



## Fundamentals of Electrolytes for Solid-State Batteries: Challenges and Perspectives

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Compared with traditional lithium-ion systems, solid-state batteries could achieve high safety and energy density. Although great improvements have been made, especially in solid-state electrolytes, fundamental challenges still remain for the solid-state systems in terms of chemistry and mechanics. This review summarizes the fundamental issues in solid-state batteries with a focus on three critical phenomena: (i) the principles of developing high ionic conductors, (ii) structural evolution at chemically unstable electrolyte-electrode interfaces, and (iii) the effects of manufacturing solid-state batteries, including electrode, and electrolyte design. The future perspectives are also outlined to guide the development of solid-state batteries.

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### INTRODUCTION

Research on safety and high-energy conversion and storage systems has taken center stage in the past decade and will be continuing in the near future owing to the global decarbonization trend (Choi et al., 2019; Yuan and Lu, 2019). In parallel, lithium-ion batteries (LIBs) have been used to power portable electrical devices for 30 years (Li et al., 2018). However, the contemporary state-of-the-art commercial LIBs with flammable liquid organic electrolytes cannot satisfy the requirements, especially regarding safety, and power density, of the ever-increasing scale battery applications (Nanda et al., 2018).

Employing solid-state electrolytes (SSEs) can not only address the safety concerns but also enable the application of a metal anode and operation at high voltage. Given the nature of flammable organic electrolytes, a battery can be a fire hazard in case of over-charging or short-circuiting (Zhang H. et al., 2017). Solid electrolytes possess a much higher thermal stability, and this makes the solid-state battery (SSB) one of the best choices for the next generation of batteries. Moreover, inorganic solid electrolytes can work in hostile environments, such as in the temperature range from -50 to  $200^{\circ}$ C or even higher, in which organic electrolytes fail due to freezing, boiling, or decomposition (Zhao et al., 2018). The SSBs based on SSEs could also significantly increase the energy density and the power density, which are the critical features for large energy storage systems. Various SSEs with high lithium-ion conductivity and chemo-mechanical stability were developed in the past decades (Che et al., 2017; Fan et al., 2018). Based on their components, the reported SSEs can be separated into three main categories: inorganic electrolytes, organic electrolytes, and composite electrolytes. Inorganic electrolytes usually exhibit high ion conductivity of over  $10^{-4}$  S/cm with high thermal stability owing to their inflexible crystal structures (Wang S. et al., 2018). Some of the reported inorganic electrolytes

1

show ionic conductivity that is competitive with or even higher than commercial liquid electrolytes (Miura et al., 2019). However, SSBs with inorganic electrolytes often deliver lower-thanexpected performance caused by the poor interfacial contact between electrolyte and electrode (Han et al., 2017; Famprikis et al., 2019). Organic polymers differ greatly to inorganic ceramics in their chemical and mechanical properties, leading to different battery designs. Organic electrolytes are feasible for flexible battery designs owing to their soft intrinsic features. However, these materials face other issues, such as low Li<sup>+</sup> conductivity ( $<10^{-5}$  S/cm at room temperature), large ionic transfer resistance, and oxidation at high voltages (Kerman et al., 2017; Strauss et al., 2018). Thus, some researchers believe that ceramic/polymer composite electrolytes may solve the ionic conductivity and interfacial contact issues consistently by combining the advantages of two different types of electrolytes.

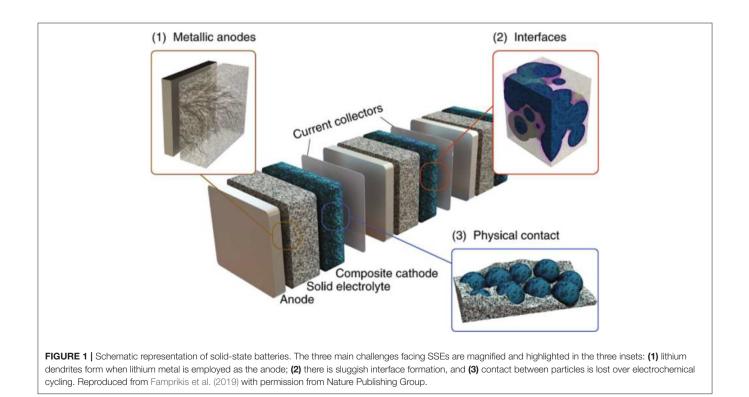
Nevertheless, there remain a few urgent problems that prevent large-scale applications of SSBs. For instance, there is serious capacity degradation in high-energy-density SSB systems for multiple electrochemical and mechanical reasons (Zheng et al., 2018). Also, the power density related to their high-rate property—fast charge-discharge performance remains at too low a level to satisfy commercial applications. In addition, the critical phenomenon of the formation of lithium dendrites over long-term cycling induces short-circuit and thermal runaway, introducing serious safety problems. Most of these problems correlate to the lithium-ion diffusion kinetics, electrode-electrolyte interface, and chemo-mechanical contact between various components (see **Figure 1**; Zhang et al., 2018). Therefore, in this review, we summarize the challenges and their solutions for current SSEs in terms of the three main aspects of electrolyte selection, electrode-electrolyte interface, and the fabrication of solid-state batteries.

## CHALLENGES AND SOLUTIONS FOR CURRENT SOLID-STATE ELECTROLYTES

When applying all-solid-state electrolytes, four specific features are required to achieve the expected electrochemical performance. These features are (i) high ionic conductivity ( $\sigma_{Li}^+$  > 10<sup>-4</sup> S/cm); (ii) sufficient mechanical strength and few enough structural defects to prevent lithium dendrite penetration; (iii) low-cost raw resources and facile preparation processes; (iv) low activation energy for lithium-ion diffusion. To address all of these specific requirements of SSEs, researchers are focusing on the three main challenges of searching for high ionic conductivity electrolytes, manufacturing effective electrodes, and optimizing the electrode-electrolyte interface.

# Searching for the High Ionic Conductivity Electrolytes

The consensus has been reached at that ceramic materials can exhibit higher ionic conductivity than polymers. Besides, the electrochemical stability window of polymeric electrolytes is relatively low compared to that of inorganic electrolytes. Nevertheless, to achieve the final goal, researchers are paying much attention to inorganic electrolytes for application in allsolid-state batteries (Pfenninger et al., 2019). In a crystalline solid electrolyte, thermal energy drives the migration of lithium ions



through cationic vacancies or interstitials. As we all know, the charge of transfer ions (q), concentration (*n*), and mobility of charge carriers (*u*) in the crystal define the ionic conductivity ( $\sigma$ ), which then can be described by a modified Arrhenius function:

$$\sigma = q \cdot u \cdot n = \sigma_0 T^m e^{-E_a/k_B T} \tag{1}$$

where  $\sigma_0$  is the pre-factor, T is the temperature, m typically equals -1,  $E_a$  is a factor of activation energy, including the formation of mobile defects  $(E_f)$  and the migration barrier  $(E_m)$ , and  $k_B$  is the Boltzmann constant. According to the basic definition of ionic conductivity (equation 1), in the intrinsic point of view, increasing the concentration of migrating charged species (n) can enhance the ionic conductivity in the solid. Therefore, at a specific battery operating temperature, such as at room temperature, the n value is highly related to the mobile defect formation energy  $(E_f)$ . Elemental substitution has proved to be one of the best strategies for increasing the charge-compensating vacancies and interstitials. For instance, molecular dynamics (MD) simulations showed that the vacancy concentration can be controlled by changing the quantity of Br-doping in cubic Na<sub>3</sub>PS<sub>4</sub> (de Klerk and Wagemaker, 2016). Compared to a stoichiometric compound, conductivity an order larger was achieved, with the activation energy reduced from 0.28 to 0.16 eV, by only 2% vacancies. Apart from creating vacancies, ion doping has surprisingly been proved to promote a change in the ionic conductive mechanism. For example, the migration energy of a fast lithium conductor (LISICON-type  $Li_{4\pm x}Si_{1-x}X_xO_4$ , X = P, Al, or Ge) was significantly reduced by extensive ion doping, which then changed the Li<sup>+</sup> diffusion mechanism from local oscillation to superionic flow, resulting in a "superionic" conductor (Deng et al., 2015, 2017).

## Negating Electrode-Electrolyte Interfacial Impedance

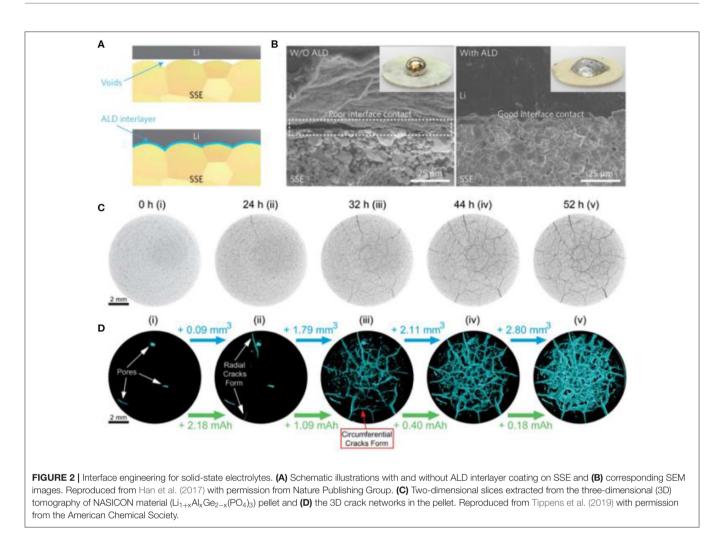
Ceramic electrolytes with a crystalline structure demonstrate better thermal stability and higher ionic conductivity than polymeric materials. Unfortunately, although they have a high bulk conductivity, the formation of grain boundaries in these polycrystalline conductors leads to a large ion migration barrier across the interface. This sluggish ion transfer kinetics makes the diffusion of mobile ions in the electrolyte the rate-determining step, which undermines the advantage of high-power density in SSBs. Therefore, building a good interface between electrode and electrolyte becomes the core task for polycrystalline inorganic electrolytes. For instance, by employing an ALD-Al<sub>2</sub>O<sub>3</sub> interlayer on the surface of SSE film, a good interface contact between lithium metal anode and electrolyte was built (Figures 2A,B; Han et al., 2017). Such a well-contacting interface effectively reduced the interfacial area specific resistance from 1,710 to 1  $\Omega \cdot cm^2$  (Wang L. et al., 2018b). The challenge of interface impedance is not limited to pristine battery preparation. Loss of contact during cycling owing to inconsistent volume changes between electrolyte and cathode and anode materials is another issue (Figures 2C,D; Tippens et al., 2019). Therefore, electrodeelectrolyte engineering then becomes another key point for SSB fabrication (discussed in the next part) (Wang A. et al., 2018). Compared to crystalline ceramic materials, polymeric materials and glasses are intrinsically soft and isotropically conductive, resulting in a lack of grain boundaries. From the manufacturing point of view, polymer and glass electrolytes could be easily made into thin films. These thin films can be in good mechanical contact with electrodes, which could dramatically reduce the lithium diffusion resistance in the batteries.

## **Manufacturing Solid-State Batteries**

Void space in electrode, electrolyte, and electrode-electrolyte interface can promote lithium filament growth, resulting in short-circuit and mechanical degradation. Although many efforts have been made to overcome the chemo-mechanical challenges, the issues caused by the SSE matrix complex and electrode manufacture remain uncovered, which inhibits the large-scale application of SSBs (Kato et al., 2018). Apart from the critical electrode-electrolyte interface, the inevitable voids in the SSE matrix not only reduce the lithium diffusion paths in the SSE but also provide space for lithium dendrite integration (Froboese et al., 2019). Therefore, developing dense electrolyte and electrode with fewer spaces has become another critical approach. Generally, crystalline particles are hard to make into a dense film even under high pressure (Han et al., 2018). To eliminate the void space, many researchers have developed various strategies, such as employing filling materials (mainly small size or soft lithium-ion conductors) into the spaces. On the other hand, quantifying the porosity of the solid-state electrolyte and electrode by using advanced in-situ techniques can also help in the manufacture of the chemo-mechanical structure. X-ray diffraction, especially surface X-ray diffraction techniques, can be applied to analyze the evolution of the crystal structure of battery materials and interfaces. Synchrotron X-ray spectroscopy techniques can provide information on the electronic and local structure of the elements of interest. Further combining spatially resolved imaging techniques with the abovementioned spectroscopic measurements can enable the chemo-mechanical changes in different battery components to be directly observed (Wang J. et al., 2017). For instance, by combining *in-situ* synchrotron-based X-ray tomography with electrochemical measurements (Wang L. et al., 2017, 2018b), it was possible to identify the degradation mechanisms triggered by the bending and cracking generated in both electrodes and electrolyte over cycling (Zhang W. et al., 2017). High pressure is then required to maintain good contact between particles to achieve fast ionic conductivity. Therefore, it is important to understand the impacts of the void space, including space introduced during fabrication and cracks generated over cycling, and to develop strategies for building dense SSBs.

### PERSPECTIVES

The safety issue in lithium-ion systems can be fundamentally addressed by employing solid-state electrolytes to obtain high safety, high energy density, and long calendar lifetime. Apart from searching for new electrolytes with high ionic conductivity, the primary scientific challenge in the development of SSBs is understanding and building controllable solid-state interfaces.



Many reviews have summarized and highlighted the effects of and exploited strategies for interfaces in SSBs, including electrolyte-electrode interfaces and the interfaces between particles (Wang A. et al., 2018; Famprikis et al., 2019; Lewis et al., 2019). This review points out three main challenges remaining for SSB techniques, regarding the intrinsic features of solid-state electrolytes, the critical interfaces, and the chemomechanical evolution during battery manufacturing and during battery operations. In the future, to further understand and develop SSBs, advanced in-situ and in-operando techniques will provide important knowledge in both scientific and engineering terms (Tripathi et al., 2018). For instance, by coupling electron (Zaefferer, 2011; Wang et al., 2015; Qu et al., 2019), neutron (Ren and Zuo, 2018), and synchrotron-based Xray techniques (Wang L. et al., 2018a,b) with electrochemical measurements, the chemical and structural evolution can be

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observed from the atomic and nanoscale level to the bulk and even full battery level. Thus, designing *in-situ* experiments to investigate the accurate chemical and mechanical characteristics of these systems will pave the way to understanding and optimizing SSBs.

### **AUTHOR CONTRIBUTIONS**

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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