



Complex Interactions Between Weather, and Microbial and Physicochemical Water Quality Impact the Likelihood of Detecting Foodborne Pathogens in Agricultural Water

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Agricultural water is an important source of foodborne pathogens on produce farms. Managing water-associated risks does not lend itself to one-size-fits-all approaches due to the heterogeneous nature of freshwater environments. To improve our ability to develop location-specific risk management practices, a study was conducted in two produce-growing regions to (i) characterize the relationship between *Escherichia coli* levels and pathogen presence in agricultural water, and (ii) identify environmental factors associated with pathogen detection. Three AZ and six NY waterways were sampled longitudinally using 10-L grab samples (GS) and 24-h Moore swabs (MS). Regression showed that the likelihood of *Salmonella* detection (Odds Ratio [OR] = 2.18), and *eaeA-stx* codetection (OR = 6.49) was significantly greater for MS compared to GS, while the likelihood of detecting *L. monocytogenes* was not. Regression also showed that *eaeA-stx* codetection in AZ (OR = 50.2) and NY (OR = 18.4), and *Salmonella* detection in AZ (OR = 4.4) were significantly associated with *E. coli* levels, while *Salmonella* detection in NY was not. Random forest analysis indicated that interactions between environmental factors (e.g., rainfall, temperature, turbidity) (i) were associated with likelihood of pathogen detection and (ii) mediated the relationship between *E. coli* levels and likelihood of pathogen detection. Our findings suggest that (i) environmental heterogeneity, including interactions between factors, affects microbial water quality, and (ii) *E. coli* levels alone may not be a suitable indicator of food safety risks. Instead, targeted methods that utilize environmental and microbial data (e.g., models that use turbidity and *E. coli* levels to predict when there is a high or low risk of surface water being contaminated by pathogens) are needed to assess and mitigate the food safety risks associated with preharvest water use. By identifying environmental factors associated with an increased likelihood of detecting pathogens in agricultural

water, this study provides information that (i) can be used to assess when pathogen contamination of agricultural water is likely to occur, and (ii) facilitate development of targeted interventions for individual water sources, providing an alternative to existing one-size-fits-all approaches.

Keywords: agricultural water, produce safety, *Listeria*, *Salmonella*, irrigation, *E. coli*

INTRODUCTION

Preharvest surface water use for produce production (e.g., irrigation, fertigation, pesticide application, dust abatement) has been repeatedly identified as a factor associated with an increased likelihood of foodborne pathogen contamination of produce (e.g., Mody et al., 2011; Strawn et al., 2013b; Holvoet et al., 2014; Weller et al., 2015b). This is largely because (i) surface water can act as a source (Micallef et al., 2012; McEgan et al., 2013a) and transmission pathway (Girardin et al., 2005; Mody et al., 2011; Weller et al., 2015b) for foodborne pathogens in farm environments and (ii) the use of pathogen-contaminated water can transfer pathogens to produce directly (Guan et al., 2001; Erickson et al., 2010) and indirectly [e.g., through contamination of the farm environment (Ibenyassine et al., 2006; Oliveira et al., 2012)]. In fact, irrigation with untreated surface water has been repeatedly associated with the isolation of foodborne pathogens from preharvest environments (Guan et al., 2001; Strawn et al., 2013b; Holvoet et al., 2014; Weller et al., 2015b), and identified as a potential cause of outbreaks linked to produce (Mody et al., 2011; Baloch, 2014; Centers for Disease Control and Prevention (U.S.), 2018; Food and Drug Administration (U.S.), 2018). Thus, mitigating the food safety risks associated with preharvest surface water use is a priority. Indeed, the US Food and Drug Administration proposed microbial water quality standards as part of the Food Safety Modernization Act's (FSMA) Produce Safety Rule. However, understanding and complying with the proposed standard while ensuring water availability has been cited in industry magazines and grower surveys as a challenge facing growers (Alexander, 2015; Dery et al., 2019; Wall et al., 2019). For example, interpretation of *E. coli* test results is complicated by temporal variation in microbial water quality (Goyal et al., 1977; Hipsey et al., 2008; Payment and Locas, 2011; Pandey et al., 2012). Since the samples used to determine if a water source meets the proposed standard can be collected up to 4 years before the water source is used for produce production, meeting the standard also may be a poor approximation of water quality at time of use (Havelaar et al., 2017; Truitt et al., 2018). Acceptance of *E. coli*-based water standards is further complicated by conflicting data on the relationship between *E. coli* levels and pathogen presence in the literature (Harwood et al., 2005; Wilkes et al., 2009; Benjamin et al., 2013; Economou et al., 2013; McEgan et al., 2013a; Pachepsky et al., 2015). While

some studies argue that high *E. coli* levels are associated with an increased likelihood of detecting pathogens in agricultural water (Edberg et al., 2000; Wilkes et al., 2009; Payment and Locas, 2011), other studies disagree (Harwood et al., 2005; Benjamin et al., 2013; Pachepsky et al., 2015; Antaki et al., 2016). While this differentiation is often not made, these observations are consistent with the fact that *E. coli* is considered an indicator of potential fecal contamination, and not an “index organism” [detection of an index organism suggests the presence of an ecologically similar or closely related pathogen (Busta et al., 2006; Chapin et al., 2014)]. Despite this, it is important to understand the relationship between *E. coli* levels and foodborne pathogen contamination of agricultural water since data on *E. coli* levels are used to guide efforts to mitigate the microbial food safety risks associated with preharvest surface water use (e.g., to decide if and when corrective measures such as water treatment should be implemented). Thus, data on the relationship between *E. coli* levels and foodborne pathogen contamination of agricultural water are essential for identifying when and where *E. coli* levels can be used (alone or in conjunction with other data) to manage the food safety risks associated with preharvest water use. Promising alternative approaches include models that predict likelihood of pathogen presence at specific times and sampling locations along a waterway using a variety of spatially and/or temporally explicit data. However, to develop these alternative approaches additional data on factors (e.g., weather, physicochemical water quality) that drive variation in *E. coli* levels and pathogen presence in different regions is needed.

Like *E. coli* levels, the prevalence of key foodborne pathogens in surface water also varies between studies and over time. For example, 30 and 63% of surface water samples collected from New York produce farms in 2010 (Weller et al., 2015b) and 2015 (Weller et al., 2015a), respectively, were *L. monocytogenes*-positive. Similarly, 29% (Kayed, 2004), 58% (Ijabadeniyi et al., 2011), and 67% (Castillo et al., 2004) of water samples collected from canals in Arizona, South Africa and Texas, respectively, were *Salmonella*-positive. While this variability may be due to the heterogeneity of farm and freshwater environments (Benjamin et al., 2013; McEgan et al., 2013a), the methods used to collect and process water samples may also affect reported pathogen prevalence (Hoganson and Elliott, 1972; Colburn et al., 1990; Benjamin et al., 2013). Thus, understanding how temporal variation in environmental factors affects microbial water quality, and how sampling methods affect our ability to detect pathogens in agricultural water is essential to effectively manage food safety risks associated with preharvest water use. Thus, the objectives of our study were to: (i) quantitatively assess the association between *E. coli* levels and detection of foodborne pathogens (*Salmonella* and *Listeria monocytogenes*), index organisms for

Abbreviations: BSC, before sample collection; CFU, colony-forming units; DOR, Diagnostic odds ratio; FSMA, Food Safety Modernization Act; GS, grab sample(s); mMS, modified Moore swab(s); MPN, most probable number; MS, Moore swab(s); MWQP, microbial water quality profile(s); NVI, normalized variable importance; PDPs, partial dependence plot(s); STV, statistical threshold value; VI, variable importance.

foodborne pathogens (non-pathogenic *Listeria* spp.), or pathogen markers (*eeA* and *stx* genes) of the in surface water sources used for produce production; (ii) identify and rank environmental factors associated with detecting pathogens in these waterways; and (iii) compare the ability of two sampling methods, 24-h Moore swabs (MS) and 10-L grab samples (GS), to detect pathogens in agricultural water. Since environmental conditions are highly variable between regions, multi-region studies are needed to ensure that findings are translatable to regions outside the study area, and to allow researchers to identify region-specific and consistent risk factors. Thus, two produce-growing regions, southwestern Arizona (AZ) and western New York (NY), were sampled as part of the study reported here.

MATERIALS AND METHODS

Study Design

A longitudinal study was conducted in AZ and NY; sampling in AZ occurred between February and December 2017, while sampling in NY occurred between May and September 2017. These time frames correspond to the growing season in each region. At each sampling, we collected a set of Moore swabs [MS] and a set of grab samples [GS]. Moore swabs were made by the participating labs using cheesecloth (VWR) as previously described (Barrett et al., 1980). Each GS set consisted of three 10-L GS [one 10-L GS for detection of each of the three targets (*Listeria*, *Salmonella*, and the *stx/eeA* genes) and one 1-L GS for enumeration of *E. coli* levels. GS were collected from the middle of each channel and approx. 15 cm (6 inches) below the water surface. Each MS set consisted of three swabs (one swab for detection of each of the target groups). Gloves (Nasco, Fort Atkinson, WI, United States) were changed for each sample collected, and sampling materials were sprayed with 70% ethanol in between all sample collections. All samples were transported on ice and stored at 4°C until processing. The 10-L GS used for pathogen detection were processed within 18 h of collection, while the 1-L GS used for *E. coli* enumeration were processed within 6 h of collection per manufacturers' instructions.

Samples were collected using a "1-week sampling" scheme and a "1-day sampling" scheme to maximize temporal coverage (Supplementary Figure S1). On the first day of each 1-week sampling, a MS set was anchored in the waterway and a GS set was collected. When the first MS set was collected 24 h later, a second GS set was collected and a second MS set was deployed. This was repeated daily for up to 6 days. During 1-day sampling, a MS set was placed in the waterway for 24 h. During this 24 h period, six GS sets were collected between 6 am and 8 pm approximately 2.5 h apart. One-week sampling was performed on eight waterways (2 AZ canals and 6 NY streams) three times each, while 1-day sampling was performed on seven waterways (2 AZ canals and 5 NY streams) three times each. One-day sampling was performed on fewer waterways than the 1-week sampling due to the substantial time needed to perform a single 1-day sampling. While 1-day sampling was performed once on a third AZ canal, this canal was removed from the study for logistical reasons after the first 1-day sampling.

Waterway Enrollment and Spatial Data Acquisition

Watershed delineation and all other spatial analyses were performed in ArcGIS version 10.2 (ESRI, 2014). Remotely sensed data (e.g., flow accumulation rasters) were obtained from publicly available databases to facilitate waterway enrollment. Hydrological, land use, road, and other spatial data were downloaded from federal and state geodata portals^{1,2}. Sampling sites in NY were enrolled by randomly selecting six streams with non-overlapping watersheds from all eligible streams in the study region. Specifically, streams were enrolled by identifying watersheds with an area of ≥ 15 km² and where produce was grown in ≥ 4 of the last 8 years based on USDA Cropscape data (Boryan et al., 2011; Han et al., 2012, 2014). We then randomly selected six publicly accessible locations that were ≤ 400 m from a produce field along streams in these watersheds (Supplementary Figure S2). Publicly accessible sites were locations near stream-road intersections, on public land (e.g., parks, Cornell farms), or with public-right-of-way (e.g., fishing access). Sampling sites in AZ were enrolled to represent the diversity of canal types in produce-growing regions of Arizona and based on the willingness of the irrigation district to provide access to the study site. While sites were selected so that they were < 400 m from a produce farms, sites were not selected using other environmental or geographic criteria. Since access to the canals was dependent on buy-in from the irrigation districts, random site selection could not be performed in AZ, which may have resulted in selection bias.

Metadata Collection

Every time a sample was collected, metadata were also collected. Specifically, data on dissolved oxygen levels, pH, conductivity, and water temperature were measured in-field using a Hach HQ40d meter (Loveland, CO, United States); turbidity was measured in the laboratory using a turbidimeter (Hach). Flow rate in NY was measured 6 inches below the water surface using a flow meter (Global Water Instrumentation Inc., Cordova, CA, United States), while surface flow was estimated in AZ using the float method described in Gore (Gore, 2006). Meteorological data were obtained from the weather station^{3,4,5} closest to each site; the mean distance of the stations to the sites was 8.9 km (range = 0.4–25.5 km). Data were downloaded for the entire growing season in each state. Avg., min., and max. air temperature, avg. relative humidity, avg. solar radiation, and avg. wind speed were calculated for 0–1, 0–2, 0–3, 0–4, and 0–5 days before sample collection (BSC). Total rainfall was calculated using non-overlapping time periods (i.e., 0–1, 1–2, 2–3, 3–4, and 4–5 days BSC). Since no rain fell in Arizona during the time periods considered, rainfall factors were only included in downstream analyses when *E. coli* levels or pathogen detection in New York was the outcome.

¹www.usgs.gov/core-science-systems/ngp/national-hydrography

²cugir.library.cornell.edu/

³cals.arizona.edu/AZMET

⁴www.nysmesonet.org

⁵nwa.cornell.edu

Grab Sample [GS] Processing

The three 10-L GS were filtered using modified Moore swabs (mMS) as previously described (Sbodio et al., 2013); however, unlike previous studies that used a peristaltic pump to move water through the mMS cassette, we used a gravity-based system. After all 10-L of water were filtered, the mMS was transferred to a Whirl-Pak bag and processed as described below. A 100-mL aliquot of the 1-L GS was used for *E. coli* enumeration, which was performed using the Colilert Quanti-Tray 2000 kit (IDEXX, Westbrook, ME, United States) per manufacturer instructions.

Listeria Enrichment and Isolation

Listeria enrichment and isolation were performed as previously described (Weller et al., 2015a). Briefly, 225 mL of buffered *Listeria* enrichment broth (Becton Dickinson, Franklin Lakes, NJ, United States) were added to each Whirl-pak containing a MS or mMS. Following incubation at 30°C for 4 h, *Listeria* selective enrichment supplement (Oxoid, Cambridge, United Kingdom) was added to each enrichment. After incubating at 30°C for a total of 24 h and 48 h, 50 µL of enrichment were streaked onto *L. monocytogenes* plating medium (LMPM; Biosynth International, Itasca, IL, United States) and Modified Oxford agar (MOX; Becton Dickinson), which were incubated at 35 and 30°C, respectively, for 48 h. Following incubation, up to 4 presumptive *Listeria* colonies were sub-streaked from MOX to LMPM and incubated at 35°C for 48 h. From all LMPM plates, up to 2 presumptive non-pathogenic *Listeria* spp. colonies and up to 2 presumptive *L. monocytogenes* colonies were sub-streaked onto brain-heart infusion plates (BHI; Becton Dickinson). Fewer than the maximum number of colonies were selected if sufficient colonies were not available for a given sample. The BHI plates were incubated at 37°C for 24 h. The species of one presumptive non-pathogenic *Listeria* spp. colony and one presumptive *L. monocytogenes* colony per sample was determined by PCR amplification and sequencing of the partial *sigB* gene (Nightingale et al., 2005; Den Bakker et al., 2010; Bundrant et al., 2011). The protocol for the *sigB* PCR performed can be found at <https://github.com/wellerd2/Laboratory-Protocols>. Positive (FSL R3-0001, Roberts and Wiedmann, 2006) and negative (uninoculated media) controls were processed in parallel with the samples. All isolates were preserved at –80°C.

Salmonella Enrichment and Isolation

Two-hundred and twenty-five mL of buffered peptone water supplemented with novobiocin (final concentration of 20 mg/L; BPW + N) was added to each Whirl-pak containing a MS or mMS. Following incubation at 35°C for 24 h, a *Salmonella* PCR-screen was performed using a real-time BAX *Salmonella* assay (Hygiena, Wilmington, DE, United States). BAX negative samples were considered *Salmonella*-negative, while BAX positive samples were culture-confirmed as *Salmonella*-positive as previously described (Strawn et al., 2013a). Briefly, 1 mL of the BPW + N enrichment was added to 9 mL of tetrathionate broth (TT; Oxoid) supplemented with 200 µL of I2-KI and 100 µL of Brilliant Green. In parallel, 0.1 mL of the BPW + N enrichment was added to 9.9 mL of

Rappaport Vassiliadis broth (RV; Acros Organic, Geel, Belgium). After incubating the TT and RV broth in a shaking water at 42°C bath for 24 h, 50 µL of each broth were streaked separately onto *Salmonella* CHROMagar (DRG International, Springfield, NJ, United States) and xylose lysine deoxycholate agar (XLD; Neogen, Lansing, MI, United States) plates. The CHROMagar and XLD plates were incubated for 24 h at 37°C and 35°C, respectively. Following incubation, up to 12 presumptive *Salmonella* colonies per sample were confirmed as *Salmonella* by PCR amplification of the *invA* gene (Kim et al., 2007). Specifically, four presumptive *Salmonella* colonies (mauve colonies on CHROMagar or black colonies on XLD) were selected for PCR-confirmation; if possible, two colonies per media were selected. If there were no presumptive positive colonies on the CHROMagar or XLD plates then up to 12 blue colonies on CHROMagar and/or red colonies on XLD were selected for PCR-confirmation. The protocol for the *invA* PCR performed here can be found at <https://github.com/wellerd2/Laboratory-Protocols>. Positive [media inoculated with *Salmonella* Typhimurium (FSL F6-0826)] and negative (uninoculated media) controls were processed in parallel with field samples. All isolates were preserved at –80°C.

aeA and stx Codetection

A PCR-screen for the *aeA* and *stx* genes (*stx1* and *stx2*) was performed using a real-time BAX Shiga-toxin producing *E. coli* (STEC) assay (Hygiena as previously described, Weller et al., 2019). Co-detection of the *aeA* and *stx* genes indicates either that (i) an organism (i.e., enterohemorrhagic *E. coli*) with both genes was present in the sample, or (ii) separate organisms each with one of the genes was present (i.e., Shiga-toxin producing *E. coli* and enteropathogenic *E. coli*). As such, throughout the text we will refer *aeA-stx* co-detection instead of STEC or EHEC detection. Sample enrichment and processing were performed per manufacturer's instructions. Briefly, 250 mL of tryptic soy broth supplemented with casamino acids and novobiocin to a final concentration of 10 g/L and 8 mg/L, respectively, (TSB + N) was added to each Whirl-pak. Following incubation at 41°C for 24 h, the BAX assay was performed per the manufacturer's instructions. The protocol for performing primary enrichment as well as the BAX Assay can be found in the **Supplementary Materials** of Weller et al. (2019), under *Protocol for aeA-stx Codetection using the Real-time BAX STEC Assay*.

Statistical Analyses

All analyses were performed in R (version 3.4.2; R Core Team, Vienna, Austria). Changes in environmental conditions over the course of the study were visualized by plotting each factor over time. Correlation between environmental factors was quantified and visualized as previously described (Wei, 2013; Weller et al., 2015a). The prevalence of each of the target organisms [*Listeria* spp. (including *L. monocytogenes*), *L. monocytogenes*, and *Salmonella*] as well as the prevalence of *aeA* and *stx* was determined. The geometric mean of *E. coli* (MPN/100-mL) was calculated for each of the sampled waterways and for each state. General linear mixed modeling followed by Tukey's HSD was

used to compare *E. coli* levels between waterways using the lme4 (Bates et al., 2014) and emmeans (Lenth, 2018) packages. While a strength of this study is its longitudinal nature, this also resulted in pseudo-replication and potential autocorrelation. To address these concerns, site and year-day were included as random effects or covariates in all analyses. For example, in the general linear mixed models described here the outcome of the model was the \log_{10} MPN of *E. coli*/100-mL, the fixed effect was site, and the random effects were year-day and state. Year-day is the number of days since January 1st (e.g., January 1st has is year-day 0, January 2nd is year-day 1).

Comparison of Pathogen Detection by 24-h MS and Paired 10-L GS

In this study, we used two sampling methods (24-h MS and 10-L GS). Each MS collected as part of 1-week sampling had between 1 and 2 paired GS, while MS collected as part of the 1-day sampling had between 6 and 7 paired GS (**Supplementary Figure S1**). A sampling day was defined as the 24-h the MS was in the stream. For each sampling day, we determined if the MS and/or one of its paired GS detected a given target. Separately, we used generalized linear mixed models to determine if MS were significantly more or less likely to detect a given target compared to a single paired GS (Bates et al., 2014). Since the outcome of the mixed models was binary we used a binomial distribution with a logit link. The explanatory variable was sample type (GS was the reference level). Site nested in state, and year-day were included as random effects. Since the ability of a MS compared to a paired GS to detect pathogen contamination in a given waterway at a given time should not differ between states, AZ and NY data were combined for these analyses.

Random Forest Analysis

Random forest analysis was performed separately to identify and rank factors associated with *Salmonella*, *Listeria* spp. and *L. monocytogenes* isolation, and *eaeA-stx* codetection in each sample type. Random forest analysis was also performed to identify and rank factors associated with *E. coli* levels in GS. Random forest analysis was chosen as random forests rank factors based on the strength of their association with the outcome but do not generate effect estimates or odds ratios to quantify the strength of these associations. This is important since, as our study shows, there is substantial variability in water quality within a waterway over time, and as such the time span of our study (one growing season) was insufficient to generate reliable effect estimates. Moreover, repeated, threefold cross-validation was used during random forest development to reduce overfitting and to give insights into how well our findings generalize to independent datasets. AZ and NY data were analyzed separately to allow for identification of region-specific factors that were associated with pathogen detection and *E. coli* levels. For each forest, environmental factors (see **Supplementary Tables S1, S2** for a complete list) were included as explanatory factors. Year-day and sample site ID were also included as a proxy for unmeasured spatiotemporal factors. Since this is a hypothesis-generating study, five overlapping periods (0–1, 0–2, 0–3, 0–4, and 0–5 days BSC) were used to calculate the values for the

weather factors with the exception of rainfall; separate forests were then run for each combination of time period, outcome, state, and sample type.

Unbiased conditional random forest analysis was performed using the party package and controls recommended by the package authors (Strobl et al., 2007a,b, 2009; Boulesteix et al., 2015). For each forest, repeated 10-fold cross-validation was performed to tune hyperparameters and to calculate either the Kappa score (Kuhn, 2018) for forests where the outcome was categorical or the coefficient of determination (R^2) for forests where the outcome was continuous. The forest with the highest Kappa score for each combination of outcome, state, and sample type is discussed in-text. Factor rankings for all forests are reported in **Supplementary Tables S3–S6**, and the variable importance (VI) scores for all forests are available at github.com/wellerd2/PAWQ-2017. For forests where the outcome was binary and imbalanced (prevalence of positive samples was <40% or >60%) upsampling was performed (Kuhn, 2018). Random forest results were interpreted by quantifying conditional VI; conditional VI was calculated because multiple explanatory factors were correlated (**Supplementary Figures S3, S4**; Strobl et al., 2008, 2009). A higher VI, relative to all other factors in the random forest, indicated a stronger association between outcome and factor. Variables with $VI \leq 0$ were not associated with the outcome. Since VI is relative, normalized variable importance measures (NVI) were calculated to facilitate interpretation and visualization of the results. For each combination of outcome, state, and sample type the random forest with the highest Kappa score was identified and partial dependence plots (PDPs) were developed to graphically characterize (i) the relationships between top-ranked factors and the outcome, and (ii) the impact of two-way interactions between factors on the outcome (Greenwell, 2017). Interactions were defined as occurring if the marginal effect of one factor on the outcome was not constant over all values of a second factor (Boulesteix et al., 2015). Due to the observational nature of the study reported here, caution should be exercised when interpreting the PDPs. For example, some PDPs indicate a polynomial relationship between a factor and an outcome. However, this relationship may be (i) due to the existence of an optimal range for the target to contaminate, survive or be detected in surface water, (ii) due to the impact of an unmeasured confounder, or (iii) an artifact of sampling and the observational nature of the study. Thus, determining the exact relationship between factors and outcomes is outside the scope of this study; however, all available data on potentially confounding factors were included in the random forests in an attempt to control for this limitation.

Characterizing the Relationship Between *E. coli* Levels and Pathogen Detection

Generalized linear mixed models (Bates et al., 2014) were developed to characterize the relationship between *E. coli* levels and (i) culture-based *Salmonella*, *Listeria* spp., and *L. monocytogenes* isolation from a sample, and (ii) PCR-based codetection of the *eaeA* and *stx* genes in a sample. Since the outcome of the models was binary, we used a binomial

distribution with a logit link. The \log_{10} MPN of *E. coli*/100-mL was included as a fixed effect, while year-day and site were included as random effects. Separately, bootstrapping was used to simulate water sampling and create a microbial water quality profile (MWQP) composed of 20 samples ($N = 10,000$ MWQPs per waterway). The simulated MWQPs were then used to quantify the ability of the proposed FSMA standard (geometric mean < 126 CFUs/100-mL and STV < 410 CFUs/100-mL; Food and Drug Administration, 2015) to identify waterways with a high or low risk of pathogen presence at time of water use. The last GS selected for inclusion in each subset (the 20th sample selected) represented microbial water quality at the time of water use (e.g., if the 20th GS selected was *Salmonella*-positive then the water source was considered *Salmonella*-positive at time of water use). The sensitivity, specificity, and diagnostic odds ratio (DOR) were calculated to characterize the predictive accuracy of the proposed standard for each target. AZ and NY data were analyzed separately since differences in environmental conditions and water type (managed canals versus free-flowing streams) may affect the relationship between pathogen detection and *E. coli* levels.

Data Availability

The R code and output from the random forest analyses are available at <https://github.com/wellerd2/PAWQ-2017>. The raw data is available upon request with some restrictions (e.g., location of sampling sites cannot be released); data requests should be directed to MW (martin.wiedmann@cornell.edu) or DW (wellerd2@gmail.com).

RESULTS

In total, 1,053 grab samples (GS) were collected and analyzed as part of our study [257 10-L GS for *Listeria* isolation, 258 10-L GS for *Salmonella* isolation, 264 10-L GS for *eaeA* and *stx* detection, and 264 1-L GS for enumeration of *E. coli* levels (Table 1)]. Additionally, 362 MS were collected and analyzed for pathogen presence [120 for *Listeria* isolation, 121 for *Salmonella* isolation, and 121 for *eaeA-stx* codetection (Table 2)]. Different numbers of samples were analyzed for different targets due to the loss of samples in the field (e.g., some MS were lost during storms and to human tampering, some containers used for collection of the GS burst during transport from the field to the lab; some sample sets were removed due to failed control reactions). As a result, we have data on *eaeA-stx* codetection for 121 sampling days, and on *Listeria* and *Salmonella* isolation for 120 sampling days (Table 3); a sampling day is defined as the 24-h period during which a MS was deployed. Supplementary Figures S3–S6 show correlation between and variation in environmental conditions over the course of the study.

E. coli Levels in AZ and NY

Geometric mean *E. coli* levels ranged between 4.3 and 217.5 MPN/100-mL in AZ canals, and between 91.6 and 419.8 MPN/100-mL in NY streams (Table 1). Based on regression

analysis, *E. coli* levels varied significantly between waterways (Table 1); on average, *E. coli* levels in Canal A in AZ were significantly higher than *E. coli* levels in Canals B and C (Table 1).

For random forests where *E. coli* levels in AZ and NY were the outcome, the forests with the highest coefficient of determination were based on weather 0–5 days before sample collection (BSC; AZ $R^2 = 0.72$; NY $R^2 = 0.45$; Supplementary Table S3). For AZ canals, the top-ranked factors associated with *E. coli* levels were site, dissolved oxygen, and avg. and min. air temperature (Figure 1); site, dissolved oxygen, and avg. air temperature were among the four top-ranked factors regardless of the time period BSC considered when calculating the weather factors (Supplementary Table S7). PDPs indicate that, on average, *E. coli* levels in the AZ canals (i) decreased as dissolved oxygen increased from 7 to 10 mg/L, and (ii) increased as avg. and min. air temperature 0–5 days BSC increased from 13°C to 33°C and from 3°C to 24°C, respectively (Supplementary Figure S7). For NY streams, the top-ranked factors associated with *E. coli* levels were turbidity, flow rate, pH, and min. air temperature; turbidity, flow rate, and pH were top-ranked factors regardless of the time period BSC considered (Supplementary Table S7). On average, *E. coli* levels in the sampled streams increased as (i) turbidity increased from 0 to 50 NTUs, (ii) flow rate increased from 0.0 to 1.0 m/s, and (iii) min. air temperature 0–5 days BSC increased from 5 to 18°C (Supplementary Figure S6). On average, *E. coli* levels in NY decreased as pH increased from 7.0 to 8.5 (Supplementary Figure S7).

L. monocytogenes in AZ and NY

Listeria monocytogenes was isolated from 4% (3/76) of AZ GS and 15% (27/181) of NY GS (Table 1). While *L. monocytogenes* was isolated from 0 of the 34 AZ MS, *L. monocytogenes* was isolated from 7% (6/86; Table 2) of NY MS. In total, *L. monocytogenes* was isolated from samples collected on 29 of the 117 sampling days where paired MS-GS were collected (Table 3). *L. monocytogenes* was detected by MS only on 5 sampling days (all paired GS were *L. monocytogenes*-negative) and by one or more paired GS but not by the MS on 23 sampling days (Table 3). According to generalized linear mixed modeling, the odds of isolating *L. monocytogenes* from MS was significantly lower than the odds of isolating *L. monocytogenes* from a paired GS [Odds Ratio (OR) = 0.39; 95% Confidence Interval (CI) = 0.16, 0.97].

Random forest analysis could not be performed to identify factors associated with *L. monocytogenes* isolation in AZ due to the low *L. monocytogenes* prevalence in AZ. For random forests where *L. monocytogenes* isolation from NY GS or NY MS was the outcome, the forest with the highest Kappa score was based on weather 0–4 days BSC ($\kappa = 0.06$; Accuracy = 0.76) and 0–1 day BSC ($\kappa = 0.37$; Accuracy = 0.90), respectively (Supplementary Table S4). Random forest analysis identified flow rate as a top-ranked factor associated with *L. monocytogenes* isolation from GS and MS in NY (Figure 1 and Supplementary Figure S8). While the likelihood of *L. monocytogenes* isolation from GS decreased as flow increased from 0.0 to 1.0 m/s, the likelihood of *L. monocytogenes* isolation from MS increased as flow increased from 0.0 to 1.0 m/s (Supplementary Figure S9). The other top-ranked factors associated with *L. monocytogenes* isolation

TABLE 1 | Summary of grab sample (GS) results.

Water Source	Prevalence (No. of Positive GS/Total No. of GS)					Geometric Mean MPN of <i>E. coli</i> /100 mL (Range) ^{cd}
	Culture-Confirmed			PCR-Screen Positive ^b		
	<i>L. monocytogenes</i>	<i>Listeria</i> spp. ^a	<i>Salmonella</i>	<i>eaeA</i>	<i>stx</i>	
Arizona						
Canal A	3% (1/40)	3% (1/40)	40% (16/40)	92% (33/36)	58% (21/36)	217.5 (26.6–770.1) ^{DEFG}
Canal B	6% (2/36)	6% (2/36)	27% (10/37)	76% (31/41)	63% (26/41)	9.6 (1.0–47.4) ^{ABC}
Canal C	–	–	–	83% (5/6)	0% (0/6)	4.3 (1.0–16.1) ^{ABC}
AZ Total	4% (3/76)	4% (3/76)	34% (26/77)	83% (69/83)	57% (47/83)	38.2 (1.0–770.1)
New York						
Stream A	15% (5/34)	21% (7/34)	59% (20/34)	100% (34/34)	91% (31/34)	419.8 (57.6 – >2,419.6) ^G
Stream B	13% (4/32)	50% (16/32)	56% (18/32)	91% (29/32)	38% (12/32)	91.6 (18.5 – 1,413.6) ^{AD}
Stream C	6% (2/33)	27% (9/33)	33% (11/33)	88% (29/33)	64% (21/33)	207.6 (23.1 – >2,419.6) ^{CFG}
Stream D	21% (7/34)	50% (17/34)	41% (14/34)	100% (34/34)	76% (26/34)	221.0 (35.9 – 1,986.3) ^{BCEF}
Stream E	21% (7/33)	85% (28/33)	33% (11/33)	94% (31/33)	70% (23/33)	108.0 (27.5 – >2,419.6) ^{ABDE}
Stream F	13% (2/15)	53% (8/15)	40% (6/15)	93% (14/15)	80% (12/15)	175.7 (72.7 – 1,732.9) ^{ABCDEF}
NY Total	15% (27/181)	47% (85/181)	44% (80/181)	94% (171/181)	69% (125/181)	181.5 (18.5 – >2,419.6)
Total	12% (30/257)	34% (88/257)	41% (106/258)	91% (240/264)	65% (172/264)	109.4 (1.0 – > 2,419.6)

^a*Listeria* spp. includes *L. monocytogenes*. All *Listeria* isolates from AZ were *L. monocytogenes*. In NY, we isolated *L. boorae*, *L. innocua*, *L. marthii*, *L. seeligeri*, and *L. welshimeri* from 2, 9, 10, 28, and 19 NY GS, respectively. We also identified one isolate that did not group with any previously reported *Listeria* species based on sequencing of the *sigB* gene. Eleven GS were positive for both *L. monocytogenes* and one other *Listeria* species. ^bIn total, 43 AZ GS (*i.e.*, 4 *stx* positive GS were *eaeA* negative) and 125 NY GS (all *stx* positive GS were *eaeA* positive) were positive for *eaeA* and *stx*. ^cAll GS had detectable levels of *E. coli* (≥ 1 MPN/100-mL). Nine NY GS had *E. coli* levels above the detection limit (2419.6 MPN/100 mL). For these GS, a value of 2,500 MPN/100-mL was substituted when estimating the geometric mean. ^dSites with the same superscript capital letters did not have significantly different *E. coli* levels according to Tukey's HSD.

TABLE 2 | Summary of MS (MS) results.

Water Source	Prevalence (No. of Positive MS/Total No. of MS)				
	Culture-Confirmed			PCR-Screen Positive ^b	
	<i>L. monocytogenes</i>	<i>Listeria</i> spp. ^a	<i>Salmonella</i>	<i>eaeA</i>	<i>stx</i>
Arizona					
Canal A	0% (0/17)	0% (0/17)	75% (12/16)	100% (15/15)	100% (15/15)
Canal B	0% (0/16)	0% (0/16)	56% (9/16)	94% (16/17)	88% (15/17)
Canal C	0% (0/1)	0% (0/1)	0% (0/1)	100% (1/1)	0% (0/1)
AZ Total	0% (0/34)	0% (0/34)	64% (21/33)	97% (32/33)	91% (30/33)
New York					
Stream A	6% (1/15)	19% (3/16)	56% (9/16)	100% (16/16)	100% (16/16)
Stream B	0% (0/15)	20% (3/15)	47% (7/15)	87% (13/15)	67% (10/15)
Stream C	20% (3/15)	20% (3/15)	67% (10/15)	100% (15/15)	80% (12/15)
Stream D	0% (0/15)	13% (2/16)	63% (10/16)	100% (16/16)	94% (15/16)
Stream E	0% (0/14)	57% (8/14)	50% (7/14)	100% (14/14)	93% (13/14)
Stream F	17% (2/12)	33% (4/12)	58% (7/12)	92% (11/12)	92% (11/12)
NY Total	7% (6/86)	27% (23/86)	57% (50/88)	97% (85/88)	88% (77/88)
Total	5% (6/120)	19% (23/120)	59% (71/121)	97% (117/121)	88% (107/121)

^a*Listeria* spp. includes *L. monocytogenes*. In total, we isolated *L. innocua*, *L. marthii*, *L. seeligeri*, and *L. welshimeri* from 2, 2, 11, and 8 NY MS, respectively. All 6 *L. monocytogenes*-positive MS were also positive for one other *Listeria* species. ^bNo MS were positive for *stx* and negative for *eaeA*.

from GS were year-day, rainfall 3–4 days BSC, and avg. relative humidity 0–4 days BSC (**Figure 1**). Flow rate and year-day were among the top-ranked factors regardless of the time period BSC considered (**Supplementary Table S7**). The likelihood of *L. monocytogenes* isolation from NY GS decreased from May

to July and increased from July to September (**Supplementary Figure S8**). Additionally, the likelihood of *L. monocytogenes* isolation from NY GS (i) increased as rainfall 3–4 days BSC increased from 0.0 to 2.0 cm, and (ii) decreased as avg. relative humidity 0–4 days BSC increased from 60% to 100%

TABLE 3 | Comparison of the ability of 24-h Moore swabs (MS) and paired 10-L grab samples (GS) to detect foodborne pathogens in surface water.

Target	No. of Detection Events/Total No. of Sampling Days with Paired GS-MS ^a	No. of Events Detected By		Disagreement ^c	Regression Results ^d					
		MS Only			Fixed Effects		Variance of Random Effects (SD) ^g			
		GS Only ^b	MS Only		OR ^e	95% CI ^f	P-value	Year-day	State	Site
<i>L. monocytogenes</i>	29/117	23	5	97% (28/29)	0.39	0.16, 0.97	0.043	0.1 (0.4)	0.5 (0.7)	0.0 (0.0)
<i>Listeria</i> spp. ^h	61/117	38	7	74% (45/61)	0.24	0.12, 0.48	<0.001	1.4 (1.2)	3.1 (1.8)	0.9 (1.0)
<i>Salmonella</i>	90/119	21	30	57% (61/90)	2.18	1.37, 3.46	0.001	0.4 (0.6)	0.0 (0.0)	0.0 (0.0)
eeeA and stx	114/121	7	23	26% (30/114)	6.49	3.10, 13.61	<0.001	1.7 (1.3)	0.0 (0.0)	0.6 (0.8)

^aA detection event was defined as occurring if the MS or one or more of paired GS tested positive for the target organism. While we collected and tested GS and MS for *Listeria* on 120 sampling days we had paired MS-GS data for 117 samplings days. Similarly, we collected and tested GS and MS for *Salmonella* on 121 sampling days but had paired MS-GS data for 119 samplings days. ^bGS collected during the 24 h that the MS was in the waterway; each MS had between 1 and 7 paired GS. ^cDisagreement = (No. of events detected by GS Only + No. of events detected by MS Only)/Total No. of Detection Events. ^dResults of generalized linear mixed models that compared target detection by 24-h MS and individual paired 10-L GS; GS were the reference level. ^eOdds ratio: Odds of detecting the target in a MS/Odds of detecting the target in a single paired GS. ^f95% Confidence Interval. ^gStandard Deviation. ^hIncludes *L. monocytogenes*. If only non-pathogenic *Listeria* spp. were included the number of events detected by GS or MS only were 44 and 2, respectively. The total disagreement between the two methods was 69% (46/67). Since no samples collected in AZ were positive for non-pathogenic *Listeria* spp., regression analysis could not be performed to compare the ability of 24-h Moore swabs (MS) and paired 10-L grab samples (GS) to detect non-pathogenic *Listeria* spp.

(Supplementary Figure S9). For NY MS the other top-ranked factors associated with *L. monocytogenes* isolation were pH, and min. and max. air temperature 0–1 days BSC (Supplementary Figures S8, S9).

Listeria spp. in AZ and NY

Listeria spp. (including *L. monocytogenes*) was isolated from 4% (3/76) of AZ GS and from 47% (85/181) of NY GS (Table 1). *Listeria* spp. was also isolated from 0 of the 34 AZ MS and 27% (23/86; Table 2) of NY MS. *Listeria* spp. was detected by MS only on 7 sampling days, and by one or more paired GS but not by the MS on 38 sampling days (Table 3). According to generalized linear mixed modeling, the odds of isolating *Listeria* spp. from MS was significantly lower than the odds of isolating *Listeria* spp. from a paired GS (OR = 0.24; 95% CI = 0.12, 0.48).

Random forest analysis could not be performed to identify factors associated with *Listeria* spp. isolation in AZ due to the low prevalence of *Listeria* spp. in AZ. For random forests where *Listeria* spp. isolation from NY GS or MS was the outcome, the forest with the highest Kappa score was based on weather 0–1 days BSC ($\kappa = 0.36$; Accuracy = 0.69) and 0–5 days BSC ($\kappa = 0.35$; Accuracy = 0.75), respectively (Supplementary Table S4). Random forest analysis identified site, year-day, avg. wind speed 0–1 days BSC and water temperature as the top-ranked factors associated with *Listeria* spp. isolation from NY GS (Figure 1); site and year-day were among the 4 top-ranked factors regardless of the time frame BSC considered (Supplementary Table S7). The likelihood of *Listeria* spp. isolation showed limited variation from May to July but increased from July to September (Supplementary Figure S9). Additionally, the likelihood of *Listeria* spp. isolation from GS (i) increased as the avg. wind speed 0–1 days BSC increased from 0 to 15 km/h, and (ii) decreased as water temperature increased from 10 to 23°C (Supplementary Figure S9). For NY MS the top-ranked factors associated with *Listeria* spp. isolation were rainfall 0–1 days BSC, min. and avg. air temperature 0–5 days BSC, and flow rate (Supplementary Figure S8).

Salmonella in AZ and NY

Salmonella was isolated from 34% (26/77) of GS and 64% (21/33) of MS collected in AZ, and from 44% (80/181) of GS and 57% (50/88) of MS collected in NY (Tables 1, 2). *Salmonella* was detected by the MS only on 30 sampling days, and by 1 or more paired GS but not by the MS on 21 sampling days (Table 3). The odds of isolating *Salmonella* from MS were 2.2 times greater than the odds of isolating *Salmonella* from a paired GS (OR = 2.2; 95% CI = 1.4, 3.5).

For random forests where *Salmonella* isolation from AZ GS or MS was the outcome, the forest with the highest Kappa score was based on weather 0–5 days BSC ($\kappa = 0.40$; Accuracy = 0.72) and 0–3 days BSC ($\kappa = 0.38$; Accuracy = 0.84), respectively (Supplementary Table S5). According to random forest analysis, the two top-ranked factors associated with *Salmonella* isolation from AZ GS and MS were avg. and max. air temperature (Figure 1 and Supplementary Figure S8). The likelihood of *Salmonella* isolation from AZ GS (i) increased as avg. and max. air temperature increased from 13 to 30°C and from 20

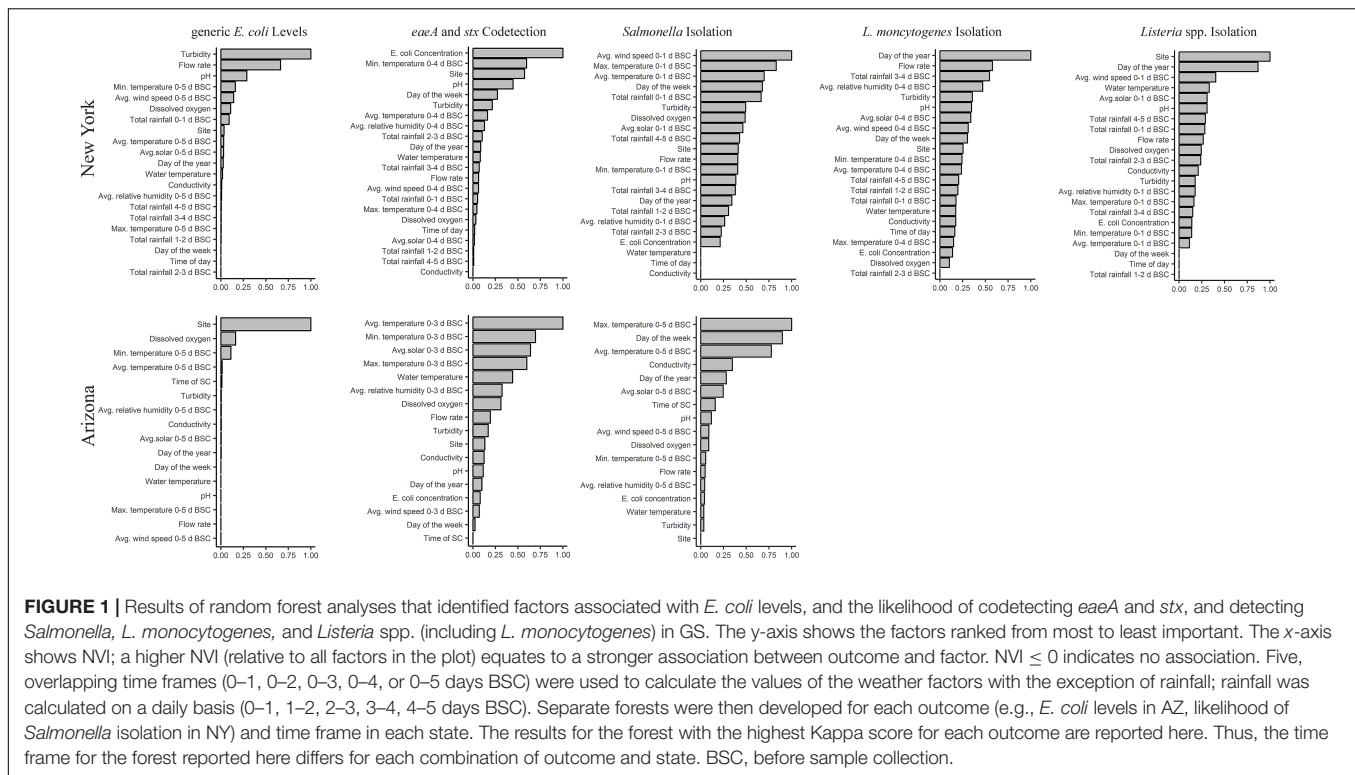


FIGURE 1 | Results of random forest analyses that identified factors associated with *E. coli* levels, and the likelihood of codetecting *eaeA* and *stx*, and detecting *Salmonella*, *L. monocytogenes*, and *Listeria* spp. (including *L. monocytogenes*) in GS. The y-axis shows the factors ranked from most to least important. The x-axis shows NVI; a higher NVI (relative to all factors in the plot) equates to a stronger association between outcome and factor. $NVI \leq 0$ indicates no association. Five, overlapping time frames (0–1, 0–2, 0–3, 0–4, or 0–5 days BSC) were used to calculate the values of the weather factors with the exception of rainfall; rainfall was calculated on a daily basis (0–1, 1–2, 2–3, 3–4, 4–5 days BSC). Separate forests were then developed for each outcome (e.g., *E. coli* levels in AZ, likelihood of *Salmonella* isolation in NY) and time frame in each state. The results for the forest with the highest Kappa score for each outcome are reported here. Thus, the time frame for the forest reported here differs for each combination of outcome and state. BSC, before sample collection.

to 41°C, respectively, and (ii) decreased as avg. and max. air temperature increased from 30 to 38°C and from 41 to 47°C, respectively (**Supplementary Figure S10**). The other top-ranked factors associated with *Salmonella* isolation from AZ GS were day of the week and conductivity; max. air temperature and day of the week were among the 4 top-ranked factors regardless of time period BSC considered (**Supplementary Table S7**). The likelihood of isolating *Salmonella* from AZ GS was highest for samples collected on Tuesday and Wednesday (**Supplementary Figure S10**). Additionally, the likelihood of isolating *Salmonella* from AZ GS increased as conductivity increased from 750 to 1,300 $\mu\text{S}/\text{cm}$ (**Supplementary Figure S10**).

For random forests where *Salmonella* isolation from NY GS or MS was the outcome, the forest with the highest Kappa score for both GS BSC ($\kappa = 0.18$; Accuracy = 0.61) and MS BSC ($\kappa = 0.11$; Accuracy = 0.58) was based on weather 0–1 days BSC (**Supplementary Table S5**). According to random forest analysis, the top-ranked factors associated with *Salmonella* isolation from NY GS were avg. wind speed 0–1 days BSC, max and avg. air temperature 0–1 days BSC, and day of the week (**Figure 1**). The likelihood of *Salmonella* isolation from NY GS decreased as (i) avg. wind speed increased from 0 to 4 km/h, and (ii) avg. and max. air temperature increased from 10 to 19°C and from 15 to 26°C, respectively (**Supplementary Figure S10**). The likelihood of *Salmonella* isolation from NY GS increased as (i) avg. wind speed increased from 4 to 13 km/h, and (ii) avg. and max. air temperature increased from 19 to 26°C and from 26 to 33°C, respectively (**Supplementary Figure S10**). The likelihood of isolating *Salmonella* from NY GS was highest for samples collected on Sat. and lowest for samples collected on Wednesday

(**Supplementary Figure S10**). For NY MS the top-ranked factors associated with *Salmonella* isolation were rainfall 3–4 and 4–5 days BSC, turbidity, and year-day (**Supplementary Figure S10**).

Codetection of *eaeA* and *stx* in AZ and NY

Forty-eight percent (44/83) of GS and 91% (30/33) of MS collected in AZ, and 69% (125/181) of GS and 88% (77/88) of MS collected in NY were PCR-screen positive for both *eaeA* and *stx* (**Tables 1, 2**). Both genes were detected by MS only on 23 sampling days, and by 1 or more paired GS but not by MS on 7 sampling days (**Table 3**). The odds of codetecting *eaeA* and *stx* in a MS was 6.5 times greater than the odds of codetecting *eaeA* and *stx* in a paired GS (OR = 6.5; 95% CI = 3.1, 13.6).

While random forest analysis could not be performed to identify factors associated with *eaeA-stx* codetection in AZ MS due to the limited number of *eaeA* and *stx*-negative MS, random forest analysis was performed to identify factors associated with *eaeA-stx* codetection in AZ GS. For random forests where *eaeA-stx* codetection in AZ GS was the outcome, the forest with the highest Kappa score was based on weather factors 0–3 days BSC ($\kappa = 0.47$; Accuracy = 0.74; **Supplementary Table S6**). The top-ranked factors associated with *eaeA-stx* codetection in AZ GS were avg. solar radiation 0–3 days BSC, and avg., max., and min., air temperature (**Figure 1**); avg. and max. air temperature were among the 4 top-ranked factors regardless of the time period BSC considered (**Supplementary Table S7**). The likelihood of *eaeA-stx* codetection in AZ increased as (i) avg. solar radiation 0–3 days BSC increased from 11 to 31 Ly, and (ii) avg., max., and min. air

temperature 0–3 days BSC increased from 10 to 27°C, from 20 to 42°C, and from 1 to 18°C, respectively (**Supplementary Figure S11**). The likelihood of *eaeA-stx* codetection in AZ decreased as avg. air temperature and min. air temperature 0–3 days BSC increased from 27 to 36°C and from 18 to 28°C, respectively (**Supplementary Figure S11**).

For random forests with *eaeA-stx* codetection in NY GS and MS as the outcome, the forest with the highest Kappa score was based on weather factors 0–4 days BSC ($\kappa = 0.52$; Accuracy = 0.79) and 0–2 days BSC ($\kappa = 0.24$; Accuracy = 0.79), respectively (**Supplementary Table S6**). The top-ranked factors associated with *eaeA-stx* codetection in NY GS were pH, min. air temperature 0–4 days BSC, the MPN of *E. coli*/100 mL, and site (**Figure 1**); pH, *E. coli* levels, and site were among the 4 top-ranked factors regardless of the time period BSC considered (**Supplementary Table S7**). The likelihood of *eaeA-stx* codetection in the NY GS increased as (i) min. air temperature 0–4 days BSC increased from 5 to 15°C, and (ii) *E. coli* levels increased from 18 to 1,000 MPN/100-mL (**Supplementary Figure S11**). The likelihood of *eaeA-stx* codetection in the NY GS decreased as (i) pH increased from 7.4 to 8.8, and (ii) min. air temperature 0–4 days BSC increased from 15 to 18°C (**Supplementary Figure S11**). The top-ranked factors associated with codetecting *eaeA* and *stx* in NY MS were rainfall 3–4 days BSC, conductivity, flow rate, and avg. air temperature 0–2 days BSC (**Supplementary Figure S8**).

Effect of Two-Way Interactions on Microbial Water Quality

Due to the number of potential interactions that could have been investigated (e.g., 136 interactions per random forest), we focused on the impact of biologically plausible interactions on estimated *E. coli* levels, and likelihood of detecting pathogens in GS (see **Supplementary Table S8** for a complete list). We focused on GS as opposed to MS because (i) approx. twice as many GS ($N = 264$) were collected as MS ($N = 121$), and (ii) GS are more commonly used to monitor surface water quality. Although the PDPs show evidence of threshold effects (stark differences in likelihood of detection above versus below a cut-off for a given factor), this may be a product of pseudoreplication, the sample size, the limited time span of the study, and/or the existence of true thresholds. Investigating these threshold effects is outside the scope of the current study, and the results of the PDPs need to be interpreted with caution.

We found evidence of interactions between multiple factors (**Supplementary Figures S12–S19**). For example, the likelihood of isolating *Salmonella* from AZ GS appeared to be higher when dissolved oxygen was <8.5 mg/L and air temperature was >20°C compared to when dissolved oxygen was >8.5 mg/L or air temperature was <20°C (**Supplementary Figure S12**). Similarly, estimated *E. coli* levels in AZ were higher when dissolved oxygen was <8.0 mg/L and air temperature was >28°C (**Supplementary Figures S12, S13**) compared to when dissolved oxygen was >8.0 mg/L or air temperature was <28°C. In AZ we also observed a synergistic interaction effect on likelihood of *Salmonella* isolation and likelihood of *eaeA-stx* codetection

between dissolved oxygen and solar radiation, and between dissolved oxygen and water temperature (**Supplementary Figure S12**). We also found evidence of two-way interactions between turbidity and other factors (**Supplementary Figures S14–S19**). For instance, *E. coli* levels in NY were highest when rainfall 0–1 days BSC was >1 cm and turbidity was >10 NTU compared to when rainfall 0–1 days BSC was <1 cm or turbidity was <10 NTU (**Supplementary Figure S14**). In NY we also observed a synergistic interaction effect between turbidity and (i) rainfall 0–1 days BSC on the likelihood of isolating *Salmonella*, and (ii) flow rate on estimated *E. coli* levels (**Supplementary Figure S15**). Unlike the enteric targets, an antagonistic interaction effect on likelihood of *L. monocytogenes* isolation was observed for turbidity and rainfall 0–1 days BSC, and turbidity and flow rate (**Supplementary Figure S15**). Interactions between *E. coli* levels and other factors also appear to affect likelihood of pathogen detection (**Supplementary Figures S16–S19**). For instance, in AZ, likelihood of *Salmonella* isolation was lowest when *E. coli* levels were <200 MPN/100-mL and avg. air temperature was <20°C, compared to when *E. coli* levels were >200 MPN/100-mL or avg. air temperature was >20°C (**Supplementary Figure S17**). The likelihood of isolating *Salmonella* in NY GS appeared to be highest when *E. coli* levels were >1,350 MPN/100-mL and turbidity was >30 NTUs compared to when turbidity was <30 NTUs or *E. coli* levels were <1,350 MPN/100-mL (**Supplementary Figure S18**).

Relationship Between *E. coli* Levels and Pathogens in GS

The relationship between the log₁₀ MPN of *E. coli*/100 mL and pathogen detection was characterized using generalized linear mixed models. Models could not be developed to characterize the relationship between *Listeria* isolation and *E. coli* levels in AZ due to the low prevalence of *Listeria* in AZ. According to these analyses, *Salmonella* isolation in AZ, and *eaeA-stx* codetection in AZ and NY were significantly associated with *E. coli* levels, but *L. monocytogenes* and *Salmonella* isolation in NY were not (**Table 4**). The odds of isolating *Salmonella* from AZ GS increased by a factor of 4 (95% CI = 1.5, 13.5) for each log₁₀ increase in the MPN of *E. coli*/100-mL. The odds of codetecting *eaeA* and *stx* in AZ and NY GS increased by a factor of 50 (95% CI = 4.1, 621.9) and a factor of 18 (95% CI = 5.4, 62.9), respectively, for each log₁₀ increase in the MPN of *E. coli*/100-mL.

We also assessed the predictive accuracy of the proposed FSMA standard [geometric mean < 126 CFUs/100-mL and STV < 410 CFUs/100-mL; (Food and Drug Administration, 2015)] to identify waterways with a high or low risk of pathogen presence at time of water use. Briefly, bootstrapping was used to simulate water sampling to create a microbial water quality profile (MWQP) composed of 20 samples ($N = 10,000$ MWQPs per waterway). The last GS selected for inclusion in each MWQP represented water quality at time of water use. The geometric mean and STV varied substantially among the simulated MWQPs for a given waterway (**Figure 2**). While approximately 50% of MWQPs in AZ and 27% of MWQPs in NY met the proposed FSMA standard (**Figure 2** and **Table 5**),

TABLE 4 | Results of generalized linear mixed models that characterized the relationship between the log₁₀ MPN of *E. coli* level/100-mL and pathogen detection in grab samples.

Target	Fixed Effects			Variance of Random Effects (SD ^c)	
	Change in Odds ^a	95% CI ^b	P-value	Year-day	Site
<i>L. monocytogenes</i>					
New York	1.13	0.46, 2.77	0.786	0.5 (0.7)	0.0 (0.0)
<i>Listeria</i> spp. ^d					
New York	0.97	0.37, 2.52	0.943	2.3 (1.5)	1.3 (1.2)
<i>Salmonella</i>					
Arizona	4.43	1.46, 13.47	0.009	2.1 (1.4)	0.0 (0.0)
New York	1.53	0.71, 3.30	0.274	1.3 (1.1)	0.2 (0.4)
<i>eaeA</i> and <i>stx</i>					
Arizona	50.20	4.05, 621.88	0.002	12.1 (3.5)	6.6 (2.6)
New York	18.40	5.39, 62.86	<0.001	1.1 (1.0)	0.1 (0.3)

^aChange in the odds of detecting the target organism for a log₁₀ increase in the *E. coli* concentration. ^b95% Confidence Interval. ^cStandard Deviation. ^dIncludes *L. monocytogenes*. If only non-pathogenic *Listeria* spp. were included, the odds of detecting *Listeria* spp. excluding *L. monocytogenes* decreased (OR = 0.94; 95%CI = 0.40, 2.19; P = 0.876) for each log₁₀ increase in the MPN of *E. coli* level/100-mL; the variance of year-day and site were 0.6 (0.8) and 0.9 (0.9), respectively.

the percent of pathogen-positive MWQPs that met the standard ranged between 20% (*eaeA-stx* codetection in NY) and 72% (*L. monocytogenes* in AZ). In general, the efficacy of the proposed standard for identifying waterways contaminated by pathogens appears to be region and pathogen-specific. For instance, while the odds of *E. coli* levels exceeding the standard was 2.6 times greater for streams positive *eaeA* and *stx* compared to streams negative for both genes [DOR = 2.6], the odds of *E. coli* levels exceeding the standard were approx. equal for canals positive *eaeA* and *stx*, and for canals negative for both genes (DOR = 0.99). We also found that the odds of *E. coli* levels exceeding the standard was lower for *L. monocytogenes*-positive waterways compared to *L. monocytogenes*-negative waterways (DOR = 0.4 in AZ; DOR = 0.8 in NY).

DISCUSSION

This study was conducted to (i) characterize the relationship between *E. coli* levels and pathogen presence in agricultural water, and (ii) identify environmental factors associated with pathogen detection. This study is unique in its use of machine learning approaches (e.g., random forest analysis, partial dependence plots) to examine the impact of interactions between environmental factors on the likelihood of detecting foodborne pathogens or pathogen markers in agricultural water. This study also provided data on several understudied topics, including (i) the prevalence of food safety hazards in NY agricultural water, and (ii) the recovery of *Listeria* from surface water sources using Moore swabs (MS) compared to grab samples (GS). Overall, our study showed that sampling methods can affect reported pathogen prevalence and that environmental heterogeneity affects microbial water quality. Specifically, interactions between environmental factors (e.g., rainfall, turbidity) mediated the relationship between *E. coli* levels and likelihood of pathogen detection in the current study. As such, *E. coli* levels alone may not be a suitable indicator of the food safety risks associated with

preharvest water use. Instead, alternative methods that utilize environmental and microbial data are needed to assess the food safety risks associated with preharvest water use. However, the findings reported here have to be viewed in the context of several limitations. For instance, the data reported here were collected from nine waterways over one growing season. Thus, additional studies are needed to determine if our findings are generalizable for the sampled waterways in future years, and to other waterways in AZ, NY, and other regions. Additionally, while the NY streams were randomly selected from all eligible streams, the AZ canals were enrolled based on convenience for the sampling team, which means that the AZ data may be affected by selection bias. For instance, a cattle feedlot was immediately upstream and next to Canal A, while feedlots were not present near Canals B and C. This could explain why Canal A had significantly higher *E. coli* levels than Canals B and C. Despite this limitation, our study highlights the variability in microbial water quality in AZ and is illustrative of the problems associated with using a single microbial indicator to identify when food safety hazards may be present in agricultural water. Despite the aforementioned limitations, our findings suggest that management of agricultural water-associated microbial food safety risks is not amenable to one-size-fits-all approaches due to the heterogeneous nature of freshwater environments. Instead, approaches that account for temporal variation in environmental conditions are needed.

Reported Pathogen Prevalence Differed When Different Sampling Methods Were Used

One objective of this study was to compare the ability of 24-h MS and 10-L GS to detect foodborne pathogens in surface water. Conceptually, a GS acts as a snapshot and provides data on water quality at a specific time, while MS capture bacteria that flow through the waterway over a given time period. We therefore hypothesized that MS would be better than GS at detecting pathogens. As predicted, the likelihood of *Salmonella*

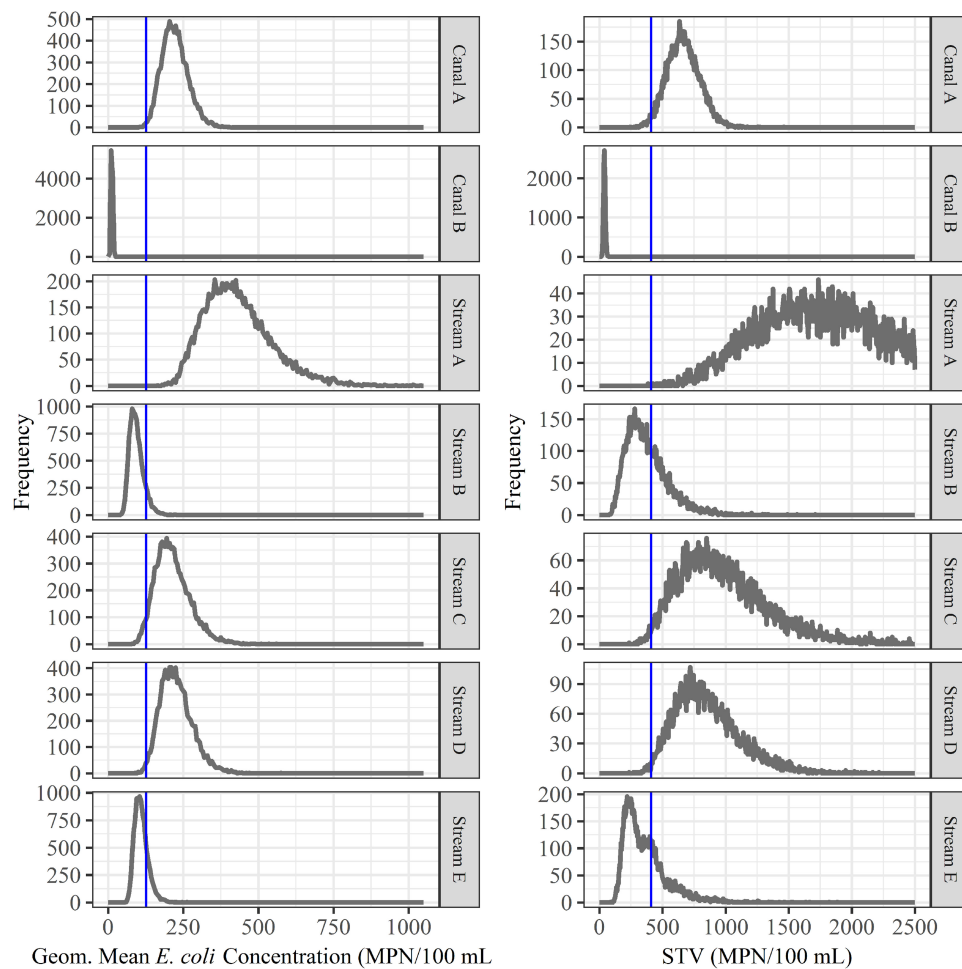


FIGURE 2 | Bootstrapping was used to simulate water sampling to create microbial water quality profiles (MWQP) composed of 20 samples ($N = 10,000$ MWQPs per waterway). The graphs show the geometric mean and statistical threshold value (STV) for all MWQPs for each waterway. The blue line represents the proposed FSMA standard cut-offs (geometric mean < 126 CFU/100 mL and STV < 410 CFU/100 mL; Food and Drug Administration, 2015). Note that the y-axis varies between plots.

isolation, and *eaeA-stx* codetection was significantly greater for MS compared to GS. Past studies that compared the ability of MS and GS to detect pathogens in surface water reported similar results (Hoganson and Elliott, 1972; Colburn et al., 1990; Benjamin et al., 2013). For example, a California study that used MS and 100-mL GS found that the proportion of *E. coli* O157:H7-positive MS (13.8%; 12/87) was significantly greater than the proportion of O157:H7-positive GS (1.8%; 10/558; Benjamin et al., 2013). Unlike *Salmonella* isolation and *eaeA-stx* codetection, the likelihood of *Listeria* isolation was significantly lower for MS compared to GS in our study. One explanation for the lower than expected rate of *Listeria* detection by MS is that competitive microflora in the MS inhibited *Listeria* recovery. In fact, we observed more competitive microflora and fewer *Listeria*-like colonies when plating MS enrichments compared to paired GS enrichments. Past studies (Buzoleva and Terekhova, 2002; Francis and O'Beirne, 2002; Hansen et al., 2006; Habimana et al., 2011; McLaughlin et al., 2011; Locatelli et al., 2013), which found

that the presence of other microflora inhibited *Listeria* survival support this hypothesis. *Salmonella* recovery may not have been affected by competitive microflora because samples used for *Salmonella* isolation underwent multiple selective enrichment steps while samples used for *Listeria* isolation underwent one selective, enrichment step. Overall, our findings indicate that appropriate water sampling methods depend on the reason for sample collection. Specifically, the organism of concern (e.g., AZ leafy green growers concerned about pathogenic *E. coli* may decide to use MS as opposed to GS), time constraints (MS require 2 site visits but GS require 1 visit), outcome of interest (e.g., MS cannot be used to calculate concentrations since the volume of water that flows through a MS is unknown), and the potential loss of MS (e.g., to storms, human tampering) should be considered when selecting a sampling method.

It is important to note that the *L. monocytogenes* prevalence in the current study was substantially lower than the prevalence reported by past studies that used the same enrichment and

TABLE 5 | Ability of the proposed FSMA agricultural water standard (Food and Drug Administration, 2015) to predict *L. monocytogenes*, and *Listeria* spp., *Salmonella* isolation, and *eaeA-stx* codetection in agricultural water for a simulated dataset generated using a bootstrapping method.

Target	Proportion of Pathogen Positive MWQPs that Met the Standard ^a	DOR ^b	Sensitivity	Specificity
<i>L. monocytogenes</i>				
Arizona	72%	0.39	0.28	0.49
New York	32%	0.75	0.68	0.26
<i>Listeria</i> spp.				
Arizona	72%	0.39	0.28	0.49
New York	39%	0.29	0.61	0.16
<i>Salmonella</i>				
Arizona	40%	1.80	0.60	0.55
New York	27%	1.03	0.74	0.27
<i>eaeA-stx</i>				
Arizona	51%	0.99	0.49	0.50
New York	20%	2.60	0.80	0.40

^aA simulated microbial water quality profile (MWQP) exceeded the proposed standard if the geometric mean was ≥ 126 CFUs/100 mL or the statistical threshold value was ≥ 410 CFUs/100 mL. In total, 50% of AZ subsets and 27% of NY subsets met the standard (regardless of pathogen status). ^bDiagnostic odds ratio = (Odds of *E. coli* levels exceeding the proposed thresholds in waterways contaminated by the target pathogen)/(Odds of *E. coli* levels exceeding the proposed thresholds in waterways not contaminated by the target pathogen). DOR < 1 indicates that not meeting the proposed standard is associated with a reduced risk of pathogen detection, a DOR ~ 1 indicates that exceeding the proposed standard does not relate to pathogen contamination status, and a DOR > 1 indicates that exceeding the standard is associated with pathogen presence.

isolation protocols as this study (Strawn et al., 2013a,b; Chapin et al., 2014; Weller et al., 2015a,b). For instance, we isolated *L. monocytogenes* from 6% (2/32) of GS collected from Stream C, while studies that sampled Stream C at approx. the same site in 2013 and 2014 isolated *L. monocytogenes* from 71% (15/21; unpublished) and 63% [33/52; (Weller et al., 2015a)] of 250-mL GS, respectively. The larger sample volume in the current study required a change in GS processing; instead of filtering through a 0.45 μ m filter like previous studies (Strawn et al., 2013a,b; Chapin et al., 2014; Weller et al., 2015a,b), GS were filtered using mMS. We speculate that the lower than expected *Listeria* prevalence in the current study is because the mMS-method has not been optimized for *Listeria* recovery. Indeed, while several studies examined *Salmonella* and *E. coli* recovery using mMS (Bisha et al., 2011, 2014; McEgan et al., 2013b; Sbodio et al., 2013; Zhu et al., 2019), no study, to our knowledge, has quantified *Listeria* recovery using mMS. Such a study is needed if the mMS approach is to be incorporated into industry and government water testing programs as previously suggested (Bisha et al., 2014).

Microbial Water Quality Varied Across Time and Space

Random forest analysis identified associations between temporal factors and (i) *E. coli* levels, and (ii) the likelihood of pathogen detection. For example, year-day (number of days since Jan. 1, 2017) was among the top-ranked factors associated with *L. monocytogenes* and *Listeria* spp. isolation from NY GS. The identification of an association between year-day and microbial water quality suggests that microbial water quality varied seasonally, which is consistent with previous studies' findings (Carter et al., 1987; Horman et al., 2004; Wilkes et al., 2009; Gorski et al., 2011; Cooley et al., 2014; Falardeau et al., 2017). For example, Falardeau et al. (2017) reported that *L. monocytogenes*

was more prevalent in winter than summer in agricultural watersheds in British Columbia, Canada. Similarly, Cooley et al. (2014) found that the likelihood of isolating *L. monocytogenes* from California surface water samples was significantly higher in winter and spring compared to summer and fall. Cooley et al. (2014) and Falardeau et al. (2017) attributed this to increased rainfall and lower temperatures in winter and spring compared to summer and fall; seasonal patterns in temperature observed in the current study support this hypothesis. However, year-day may also serve as a proxy for unmeasured seasonal factors, such as anthropogenic reductions in water flow (e.g., damming of irrigation ditches) (Falardeau et al., 2017). While we did not observe this type of activity, all of the sampled waterways are in agricultural areas and provide water to commercial farms. As such, upstream activity that varied over the course of the growing season may have contributed to the seasonal variation in microbial water quality discussed above.

Microbial water quality and likelihood of pathogen detection also varied between waterways in our study. Sample site, which is unique to each waterway, was among the top-ranked factors associated with *E. coli* levels in AZ, and with *Listeria* spp. and *eaeA-stx* detection in NY GS. Given the number of factors that differ between waterways and may affect water quality, this is not surprising. In fact, multiple studies have found associations between microbial water quality and upstream land use (Lyautey et al., 2007; Pandey et al., 2012; Verhoughstraete et al., 2015; Bradshaw et al., 2016; Brendel and Soupir, 2017; Dila et al., 2018). For instance, Pandey et al. (2012) tracked water quality at 46 sites in an Iowa watershed and found that *E. coli* levels were positively associated with the amount of cropland around each site. Associations between microbial water quality, and proximity to upstream livestock operations (Bond and Partyka, 2004; Lyautey et al., 2010; Wilkes et al., 2011), the number of septic systems in a watershed (Verhoughstraete et al., 2015), and

livestock and human population density (Falardeau et al., 2017; Dila et al., 2018) have also been reported. *Post hoc* identification of factors that drive spatial variation in water quality is difficult and requires data that were not collected as part of the current study. Despite this limitation, our findings indicate that microbial water quality varies between waterways. As such, future studies should consider the impact of spatial factors, in addition to weather and physicochemical water quality, when investigating associations between environmental conditions and microbial water quality.

Weather and Physicochemical Water Quality Were Associated With *E. coli* Levels as Well as the Likelihood of Detecting Foodborne Pathogens in Surface Water

Although the top-ranked factors associated with *E. coli* levels in AZ were site, DO, and air temperature, the variable importance scores (VI) for site and dissolved oxygen were substantially larger than the VI scores for air temperature. Similarly, the VI scores for turbidity, flow rate and pH, the 3 top-ranked factors associated with *E. coli* levels in NY, were substantially greater than the score for min. air temperature, the 4th-ranked factor. This suggests that *E. coli* levels were more strongly associated with physicochemical water quality than weather in the current study. Multiple studies have identified associations between physicochemical water quality and *E. coli* levels (Christensen et al., 2000; Horman et al., 2004; Roslev et al., 2004; Ansa et al., 2011; Rao et al., 2015; Stocker et al., 2016). A study that examined water quality along three Ecuadorian rivers found a negative association between dissolved oxygen and *E. coli* levels (Rao et al., 2015). One explanation for this inverse relationship between dissolved oxygen and *E. coli* levels is that the ability of UV radiation to damage bacterial cells is positively associated with dissolved oxygen (Curtis et al., 1992; Davies-Colley et al., 1997; Ansa et al., 2011; Ouali et al., 2014). While this hypothesis is supported by the NY data generated as part of the current study (*E. coli* levels in NY were highest when both dissolved oxygen and solar radiation were low), it is not supported by the AZ data reported here (*E. coli* levels in AZ were highest when dissolved oxygen was low and solar radiation was high). This discrepancy may be due to the correlation between solar radiation and temperature in AZ, which confounds the true nature of the interaction between dissolved oxygen and solar radiation.

While some studies identified an association between rainfall and microbial water quality (Wilkes et al., 2011; Pandey et al., 2012; Won et al., 2013; Francy et al., 2013; Stocker et al., 2016), other studies did not (Benjamin et al., 2013; McEgan et al., 2013a; Won et al., 2013). Interestingly, a survey of Florida water sources, which did not find an association between *Salmonella* levels and rainfall, hypothesized that rainfall did not have a direct effect on microbial water quality, and instead interacted with other factors to affect microbial water quality (McEgan et al., 2013a); our findings support this hypothesis. For example, we found evidence that interactions between rainfall and turbidity were

associated with *E. coli* levels, *Salmonella* detection, and *eaeA-stx* detection in NY. Rainfall and turbidity are both indicative of conditions (e.g., increased surface run-off, flooding) that facilitate pathogen movement from environmental sources into streams, which may explain the synergistic interaction observed here. Stream sediments can also act as an in-channel store of bacteria, and disturbance of these sediments during rain events can re-introduce bacteria into the water column and concomitantly elevate turbidity levels (Nagels et al., 2002; Muirhead et al., 2004; Jamieson et al., 2005). However, due to the correlation between environmental factors in our study, determining the exact nature of the interactions observed requires additional data not collected and is beyond the scope of the present study. Despite this limitation, our findings suggest temporal environmental heterogeneity affects microbial water quality and should be taken into account when designing strategies for mitigating food safety risks associated with preharvest surface water use.

Even though our study found that complex interactions between weather and water quality factors were associated with microbial water quality, our findings also suggest relationships between specific factors and microbial water quality are reproducible. These factors may, therefore, be useful as supplemental indicators of microbial water quality. For example, multiple studies (Christensen et al., 2000; Nagels et al., 2002; Horman et al., 2004; Francy et al., 2013; Rao et al., 2015; Havelaar et al., 2017; Topalcengiz et al., 2017), including the study reported here and the Ecuadorian study discussed above (Rao et al., 2015), found a positive association between *E. coli* levels and temperature, or between *E. coli* levels and turbidity. Francy et al. (2013) surveyed recreational water quality at 22 Ohio beaches along inland lakes and found that turbidity was one of the best predictors of *E. coli* levels. Like the study presented here, previous studies have also found associations between turbidity and pathogen presence in surface water (Wilkes et al., 2011; Francy et al., 2013; Partyka et al., 2018). For instance, Wilkes et al. (2011) developed models to predict the presence of foodborne pathogens in Ontario, Canada surface water, and found that turbidity was an informative predictor of *E. coli* O157:H7 and *L. monocytogenes* presence.

Although we identified a relationship between temperature and model outcomes in 14 of the 15 random forests reported here, this relationship is complex and, as such, temperature may not be a suitable supplemental indicator of microbial water quality. For instance, temperature was correlated with several other factors (e.g., year-day, solar radiation), which obfuscates our ability to interpret the relationship between temperature and likelihood of pathogen detection. Moreover, based on the findings of this and other studies, the strength and direction of the relationship between temperature and microbial water quality appear to be pathogen, region, and/or waterway-specific (Francy et al., 2013; Luo et al., 2015; Truchado et al., 2018). Indeed, Francy et al. (2013) found that the strength and direction of correlation between water temperature and *E. coli* levels differed between the 22 Ohio lakes studied. Overall, the findings from this and other studies suggest that turbidity but not temperature may be a useful

supplemental indicator of microbial water quality (Stocker et al., 2016; Havelaar et al., 2017).

The Relationship Between *E. coli* Levels and Pathogen Detection in Surface Water Appears to Be Mediated by Environmental Conditions

In the current study, we found that the relationship between *E. coli* levels and pathogen detection was region- and pathogen-specific. These findings are not unexpected since the relationship between *E. coli* levels and pathogen presence in surface water varied widely between past studies (Harwood et al., 2005; Wilkes et al., 2009; Benjamin et al., 2013; Economou et al., 2013; McEgan et al., 2013a; Pachepsky et al., 2015). Similarly, McEgan et al. (2013a) found that the relationship between *E. coli* and *Salmonella* levels varied substantially between 18 Florida waterways, and hypothesized that environmental factors mediated the relationship between *E. coli* and *Salmonella* levels in their study (McEgan et al., 2013a). Their hypothesis is supported by the findings of Bradshaw et al. (2016), who used classification trees to predict when Georgia waterways were contaminated by enteric pathogens and found that *E. coli* was a useful predictor only when certain conditions were met. Specifically, *E. coli* levels were useful for identifying (i) *Salmonella*-positive samples when dissolved oxygen < 11.3 mg/L and pH < 6.65, and (ii) *stx*-positive samples when air temperature $\geq 13^{\circ}\text{C}$ (Bradshaw et al., 2016). These findings suggest that the relationship between *E. coli* levels and likelihood of pathogen presence may be weather, region, and/or pathogen-dependent. As such, the use of *E. coli* alone may not be a suitable indicator of different food safety risks associated with preharvest surface water use; this conclusion is consistent with other recent studies (e.g., Havelaar et al., 2017; Truitt et al., 2018).

Due to the continued use of *E. coli* to monitor agricultural water for potential food safety hazards (Food and Drug Administration, 2015; California Leafy Greens Marketing Agreement, 2017), understanding the effect of environmental conditions on the relationship between *E. coli* levels and pathogen presence in surface water is critical. This study sought to address this knowledge gap and found that interactions between *E. coli* levels and multiple environmental factors, including dissolved oxygen and turbidity, affected the strength and/or direction of the relationship between *E. coli* levels and one or more pathogens. One of the basic tenets of ecology is that different organisms will respond differently to the same conditions. One would therefore expect pathogens to respond to environmental conditions in a different manner than *E. coli* (e.g., *Salmonella* populations may persist while *E. coli* populations may die-off under a given condition). Indeed, multiple studies have shown that *E. coli* and foodborne pathogens respond differently to solar radiation (McCambridge and McMeekin, 1981; Sinton et al., 2007; Jenkins et al., 2011), and temperature (Rhodes and Kator, 1988; Martinez et al., 2014). In fact, a review that compiled findings on *E. coli* and *Salmonella* survival in non-host environments concluded that *Salmonella* was able to survive under a wider variety of environmental conditions and

persist for longer in aquatic environments than *E. coli* (Winfield and Groisman, 2003). The variation in the relationship between *E. coli* levels and pathogen presence observed here and in other studies (e.g., McEgan et al., 2013a), may also be a product of the fact that sources of generic *E. coli* and specific pathogens may differ. Recent studies have found evidence that *E. coli*, including pathogenic *E. coli* and *Salmonella* can exist as autochthonous or naturalized populations in non-host environments [e.g., water (Hendricks, 1967; Goto and Yan, 2011; McEgan et al., 2013a), algal mats (Byappanahalli et al., 2003; Whitman et al., 2003; Ksoll et al., 2007), soil (Ishii et al., 2010; Nautiyal et al., 2010; Goto and Yan, 2011; NandaKafle et al., 2018)]. Thus, the co-occurrence of *E. coli* and foodborne pathogens in surface water environments is not necessarily evidence of a recent fecal contamination event but may instead result from conditions that facilitate the growth and survival of both organisms (McEgan et al., 2013a). Thus, our conclusion that environmental conditions mediate the relationship between *E. coli* levels and the likelihood of pathogen contamination of surface water sources is logical when viewed in the context of the existing ecological literature. By mediating the relationship between *E. coli* levels and pathogen presence in surface water, environmental conditions complicate interpretation of *E. coli*-based water test results, further illustrating the problems with using a single parameter (i.e., *E. coli* levels) as the primary basis for making decisions on how to best mitigate food safety risks associated with preharvest surface water use for produce production.

The Proposed FSMA Standard Is Not Indicative of the Food Safety Risks Associated With Preharvest Surface Water Use

It is important to consider how water testing results are interpreted when examining the use of *E. coli* as an indicator of potential food safety hazards in preharvest surface water. For example, the proposed FSMA standard states that growers must collect 20 samples over a 2 to 4 year period to create a microbial water quality profile (MWQP; Food and Drug Administration, 2015). The geometric mean *E. coli* level and STV of the MWQP must be ≤ 126 CFUs/100 mL and ≤ 410 CFUs/100 mL, respectively (Food and Drug Administration, 2015). We found that the geometric mean and STV varied substantially among the simulated MWQPs for each waterway; for instance, the geometric mean of the simulated MWQPs for Stream E varied between 56 and 265 MPN/100-mL. This indicates that meeting the proposed FSMA standard is largely a function of when the water samples that comprise the MWQP were collected, and that meeting the standard may be a poor approximation of *E. coli* levels in surface water at the time of water use. Additionally, when we quantified the ability of the proposed standard to identify the pathogen status of the simulated MWQPs, we found that the predictive accuracy of the proposed standard was poor with regard to predicting (i) *eaeA-stx* codetection, or *L. monocytogenes* detection in AZ canal water, and (ii) *Salmonella* or *L. monocytogenes* detection in NY stream water (DOR was less than or approximately 1). One limitation of this simulated

sampling is that our samples were collected over one growing season while the proposed standard uses samples collected over 2–4 years to create the MWQP. However, our conclusions are logical given the temporal variation in the microbial quality of surface water observed in this and other studies (Goyal et al., 1977; Hipsey et al., 2008; Payment and Locas, 2011; Pandey et al., 2012) as well as our finding that the relationship between *E. coli* levels and pathogen presence was mediated by environmental conditions. Our conclusion is also consistent with that of Havelaar et al. (2017) who also examined the predictive accuracy of the proposed FSMA water quality standard and found that MWQPs consisting of 20 samples were insufficient to capture variability in *E. coli* concentrations in Floridian agricultural water. Overall these findings suggest that *E. coli* alone may not be a reliable indicator of the food safety risks associated with preharvest surface water use. The fact that other studies (Harwood et al., 2005; Ishii and Sadowsky, 2008; Benjamin et al., 2013; McEgan et al., 2013a; Pachepsky et al., 2015; Havelaar et al., 2017; Topalcengiz et al., 2017; Truitt et al., 2018) conducted in other regions, in other years, and using different protocols reached the same conclusion as the study reported here, suggests that our conclusion is robust despite limitations associated with our study's observational nature and time frame.

CONCLUSION

Using advanced machine learning approaches this study showed that microbial water quality is associated with temporal environmental heterogeneity. As such, the food safety risks associated with preharvest use of a given surface water source are not constant over time and instead depend on environmental conditions at the time of water use. Our findings also indicate that (i) the relationship between *E. coli* levels and pathogen presence in surface water is mediated by environmental conditions, and (ii) *E. coli* levels alone may not be a suitable indicator of the food safety risks associated with preharvest surface water use. Instead, alternative approaches [e.g., e.g., models that incorporate data on *E. coli* levels and environmental conditions, incorporation of turbidity as a supplementary indicator into *E. coli*-based water quality monitoring programs] are needed to improve growers' ability to identify and address these food safety risks in real-time. Given the dynamic and complex nature of surface water systems these alternative approaches need to (i) account for

temporal variation in weather, and in physicochemical and water quality, and (ii) provide insights on microbial water quality at the time of water use.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

AUTHOR CONTRIBUTIONS

DW and MW conceived the project. DW, MW, and CR designed the study and wrote the grant. DW, MW, CR, and NB coordinated the efforts between the NY and AZ teams. DW and NB oversaw the day-to-day aspects of the project. DW, NB, SR, and EG carried out the field and laboratory work. DW, EM, and RI developed the data analysis plan, which DW implemented. DW wrote the manuscript with input from all other authors.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmicb.2020.00134/full#supplementary-material>

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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