



# Altitude Travel in Patients With Pulmonary Hypertension: Randomized Pilot-Trial Evaluating Nocturnal Oxygen Therapy

Mona Lichtblau, Stéphanie Saxer, Tsogyal D. Latshang, Sayaka S. Aeschbacher, Fabienne Huber, Philipp M. Scheiwiller, Joël J. Herzig, Simon R. Schneider, Elisabeth D. Hasler, Michael Furian, Konrad E. Bloch and Silvia Ulrich\*

Department of Pulmonology, University Hospital Zurich, Zurich, Switzerland

## OPEN ACCESS

### Edited by:

Anne Hilgendorff,  
Ludwig Maximilian University of  
Munich, Germany

### Reviewed by:

Gunnar N. Hillerdal,  
Karolinska University  
Hospital, Sweden  
Naresh Kumar,  
University of Miami, United States

### \*Correspondence:

Silvia Ulrich  
silvia.ulrich@usz.ch

### Specialty section:

This article was submitted to  
Pulmonary Medicine,  
a section of the journal  
Frontiers in Medicine

**Received:** 30 April 2020

**Accepted:** 21 July 2020

**Published:** 02 September 2020

### Citation:

Lichtblau M, Saxer S, Latshang TD, Aeschbacher SS, Huber F, Scheiwiller PM, Herzig JJ, Schneider SR, Hasler ED, Furian M, Bloch KE and Ulrich S (2020) Altitude Travel in Patients With Pulmonary Hypertension: Randomized Pilot-Trial Evaluating Nocturnal Oxygen Therapy. *Front. Med.* 7:502. doi: 10.3389/fmed.2020.00502

**Introduction:** Stable patients with pulmonary arterial or chronic thromboembolic pulmonary hypertension (PH) wish to undergo altitude sojourns or air travel but fear disease worsening. This pilot study investigates health effects of altitude sojourns and potential benefits of nocturnal oxygen therapy (NOT) in PH patients.

**Methods:** Nine stable PH patients, age 65 (47; 71) years, 5 women, in NYHA class II, on optimized medication, were investigated at 490 m and during two sojourns of 2 days/nights at 2,048 m, once using NOT, once placebo (ambient air), 3 L/min per nasal cannula, according to a randomized crossover design with 2 weeks washout at <800 m. Assessments included safety, nocturnal pulse oximetry (SpO<sub>2</sub>), 6-min walk distance (6 MWD), and echocardiography.

**Results:** At 2,048 m, two of nine patients required medical intervention, one for exercise-induced syncope, one for excessive nocturnal hypoxemia (SpO<sub>2</sub> < 75% for >30 min). Both recovered immediately with oxygen therapy. Two patients suffered from acute mountain sickness. In 6 patients with complete data, nocturnal mean SpO<sub>2</sub> and cyclic SpO<sub>2</sub> dips reflecting sleep apnea significantly differed from 490 to 2,048 m with placebo, and 2,048 m with NOT (medians, quartiles): SpO<sub>2</sub> 93 (91; 95)%, 89 (85; 90)%, 97 (95; 97)%; SpO<sub>2</sub> dips 10.4/h (3.1; 26.9), 34.0/h (5.3; 81.3), 0.3/h (0.1; 2.3). 6 MWD at 490, 2,048 m without and with NOT was 620 m (563; 720), 583 m (467; 696), and 561 m (501; 688). Echocardiographic indices of heart function and PH were unchanged at 2,048 m with/without NOT vs. 490 m.

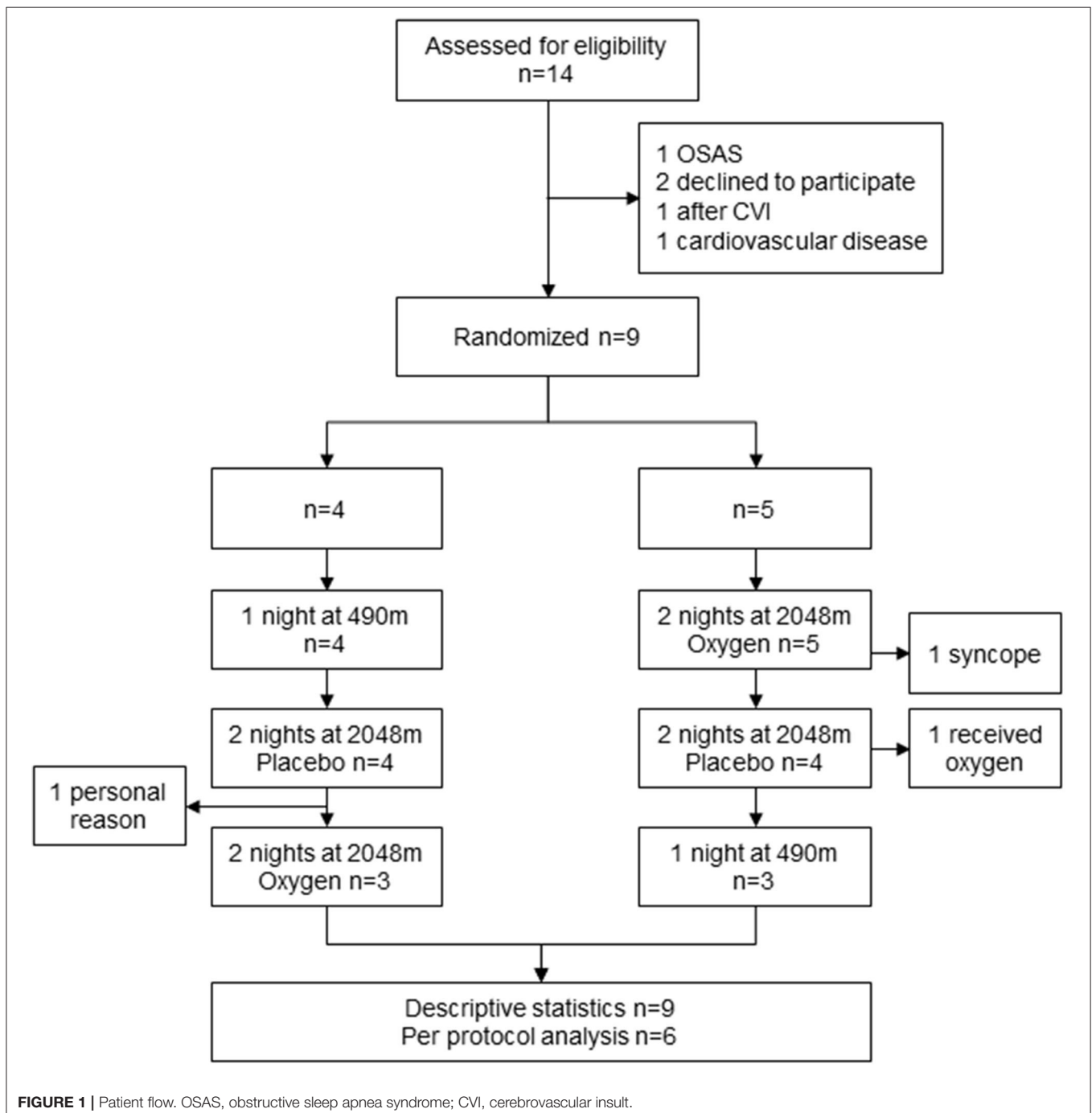
**Conclusions:** 7/9 PH patients stayed safely at 2,048 m but revealed hypoxemia, sleep apnea, and reduced 6 MWD. Hemodynamic changes were trivial. NOT improved oxygenation and sleep apnea. The current pilot trial is important for designing further studies on altitude tolerance of PH patients.

**Keywords:** pulmonary hypertension, altitude, oxygen, exercise performance, sleep, echocardiography

## INTRODUCTION

Precapillary pulmonary hypertension (PH) is defined as mean pulmonary artery pressure (PAP)  $> 20$  mmHg and pulmonary artery wedge pressure (PAWP)  $\leq 15$  mmHg along with a pulmonary vascular resistance (PVR)  $\geq 3$  WU assessed by right heart catheterization (1). Since 1998, PH has been classified into five major groups according to clinical presentation and response to vasodilator and antiproliferative therapies (1). In

the absence of predominant lung or certain rare diseases, the major precapillary PH forms are pulmonary arterial hypertension (PAH) and chronic thromboembolic PH (CTEPH), defined as groups I and IV and below summarized as PH and these groups are also summarized as pulmonary vascular diseases (PVD) (1). The leading symptom in PH is dyspnea on exertion with impaired exercise performance and restrained daily activity (2). With progression of the disease, worsening hemodynamics may lead to gas exchange disturbances associated with hypoxemia,



**FIGURE 1** | Patient flow. OSAS, obstructive sleep apnea syndrome; CVI, cerebrovascular insult.

particularly during exercise and sleep (3, 4). Current guidelines recommend that PH patients with hypoxemia or exertional dyspnea or in New York Heart Association functional class  $\geq$ III avoid traveling to altitudes above  $>1,500$  m and use supplemental oxygen during air travel (5). However, these recommendations are not based on scientific evidence. In a recent review of the literature, no conclusive studies regarding the effect of a hypoxic environment at altitude on patients with preexisting PH was found (6). A nonrandomized small study in patients with PAH exposed for 20 min to normobaric hypoxia with an inspiratory oxygen fraction ( $\text{FiO}_2$ ) of 15% corresponding to an altitude of  $\approx 2,500$  m revealed that resting PAP assessed by echocardiography did not increase (7). Moreover, we recently showed that exposure to normobaric hypoxia ( $\text{FiO}_2$  15%) during right heart catheterization did not significantly change invasive pulmonary hemodynamics at rest in patients with PAH/CTEPH despite a decrease in arterial oxygen tension from 9.5 to 7.0 kPa (8). It is not known whether and to what extent environmental hypoxia during hours to days as in air travel or altitude sojourns is harmful for patients with PH, and potential risk factors are not identified. In our previous short-duration study with normobaric hypoxia, PH patients revealed an even smaller fall in arterial oxygen tension compared to unaffected controls while breathing hypoxia during 15', related to increased hyperventilation as indicated by the significant decrease in arterial partial pressure of carbon dioxide ( $\text{PaCO}_2$ ) (8). In healthy volunteers, exercise performance is gradually impaired with increasing hypoxia/altitude (9) and even more in patients with COPD (10, 11) and improves with adaptation (12). Data on PH patients exercising at altitude is completely lacking.

Worldwide, millions of people travel regularly to higher settlements or mountain areas for business or recreational purposes and expose themselves to hypobaric hypoxia during days to weeks or even longer. In addition, traveling by airplane, which has become extremely popular, further increased the number of people that are transiently exposed to a hypoxic environment, as the lower limit of cabin pressure is equivalent to 2,430 m (8,000 ft) of altitude. Very little is known about the cardiovascular adaptive changes and risks during exposure to hypoxia in PH patients. In our daily practice, many PH patients ask whether they can travel with their relatives and friends to the nearby Alps or undergo air travel for business or recreational purposes.

Therefore, the purpose of the current pilot trial in PH patients was to evaluate safety, echocardiographic indices of cardiac function, exercise performance, and sleep-related breathing disturbances during a stay at moderately high altitude and to evaluate the hypothesis that nocturnal oxygen therapy (NOT) during the altitude sojourn would improve these outcomes.

## MATERIALS AND METHODS

### Study Design

This randomized, placebo-controlled, blinded crossover pilot trial was performed from January to October 2014, in PH patients permanently living  $<800$  m. The study was approved by the Cantonal Ethics Committee Zurich (EK-2013-0088), and

**TABLE 1** | Baseline characteristics given as median (IQR) or number (%).

<b>N</b>	<b>6</b>
Sex, male/female	3/3
Age	65 (49; 71)
Body mass index, kg/m <sup>2</sup>	24.4 (23.7; 26.8)
New York Heart Association functional class II	6 (100)
6-minute walking distance, m	620 (562; 720)
<b>Pulmonary hypertension group</b>	
Pulmonary arterial hypertension	2 (33)
Chronic thromboembolic pulmonary hypertension	4 (67)
<b>Right heart catheter</b>	
Mean pulmonary artery pressure, mmHg	36 (30; 43)
Pulmonary artery wedge pressure, mmHg	10 (9–10)
Pulmonary vascular resistance, WU	5.9 (5.2; 9.0)
Cardiac index, L·min <sup>-1</sup> ·m <sup>-2</sup>	2.2 (2.0; 2.4)
<b>Pulmonary hypertension treatment</b>	
Endothelin receptor antagonist	3 (50)
Phosphodiesterase-5 inhibitors	2 (33)
Combination therapy	1 (17)
Calcium channel blockers	1 (17)

*PaO<sub>2</sub>, arterial partial pressure of oxygen; PaCO<sub>2</sub>, arterial partial pressure of carbon dioxide.*

all participants signed a written informed consent. The trial is registered at [clinicaltrials.gov](http://clinicaltrials.gov), NCT02150616.

It evaluated the effects of NOT vs. placebo (nocturnal ambient air) during two sojourns at 2,048 m on symptoms, safety, sleep disordered breathing (SDB), vital signs, exercise performance, and hemodynamics by echocardiography. Participants underwent baseline evaluations during daytime and an overnight stay at 490 m (Zurich, Switzerland) and had the same evaluations over the course of 2 sojourns of 2 days/nights each in a mountain hotel at 2,048 m (St. Moritz, Switzerland). During the nights at 2,048 m, patients received either NOT or placebo (3 l/min) according to a randomized crossover trial via a nasal cannula. To minimize carryover effects, patients had to spend at least 2 weeks at low altitude ( $<800$  m) between the two altitude sojourns.

### Patients

Patients with pulmonary arterial or chronic thromboembolic PH living below 800 m in New York Heart Association functional class II–III were recruited at the University Hospital of Zurich.

Patients with unstable or exacerbated condition, severe PH (functional class IV), concomitant unstable cardiovascular disease, hypoventilation, severe hypoxemia needing long-term oxygen therapy at low altitude or drugs that affect respiratory center drive, previous intolerance of moderate altitude ( $<2,600$  m), or exposure to altitudes  $>1,500$  m for  $>2$  days within the last 4 weeks before the study were excluded.

### Randomization

Patients were randomized to receive either NOT or nocturnal placebo (ambient air) at altitude. Participants traveled by train

**TABLE 2** | Vital signs, exercise tests, and blood gas analysis.

	Baseline Zurich 490 m n = 6	St. Moritz 2048 m Placebo n = 6		St. Moritz 2048 m NOT n = 6	
		Day 1	Day 2	Day 1	Day 2
<b>Vital signs</b>					
HR rest, 1/min	64 (56; 78)	66 (61; 77)	71 (65; 76)	70 (64; 72)	70 (62; 78)
BP rest, systolic, mmHg	114 (102; 142)	127 (121; 135)	127 (121; 135)	125 (117; 132)	121 (113; 141)
BP rest, diastolic, mmHg	74 (71; 89)	80 (72; 89)	80 (72; 88)	78 (71; 82)	76 (69; 89)
SpO <sub>2</sub> pre 6 MWD, %	97 (94; 98)	95 (88; 96)*	95 (92; 95)	95 (90; 97)	95 (90; 95)
<b>6-minute walk test</b>					
6 MWD, m	620 (563; 720)	583 (467; 696)*	563 (485; 653)*	561 (501; 688)*	572 (500; 691)*
HR end 6 MWD, 1/min	94 (80; 106)	104 (95; 123)*	103 (84; 116)	100 (95; 116)*	108 (97; 149)*
BP end 6 MWD, systolic, mmHg	150 (146; 155)	175 (171; 186)*	177 (170; 183)*	175 (170; 191)*	173 (153; 197)
BP end 6 MWD, diastolic, mmHg	86 (77; 96)	93 (85; 100)	89 (79; 97)	83 (72; 119)	84 (72; 95)
SpO <sub>2</sub> end 6 MWD, %	87 (82; 92)	83 (76; 87)*	80 (73; 87)	78 (69; 83)#	80 (75; 87)
Borg dyspnea	3.5 (1.6; 5.0)	4.5 (3.0; 7.2)	5.0 (3.0; 5.8)*	4.5 (3.0; 6.0)	4.5 (3.0; 7.8)
Borg fatigue	2.5 (0.8; 4.5)	5.0 (2.3; 7.0)*	5.0 (2.3; 5.3)	3.0 (2.3; 4.8)	4.0 (2.3; 6.0)
<b>Constant work-rate endurance test (CWRET) at 60% of Wmax</b>					
Endurance time, s	826 (243; 1410)	200(160; 785)*		424 (163; 694)	
PaO <sub>2</sub> end CWRET, mmHg	59.7 (51.6; 67.4)	53.9 (43.9; 63.9)		54.6 (42.2; 60.7)	
SaO <sub>2</sub> end CWRET, %	90.8 (87.1; 94.1)	83.3 (75.2; 91.7)		89.5 (75.7; 91.4)	
<b>Arterial blood gas analysis</b>					
pH	7.48 (7.42; 7.48)	7.46 (7.44; 7.49)		7.46 (7.48; 7.49)	
PaO <sub>2</sub> , mmHg	65 (60; 72)	60 (54; 63)		58(50; 61)*	
PaCO <sub>2</sub> , mmHg	32 (29–35)	32 (29–34)		33 (29–36)	
<b>Sleep study</b>					
SpO <sub>2</sub> , %	93 (91; 95)	89 (85; 90)*	90 (89; 92)*	97 (95; 97)* #	97 (95; 97)* #
ODI, 1/h	10.4 (3.1; 26.9)	34.0 (5.3; 81.3)*	27.2 (6.9; 61.5)*	0.3 (0.1; 2.3)* #	0.7 (0.1; 4.0)* #
AHI, 1/h	27.3 (17.5; 38.7)	34.2 (13.3; 71.2)	29.8 (16.5; 63.7)	23.9 (2.4; 30.7)	15.2 (7.7; 33.0)*#

HR, heart rate; BP, blood pressure; 6 MWD, 6-minute walking distance; PaO<sub>2</sub>, arterial partial pressure of oxygen; PaCO<sub>2</sub>, arterial partial pressure of carbon dioxide; SpO<sub>2</sub>, oxygen saturation; ODI, oxygen desaturation index; AHI, apnea/hypopnea index. \*Significant difference to low altitude baseline  $p < 0.05$ ; #significant difference to placebo of the same night  $p < 0.05$ .

and car within 3 h from 490 m to 2,048 m. Between altitude sojourns, a >2-week washout period <800 m was imposed.

## Intervention

Patients received NOT or placebo (ambient air) during nights at 2,048 m provided via nasal prongs connected to a concentrator (EverFlo, Philips Respironics, Zofingen, Switzerland) with a constant flow rate of 3 l/min. Patients were blinded for the intervention by placing the concentrators in a separate room.

For safety reasons, SpO<sub>2</sub> was permanently monitored by the investigators at night and patients received oxygen, when SpO<sub>2</sub> was <75% for more than 30 min during the sojourn at 2,048 m altitude or in the presence of symptoms requiring an intervention.

## Assessments

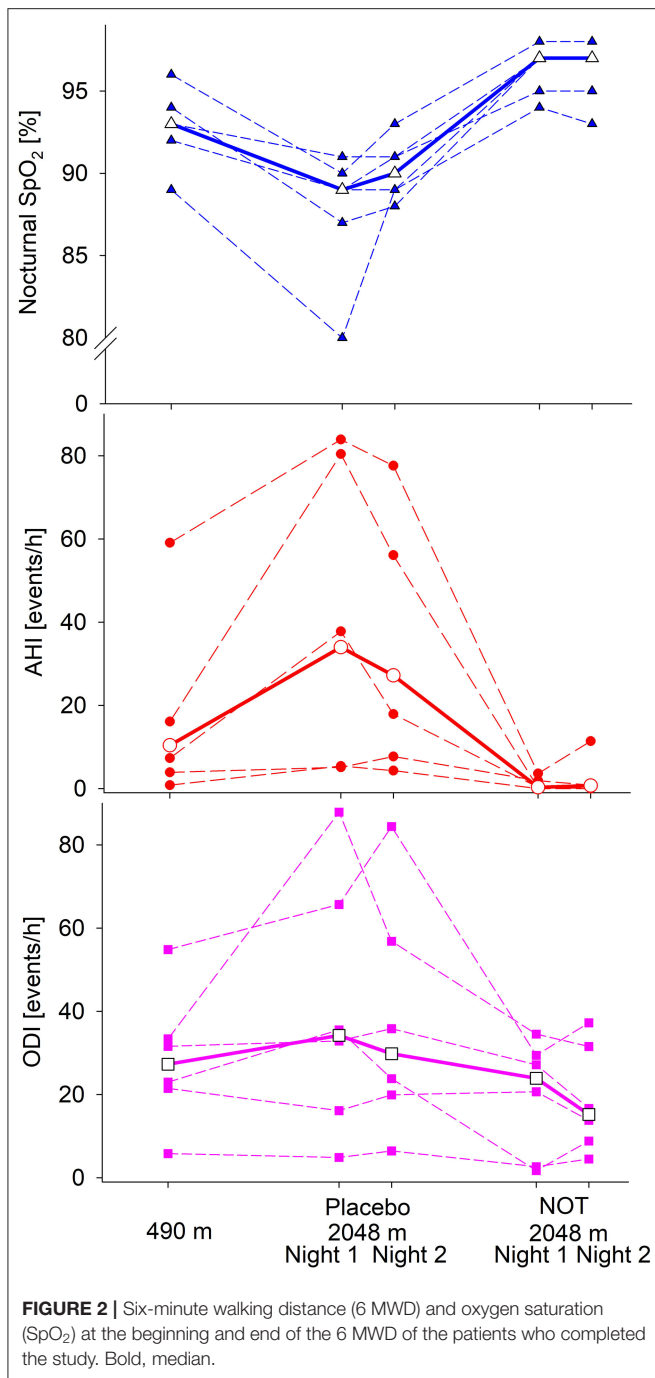
All symptoms, signs, or events relevant for safety and/or requiring medical intervention were noted, in particular excessive hypoxemia (SpO<sub>2</sub> < 75% for > 30 min) and acute mountain sickness (AMS), among others.

AMS was evaluated with the Environmental Symptoms Questionnaire cerebral score (AMSc). AMSc scores  $\geq 0.7$  were considered to be clinically relevant AMS (13).

The 6-min walking test was performed according to guidelines (14). Arterial blood gases were obtained at low altitude and at high altitude after the 1<sup>st</sup> night.

Patients performed an incremental (ramp) exercise test on arrival (before NOT resp. placebo) and a constant work-rate exercise test on day 2 during each sojourn (60% of the maximal work rate achieved in the incremental work-rate test at lowland).

Echocardiography was performed in Zurich and on day 2 (after the first night) at altitude according to previously published studies and included assessments of right ventricular function (right ventricular fractional area change (RV-FAC), tricuspid annular plane systolic excursion (TAPSE), and peak systolic right ventricular to right atrial pressure gradient (RV/RA gradient) (15). Echocardiographic recordings were performed with a real-time, phased array sector scanner (CX 50, Philips, Philips Respironics, Zofingen, Switzerland) with an integrated Color Doppler system and a transducer containing crystal sets



for imaging (1–5 MHz) and for continuous-wave Doppler. Measurements were carried out according to guidelines of the European Association of Echocardiography (16).

Patients had a respiratory sleep study (Alice 5, Philips Respironics) according to international standards (17, 18) at low and high altitude. Apneas/hypopneas were scored when there was a reduction of nasal pressure swings or the inductive plethysmographic sum signal to <50% of baseline for >10 s, as described previously (19–21) (Transient reductions in breathing

amplitude to <50% of baseline for 5–10 s were also scored as apneas/hypopneas if they occurred as part of a periodic breathing pattern with hyperventilation alternating with central apneas/hypopneas for at least three consecutive cycles.). The apnea/hypopnea index (AHI) was computed as the number of events per hour of total sleep time and time in bed; the oxygen desaturation index (ODI, > 3% SpO<sub>2</sub> dips) was computed as the number of events per hour of time in bed as described previously (22).

## Outcomes

Main endpoints were safety and tolerability of altitude exposure, blood oxygenation (SpO<sub>2</sub> and blood gases), exercise performance (6-min walking distance (6 MWD), cycle endurance time), hemodynamics/heart function by echocardiography, sleep-related breathing disturbances, and the effect of NOT on these parameters.

## Statistics

In this pilot study, measurements are presented for each patient separately and/or summarized for the six patients who were treated by protocol as median and quartiles. Comparisons between summarized measures were performed with the Wilcoxon test.  $P < 0.05$  was considered as a statistically significant difference; all analyses were performed with the statistical program SPSS 25 (SPSS, Chicago, IL, USA).

## RESULTS

Nine patients with PH were included in the study with a median age of 65 (49; 71) years, 5 women, and 5 CTEPH/4 PAH, all in NYHA functional class II; the study flow is presented in **Figure 1**. Baseline characteristics of the six patients who completed the study are shown in **Table 1**.

One patient fulfilled the predefined safety criterion of SpO<sub>2</sub> <75% for more than 30 min during the sojourn at 2,048 m during the placebo phase and was treated with oxygen accordingly (Patient ID 2). Two other patients performed only one visit at altitude and therefore did not complete the protocol: one was due to personal reasons (Patient ID 4), and the other patient had a syncope during cardiopulmonary exercise testing the day of arrival (Patient ID 9). This patient and the other one suffered from AMS (Patient ID 9 and 6). Six patients completed the study procedure according to the protocol.

The arterial partial pressure of oxygen (PaO<sub>2</sub>) assessed by arterial blood gases was significantly lower only after the 1<sup>st</sup> night with NOT compared to the low altitude baseline, but not compared to placebo, and PaO<sub>2</sub> after placebo was similar to low altitude measures. PaCO<sub>2</sub> did not significantly differ in all circumstances (see **Table 2**). Heart rate and systolic blood pressure at the end of 6 MWD were significantly higher at altitude, irrespective of the intervention (see **Table 2**).

All patients had a reduced 6 MWD at 2,048 m compared to 490 m. Patients desaturated during 6 MWD in all phases. The lowest SpO<sub>2</sub> was found at the end of 6 MWD at 2,048 m after receiving NOT, and between-group difference was only significant comparing desaturation at 490 vs. 2,048 m after having

**TABLE 3** | Echocardiographic measures of all patients listed separately and median (IQR) for  $n = 6$  who performed the study according to protocol.

ID	RV FAC (%)	RV FAC (%)	RV FAC (%)	TAPSE (cm)	TAPSE (cm)	TAPSE (cm)	RV/RA	RV/RA	RV/RA
	490 m	2,048 m Placebo	2,048 m NOT	490 m	2,048 m Placebo	2,048 m NOT	(mmHg) 490 m	(mmHg) 2,048 m Placebo	(mmHg) 2,048 m NOT
1	25	21	24	2.2	2.7	2.4	53	46	43
3	43	29	42	2	1.4	1.7	22	24	25
5	37	44	47	2.8	2.5	2.7	10	21	16
6	39	43	30	2.7	2.4	2.19	53	77	68
7	32	48	40	2.2	2.3	3.4	17	19	31
8	41	37	38	2.3	2.2	2.5	26	22	38
Median (IQR)	38 (30–41)	40 (27; 46)	39 (29; 43)	2.3 (2.2; 2.7)	2.4 (2.0; 2.5)	2.4 (2.1; 2.9)	24 (15; 53)	24 (20; 54)	35 (22; 49)
2	30	34 <sup>†</sup>	40	1.6	2.0 <sup>†</sup>	2.2	28	40 <sup>†</sup>	41
4	33	36		2.3	2.8		22	23	
9			33			1.8			86

RV, right ventricle; FAC, fractional area change; TAPSE, tricuspid annular plane systolic excursion; RA, right atrium; NOT, nocturnal oxygen therapy. <sup>†</sup>Patient received NOT in the placebo phase due to hypoxemia.

received NOT ( $p = 0.043$ ), but not placebo, shown in **Table 2** and **Figure 2**. Constant work-rate cycle endurance time was significantly reduced at altitude when patients received placebo,  $p = 0.046$  (**Table 2**). All patients performed an incremental exercise test at lowland and shortly after arrival at altitude; however, the difference was not statistically significant (490 vs. 2,048 m (placebo): 109 (83; 201) vs. 103 (77; 187) W,  $p = ns$ ).

Echocardiographic measures did not reveal significant differences between low and high altitude and between altitude sojourns with and without NOT (see **Table 3**).

Altitude induced a significant decrease in nocturnal SpO<sub>2</sub> and increase in ODI during both nights of the sojourn under placebo. AHI did not change significantly but tended to be higher at altitude. NOT at altitude significantly increased nocturnal SpO<sub>2</sub> and decreased ODI and AHI compared to lowland and even more to altitude sojourns without NOT (**Table 2** and **Figure 3**).

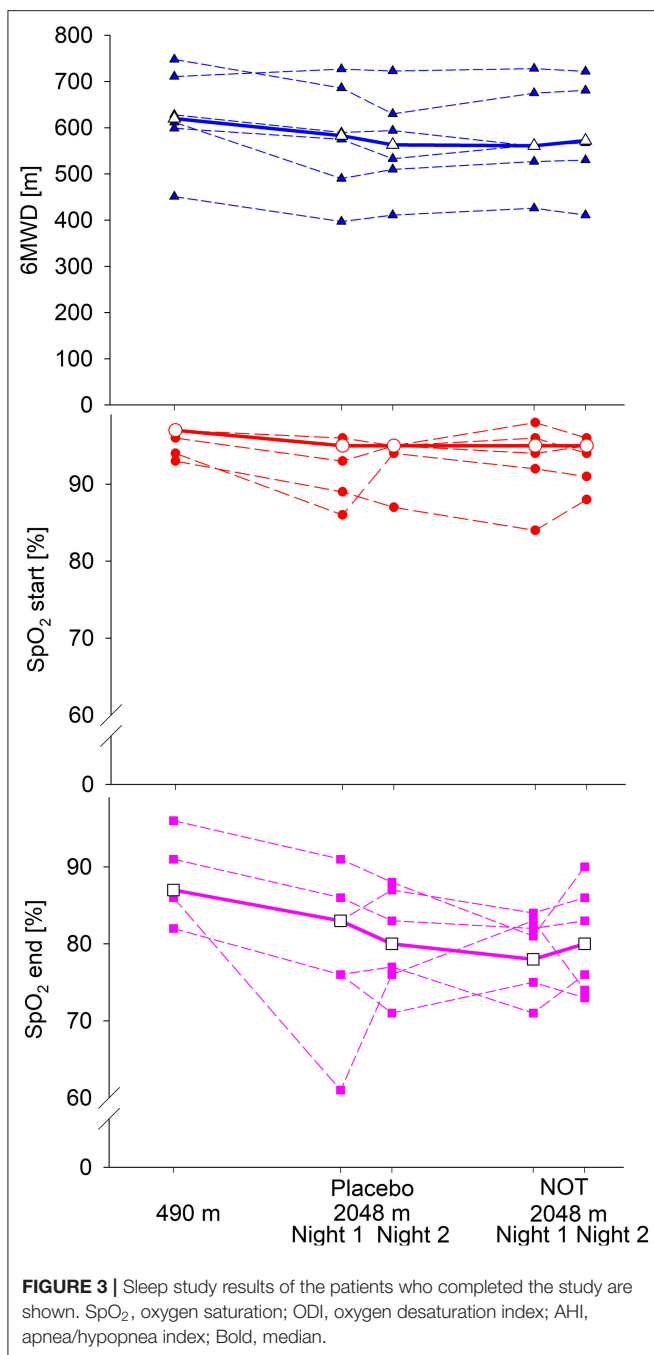
## DISCUSSION

We performed a randomized, placebo-controlled, single-blind, crossover pilot trial to evaluate the effect of an altitude sojourn with and without NOT on safety and tolerability, blood oxygenation, exercise performance, hemodynamics, and SDB in patients with PH staying for 2 days and nights at moderate altitude (2,048 m). Exposure to altitude induced arterial hypoxemia and reduction of exercise performance, along with higher heart rates and blood pressures at end of exercise and increase in SDB. NOT improved SDB but not daytime exercise performance. Right heart function measured by echocardiography revealed no significant changes at altitude with or without NOT. Out of 9 patients, two had altitude-related adverse health effects, one patient needed oxygen, and another one had a syncope during cardiopulmonary exercise testing on the day of arrival. The latter patient and another one fulfilled criteria for AMS according to the AMSc questionnaire.

Clinical data and particularly randomized-controlled trials on patients with cardiorespiratory disorders, such as heart failure (HF) or COPD, traveling at altitude is scarce, and no data are available for patients with PH (6). In HF, altitude exposure is not recommended if patients are unstable (23) or suffer from comorbidities that may directly interfere with the adaptation to altitude (24). Only few studies are available in clinically stable patients with HF on optimal medical treatment investigating short exposure to real altitude or normobaric hypoxia simulating altitude near sea level (24, 25). One of those studies assessed exercise capacity in 29 optimally treated and stable patients with severe HF [ejection fraction < 40%, peak oxygen uptake (peak VO<sub>2</sub>) > 50% predicted] traveling to 3,454 m (4–5-h stay at altitude) and revealed a reduction of 22.2% in the peak VO<sub>2</sub> (26). Another study revealed no increase in the number of cardiac events in patients with coronary artery disease or HF with preserved ejection fraction exposed up to 3,500 m (23, 27, 28). Wyss et al. investigated patients with coronary artery disease under normobaric hypoxia (FiO<sub>2</sub> 15%, equivalent to ~2,500 m) and found a decreased coronary flow reserve assessed by positron emission tomography on exercise (25).

Forty patients with moderate to severe COPD (median FEV<sub>1</sub> 59% predicted) have been assessed in a randomized crossover trial ascending to 2,590 m, and a 24% incidence of altitude-related adverse health effects was reported, confirming the increased susceptibility in COPD patients exposed to moderate hypobaric hypoxia (29). In the same trial, left and right heart function was assessed by echocardiography, revealing an increase in pulmonary artery pressure and slight reduction in right ventricular function as well as an increase in diastolic dysfunction at altitude (15). At such altitudes, adverse events requiring medical treatment or descent have not been reported in healthy volunteers or in patients with obstructive sleep apnea syndrome (30, 31).

COPD guidelines recommend in-flight oxygen in patients with severe COPD when the hypoxic altitude simulation test



reveals  $\text{PaO}_2 < 50$  mmHg or  $\text{SpO}_2 < 85\%$  (32). However, the role of this hypoxia-altitude-simulating test has never been established, as the degree of deoxygenation during the test has not been shown to predict symptoms at real altitude (33). In line with this, a currently published trial has shown that 16% of moderate to severe COPD patients, who did not fulfill the criteria to perform a hypoxia-altitude simulation test, revealed severe deoxygenation at 2,048 m of real altitude (22).

Our study is the first to investigate lowlanders with stable but symptomatic PH during a 2-day/night altitude sojourn.

We found that out of nine patients, two (22%) experienced altitude-related adverse health events, one severe nocturnal oxygen desaturation, the other exertional syncope, and two (22.2%) fulfilled the diagnostic criteria of AMS, but these two did not need additional treatment. Despite the fact that altitude exposure was associated with a significantly lower blood oxygenation, pulmonary hemodynamics by echocardiography was not significantly different and also not altered with NOT at altitude. Similarly, no significant changes in right ventricular systolic function were found in our PH cohort. The patient number in this pilot study was too small to detect minor changes, but major hemodynamic decompensation was not observed. In a previous study in PH patients assessed during short-term exposure to normobaric hypoxia during right heart catheterization (15 min,  $\text{FiO}_2$  15%), we also found that the pulmonary vascular resistance was similar to ambient air breathing. In accordance with healthy volunteers and patients with cardiovascular diseases or COPD, exercise performance as assessed by the 6 MWD was reduced at altitude and irrespective of whether NOT or placebo were applied during the nights (11, 24).

We and others have demonstrated that SDB including nocturnal oxygen desaturation and periodic breathing are highly prevalent in patients with right heart failure due to PH of various etiologies and are associated with reduced quality of life, impaired exercise capacity, and hemodynamics (3, 34–36): 39% of 43 PH patients studied at our center had  $\geq 10$  events/h of Cheyne–Stokes respiration/central sleep apnea (CSR/CSA), and the majority of them (68%) spent more than 10% of the night time with a low arterial  $\text{SpO}_2$  ( $<90\%$ ). With the current study, we have shown that SDB in PH are even more pronounced at altitude. This is in line with healthy volunteers, patients with COPD, and highlanders permanently living above 2,600 m (29, 37, 38).

This trial included only stable PH patients in NYHA class II; therefore, this data may not apply to more severe PH patients, at higher altitudes or longer exposures. Patients which were included in this trial were relatively physically fit (median baseline 6 MWD 620 m at 490 m). How PH patients with worse exercise performance at low altitude would tolerate altitude with and without NOT remains to be studied. The sample size of 6 was too small to draw definitive conclusions on outcomes and to detect significant changes in pulmonary artery pressure and right heart function with altitude. However, this was an exploratory pilot study that paved the way to future larger trials.

## CONCLUSION

In conclusion, 7 of 9 stable PH patients were able to safely travel to 2,048 m. One patient suffered from syncope during maximal incremental exercise test and another from low oxygen saturation over a sustained period of time during the night needing oxygen therapy. Altitude exposure induced hypoxemia, impairment of exercise performance, and SDB. NOT improved SDB but had no sustained effect on exercise performance or echocardiographic parameters during daytime. Our pilot trial is

an important basis for designing further trials evaluating altitude tolerance of PH patients.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the corresponding author upon request, without undue reservation.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Cantonal Ethics Committee Zurich (EK-2013-0088). The patients/participants provided their written informed consent to participate in this study.

## REFERENCES

- Simonneau G, Montani D, Celermajer DS, Denton CP, Gatzoulis MA, Krowka M, et al. Haemodynamic definitions and updated clinical classification of pulmonary hypertension. *Eur Respir J.* (2018) 53:1801913. doi: 10.1183/13993003.01913-2018
- Ulrich S, Fischler M, Speich R, Bloch KE. Wrist actigraphy predicts outcome in patients with pulmonary hypertension. *Respiration.* (2013) 86:45–51. doi: 10.1159/000342351
- Ulrich S, Fischler M, Speich R, Bloch KE. Sleep-related breathing disorders in patients with pulmonary hypertension. *Chest.* (2008) 133:1375–80. doi: 10.1378/chest.07-3035
- Ulrich S, Keusch S, Hildenbrand FF, Lo Cascio C, Huber LC, Tanner FC, et al. Effect of nocturnal oxygen and acetazolamide on exercise performance in patients with pre-capillary pulmonary hypertension and sleep-disturbed breathing: randomized, double-blind, cross-over trial. *Eur Heart J.* (2015) 36:615–23. doi: 10.1093/eurheartj/ehf540
- Galie N, Humbert M, Vachiery JL, Gibbs S, Lang I, Torbicki A, et al. 2015 ESC/ERS Guidelines for the diagnosis and treatment of pulmonary hypertension: The Joint Task Force for the Diagnosis and Treatment of Pulmonary Hypertension of the European Society of Cardiology (ESC) and the European Respiratory Society (ERS): Endorsed by: Association for European Paediatric and Congenital Cardiology (AEPCC), International Society for Heart and Lung Transplantation (ISHLT). *Eur Respir J.* (2015) 37:67–119. doi: 10.1183/13993003.01032-2015
- Ulrich S, Schneider SR, Bloch KE. Effect of hypoxia and hyperoxia on exercise performance in healthy individuals and in patients with pulmonary hypertension: a systematic review. *J Appl Physiol.* (2017) 123:1657–70. doi: 10.1152/jappphysiol.00186.2017
- Seccombe LM, Chow V, Zhao W, Lau EM, Rogers PG, Ng AC, et al. Right heart function during simulated altitude in patients with pulmonary arterial hypertension. *Open Heart.* (2017) 4:e000532. doi: 10.1136/openhrt-2016-000532
- Groth A, Saxer S, Bader PR, Lichtblau M, Furian M, Schneider SR, et al. Acute hemodynamic changes by breathing hypoxic and hyperoxic gas mixtures in pulmonary arterial and chronic thromboembolic pulmonary hypertension. *Int J Cardiol.* (2018) 270:262–67. doi: 10.1016/j.ijcard.2018.05.127
- Naeije R. Physiological adaptation of the cardiovascular system to high altitude. *Prog Cardiovasc Dis.* (2010) 52:456–66. doi: 10.1016/j.pcad.2010.03.004
- Furian M, Flueck D, Latshang TD, Scheiwiller PM, Segitz SD, Mueller-Mottet S, et al. Exercise performance and symptoms in lowlanders with COPD ascending to moderate altitude: randomized trial. *Int J Chron Obstruct Pulmon Dis.* (2018) 13:3529–38. doi: 10.2147/COPD.S173039
- Furian M, Hartmann SE, Latshang TD, Flueck D, Murer C, Scheiwiller PM, et al. Exercise performance of lowlanders with COPD at 2,590 m: data from a randomized trial. *Respiration.* (2018) 95:422–32. doi: 10.1159/000486450
- Latshang TD, Turk AJ, Hess T, Schoch OD, Bosch MM, Barthelmes D, et al. Acclimatization improves submaximal exercise economy at 5533 m. *Scand J Med Sci Sports.* (2013) 23:458–67. doi: 10.1111/j.1600-0838.2011.01403.x
- Sampson JB, Cymerman A, Burse RL, Maher JT, Rock PB. Procedures for the measurement of acute mountain sickness. *Aviat Space Environ Med.* (1983) 54:1063–73.
- Ats. ATS statement: guidelines for the six-minute walk test. *Am J Respir Crit Care Med.* (2002) 166:111–7. doi: 10.1164/ajrccm.166.1.at1102
- Lichtblau M, Latshang TD, Furian M, Muller-Mottet S, Kuest S, Tanner F, et al. Right and left heart function in lowlanders with COPD at altitude: data from a randomized study. *Respiration.* (2019) 97:125–34. doi: 10.1159/000492898
- Evangelista A, Flachskampf F, Lancellotti P, Badano L, Aguilar R, Monaghan M, et al. European Association of Echocardiography recommendations for standardization of performance, digital storage and reporting of echocardiographic studies. *Eur J Echocardiogr.* (2008) 9:438–48. doi: 10.1093/ejehoccard/jen174
- Latshang TD, Nussbaumer-Ochsner Y, Henn RM, Ulrich S, Lo Cascio CM, Ledergerber B, et al. Effect of acetazolamide and autoCPAP therapy on breathing disturbances among patients with obstructive sleep apnea syndrome who travel to altitude: a randomized controlled trial. *JAMA.* (2012) 308:2390–8. doi: 10.1001/jama.2012.94847
- Schwarz EI, Furian M, Schlatzer C, Stradling JR, Kohler M, Bloch KE. Nocturnal cerebral hypoxia in obstructive sleep apnoea: a randomised controlled trial. *Eur Respir J.* (2018) 51:1800032. doi: 10.1183/13993003.00032-2018
- Sleep Disorders Atlas Task Force. EEG arousals: scoring rules and examples: a preliminary report from the Sleep Disorders Atlas Task Force of the American Sleep Disorders Association. *Sleep.* (1992) 15:173–84. doi: 10.1093/sleep/15.2.174
- Nussbaumer-Ochsner Y, Schuepfer N, Ulrich S, Bloch KE. Exacerbation of sleep apnoea by frequent central events in patients with the obstructive sleep apnoea syndrome at altitude: a randomised trial. *Thorax.* (2010) 65:429–35. doi: 10.1136/thx.2009.125849
- Furian M, Lichtblau M, Aeschbacher SS, Estebešova B, Emilov B, Seraliev U, et al. Effect of dexamethasone on nocturnal oxygenation in lowlanders with chronic obstructive pulmonary disease traveling to 3100 meters: a randomized clinical trial. *JAMA Netw Open.* (2019) 2:e190067. doi: 10.1001/jamanetworkopen.2019.0067
- Tan L, Latshang TD, Aeschbacher SS, Huber F, Flueck D, Lichtblau M, et al. Effect of nocturnal oxygen therapy on nocturnal hypoxemia and sleep apnea in patients with chronic obstructive pulmonary disease travelling to altitude. A randomized clinical trial. *JAMA Netw Open.* (2020) 3:e207940. doi: 10.1001/jamanetworkopen.2020.7940

## AUTHOR CONTRIBUTIONS

ML, SS, and SU: analyzing and interpretation, drafting of the manuscript, and final approval of the version to be published. TL, SA, FH, PS, JH, SRS, EH, and MF: data acquisition, critical revision for important intellectual content, and final approval of the version to be published. ML, KB, and SU: conception and design of the study, data acquisition, interpretation of the data, critical revision for important intellectual content, and final approval of the version to be published. SU: guarantor of the paper. All authors contributed to the article and approved the submitted version.

## FUNDING

Funding was granted from Swiss National Science Foundation Grant number 320030\_143875 and Lung League of Zurich.



23. Dehnert C, Bartsch P. Can patients with coronary heart disease go to high altitude? *High Alt Med Biol.* (2010) 11:183–8. doi: 10.1089/ham.2010.1024
24. Agostoni P. Considerations on safety and treatment of patients with chronic heart failure at high altitude. *High Alt Med Biol.* (2013) 14:96–100. doi: 10.1089/ham.2012.1117
25. Wyss CA, Koepfli P, Fretz G, Seebauer M, Schirlo C, Kaufmann PA. Influence of altitude exposure on coronary flow reserve. *Circulation.* (2003) 108:1202–7. doi: 10.1161/01.CIR.0000087432.63671.2E
26. Schmid JP, Nobel D, Brugger N, Novak J, Palau P, Trepp A, et al. Short-term high altitude exposure at 3454 m is well tolerated in patients with stable heart failure. *Eur J Heart Fail.* (2015) 17:182–6. doi: 10.1002/ejhf.227
27. Schmid JP, Noveanu M, Gaillet R, Hellige G, Wahl A, Saner H. Safety and exercise tolerance of acute high altitude exposure (3454 m) among patients with coronary artery disease. *Heart.* (2006) 92:921–5. doi: 10.1136/hrt.2005.072520
28. De Vries ST, Komdeur P, Aalbersberg S, Van Enst GC, Breeman A, Van 'T Hof AW. Effects of altitude on exercise level and heart rate in patients with coronary artery disease and healthy controls. *Neth Heart J.* (2010) 18:118–21. doi: 10.1007/BF03091749
29. Latshang TD, Tardent RPM, Furian M, Flueck D, Segitz SD, Mueller-Mottet S, et al. Sleep and breathing disturbances in patients with chronic obstructive pulmonary disease traveling to altitude: a randomized trial. *Sleep.* (2019) 42:zsy203. doi: 10.1093/sleep/zsy203
30. Nussbaumer-Ochsner Y, Latshang TD, Ulrich S, Kohler M, Thurnheer R, Bloch KE. Patients with obstructive sleep apnea syndrome benefit from acetazolamide during an altitude sojourn: a randomized, placebo-controlled, double-blind trial. *Chest.* (2012) 141:131–8. doi: 10.1378/chest.11-0375
31. Latshang TD, Lo Cascio CM, Stowhas AC, Grimm M, Stadelmann K, Tesler N, et al. Are nocturnal breathing, sleep, and cognitive performance impaired at moderate altitude. (1,630–2,590 m)? *Sleep.* (2013) 36:1969–76. doi: 10.5665/sleep.3242
32. Ahmedzai S, Balfour-Lynn IM, Bewick T, Buchdahl R, Coker RK, Cummin AR, et al. Managing passengers with stable respiratory disease planning air travel: British Thoracic Society recommendations. *Thorax.* (2011) 66 (Suppl 1.):i1–30. doi: 10.1136/thoraxjnl-2011-200295
33. Howard LS. Last call for the flight simulation test? *Eur Respir J.* (2013) 42:1175–7. doi: 10.1183/09031936.00037813
34. Rafanan AL, Golish JA, Dinner DS, Hague LK, Arroliga AC. Nocturnal hypoxemia is common in primary pulmonary hypertension. *Chest.* (2001) 120:894–9. doi: 10.1378/chest.120.3.894
35. Schulz R, Baseler G, Ghofrani HA, Grimminger F, Olschewski H, Seeger W. Nocturnal periodic breathing in primary pulmonary hypertension. *Eur Respir J.* (2002) 19:658–63. doi: 10.1183/09031936.02.00225102
36. Hildenbrand FF, Bloch KE, Speich R, Ulrich S. Daytime measurements underestimate nocturnal oxygen desaturations in pulmonary arterial and chronic thromboembolic pulmonary hypertension. *Respiration.* (2012) 84:477–84. doi: 10.1159/000341182
37. Bloch KE, Buenzli JC, Latshang TD, Ulrich S. Sleep at high altitude: guesses and facts. *J Appl Physiol.* (2015) 119:1466–80. doi: 10.1152/jappphysiol.00448.2015
38. Latshang TD, Furian M, Aeschbacher SS, Ulrich S, Osmonov B, Mirrakhimov EM, et al. Association between sleep apnoea and pulmonary hypertension in Kyrgyz highlanders. *Eur Respir J.* (2017) 49:1601530. doi: 10.1183/13993003.01530-2016

**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Copyright © 2020 Lichtblau, Saxer, Latshang, Aeschbacher, Huber, Scheiwiller, Herzig, Schneider, Hasler, Furian, Bloch and Ulrich. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.