Check for updates

#### **OPEN ACCESS**

EDITED BY Thierry Thévenot, University Hospital of Besançon, France

REVIEWED BY Avinash Aujayeb, Northumbria Healthcare NHS Foundation Trust, United Kingdom Lucile Moga, Hôpital Beaujon, France

\*CORRESPONDENCE Wenjun Du ⊠ crbdwj@126.com

<sup>†</sup>These authors have contributed equally to this work

RECEIVED 15 February 2023 ACCEPTED 04 May 2023 PUBLISHED 01 June 2023

#### CITATION

Bai X, Liu X, Shi Y, Li W, Li Q and Du W (2023) Risk factors for hepatic hydrothorax in patients with cirrhosis: a clinical retrospective study. *Front. Med.* 10:1165604. doi: 10.3389/fmed.2023.1165604

#### COPYRIGHT

© 2023 Bai, Liu, Shi, Li, Li and Du. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

# Risk factors for hepatic hydrothorax in patients with cirrhosis: a clinical retrospective study

Xue Bai<sup>1†</sup>, Xiaoyan Liu<sup>2†</sup>, Yanhui Shi<sup>1</sup>, Wenwen Li<sup>3</sup>, Qiang Li<sup>2</sup> and Wenjun Du<sup>2\*</sup>

<sup>1</sup>Cheeloo College of Medicine, Shandong University, Jinan, China, <sup>2</sup>Department of Liver Diseases, Shandong Public Health Clinical Center, Shandong University, Jinan, China, <sup>3</sup>ICON Plc, Beijing, China

**Aims and background:** Hepatic hydrothorax, which presents as an unexplained pleural effusion, is one of the important complications in patients with end-stage cirrhosis. It has a significant correlation with prognosis and mortality. The aim of this clinical study was to detect the risk factors for hepatic hydrothorax in patients with cirrhosis and to better understand potentially life-threatening complications.

**Methods:** Retrospectively, 978 cirrhotic patients who were hospitalized at the Shandong Public Health Clinical Center from 2013 to 2021 were involved in this study. They were divided into the observation group and the control group based on the presence of hepatic hydrothorax. The epidemiological, clinical, laboratory, and radiological characteristics of the patients were collected and analyzed. ROC curves were used to evaluate the forecasting ability of the candidate model. Furthermore, 487 cases in the experimental group were divided into left, right, and bilateral groups, and the data were analyzed.

**Results:** The patients in the observation group had a higher proportion of upper gastrointestinal bleeding (UGIB), a history of spleen surgery, and a higher model for end-stage liver disease (MELD) scores compared with the control group. The width of the portal vein (PVW) (P = 0.022), prothrombin activity (PTA) (P = 0.012), D-dimer (P = 0.010), immunoglobulin G (IgG) (P = 0.007), high-density lipoprotein cholesterol (HDL) (P = 0.022), and the MELD score were significantly associated with the occurrence of the hepatic hydrothorax. The AUC of the candidate model was 0.805 (P < 0.001, 95% CI = 0.758–0.851). Portal vein thrombosis was more common in bilateral pleural effusion compared with the left and right sides (P = 0.018).

**Conclusion:** The occurrence of hepatic hydrothorax has a close relationship with lower HDL, PTA, and higher PVW, D-dimer, IgG, and MELD scores. Portal vein thrombosis is more common in cirrhotic patients with bilateral pleural effusion compared to those with unilateral pleural effusion.

#### KEYWORDS

liver cirrhosis, hepatic hydrothorax, risk factors, MELD scores, portal vein

## Introduction

Hepatic hydrothorax (HH) is an important complication of decompensated cirrhosis and portal hypertension. It is a pleural effusion, typically of more than 500 mL, in patients with liver cirrhosis that do not present with coexisting underlying cardiac or pulmonary diseases (1, 2). The occurrence of HH has been reported in 4-16% of patients with cirrhosis. Most patients with HH have ascites in combination, of which 59-85% are right-sided, 12-17% are left-sided, and 2-29% are bilateral (1, 3-7). There were very few reported cases of HH without ascites (8, 9). HH was first reported by Morrow et al. (10) while describing a rapid accumulation of massive right pleural effusion; however, the pathogenesis is still unclear. One of the well-accepted theories is that a "positive" intraabdominal pressure and a "negative" intrathoracic pressure of the pleural cavity cause ascites to enter the thoracic cavity through a physiological defect in the diaphragm (11-14). HH has a close relationship with poor prognosis (15). Once HH appears, liver transplantation is considered to be the most specific and effective treatment for those with refractory disease. In addition to diuretics, sodium restriction, and therapeutic thoracentesis (15-18), the use of trans-jugular intrahepatic portosystemic shunts (TIPSs) and surgical repair of diaphragmatic defects relieve the symptoms of HH but are usually limited in clinical practice due to economic factors (19, 20).

Previous studies have suggested some factors that are related to the occurrence of HH. Hou et al. claimed HH was positively associated with moderate-large ascites, Child–Pugh class B– C, lower albumin (Alb), higher prothrombin time (PT), and international normalized ratio (INR) (21). Deleuran et al. and Matei et al. indicated that bilirubin, diabetes, and non-use of non-selective beta-blockers were risk factors for hepatic hydrothorax (7, 22). Based on the abovementioned studies, we retrospectively analyzed the epidemiological characteristics, clinical manifestations, and laboratory indicators of cirrhotic patients with pleural effusion and tried to explore more risk factors of HH, in order to better understand these complications of cirrhosis.

## Materials and methods

### Patients and setting

By using electronic medical records, a total of 3,336 patients with cirrhosis, including 1,183 cases with pleural effusion and 2,153 cases without pleural effusion, who were hospitalized at the Shandong Public Health Clinical Center from 2013 to 2021 were involved in this study. All patients matched the International Classification of Diseases, 10th revision (ICD-10) codes for liver cirrhosis (ICD-10: K74.1–K74.6/K70.300) and ascites (R18). For patients with repeated hospitalizations during 2013–2021, only their first hospitalization, which satisfied the above criteria, was entered. Of these, 1,183 patients had confirmed pleural effusion by relevant imaging examinations (chest X-ray, ultrasonography, or CT). Finally, a total of 487 patients with HH were enrolled in our study as the observation group. Similarly, 491 patients were randomly selected from the remaining 2,153 samples without pleural effusion as the control group by applying the random number generator in SPSS (Figure 1). The patients in the two met all the criteria and the exclusion criteria were as follows: (1) cardiopulmonary dysfunction (ICD-10: I00-I99, J00-J89, J95-J97), (2) tuberculosis (ICD-10: A15-A16), (3) malignancies (ICD-10: C00-C97), (4) pleural disease (ICD-10: J90-J94), and (5) patients with TIPS (ICD-9-CM: 39.1). Among the 487 cases with HH, there were 99 cases with left pleural effusions, 217 cases with right pleural effusions, and 171 cases with bilateral pleural effusions.

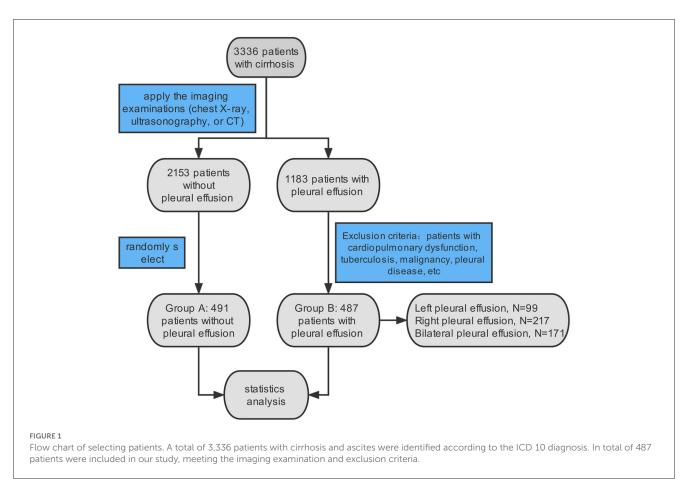
## Data collection

We analyzed the epidemiological characteristics, clinical manifestations, and laboratory findings of patients from each group, including demographic characteristics, etiology, complications or combination diseases, operation history, blood transfusion history, and laboratory tests. Demographic characteristics comprised age and sex. Etiology involved hepatitis B cirrhosis, hepatitis C cirrhosis, alcoholic cirrhosis, autoimmune hepatitis (AIH), primary biliary cirrhosis (PBC), and cirrhosis caused by unknown factors. Complications or combination diseases involved upper gastrointestinal bleeding, esophageal and gastric fundal varices, hepatic encephalopathy, bacterial peritonitis, portal vein thrombosis, hypertension, and diabetes. Operation history included splenectomy or splenic embolization. Laboratory findings included alanine aminotransferase (ALT), aspartate aminotransferase (AST), y-glutamyl transpeptidase (GGT), albumin (Alb), total cholesterol (TC), triglyceride (TG), high-density lipoprotein cholesterol (HDL-c), creatinine (Cr), white blood cell count (WBC), hemoglobin (Hb), platelet count (PLT), prothrombin activity (PTA), D-dimer, immunoglobulin G (IgG), interleukin-6 (IL-6), C reactive protein (CRP), and serum amyloid A (SAA). The width of the portal vein (PVW) by ultrasonic examination was also taken into account in the study. The examinations for diagnosis of portal vein thrombosis were enhanced by CT or magnetic resonance imaging. Liver disease severity was estimated using the Child-Pugh stage and the model of end-stage liver disease (MELD) score. The abovementioned data were the first lab test results of the patients after the diagnosis of HH during this hospitalization.

## Statistics analysis

Clinical parameters were evaluated using the chi-square test for discrete variables and the *t*-test or Mann–Whitney *U*-test for continuous variables. Multiple logistic regression analyses were performed to identify the factors associated with the occurrence of HH. For the regression results, 30% of the total sample was randomly selected as the validation group to verify the statistical results. The Kruskal–Wallis test was used for comparison among the three groups of left, right, and bilateral pleural effusions. A *P*-value of <0.05 was considered statistically significant. All data

Abbreviations: HH, hepatic hydrothorax; MELD, models for end-stage liver disease; CTP score, Child–Turcotte–Pugh score; PVW, the width of the portal vein; PTA, prothrombin activity.



analyses were performed using SPSS v. 25.0 (SPSS Inc., Chicago, IL, USA).

# Results

# Baseline characteristics in the HH group compared with the control group

A total of 988 cirrhotic inpatients were enrolled in this study, including 487 cases with HH as the observation group and 491 cases without HH as the control group. There were 358 male subjects in the observation group and 339 male subjects in the control group (P = 0.107). There was no difference between the two groups in the proportion of those aged between 40 and 60 years (58.3 vs. 55.1%, P = 0.472). The predominant etiology in both groups was HBV infection (73.5 vs. 75.0%, P = 0.756). Complications or combination diseases, including hypertension, diabetes, esophagogastric varices (EGV), hepatic encephalopathy (HE), and portal vein thrombosis showed no difference in the two groups. However, more individuals in the HH group had a history of spleen surgery (splenectomy or splenic embolization) compared with the control group (10.9 vs. 4.9%, P = 0.000). MELD scores and Child-Pugh scores were also assessed in both groups, and the results showed that the proportion of high MELD scores was higher in the observation group compared with the control group (P =0.038) (Table 1).

## Lab test results in the two groups

The comparison of many indicators between the two groups had statistical significance. Details are as follows: the proportion of patients in the HH group who had low TC (31.0 vs. 22.4%, P = 0.002), HDL (58.5 vs. 41.5%, P = 0.000), Hb (63.2 vs. 52.7%, P = 0.001), PLT (58.3 vs. 34.2%, P = 0.018), and PTA (71.0 vs. 60.1%, P = 0.000) was higher than in the control group. Compared with individuals without HH, there were more patients with lower IgG (64.9 vs. 72.3%, P = 0.012), and higher D-dimer (88.1 vs. 75.4%, P = 0.000), CRP (59.5 vs. 48.7%, P = 0.001), and PVW (29.2 vs. 21.4%, P = 0.005) (Table 2).

# PVW, MELD scores, and CTP scores were the risk factors for HH

As shown in Table 3, PVW (P = 0.013, OR = 1.195, 95% CI = 1.038–1.376), PTA (P = 0.009, OR = 0.392, 95% CI = 0.194–0.792), D-dimer (P = 0.009, OR = 3.822, 95% CI = 1.398–10.450), IgG (P = 0.009, OR = 2.127, 95% CI = 1.212–3.735), HDL (P = 0.003, OR = 0.384, 95% CI = 0.206–0.716), and MELD scores were significantly associated with the occurrence of HH. Taking the MELD score <15 as the control group, the OR for the occurrence of HH in patients with MELD scores of 15–18 was 4.184, and the OR in the patients with a MELD score of >18 was 4.066. An equation was derived from binary logistic regression in this study as follows:

#### TABLE 1 Baseline characteristics in the HH group compared with the control group.

Variables	Without HH	НН	Z/χ2	Р	
	N = 491	N = 487			
Sex (male/female) n	339/153	358/129	2.599	0.107	
Age <i>n</i> (%)			0.015	0.472	
<40	41 (8.3%)	43 (8.8%)			
40-60	271 (55.1%)	284 (58.3%)			
>60	180 (36.6%)	160 (32.9%)			
Etiology n (%)			4.205	0.756	
HBV	357 (75.0%)	349 (73.5%)			
HCV	10 (2.1%)	8 (1.7%)			
PBC	18 (3.8%)	20 (4.2%)			
AIH	7 (1.5%)	6 (1.3%)			
Alcoholic liver	49 (10.3%)	51 (10.7%)			
Unknown reason	50 (10.4%)	53 (10.9%)			
Complication/combination dis	ease n (%)				
Hypertension	89 (18.1%)	108 (22.2%)	2.544	0.111	
Diabetes	84 (17.1%)	79 (16.2%)	0.128	0.721	
UGIB	35 (7.1%)	54 (11.1%)	4.678	0.031	
EGV	144 (29.3%)	165 (33.9%)	2.149	0.542	
HE	35 (7.1%)	38 (7.8%)	0.168	0.682	
Bacterial peritonitis	237 (48.2%)	229 (47.0%)	0.014	0.565	
Portal vein thrombosis	62 (12.8%)	79 (16.7%)	0.037	0.155	
Another clinical character <i>n</i> (%	)				
History of spleen surgery	24 (4.9%)	53 (10.9%)	0.122	0.000	
MELD Score <i>n</i> (%)			6.529	0.038	
<15	291 (59.4%)	258 (53.1%)			
15–18	72 (14.7%)	100 (20.6%)			
>18	127 (25.9%)	128 (26.3%)			
CTP score M (IQR)	9.00 (8.00, 10.00)	9.00 (8.00, 11.00)	-1.806	0.071	
Child-Pugh score <i>n</i> (%)			3.233	0.199	
A	30 (6.1%)	26 (5.3%)			
В	264 (53.9)	238 (49.0%)			
С	196 (40.0)	222 (45.7%)			

HH, hepatic hydrothorax; n (%), the frequency with percentage for categorical variables; M, median; IQR, Interquartile range; UGIB, Upper gastrointestinal bleeding; EGV, Esophageal and gastric fundal varices; HE, Hepatic encephalopathy; History of spleen surgery, splenectomy or splenic embolization. The bold values indicate a statistically significant *p*-value for their analysis of that variable (p < 0.05).

Logit  $P = \ln[P/(1-P)] = -24.509 - 0.957^*(HDL) + 0.755^*(IgG) - 0.937^*(PTA) + 1.341^*(D-dimer) + 0.178^*(PVW) + 1.431^*(MELD 15-18) + 1.403^*(MELD > 18). Comparing the candidate model with the MELD score (AUC = 0.514, 95% CI = 0.452-0.576, <math>P = 0.655$ ) and CTP (AUC = 0.511, 95% CI = 0.448-0.573, P = 0.736), we found that the AUCs of the candidate risk models were 0.805 (95% CI = 0.758-0.851, P < 0.001) (Table 4, Figure 2), and the model's AUCs in the validation group were 0.826 (95% CI = 0.752-0.901, P < 0.001) (Table 5, Figure 3).

# The incidence of portal vein thrombosis was higher in bilateral pleural effusion compared with left and right pleural effusions

Among 487 cases with HH, there were 99 cases with left pleural effusions (20.4%), 217 cases with right (44.5%) pleural effusions, and 171 cases with bilateral pleural effusions (35.1%). Clinical characteristics and lab test results shown in Tables 6, 7

### TABLE 2 Laboratory test index between the two groups.

Indicators	Normal range	Without HH ( <i>N</i> = 491)	HH ( <i>N</i> = 487)	χ2	Р
		N (%)	N (%)		
ALT (U/L)	0-40			0.783	0.376
≤40		264 (53.8%)	276 (56.7%)		
>40		227 (46.2%)	211 (43.3%)		
AST (U/L)	0-40			2.544	0.111
≤40		157 (32.0%)	180 (37.0%)		
>40		334 (68.0%)	307 (63.0%)		
GGT (U/L)	12-64			2.738	0.098
≤64		258 (52.5%)	281 (57.7%)		
>64		233 (47.5%)	307 (42.3%)		
ALB (g/L)	35-55			0.058	0.809
≥35		86 (17.5%)	88 (18.1%)		
<35		405 (82.5%)	399 (81.9%)		
TG (mmol/L)	0.56-1.70			1.535	0.215
≥0.56		415 (84.5%)	399 (81.9%)		
<0.56		76 (15.5%)	88 (18.1%)		
TC (mmol/L)	2.60-6.19			10.015	0.002
≥2.60		381 (77.6%)	336 (69.0%)		
<2.60		110 (22.4%)	151 (31.0%)		
HDL (mmol/L)	1.03-1.78			27.811	<0.001
≥1.03		287 (58.5%)	202 (41.5%)		
<1.03		204 (41.5%)	285 (58.5%)		
Cr (mmol/L)	50.4-98.1			1.378	0.240
≤98.1		462 (94.1%)	449 (92.2%)		
>98.1		29 (5.9%)	38 (7.8%)		
WBC×10 <sup>9</sup> /L	4-10			1.378	0.240
≤10		462 (94.1%)	449 (92.2%)	1070	
>10		29 (5.9%)	38 (7.8%)		
N×10 <sup>9</sup> /L	0.8-4			2.276	0.131
≤4		382 (77.8%)	359 (73.7%)		
>4		109 (22.2%)	128 (26.3%)		
Hb (g/L)	F·110-	-150 M:120–160	120 (2013 /0)	11.053	0.001
$\geq 110 \text{ (g/L)}$ $\geq 110 \text{ (F)}/120 \text{ (M)}$	1.110	232 (47.3%)	179 (36.8%)	11000	
<110 (F)/120 (M)		259 (52.7%)	308 (63.2%)		
PLT×10 <sup>9</sup> /L	100-300			5.588	0.018
≥100	100 500	323 (65.8%)	203 (41.7%)	5.500	
<100		168 (34.2%)	284 (58.3%)		
PTA (%)	70-130	100 (31.270)	201 (00.070)	13.311	<0.001
≥70	70-150	196 (39.9%)	141 (29.0%)	13.311	~0.001
<70					
0<br D-dimer (mg/L)	0-0.5	295 (60.1%)	346 (71.0%)	26.764	0.000

(Continued)

TABLE 2 (Continued)	
---------------------	--

Indicators	Normal range	Without HH ( $N = 491$ )	HH ( <i>N</i> = 487)	χ2	Р
		N (%)	N (%)		
≤0.5		121 (24.6%)	58 (11.9%)		
>0.5		370 (75.4%)	429 (88.1%)		
IgG (g/L)	7–16			6.346	0.012
≤16		136 (27.7%)	171 (35.1%)		
>16		355 (72.3%)	316 (64.9%)		
IL-6 (pg/mL)	0-7			1.857	0.173
≤7		27 (5.5%)	18 (3.7%)		
>7		464 (94.5%)	469 (96.5%)		
SAA (mg/L)	0-10			2.896	0.089
≤10		197 (40.1%)	170 (34.9%)		
>10		294 (59.9%)	317 (65.1%)		
CRP (ng/L)	0.068-8.2			11.860	0.001
≤8.2		252 (51.3%)	197 (40.5%)		
>8.2		239 (48.7%)	290 (59.5%)		
PVW (mm)	6-10			7.927	0.005
≤13		386 (78.6%)	345 (70.8%)		
>13		105 (21.4%)	142 (29.2%)		

HH, hepatic hydrothorax; ALT, alanine aminotransferase; AST, aspartate aminotransferase; GGT,  $\gamma$ -glutamyl transpeptidase; ALB, albumin; TG, triglyceride, TC, total cholesterol; HDL, highdensity lipoprotein cholesterol, Cr, creatinine, WBC, white blood cell count; N, neutrophil; Hb, hemoglobin; PLT, platelet count, PTA, prothrombin activity; IgG, immunoglobin G; IL-6, interleukin-6; CRP, C reactive protein; SAA, serum amyloid A; PVW, the width of the portal vein. The bold values indicate a statistically significant *p*-value for their analysis of that variable (p < 0.05).

TABLE 3 Binary logistic regression analysis to identify risk factors for hepatic hydrothorax.

Indicators	Univariate ar	Univariate analysis		ultivariable analysis	
	OR (95%CI)	p	OR (95%CI)	p	
UGIB	0.614 (0.393–0.958)	0.032	0.506 (0.191–1.337)	0.170	
History of spleen surgery MELD score	0.420 (0.255-0.692)	0.001	1.214 (0.418-3.528)	0.721	
<15	1.00		1.00		
15–18	1.567 (1.108–2.215)	0.011	4.184 (1.465–11.952)	0.008	
>18	1.137 (0.844–1.530)	0.398	4.066 (1.557-10.621)	0.004	
TC (mmol/L)	1.590 (0.393-0.653)	0.002	0.965 (0.525-1.775)	0.910	
HDL (mmol/L)	0.506 (1.532–2.547)	0.000	0.384 (0.206-0.716)	0.003	
Hb (g/L)	1.541 (1.194–1.990)	0.001	0.955 (0.447-2.040)	0.906	
$PLT \times 10^9/L$	1.366 (1.054–1.770)	0.018	1.918 (0.997-3.692)	0.051	
PTA (%)	1.639 (1.256–2.139)	0.000	2.552 (1.262-5.161)	0.009	
D-dimer (mg/L)	2.441 (1.729-3.445)	0.000	3.822 (1.398-10.450)	0.009	
IgG (g/L)	1.417 (1.080–1.858)	0.012	2.127 (1.212-3.735)	0.009	
CRP (ng/L)	1.627 (1.245–2.126)	0.000	1.046 (0.542-2.020)	0.893	
PVW (mm)	1.079 (1.012–1.151)	0.02	1.195 (1.038–1.376)	0.013	

B, beta, regression coefficient; OR, Odds ratio; 95%CI, Confidence interval; PVW, the width of the portal vein. The bold values indicate a statistically significant *p*-value for their analysis of that variable (p < 0.05).

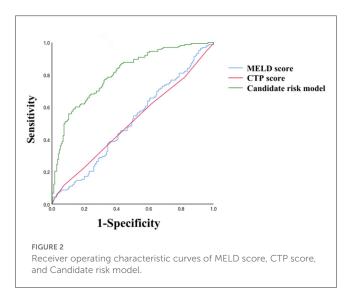
were analyzed to explore differences between the three groups. The results showed that the incidence of portal vein thrombosis was

higher in bilateral pleural effusion compared with left and right pleural effusions (P = 0.018).

Indicators	AUC	SE	Р	95%CI	
				Lower	Upper
MELD Score	0.514	0.032	0.655	0.452	0.576
CTP Score	0.511	0.032	0.736	0.448	0.573
Candidate risk model	0.805	0.024	0.000	0.758	0.851

TABLE 4 Receiver operating characteristic analysis for MELD scores, CTP scores, and the candidate risk model in all selected patients.

AUC, Area under curve; 95%CI, Confidence interval; SE, Standard error.



However, it is important to note that the abovementioned results are not meant to be used for the minority of patients who develop HH without developing ascites.

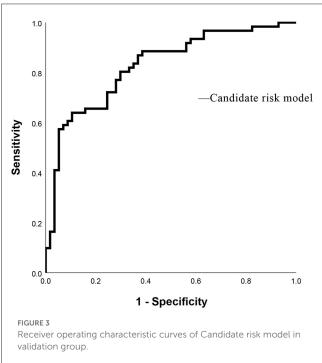
# Discussion

The patients who developed severe complications of cirrhosis usually presented clinical manifestations of chest tightness, shortness of breath, cough, nausea, and, in a few patients, severe dyspnea and tachycardia. There was no significant difference in presentation from the diseases in the exclusion criteria, thus, the abovementioned exclusion criteria needed to be added to the diagnosis to exclude the relevant patients who did not meet the requirements of this study. Other than that, HH has a close relationship with a poor prognosis (23, 24). Therefore, our research aimed to explore the risk factors of hepatic hydrothorax for cirrhotic patients, which can help clinicians to develop secondary prevention strategies and improve the patient's prognosis. The MELD score is an exact predictive model suitable for patients with liver cirrhosis at the end stage and is extensively applied to assess liver disease severity and mortality (17, 18). The result of the univariate analysis showed that patients had a significant difference in MELD scores between the two groups. Combined with the findings of Hou et al., it is possible that the incidence of HH is strongly associated with the severity degree of cirrhosis (21). Similarly, the result of the logistics regression also found that a higher MELD score is a risk factor for HH.

TABLE 5 Receiver operating characteristic analysis for the candidate risk model in the internal validation group.

Indicators	AUC	SE	Р	95%CI	
				Lower	Upper
Candidate risk model	0.826	0.038	0.000	0.752	0.901

AUC, Area under curve; 95%CI, Confidence interval; SE, Standard error.



The association of the MELD score and HH supports other findings of the binary logistic regression. For patients with liver cirrhosis, lower HDL and PTA and higher PVW, D-dimer, and IgG also correlate with the development of HH. The explanations are as follows: (1) HDL, IgG, and PTA: These three can indicate the degree of liver function impairment. First, HDL production decreased because of the liver's decreased synthesis of apolipoproteins A and B (25, 26). Some studies suggested that HDL-cholesterol is a reliable predictor of the liver's function and of disease progression in chronic liver failure (27-29). IgG is an antibody produced by plasma cells that are transformed from B lymphocytes when stimulated by antigens from bacteria, viruses, and toxins and can specifically bind to antigens (30). In patients with chronic hepatitis, humoral immunity is activated, resulting in elevated IgG in the body. Therefore, a higher IgG also means more severe hepatitis and cirrhosis (31, 32). PTA calculated by PT reflects the activity of blood coagulation factorsI, II, V, VII, and X. Both the prolongation of PT and the decrease of PTA are due to liver impairment and reduced synthesis of coagulation factors. Hence, PTA is also thought to be a key predictor of liver function (33, 34).

Therefore, we conclude that because the three factors mentioned above can indicate the severity of cirrhosis and the impairment of the liver's synthetic function, they have a strong association with the occurrence of HH, which is in line with the findings of Mouelhi et al. (35). (2) D-dimer: The D-dimer of

TABLE 6 Clinical characteristics among left, right, and bilateral pleural effusions.

Variables	Left	Right	Bilateral	H/χ2	Р
	N = 99	N = 217	N = 171		
Sex (male/female) n	72/27	154/63	130/41	0.055	0.973
Age <i>n</i> (%)				1.963	0.742
<40	11 (11.1%)	17 (7.8%)	18 (10.5%)		
40-60	57 (57.6%)	121 (55.8%)	89 (52%)		
>60	31 (31.3%)	79 (36.4%)	64 (37.4%)		
Etiology n (%)				15.015	0.241
HBV	70 (73.7%)	170 (78.3%)	109 (63.7%)		
HCV	1 (1.1%)	4 (1.8%)	3 (1.8%)		
РВС	3 (3.2%)	8 (3.7%)	9 (5.3%)		
AIH	1 (1.1%)	1 (0.5%)	4 (2.3%)		
Alcoholic Liver	8 (8.4%)	20 (9.2%)	23 (13.5%)		
Unknown Reason	16 (16.2%)	14 (6.4%)	17 (10.0%)		
Complication/combination	disease n (%)				
Hypertension	29 (29.6%)	47 (21.7%)	32 (18.7%)	4.337	0.114
Diabetes	19 (19.4%)	28 (12.9%)	32 (18.7%)	3.257	0.196
UGIB	12 (12.2%)	16 (7.4%)	25 (14.6%)	5.396	0.067
EGV	35 (35.7%)	73 (33.6%)	57 (33.3%)	0.286	0.867
HE	6 (6.1%)	16 (7.4%)	16 (9.4%)	4.939	0.552
Bacterial peritonitis	51 (52.0%)	95 (43.8%)	82 (48%)	1.965	0.374
Portal vein thrombosis	9 (9.4%)	32 (15.1%)	37 (22.4%)	8.048	0.018
Liver cancer	17 (17.3%)	48 (22.2%)	20.80%	5.826	0.667
Another clinical character n	(%)	1			
History of spleen surgery	16 (16.3%)	19 (8.8%)	18 (10.5%)	4.022	0.134
MELD Score <i>n</i> (%)				2.868	0.580
<15	72 (73.5%)	164 (75.6%)	120 (70.1%)		
15-18	14 (14.3%)	27 (12.4%)	20 (11.7%)		
>18	12 (12.2%)	26 (12.0%)	31 (18.2%)		
CTP score M (IQR)	9.00 (8.00, 11.00)	9.00 (8.00, 11.00)	9.50 (8.00, 11.00)	2.036	0.361
Child-Pugh score				8.658	0.070
A	6 (6.1%)	13 (6.0%)	7 (4.1%)		
В	50 (50.5%)	113 (52.3%)	68 (39.8%)		
С	43 (43.4%)	90 (41.7%)	96 (56.1%)		

HH, hepatic hydrothorax; n (%), the frequency with percentage for categorical variables; M, median; IQR, Interquartile range; UGIB, Upper gastrointestinal bleeding; EGV, Esophageal and gastric fundal varices; HE, Hepatic encephalopathy; History of spleen surgery, splenectomy or splenic embolization. The bold values indicate a statistically significant p-value for their analysis of that variable (p < 0.05).

the patients with HH was significantly higher than those in the control group. Since D-dimer has a positive correlation with both portal hypertension and the MELD score (36-39), the odds of HH increase with a higher D-dimer. (3) PVW: An increased width of the portal vein means elevated portal vein pressure. Some studies suggested that it may result in the high pressure of vena azygos, and then the lymph and other body fluids will leak into the pleural cavity (40, 41).

However, in terms of risk factors for HH, our study differed slightly from the conclusions of previous studies. Hou et al. stated that HH was positively associated with moderate-large ascites, Child–Pugh class B–C, lower albumin, higher prothrombin time, and the international normalized ratio (21). Deleuran et al. and Matei et al. indicated that bilirubin, diabetes, and the non-use of non-selective beta-blockers were risk factors for hepatic hydrothorax (7, 22). These differences probably relate to the

Variables	Normal range	Left ( <i>N</i> = 99)	Right ( <i>N</i> = 217)	Bilateral (N = 171)	Н	Р
		Median (IQR)	Median (IQR)	Median (IQR)		
ALT (U/L)	0-40	34.00 (21.00, 59.00)	34.50 (20.00, 64.50)	34.50 (19.75, 82.00)	0.055	0.973
AST (U/L)	0-40	49.00 (30.00, 83.00)	54.00 (33.00, 91.00)	51.00 (29.00, 122.00)	0.977	0.614
GGT (U/L)	12-64	47.00 (24.00, 104.00)	51.00 (23.00, 108.50)	57.00 (27.00, 130.00)	1.350	0.509
ALB (g/L)	35-55	28.20 (24.60, 33.60)	28.30 (24.95, 32.90)	28.50 (24.60, 32.40)	0.037	0.982
TG (mmol/L)	0.56-1.70	0, 875 (0.658, 1.175)	0.780 (0.610, 1.015)	0.825 (0.620, 1.150)	5.886	0.053
TC (mmol/L)	2.60-6.19	3.01 (2.373, 3.84)	3.27 (2.34, 3.93)	3.06 (2.45, 3.90)	1.234	0.539
HDL (mmol/L)	1.03-1.78	0.89 (0.65, 1.18)	0.97 (0.64, 1.32)	0.90 (0.55, 1.22)	3.766	0.152
Cr (umol/L)	50.4-98.1	63.60 (54.30, 74.00)	62.10 (52.80, 75.00)	63.20 (54.50, 73.40)	1.143	0.565
WBC×10 <sup>9</sup> /L	4-10	4.12 (2.86, 6.54)	3.97 (2.89, 5.76)	4.38 (3.09, 6.11)	2.083	0.353
N×10 <sup>9</sup> /L	0.8-4	2.70 (1.60, 4.43)	2.43 (1.60, 3.80)	2.76 (1.88, 4.60)	2.791	0.248
Hb (g/L)	110-150	109.00 (87.00, 125.00)	110.00 (90.50, 125.00)	109.00 (85.00, 126.00)	0.257	0.879
PLT×10?/L	100-300	86.00 (50.00, 147.00)	87.00 (53.50, 132.00)	87.00 (56.00, 137.00)	0.328	0.849
PTA (%)	70-130	60.00 (49.00, 73.00)	61.00 (49.00, 70.50)	62.00 (50.75, 73.00)	0.520	0.771
IgG (g/L)	7–16	14.07 (10.62, 18.87)	16.63 (13.08, 20.29)	15.33 (11.54, 19.83)	5.967	0.051
IL-6 (pg/mL)	0-7	27.78 (15.59, 64.80)	30.79 (13.95, 71.34)	33.90 (5.46, 1, 519)	0.647	0.724
SAA (mg/L)	0-10	13.60 (5.13, 77.35)	14.00 (4.23, 53.65)	12.90 (4.33, 55.15)	0.450	0.798
CRP (ng/L)	0.068-8.2	10.89 (3.82, 36.26)	10.29 (3.35, 24.96)	12.36 (4.88, 35.17)	3.821	0.148
PVW (mm)	6-10	12.00 (12.00, 15.00)	12.00 (12.00, 14.00)	13.00 (12.00, 14.00)	1.041	0.594

TABLE 7 Laboratory test index among left, right, and bilateral pleural effusions.

PVW, the width of the portal vein; IQR, Interquartile range.

following points: (1) the patients in our study were all from Asia, and the etiology of cirrhosis was mostly HBV, which was different from the context of other studies and (2) different types of studies may cause diverse results.

By comparing lab data among left, right, and bilateral pleural effusions, the right side is a more common distribution, which is similar to the conclusion of the previous studies (4, 42, 43). In addition to the hypothesis of the physiological defect in the diaphragm, Huang et al. suggested that it is likely due to the close anatomical relationship of bare areas of the liver with the diaphragm and the fact that the left side of the diaphragm is thicker and more muscular than the right side (44). In addition to the aforementioned finding, we have another conclusion: The severity of liver cirrhosis has no relationship with the distribution of pleural effusion, and portal vein thrombosis is more common in cirrhotic patients with bilateral pleural effusion compared to those with unilateral pleural effusion, but the mechanism is still unknown. The fact that portal vein thrombosis further aggravates portal hypertension, resulting in increased parietal pleural pressure in the umbilical and hemiazygos veins, may be the main factor.

Limitations of the study are as follows: (1) In choosing samples, retrospective studies are more prone to selection bias, but the inclusion of a larger sample size in our study mitigated this effect. (2) We have only studied the risk factors for the development of HH in cirrhosis patients with ascites. Whether our conclusion applies to the entire cirrhotic population, the answer is unknown. (3) In fact, we collected data on the depth of ascites as a criterion to assess the severity of ascites that were reported in the patient's abdominal

imaging findings. However, the univariate analysis of this variable was not statistically significant, which may be related to the fact that this value only reflected the depth of ascites in some anatomical planes of the patient's abdomen, and it could not accurately reflect the severity of the patient's ascites. Therefore, we did not take it as a variable.

# Conclusion

It was found that lower HDL and PTA and higher PVW, D-dimer, IgG, and MELD scores have a strong correlation with the development of hepatic hydrothorax. Moreover, portal vein thrombosis is more common in cirrhotic patients with bilateral pleural effusion compared to those with unilateral pleural effusion.

# Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

# Ethics statement

This study was approved by the Ethics Committee of Shandong Public Health Clinical Center (SPHCC-2021-16). The

patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

## Author contributions

WD: study concept and design and critical revision of the manuscript for important intellectual content. XB and XL: acquisition of data. XB and XL: analysis and interpretation of data and statistical analysis. XB: drafting of the manuscript. YS and WL: administrative, technical, or material support. QL: study supervision. All authors read and approved the final manuscript.

## Funding

This project was supported by a Grant from Science and Technology of Shandong, China (No. 2021SFGC0504).

## References

1. Badillo R, Rockey DC. Hepatic hydrothorax: clinical features, management, and outcomes in 77 patients and review of the literature. *Medicine (Baltimore)*. (2014) 93:135–42. doi: 10.1097/MD.00000000000025

2. Krok KL. Hepatic hydrothorax: Current concepts. Clin Liver Dis (Hoboken). (2014) 4:35–7. doi: 10.1002/cld.375

3. Dinu M, Georgescu AC, Ciurea RN, Stefan M. The role of cytology in the diagnosis of fluid collection syndromes associated with liver cirrhosis: Clinical, epidemiological, cytological and biochemical study of pleural effusion. *Romanian J Morphol Embryol.* (2012) 53:989–95.

4. Gurung P, Goldblatt M, Huggins JT, Doelken P, Nietert PJ, Sahn SA. Pleural fluid analysis and radiographic, sonographic, and echocardiographic characteristics of hepatic hydrothorax. *Chest.* (2011) 140:448–53. doi: 10.1378/chest.10-2134

5. Ma B, Shang T, Huang J, Tu Z, Wang Y, Han Y, et al. The impact and role of hepatic hydrothorax in the prognosis of patients with decompensated cirrhosis: A retrospective propensity score-matched study. *Front Med.* (2022) 9:904414. doi: 10.3389/fmed.2022.904414

6. Matei D, Craciun R, Crisan D, Procopet B, Mocan T, Pasca S, et al. Hepatic hydrothorax-an independent decompensating event associated with long-term mortality in patients with cirrhosis. J Clin Med. (2021) 10:3688. doi: 10.3390/jcm10163688

7. Deleuran T, Watson H, Vilstrup H, Jepsen P. Risk factors for hepatic hydrothorax in cirrhosis patients with ascites - a clinical cohort study. *Dig Dis Sci.* (2021) 67:3395– 401. doi: 10.1007/s10620-021-07134-8

8. Doraiswamy V, Riar S, Shrestha P, Pi J, Alsumrain M, Bennet-Venner A, et al. Hepatic hydrothorax without any evidence of ascites. *Scientific World J*. (2011) 11:587–91. doi: 10.1100/tsw.2011.68

9. Kim JS, Kim C-W, Nam H-S, Cho JH Ryu J-S, Lee HL. Hepatic hydrothorax without ascites as the first sign of liver cirrhosis. *Respirol Case Rep.* (2015) 4:16–8. doi: 10.1002/rcr2.140

10. Morrow CS, Kantor M, Armen RN. Hepatic hydrothorax. Ann Intern Med. (1958) 49:193–203. doi: 10.7326/0003-4819-49-1-193

11. Chaaban T, Kanj N, Bou Akl I. Hepatic hydrothorax: an updated review on a challenging disease. *Lung.* (2019) 197:399–405. doi: 10.1007/s00408-019-00231-6

12. Garbuzenko DV, Arefyev NO. Hepatic hydrothorax: An update and review of the literature. *World J Hepatol.* (2017) 9:1197–204. doi: 10.4254/wjh.v9.i31.1197

13. Sharma P, Nagarajan V. Pleural effusion from leaky diaphragm-the hepatic hydrothorax. *J Gen Intern Med.* (2014) 29:1309. doi: 10.1007/s11606-014-2841-x

## Acknowledgments

The authors are grateful to Dr. Edward C. Mignot, Shandong University, for linguistic advice.

## Conflict of interest

WL was employed by ICON Plc.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

14. Banini BA, Alwatari Y, Stovall M, Ogden N, Gershman E, Shah RD, et al. Multidisciplinary management of hepatic hydrothorax in 2020: an evidence-based review and guidance. *Hepatology*. (2020) 72:1851–63. doi: 10.1002/hep.31434

15. Liu WL, Kuo PH, Ku SC, Huang PM, Yang PC. Impact of therapeutic interventions on survival of patients with hepatic hydrothorax. J Formos Med Assoc. (2010) 109:582–8. doi: 10.1016/S0929-6646(10)60095-2

16. Cardenas A, Kelleher T, Chopra S. Hepatic hydrothorax. Aliment Pharm Ther. (2004) 20:271–9. doi: 10.1111/j.1365-2036.2004.02081.x

17. Rossle M, Gerbes AL. TIPS for the treatment of refractory ascites, hepatorenal syndrome and hepatic hydrothorax: a critical update. *Gut.* (2010) 59:988–1000. doi: 10.1136/gut.2009.193227

18. Sawant P, Vashishtha C, Nasa M. Management of cardiopulmonary complications of cirrhosis. *Int J Hepatol.* (2011) 2011:280569. doi: 10.4061/2011/280569

19. Pippard B, Bhatnagar M, McNeill L, Donnelly M, Frew K, Aujayeb A. Hepatic hydrothorax: a narrative review. *Pulm Ther.* (2022) 8:241-54. doi: 10.1007/s41030-022-00195-8

20. Gilbert CR, Shojaee S, Maldonado F, Yarmus LB, Bedawi E, Feller-Kopman D, et al. Pleural interventions in the management of hepatic hydrothorax. *Chest.* (2022) 161:276–83. doi: 10.1016/j.chest.2021.08.043

21. Hou F, Qi X, Ning Z, Zhao J, Zhang X, Deng H, et al. Prevalence, risk factors, and in-hospital outcome of pleural effusion in liver cirrhosis: a retrospective observational study. *Int J Clin Exp Med.* (2016) 9:3265–U7567.

22. Matei D, Pasca S, David A, Groza I, Negrutiu DE, Procopet B, et al. Hepatic hydrothorax in patients with cirrhosis and ascites – its prevalence and association with other cirrhosis complications. *J Hepatol.* (2017) 66:S135. doi: 10.1016/S0168-8278(17)30541-X

23. Hung T-H, Tseng C-W, Tsai C-C, Tsai C-C, Tseng K-C, Hsieh Y-H. The long-term outcomes of cirrhotic patients with pleural effusion. *Saudi J Gastroenterol.* (2018) 24:46–51. doi: 10.4103/sjg.SJG\_336\_17

24. Romero S, Lim A, Singh G, Kodikara C, Shingaki-Wells R, Chen L, et al. Factors influencing survival in cirrhotic patients with hepatic hydrothorax. *J Hepatol.* (2022) 77:S613–S613. doi: 10.1016/S0168-8278(22)01543-4

25. Cicognani C, Malavolti M, MorselliLabate AM, Zamboni L, Sama C, Barbara L. Serum lipid and lipoprotein patterns in patients with liver cirrhosis and chronic active hepatitis. *Arch Intern Med.* (1997) 157:792–6. doi: 10.1001/archinte.157.7.792

26. Shah SS, Desai HG. Apolipoprotein deficiency and chronic liver disease. J Assoc Physicians India. (2001) 49:274–8.

27. Trieb M, Rainer F, Stadlbauer V, Douschan P, Horvath A, Binder L, et al. HDLrelated biomarkers are robust predictors of survival in patients with chronic liver failure. *J Hepatol.* (2020) 73:113–20. doi: 10.1016/j.jhep.2020.01.026

28. Cui B, Guo G, Hui Y, Wang X, Liu W, Sun C. The prognostic value of high-density lipoprotein cholesterol in patients with decompensated cirrhosis: a propensity score matching analysis. J Clin Lipidol. (2022) 16:325–34. doi: 10.1016/j.jacl.2022.03.009

29. Chrostek L, Supronowicz L, Panasiuk A, Cylwik B, Gruszewska E, Flisiak R. The effect of the severity of liver cirrhosis on the level of lipids and lipoproteins. *Clin Exp Med.* (2014) 14:417–21. doi: 10.1007/s10238-013-0262-5

30. Pokora J, Tomaszewski J, Daniluk J, Kozak J, Czarnecki J. Immunoglobulins in chronic liver diseases. *Pol Tyg Lek.* (1974) 29:1827–9.

31. Verbaan H, Bondeson L, Eriksson S. Non-invasive assessment of inflammatory activity and fibrosis (grade and stage) in chronic hepatitis C infection. *Scand J Gastroenterol.* (1996) 32:494–9. doi: 10.3109/00365529709025088

32. Schmilovitz-Weiss H, Tovar A, Halpern M, Sulkes J, Braun M, Rotman Y, et al. Predictive value of serum globulin levels for the extent of hepatic fibrosis in patients with chronic hepatitis B infection. *J Viral Hepat.* (2006) 13:671–7. doi: 10.1111/j.1365-2893.2006.00744.x

33. Li Q, Wang BE, Cong YL, Jia JD, Yin ZJ, Qian LX, et al. The value of coagulation factors in severity categorization of hepatitis B-related liver cirrhosis. *Zhonghua nei ke za zhi.* (2005) 44:188–90. doi: 10.3760/j.issn:0578-1426.2005.03.009

34. Chen Z, Ning Q, Chen G. Early Prognostic Predictive System of AECHB and the Diagnosis of Severe Hepatitis B (Liver Failure). In: Ning Q, eds. Acute Exacerbation of Chronic Hepatitis B: Volume 2. Diagnosis and Management. Netherlands: Springer (2019) 227–271. doi: 10.1007/978-94-024-1603-9\_3

35. Mouelhi L, Daboussi O, Cheffi N, Jery KE, Said Y, Khedher S, et al. Hepatic hydrothorax: About a hospital serie of 63 cases. *Tunis Med.* (2016) 94:867–867.

36. Deng M-H, Liu B, Fang H-P, Pan W-D, Tang Z-F, Deng P, et al. Predictive value of D-dimer for portal vein thrombosis after portal hypertension surgery in hepatitis B virus-related cirrhosis. *World J Gastroenterol.* (2007) 13:6588–92. doi: 10.3748/wjg.v13. i48.6588

37. Zhang DL, Hao JY, Yang N. Protein C and D-dimer are related to portal vein thrombosis in patients with liver cirrhosis. *J Gastroenterol Hepatol.* (2010) 25:116–21. doi: 10.1111/j.1440-1746.2009.05921.x

38. Dai JN Qi XS, Li HY, Guo XZ. Role of D-dimer in the development of portal vein thrombosis in liver cirrhosis: a metaanalysis. *Saudi J Gastroenterol.* (2015) 21:165–74. doi: 10.4103/1319-3767. 157567

39. Li Y, Qi X, Li H, Dai J, Deng H, Li J, et al. D-dimer level for predicting the in-hospital mortality in liver cirrhosis: A retrospective study. *Exp Ther Med.* (2017) 13:285–9. doi: 10.3892/etm.2016.3930

40. Al-Zoubi RK, Abu Ghanimeh M, Gohar A, Salzman GA, Yousef O. Hepatic hydrothorax: clinical review and update on consensus guidelines. *Hospital Pract.* (2016) 44:213–23. doi: 10.1080/21548331.2016.1227685

41. Bozkurt S, Stein J, Teuber G. Hepatic hydrothorax. Z Gastroenterol. (2005) 43:1319–28. doi: 10.1055/s-2005-858742

42. Gur C, Ilan Y, Shibolet O. Hepatic hydrothorax - pathophysiology, diagnosis and treatment - review of the literature. *Liver Int.* (2004) 24:281-4. doi: 10.1111/j.1478-3231.2004.0936.x

43. Lazaridis KN, Frank JW, Krowka MJ, Kamath PS. Hepatic hydrothorax: Pathogenesis, diagnosis, and management. *Am J Med.* (1999) 107:262–7. doi: 10.1016/S0002-9343(99)00217-X

44. Huang PM, Chang YL, Yang CY, Lee YC. The morphology of diaphragmatic defects in hepatic hydrothorax: Thoracoscopic finding. *J Thor Cardiov Surg.* (2005) 130:141–5. doi: 10.1016/j.jtcvs.2004.08.051