase the sensitivity of the sensor, the waveguide sensitivity had to be maximized. Since the interference of the two MZI arms is involved, the sensor is strongly wavelength dependent. The shift of the wavelength-peaks,  $\Delta \lambda$ , as a result from changing the ambient medium RI can be written as:

$$\Delta \lambda = \frac{\Delta \phi}{2\pi} \text{FSR}$$
 (5)

where FSR is the free spectral range. The FSR and the full-width at half-maximum (FWHM) of the MZI sensor are two main parameters that determines the dynamic range and the resolution of the sensor, respectively. They are given by (Han et al., 2014; El Shamy et al., 2019):

$$\mathbf{FSR}_{\mathbf{MZI}} = \frac{\lambda^2}{\Delta \mathbf{n_{ef}} \mathbf{L}} \tag{6}$$

$$FWHM = \frac{FSR}{\pi}$$
(7)

where  $\Delta n_{eff} = n_{eff,sens} - n_{eff,ref}$ ,  $n_{eff,sens}$  and  $n_{eff,ref}$  are the mode effective indices of the sensing arm and the reference arm, respectively, L is the length of the interferometer arms and  $\lambda$  is the wavelength. Given this and from Eq. 4, one can conclude that the device sensitivity depends on two parameters: the shift in the phase and the FSR in the output spectrum. It should be noted that the phase is also dependent on the length of the sensing arm. This relation could be explained intuitively by noting that the sensitivity is influenced by the fraction of evanescent field outside of the SiN core of the waveguide. Therefore, increasing the sensing arm length leads to an increase in the amount of the interacting evanescent field with the sensed medium that would be the reason of enhancing the overall sensitivity. Nevertheless, to fully assess the performance of any gas sensor, one needs another performance metric given that considering the value of sensitivity to be the only indicator for the quality of the sensor may be misleading. Since both the phase difference and FSR are functions of the length of the sensing arm, a figure of merit (FOM) for the design and optimization of the sensor is the necessary performance criterion to evaluate the performance of the proposed sensor. The FOM accounts for the effect of changes in both device sensitivity and FWHM or FSR of the sensor at the same time. The FOM can be calculated according to the following equation:

$$FOM = \frac{S}{FWHM}$$
(8)



(A) The FOM versus the length of the sensing arm of the MZI. (B) The FOM versus the variations in sensing wavelength from water ( $n_1 = 1.33101$ ) to different medium index ( $n_2$ ) using  $L_{Sensing} = 50 \ \mu$ m.

TABLE 1 Comparison of S and FOM at different wavelengths with previously reported work.

Paper	$\lambda$ (nm)	S (nm/RIU)	FOM ( $RIU^{-1}$ )
this work	650	1,320	641
El Shamy et al. (2019)	1,550	7,023	370
El Shamy (2021)	1,550	1,070	280
El Shamy et al. (2020)	4,600	1,000	363

The FOM is carefully examined for the proposed sensor as shown in Figure 8. The analysis inferred from Figure 8 explains that the FOM increases when the difference,  $\Delta n_{med} = n_2 - n_1$ , between the index of water  $(n_1 = 1.33101)$ , and the medium index  $(n_2)$  is small. In other words, the FOM is higher when the medium index changes by a small value. Therefore, the smaller index changes of the sensed gas medium, the better the sensor overall performance, and hence, the better gas detection. This result supports the fact that the index of gases changes by very small values. Furthermore, it supports the conclusion that the sensor overall performance is strongly dependent on the length of the MZI sensing arm. From these conclusions, the proposed sensor could reach a FOM value of 641 RIU<sup>-1</sup> using only a sensing arm length of 150 µm that corresponds to a device sensitivity equals to 1,320 nm/RIU. This sensitivity and FOM values are compared with the state of the art in Table 1. The FOM of this work is the highest. The design can be modified to operate at a different wavelength. In this case, the waveguide analysis should be repeated and the parameters should be tuned to achieve the optimum waveguide sensitivity at design wavelength.

## 4 Material and methods

The FDTD solves the maxwell's equation in a non-magnetic material, in three dimensions the FDTD has six electromagnetic components Ex, Ey, Ez and Hx, Hy, and Hz, so the maxwell's equations is spitted into two independent sets of equations the

transverse electric field (TE) and the transverse magnetic field (TM). The TE mode has three components Ex, Ey, Hz and TM also has three components Hx, Hy, Ez. On a discrete spatial and temporal grid each field component in FDTD is solved at a slightly different location within the Yee grid. The rectangular mesh style is used to maintain accuracy. A PML boundary condition is obtained in the FDTD method with the standard method to provide good overall absorption with a relativity small number of layers (Railton and Schneider, 1999).

Three-dimensional FDTD simulation was used for optimizing the DC to get 3-dB output. It was also used for simulating the bending loss of the Sagnac loop and optimizing the converter for maximum efficiency. A mode source with a wavelength range of 600–700 nm was employed. The reflectance and transmittance were extracted using frequency-domain monitors. The boundary conditions were set to perfectly matched layer (PML). For the case of the DC, the simulation was calculated using a window size of 8.5  $\mu$ m width, a 40  $\mu$ m length and 2  $\mu$ m thickness. For the mode convertor, the simulation window used was 3  $\mu$ m in width, 50  $\mu$ m in length and 2  $\mu$ m in thickness. Finally for the Sagnac loop, the simulation window used was 18  $\mu$ m in width, 32  $\mu$ m in length and 2  $\mu$ m in thickness. The waveguide sensitivity, the effective indes and the group index results were extracted from FDE simulations at a wavelength of 650 nm with PML at the boundaries.

## 5 Conclusion

A novel design is presented for a highly compact SiNOI gas sensor based on MZI interferometer. The refractometric sensor is designed to achieve the maximum waveguide sensitivity, consequently the maximum overall device sensitivity. High values of the sensitivity and FOM have been achieved using smaller lengths of the MZI arms when compared with other similar sensors, which leads to a more compact device. The MZI sensor has been thoroughly analysed and it is proved to be an excellent candidate for a new efficient, ultra-compact and highly miniaturized gas sensor. An overall sensitivity of 1,320 nm/RIU and FOM equals to 641  $RIU^{-1}$  have been realized using only a sensing arm length of 150 µm at wavelength 650 nm.

## Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## Author contributions

ALS: proposed the sensor architecture, conducted the design and simulation, contributed to writing the manuscript and generating the figures. YS: initiated the activity, supervised the work and contributed to writing the manuscript and generating the figure. AHS: supervised the work and provided corrections in the manuscript. ME-A: supervised the work and contributed to writing the manuscript. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

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