



# Low Stroke Risk in Children With Sick Cell Disease in French Guiana: A Retrospective Cohort Study

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One in every 227 babies born in French Guiana has sickle cell disease, which represents the greatest incidence in France. This study aimed to determine the incidence of stroke in children with sickle cell disease and its associated risk factors. This retrospective cohort study included all children with sickle cell disease diagnosed in the neonatal period who were born in French Guiana between 01/01/1992 and 12/31/2002. Of a total of 218 records, 122 patients were included. There were 70 HbSS/S $\beta$ 0 (58%), 40 HbSC (33%), and 11 S $\beta$  + thalassemia (9%). The number of emergency admissions was significantly different between genotypes, with a higher number in SS/S $\beta$ 0 children ( $p = 0.004$ ). There were significantly more acute chest syndromes ( $p = 0.006$ ) and more elevated Lactate Dehydrogenase in SS/S $\beta$ 0 patients ( $p = 0.003$ ). Three of these patients had ischemic strokes at a mean age of 6.9 years, and one had a hemorrhagic stroke at the age of 9.2 years. The incidence rate of ischemic stroke for SS/S $\beta$ 0 children was 3.1 (95% CI: 1.0–9.7) per 1,000 patient-years, and the clinically apparent stroke risk by the age of 15 years and 3 months was 6.4%. The incidence of hemorrhagic stroke was 1.1 (95% CI: 0.1–7.4) per 1,000 patients-years. No patient with SC or S $\beta$  + thalassemia genotypes experienced any stroke.

**Keywords:** sickle cell disease, stroke, incidence, French Guiana, children

## INTRODUCTION

Sickle cell disease (SCD) is an autosomal recessive inherited disease characterized by the synthesis of an abnormal hemoglobin sickle hemoglobin S ( $\beta$ S, HbS) resulting in the substitution of a single amino acid (Glu $\rightarrow$ Val) at the sixth position of  $\beta$ -chain of normal hemoglobin (HbA) molecule (1, 2). This point mutation leads to the polymerization of the HbS molecule under deoxygenated conditions. Polymerization of the HbS leads to the stiffening and weakening of sickled red blood cells. This hereditary disease particularly affects those of African origin because the protection against malaria that heterozygosity conferred to their ancestors selected the sickle cell mutations

genes (3). Homozygous SS (sickle cell anemia or SCA) is generally considered to be the most severe form of SCD. Compound heterozygotes, in whom HbS is combined with a different mutation of the second  $\beta$ -globin gene such as HbC, D, OArab or  $\beta$ -thalassemia (where synthesis of  $\beta$ -globin is reduced), are also considered as symptomatic forms of SCD, with varying phenotypes. SCD is characterized by abnormally shaped adhesive red blood cells that interact with white blood cells and the vascular endothelium, resulting in chronic hemolysis, reperfusion injury, vasculopathy impaired vasomotor tone, and a prothrombotic state. SCD brain vasculopathy causes both overt strokes and “silent” cerebral infarcts, resulting in early death, permanent neurocognitive and physical dysfunction together with life-long suffering and poor quality of life. Pathophysiology of stroke in SCD is complex and includes both diseased large arteries and penetrating arteries (4). SCD is the most common cause of pediatric stroke and children with SCA are at higher risk of stroke, notably in the first decade of life (3). In the absence of early Transcranial Doppler (TCD) screening, strokes occur in 7.4–11% of patients with SCA before age 20 (5–7). As the risk of stroke persists throughout childhood (8), TCD screening has been implemented to detect SCA children at risk, in order to initiate transfusion programs known for significantly reducing stroke incidence in SCA patients with an abnormal TCD (9–12).

French Guiana is an overseas French territory on the north-eastern coast of South America. SCD is a major public health problem in French Guiana (13). The population, most of which is of African ancestry, consists mainly of three groups: Guianese Creoles, Maroons (descendants of runaway slaves), and, more recently, Haitian immigrants (14). The estimated incidence of SCD at birth is one in 227, and the overall frequency of hemoglobin AS carriers is 10% (13, 15). The major SCD groups include the three main genetic forms that combine different structural hemoglobin variants or thalassemia syndromes (hemoglobin S HbS, hemoglobin C HbC,  $\beta$ -thalassemia) (16). There are scarce data on the actual incidence of SCA-related strokes in French Guiana. We aimed to determine the risk for stroke in children with SCD and the risk factors associated with stroke.

## MATERIALS AND METHODS

### Stroke Definition

Stroke is classically defined as a neurological deficit related to an acute focal injury to the central nervous system (CNS) of vascular origin, including cerebral infarction, intracerebral hemorrhage (ICH), or subarachnoid hemorrhage (SAH) (17). Due to significant advances in the nature, timing, clinical recognition of stroke, its differential diagnoses, and imaging results, authors called for an update of definition of stroke (18).

### Definition of Silent Cerebral Infarction

Silent cerebral infarction (SCI) is defined as an area of abnormal hyperintensity on T2-weighted (axial and coronal) MRI images of the brain in a patient without a history of neurological symptoms

or signs consistent with the location of the infarct in a given vascular distribution.

### Definition of Other Conditions

Acute chest syndrome (ACS) is defined by the presence of fever and/or respiratory symptoms, accompanied by a new radiodensity on the chest X-ray.

Asthma is a chronic inflammatory lung disease, associated with airway hyper responsiveness that leads to recurrent episodes of wheezing, breathlessness, chest tightness and coughing.

Adenoid hypertrophy is an obstructive disorder related to an increase in the size of the adenoids (a collection of lymphoepithelial tissues located in the upper part of the nasopharynx, medial to the openings of the Eustachian tube).

High blood pressure in children is defined as a mean blood pressure at or above the 95th percentile for their age, sex, and height when measured multiple times over three or more visits.

### Monitoring and Management of Sickle Cell Disease in French Guiana

After the announcement of the diagnosis of SCD by the pediatricians, subsequent consultations alternate between visits to the maternal and child protection centers and/or private physicians, who renew explanations, provide vaccinations, carry out surveillance, and in hospital specialized care. We have implemented prophylactic penicillin treatment for all sickle cell patients, comprehensive vaccination and education about regular spleen size measurement for sickle cell families. Hospital visits take place every 3 months in the first 2 years of life, and then at a frequency depending on the severity of the disease. At each visit, the parents' ability to recognize events requiring an urgent consultation (fever higher than 38.5 °C, sudden pallor and/or asthenia, pain not responding to initial analgesic treatment, sudden increase of spleen volume, vomiting) is verified. Similarly, risk factors for vasoocclusive crisis (VOC) are regularly explained. Clinical examination looks for possible hepatosplenomegaly. The splenic overflow is noted in the health booklet, and parents are taught how to measure the spleen, so that they can recognize a sudden increase in its volume. The ears, nose, and throat (ENT) sphere is also examined for upper airway obstruction. The annual check-up varies according to the child's age. A blood count with reticulocyte count, iron status, blood electrolytes and liver function tests are performed annually. From the age of 3, the chest X-ray and abdominal ultrasound are checked annually, and from the age of 6, the pelvic X-ray and cardiac ultrasound are checked. Ophthalmologic surveillance is performed from the age of 6 years in SC children and 10 years in SS children. Cerebral vascularization is systematically checked by TCD from the age of 12–18 months in homozygous children and those who are S-beta0 thalassaemic. Before 2009, the frequency of TCD depended on the SCD standard of care of each specialized center. Since 2009, with the establishment of the sickle cell competence centers in French Guiana, TCD was performed annually for each homozygous sickle cell child within the framework of a standardized protocol in all 3 SCD specialized-care centers of French Guiana (Cayenne, Saint-Laurent-du-Maroni and Kourou).

In case of pathological velocities documented by TCD, the child is enrolled in an immediate transfusion exchange program for 2–3 months, followed by brain and cervical magnetic resonance imaging (MRI) with Doppler monitoring. When a stenosis is found, the exchange program is continued in the long term (undetermined duration, HLA typing in siblings and indication of bone marrow transplant in case of HLA compatible donor). When the imaging is normal, the program is continued for 1 year. If after 1 year, there is still no stenosis on imaging patient care depends on TCD velocities:

- TCD velocities are still pathological or borderline: exchanges are continued with annual TCD monitoring until normalization.
- TCD velocities are normalized: the child starts Hydroxyurea (HU) treatment up to the maximum tolerated dose with at least 2 months of overlap with the exchange program, with a TCD check every 3 months and MRI every year. Hydroxyurea is continued if examinations (Doppler and MRI) are normal, with quarterly TCD monitoring for 1 year and then annually. In case of pathological velocities, the exchange transfusion program is reinstated in the long term.

In case of pathological extracranial velocities on TCD, the child is enrolled in a transfusion exchange program. When these extracranial velocities are borderline normal, MRI is indicated because of the significant risk of stenosis. If there is a stenosis, a long-term exchange program is recommended. If there is no stenosis, the child is put on HU with quarterly TCD monitoring. The transfusion or to transfusion exchanges were identical in the three centers of French Guiana.

## Study Design

This was a multicenter retrospective cohort study, conducted at the Integrated Sickle Cell Center of French Guiana, a reference center based at Cayenne hospital. A single reviewer (JG) extracted all the records of all children with SCD, admitted to the pediatric units of the three hospitals of French Guiana (Cayenne, Saint-Laurent-du-Maroni and Kourou), born between 01/01/1992 and 12/31/2002, aged < 16 years at the time of inclusion. Patients were identified using the International Classification of Diseases (ICD 10) codes for sickle cell disease (D570, D571, D572) in electronic databases of the different pediatric departments of participating hospitals. The routine sickle cell testing such as newborn screening was implemented in 1992 in French Guiana. All children were diagnosed in the perinatal period. The confirmation of SCA was done using Hb electrophoresis at 6 months of age. Clinical, laboratory, and radiologic monitoring data were collected up to the age of 15 years and 3 months (pediatric age), or the date of the latest news. The failure event was clinical stroke. The biological recorded data were those of the last year of follow-up, reflecting baseline conditions (temporally separated from any acute or chronic event). For each patient, basic information and specific clinical complications were collected: age, gender, hemoglobin type, haplotype, alpha-thalassemia, severity and number of prior

acute or chronic sickle cell specific complications (acute splenic or hepatic sequestration, acute chest syndrome, sickling related painful vasoocclusive crisis, neurologic events, severe infections, acute anemia, cholelithiasis), use of opioids for painful events, use and number of transfusions. These data were collected from computerized and/or paper patient records and were directly entered into an electronic form (eCRF) built using Clinsight Capture System® software. The inclusion and data collection period extended from 02/01/2019 to 09/30/2019, and the database was frozen on December 31st, 2019. Only the first stroke admission was included in the analysis. Repeated admissions of the same patient with stroke were excluded.

## Statistical Analysis

Statistical data analysis was performed using Stata 12.0 (Stata Statistical Software: Release 12. College Station, TX: StataCorp LP). Categorical data were summarized as count (percentage) and quantitative data as median with 25th/75th percentiles (interquartile range, IQR), and compared using the  $\chi^2$  test or Fisher's exact test. Single failure survival analysis was performed and Kaplan-Meier curves were plotted.  $P < 0.05$  was chosen as the statistical significance threshold. The exact date of entry of each subject was the birth date. The end of the follow-up period was the date of latest news (up to 15 years 3 months) or date of death (if applicable). The stroke date represented the failure event date if it occurred.

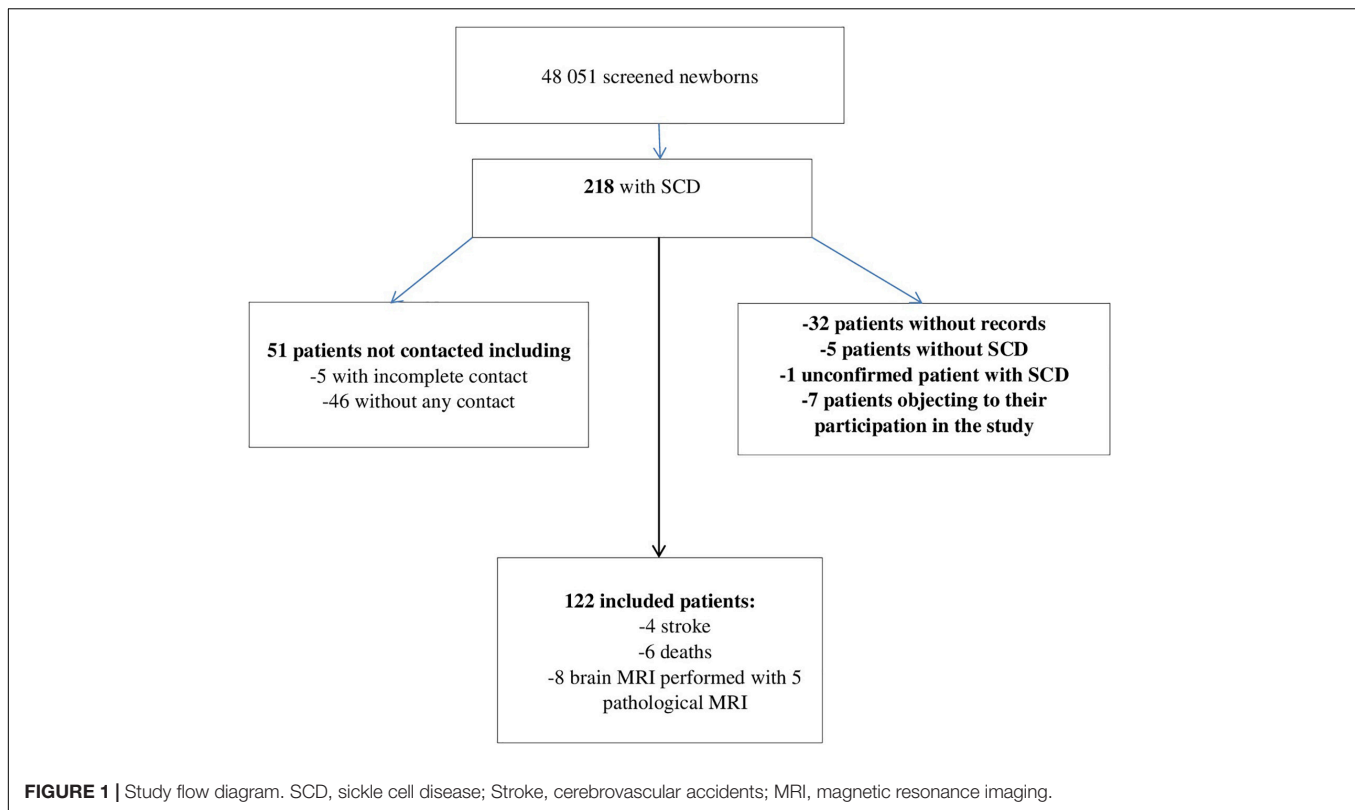
The estimated sample size needed to obtain a 20% difference between the incidence observed in the Freepong study and ours, with a 2-sided test, 90% power, and 5% alpha error, was 70 subjects in total.

## Ethical Approval

This study was approved by the « Comité d'Évaluation Ethique de l'Inserm (Number IRB00003888) and the database was declared at the Commission Nationale Informatique et Liberté (CNIL, Number 2200250 v 0). Patients were informed of the utilization of their data with an informative poster in the medical units concerned with SCD. All patients and relatives were personally informed and asked to give approval to participate in the stud. All data were collected, after certification of a written patient's non-opposition. All underage participants had written informed consent provided on their behalf by their parent/legal guardian.

## RESULTS

A total of 218 records were extracted 122 of which met the inclusion criteria, as shown in **Figure 1**. Our cohort comprised 56 females (46%), and 66 males (54%). There were 70 HbSS/S $\beta$ 0 (58%), 40 HbSC (33%), and 11 S $\beta$  + thalassemia (9%). Of the 122 included patients, 60 lived in the urban Municipality of Cayenne, 49 in Western French Guiana and 11 patients lived in the Savanas. Among them, 21 (17.21%) were treated with HU. The number of emergency room admissions was significantly different between genotypes, with a higher number in SS/S $\beta$ 0 children, as expected (**Table 1**). Frequency of acute chest syndrome (ACS) and the level of lactate dehydrogenase (LDH) were significantly higher



in patients with genotype SS/S $\beta$ 0 (Tables 2, 3). Among the 108 patients who performed a TCD, there were 17% abnormal, 25% conditional, and 58% normal TCD. For all of them, the cerebral MRI angiography was normal. A transfusion exchange program was offered to these 18 patients with pathological TCD. However, only 11 patients were able to benefit from it, for 12 months, after which the transfusion program was stopped. The TCD of the transfused patients was normal by the sixth month after the start of the exchange program. The remaining 7 patients were all treated with HU for the following reasons:

- alloimmunization and difficulty crossmatching units in 4 patients
- refusal of transfusion programs in the other 3 patients

A total of 4 patients had a diagnosis of stroke. Three of these patients experienced an ischemic stroke at a mean age of 6.9 years, and one a hemorrhagic stroke at 9.2 years of age. The cumulative incidence rate of ischemic stroke among SS/S $\beta$ 0 children was 3.1 (95% CI: 1.0–9.7) per 1,000 patient-years (Figure 2), and the risk of clinical stroke by age 15 years and 3 months was 6.4%. The incidence of hemorrhagic stroke was 1.1 (95% CI: 0.1–7.4) per 1,000 patients-years. All strokes occurred only in children with SS/S $\beta$ 0 genotypes. Risk factors such as lack of alpha-thalassemia, high blood pressure, asthma, and enlarged adenoids were not significantly different between genotypes (Tables 1, 2). 15 patients in this study had moderate splenomegaly. There was no difference in splenomegaly according to genotype. Only 8 patients benefited from a magnetic resonance imaging (MRI)

of the brain. Four silent brain infarctions (SBI) were diagnosed (including 2 prior to ischemic stroke), two internal carotid artery stenoses, as well as brain images corresponding to migraine lesions in one patient. There were no cases of transient ischemic attack (TIA) in our study.

Six deaths occurred during the study period, all in patients with SS/S $\beta$ 0 SCD except one in a patient with an unknown genotype. The sex ratio was 1. Three patients were followed in Cayenne, and the three others in Saint Laurent du Maroni. Death occurred at a mean age of 4.9 years [range: 9 months–14.75 years]. Two deaths were attributed to severe acute anemia (Hb level 2 and 3 g/dL), two other deaths occurred at home, one in a febrile context, without further information. One death was attributable to an acute chest syndrome complicated by coma, without brain imaging performed. The last death was secondary to post-anesthesia cardiac-respiratory arrest following phlegmon surgery, the injected brain scan was normal. None of our patients benefited from bone marrow transplantation (BMT).

## DISCUSSION

The incidence of clinical stroke in children with SS/S $\beta$ 0 was low although comparable to previous studies (5, 6, 19). This seems to be explained by a combination of different factors, among which we believe the implementation of systematic screening for cerebral vasculopathy by TCD has played a major role since 2009. The comparison with Ohene-Frempong et al. study (5) (Table 3) shows an incidence rate close to ours. In addition,

**TABLE 1** | Clinical characteristics of children with sickle cell disease, according to their genotype.

	SS/S $\beta$ 0		SC		S $\beta$ +		NS*	p ( $\alpha$ = 5%)
	N = 70	%	N = 40	%	N = 11	%		
<b>Gender</b>								0.269
Male	42	60	20	50	4	36		
Female	28	40	20	50	7	64	1	
<b>Hospital follow-up</b>								0.366
Cayenne	44	63	20	50	8	73		
Kourou	6	8	2	5	0	0		
Saint Laurent du Maroni	20	29	18	45	3	27	1	
<b>Haplotype</b>	n = 28		n = 10		n = 6			0
Benin/Benin	12	43	0	0	1	17		
Benin/Bantu	5	18	0	0	0	0		
Benin/atypical	4	14	0	0	0	0		
Bantu/Atypical	3	11	0	0	0	0		
Benin/Beta C allele	0	0	7	70	0	0		
Others	4	14	3	30	5	83		
<b>Number of hospitalizations</b>	n = 59		n = 33		n = 11			0
None	1	2	11	33	2	18		
1–4	15	25	17	52	4	36	1	
5–9	13	22	3	9	1	9		
10 or more	30	51	2	6	4	36		
<b>Number of emergency room visits</b>	n = 48		n = 27		n = 9			0.004
None	1	2	3	11	0	0		
1–4	5	10	12	44	1	11	1	
5–9	11	23	4	15	3	33		
10 or more	31	65	8	30	5	56		
<b>Alpha thalassemia</b>	n = 34		n = 11		n = 6			0.634
2/4 alleles	2	6	2	18	0	0		
3/4 alleles	11	32	2	18	2	33		
None	21	62	7	64	4	67		

\*NS, not specified.

**TABLE 2** | Distribution of clinical history by genotype.

	Genotypes									p ( $\alpha$ = 5%)	
	SS/S $\beta$ 0			SC			S $\beta$ +				NS (N = 1)
	N	Presence	%	N	Presence	%	N	Presence	%		Presence
ACS (n = 122)	70	20	29	40	2	5	11	2	18	0	0.006
AHT (n = 90)	54	1	2	26	0	0	10	1	10		0.292
Infant asthma (n = 15)	12	3	25	2	0	0				0	1
Asthma (n = 99)	57	9	16	31	6	19	11	0	0		0.33
Hypertrophy of adenoids (n = 25)	18	7	39	2	0	0	4	1	25	1	0.798
Enlarged tonsils (n = 77)	52	7	13	18	1	6	6	0	0	0	0.835

NS, not specified; ACS, acute chest syndrome; AHT, arterial hypertension.

our prevalence, although lower, is close to that of Ohene-Frempong et al. study (5). According to the literature, stroke rates vary with sickle cell genotype. Children with HbSS or HbS $\beta$ <sup>0</sup> thalassemia have a higher risk of stroke compared with SC and HbS $\beta$  + thalassemia. In our study, no patient with SC

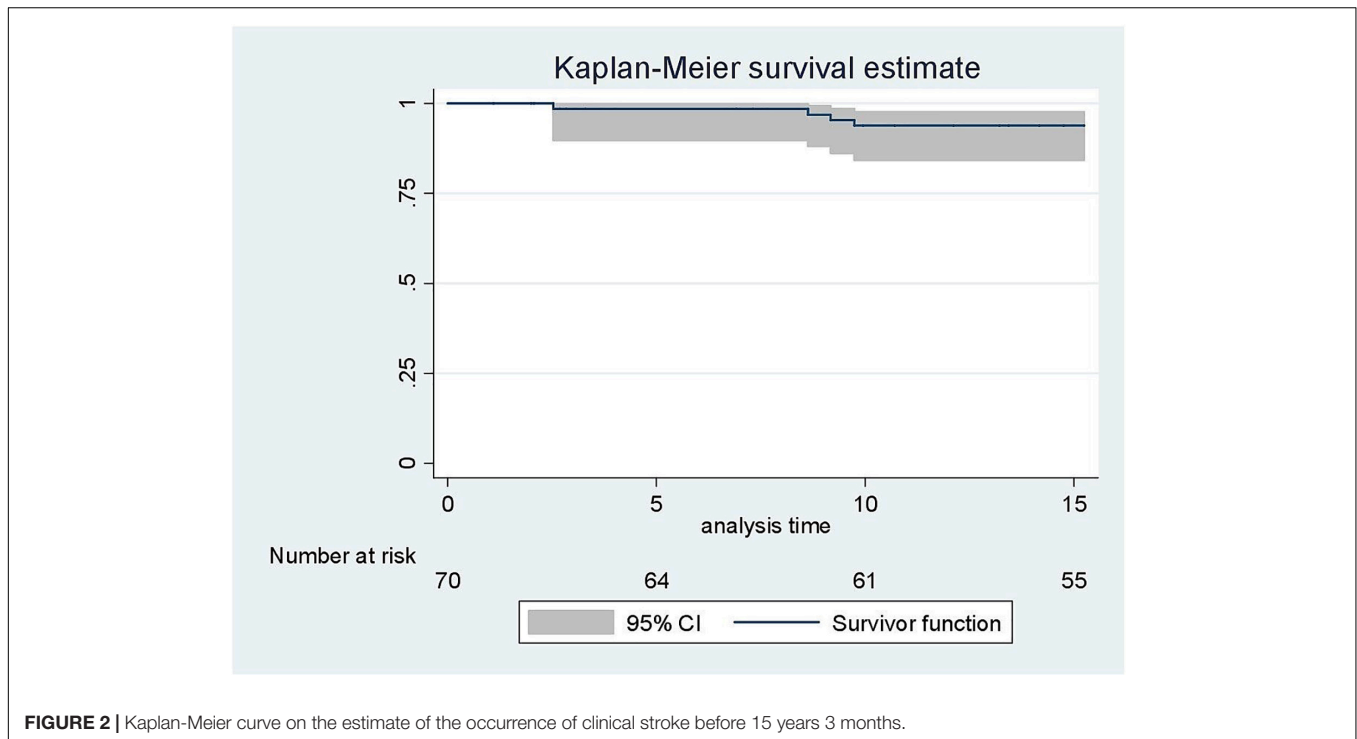
or S $\beta$  + thalassemia genotypes experienced stroke. However, the proportion of SC and HbS $\beta$  + patients was high compared with the Frempong cohort. The protective effect of alpha-thalassemia against the development of stroke is well established in the literature. The high rates of subjects with an alpha-thalassemic



**TABLE 3** | Comparative incidence of first CVA in children with SCA (SS and  $\beta 0$  thalassemia).

Study by	Ohene-Frempong et al.	Our study	Lagunju et al.
Number of patients	$n = 4,082$	$n = 122$	$n = 104$
Genotypes	67.8% SS 22.1% SC 5.2% S- $\beta$ + thal 4.9% S- $\beta 0$ thal	58% SS&S- $\beta 0$ thal 33% SC 9% $\beta$ + thal	100% Hb SS
Prevalence of stroke (%)	6.44	6.4	2.3
Incidence rate (per 100 patient-years)	0.69	0.31 (95% CI: 0.1–0.97)	0.27

CVA, cerebrovascular accident; SCA, Sickle cell anemia; Thal, thalassemia.

**FIGURE 2** | Kaplan-Meier curve on the estimate of the occurrence of clinical stroke before 15 years 3 months.

trait (37.2%) could also explain this low stroke incidence rate. The high Hb F in SSS/S $\beta 0$  could be explained by the young age of our study population. Other factors described in the literature could not be tested because of the retrospective nature of our study. The impact of early TCD screening and intensive therapy on cerebral vasculopathy is now globally recognized (20–22). Thus, our protocol follows the international recommendations to perform an annual TCD from the age of 18 months until the age of 16–18 years (20–22). A limited number of patients under study benefited from a brain MRI. This examination, introduced in our protocol in 2009, has become more accessible since 2015, with the acquisition by each of the three hospitals of an MRI scanner and the presence of another MRI scanner within a private radiology department in Cayenne. For this reason, very few MRIs have been performed. Thus, in a follow-up cohort of children screened for SCA since birth, the systematic detection of pathological TCD and the implementation of an early transfusion program made it possible to reduce the cumulative risk of stroke before age 18 years to 1.9 vs. 11% in the natural history of the disease (10).

This is what we have been trying to do since the introduction of TCD. We recognize that stopping the transfusion program might result in a risk of observing another pathological TCD, or even stroke (23–25). However, the transfusion protocol is cumbersome and sometimes inaccessible for some patients. This is why we have implemented this lighter protocol, which allows for the use of HU after 1 year of exchange transfusion (26).

A study in Nigeria showed that HU significantly reduced TCD velocities in children with SCD and high TCD velocities, with a drastic reduction in primary stroke incidence (27). HU is recognized as a potential alternative for primary stroke prevention in low- and middle-income countries (27–29). None of our patients benefited from BMT. However, the place of BMT as a primary prevention strategy was evaluated by the DREPAGREFFE study, which compares the outcome of cerebral vasculopathy following geno-identical allogeneic transplantation vs. chronic transfusion program (30). In French Guiana, the health system is that of France with free access to care and treatment. The neonatal screening for SCD has been generalized

since 1992 (13). And the routine TCD screening with indefinite chronic red blood cell transfusion for children with abnormal TCD as standard of care has been implemented since 2009. Our practices regarding the indication and prescription of brain MRI have therefore improved with the increase in the availability of MRI in French Guiana. Currently, in the 3 hospitals, the protocol for the management of cerebral vasculopathy with annual TCD and angio-MRI in case of pathological TCD (and HLA typing in siblings and indication of bone marrow transplant in case of HLA compatible donor) is scrupulously applied. This has led to an improvement in the management of this serious complication of SCD. The hypothesis that the number of strokes could have been influenced by the number of lost to follow-up is unlikely. Indeed, the occurrence of a clinical stroke implies hospitalization in one of the three hospitals of French Guiana, unless an immediate death occurs at home. In our study, over 10 years, there were 6 deaths in children. In the identified causes of death linked to SCD in France at any age, stroke was the most important cause identified: 34 cases out of 412 deaths (31). The small number of deaths that occurred in our study could be related to the low incidence of stroke. The frequency of silent strokes cannot be discussed in view of the low proportion of children screened with brain MRIs. Screening for silent strokes in children should be improved. Although there are no clear guidelines, sickle cell patients and their families may benefit from training to recognize the signs of TIA, which are sometimes inconspicuous, and to get them to consult urgently even if the symptoms disappeared. A therapeutic education program for sickle cell patients with a dedicated nurse was started in January 2020 at the Integrated Sickle Cell Center at Cayenne hospital.

Our study has some biases and limitations. Our major limitation is the low number of children who had a stroke in the cohort, which makes the identification of risk factors associated with stroke difficult. However, we have not yet collected data on the incidence of stroke in the post-TCD era. In this retrospective study, with many patients lost to follow-up and missing data—the older medical records were sometimes not exhaustive. The beginning of cerebrovascular screening overlapped with a period of our study, and this may have reduced the number of strokes found. However, since the risk of stroke occurred mainly before 9 years, the impact of this bias is difficult to estimate. A recent study carried out in the United States following the STOP and STOP 2 trials showed that the appearance of a first ischemic stroke was due to a failure in the monitoring and treatment of patients in 63% of cases (32).

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Despite these limitations, this multicenter study made it possible to estimate the incidence and risk factors of clinical stroke in children with SCD in French Guiana. These results will be taken into account to improve the screening and the primary and secondary prevention of cerebral vasculopathy in children with SCD in French Guiana.

## CONCLUSION

The risk of clinical stroke in children with SS/S $\beta$ 0 SCD before the age of 15 years and 3 months was low. This was probably explained by the implementation of routine TCD screening with indefinite CRCT for children with abnormal TCD. Additional opportunities for ischemic stroke prevention through the recent implementation of therapeutic patient education program may further reduce the incidence of this complication and will be evaluated.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Comité d'Evaluation Ethique de l'Inserm (Number IRB00003888). Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct, and intellectual contribution to the work, and approved it for publication.

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