



# Association of Carbapenemase-Producing *Enterobacterales* Detected in Stream and Clinical Samples

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**Background:** The spread of carbapenem-resistant *Enterobacterales* (CRE) strains has caused treatment failure and is a worldwide threat to public health. However, there are limited reports on the prevalence of carbapenemase-producing *Enterobacterales* (CPE) in aquatic environments and its association with clinical isolates. This study aimed to investigate the prevalence of CPE in a stream environment and its genetic relationship with clinical isolates in Korea.

**Methods:** A total of 4,582 water samples were collected from 94 streams. Multiplex PCR and sequencing were used to detect and identify six carbapenemase genes. Multi-locus sequence typing (MLST) was performed to investigate the genetic relatedness between the environmental strains and clinical isolates.

**Results:** A total of 133 CRE strains were isolated from the streams. *Klebsiella pneumoniae* was the most common CRE (45.9%), followed by *Enterobacter cloacae* complex (29.3%), *Escherichia coli* (13.5%), *Raoultella ornithinolytica* (5.3%), and *Citrobacter freundii* (2.3%). Ninety (67.7%) isolates carried carbapenemase genes. *K. pneumoniae* carbapenemase-2 (36.7%) and New Delhi metallo- $\beta$ -lactamase-5 (32.2%) were the common carbapenemases detected. Sequence type (ST)307 and ST11 *K. pneumoniae* strains harboring the *bla*<sub>KPC-2</sub> gene were the most prevalent in stream and patient samples.

**Conclusion:** CPE was highly prevalent in streams and closely related to the isolates obtained from patients. Therefore, continuous monitoring of stream environments is required to control the spread of carbapenem resistance.

**Keywords:** carbapenem, carbapenemase-producing *Enterobacterales*, antimicrobial resistance, stream, multi-locus sequence typing

## INTRODUCTION

Carbapenems, such as imipenem, meropenem, doripenem, and ertapenem, are the last choice for the treatment of gram-negative bacteria (Pitout, 2010). However, the spread of carbapenem-resistant strains has caused treatment failure and is a worldwide threat to public health (Nordmann et al., 2011). Carbapenem-resistant *Enterobacterales* (CRE) were uncommon before 2000 but have been prevalent worldwide since the emergence of *Klebsiella pneumoniae* carbapenemase (KPC)-producing strains (Yigit et al., 2001).

Recently, the “One Health” concept was introduced to control antimicrobial resistance (Robinson et al., 2016). This concept highlights the interconnected nature of human, animal, and environmental health. The environment is a hotspot for the development and spread of antimicrobial resistance genes (Martinez, 2009). Several studies have focused on the role of aquatic environments contaminated with livestock or human waste, or hospital wastewater (Reinthal et al., 2003; Diwan et al., 2010). The isolation of carbapenemase-producing *Enterobacterales* (CPE) in rivers has been reported in a few studies (Aubron et al., 2005; Piedra-Carrasco et al., 2017); however, reports revealing the prevalence of CPE in aquatic environments and their association with clinical isolates are limited.

This study aimed to investigate the prevalence of CPE in a stream environment and its genetic relationship with clinical isolates in Korea.

## MATERIALS AND METHODS

### Collection and Identification of Strains

We selected 94 streams in both urban areas and outside the city, flowing from Busan, Ulsan, and Gyeongsangnamdo in Korea. A total of 4,582 water samples were collected from 218 sites in 94 streams between July 2017 and August 2019.

The water samples were filtered through sterile (0.45 µm) membrane filters (Merck Millipore, Billerica, MA, United States). The surface of the filtered membrane was collected using sterile cotton swabs and cultured on CHROMagar™ KCP plates (CHROMagar Microbiology, Paris, France) at 35°C for 48 h. Suspected CRE colonies grown on the medium were sub-cultured on blood agar plates at 35°C for 24 h. Colonies were identified using VITEK MS (BioMerieux, Marcy l’Etoile, France).

CPE isolates from humans were included to investigate the association between environmental and clinical strains. We selected clinical strains by matching environmental strains with species, CPE genes, periods, and regions (Kim et al., 2020).

### Antimicrobial Susceptibility

The minimum inhibitory concentrations of meropenem, imipenem, and ertapenem were measured using the E test

**Abbreviations:** CPE, Carbapenemase-Producing *Enterobacterales*; CRE, Carbapenemase-Resistant *Enterobacterales*; GES, Guiana Extended-Spectrum; KPC, *Klebsiella pneumoniae* Carbapenemase; MLST, Multi-Locus Sequence Typing; NDM, New Delhi Metallo-β-Lactamase.

(BioMerieux, Marcy l’Etoile, France). An additional antimicrobial susceptibility test was performed using the disk diffusion method for all CRE isolates. The following antimicrobial agents were used: ampicillin, piperacillin, ampicillin–sulbactam, cefazolin, cefotaxime, ceftazidime, cefepime, aztreonam, ceftoxitin, amikacin, gentamicin, ciprofloxacin, trimethoprim/sulfamethoxazole, tigecycline, and amoxicillin/clavulanic acid. The results were interpreted according to the guidelines of the Clinical and Laboratory Standard Institute (CLSI M100 30th ed., 2020).

### Molecular Characterization and Multi-Locus Sequence Typing

Multiplex PCR and sequencing were performed to detect and identify six carbapenemase genes (*bla*<sub>KPC</sub>, *bla*<sub>VIM</sub>, *bla*<sub>NDM</sub>, *bla*<sub>IMP</sub>, *bla*<sub>OXA</sub>, and *bla*<sub>GES</sub>), as described previously (Lee et al., 2018; Kim et al., 2019). MLST determined the genetic relatedness of the isolates. MLST was performed on 240 CPE strains, including *K. pneumoniae*, *Escherichia coli*, and the *Enterobacter cloacae* complex (Table 1). The sequence types (STs) of *K. pneumoniae* were determined by analyzing seven housekeeping genes, including *rpoB*, *gapA*, *mdh*, *pgi*, *phoE*, *infB*, and *tonB* (Diancourt et al., 2005). The *E. coli* MLST scheme uses internal fragments of seven housekeeping genes: *adh*, *fumC*, *gyrB*, *icd*, *mdh*, *purA*, and *recA* (Wirth et al., 2006). The *dnaA*, *fusA*, *gyrB*, *leuS*, *pyrG*, *rplB*, and *rpoB* genes were amplified and analyzed for clonal lineages of *E. cloacae* (Miyoshi-Akiyama et al., 2013). STs were assigned using the following MLST databases: <https://bigsdbs.pasteur.fr/klebsiella>, <https://mlst.warwick.ac.uk/mlst/dbs/Ecoli>, and <https://pubmlst.org/ecloacae> for *E. cloacae*.

## RESULTS

### Prevalence and Antimicrobial Resistance of CRE Strains

A total of 133 CRE strains were isolated from 21 (22.3%) streams in Korea. *K. pneumoniae* was the most common CRE ( $n=61$ ; 45.9%), followed by the *E. cloacae* complex ( $n=39$ ;

**TABLE 1** | Bacterial species, genotype, and source of carbapenemase-producing *Enterobacterales* isolates for multi-locus sequence typing analysis.

Species	Genotype	Source	
		Stream (N*)	Patient (N)
<i>Klebsiella pneumoniae</i>	<i>bla</i> <sub>KPC-2</sub>	21	154
	<i>bla</i> <sub>KPC-3</sub>	2	2
	<i>bla</i> <sub>NDM-1</sub>	1	15
	<i>bla</i> <sub>NDM-5</sub>	11	3
<i>Escherichia coli</i>	<i>bla</i> <sub>NDM-1</sub>	1	3
	<i>bla</i> <sub>NDM-5</sub>	14	5
<i>Enterobacter cloacae</i> complex	<i>bla</i> <sub>KPC-2</sub>	3	5
Total		53	187

\*N: Number of isolates.

29.3%), *E. coli* ( $n=18$ ; 13.5%), *Raoultella ornithinolytica* ( $n=7$ ; 5.3%), and *Citrobacter freundii* ( $n=3$ ; 2.3%; **Table 2**).

We calculated the monthly CRE isolation rate to determine seasonal variation (**Figure 1**). We found that CRE was continuously isolated from streams throughout the year, although we did not collect water from streams between November and December.

The resistance to ertapenem was higher (83.5%) than that to imipenem (69.9%) and meropenem (60.2%; **Figure 2**). Almost all CRE isolates were highly resistant to various antimicrobial agents, including ampicillin-sulbactam (94.0%), amoxicillin/clavulanic acid (94.7%), ciprofloxacin (89.5%), and cefotaxime (73.7%). Moreover, 100% of the CRE isolates were resistant to ampicillin and cefazolin, whereas 89.5% showed high susceptibility to amikacin.

## Molecular Characterization of Carbapenemase Genes

Among the 133 CRE isolates, carbapenemase genes were found in 90 (67.7%). All isolates of *R. ornithinolytica*, *C. freundii*,

*Klebsiella oxytoca*, and *Kluyvera cryocrescens* were CPE. In other strains, carbapenemase genes were detected in 51 of 61 *K. pneumoniae* isolates, 17 of 18 *E. coli* isolates, and 9 of 39 *E. cloacae* isolates.

The most common genotypes were KPC-2 ( $n=34$ ; 37.8%), New Delhi metallo- $\beta$ -lactamase (NDM)-5 ( $n=29$ ; 32.2%), Guiana extended-spectrum (GES)-5 ( $n=9$ ; 10.0%), NDM-1 ( $n=7$ ; 7.8%), oxacillinase (OXA)-48 ( $n=7$ ; 7.8%), KPC-3 ( $n=3$ ; 3.3%), and GES-6 ( $n=1$ ; 1.1%), respectively (**Table 3**). Of the 90 CPE isolates, there were 29 KPC-2-producing *K. pneumoniae*, 15 NDM-5-producing *E. coli*, 11 NDM-5-producing *K. pneumoniae*, and 7 OXA-48-producing *K. pneumoniae* isolates.

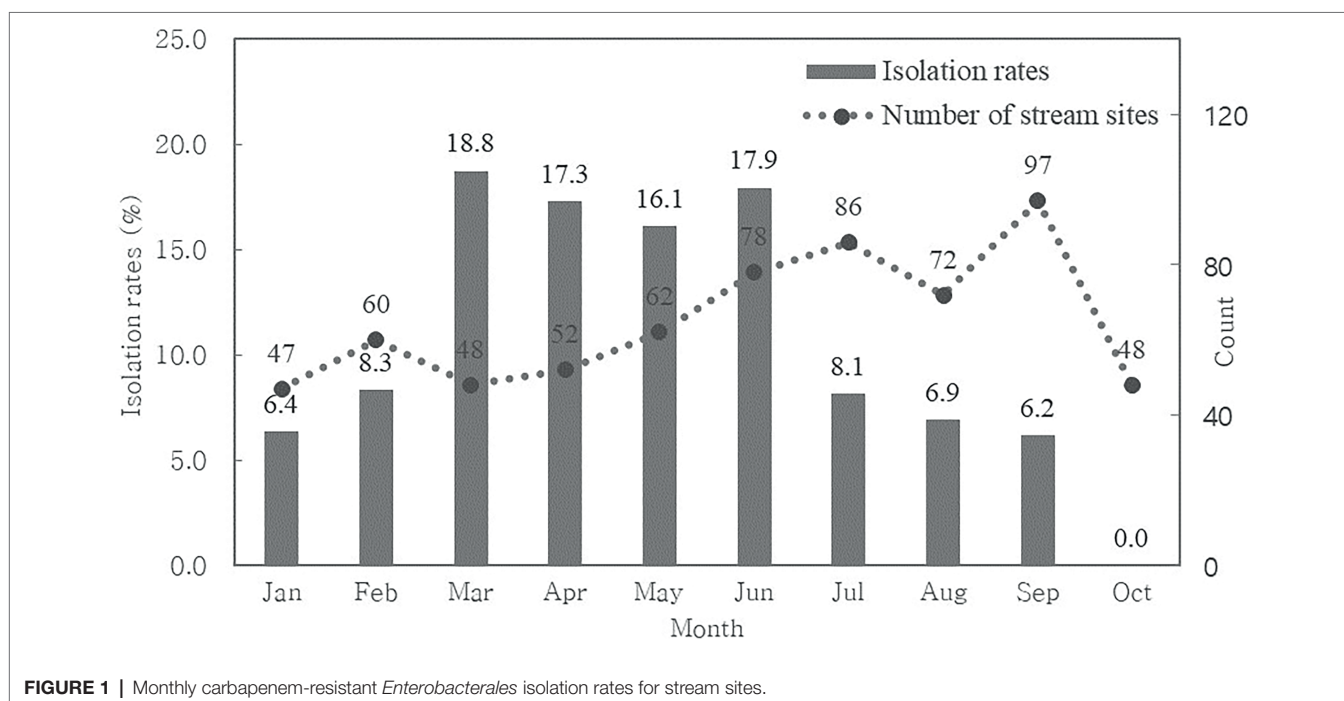
## Genetic Relatedness

A total of 53 CPE isolates isolated from the stream environment were analyzed using MLST for KPC-2, KPC-3, NDM-1, and NDM-5-producing *K. pneumoniae*; NDM-1 and NDM-5-producing *E. coli*; and KPC-2-producing *E. cloacae* complex isolates (**Table 4**). ST307 ( $n=16$ ) was the most common ST in KPC-2-producing *K. pneumoniae* isolates, followed by ST11 ( $n=2$ ), ST1255 ( $n=1$ ), ST2012 ( $n=1$ ), and a new ST ( $n=1$ ). Four STs and one new ST were found in 11 NDM-5-producing *K. pneumoniae*, and ST2830 ( $n=6$ ) was the most common ST. Furthermore, 14 *E. coli* strains producing NDM-5 were classified as ST744 ( $n=5$ ), ST405 ( $n=3$ ), ST359 ( $n=2$ ), and ST2659, ST205, ST3058, and ST617 ( $n=1$  each). ST484, ST520, and ST910 ( $n=1$  each) were found in the *E. cloacae* complex isolates that produce KPC-2.

We included 187 clinical isolates from patients in the MLST analysis. ST307 ( $n=80$ ) was the most common ST in 154 KPC-2-producing *K. pneumoniae* strains, followed by ST11

**TABLE 2** | Distribution of carbapenem-resistant *Enterobacteriales* isolates from streams.

Species	No. (%) of isolates
<i>Klebsiella pneumoniae</i>	61 (45.9)
<i>Enterobacter cloacae</i> complex	39 (29.3)
<i>Escherichia coli</i>	18 (13.5)
<i>Raoultella ornithinolytica</i>	7 (5.3)
<i>Citrobacter freundii</i>	3 (2.3)
<i>Klebsiella oxytoca</i>	2 (1.5)
<i>Kluyvera cryocrescens</i>	1 (0.8)
<i>Lelliottia amnigena</i>	1 (0.8)
<i>Serratia marcescens</i>	1 (0.8)



**FIGURE 1** | Monthly carbapenem-resistant *Enterobacteriales* isolation rates for stream sites.





**TABLE 3** | Distribution of carbapenemase genotypes in carbapenemase-producing *Enterobacterales* isolates from streams.

Species	Number (%) of isolates							
	Total	<i>bla</i> <sub>KPC-2</sub>	<i>bla</i> <sub>KPC-3</sub>	<i>bla</i> <sub>NDM-1</sub>	<i>bla</i> <sub>NDM-5</sub>	<i>bla</i> <sub>GES-5</sub>	<i>bla</i> <sub>GES-6</sub>	<i>bla</i> <sub>OXA-48</sub>
<i>K. pneumoniae</i>	51	29 (56.9)	2 (3.9)	1 (2.0)	11 (21.6)	0	1 (2.0)	7 (13.7)
<i>E. coli</i>	17	0	0	1 (5.9)	15 (88.2)	1 (5.9)	0	0
<i>R. ornithinolytica</i>	7	0	1 (14.3)	0	2 (28.6)	4 (57.1)	0	0
<i>E. cloacae</i>	5	3 (60.0)	0	0	1 (20.0)	1 (20.0)	0	0
complex								
<i>E. kobei</i>	3	1 (33.3)	0	0	0	2 (66.7)	0	0
<i>C. freundii</i>	3	0	0	3 (100)	0	0	0	0
<i>K. oxytoca</i>	2	0	0	2 (100)	0	0	0	0
<i>E. hormaechei</i>	1	0	0	0	0	1 (100)	0	0
<i>K. cryocrescens</i>	1	1 (100)	0	0	0	0	0	0
Total	90	34 (37.8)	3 (3.3)	7 (7.8)	29 (32.2)	9 (10.0)	1 (1.1)	7 (7.8)

**TABLE 4** | Multi-locus sequence typing of carbapenemase-producing *Klebsiella pneumoniae*, *Escherichia coli*, and *Enterobacter cloacae* strains from streams and patients.

Species	Genotypes	MLST	
		Stream (N*)	Patient (N)
<i>K. pneumoniae</i>	<i>bla</i> <sub>KPC-2</sub>	ST307(16), ST11(2), ST1255(1), ST2012(1), New(1)	ST307(80), ST11(42), ST48(23), ST789(3), ST15(2), ST273(1), ST392(1), New(2)
	<i>bla</i> <sub>KPC-3</sub>	ST461(1), ST1699(1)	ST307(1), ST668(1)
	<i>bla</i> <sub>NDM-1</sub>	ST202(1)	ST307(9), ST35(1), ST147(1), ST1488(4)
	<i>bla</i> <sub>NDM-5</sub>	ST2830(6), ST515(2), ST22(1), ST1994(1), New(1)	ST307(1), ST2830(1), ST2294(1)
	<i>bla</i> <sub>NDM-1</sub>	ST156(1)	ST297(1), ST131(1), ST38(1)
<i>E. coli</i>	<i>bla</i> <sub>NDM-1</sub>	ST744(5), ST405(3), ST359(2), ST2659(1), ST205(1), ST3058(1), ST617(1)	ST410(2), ST405(1), ST2659(1), ST48(1)
	<i>bla</i> <sub>NDM-5</sub>		
<i>E. cloacae</i>	<i>bla</i> <sub>KPC-2</sub>	ST484(1), ST520(1), ST910(1)	ST78(4), ST484(1)

\*N: Number of isolates.

which was located in the most populous administrative district showed the highest prevalence of CRE (14.0%; data not shown). In this study, 67.7% of the CRE isolates were CPE. *K. pneumoniae* (56.7%) and *E. coli* (18.9%) were the two most common CPE isolated from streams. These results were similar to a previous report on the prevalence and characteristics of CPE in Korea, although the third most common CPE (*R. ornithinolytica*) isolated from the stream samples is uncommon in patients (Kim et al., 2020). Therefore, we concluded that there is a close association between the presence of CPE in streams and humans.

Recently, the global spread of KPC, NDM, and OXA-48 has become a serious challenge in many countries (Grundmann et al., 2017; Yoon et al., 2018b). CPE can transmit resistance genes to other bacteria *via* horizontal transfer. Therefore, streams containing these CPE strains can serve as reservoirs for resistance genes. The detection of CPE genes, such as *bla*<sub>NDM-1</sub> in seepage and tap water, *bla*<sub>NDM-5</sub>, and *bla*<sub>NDM-7</sub> in Indian rivers, and *bla*<sub>OXA-48</sub> in wastewater, has been reported (Walsh et al., 2011; Akiba et al., 2016; Muller et al., 2018). In our study, we detected several CPE genes, including *bla*<sub>KPC-2</sub>, *bla*<sub>KPC-3</sub>, *bla*<sub>NDM-1</sub>, *bla*<sub>NDM-5</sub>, *bla*<sub>GES-5</sub>, *bla*<sub>GES-6</sub>, and *bla*<sub>OXA-48</sub>, from stream samples, and *bla*<sub>KPC-2</sub> and *bla*<sub>NDM-5</sub> were the most prevalent. The prevalence of CPE genes in the streams was very similar to that in patients, although *bla*<sub>NDM-5</sub> was more prevalent in streams than in patients (Kim et al., 2020).

To determine the genetic relatedness between the stream and clinical isolates, MLST analysis was performed for *K. pneumoniae*, *E. coli*, and *E. cloacae* isolates. ST258 is the most common ST in clinical isolates, especially in United States (Kitchel et al., 2009). However, ST11, a single-locus variant of ST258, is the predominant clone in China (Qi et al., 2011). In this study, ST307 and ST11 were the common clone types in KPC-2-producing *K. pneumoniae* isolated from streams, and these STs were also prevalent in patient isolates. Similarly, Yoon et al. reported that KPC-producing *K. pneumoniae* harboring ST307 and ST11 were most common in Korea (Yoon et al., 2018a). Although several STs were detected in stream samples but not found in the patient samples, these results indicate that CPE, especially KPC-2-producing *K. pneumoniae*, from stream environments, are closely related to those from patients.

In summary, we detected 133 CRE from 21 streams, including 90 CPE isolates which produced KPC-2, KPC-3, NDM-1, NDM-5, GES-5, GES-6, and OXA-48. The prevalence of CPE genes in the streams was similar to that in the patients. Notably, KPC-2-producing *K. pneumoniae* infections were the most common. ST307 and ST11 were the most common clone types among these isolates. The origin of CPE separated from the stream could not be clearly concluded. However, considering that the CPE gene of *Enterobacterales* from clinical samples is similar to

the that from the stream, it was estimated that CPE from the clinical sample is closely related to CPE from the stream. We believe that systematic and continuous monitoring of stream environments is required to control the spread of antimicrobial resistance.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

## AUTHOR CONTRIBUTIONS

G-HS and SiK designed the study, analyzed the data, and wrote the original draft. EP, SH, J-DK, GK, and E-YK performed

the sample collection and experiments. JJ and SuK provided the clinical strains and reviewed the manuscript. JS also assisted with the study design, writing, review, and editing. All authors contributed to the article and approved the submitted version.

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