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# The Black Sea near-past wave climate and its variability: a hindcast study

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This study analyzed the past wave climate of the Black Sea region for the period from 1988 to 2021. The wave field has been simulated using the state-of-the-art, third-generation wave model WAVEWATCH III forced by the ECMWF reanalysis ERA5 winds, with the model resolution being the highest ever applied to the region in a basin-scale climate study. The surface currents provided by the Copernicus Marine Service have been included in the wave model to evaluate wave-current interactions. The wave model results have been validated with respect to satellite and buoy observations, showing that the simulation accurately reproduces the past evolution of the wave field, exceeding 0.9 correlation with respect to satellite data. The inclusion of wave-current interaction has been positively evaluated. Four statistics (significant wave height 5th and 95th percentiles, mean, and maxima) have been used to describe the wave field at seasonal timescale, showing a clear distinction between the Western (rougher sea conditions) and Eastern (calmer sea conditions) sub-basins. Furthermore, the intra-annual wave climate variability has been investigated using a Principal Component Analysis (PCA) and the Mann-Kendall test on significant wave height (SWH). This study represents the first time the PCA is applied to the region, identifying two main modes that highlight distinct features and seasonal trends in the Western and Eastern subbasins. Throughout most seasons, the SWH trend is positive for the Eastern basin and negative for the Western basin. The PCA shows a regime shift with increasing eastward waves and decreasing north and north-eastward waves. Finally, SWH correlation (p) with four Teleconnection indexes (East Atlantic Pattern, Scandinavian Pattern, North Atlantic Oscillation, and East Atlantic/West Russia Pattern) revealed that the strongest  $\rho$  is observed with the Eastern-Atlantic-Western Russia teleconnection, with a peculiar spatial pattern of correlation, and is positive for the northwestern and negative for the southeastern sub-basin.

#### KEYWORDS

Black Sea, wave climate, wave-currents interaction, WAVEWATCH III, principal component analysis, ECMWF ERA5 reanalysis, Copernicus marine service, teleconnection patterns

# **1** Introduction

Studying the wave climate of a region is crucial for various socio-economic activities such as shipping, coastal infrastructure planning, and environmental conservation efforts. In recent years, advancements in numerical modeling techniques coupled with high-resolution atmospheric data have provided valuable insights into the complex dynamics of ocean waves.

It is well known that the performance of wave models depends heavily on the quality and resolution of the driving wind fields (Holthuijsen et al., 1996; Cavaleri and Bertotti, 2006; Ardhuin et al., 2007). According to Cavaleri and Bertotti (2006) and Cavaleri et al. (2024), modeling wind and waves is less precise in enclosed basins compared to open-ocean conditions, primarily because the presence of land significantly affects the marine surface wind fields. For this reason, climate studies are revised when computational capabilities permit enhancements in spatial resolution for both atmospheric and wave models, as well as longer temporal integration.

The Black Sea (hereinafter referred to as BS) is an inland sea, situated as the easternmost extension of the Atlantic Ocean basin, spanning latitudes between 41 and 46°N and longitudes 27 and 42°E. It is linked to the Mediterranean Sea via the Sea of Marmara and the Bosphorus and Dardanelles straits in the southwest and connects to the Sea of Azov through the Kerch Strait in the northeast. A peculiarity of the BS is the steep continental slope and the exceptionally narrow continental shelf—except in the northwestern and western regions. The majority of the sea comprises a basin with a relatively flat bottom relief and depths exceeding 2,000 m (Arkhipkin et al., 2014). A significant portion of the BS's coastline is bordered by mountains such as the Balkans, Pontic Mountains, Caucasus, and Crimean Mountains. This geographical feature contributes to distinct wind patterns in the coastal regions of the sea. Further details about the entire BS system can be found in Özsoy and Ünlüata (1997) and Kostianoy (2008).

Wind waves in the BS have been studied by several authors in the last decades. Most of the work aimed to describe temporal mid-term wave field, generally less than 15 years (Divinsky and Kos' yan, 2015; Divinsky and Kosyan, 2018) or the sub-regional part of the basin, as in Rusu (2019) and Akcay et al. (2022). Recently, there is a growing interest in the investigation of future projections, as in the studies of Islek et al. (2022) and Çakmak et al. (2023), which presented wave climate projections for the mid and the end of the century.

From a modeling perspective, the most used numerical models for the BS region are the SWAN model (Booij et al., 1999; Ris et al., 1999; Holthuijsen et al., 2001; Rusu et al., 2014; Rusu, 2019; Islek et al., 2022; Rybalko and Myslenkov, 2022) and MIKE 21 (Warren and Bach, 1992; Divinsky and Kos' yan, 2015; Divinsky and Kosyan, 2017, 2018; Divinsky et al., 2020). Only recently (Causio et al., 2021; Soran et al., 2022) has the state-of the-art WAVEWATCHIII wave model (hereafter WW3) been adopted in the Black Sea and still very few studies exploited the last atmospheric reanalysis ERA5 from ECMWF (Çalışır et al., 2023; Acar et al., 2023).

The aim of the present study is to comprehensively describe the BS wave climate and its variability during the time period 1988–2021. The WW3 wave model used in this study has been forced by the ECMWF-ERA5 reanalysis and implemented at a high horizontal resolution (~3 km), marking the highest resolution adopted in a comprehensive climatology study within the BS regional domain. Therefore, from a modeling perspective, this work represents the first instance of simulating the BS wave climate with WW3 and ERA5, introducing an unprecedented horizontal resolution for a climate study of the basin as a whole. It is noteworthy to mention that while other studies have utilized higher resolutions, these were typically applied for shorter time scales or confined to sub-regional domains (Akpınar et al., 2012; Rusu, 2019). Furthermore, the work represented the opportunity to assess and include the wave–current interaction in the model physics.

The description of the wave climate includes a thorough examination ranging from fundamental statistical description to the investigation of intra-annual and inter-annual variability. Four statistical descriptors have been used for characterizing the seasonal and geographic distribution of significant wave height (SWH): mean, maximum, and 5th and 95th percentiles, and we proposed a methodology in associating wave direction to each statistic. Furthermore, the climate variability has been analyzed by applying the Mann-Kendall test and, for the first time in the BS region, by employing the Principal Component Analysis. In conclusion, the study aims to establish the correlation between the wave climate and the main atmospheric teleconnections. The correlation between Teleconnection indices (TLC) and BS waves has been investigated by Saprykina et al. (2019), but for a different time period 1955-2007 and different wave data [visual wave observations (Voluntary Observing Ship) and WAM wave modeling (Günther et al., 1992) forced by NCEP wind reanalysis (Kalnay, 1996)].

By addressing all these various aspects, our goal is to present a holistic and detailed understanding of the Black Sea wave climate, capturing its features and relationships.

The article is organized as follows: Section 2, *Material and methods*, describes the wave model and the modeling setup, the forcing datasets, the observing datasets, and the numerical experiments. Section 3, *Results and discussion*, details the model validation and the description of the wave climate from 1988 to 2021, distinguishing intra-annual variability from inter-annual variability, trends, and correlations between SWH and TLC patterns. The last section, 4, *Conclusion*, summarizes the main outcomes of the work.

# 2 Materials and methods

## 2.1 Wave modeling setup

The wave numerical core adopted in the Black Sea simulations is the third-generation spectral WAVEWATCH III model version 6.07 (Tolman, 2009; WW3DG, 2019). The model solves the random phase action density balance equation for wavenumber-direction spectra.

Abbreviations: BS, Black Sea; WW3, WAVEWATCH III; CMS, Copernicus Marine Service; ECMWF, European Centre for Medium-Range Weather Forecasts; BLKMY, Black Sea multi-year; NRT, Near real time; SWH, Significant wave height; MWD, Mean wave direction; EOF, Empirical orthogonal function; EA, East-Atlantic teleconnection pattern; SCA, Scandinavia teleconnection pattern; NAO, North Atlantic Oscillation teleconnection pattern; EA/WR, East Atlantic/West Russia teleconnection pattern; Wb, Black Sea Western basin; Eb, Black Sea Eastern basin; PC, Principal component; SV, Seasonal variation.

In this study, the WW3 configuration at approximately 3 km of horizontal resolution was based on previous studies. The horizontal domain discretization and related bathymetric dataset are derived from Ciliberti et al. (Ciliberti et al., 2021, 2022) and represent a consolidated benchmark used also in Causio et al. (2021).

The final configuration of the model was obtained by calibration through multiple sensitivity tests. The methodological approach we adopted in its definition is summarized in Figure 1. The objective of the calibration aimed to improve the model accuracy and to reduce the observed underestimation of the wave height emerged during the sensitivity tests, when ECMWF-ERA5 winds were used. The ERA5 underestimation, particularly for high wind, has been even recently documented by Calisir et al. (2023). The first step of the implementation, the definition of spectral and horizontal discretization, time stepping, and propagation scheme, was based on the previous study by Causio et al. (2021). Afterwards, considering that this study employed a different atmospheric forcing with respect to the previous study (with lower spatial resolution and higher temporal frequency), sensitivity experiments were performed aiming to tune the input and dissipation source term. We assessed all the model physics available in WW3, finding that ST4 physics based on Ardhuin et al. (2010) provided the best skills. The Discrete Interaction Approximation for non-linear wave-wave interaction, bottom friction based on JONSWAP (Hasselmann et al., 1973), and depthinduced breaking based on the work of Battjes and Janssen (1978) contributed in improving the model performance. Furthermore, we found that a specific calibration of the wind-wave coupling parameter (BETAMAX), wind-gustiness parameter (ZALP), and the cumulative breaking term (SDSCUM) helped in enhancing the model results limiting the underestimation of the wave height. According to previous studies (Alday et al., 2021; Mentaschi et al., 2023) conducted on a global scale, the parameter BETAMAX should be set to a higher value compared to ours when utilizing ERA5 atmospheric forcings. However, our calibration experiments revealed an overestimation of high waves with this setting. Conversely, employing ZALP aided in reducing negative bias without causing an overshoot in high waves.



The wave–currents interaction table details the tested configurations. UV, current forcing; AST, air–sea temperature difference forcing; SL, sea-level forcing. Gray cells indicated the usage of only one hydrodynamic forcing in addition to the REF configuration.

This varying sensitivity of the model to BETAMAX could reasonably be attributed to the smaller size of the domain, which, in our case, pertains to a small regional area.

Despite the fact that the implementation/calibration phase represented the foundation of the model, this study focused on the wave climate assessment of the BS; thus, we did not include a dedicated discussion here. However, more details about the final configurations are provided in Table 1.

Moreover, this work represented the opportunity to investigate if the oceanic field forcings affect the BS wave climate. The final configuration obtained by the aforementioned sensitivity tests represented our reference setup (hereafter REF), namely, the model implementation with the highest performances without hydrodynamic forcings. Only after configuring the REF implementation did we proceed to include hydrodynamic fields to identify their effects, without changing any of the REF parameters.

WW3 can be forced by three different hydrodynamical fields: sea level, currents, and air-sea temperature difference (more details are provided in Causio et al., 2021). All the possible combinations have been tested, and only current forcing induced a valuable positive effect on the wave field. In contrast, with the other combinations, we obtained no significant changes or worse skills. Taking this into consideration, the statistical analysis was based only on the reference (hereafter *REF*, control experiment without hydrodynamic forcing) and the current-forced experiments (hereafter *WAV1*), omitting redundant and less informative results.

## 2.2 The forcing data

In this study, the wave model has been forced by the most recent reanalysis winds from the European Centre for Medium-range Weather Forecasts (ECMWF), ERA5 (Hersbach et al., 2020) (Figure 3A). ERA5 supersedes the ERA-Interim reanalysis and is the fifth-generation ECMWF reanalysis for global climate and weather for the past seven decades. ERA5 provides hourly estimates for atmospheric variables on a regular latitude–longitude grid of 0.25°.

The Copernicus Marine Service (hereafter CMS) (https:// marine.copernicus.eu/it) is a European provider of ocean data for the Black Sea, European Seas, and the global ocean. The CMS BLKSEA\_MULTIYEAR\_PHY\_007\_004 product (BLKMY)<sup>1</sup> (Lima et al., 2021; BS-PHY\_REA) provides monthly and daily hydrodynamic fields for the BS basin. In this work, we used daily sea-surface temperature, sea level, and currents (Figure 3B). The hydrodynamical core of the product is based on NEMO general circulation ocean model v3.6, tailored to the BS domain with a horizontal resolution of  $1/27^{\circ} \times 1/36^{\circ}$  and 31 vertical levels. The ocean model is forced by the atmospheric reanalysis ECMWF ERA5 at 1 h in frequency. The model assimilates CMS sea-level anomaly along-track observations and *in situ* vertical profiles of temperature and salinity from both SeaDataNet and CMS datasets, using the OceanVar (Dobricic and Pinardi, 2008; Storto et al., 2011).

<sup>1</sup> Black Sea Physics Reanalysis (Copernicus Marine MyOcean). Available online at: https://data.marine.copernicus.eu/product/BLKSEA\_ MULTIYEAR\_ PHY\_007\_004/description (Accessed June 28, 2023).

#### TABLE 1 WW3 model configuration and physics adopted in this work.

	Specifics	SWITCH	Name list
Wave model	WAVEWATCHIII v6.07		
Numerical grid	1/27° in zonal and 1/36° in meridional directions		
Wave spectrum discretization	24 directions, 30 frequencies starting from 0.05 Hz		
Time step	Global: 600 s Spatial propagation: 300 s Max. refraction: 300 s Min. time step: 10 s		
Propagation scheme	Third-order scheme ( <i>Ultimate Quickest</i> ) with "Garden Sprinkler Effect" alleviation method	PR3 UQ	
Input + dissipation terms	According to Ardhuin et al. (2010)	ST4	SLN1 CLIN = 100.0 SDS4 SDSCUM = 0.0 SIN4 BETAMAX=1.34 SIN4 ZALP = 0.008 SIN4 TAUWSHELTER = 0
Non-linear interaction term	Discrete Interaction Approximation (DIA) (Hasselmann et al., 1985, 1986).	NL1	Default parameters
Bottom friction term	JONSWAP formulation (Hasselmann et al., 1973)	BT1	Default parameters
Depth- induced breaking term	According to Battjes and Janssen (1978)	DB1 MLIM	Default parameters
Bathymetry	GEBCO_14 (Weatherall et al., 2015) integrated with high-resolution dataset described in Gürses (2016).		

### 2.3 The wave observational datasets

The BS region has scarce measured data, particularly for waves. Two main CMS datasets have been used during calibration and validation of the wave model:

> I. Satellite data, WAVE\_GLO\_PHY\_SWH\_L3\_NRT\_ 393 014\_001<sup>2</sup> (Wave\_L3\_NRT)

#### II. Mooring data, INSITU\_BLK\_PHYBGCWAV 395 \_DISCRETE\_MYNRT\_013\_034<sup>3</sup> (BS\_INS\_NRT)

At the time of this study, satellite-based along-track SWH was available in CMS from July 2019 to December 2021. The dataset incorporates multiple satellite missions: Cryosat-2, AltiKa, HY-2B, Jason-3, Sentinel-3A, and Sentinel-3B on which a systematic quality control is applied, combining various criteria such as quality flags (surface flag and presence of ice) and parameter value thresholds. All the missions undergo standardization and calibration based on *in situ* buoy measurements. Subsequently, an along-track filter is employed to minimize measurement noise. This product is utilized by operational oceanography and climate forecasting centers in Europe and worldwide in near-real time (Dodet et al., 2020).

For the BS basin, CMS provided wave *in situ* observations [SWH and mean wave direction (MWD)] from nine moorings, all located on the western coasts of the basin (Figure 2).

## 2.4 Computation of variable statistics

In this study, we examined the SWH and MWD variables. We preferred to exclude the wave period from the study because we had limited data for validation, and from the initial investigations, its variability appeared to be very low compared to the variability of SWH.

Four metrics have been computed on a daily basis: 5th percentile, mean, 95th percentile, and maximum. While SWH needs no details, MWD requires some specification.

Due to the periodical nature of the directions, it is not possible to proceed with statistics computation as for other wave fields. Below, we provide the convention adopted for the definition of the direction associated with each of the four metrics. Please consider that we adopted circular statistics for the direction variable, according to Jammalamadaka and Sengupta (2001).

The direction of the SWH 5th percentile has been computed as the mean of the direction components of waves with SWH  $\leq$  5th percentile threshold.

The direction of the mean SWH has been computed as the mean of the direction components over the time frame of the study.

The direction of the SWH 95th percentile has been computed as the mean of the direction components of 94th percentile  $\geq$  SWH  $\leq$  96th percentile.

Lastly, the direction of the SWH maximum has been computed as the mean of the direction components of waves with SWH  $\ge$  98th percentile threshold.

#### 2.5 Trend assessment

The presence of a trend affecting the SWH during the investigated time frame has been assessed using a Mann-Kendall

<sup>2</sup> Global Ocean L 3 Significant Wave Height From Nrt Satellite Measurements. Available online at: https://data.marine.copernicus.eu/ product/WAVE\_GLO\_PHY\_SWH\_L3\_NRT\_014\_001/description (Accessed January 18, 2023).

<sup>3</sup> Black Sea- In-Situ Near Real Time Observations. Available online at: https://data.marine.copernicus.eu/product/INSITU\_BLK\_PHYBGCWAV\_ DISCRETE\_MYNRT\_013\_034/description (Accessed January 18, 2023).



test (Mann, 1945; Kendall, 1970; Gilbert, 1987) (hereafter MK). The MK test is a non-parametric method employed to assess the presence or absence of a monotonic upward or downward trend of the variable of interest across time. In this study, a significance level of  $\alpha = 0.05$  was adopted, leading to rejection of the null hypothesis (indicating no trend in the dataset) for *p*-value<  $\alpha$ .

The MK test has been applied to each of the statistical descriptors mentioned in the previous paragraph (mean, max, and 5th and 95th percentiles), both at the basin scale, for the assessment of a general trend all over the Black Sea, and to each point of the discretized domain, for the investigation of the spatial distribution of trends.

# **3** Results and discussion

## 3.1 Model validation

The skill assessment has been based mainly on satellite data (Figure 4A), because this dataset has a full covering of the domain and many valid observations (~120,000). According to the data availability (e.g., few *in situ* buoys) and coverage, satellite validation resulted in the optimal solution for validating our regional model. The comprehensive coverage provided by the satellite offers an overall insight into the model quality. In contrast, wave *in situ* observation, while providing high-quality measurement, is often limited to coastal areas, where the climate model may lack adequate resolution, and it is generally rare.

The *REF* configuration produced satisfactory skills: an SWH RMSE lower than 30 cm, a bias of -17 cm, and a correlation of 0.91. The statistical assessment of the model quality closely aligns with the WW3 implementation published by Soran et al. (2022). In their

study, the authors are deeply dedicated in optimizing WW3 configuration forced by ERA5 for the BS region, obtaining slightly better results than ours (e.g., correlation 0.92 vs. 0.91, RMSE 0.27 vs. 0.29). However, the model configuration of Soran et al. (2022) is based on a finite element method, while in this work, we used a finite-difference method; thus, the setup cannot be considered the same. Nevertheless, our study is primarily focused on climate description, and the obtained results can be deemed satisfactory for the intended purposes.

From an overall perspective, the WAVI experiment was revealed to be the best implementation; for that reason, it represents the simulation chosen for the climate description for the Black Sea region. The scatter plot distribution showed that the simulation fitted the best-fit line almost exactly, with a regression slope ~1.03, and the most common SWH values spanning between 0.3 and 1 m.

Figures 4B, C show the model validation with respect to the moorings available for the basin. As expected, mooring observations have a high frequency signal because of the sensitivity of the instrument, the very precise measurement in space, and the reduced number of available observations (~28,000). Additionally, the available moorings are located very close to the coast, and reduced accuracy arises due to the intricate coastal dynamics that may not be adequately represented by the model, particularly when considering its resolution at 3 km. It is noteworthy to mention that for MWD, the statistical computations were conducted with consideration for the periodic nature of the variable.

In Table 2, we summarized the main statistics for the two numerical experiments. The inclusion of the impact of currents on waves induced a slight skills improvement in the SWH, reducing both bias and RMSE by about  $\sim 20\%$  and  $\sim 6\%$  respectively. The addition of other hydrodynamic fields, such as sea level and air-sea temperature difference as wave forcing, induced no changes or



FIGURE 3

(A) Averaged [1988–2021] wind speed and direction (arrows) from ECMWF-ERA5. (B) Averaged [1988–2021] sea surface velocity and directions (arrows) from BLKMY Copernicus Marine Service.

slight performance degradation of SWH with respect to *WAV1*. From our perspective, sea level did not affect the SWH because its effect is evident only in shallow waters, while the BS is largely a deep basin, while the low resolution of ERA5 inputs negatively influenced the model results when air temperature difference is used.

The assessment of the SWH wave model skills with respect to moorings (Table 2) confirmed the improvement induced by currents when included in the wave simulation (RMSE -4%, bias -6%). Even regarding MWD, current forcing induced a quality improvement, mainly related to the bias reduction (-50%).

The WAV1 configuration improved model accuracy with respect to the *REF* (only wind driven). Consequently, the simulation for the assessment of the BS wave climate has been carried out using the WAV1 setup. Under this climatological numerical setup, the inclusion of other hydrodynamic forcings (e.g., air-sea temperature difference and sea level) does not reduce or even affect the wave model skills.

Recently, Rybalko and Myslenkov (2022) investigated the role of currents in affecting the BS wave field. According to the authors, currents have a minimal impact on SWH, and the validation results indicated a marginal reduction of the model performance. These results are in agreement with what has been previously described in earlier works (Clementi et al., 2017; Causio et al., 2021). According to that, our recent findings seem to show a different behavior. The explanation of the differences could be attributed to the resolution of forcing fields adopted in the studies. Clementi et al. (2017) and Causio et al. (2021) used high-resolution (1/8°) atmospheric fields, and in both studies, the hydrodynamic field that improved the wave simulation was the air–sea temperature difference. Despite ERA5 atmospheric reanalysis having the highest available resolution (1/4°) for such products, it remains too coarse to significantly impact these processes. Similarly, Rybalko and Myslenkov (2022) employed a current field at 1/4°, potentially influencing the results in a comparable manner.

The inclusion of currents in the wave simulations mainly influenced the coastal areas (Figure 5), where, in most of the cases, mesoscale activity induced an SWH increase. In contrast, a negligible reduction is observed along the Rim current and the eastern basin, with a a peak approximately -3% close to the Georgia coasts. This peculiar pattern is attributed to the combined effect of wave and current intensity and their orientation.

Our findings validate Rybalko and Myslenkov's (Rybalko and Myslenkov, 2022) assertion that the *a priori* application of current



SWH (B) and MWD (C) for WAV1 experiment compared to mooring observations (data availability from January 2020 to December 2021). Black dashed line represents the best fit, and red solid line (in A, B) indicates the slope of the least squared fit.

forcing in a wave model may not be an optimal solution. They further suggest that while using currents may be effective in certain scenarios, it may not be as beneficial in others. Therefore, it is advisable to conduct a thorough quality assessment of the wave model before incorporating currents. As such, the inclusion of currents should be evaluated on a case-by-case basis.

TABLE 2 Skills (BIAS, RMSE, and correlation) of numerical experiments compared to satellite (SWH) and mooring (SWH and MWD) observations.

	Exp	Bias	RMSE	ρ		
Satellite SWH						
	REF	-0.17	0.29	0.91		
	WAV1	-0.14	0.27	0.92		
Mooring SWH						
	REF	-0.16	0.26	0.84		
	WAV1	-0.15	0.25	0.84		
Mooring MWD						
	REF	-1.21	29.5	0.83		
	WAV1	-0.63	29.2	0.83		

# 3.2 The Black Sea wave climate from 1988 to 2021

#### 3.2.1 Intra-annual variability

This section describes the intra-annual variability of the wave field for the BS. Figure 6A shows how the monthly SWH varies over the yearly cycle. The SWH is highest in the winter months (Dec-Feb) with a mean of about 1 m throughout this period. In spring, the mean SWH sees a sharp decline to a minimum of approximately 50 cm in May and June. In the second half of the year, the mean SWH gradually increases again. The range of variation is relatively stable, with the years contained within a window of 20 cm (summer) to 40 cm (winter).

The geographic distribution of the mean SWH and wave direction is shown in Figure 6B. It shows a clear separation between the Western (Wb) and Eastern (Eb) sub-basins. The Wb is characterized by N-NE oriented waves with mean SWH values reaching close to 1 m in the majority of the Wb. This wave pattern perfectly matches wind circulation, with northerly winds prevailing in the west and north of the sea (Özsoy et al., 1998; Schrum et al., 2001; Efimov and Anisimov, 2011).

The Eb, on the other hand, shows N-NW oriented waves and a decrease in mean SWH to a minimum of 0.4 m in the easternmost part. The calmest areas of the sea are its southeastern and



northwestern parts. The former is not highly affected by the impact of cyclones, whereas the latter is the widest shelf area in the BS, where wave growth is limited by the action of bottom friction (Arkhipkin et al., 2014).

The spatial variations of the mean SWH have been further investigated on a seasonal basis.

In the study, we adopted the conventional methodology in ocean season definition:

- Winter: December, January, February (DJF);
- Spring: March, April, May (MAM);
- Summer: June, July, August (JJA); and
- Autumn: September, October, November (SON).

Figure 7, subplots 1, shows the SWH for each season in terms of the mean, maximum, and 5th and 95th percentiles, while the arrows indicate the direction corresponding to each statistic.

The mean and 5th/95th percentiles clearly show the difference between the rougher Wb and calmer Eb. The mean direction follows almost the same pattern throughout all the seasons, similar to the annual average.

The maximum SWH shows an interesting distribution. In winter, it is in line with the other distributions, with the highest values located between the Bosphorus and the Turkish Çatalca peninsula. In spring, however, the maximum SWH occurs in the Crimean–Kerch–Russian area in the calmer Eb. In summer and



#### FIGURE 6

(A) Basin-averaged annual significant wave height variability, computed over the years 1988–2021. The solid black line highlights the mean; the associated standard deviation is in shaded gray. (B) The plot shows the geographic distribution of the mean wave height field and its related direction.



(B1) shows the mean; (C1) shows the 95th percentile; and (D1) shows the maximum. In each panel, the top left sub-plot refers to winter, the top right refers to spring, the bottom left refers to summer, and the bottom right refers to autumn. The lowermost four (A2–D2) indicate the seasonal standard deviation of MWD. (A2) shows the 5th percentile; (B2) shows the mean; (C2) shows the 95th percentile; and (D2) shows the maximum.

autumn, the maximum SWH occurs more towards the central Black Sea.

In addition, an increase in the frequency of western, southwestern, and southern waves is evident during spring and summer, arguably due to the influence of the Azores High pressure system (Bondar, 2014).

The main direction of the wave field is in agreement with the literature (Arkhipkin et al., 2014; Divinsky and Kosyan, 2017), showing waves are mainly NE-oriented except for the eastern part of the eastern basin, in which waves are NW-oriented. This pattern is evident for lower waves, 5th percentiles, and the mean wave height, while higher waves (95th percentile and maximum) showed a different pattern: SE waves along the west coast all through the year, and W-SW waves along the east coast.

In Figure 7, subplots 2, we illustrated the wave direction variability associated with the directions described in Figure 7, subplots 1. The most uncertain wave direction field is represented by the mean, which has an eastward increase of STD from  $\sim 80^{\circ}$  to  $\sim 120^{\circ}$  in all seasons.

All the other statistics manifested a lower variability in direction, in general below 60°. The lower waves (5th percentile)

revealed a larger variability with respect to the higher waves (95th percentile and maximum), with a westward increase that spans from ~20° of the Eb to ~50° of the Wb. The higher waves exhibited the largest variability in the northwest of the basin and in the Kerch area, exceeding 70° in some cases. In contrast, the central part of the basin (south of the Crimean Peninsula) and the Wb, which showed the most stable directions, are affected by south-westerly and north-easterly winds, respectively.

The seasonality of the wave field can be further summarized by the seasonal variation index (SV) (Reguero et al., 2013). We excluded MWD from the analysis because the SW index is not suitable for the variable. The index quantifies the range of variation in mean seasonal values in relation to the annual mean, as follows:

$$SV = \frac{\overline{V_{Smax}} - \overline{V_{Smin}}}{\overline{V}_{mean}}$$

where  $V_{\rm Smax}$  represents the maximum value of seasonal means,  $V_{\rm Smin}$  is the minimum, and  $V_{\rm mean}$  represents the total mean of the variable under consideration. Figure 8 shows the index expressed as a percentage. The index allows a sharp identification of the seasonality



variation over the basin, showing a general reduction of the SV index from north (~60%variation) to south of the basins (~ 30%variation). The northeast coasts, including the Eastern Crimean Peninsula and the Russian BS coast, exhibit the highest variation, exceeding 80%. This notable peak in SWH variability could be attributed to the extreme wind variability of the area that has been described in a previous study (Akpinar et al., 2016). Notably, this area showed the occurrence of high maximum SWH values during the spring and autumn seasons, but also the very low 5th percentile (Figure 7, subplots 1).

#### 3.2.2 Inter-annual variability

In the following section, the inter-annual variability of SWH has been analyzed in terms of the principal mode of variability, both cumulative and at basin scale, and also as geographic distribution of seasonal statistics.

The wave field variability of SWH and wave direction have been computed by PCA. This statistical technique (Zwiers and von Storch, 2004) is extensively applied in climatological analyses. It enables the identification of meaningful components amidst the extensive amount of data, distinguishing them from "noise", which may be irrelevant in the process of description and understanding the real system (Lionello and Sanna, 2005). PCA is founded on an eigenproblem derived from maximizing the quadratic propriety of the variance. The data transformed through this process delineate the Empirical Orthogonal Functions (EOFs), which represent orthogonal spatial patterns that identify the preferred modes of variability within the system. Principal components (hereinafter, PC) capture the variability of the field and represent the temporal coefficients obtained by projecting the fields onto the EOFs.

In this investigation, the PCA has been applied to SWH, generating a vector with magnitude SWH and direction representing the MWD. Most of the SWH variability is described by the first two EOFs, accounting for 67% and 15% of the total variance (Figure 9C).

The firstEOF (Figure 9A) represents northeastern waves in the Wb and central BlackSea, as a result of the prevailing northerly winds. Instead, the second EOF (Figure 9B) represents the south-southeastern propagating waves in the Eb. The PCA therefore confirms, as far as the wave climate is concerned, the division of the Black Sea into Eastern and Western sub-basins, as we proposed before.

The characterization of the first two EOFs was established through an examination of the composites of SWH (Figure 10, subplots 1) and mean sea level pressure (MSLP) (Figure 10, subplots 2). In generating each composite, we differentiated between upper and lower phases by considering the average fields of the SWH and MSLP when the associated EOF of the SWH field was in the uppermost/lowermost 10%.

The main mode for the wave climate in the BS is described by Figure 10A1. This wave field is generated by low pressure located in the Eb along Russian coasts (Figure 10A2) and high pressure in central-western Europe, defining rougher waves in the Wb, mainly N-NE oriented, which exceeded 2 m in height. The lower composite of the first EOF is represented by very smooth wave conditions (Figure 10B1) and low pressure all over the BS domain (Figure 10B2).

The second mode of the BS wave field is shown in Figure 10C1 with NW-W waves exceeding 1.5 m in height in the Eb. This condition is generated by high pressure in Turkey and low pressure in the northeast of the Azov Sea (Figure 10C2). The lower composite of EOF2 shows E-oriented waves, except for the eastern part of the Eb. This wave field provided high waves (exceeding 1.75 m) in the southwest region of the BS, and is defined by low pressure in southern Turkey and high pressure above 47°N.

Therefore, both time and space coefficients have been projected onto cumulative yearly EOFs to highlight the variability of the principal components between years. Figure 11 denoted that, in the last three decades, the first two EOFs had opposite trends, with the weakening of EOF1 and the strengthening of EOF2, even if to a lesser extent: -9% for EOF1 and +4.5% for EOF2. This tendency can be interpreted as the reduction of N-NE waves, mainly driven by winds developed in the MSLP dipole shown in Figure 10A2, in favor of the frequency intensification of W-oriented waves for Eb (Figure 10C2).

From an MSLP perspective, the changes could be intended as the shifting of higher pressure towards Turkey and the displacement of lower pressure toward the eastern Azov Sea.

The trend analysis through the MK test over the time frame 1988–2021 did not reveal any significant change in SWH climate at basin scale, for all the statistics (5th–95th percentiles, mean, and maximum). There was no statistically significant trend, even when the test was applied at a seasonal scale.



Spatial distribution of 1st (A) and 2nd (B) EOFs via PCA. All patterns are normalized with their maximum value. Arrows show wave direction. (C) Percentage of explained variance for the first five Empirical Orthogonal Functions. The values represent the proportion to which the EOFs account for the deviation of the SWH field during a year.

Furthermore, the trend assessment has also been applied in the spatial domain, as depicted in Figure 12. To enhance the plotting clarity, results of the MK test for SWH are presented in a reduced resolution of approximately 1/3°. Trend values with significance lower than 95% are rejected and masked in the figure.

The seasonal trend investigation (Figure 12) provided a similar spatial pattern between all the statistical descriptors. Two seasons showed a wider spatial covering affected by significant trends for SWH. In winter, a near-majority of the basin (mostly the Wb) is affected by a positive trend, while during autumn, almost all the

basin (mostly the Eb) shows a negative trend. The MK test highlighted the absence of trends in spring and a well-defined distinction between the Wb (positive trend) and Eb (negative trend) during summer. The strength of the trend increased as the waves increase: on the average field, the SWH trends varied within a range of  $\pm$  0.25 cm/year, while for the highest waves' statistics (95th percentile and max), the range was  $\pm$  0.5 cm/year.

Our comprehensive analysis of the wave inter-annual variability for the BS reveals rougher sea conditions during winter, in contrast with calmer sea conditions during autumn. We hypothesized that the



negative trend in autumn may be driven by the increased frequency of lower EOF1. On the other hand, the positive trend during winter in the Eb appears to be dependent on the changes observed in upper EOF2 and MSLP composites, as detailed previously. Concerning the positive trend of the Wb waves, we could not establish a direct association with any of the investigated composites, leading us to conclude that the trend is likely to be related to less recurrent composites.

#### 3.2.3 Teleconnections

The last section of the study assessed the relationship between the most important atmospheric teleconnections (TLC) and SWH for the BS domain: East Atlantic Pattern (EA), Scandinavian Pattern (SCA), North Atlantic Oscillation (NAO), and East Atlantic/ West Russia Pattern (EA/WR). The indices time series have been provided by https://www.cpc.ncep.noaa.gov/data/tele doc/telecontents.shtml. One of the most important teleconnection patterns across seasons is the NAO, as identified by Barnston and Livezey (1987). The NAO manifests as a north–south dipole of anomalies, featuring one center situated over Greenland and the other center with an opposite sign, extending across the central latitudes of the North Atlantic. The NAO is characterized by two phases.

The EA pattern emerges as the second significant mode of lowfrequency variability over the North Atlantic. It comprises a north–south dipole of anomaly centers spanning from east to west across the North Atlantic. However, the EA pattern is distinguished from the NAO by the lower-latitude center location and its association with the subtropical ridge.

The EA/WR pattern stands out as a key teleconnection pattern influencing Eurasia, characterized by four primary anomaly centers.

The SCA comprises weak centers of opposite signs over western Europe and eastern Russia/western Mongolia (Barnston



and Livezey, 1987), governing a primary circulation center over Scandinavia.

## 3.2.4 Correlation with teleconnections

The correlation between TLC patterns and SWH fields has been evaluated on a monthly basis, both on the averaged wave field

and EOFs. The analysis was limited to the winter season, characterized by active cyclogenesis above the Mediterranean and the Black Sea (Mikhailova and Yurovsky, 2016). During this period, a high-pressure system dominates central Europe, positioned between two pressure centers, over western Russia and the mid-Atlantic.



The investigation revealed that only the EA/WR pattern, for both EOFs and the mean field, has a statistically significant TLC– SWH correlation (*p*-value<0.05). In detail, from the correlation matrix (Table 3), we observed that the EA/WR pattern showed the largest influence on the wave variability, mainly on EOF1 (0.32), and the pattern is negatively correlated with EOF2 to a lesser extent (-0.19). Considering the mean wave condition of the last three decades at basin scale, the correlation was negative (-0.25). This finding offers new perspectives on the correlation between BS wave and TC indices. It is noteworthy that in the earlier research of Saprykina et al. (2019), the annual mean waves were found to be more affected by the NAO. However, it is important to consider that the two studies investigated different time frames.

An investigation of the spatial distribution of the correlation has shown that the link between TLC patterns and mean SWH is not uniform across the BS basin (Figure 13). Considering the different characteristics of the sub-basins, this is to be expected, as already found and described by Lionello and Galati (2008) for the Mediterranean Sea.

Spatially, most of the domain presented a negative TLC-SWH correlation with an intensification towards the southeast, exceeding -0.5 along the east coasts.

In contrast, the EA/WR pattern has a strong positive link with SWH along the western coasts of Ukraine. This spatial correlation pattern is in agreement with findings from Saprykina et al. (2019). In addition, this investigation revealed no or low correlation for the central part of the Wb along the continental slope.

The correlation analysis indicated the BS wave climate's clear dependency on the EA/WR pattern, for both its variability (EOFs) and mean. However, the stronger correlation with EOF1 reveals that when the EA/WR pattern is stronger, the seasonal cycle is more

TABLE 3 Correlation between significant wave height average field and first two EOFs and main teleconnection indices for the Black Sea region.

	EOF1	EOF2	Mean
EA	-0.05	-0.11	-0.27
SCA	0.16	0.12	0.06
NAO	0.17	-0.15	-0.18
EA/ WR	0.32*	-0.19*	-0.25*

\*95% confidence.

intense. The spatial correlation confirmed the distinction between the Wb and Eb wave field that we observed in the previous analyses. During its positive phase, the EA/WR pattern consists of four centers: two positive height anomalies located over north-central Europe and northern China, and two negative height anomalies located over the central North Atlantic and north of the Caspian Sea.

The correlation map accurately matches the dipole pattern located in Europe and Russia, determining a strong positive correlation in the northwestern basin and a strong negative correlation with the southeastern basin.

# 4 Conclusions

This study presents the Black Sea wave climate for the period 1988–2021 simulated by using a dedicated implementation of the WAVEWATCH III wave model, forced by wind from the ECMWF ERA5 reanalysis. The investigation is based on an unprecedent horizontal resolution for a BS wave climate study at the basin scale. In addition, the study represented the opportunity to investigate the role of the wave–current interaction in a climate study.

The model calibration required a specific tuning of the input source term in order to mitigate the SWH underestimation, particularly for high waves, when utilizing ERA5 winds.

Interestingly, the comparison model observations revealed that the inclusion of current forcing slightly enhanced the model quality, while no significant improvements are evident when sea level and air-sea temperature difference is applied. This result, in comparison with previous studies (Clementi et al., 2017; Causio et al., 2021; Rybalko and Myslenkov, 2022), reveals how important is the assessment of hydrodynamic forcing for any specific modeling implementation and region.

An extensive statistics description of the Black Sea intra-annual wave cycle has been carried out for SWH and related direction. The association of the wave direction to a specific statistic of the SWH has been proposed as a methodology and is detailed in Section 2.4.

Geographically, the basin can be divided into an Eastern (Eb) and Western sub-basin (Wb). The Wb typically experiences higher SWH and predominantly N-NE oriented waves. The Eb exhibits N-NW oriented waves with decreasing SWH towards the east.

While no overall trends were identified when considering the investigated time range, the study revealed distinctive patterns



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influenced by seasonal dynamics: SWH decreases in late summer and autumn in the Eb, leading to a prolongation of summer conditions in that area. In winter, when SWH is typically the largest, it increases further in both the Eb and Wb. In summer, there is also an increase in SWH in the southwestern Black Sea. The spring season appears to be unaffected by these trends.

The Black Sea wave climate is governed by two main modes of variability. The first mode is characterized by waves generated by low pressure along the Russian coasts and high pressure in centralwestern Europe, resulting in rougher waves in the western basin predominantly oriented N-NE. The second mode features NW-W waves in the eastern basin influenced by high pressure in Turkey and low pressure in the northeast of the Azov Sea. Over the last three decades, there has been a weakening of N-NE waves and an increase in W-oriented waves, likely due to changes in atmospheric pressure distribution.

The study of atmospheric teleconnection patterns highlighted the significant influence of the East Atlantic/West Russia Pattern (EA/WR) on SWH, emphasizing distinctions between the eastern and western basin wave fields.

Spatially, most of the domain exhibited a negative TLC-SWH correlation, intensifying towards the southeast. However, the EA/ WR pattern showed a strong positive correlation with SWH along the western coasts of Ukraine. The correlation analysis confirmed the BS wave climate's strong dependency on the EA/WR pattern, impacting both variability and mean conditions.

Overall, the study contributes to a better understanding of the spatiotemporal variability of wave fields in the region, which is significant information for various applications such as coastal engineering, marine operations, and climate studies.

# Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

# Author contributions

SC: Writing – review & editing, Writing – original draft, Visualization, Validation, Software, Methodology, Investigation, Formal Analysis, Data curation, Conceptualization. IF: Writing –

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# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmars.2024.1406855/ full#supplementary-material

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