



# Nanoscale to Macroscale Characterization of *in-Situ* Bacterial Biopolymers for Applications in Soil Stabilization

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Bacterial biopolymers produced extracellularly due to microbial metabolic activities have gained considerable interest in various engineering applications. The major advantages of bacterial biopolymers is their *in-situ* production and low water solubility, eliminating the requirement for mixing in granular substrates such as soils. These properties make them highly desirable and preferable to manufactured biopolymers. But for any engineering applications, it is crucial to understand the mechanical properties of these materials, which have been less explored. This investigation is the first attempt to quantify the nano and macro mechanical properties of *in-situ* bacterial biopolymer dextran produced by bacterial culture *Leuconostoc mesenteroids*. The fundamental mechanism of bacterial biopolymer-based cementation has been revealed through their morphographic and nanomechanical testing *via* atomic force microscopy, nanoindentation and scanning electron micrographs. The effect of bacterially produced biopolymers and commercial biopolymers on the macro-mechanical properties of soils was then investigated *via* needle penetration tests. *In-situ* biopolymers were found to be highly effective in stabilizing soils with comparable mechanical properties as commercial biopolymers. This study has demonstrated novel methods for testing *in situ* polymers and opened up the channels for their applications in numerous subsurface as well as surface applications.

**Keywords:** biopolymers, bacterial dextran, nanoindentation, AFM, needle penetration

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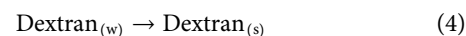
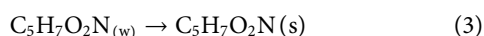
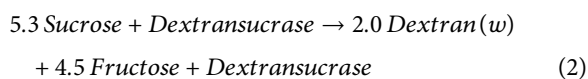
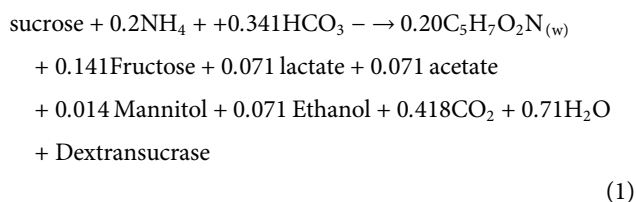
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## INTRODUCTION

The role of microbial metabolic activities in the creation of several naturally cementing structures as beach rocks, microbialites, cave speleothems has been widely accepted (Couradeau et al., 2011; Dhami et al., 2018; Ramachandran et al., 2020). In particular, bacterial extracellular biopolymers have been found to significantly influence soil properties in natural systems, as around  $10^{12}$  microorganisms per kilogram of soils have been recorded (DeJong et al., 2014). This ability of microbes to create Extracellular polymeric substances (EPS) and biopolymers leading to the cementation of granular materials in natural environments is now being harnessed for several engineering applications, including stabilization of soils, improvement of concrete and immobilization of heavy metals (Dhami et al., 2013; Terzis and Laloui 2019). These bacterially produced biopolymers offer immense benefits, including their eco-friendly nature, recyclability and low water solubility, making them desirable for achieving sustainability goals in the construction industry.

Commercially available biopolymers and biologically induced polymers offer the advantages of ease of use and sustainability compared to conventional chemical stabilizers (Choi et al., 2020). A range of biopolymers from microbially sources have been utilized for soil stabilization applications, including xanthan, guar gum, gellan gum, chitosan, sodium alginate (Chang et al., 2020; Choi et al., 2020). Commercial biopolymers are first mixed with water creating viscous gels and then supplemented into the soil for applications into soils. Two of the most widely used commercially available biopolymers, xanthan gum and guar gum, have been used extensively in soil stabilization. Their positive impact on soil engineering properties has been recorded in several previous studies (Dehghan et al., 2019; Lee et al., 2019). The properties of the soils improved include strength (Fatehi et al., 2018; Arab et al., 2019), stiffness (Ayeldeen et al., 2017), hydraulic conductivity (Bouazza et al., 2009; Cabalar et al., 2017) and dust resistance (Chen et al., 2015; Chen et al., 2019). Although these commercial biopolymers have several benefits but their high water solubility and high viscosity often limits their ability to penetrate through the soils restricting their usage for several applications.

Emulating the natural process through *in-situ* production of biopolymers within the soils can overcome the workability issues associated with commercial biopolymers. Stimulation of bacteria present in the soil and subsequent injection of the chemicals required for fermentation can also produce *in-situ* biopolymers (Kim et al., 2019). This process would obviate the challenges posed by commercial biopolymers with minimum disturbance to the treated soil. Not much information is available on the enrichment and application of native biopolymer producing communities for the creation of *in situ* polymers, especially for soil applications. A variety of bacterial strains such as *Alcaligenes faecalis*, *Alcaligenes eutrophus* and *Alcaligenes viscolactis*, *Microbacterium arborescens* (Godinho and Bhosle 2009), *Rhizobium tropici* (Cole et al., 2012; Ringelberg et al., 2014), *Enterobacter aerogenes* and *Pseudomonas fluorescens* (Proto et al., 2016), *Bacillus subtilis* (Puppi et al., 2016; Cedrati et al., 2020) and *Leuconostoc mesenteroids* (Ham et al., 2018) have been studied for their ability to produce biopolymers. Amongst these cultures, *Leuconostoc mesenteroids* (ATCC 14935) has been of keen interest for several engineering applications (Ta et al., 2017). It is a facultative microbe which grows well in both aerobic and anaerobic conditions. *Leuconostoc mesenteroids* is known to be a non-pathogenic bacteria and does not cause any long term health effects (Jung et al., 2012; Siddiqui et al., 2014). When supplied with sucrose, it can produce insoluble biopolymer dextran (Abbasi et al., 2018). The reactions are as:



Dextran is poorly soluble in water, and therefore, it is of prime interest.

However, practical applications require the examination of their suitability as a stabilizer with desired mechanical properties. Very little information is available about the mechanical properties and behaviour of these *in situ* polymers, especially at the nanoscale. To date, most of the studies have been limited to macroscale tests as strength and stiffness (Cole et al., 2012; Ringelberg et al., 2014), ductility (Ta et al., 2017), hydraulic conductivity (Martin et al., 1996) and cohesion (Ham et al., 2018). A thorough understanding of the biopolymer behaviour at the microscale is crucial before the design and development of its applications at a larger scale.

The engineering properties and durability performance of construction materials at the macroscale are significantly affected by their structural features and properties at the micro and nanoscale where the deterioration and failure process initiates (Zhu et al., 2007; Li et al., 2015). In soils, the nanoindentation technique was exploited in various fields of material characterization, such as determination of elastic modulus and hardness (Oliver and Pharr 1992) and mechanical properties of shale (Li et al., 2019). However, the mechanical properties of biopolymer stabilized soils are yet to be quantified using the nanoindentation technique.

The objective of the present study was, therefore, to fill in the gaps of previous research in the characterization of commercial as well as *in situ* biopolymers at different scales. In order to achieve desired amounts of *in situ* biopolymers, optimization of media components in order to enhance the production of biopolymer was also carried out. Quantification of nano and micromechanical properties of the biopolymer was conducted. The ultimate objective was to introduce bacterial cells into soils samples in Petri dishes to investigate their effect on surface strength. For this purpose, needle-penetration testing was conducted on the soil samples surface stabilized with *in-situ* biopolymer. This paper explores the micromechanical and macro mechanical properties of soil stabilized with *in-situ* deposition of the bacterial polymer. The present study is focused on the advanced nanomechanical characterization of biopolymer dextran leading to application in sand-clay mixtures. Atomic Force Microscopy (AFM) and nanoindentation techniques were used to probe the mechanical properties of the biopolymers at nanoscale and microscale, respectively. Further, penetration tests were carried out in soil samples stabilized with *in-situ* biopolymer. The mechanism of stabilization has been revealed through advanced microscopic investigations using scanning electron microscopy, nanoindentation and atomic force microscopy.

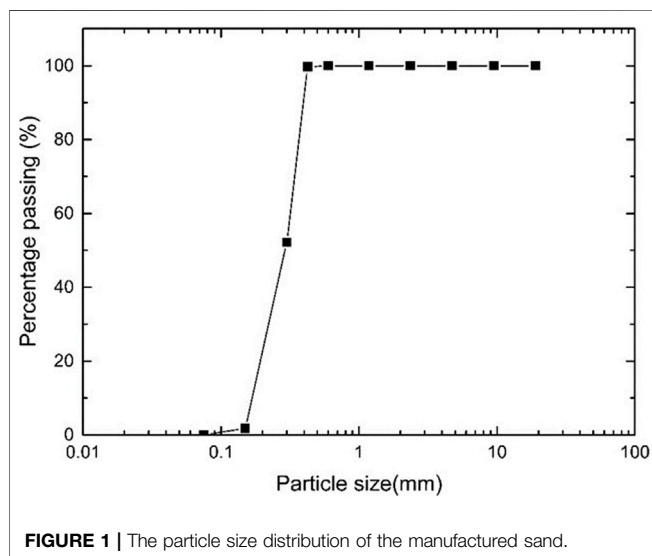
## MATERIALS AND METHODS

### Microorganism and Growth Medium

The bacterial strain *Leuconostoc mesenteroids* (ATCC 14935) was selected as a model bacterium for biopolymer production. *Leuconostoc mesenteroids* is a facultative microbe and grows

**TABLE 1** | Media for the growth of bacteria and biopolymer production.

Media description	Composition	Concentration
Bacterial growth media	Leuconostoc mesenteroids (ATCC 14935)	0.8 < OD <sub>600</sub> < 1.5
Biopolymer media	De Man, Rogosa and Sharpe (MRS) broth	52 g/L
	Sucrose	15–500 g/L
	Yeast extract	10 g/L
	1 M Monobasic KH <sub>2</sub> PO <sub>4</sub>	41 ml/L
	1 M Dibasic K <sub>2</sub> HPO <sub>4</sub>	59 ml/L

**FIGURE 1** | The particle size distribution of the manufactured sand.

in both aerobic and anaerobic conditions. Since *Leuconostoc mesenteroids* was previously known to plug porous media by producing insoluble biopolymer, it was chosen for the study (Jeong et al., 2019). In addition to this, the bacterial cells have a coccoid morphology with an average diameter of 600nm, which facilitates microbial transport within the soil matrix. The bacteria produce biopolymer known as dextran while metabolizing sucrose (Noh et al., 2016). Dextran has a branched structure with  $\alpha$ -1 and  $\alpha$ -2 glycosidic linkages and is insoluble in water (Noh et al., 2016). **Table 1** describes the chemical composition of the growth medium used for growing the bacteria and stimulating Dextran production.

### Soil Specimen Preparation

Manufactured sand with particle sizes varying from 0.45 to 0.075 mm (**Figure 1**) used for the present study was sourced from Cook Industrial Minerals, Western Australia. Kaolin clay with low swelling and shrinkage properties supplied by Sibelco, Australia was chosen for the study. The particle size distribution and chemical composition of the clay are listed in **Table 2**.

## EXPERIMENTAL METHODS

### Dextran Production

Sucrose concentration in the biopolymer media (**Table 1**) was varied between 15 and 500 g/L to study the effect of sucrose

**TABLE 2** | Particle size distribution and chemical composition of the clay.

Particle size ( $\mu$ m)	Percentage passing (% weight)
53	99.4
20	97.8
10	95.9
5	90.3
2	75.7
1	63.6
Chemical analysis	Composition (% Weight)
SiO <sub>2</sub>	46.7
Al <sub>2</sub> O <sub>3</sub>	36.1
TiO <sub>2</sub>	0.8
Fe <sub>2</sub> O <sub>3</sub>	0.9
CaO	0.7
MgO	0.4
K <sub>2</sub> O	0.4
Na <sub>2</sub> O	0.1

concentration on Dextran production. For biopolymer production, the bacteria were grown in MRS media until they reached an OD<sub>600</sub> of 1. The bacterial strains were further inoculated in biopolymer media (**Table 1**) containing varying concentrations of sucrose (15–500 g/L). The flasks containing bacteria in biopolymer media was maintained at 37°C and 50 rpm for 72 h to monitor biopolymer production. The pH was monitored continuously during the process. The contents of the flasks were filtered through Whatman™ filter paper 1 (150 mm diameter) and dried in the oven at 60°C to estimate the weight of biopolymer produced.

### Dextran Purification

Biopolymer extraction was carried out using the modified protocol (Sarwat et al., 2008). To sum up, the media containing bacteria was centrifuged at 6,000 rpm for 20 min at 4°C to remove bacterial cells. The supernatant was precipitated with 2.2 volumes of absolute chilled ethanol by incubating the mixture at –20°C for 1 hour. The precipitated EPS was collected by centrifugation at 6,000 rpm for 20 min at 4°C. The supernatant was decanted, and the pellet containing EPS was dried at room temperature in the laminar hood for 6 h. The dry weight of the EPS was estimated.

### Scanning Electron Microscopy

Bacterial biopolymer imaging on glass coverslip substrate was carried out on Tescan Mira3 VP-FESEM with Oxford

**TABLE 3** | Steps involved in polishing for nanoindentation.

Polishing	Cloth	Lubricant	Time (min)
Step 1	Piano 220	Water	1
Step 2	Piano 1200	Water	2
Step 3	MD Chem	Colloidal silica (coarseness 0.04 micron)	2

**TABLE 4** | Sample designation for Needle penetration testing.

Designation	Biopolymer	Clay (%)
SB <sub>d</sub> C0 <sup>a</sup>	Dextran ( <i>In-situ</i> )	0
SB <sub>d</sub> C3		3
SB <sub>d</sub> C5		5
SB <sub>d</sub> C7		7
SB <sub>d</sub> C10		10

<sup>a</sup>S stands for sand, B for biopolymer and suffix d represents dextran respectively. C stands for clay and its percentage is varied from 0 to 10.

Instruments X-Max 150 SDD X-ray detector, NordlysNano EBSD detector and AZtec software (Tescan, Czech Republic). The glass coverslips were first rinsed with ethanol followed by deionized water. Further, it is coated with poly-L-lysine 0.01% (Sigma Aldrich, Australia) and left under a sterile environment for 2 h. Then 20  $\mu$ l of the bacterial grown in sucrose media was added to the coverslips and left overnight. Before the test, 10  $\mu$ l of 4% glutaraldehyde (Ajax Finechem) was added to fix the cells and left for a period of 10 min. The sample was finally washed with 0.1 M phosphate-buffered saline (PBS) buffer at pH 7.2 and taken for SEM imaging.

## Nanoindentation Sample Preparation

*Leuconostoc mesenteroids* were cultured in MRS medium (Table 1) until an optical density of one was reached. Further, 1% of the inoculum was transferred to biopolymer media (Table 1) to induce the production of biopolymer at 37° for 48 h at 100 rpm. The polymer produced was filtered into Whatman™ filter paper number one and dried to remove the moisture. The samples were placed in plastic moulds, and epoxy resin (Epofix epoxy) was poured under vacuum (Struers Cito Vac) to impregnate resin into the sample. Stuers Tegramin-30 was used to polish the samples, as mentioned in Table 3. Further, the sample surface was prepared by FIB milling using Technoorg Linda SEMPrep 2 to produce a sample with minimum surface roughness.

## Testing Methodology

Nanoindentation was carried out using a G200 nanoindenter (Agilent Technologies) fitted with a Berkovich shaped diamond tip (TB22130 XP CSM 23032018). The optical microscope attached to the nanoindenter was used at  $\times 40$  magnification to choose the points of indentation. The tests were performed under the continuous stiffness measurement (CSM) model with Testworks 4 version 4.10 (MTS System Corporation). While the traditional Oliver-Pharr methodology measures the contact stiffness only at the point of unloading, the CSM technique allows

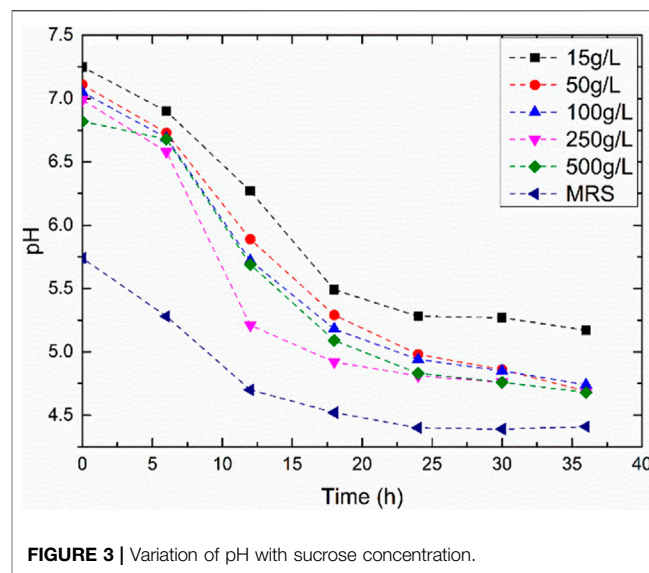
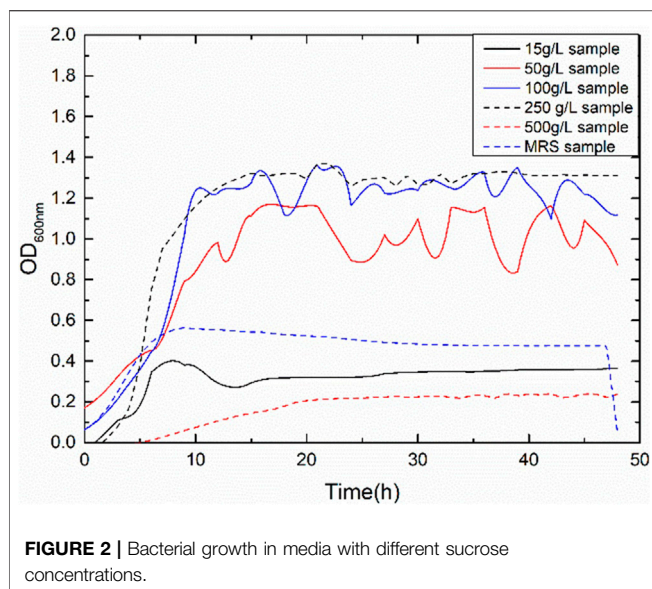
the measurement of contact stiffness at any point of the loading curve corresponding to any depth of penetration. The maximum displacement was limited to 2,000 nm, and 100 indents were chosen on the sample with a spacing of 20  $\mu$ m. All the indentation locations were carefully selected prior to testing to ensure that the pores or cavities were not encountered in the process. Poisson's ratio of the biofilm was taken as 0.45 (unpublished study). Software nanoTest Platform Four V.40.08 (Micro Materials Ltd.) was used to compute the Elastic modulus and hardness of the biopolymer sample. To obtain the properties of hydrated biopolymers, the sample cast in resin was immersed in deionized water for 24 h and allowed to saturate before carrying out the test.

## PeakForce QNM

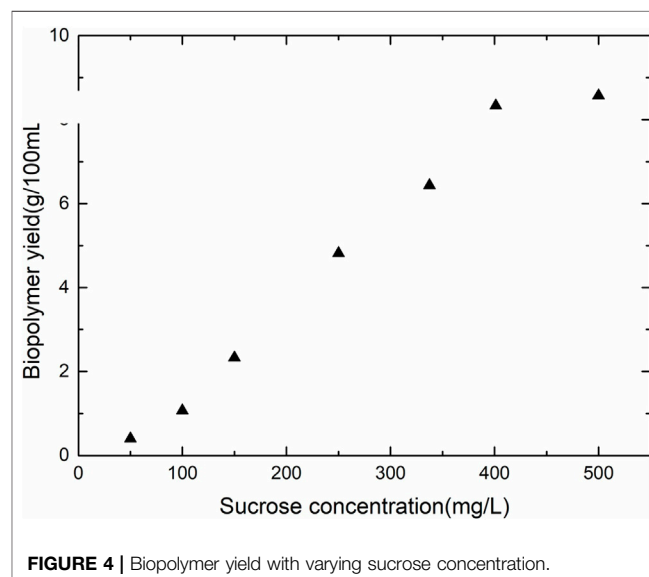
PeakForce quantitative nanomechanical imaging (PeakForce QNM) was employed to study the nanomechanical properties of biopolymer at high resolution. The instrument facilitated the mapping of elastic properties of the sample with a lateral resolution at the nanometre scale. The force curves were analyzed at each pixel of the topographic image, and the local elastic modulus was calculated at each surface contact with the sample using the Derjaguin-Muller-Toporov (DMT) model (Smolyakov et al., 2016). The forces during tip-surface interaction can be described elsewhere (Smolyakov et al., 2016). The above calculations were carried in real-time for each force-distance curve obtained at every pixel leading to the simultaneous mapping of the nanomechanical properties and topography of the sample. AFM imaging was performed using Bruker Dimension Icon AFM system with PF QNM mode under ambient conditions. SCANASYST-FLUID probes were employed for the experiment (SCANASYST-FLUID with spring constant 0.7 N/m, Bruker, US). The imaging scan rate was maintained at 1 Hz at a resolution of 256  $\times$  256 pixels. The raw AFM topography images were further processed by using Bruker Nanoscope Analysis 1.9.

## Needle Penetration

Petri dishes (85 mm diameter and 10 mm height) were filled with 100 g of soil each and tapped for compaction. The soil was a well-graded system with sand and varying percentage of kaolin clay (0, 3, 5, 7, and 10%) as in Table 4. The initial weights of the samples were measured. During the treatment process, MRS media (10 ml) containing *Leuconostoc mesenteroids* was sprayed on the soil surface under sterile conditions. The samples were transferred to an incubator maintained at 37°C to promote the growth of the bacteria. After 6 h, the sample was taken out, and 10 ml of biopolymer media containing sucrose was sprayed on the sample to initiate biopolymer production. Weights of the samples were continuously taken prior to and after each spraying to



estimate the amount of media absorbed by the sample accurately. After 4 days of spraying the samples with biopolymer media, fresh bacteria were resprayed. The cycle was repeated for 21 days of treatment. The amount of polymer deposited was estimated by chemical mass balancing. While the needle penetration test is not a standardized test, it has been used by researchers in order to achieve an indirect measure of the strength of stabilized soil (Callebaut et al., 1985; Ulusay et al., 2014; Gowthaman et al., 2019). A penetration test set up was developed in house with Chenille 22 needle of diameter 0.94 mm and length. The needle inserted into a stainless-steel holder, and the set up was clamped to the jaws of universal testing machine (Shimadzu AGS-X). The loading was carried at a rate of 0.5 mm/min to a maximum penetration of 5 mm. For each sample, the penetration was carried out at 25 points spaced 10 mm apart to obtain average Needle Penetration Resistance (NPR) values.

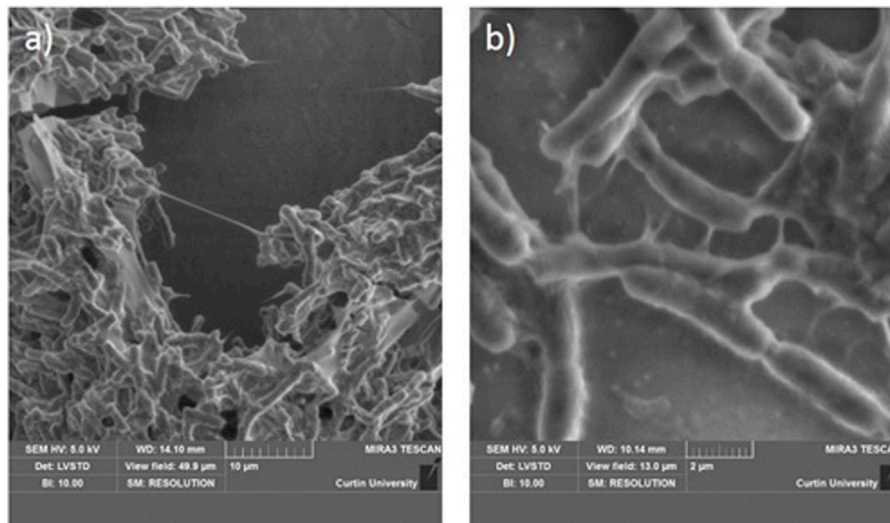


## RESULTS AND DISCUSSION

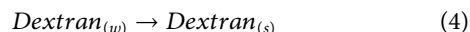
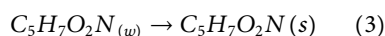
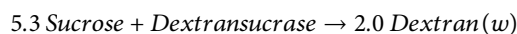
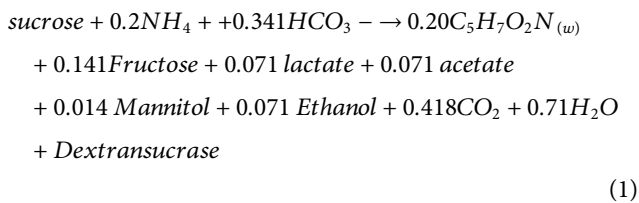
### Bacterial Growth and Biopolymer Production

**Figure 2** presents the growth curves with varying sucrose concentrations. The highest rate of bacterial growth was observed with sucrose concentration of 100 and 250 g/L with bacterial  $OD_{600nm}$  greater than one. The lowest growth rate of the bacteria occurred in media with the highest sucrose concentration of 500 g/L. The ATCC suggested media (MRS media) for the growth of the bacteria displayed an  $OD_{600nm}$  of 0.6. Hence, a sucrose concentration of the media was maintained at 15 g/L and 100 g/L of sucrose for needle penetration experiments. The initial pH of the media was adjusted to 7 by the addition of phosphate buffers. With the growth of bacteria, the pH of the media dropped due to the production of carbon dioxide and other by-products of

the fermentation reaction (**Figure 3**). The pH drop is drastic between 5 and 12 h from the period of inoculation. The value of the pH attains a stable value after the end of 24 h, indicating the end of the fermentation reaction. **Figure 4** shows the weight of biopolymer production with an increase in sucrose concentration in the media from 15 to 500 g/L. The biopolymer formation increased with an increase in sucrose concentration, as observed from **Figure 4**. It has been reported that the bacterial activity leading to dextran production can be divided into three stages, namely, bacterial growth, dextran production and dextran precipitation. When *Leuconostoc mesenteroides* are grown in sucrose rich conditions, the bacteria produce an enzyme known as dextransucrase leading to the precipitation of biopolymer dextran  $(C_6H_{10}O_5)_n$ . The reaction for cell growth and enzyme production are as follows:



**FIGURE 5 | (A,B)** SEM micrographs of bacterial biopolymer on glass-slides.



The subscript *w* represents biomass species that are present in water but not dissolved in it and the subscript *S* represents species that are attached to the solid. Where *n* was assumed to be 6.2 due to the molecular weight of generated dextran being approximately 10,000 g mol<sup>-1</sup>. The dextran produced is said to have very low solubility in water and contribute to permeability reduction.

The preliminary screening was conducted to understand the optimal bacterial and sucrose concentration for biopolymer production. This information is crucial for investigating the suitability and potential of *in-situ* biopolymers for soil stabilization applications.

## Scanning Electron Microscopy

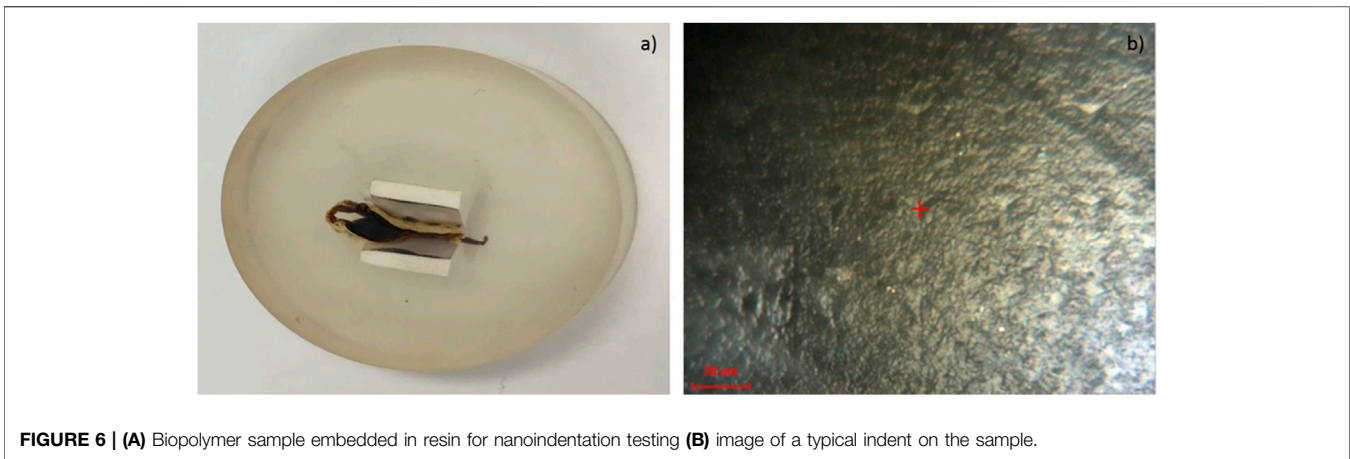
**Figure 5** shows the typical morphology of microbial biopolymers along with the bacterial cells. They are viscous and stretch to thin threads when pulled. The length of a single biopolymer thread is around 10 microns, as seen in **Figure 5A**. **Figure 5B** shows several such threads. The micrographs clearly show that bacteria use biopolymers as anchors to attach themselves to a substrate. Thus, the bacteria attach to soil grains, and the threads bridge the grains. The stiffness of the bacterial biopolymers is significantly different in the dry and hydrated states leading to the difference in their mechanical properties as well. When wet, the threads are

able to elongate considerably to attach to a number of grains. When the polymer dries, the threads develop cohesive strength among the grains. Microscopic investigations are crucial in understanding how the bacteria attach themselves to the substrate and to visualize the nature of biopolymer production. This information is very crucial to *in-situ* soil stabilization applications.

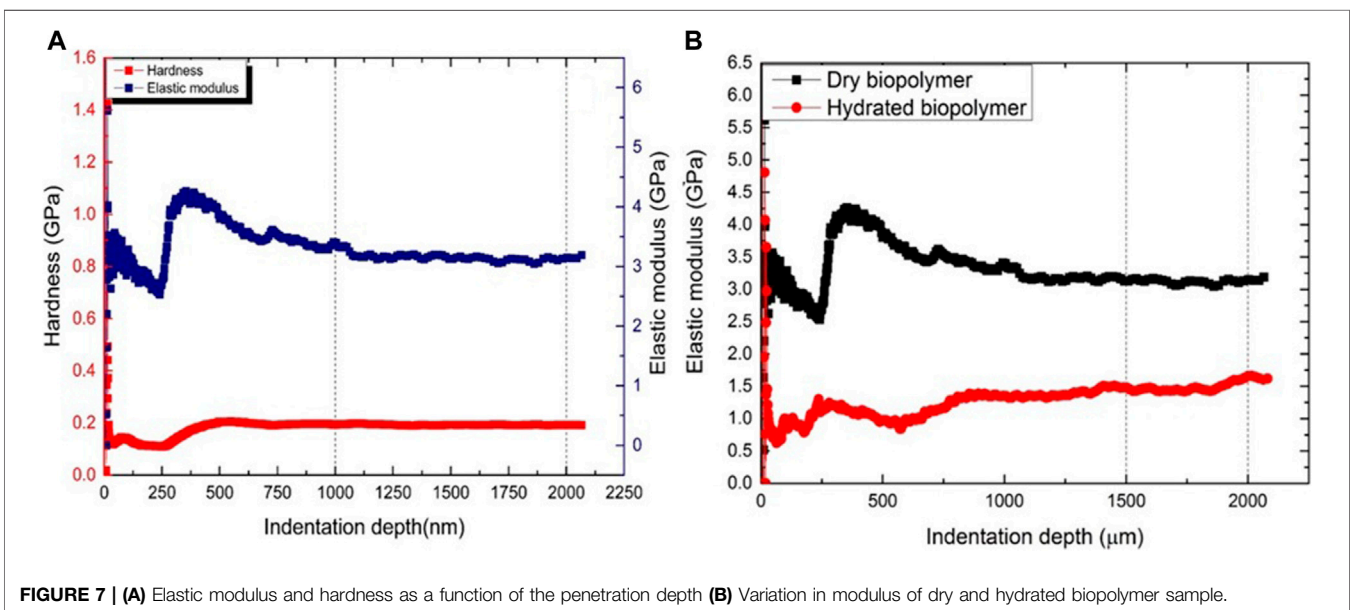
## Nanoindentation

**Figure 6A** shows the biopolymer sample prepared for nanoindentation. It is embedded in a resin and polished using the ion milling technique. **Figure 6B** shows the indentation marks left on the samples after the indentation test. Nanoindentation was performed by constantly penetrating the indenter into the polymer and measuring the force of resistance. **Figure 7A** shows a typical variation of the elastic modulus and hardness with the depth of penetration. It is observed that for the initial 250 nm of penetration, the indenter moves freely, and the force of resistance has a downward trend with penetration. This is possibly due to the surface unevenness of the sample. From 260 to 500 nm, the indenter experiences increased resistance—the force of resistance peaks at around 55 nm penetration. Thereafter, there is a gradual reduction in resistance. The force of resistance stabilizes at around 1,000 nm. From 1,000 nm to 2000 nm depth, there is little change in the force of resistance. Similar observations have been made in (Nix and Gao 1998). The average elastic modulus measured at depths of 1,000–2,000 nm is considered to be representative. It was observed that the average elastic modulus of the dry biopolymer is 3.14 GPa ± 0.035. The hardness of the biopolymer is derived from the measured elastic modulus. The average hardness is observed to be 0.2 GPa.

The elastic modulus of the biopolymer lies within the modulus range of 0.1–5 GPa (Wang et al., 2005; Jee and Lee 2010). The possible reason for such a large variation is the extreme moisture



**FIGURE 6 | (A)** Biopolymer sample embedded in resin for nanoindentation testing **(B)** image of a typical indent on the sample.

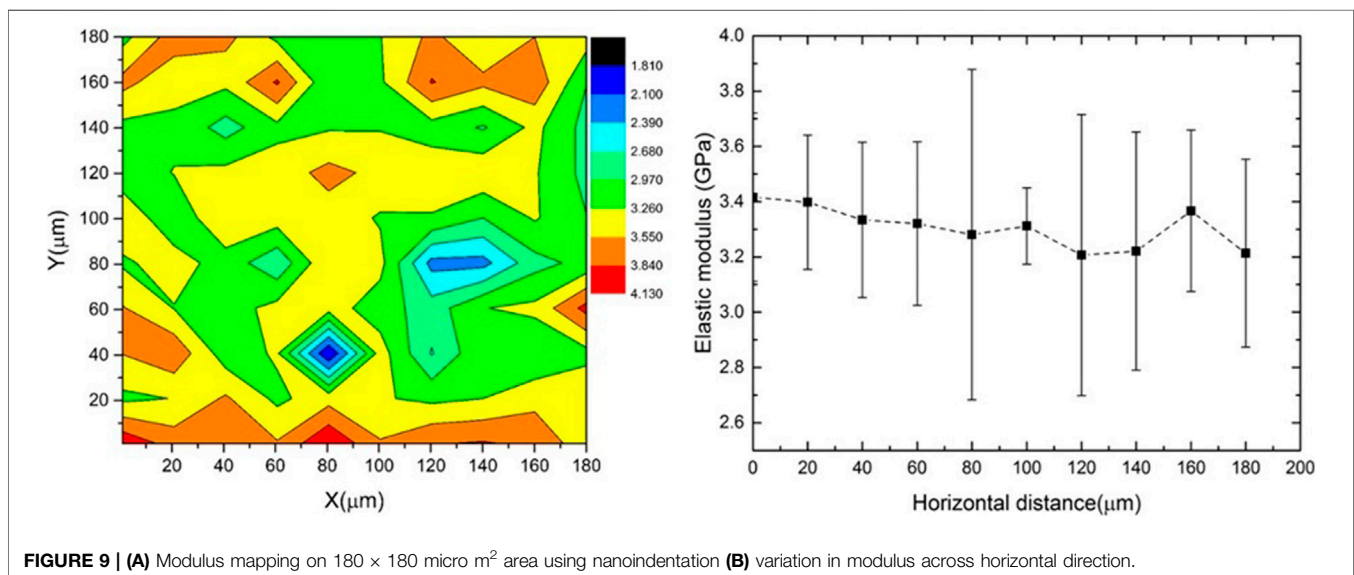
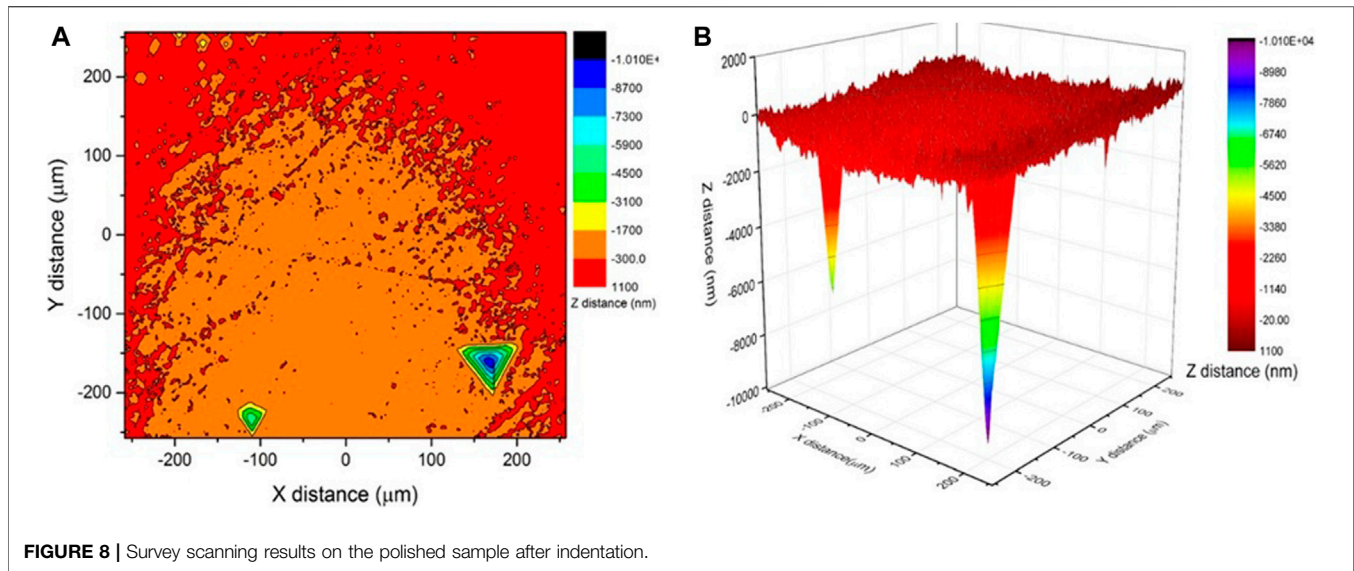


**FIGURE 7 | (A)** Elastic modulus and hardness as a function of the penetration depth **(B)** Variation in modulus of dry and hydrated biopolymer sample.

sensitivity of the biopolymer. The authors have reported that the strength of biopolymer stabilized soil columns degrades rapidly with moisture ingress (unpublished data). The authors demonstrated that the bond strength of the polymer degraded with moisture ingress. Nano-indentation allows us to measure the properties of biopolymer in both dry and hydrated conditions. **Figure 7B** compares the elastic moduli of a dry and a wet biopolymer subjected to a cycle of saturated wetting. The average modulus of the wet samples was 1.47 GPa, with a standard deviation of 0.057. Thus, wetting was responsible for a reduction of modulus by more than 50%. This study documents the powerful effect of hydration on biopolymer soil stabilizers. Water sorption partially plasticizes the polymers resulting in lowering their stiffness and elastic modulus (Hosaka et al., 2007). The disturbance of the biopolymer gel *via* hydrophilic water absorption leads to the biopolymer particles closer to the water breaking off from the main structure due to swelling. The

detached particles have no interaction with the remaining structure leading to a loss in strength. The drying process leads to reattachment of the particles, but the original structure is not fully recovered, resulting in a loss of strength in each cycle. The penetration was performed in a matrix of x-y positions. **Figure 8** presents the results as colour contours. The 3-D image in **Figure 8B** shows the depth of a typical indent on the sample.

The modulus mapping results in **Figure 9** show that the elastic modulus of the biopolymer sample mainly varied between 2.9 and 3.8 GPa. The variation of modulus across the horizontal scan distance is shown in **Figure 9B**). The high standard deviation of the modulus at  $X = 80 \mu\text{m}$  and between  $X = 120$  and  $140 \mu\text{m}$  (**Figure 9B**) may be due to the presence of surface defects or pores observed in the surface scanning. The elastic modulus of dried and well as hydrated biopolymer dextran has been reported for the first time in the paper. This



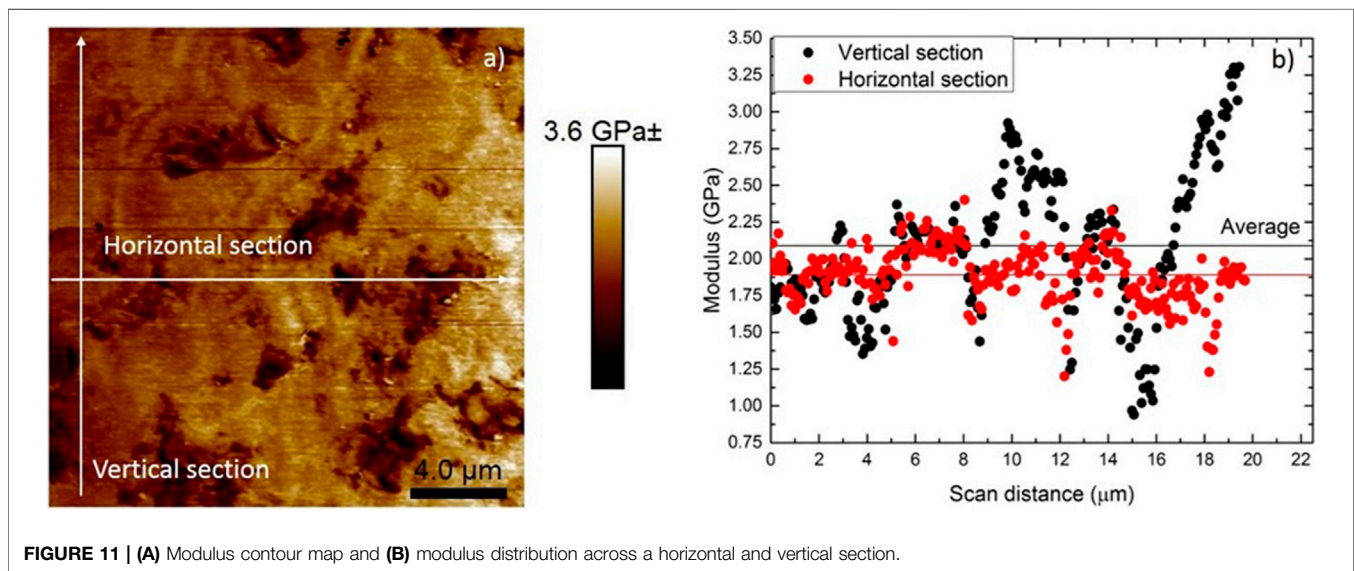
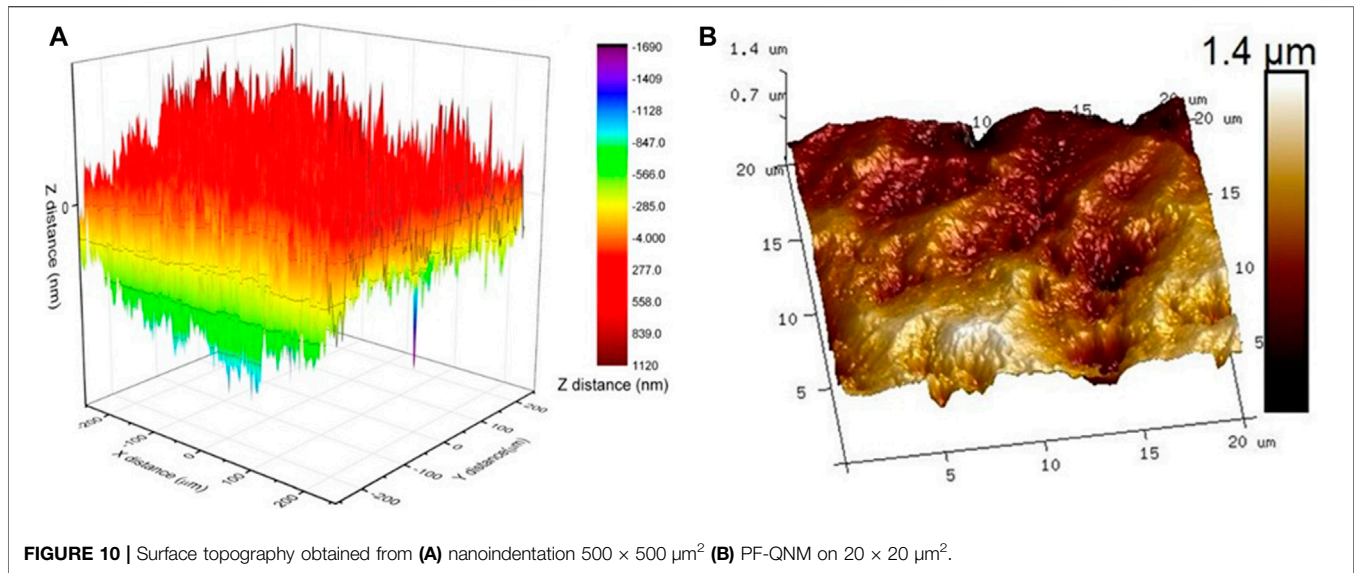
will be useful data for future modelling work on biopolymer stabilized soils.

### PeakForce QNM

**Figure 10** shows the comparison between surface scanning results obtained on  $550 \times 500 \mu m^2$  area using nanoindentation. The  $256 \times 256$  pixel topography images of the scan area of size  $20 \times 20 \mu m^2$  of the biopolymer sample obtained through PeakForce QNM are shown in **Figure 10**. The image clearly shows the significant difference between resolutions of surface scanning images obtained using nanoindenter and AFM (**Figure 10**). The image reveals that even after polishing, the surface topography of the biopolymer sample has a wide variation. The dark areas in

the image depict porous areas with low elastic modulus. The high standard deviation observed in the nanoindentation test at  $X = 80 \mu m$  might be due to the indent being placed on such an area which may not be visible due to the low resolution of the nanoindentation microscope objective. Even though the sample preparation should result in low roughness, the root means square roughness of the sample is 558 nm. The surface profile of the *in-situ* biopolymer after drying is scanned using an AFM. **Figure 10B** reveals that the resolution of the AFM image is much higher than that of nanoindenter. The surface scanning results show the unevenness on the sample surface even after rigorous polishing. It helps to better understand the variation in nanomechanical properties of the sample.



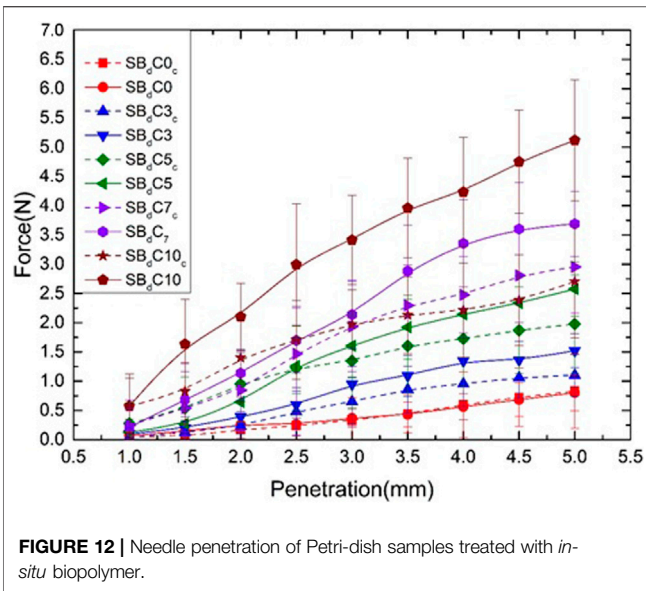


The modulus contour map in **Figure 11A** shows micro-level pores with low elastic modulus. Changes in elastic modulus were more prominent between the interface of the micropores and the sample. When pores or local depressions are encountered in the sample during scanning, the subsequent areas will display a lower modulus. In the modulus mapping image in **Figure 11A**, one can clearly see that the depressions have a lower modulus as compared to the other relatively smooth regions in the sample. The variation in modulus across a horizontal and vertical section can also be clearly seen in **Figure 11B**. The modulus obtained from PeakForce QNM was lower than that obtained from nanoindentation using CSM method. This is generally attributed to the confinement effect and plastic deformation in nanoindentation testing (Li et al., 2015). However, compared to nanoindentation PeakForce QNM allows for the evaluation of local mechanical properties within smaller measuring areas at the

nanoscale. It can provide modulus maps with a higher spatial resolution which is very highly critical in a heterogeneous sample. Significantly lower elastic modulus is measured in areas with surface defects. Therefore, PeakForce QNM was successful in mapping the elastic modulus of the sample at higher resolutions as compared to nanoindentation, where indent spacing of  $20 \mu\text{m}$  was essential to avoid interference from subsequent indents. The average modulus across the vertical section was  $2.09 \pm 0.48 \text{ GPa}$  and horizontal section  $1.89 \pm 0.19 \text{ GPa}$ . The reduction in modulus across the horizontals section is because the section passes through surface irregularities and pores, as clearly seen in **Figure 11A**.

### Needle Penetration

The surface strength of *in-situ* bacterial biopolymer treated soils was tested by needle penetration. The stimulation of the bacteria *Leuconostoc mesenteroids* produced insoluble biopolymer



within the soil matrix. Though it is a promising technology, the quantity of biopolymer produced is relatively small compared to the pore spaces in soil (Ham et al., 2018). **Figure 12** shows the force-penetration graphs of samples treated with *in-situ* biopolymer. Firstly, the addition of *in-situ* biopolymer enhanced the surface strength of the treated samples. Further, the inclusion of clay enhanced the mechanical performance of the biopolymer treated sand. It can be observed that the needle penetration test can be used to predict approximate UCS values of the stabilized samples.

### Microscopic Investigation

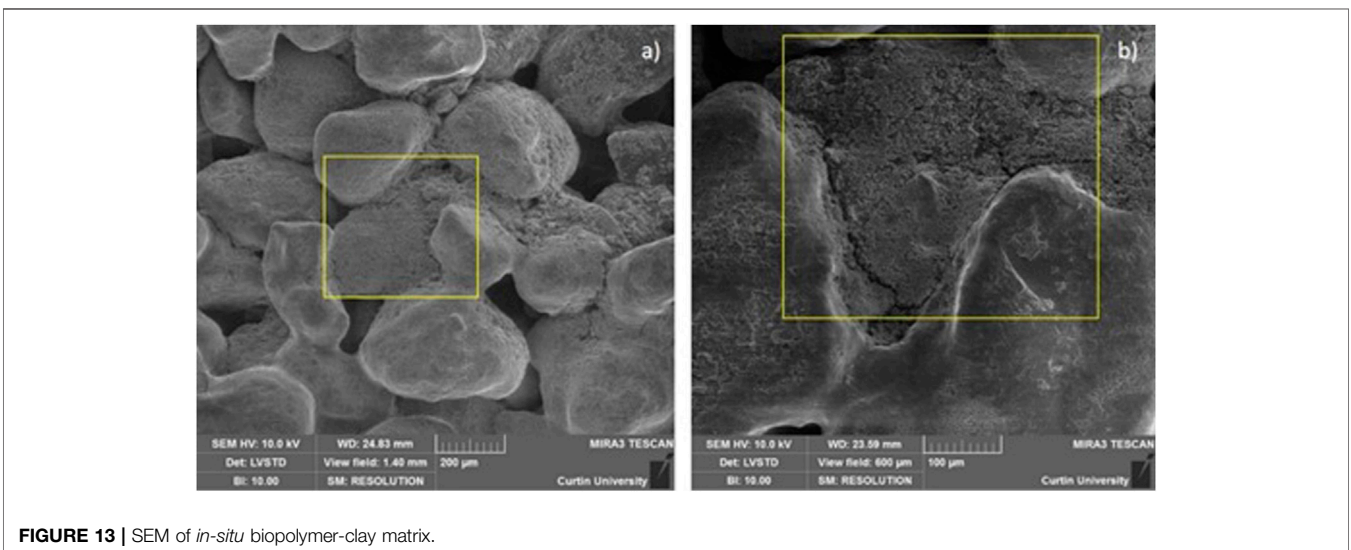
The SEM micrographs of uncoated samples after the needle penetration test are shown below (**Figure 13**). It is evident that the amount of *in situ* biopolymer produces is less to fill

all the pore spaces in the soil matrix. However, we can notice that the *in-situ* polymer production is more in the grain contact areas as compared to the pores. This is due to the bacteria, who prefer to settle down in the grooves in the sand grains and secrete biopolymers thereafter. Similar results are seen from the study where bacteria are used to produce calcite to bridge the sand particles through the process of bio-mineralization. Hence, it is more advantageous to produce *in-situ* biopolymers than mixing it with soil as there is a more targeted production of the biopolymers in the former as compared to the latter. The SEM micrographs of biopolymer treated samples after the needle penetration test are shown in **Figure 13**. It is evident that the amount of *in situ* biopolymers produced is less to fill all the pore spaces in the soil matrix. However, it is observed that the *in-situ* polymer production is more targeted towards grain contact areas as compared to the pores as in **Figure 13**. This is due to the nature of the bacteria, which prefers to settle down in the grooves in the sand grains and secrete biopolymers/biominerals thereafter through their metabolic activities (Porter et al., 2017). Hence, it is more advantageous to produce *in situ* biopolymers than mixing them with soil since there is a more targeted production of the biopolymers in the former as compared to the latter. **Figure 13** shows the formation of clay biopolymer matrix within the soil, which helps in filling the pores as well as creating grain contacts leading to higher penetration resistance.

## DISCUSSION

### Bacterial Growth and Dextran Production

The fundamental mechanism of bacterial biopolymer production was revealed using a model bacterium *Leuconostoc mesenteroids*. Exopolysaccharides produced from lactic acid bacteria (LAB) such as *Leuconostoc mesenteroids* is generally regarded as safe since they are widely used in the food industry (Aman et al., 2012). Among the several exopolysaccharides available today,



dextran has gained recognition since *Leuconostoc mesenteroides* is capable of producing both soluble as well as insoluble dextran (Aman et al., 2012). Dextran is a homopolymer of glucose synthesized by dextransucrase in the presence of sucrose, and dextran produced by various strains differ in their type of branching, mass, glucosidic linkages, physical and chemical characteristics (Aman et al., 2012). Dextran is composed of  $\alpha$ -1,6 linkages (65%) and combination of  $\alpha$ -1,2 linkages (27–30%) and  $\alpha$ -1,3 linkages (Dols-Lafargue et al., 2001). The production of both dextransucrase and dextran is highly depended on various physicochemical factors. Sucrose concentration, neutral pH of 6.7 and high temperature (40°C) were reported to be favourable for dextran production (Dols-Lafargue et al., 2001). Our study reports that at a maximum concentration of sucrose (500 g/L), the dextran production was maximum (Figure 4). The data is consistent with literature which reports that dextran production increased with sucrose concentration up to 20% (Santos et al., 2000; Kim et al., 2003). The reduction in pH with the production of dextran *via* fermentation, as seen in Figure 4 is also consistent with the literature (Siddiqui et al., 2014). The dextransucrase production is optimal at pH values between 7–8, but the enzyme denatured irreversibly when maintained at that pH range for an extended period of time (Santos et al., 2000). The pH had much less effect on the molecular weight of the synthesized dextrans than the sucrose concentration or the temperature (Kim et al., 2003). The literature reports that the optimum conditions for the synthesis of very high molecular weight dextran are relatively low sucrose concentrations (0.1–0.3 M), high pH values of 5.5–6.0, and high temperature of 37–45°C (Kim et al., 2003). However, the optimum conditions for the synthesis of low molecular weight dextran would be high sucrose concentrations of 3.0–4.0 M, low pH (4.5), and intermediate temperatures of 23–28°C (Kim et al., 2003). It was observed that the dextran production was at higher sucrose concentration even though the OD was low (Figures 3, 4). This confirms that bound enzyme polymerizes the substrate sucrose to dextran without the need for additional cell growth (Santos et al., 2000).

## Nano and Micro-Mechanical Properties of Dextran

Measuring the mechanical properties of biopolymers remains a challenge due to their multi-component nature and fragile structure (Pham et al., 2020). Investigation of mechanical properties of biopolymers and biopolymer stabilized soils is often limited to the macroscopic scale which does not provide information on interfacial properties and microstructure. In the present study, we employed a combination of atomic force microscopy (AFM) and instrumented nanoindentation to study the nanomechanical properties. Nanoindentation is a versatile technique reserved for the characterization of materials at the scale of heterogeneities or composite phases (Guessasma et al., 2008). However, Nanoindentation testing requires an adequate sample preparation because accurate results are obtained only if the depth of indentation is larger than the specimen's surface topography (Guessasma et al., 2008).

A meticulous preparation was carried out to significantly reduce the uncertainty in determining the surface properly before testing. The nanomechanical properties of bacterial dextran were unreported in literature hitherto. The modulus mapping results in Figure 9 show that the elastic modulus of dextran mainly varied between 2.9 and 3.8 GPa. The average elastic modulus of the dry biopolymer is  $3.14 \pm 0.035$  GPa at micro scale. The study also revealed that exposure to moisture was a major reason for the varying mechanical properties of the biopolymer.

AFM has been widely used to measure the nanomechanical properties of biological systems such as cell wall elasticity, cell surface polymers, and cell adhesion (Wang et al., 2015). Besides probing cell surface morphology and surface forces, AFM allows the characterization of the local mechanical properties of biological samples (Dorobantu and Gray 2010). In the present study, the average modulus across the vertical section was  $2.09 \pm 0.48$  GPa and the horizontal section  $1.89 \pm 0.19$  GPa at the nanoscale. The higher variation may be due to the presence of local irregularities on the sample surface due to polishing. Further, the study reports the presence of small nanoscale pores in dextran, which may also contribute to the variation in elastic modulus (Jeon et al., 2017). The radius of pores in dextran ranged from 1 to 100  $\mu\text{m}$ , and ~95% of the radius was less than 20  $\mu\text{m}$  (Jeon et al., 2017).

Previous studies reported that the biofilms formed under high nutrient conditions ( $4.98 \pm 0.02$  kPa) compared to that of biofilms formed under low nutrients of the biofilm of *C. neoformans* ranged from 0.1 to 6 GPa (Pham et al., 2020). However, it is to be noted that the properties are measured under hydrated conditions (Allen et al., 2018). Moreover, the storage moduli of the biofilm of *C. albicans* varied from 0.1 to 4 GPa, while that conditions by *Pseudomonas fluorescens* were less stiff, as shown by their Young's modulus values ( $2.35 \pm 0.08$  kPa) (Allen et al., 2018). Unlike alginate biopolymers, the dextrans are neutrally charged. This means that even in the absence of any salt ions, attractive interactions will be present in dextran solutions leading to increased gelation (Padmanabhan et al., 2003). This makes dextran an ideal candidate for soil stabilization applications.

## Relationship Between Nano, Micro and Macro Mechanical Properties of the Biopolymer in Relation to Soil Stabilization

Nanoindentation is a useful tool for an overall estimate of the stabilizer. PeakForce-QNM reveals the variation in properties at a smaller scale, enabling the study of microscopic changes in the stabilizer. Our previous study has revealed that the micro and nanomechanical properties of the stabilizer (biopolymer) directly affect the compressive strength of the stabilized samples at a macro-scale (Ramachandran et al., 2021). The needle penetration test is recommended by the International Society of Rock Mechanics (ISRM) for quick, non-destructive testing of the strength of the stabilized soils and soft rocks (Dubey et al., 2021). The ability to perform many tests without destroying the sample makes this method a better alternative to evaluate the stabilized soil than bulk strength properties such as

Unconfined compressive strength (UCS) test. The needle penetration results are useful to understand the behaviour of the biopolymer stabilizer in the presence of soil (such as sand or clay). This information is difficult to achieve with nano and micro-scale measuring techniques since the sand particles are larger than the micron scale. The nanoindentation and AFM studies revealed the potential of dextran biopolymer as a stand-alone stabilizer, while the effect of dextran on soil was visualized by needle penetration testing. The tests revealed that the addition of dextran biopolymer enhanced the surface strength of the treated samples. Further, the inclusion of clay enhanced the mechanical performance of the biopolymer treated sand. This information is valuable when using biopolymer as a surface stabilizer.

### Implications on Soil Stabilization

When *Leuconostoc mesenteroides* is grown within the soil, the accumulation of dextran can clog the pore spaces within the soil, leading to changes in soil properties. The effectiveness of biopolymers in aggregating soil relies on various factors. They include the amount of biopolymer production and survival of the bacteria in various soil conditions. It was observed that type of soil and sucrose concentration had a direct effect on the effectiveness of stabilization. The biopolymer stabilized samples had a higher surface strength when the soil has higher clay content (Figure 12). The scanning electron microscopy images of the tested sand revealed Dextran production within the pore spaces and coating the soil particles (Figure 13). The mechanism of stabilization includes increasing the cohesion of soils and reducing the void ratio. However, the quantity of dextran produced by the bacteria was quite low under *in-situ* conditions. Increasing the sucrose concentration and providing periodic injections can be used to overcome this limitation. Spraying method can be used for surface applications while injection method can be used for subsoil applications. The application of this technology includes permeability reduction in cohesionless soils. Other applications include reducing seepage through dams, biobarriers for contaminant remediation, prevention of soil liquefaction.

### Summary and Future Recommendations

The use of bacterial biopolymers or biofilms has garnered significant interest as a promising means to cause bioclogging, reducing permeability, increasing strength, and eventually to seal cracks or leakage in various geotechnical engineering applications. With increasing focus on using bacterial biopolymers in geotechnical practices, quantification of mechanical properties of biopolymers is important in predicting their efficacy in soil stabilization applications. These micro and nanomechanical properties are quintessential in predicting the behaviour of biopolymer-modified soils. Yet, the till date these properties remain poorly identified. In the present study, biopolymer dextran produced by *Leuconostoc mesenteroides* was found to be a promising candidate in soil stabilization application. The model bacteria utilize sucrose as working material and synthesize biopolymer dextran, which

forms a complex and inhomogeneous polymer network within the pore spaces of soil (Jeon et al., 2017). In the present study, the biopolymer yield was quantified, and its mechanical properties were measured at varying length scales. The study reports the first attempt to quantify the elastic modulus of dextran biopolymer using nanoindentation technique. Further, the elastic modulus was measured at higher resolution using AFM. Therefore, we demonstrate for the first time that the morphology and nanomechanical properties of dextran using various techniques such as nanoindentation, AFM and SEM. The obtained microscale properties are expected to be implemented for modelling of soil particle-soft biopolymer interactions at the particle scale.

Tailoring the morphology and material properties of biopolymers could confer new functionalities to sustainable stabilizers and would make possible novel advanced engineering applications. Achieving these targets would require the precipitation of stable biopolymers with tailored moduli and high hardness. The current study demonstrated that insoluble biopolymer dextran could be produced and used for soil stabilization applications. To the authors' knowledge, this is the first report of the direct measurement of the spatial variation in nanomechanical properties of dextran at various length scales. Further, *in-situ* dextran production was carried out on soil samples, and its efficacy was tested at macro-scale using custom made needle penetration apparatus.

The conclusions are summarized as follows:

1. Successful optimization of biopolymer producing media and culture conditions was achieved in this study. We recorded linear increase in bacterial dextran production with the substrate concentration of sucrose between 15 and 500 g/L.
2. The micromechanical properties of *in-situ* biopolymer Dextran were quantified for the first time using nanoindentation technique. The average elastic modulus of the dry biopolymer is  $3.14 \pm 0.035$  GPa. The average hardness of the sample was 0.2 GPa.
3. The average modulus of the wet samples was  $1.4 \pm 0.057$  GPa. Hydration of the sample was responsible for a reduction of modulus by more than 50%.
4. The average modulus of across the vertical section was  $2.09 \pm 0.48$  GPa and horizontal section  $1.89 \pm 0.19$  GPa.
5. Needle penetration test results revealed that addition of *in-situ* biopolymer enhanced the surface strength of the treated samples. A needle penetration test can be used to predict approximate UCS values of the stabilized samples.
6. The variation in local micro and nanomechanical properties of dextran biopolymer produced *in-situ* by *Leuconostoc mesenteroides* was mapped for the first time using nanoindentation and AFM techniques, respectively.

With increasing focus on using bacterial biopolymers in soil stabilization applications, quantification of mechanical properties of biopolymers become essential. However, to date, these properties remain poorly understood. This investigation is the first attempt to quantify the nano and macro mechanical properties of *in-situ* bacterial biopolymer

dextran produced by bacterial culture *Leuconostoc mesenteroides*. The fundamental mechanism of bacterial biopolymer-based cementation was revealed through their morphographic and nanomechanical testing *via* AFM, nanoindentation and scanning electron micrography. Finally, its extended application on soil stabilization was investigated *via* needle penetration tests. Further, biopolymer dextran was found to be highly effective in stabilizing soils varying from sand to clay. This study has demonstrated novel methods for testing *in situ* polymers and opened up the channels for their applications in numerous subsurface as well as surface applications. However, the present work can be extended to include mechanical modelling of bacterial biopolymer stabilized soils. The Petri-dish samples should also be upscaled to carry out triaxial testing to obtain the cohesion and friction angle of the stabilized soils. A detailed geotechnical investigation of the stabilized soils will help in providing more confidence in biopolymer modified soil applications.

## KEY POINTS

- Successful production and optimization of biopolymer dextran for soil stabilization applications were achieved.
- The micromechanical properties of *in-situ* biopolymer dextran were quantified for the first time using advanced characterization techniques.

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- Atomic Force Microscopy (AFM) and nanoindentation techniques were used to probe the mechanical properties of dextran biopolymer at nanoscale and microscale, respectively.
- Multiscale mechanical testing is crucial to understanding the suitability of a biopolymer in soil stabilization applications.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## AUTHOR CONTRIBUTIONS

AR performed the experiments, analysis of data and writing. ND contributed to the data analysis and supervision. AM contributed to the analysis of data and supervision. All authors read and approved the final manuscript.

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