



# Comprehensive Analysis of mTORC1 Signaling Pathway–Related Genes in the Prognosis of HNSCC and the Response to Chemotherapy and Immunotherapy

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**Objective:** The mammalian target of the rapamycin complex 1 (mTORC1) signaling pathway has emerged as a crucial player in the oncogenesis and development of head and neck squamous cell carcinoma (HNSCC), however, to date, no relevant gene signature has been identified. Therefore, we aimed to construct a novel gene signature based on the mTORC1 pathway for predicting the outcomes of patients with HNSCC and their response to treatment.

**Methods:** The gene expression and clinical data were retrieved from The Cancer Genome Atlas (TCGA) and Gene Expression Omnibus (GEO) databases. The key prognostic genes associated with the mTORC1 pathway were screened by univariate Cox regression analyses. A prognostic signature was then established based on significant factors identified in the multivariate Cox regression analysis. The performance of the multigene signature was evaluated by the Kaplan–Meier (K–M) survival analysis and receiver operating characteristic (ROC) analysis. Based on the median risk score, patients were categorized into high- and low-risk groups. Subsequently, a hybrid prognostic nomogram was constructed and estimated by a calibration plot and decision curve analysis. Furthermore, immune cell infiltration and therapeutic responses were compared between the two risk groups. Finally, we measured the expression levels of seven genes by quantitative real-time polymerase chain reaction (qRT-PCR) and immunohistochemistry (IHC).

**Results:** The mTORC1 pathway–based signature was constructed using the seven identified genes (SEC11A, CYB5B, HPRT1, SLC2A3, SC5D, CORO1A, and PIK3R3). Patients in the high-risk group exhibited a lower overall survival (OS) rate than those in the low-risk group in both datasets. Through the univariate and multivariate Cox regression analyses, this gene signature was confirmed to be an independent prognostic risk factor for HNSCC. The constructed nomogram based on age, American Joint Committee on Cancer (AJCC) stage, and the risk score exhibited satisfactory performance in predicting

the OS. In addition, immune cell infiltration and chemotherapeutic and immunotherapeutic responses differed significantly between the two risk groups. The expression levels of SEC11A and CYB5B were higher in HNSCC tissues than in normal tissues.

**Conclusion:** Our study established and verified an mTORC1 signaling pathway-related gene signature that could be used as a novel prognostic factor for HNSCC.

**Keywords:** signature, HNSCC, mTORC1, prognosis, nomogram

## INTRODUCTION

Head and neck squamous cell carcinoma (HNSCC) is the sixth most common cancer worldwide, originating from epithelial cells in various areas, including, but not limited to, the nasopharynx, oropharynx, hypopharynx, oral cavity, and larynx (Jemal et al., 2011). HNSCC is an often-fatal malignancy that is responsible for 431,000 annual deaths, with more than 835,000 new cases diagnosed every year (Bray et al., 2018). Because no effective screening method presently exists for identifying cases in the early stages, more than 60% of patients with HNSCC have already progressed to an advanced stage (stage III or IV) at the time of diagnosis (Machiels et al., 2020). Treatment strategies for early-stage (stage I/II) HNSCC include surgery or radiotherapy alone, whereas advanced-stage diseases require a multidisciplinary treatment strategy that combines surgical resection, radiotherapy, and chemotherapy (Tijink et al., 2006). The 5-year overall survival (OS) rate of patients with HNSCC is approximately 60% because of recurrence and metastasis, although there have been great advances in the therapeutic strategies for treating HNSCC in recent years, including immunotherapy and targeted molecular therapy (Ferris, 2015; Polverini et al., 2018). Therefore, developing a prognostic model capable of predicting clinical end points is of great importance for guiding clinical decisions and improving the understanding of the pathophysiology of the disease.

Many studies have investigated the relationship between the expression of various biomarkers and HNSCC prognosis. For example, the overexpression of CD44, a transmembrane glycoprotein that acts as a cell-surface adhesion receptor, has been used as a prognostic marker in laryngeal and pharyngeal cancer and as a target of therapeutic strategies (Kokko et al., 2011). Aldehyde dehydrogenase 1 (ALDH1) belongs to a family of intracellular enzymes that are involved in cellular detoxification and mechanisms driving multidrug resistance. High levels of ALDH1 in patient samples were shown to be associated with drug resistance and poor prognosis in HNSCC (Yu and Cirillo, 2020). However, HNSCC cells are characterized by a high degree of genomic instability, and polygenic changes may be required to drive cancer progression and tumor metastasis (Califano et al., 1996). Therefore, compared with a single-gene biomarker, a multigene signature model would be expected to exhibit a better predictive value, and researchers have recently built some multigene signatures for predicting clinical outcomes and guiding the treatment of those with HNSCC. For instance, Liu et al. (2021) constructed a new prognostic signature based on six genes that was capable of predicting both the

responses to immunological treatment and prognosis of patients with HNSCC. In addition, other studies have shown that a constructed gene signature based on seven ferroptosis-related genes can be used to predict the prognosis of those with HNSCC (He et al., 2021).

The mammalian target of rapamycin (mTOR) is a serine/threonine kinase that binds to different proteins and assembles into two complexes: mTOR complex 1 (mTORC1) and mTOR complex 2 (mTORC2) (Mossmann et al., 2018). The mTOR pathway governs the processes that mediate cell metabolism, proliferation, survival, immune function, and apoptosis, and the pathway plays a vital role in the pathophysiology of diverse malignant tumors (Caro-Vegas et al., 2019). Many studies have been implemented to explore how the mTOR pathway modulates angiogenesis and tumor immunity (Bazzichetto et al., 2020). Many previous studies have shown that mTORC1 is tightly related to cancer progression. The activation of mTORC1 phosphorylates the eukaryotic initiation factor 4E-binding protein 1 (4E-BP1) and the p70 ribosomal S6 kinase 1 (S6K1), affecting mRNA transcription and protein translation (Meric-Bernstam and Gonzalez-Angulo, 2009). Furthermore, mTORC1 regulates autophagy through ULK-complex phosphorylation (Saxton and Sabatini, 2017) and plays an important role in regulating apoptosis (Shao et al., 2017). So, a signature based on the mTORC1 signaling pathway established to predict the prognosis of HNSCC patients would have important clinical applications.

In this study, we constructed prognostic models using univariate and multivariate Cox regression analyses based on data from The Cancer Genome Atlas (TCGA) cohort. The performance of the gene signature was validated using the Gene Expression Omnibus (GEO) dataset. We also developed a nomogram that can be applied in clinical practice. Most importantly, our signature is capable of distinguishing patients with HNSCC who are likely to respond to chemotherapy and immunotherapy.

## MATERIALS AND METHODS

### Data Source and Processing

The gene expression profiles of patients with HNSCC, somatic mutations, and clinical data related to age, sex, stage, and follow-up time were downloaded from TCGA database on 10 July 2021. The data from patients with HNSCC in TCGA database were used as a training set to build the predictive gene signature. For further external validation, we used data from the GEO: GSE41613 cohort as a test group to certify the performance of the signature. Detailed

**TABLE 1** | Clinical data of patients in the TCGA and the GEO validation cohort.

Variables	Subgroups	TCGA (N = 502)	Variables	Subgroups	GEO (N = 97)
Age	< 60	221	Age	< 60	50
	≥ 60	280		≥ 60	47
	NA	1		Gender	Female
Gender	Female	134	Male		66
	Male	368	Stage	I-II	41
Stage	I	19		III-IV	56
	II	95			
	III	102			
	IV	272			
	NA	14			
Grade	I	62			
	II	300			
	III	119			
	IV	2			
	NA	19			

clinical data for patients in TCGA and GEO cohorts are shown in **Table 1**. Related genes of the mTORC1 signaling pathway were collected from the Molecular Signatures Database (HALLMARK\_MTORC1\_SIGNALING). Gene Set Enrichment Analysis (GSEA) was used to assess whether mTORC1 signaling pathway-related genes were specifically enriched in the cancer tissues of patients with HNSCC.

## Construction and Verification of the mTORC1 Signaling Pathway-Related Gene Signature

First, the expression matrix of all the genes involved in the mTORC1 pathway was extracted from TCGA dataset. Subsequently, a univariate Cox regression analysis was performed to screen for potential genes that were significantly associated with the prognosis of patients with HNSCC ( $p < 0.01$ ). Subsequently, a multivariate Cox regression analysis was conducted to further screen for the key genes that could be used in the construction of the model. Based on the model gene coefficients and expressions calculated from the results of the multivariate regression analysis, an equation was constructed to calculate the risk score for each individual patient using the following formula:

$$\text{Risk score} = \sum_{i=1}^n \text{Coef } i * \text{Expr } i, \quad (1)$$

where Coef  $i$  is the coefficient of gene  $i$  and Expr  $i$  represents the expression value of gene  $i$ . Based on this signature, a risk score was calculated for each patient in TCGA cohort and from this score, each patient was categorized into a high-risk or low-risk group, with the threshold being the median risk score. To further explore the prognostic value of the signature, the Kaplan–Meier (K–M) survival analysis and the log-rank test were utilized to investigate differences in survival between the high- and low-risk patients using the “survival” package in R statistical analysis software. Using the “timeROC” package in R, time-dependent receiver operating characteristic (ROC) curves were generated to investigate the reliability of the gene signature for predicting the prognosis. To further evaluate the robustness of the results, the risk model was validated using data from the GEO cohort.

## Analysis of Differences and Mutations in Genes Related to Prognosis

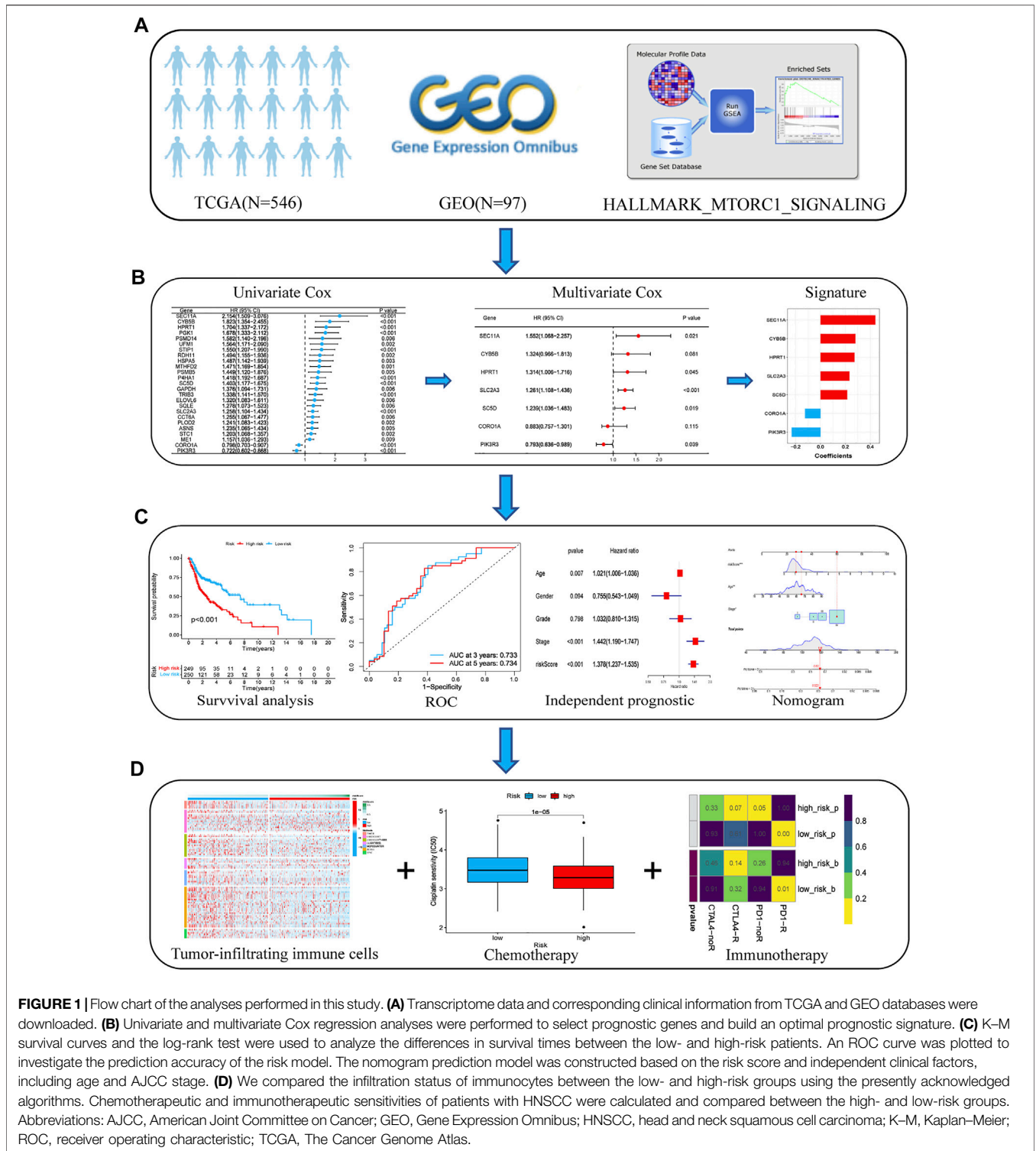
The differences in the expression of 25 genes related to prognosis in normal and tumor tissues were statistically analyzed using the Wilcoxon test. Copy number variation (CNV) is the most frequent type of genetic variation occurring in cancers and is associated with the occurrence and progression of tumors. Thus, we computed the frequencies of copy number gains or losses using the Genomic Identification of Significant Targets in Cancer (GISTIC) algorithm (Mermel et al., 2011). The distributions of CNVs in 25 chromosomes were plotted using the “RCircos” package in R software. A waterfall plot of the mutational landscape was generated using the “maftools” package.

## Independent Prognostic Analysis, Nomogram Construction, and Performance Assessment

Univariate and multivariate Cox regression analyses were performed on the data related to the signature and other clinical characteristics, including age, sex, and the American Joint Committee on Cancer (AJCC) stage in the training and test groups to clarify whether the risk score independently predicted clinical outcomes in patients with HNSCC. Parameters including the hazard ratio (HR), 95% confidence intervals (CIs), and  $p$  values were calculated using the “survival” package and visualized with the help of the “forestplot” package in R. The variables in the multivariate Cox regression analysis that independently predicted patient prognosis were used to construct the nomogram. Furthermore, the calibration plot was used to evaluate the calibration of the nomogram, and a decision curve analysis (DCA) was conducted to assess the clinical practicability of the nomogram by determining the net benefits at various threshold probabilities.

## Exploration of Tumor-Infiltrating Immune Cells

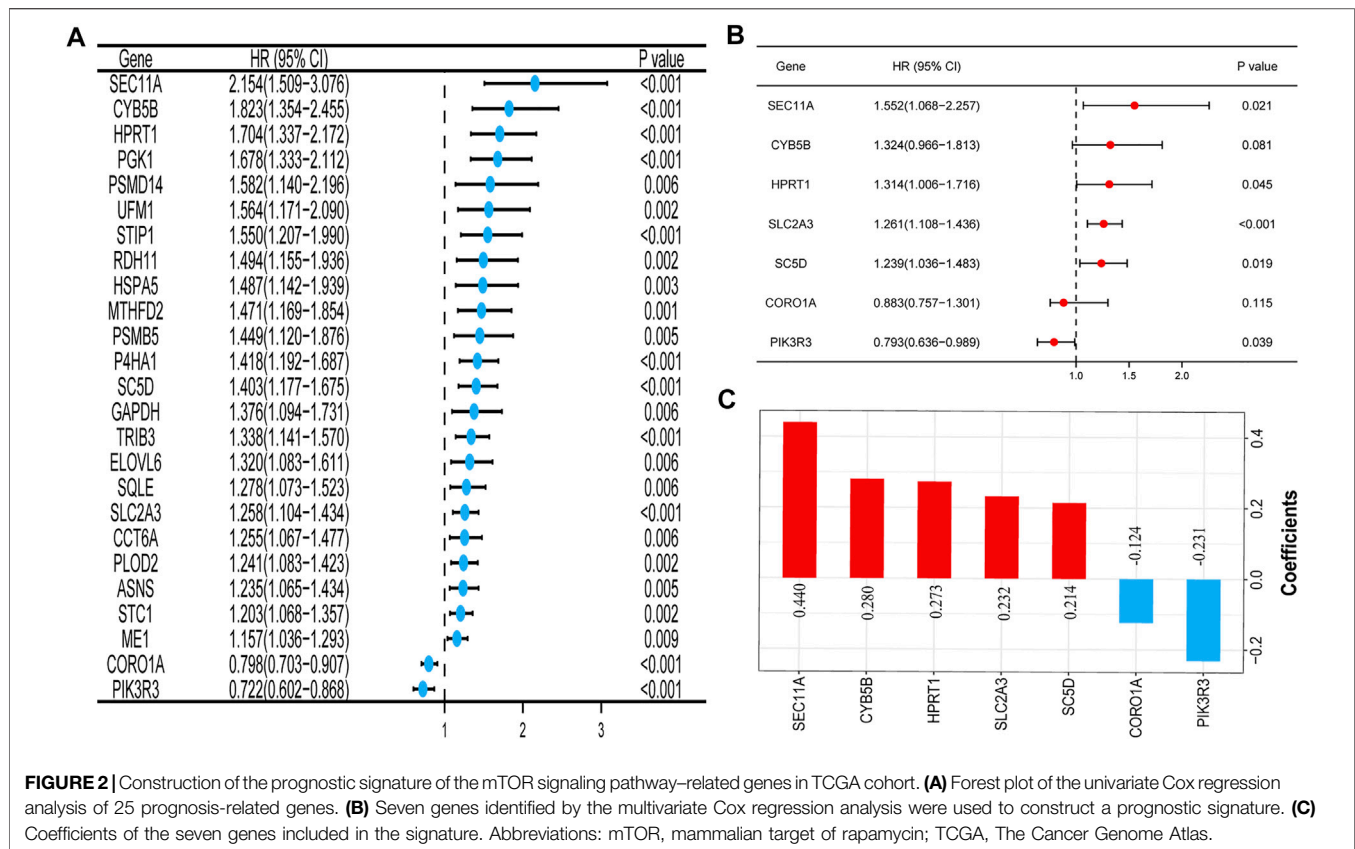
An immune infiltration analysis was subsequently conducted to evaluate the association between immune cell characteristics and the



**FIGURE 1 |** Flow chart of the analyses performed in this study. **(A)** Transcriptome data and corresponding clinical information from TCGA and GEO databases were downloaded. **(B)** Univariate and multivariate Cox regression analyses were performed to select prognostic genes and build an optimal prognostic signature. **(C)** K–M survival curves and the log-rank test were used to analyze the differences in survival times between the low- and high-risk patients. An ROC curve was plotted to investigate the prediction accuracy of the risk model. The nomogram prediction model was constructed based on the risk score and independent clinical factors, including age and AJCC stage. **(D)** We compared the infiltration status of immunocytes between the low- and high-risk groups using the presently acknowledged algorithms. Chemotherapeutic and immunotherapeutic sensitivities of patients with HNSCC were calculated and compared between the high- and low-risk groups. Abbreviations: AJCC, American Joint Committee on Cancer; GEO, Gene Expression Omnibus; HNSCC, head and neck squamous cell carcinoma; K–M, Kaplan–Meier; ROC, receiver operating characteristic; TCGA, The Cancer Genome Atlas.

risk score. We compared the infiltration status of immunocytes between the high- and low-risk groups by using presently acknowledged algorithms, including TIMER (Li et al., 2020), CIBERSORT (Chen et al., 2018), CIBERSORT-ABS (Tamminga et al., 2020), QUANTISEQ (Plattner et al., 2020), MCPOUNTER

(Dienstmann et al., 2019), XCELL (Aran, 2020), and EPIC (Racle et al., 2017). The differences in tumor-infiltrating immune cells between the risk groups identified by these methods were analyzed using the Wilcoxon test, and the results were visualized with a heatmap. The R “ggplot2” package was used to complete the process.



## Prediction of Treatment Responses to Chemotherapy and Immunotherapy

This study compared the sensitivity of patients in the low- and high-risk groups to five chemotherapeutic agents commonly used in clinical practice (cisplatin, docetaxel, lapatinib, methotrexate, and paclitaxel). Immune checkpoint inhibitor drugs have revolutionized the treatment of multiple cancers, and the TIDE algorithm (<http://tide.dfc.harvard.edu/>) and subclass mapping (SubMap; <https://cloud.genepattern.org/gp/>) were used to predict the treatment responses to anti-programmed cell death 1 (PD1) and anti-cytotoxic T lymphocyte-associated protein 4 (CTLA4) antibodies (Wang et al., 2020a).

## Collection of Tissue Specimens

An experienced pathologist acquired fresh HNSCC tissues and adjacent normal tissues from patients treated at the First Affiliated Hospital of Anhui Medical University, none of whom received anticancer treatments before surgery. The collected tissue samples were stored at  $-80^{\circ}\text{C}$  until use. All patients signed informed consent forms, and the study was approved by the Ethics Committee of the First Affiliated Hospital of Anhui Medical University.

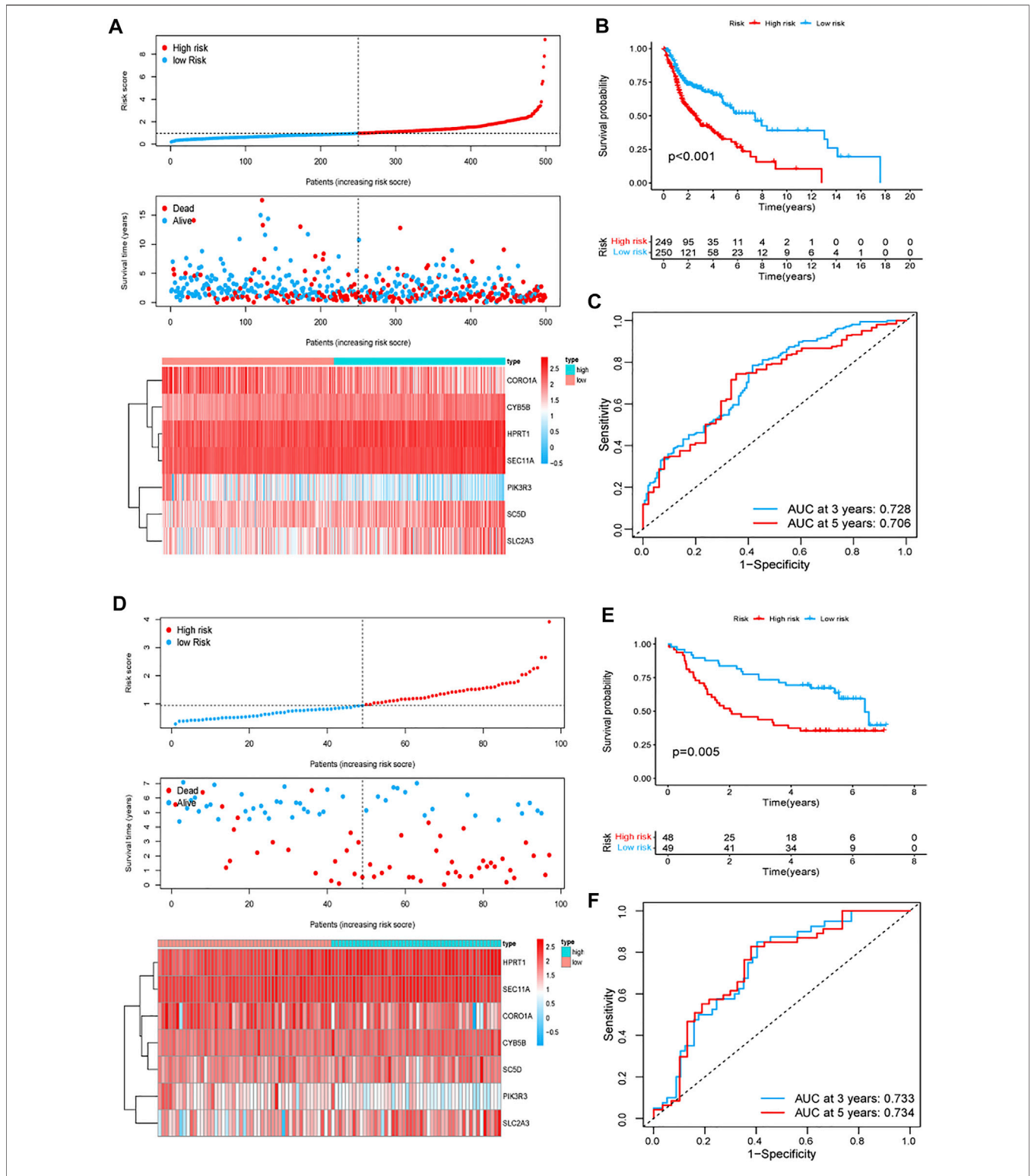
## qRT-PCR and IHC

The TRIzol method was used to extract RNA from the tissue samples. The isolated RNA was used as a template for reverse

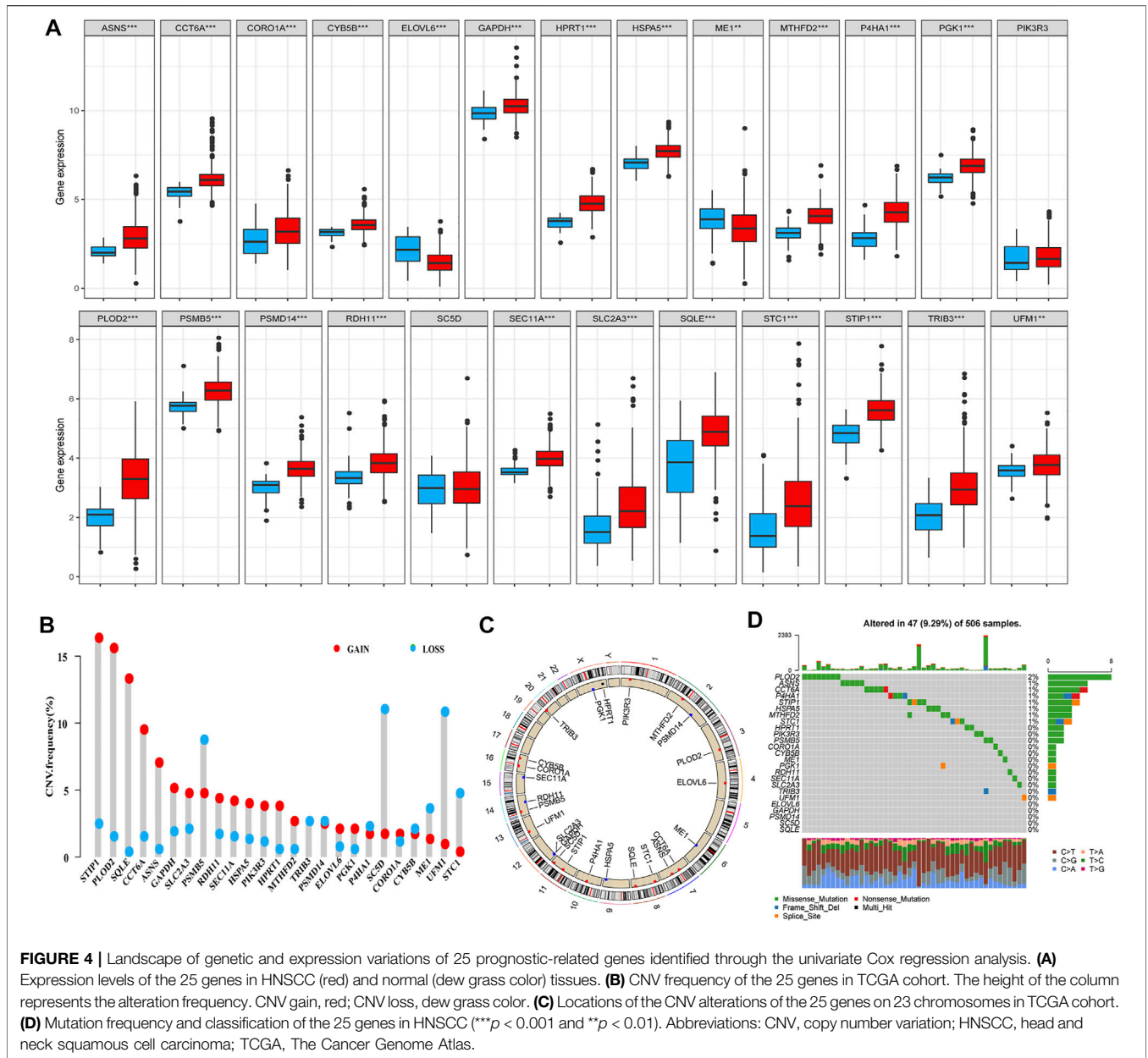
transcription reactions using a RevertAid First-Strand cDNA Synthesis Kit (Thermo Fisher Scientific). A qRT-PCR analysis was performed using SYBR<sup>®</sup> Premix Ex Taq<sup>™</sup> II (TaKaRa) and a Real-Time System (Roche Life Science). The primer sequences used are shown in **Supplementary Table S1**. Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) was used as an internal reference. IHC was performed on formalin-fixed, paraffin-embedded specimens to quantify the levels of proteins encoded by the signature genes in cancerous and paracancerous tissues. The HNSCC tissue sections were first deparaffinized in xylene and then dehydrated in ethanol. Antigen retrieval was performed using a citrate buffer (pH 6), followed by blocking with bovine serum albumin (BSA) for 1 h to prevent nonspecific binding of antibodies. The samples were then incubated in solutions containing specific primary antibodies (SEC11A, 1:150, Thermo Fisher Scientific; CYB5B, 1:100, Proteintech) followed by incubation in solutions containing secondary antibodies. Finally, the sections were visualized after staining with 3,3'-diaminobenzidine (DAB).

## Statistical Analysis

Log<sub>2</sub> conversion was performed on the expression values of the genes used for the differential expression analysis in TCGA dataset. The “survminer” and “survival” packages in R software were used to depict the survival curves of the model genes in TCGA cohort, and the log-rank test was used to test for statistical differences.  $P < 0.05$  was considered statistically



**FIGURE 3 |** Prognostic signature analysis of patients with HNSCC in TCGA and GEO GSE41613 cohorts. **(A,D)** Distributions of the risk score, survival status, and the expression heatmap of the seven identified genes in the high- and low-risk groups in TCGA **(A)** and GEO GSE41613 **(D)** cohorts. **(B,E)** K-M curves for the two subgroups in TCGA **(B)** and GEO GSE41613 **(E)** cohorts. **(C,F)** ROC curve analysis for predicting the survival according to the risk score in TCGA **(C)** and GEO GSE41613 **(F)** cohorts. Abbreviations: GEO GSE41613, Gene Expression Omnibus GSE41613 cohort; HNSCC, head and neck squamous cell carcinoma; TCGA, The Cancer Genome Atlas.



significant, unless stated otherwise in the text. All analyses and the generation of graphical representations were performed using R version 3.6.3 and the corresponding packages described earlier.

## RESULTS

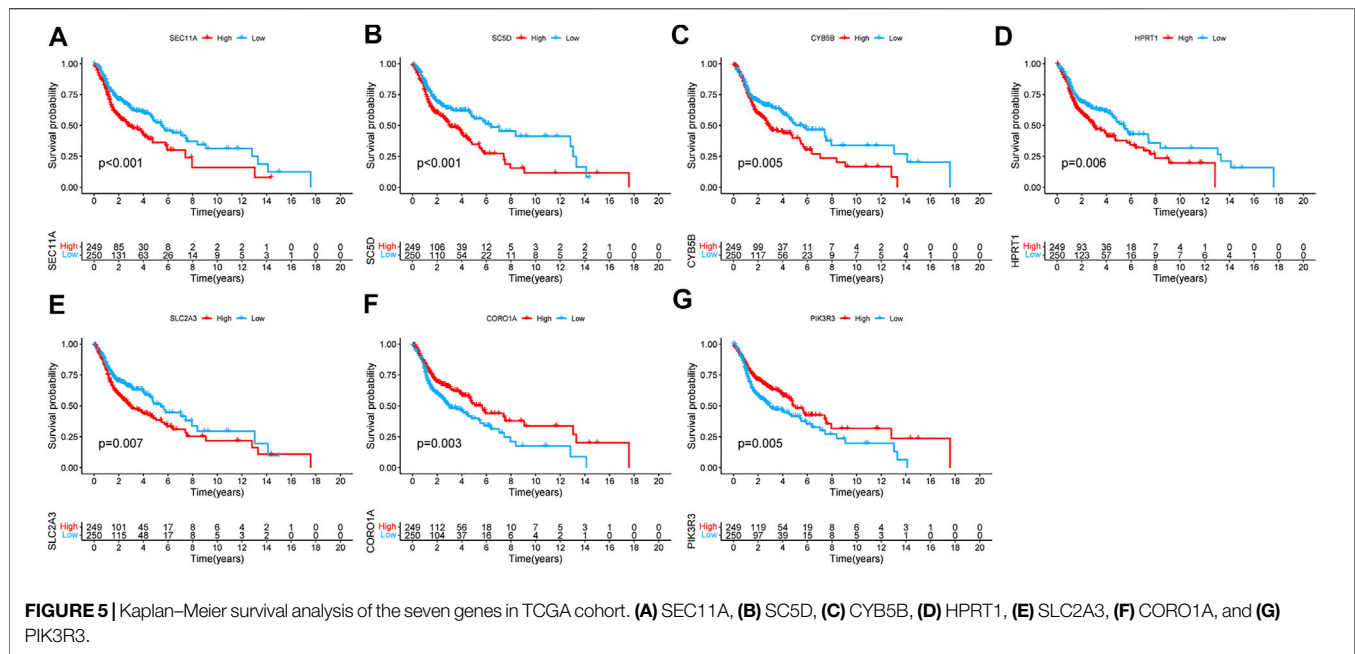
### The mTORC1 Gene Set Significantly Differs Between HNSCC and Normal Tissues

The main workflow of our study is shown in **Figure 1**. We retrieved the HALLMARK\_MTORC1\_SIGNALING gene set from the Molecular Signature Database. The results of the GSEA showed that the gene set was significantly enriched in HNSCC tumor samples (normalized enrichment score (NES) =

2.01, false discovery rate (FDR) = 0.009; **Supplementary Figure S1**). The expression matrix of the 187 genes common to this gene set was then extracted from TCGA and GSE41613 cohort data for the subsequent analyses.

### Identification of the Seven Gene Signature

The univariate Cox regression analysis in TCGA cohort showed that a total of 25 genes had a statistically significant correlation with the OS ( $p < 0.01$ , **Figure 2A**). These 25 genes were then included in the subsequent multivariate regression analysis, which resulted in the identification of seven genes that would be used to construct the model (**Figure 2B**). A risk score formula based on the regression coefficients and expression levels of the seven genes was used to determine the risk score for each patient (**Figure 2C**). The formula



was as follows: risk score =  $(0.440) \times$  expression level of SEC11A +  $(0.280) \times$  expression level of CYB5B +  $(0.273) \times$  expression level of HPRT1 +  $(0.232) \times$  expression level of SLC2A3 +  $(0.214) \times$  expression level of SC5D +  $(-0.124) \times$  expression level of CORO1A +  $(-0.231) \times$  expression level of PIK3R3. According to this formula, the total risk score of all the patients was calculated, and then based on the median value, 499 patients were divided into two subgroups. For the training set, the distribution of the risk scores, each patient's survival status, and the expression patterns of these seven risk genes in the two risk groups are presented in **Figure 3A**. The K–M survival analysis revealed that the OS was worse in the high-risk group than in the low-risk group ( $p < 0.001$ , **Figure 3B**), indicating that the risk score played an important role in prognostic prediction for patients with HNSCC. In the training group, the area under the curve (AUC) at 3 years was 0.728, and the 5-year AUC was 0.706, which indicated a powerful prediction accuracy (**Figure 3C**).

### Validation of the Gene Signature Based on Data From the GSE41613 Cohort

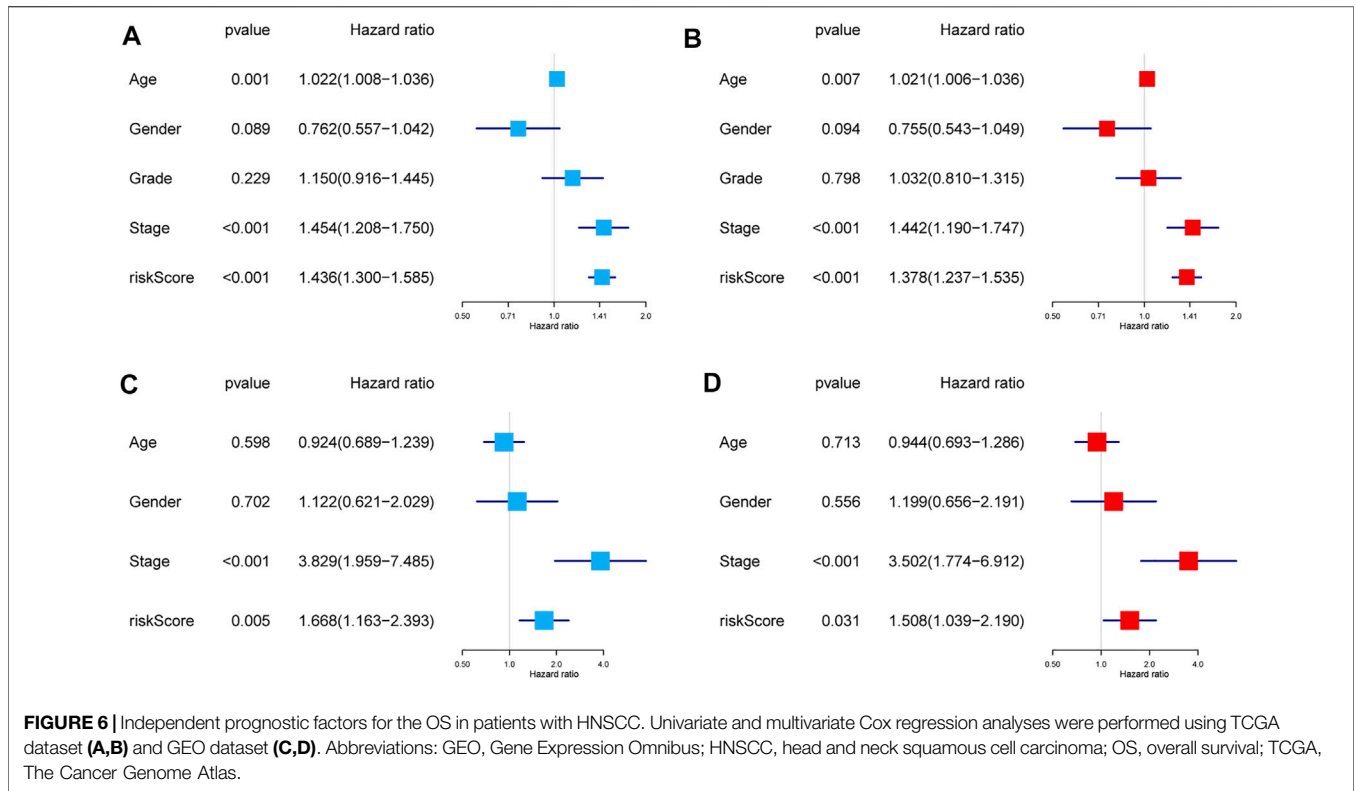
To evaluate the reliability and robustness of the gene signature, we conducted validation tests using data from the GSE41613 cohort (test dataset). The risk score for the population in the validation cohort was calculated using the formula constructed using TCGA dataset. The patients with HNSCC in the GSE41613 cohort were categorized into different high- and low-risk groups based on the median value. Ultimately, 48 patients were allocated to the high-risk group and 49 were assigned to the low-risk group. **Figure 3D** shows the distribution of the risk scores, each patient's survival status, and the expression patterns of the seven risk genes in the 97 patients. The K–M survival plots revealed that the high-risk group exhibited a poorer survival rate ( $p = 0.005$ ,

**Figure 3E**). The AUC at 3 years was 0.733, and the 5-year AUC was 0.734 (**Figure 3F**). These results demonstrate the robustness and stability of our gene signature.

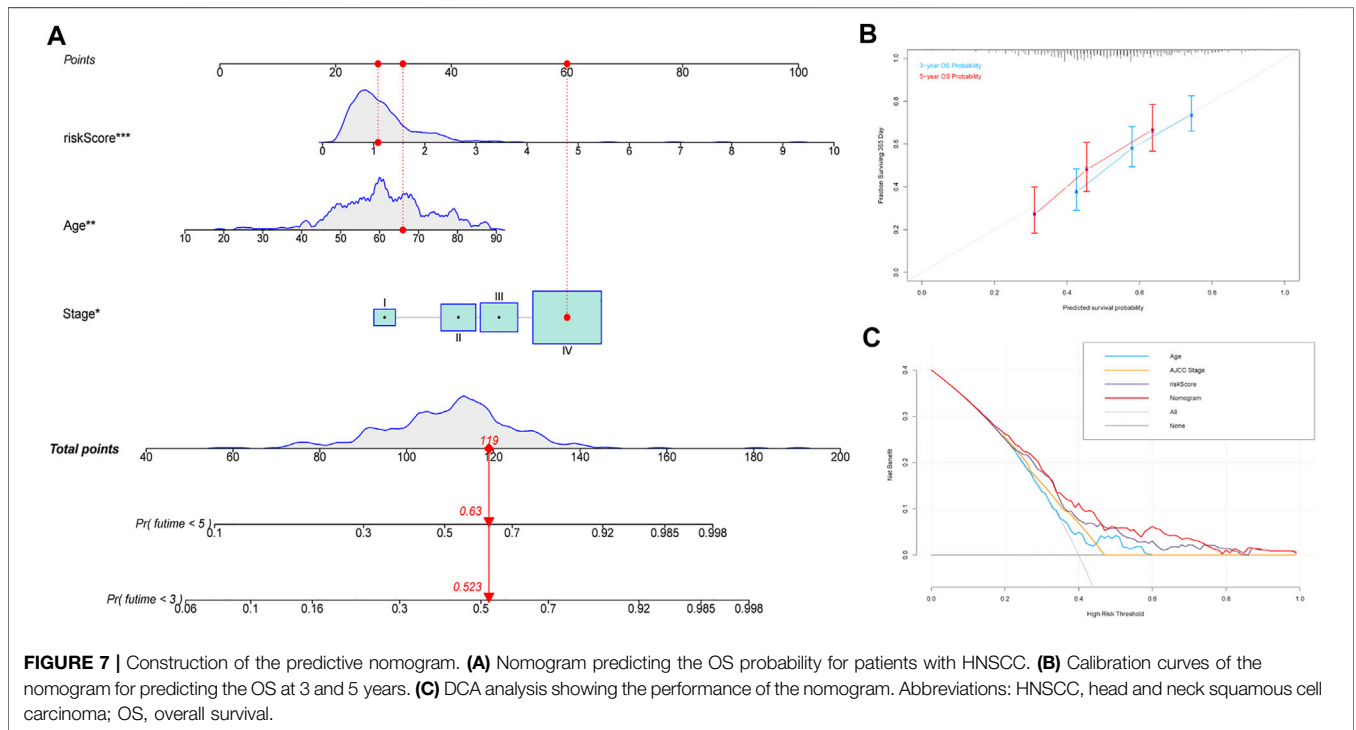
### Landscape of Genetic Variance of the 25 Prognosis-Related Genes in HNSCC

We first investigated the expression levels of the identified genes in HNSCC and normal tissues among patients with HNSCC in TCGA database. Overall, 25 genes were either up- or downregulated in those with HNSCC. More specifically, in patients with HNSCC, the expression levels of CCT6A, CORO1A, CYB5B, GAPDH, HPRT1, HSPA5, MTHFD2, P4HA1, PGK1, PLOD2, PSMB5, PSMD14, RDH11, SEC11A, SLC2A3, SQLE, STC1, STIP1, TRIB3, and UFM1 were higher in tumors than in normal tissues, whereas ELOVL6 and ME1 were more highly expressed in normal tissues than in tumors (**Figure 4A**,  $p < 0.01$ ). We then analyzed the incidence of CNV and somatic mutations of these genes in patients with HNSCC, which revealed frequent CNV alterations in these genes, with a higher proportion of copy number gains than losses. More than half of the 25 prognosis-related genes exhibited significant copy number gains, along with widespread CNV deletion frequencies of PSMB5, SC5D, UFM1, and STC1 (**Figure 4B**). **Figure 4C** shows the locations of the CNV alterations of these genes on chromosomes. Gene mutations were found in 47 (9.29%) of the 506 samples. Indeed, we found that PLOD2 was the most frequently mutated gene in patients with HNSCC, followed by ASNS, CCT6A, P4HA1, STIP1, HSPA5, MTHFD2, and STC1; the other 17 genes showed no mutations or mutations in less than 1% of samples. The missense mutation ranked as the top variant classification, and C > T was the most common single-nucleotide variant (SNV) class (**Figure 4D**).

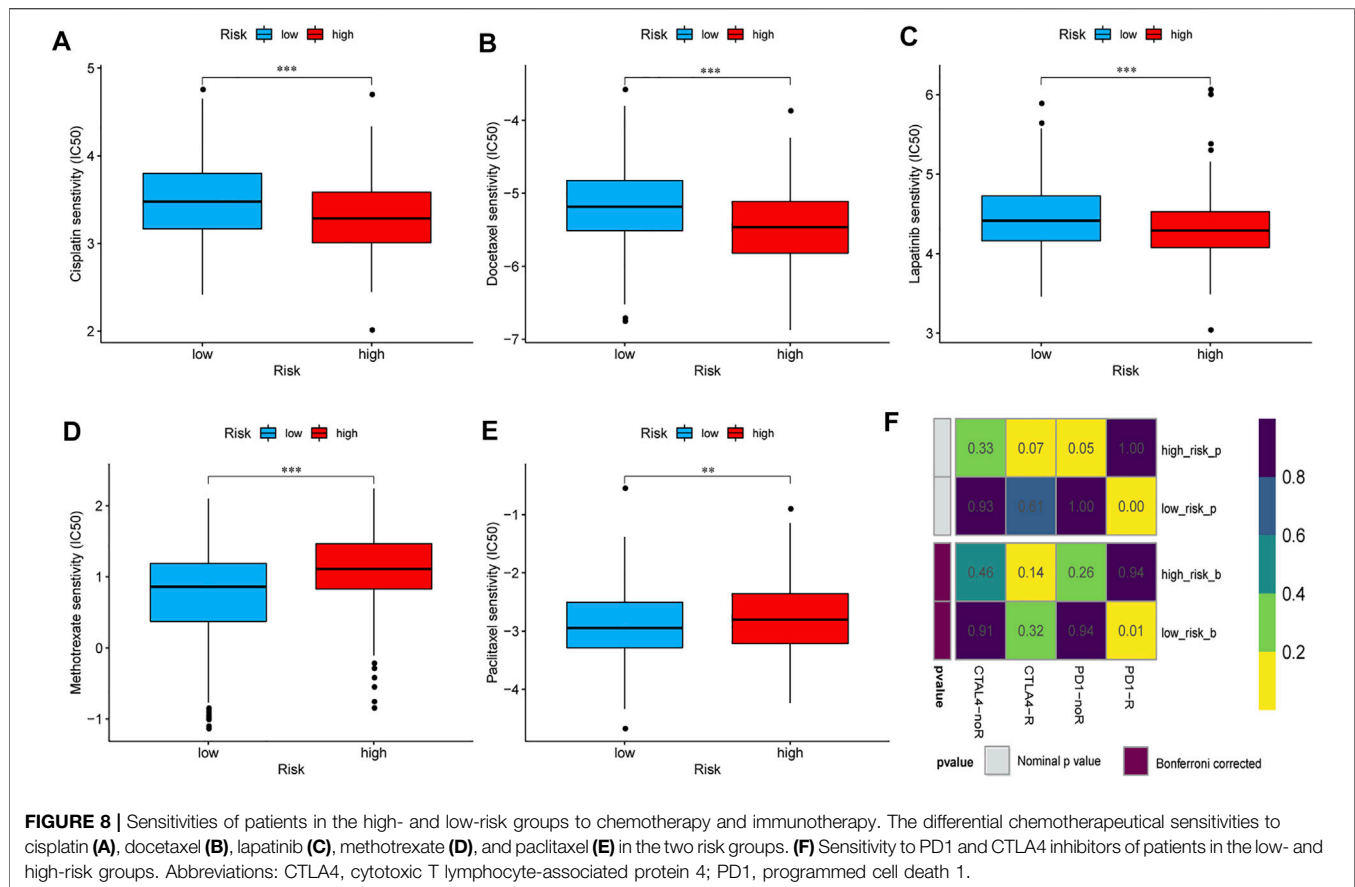




**FIGURE 6 |** Independent prognostic factors for the OS in patients with HNSCC. Univariate and multivariate Cox regression analyses were performed using TCGA dataset (A,B) and GEO dataset (C,D). Abbreviations: GEO, Gene Expression Omnibus; HNSCC, head and neck squamous cell carcinoma; OS, overall survival; TCGA, The Cancer Genome Atlas.



**FIGURE 7 |** Construction of the predictive nomogram. (A) Nomogram predicting the OS probability for patients with HNSCC. (B) Calibration curves of the nomogram for predicting the OS at 3 and 5 years. (C) DCA analysis showing the performance of the nomogram. Abbreviations: HNSCC, head and neck squamous cell carcinoma; OS, overall survival.



## Prognostic Value of the Seven Genes Used to Construct the Signature

The results of the K–M survival analysis demonstrated that all identified genes had a major impact on the clinical outcome of patients with HNSCC in TCGA database (*SEC11A*:  $p < 0.001$ ; *SC5D*:  $p < 0.001$ ; *CYB5B*:  $p = 0.005$ ; *HPRT1*:  $p = 0.006$ ; *SLC2A3*:  $p = 0.007$ ; *CORO1A*:  $p = 0.003$ ; *PIK3R3*:  $p = 0.005$ ). The K–M curves showed that patients with HNSCC who had high expression levels of *SEC11A*, *SC5D*, *CYB5B*, *HPRT1*, and *SLC2A3* had lower survival rates, whereas those with HNSCC who had low expression levels of *CORO1A* and *PIK3R3* had lower survival rates (Figures 5A–G).

## Independent Prognostic Analysis and the Construction and Validation of the Nomogram

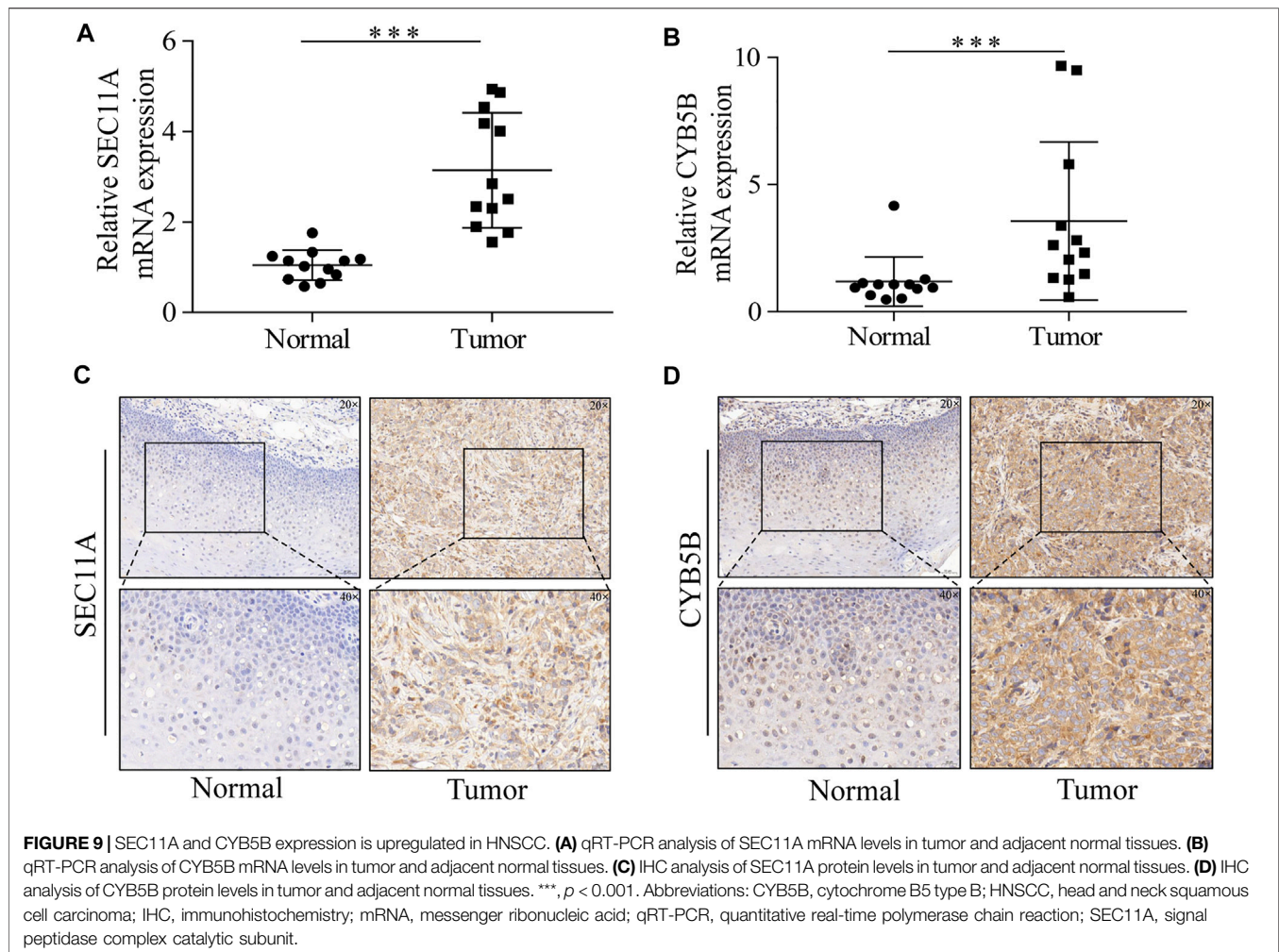
The results of the univariate Cox regression analysis in the training group revealed that age (HR = 1.022, 95% CI: 1.008–1.036), AJCC stage (HR = 1.454, 95% CI: 1.208–1.750), and risk score (HR = 1.436, 95% CI: 1.300–1.585) were related to significantly lower OS (Figure 6A). Similarly, the multivariate Cox regression analysis also revealed that age (HR = 1.021, 95% CI: 1.006–1.036), AJCC stage (HR = 1.442, 95% CI: 1.190–1.747), and risk score (HR = 1.378, 95% CI: 1.237–1.535) were associated with significantly worse OS (Figure 6B). For the test group, the results revealed that the AJCC

stage (univariate: HR = 3.829, 95% CI: 1.959–7.485; multivariate: HR = 3.502, 95% CI: 1.774–6.912) and risk score (univariate: HR = 1.668, 95% CI: 1.163–2.393; multivariate: HR = 1.508, 95% CI: 1.039–2.190) were associated with worse OS among patients with HNSCC (Figures 6C, D). Collectively, these data revealed that the gene signature was an independent prognostic factor for HNSCC after adjustment for confounding factors ( $p < 0.05$ ).

Subsequently, a nomogram based on the significant clinical parameters (age and AJCC stage) and risk score was constructed to forecast survival probability in patients with HNSCC in TCGA cohort. The overall score comprises the points for each included variable and can be used to forecast survival probability at 3 and 5 years (Figure 7A). The calibration plots showed that the nomogram performed well in comparison with an ideal model, indicating that the compound nomogram has excellent reliability and veracity (Figure 7B). The clinical practicability of the model was assessed through DCA. Compared with the performance of three other models based on a single factor (AJCC stage only or age only or signature only), the hybrid nomogram based on age, AJCC stage, and the multigene signature can achieve higher net benefits (Figure 7C).

## Differences in Tumor Immune Infiltrating Cells Between the Two Risk Groups

As shown in Supplementary Figure S2, the heatmap demonstrates the levels of immune cell infiltration in the two risk groups based on seven



algorithms. The infiltration levels of immune cells such as resting mast cells, resting natural killer (NK) cells, and M0 macrophages were all lower in the low-risk group, whereas the levels of B cells and CD8<sup>+</sup> T cells were lower in the high-risk group. Our findings suggest that the immune microenvironment differed between patients in the two risk groups, which may have implications for individualized treatment.

### Prediction of Patient Response to Chemotherapy and Immunotherapy in the Two Risk Groups

This study evaluated and compared the response to treatment with cisplatin, docetaxel, lapatinib, methotrexate, and paclitaxel between the high- and low-risk groups. The IC<sub>50</sub> of each patient was estimated, and the results showed statistically significant differences between the low- and high-risk groups for all five chemotherapeutic agents. As shown in the box plots, patients with a high risk score had lower IC<sub>50</sub> values than those with a low risk score, which indicated that the high-risk group responded better to treatment with cisplatin, docetaxel, and lapatinib (Figures 8A–C), whereas the low-risk group was more sensitive to treatment with methotrexate and paclitaxel (Figures 8D, E). Recent studies

have reported that treatment with anti-PD-1 and anti-CTLA-4 antibodies is an effective therapeutic option for patients with HNSCC (Italiano et al., 2021; Mao et al., 2021; Qin et al., 2021). The challenge of immunotherapy is that the response to a particular immunotherapeutic treatment varies among patients and can be hard to predict (Konstorum et al., 2017). Therefore, we assessed the therapeutic response of patients with HNSCC to PD-1 and CTLA-4 inhibitors. The results suggested that compared with those in the high-risk group, the low-risk group showed more promising responses to anti-PD-1 therapy (nominal  $p < 0.01$ , Bonferroni corrected  $p = 0.01$ , Figure 8F).

### Expression of SEC11A and CYB5B Is Upregulated in HNSCC

For the purpose of detecting the difference in the mRNA expression of seven signature genes, we conducted a qPCR to detect 12 pairs of cancer and normal tissues. The mRNA expression levels of SEC11A and CYB5B in tumors were significantly higher than those in normal tissues. However, no obvious difference was observed for HPRT1, SLC2A3, SC5D, CORO1A, and PIK3R3 mRNA expression levels between

HNSCC tissues and adjacent normal tissues (**Supplementary Figure S3**). We performed further IHC experiments to quantify the levels of the proteins encoded by the two genes for which the qRT-PCR results were significant. The protein levels of SEC11A and CYB5B were significantly increased in cancer tissues (**Figure 9**).

## DISCUSSION

Genetic factors play a vital role in the tumorigenesis and progression of HNSCC. Signatures based on certain features such as glycolysis (Chen et al., 2021) and immunity (Ma et al., 2020) have been discovered and may be useful for predicting the prognosis in patients with cancer. Compared with the mTORC1, little is known about the mechanisms regulating the mTORC2 and its biological function in the cell. In the present study, we constructed a novel gene signature capable of predicting the prognosis of patients with HNSCC based on specific genes related to the mTORC1 signaling pathway. A previous study showed that the phosphoinositide 3-kinase/Akt/mTOR pathway is frequently overactivated in more than 80% of individuals with HNSCC (Iglesias-Bartolome et al., 2013). Thus, the search for prognostic genes associated with the mTORC1 signaling pathway is important for guiding further research into therapeutic targets for patients with HNSCC.

In our study, a univariate Cox regression analysis was first used to identify 25 mTORC1 signaling pathway-related genes that could have important prognostic value in HNSCC. Subsequently, based on the multivariate Cox regression analysis, we identified seven key genes for constructing the signature. A worse prognosis was observed in patients with HNSCC who exhibited high levels of expression of SEC11A, SC5D, CYB5B, HPRT1, and SLC2A3 and low levels of expression of CORO1A and PIK3R3. Furthermore, we conducted qRT-PCR and IHC analyses and concluded that the mRNA and protein expression levels of SEC11A and CYB5B were higher in HNSCC tissues than in adjacent normal tissues. Among the genes included in the signature, five were found to be closely associated with HNSCC in previous studies. For example, Yao et al. (2021) found that SPC18 encoded by SEC11A is upregulated in tongue squamous cell carcinoma, and miR-873-5p may promote tumor cell apoptosis by targeting SEC11A. A recent study suggests that the overexpression of HPRT1 is correlated with the poor clinical outcome of HNSCC and can be used as a meaningful prognostic marker and therapeutic target (Ahmadi et al., 2021). Moreover, HPRT1 may promote the epithelial-mesenchymal transition (EMT) process and cell proliferation through direct interaction with STAT3 in HNSCC (Wang et al., 2021). Similarly, SLC2A3, which is related to glycolysis, can be used as a marker for the invasion of laryngeal squamous cell carcinoma (Starska et al., 2015). Song et al. (2019) identified 16 hub genes involved in the tumorigenesis of HNSCC by using the weighted gene coexpression network analysis, including CORO1A, and validated these genes at the transcription and translation levels. Moreover, a study showed that miR-367 can suppress the proliferation and invasion of oral squamous cell carcinoma by regulating PIK3R3 (Sun and Feng, 2020). However, the

relationship between CYB5B and SC5D and HNSCC had not been clarified before, and further studies are expected to verify their prognostic value.

It is well known that most malignancies are heterogenous, and HNSCC is no exception. Significant investment to fund the study of tumor heterogeneity would undoubtedly be one of the most effective ways in improving patient survival. Prognostic models based on gene expression levels can, to some extent, compensate for the shortcomings of AJCC staging in predicting patient prognosis. The signature developed in this study exhibited strong performance in predicting the prognosis of patients with HNSCC, with the DCA results showing that the net benefit of the risk score is greater than that of the AJCC stage, and the predictive performance of the nomogram is greatly enhanced when both were used in combination with age.

Resection, radiotherapy, and systemic therapy have been established as effective treatment modalities for HNSCC (Johnson et al., 2020). Neoadjuvant chemotherapy, also known as primary chemotherapy, is widely used to reduce tumor bulk and convert unresectable tumors into resectable ones. Single drugs that are efficacious in the treatment of HNSCC include docetaxel, methotrexate, cisplatin, 5-fluorouracil (5-FU), and paclitaxel (Specenier and Vermorken, 2018). In addition, a recent phase II clinical trial demonstrated that a novel induction regimen that included the administration of lapatinib before transoral surgery was feasible, and positive results were achieved in patients with HNSCC (Hackman et al., 2020). In this study, we assessed the chemotherapeutic sensitivity to five drugs in patients with HNSCC and compared it between the two risk groups by using IC<sub>50</sub> values as an evaluation index. The patients in the high-risk group exhibited higher chemotherapeutic sensitivity to cisplatin, docetaxel, and lapatinib, whereas patients in the low-risk group showed higher sensitivity to methotrexate and paclitaxel.

The activity of mTORC1 is critical for maintaining immune cell function, and the inhibition of mTORC1 can significantly alter or completely ablate the immune response (Abraham and Wiederrecht, 1996). Wang et al. (2020b) found that mTORC1 was a promising target for tumor blood vessel normalization, which could reinforce antitumor immunity. Tumor immune cell infiltration reflects the immune microenvironment around the tumor tissues and can impact the response to immunotherapy (Liu et al., 2020). In squamous cell carcinomas, low immune infiltration was found to be correlated with worse prognosis of patients (Balermipas et al., 2014). Moreover, immune checkpoint (PD-1 and CTLA-4) blockade is gaining recognition as a paradigm for effective cancer treatment (Ribas and Wolchok, 2018). In this study, we compared the infiltration status of immunocytes between the two risk groups and assessed the therapeutic response to PD-1 and CTLA-4 inhibitors. Significant differences in the abundance of immune infiltrating cells such as quiescent mast cells, quiescent NK cells, M0 macrophages, B cells, and CD8<sup>+</sup> T cells were observed between the high- and low-risk groups. This finding explains the difference in the prognosis of patients with HNSCC in terms

of the changes in the immune microenvironment of tumors. Finally, the comparison of the ability to predict immunotherapy responses between both groups revealed a greater benefit for patients in the lower-risk group when receiving anti-PD-1 therapy, which could be helpful in guiding clinical treatment.

The most important contribution of this study is the development of a novel and potentially applicable tool that is not only capable of predicting the prognosis of patients with HNSCC but can also help identify individuals who would benefit most from chemotherapy and immunotherapy. However, there are still some limitations to this study. First, it involved a bioinformatics analysis of database information, and the findings need to be validated using data from multiple centers. Second, the potential mechanisms through which the seven genes in the prognostic signature are involved in the occurrence or development of HNSCC are not entirely understood, and further investigation would require a series of experiments, both *in vivo* and *in vitro*.

## CONCLUSION

We have developed a prognostic gene signature based on the mTORC1 signaling pathway that can be used as a helpful tool in predicting the prognosis of patients with HNSCC and their sensitivity to chemotherapy and immunotherapy.

## DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. The raw data of this study are derived from the TCGA database (<https://portal.gdc.cancer.gov/>) and GEO data portal (<https://www.ncbi.nlm.nih.gov/geo/>), which are publicly available databases.

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portal.gdc.cancer.gov/) and GEO data portal (<https://www.ncbi.nlm.nih.gov/geo/>), which are publicly available databases.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Ethics Committee of the First Affiliated Hospital of Anhui Medical University. The patients provided their written informed consent to participate in this study.

## AUTHOR CONTRIBUTIONS

ZD and HS conceived this research and drafted the manuscript. KX performed the experiments. KX, YW, SW, FY and DW analyzed and/or interpreted the data. YL provided study supervision and revised the manuscript. All authors contributed to this manuscript and approved the final version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmolb.2022.792482/full#supplementary-material>

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