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# The combined effects of acidification and acute warming on the embryos of Pacific herring (*Clupea pallasii*)

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Anthropogenic climate change is projected to affect marine ecosystems by challenging the environmental tolerance of individuals. Marine fishes may be particularly vulnerable to emergent climate stressors during early life stages. Here we focus on embryos of Pacific herring (*Clupea pallasii*), an important forage fish species widely distributed across the North Pacific. Embryos were reared under a range of temperatures (10–16°C) crossed with two  $p\text{CO}_2$  levels (600 and 2000  $\mu\text{atm}$ ) to investigate effects on metabolism and survival. We further tested how elevated  $p\text{CO}_2$  affects critical thermal tolerance ( $CT_{max}$ ) by challenging embryos to short-term temperature fluctuations. Experiments were repeated on embryos collected from winter and spring spawning populations to determine if spawning phenology corresponds with different limits of environmental tolerance in offspring. We found that embryos could withstand acute exposure to 20°C regardless of spawning population or incubation treatment, but that survival was greatly reduced after 2–3 hours at 25°C. We found that  $p\text{CO}_2$  had limited effects on  $CT_{max}$ . The survival of embryos reared under chronically warm conditions (12°, 14°, or 16°C) was significantly lower relative to 10°C treatments in both populations. Oxygen consumption rates ( $\text{MO}_2$ ) were also higher at elevated temperatures and  $p\text{CO}_2$  levels. However, heart contraction measurements made 48 hours after  $CT_{max}$  exposure revealed a greater increase in heart rate in embryos reared at 10°C compared to 16°C, suggesting acclimation at higher incubation temperatures. Our results indicate that Pacific herring are generally tolerant of  $p\text{CO}_2$  but are vulnerable to acute temperature stress. Importantly, spring-spawning embryos did not clearly exhibit a higher tolerance to heat stress compared to winter offspring.

## KEYWORDS

Pacific herring, ocean acidification, temperature rise, climate change, critical thermal limits, oxygen consumption rates, heart rate, forage fishes

## 1 Introduction

Coastal ecosystems are vulnerable to the effects of climate change which include increased mean temperatures, a higher frequency of marine heat waves, and ocean acidification (Bindoff et al., 2019). Changes to abiotic factors such as marine carbonate chemistry and sea surface temperature have affected the physiological performance of marine ectotherms resulting in distributional shifts for many marine species that are projected to become more pronounced throughout the 21<sup>st</sup> century (Arias et al., 2021). The Salish Sea, a large estuarine ecosystem off the coast of Washington, USA and British Columbia, Canada, supports wildlife habitat that is important to the economic and cultural livelihood of the local people (Sobocinski et al., 2022). Projections indicate that this region is expected to experience higher air and water temperatures in combination with shifts in seawater carbonate chemistry (e.g. acidification) as global climate change progresses (Khangaonkar et al., 2019). The development, survival, and hatching success of multiple marine fishes are known to be adversely affected by both abnormally high temperatures and increases in the partial pressure of CO<sub>2</sub> ( $p\text{CO}_2$ ). These effects are most prevalent in the early life stages when many fishes exhibit a narrower range of environmental tolerance relative to older conspecifics (Fabry et al., 2008; Ishimatsu et al., 2008; Pörtner, 2008; Peck et al., 2012; Clements and Hunt, 2015; Cattano et al., 2018).

Anthropogenic increases in atmospheric CO<sub>2</sub> contribute to both global climate change and ocean acidification (Arias et al., 2021). Elevated  $p\text{CO}_2$  may adversely affect fishes through several mechanisms, including altered acid-base homeostasis, behavioral impairments, skeletal abnormalities, reduced larval growth, and increased organ damage (Fabry et al., 2008; Frommel et al., 2012; Frommel et al., 2014; Clements and Hunt, 2015). Early life stages that lack developed acid-base regulatory competency and key regulatory organs (e.g., gills) are particularly vulnerable to simultaneous increases in  $p\text{CO}_2$  and temperature, which have been shown to increase embryonic mortality (Ishimatsu et al., 2008; Pörtner, 2008; Dahlke et al., 2017; Cattano et al., 2018; Sswat et al., 2018; Dahlke et al., 2020; Villalobos et al., 2020). In ectotherms, elevated temperatures will increase oxygen demand to support higher metabolic rates, while simultaneously lowering oxygen availability by reducing the solubility of O<sub>2</sub> in seawater (Pörtner and Knust, 2007; Oschlies et al., 2018; Kwiatkowski et al., 2020). This can produce a lethal oxygen deficiency or impair fitness by reducing the energy available for growth and reproduction (Pörtner, 2008; Dahlke et al., 2020). Other sublethal effects observed in fish embryos include increased frequency of developmental malformations, elevated heart rates, and reduced metabolic efficiency (Dahlke et al., 2017; Villalobos et al., 2020; Murray and Klinger, 2022).

This study focused on the effects of elevated  $p\text{CO}_2$  and temperature on the embryonic development of Pacific herring (*Clupea pallasii*; Cuvier and Valenciennes, 1847). The Pacific herring is an ecologically critical forage fish species of high

commercial value that is widely distributed along the Pacific Coast of the United States and Canada (Dinnel et al., 2011). Forage fishes are vital components of marine ecosystems that serve as energy conduits from the lower trophic levels to higher order predators such as marine mammals, piscivorous fishes, and seabirds (Cury et al., 2000; Pikitch et al., 2014). The cultural importance of Pacific herring to the indigenous Coast Salish peoples (McKechnie et al., 2014) and the economic value of their fisheries further support the need for understanding factors that affect their population dynamics (Schweigert et al., 2010; DFO, 2019).

There are 21 defined Pacific herring spawning populations (stocks) in the Southern Salish Sea, some of which are genetically distinct (Stick et al., 2014). Salish Sea Pacific herring reproduce predominantly from January through April in shallow nearshore waters where they attach adhesive eggs to submerged vegetation (Penttila, 2007). Distinct reproductive stocks show considerable variation in spawning phenology and the offspring of different populations can therefore experience quite different thermal conditions during development (Sandell et al., 2016). Furthermore, the Salish Sea is considered to be a naturally acidified system that is currently experiencing further acidification from anthropogenic causes (Cai et al., 2021). In nearshore systems,  $p\text{CO}_2$  conditions reach seasonal extremes during winter so that herring embryos are routinely exposed to relatively high levels of  $p\text{CO}_2$  during this time (Lowe et al., 2019).

Pacific herring populations in the Salish Sea have undergone severe declines during the last 50 years (Sandell et al., 2016; Sandell In prep). Stock biomass reductions have been attributed to habitat loss (Gaeckle et al., 2011), pollutants (West et al., 2008), and other potential causes such as climate change (Landis and Bryant, 2010; Sandell In prep), but the extent to which any of these factors have contributed to their decline is not yet clear. The Cherry Point spring spawning stock, once the largest in Washington state, has declined by at least 97% since monitoring began in 1973 (Stick et al., 2014), with spawning failure reported for the first time in 2023 (P. Doinne, Washington Department of Fish and Wildlife, personal communication). This stock is unique in its spawning phenology in that they reproduce substantially later than most other populations (April–June), so that their developing embryos are already subjected to warmer water temperatures that may be close to the upper thermal limits for viable embryogenesis in this species (Alderdice and Velsen, 1971). Thus, the Cherry Point stock may be particularly vulnerable to marine heat stress and other effects of climate change that are likely to affect the region (Landis and Bryant, 2010; Frölicher et al., 2018).

Salish Sea herring present an opportunity to study how differences in spawning phenology can alter the environmental tolerance of offspring and their sensitivity to climate change. In this study, we assessed the combined impacts of ocean warming and elevated  $p\text{CO}_2$  on Pacific herring offspring sourced from winter-spawning (Port Gamble Stock) and spring-spawning (Cherry Point Stock) herring populations. In addition to static rearing experiments, we tested embryos in critical thermal tolerance

assays that simulated extreme temperature fluctuations consistent with marine heatwave events. We hypothesize that chronic exposure to elevated  $p\text{CO}_2$  and warm conditions will reduce embryo survival to hatch, increase metabolic rates, promote developmental malformations, and increase mortality after exposure to acute temperature stress. Furthermore, we hypothesize that Cherry Point offspring will show higher tolerance to chronic and acute warming indicative of adaptation to a later spawning phenology when temperatures are both warmer and more variable.

## 2 Methods

### 2.1 Collection of animals and fertilization

The experiments were conducted in March (winter) and May (spring) 2021 at Western Washington University's Shannon Point Marine Center (SPMC) in Anacortes, WA, USA. For the winter experiment, mature Pacific herring were collected at Port Gamble (47.8543°N, 122.5838°W) as part of the annual Washington Department of Fish and Wildlife (WDFW) spawning survey. Sampled fish were stored on ice and transported in a cooler to SPMC where dissection and fertilization were performed immediately. In the spring experiment, naturally spawned eggs deposited on vegetation were collected by WDFW at the Cherry Point spawning site (48.8618°N, 122.7482°W) and transferred to SPMC. Eggs were immediately aged under a stereomicroscope (SZ40 stereomicroscope, Olympus®) and the embryos chosen for experimentation were at approximately 90% epiboly (~54 hours post fertilization; Kawakami et al., 2011). The discrepancy in collection methods was due to a reluctance to collect fish from the diminished Cherry Point population (collection of eggs has a much smaller impact than whole animal harvesting).

### 2.2 Fertilization protocol

A gamete mixture from 10 males and 10 females was used for the winter experiment. Fertilization methods followed established protocols (Dinnel et al., 2011; Murray and Klinger, 2022) with small modifications. Ovaries were carefully dissected and placed in individual petri dishes. Using a single-edged razor blade, a 2 cm × 2 cm piece of testis from each male was macerated and gametes from the 10 males were combined in 100 ml of filtered seawater at 10°C and a salinity of 32 practical salinity units (psu). 25 ml of sperm suspension was added to 4 individual 9 × 13 cm polyethylene containers that were lined with nylon mesh screening and filled with 2 L of filtered and sterilized seawater. Using a silicone spatula, roughly 200 eggs at a time were selected from one female and were haphazardly spread onto the mesh screening of each spawning container. This process was repeated for each female, such that each container received an equal proportion of eggs from all 10 females. Eggs adhere to the mesh screening upon contact with seawater, which facilitated their enumeration and

distribution to the experiment. The fertilization process occurred in an enclosed, temperature-controlled room maintained at 10°C (ambient sea temperature) to optimize fertilization success. After 30 minutes, fertilization success was quantified for each container by sampling three randomly selected sections of mesh screen containing ~30 eggs each. These eggs were imaged using a digital camera (MC170 HD, Leica®) attached to a dissection microscope (SZ40 stereomicroscope, Olympus®) and fertilization success (indicated by the presence of a raised fertilization membrane) was calculated as the average number of fertilized eggs over the total number of imaged embryos. Fertilization success ranged from 68% to 75% in the four containers (overall mean: 72.7%).

### 2.3 Experimental treatment conditions

Experimental treatments were selected based on current conditions and near-future predictions for both temperature and  $p\text{CO}_2$  in the Salish Sea. Current temperature and  $p\text{CO}_2$  treatment conditions (10°C, ~600  $\mu\text{atm}$ ) were chosen based on records of Salish Sea surface seawater in late winter, which we refer to here as ambient conditions (Fassbender et al., 2018; Pacella et al., 2018). The elevated  $p\text{CO}_2$  treatment of ~2000  $\mu\text{atm}$  is on par with predicted business-as-usual global change values for the coast of Washington state (Pacella et al., 2018; Evans et al., 2019). An elevated temperature of 16°C was chosen as the upper temperature limit for late-spawning herring populations. This temperature is regularly reported from Salish Sea nearshore waters in late spring and early summer and has been shown to be a survivable temperature for Pacific herring development (Dinnel et al., 2008; Villalobos et al., 2020). Mean temperatures in the Salish Sea are projected to increase by an average of 3°C by 2095 in comparison to the year 2000, with some areas experiencing an average of 5°C of warming (Khangaonkar et al., 2019). Intermediate temperatures of 12° and 14°C were used in the winter experiment to calculate response curves of the temperature effects between 10° and 16°C.

#### 2.3.1 Experimental system

Seawater was drawn from the Guemes Channel into the laboratory at SPMC where  $p\text{CO}_2$  was adjusted in three replicate header tanks per  $p\text{CO}_2$  treatment (Figure S1). Individual header tanks received filtered seawater (filtered to 5  $\mu\text{m}$ ) at a rate of ~1 L  $\text{min}^{-1}$ . Salinity averaged ~30 psu as determined via refractometer. Low  $p\text{CO}_2$  header tanks were aerated with  $\text{CO}_2$ -free air ( $\text{CO}_2$  adsorber, Twin Tower Engineering) to reduce  $p\text{CO}_2$  to ~600  $\mu\text{atm}$ . An 8 channel Masterflex L/S® Digital Drive (model UX-77921-75) peristaltic pump was attached to a 20 lb. food grade  $\text{CO}_2$  gas tank to inject a stream of  $\text{CO}_2$  bubbles into individual powerhead pumps (Marineland Maxi-jet 900) that quickly dissolved  $\text{CO}_2$  into the high  $p\text{CO}_2$  header tanks (Figure S1). The rate of  $\text{CO}_2$  delivery and water flow through the tank were tuned to produce the desired  $p\text{CO}_2$  similar to flowthrough systems described in Jokiel et al. (2014) and Villalobos et al. (2020). Continuous

bubbling with room air in addition to the CO<sub>2</sub> injection system ensured dissolved oxygen saturation remained near 100% (verified by a YSI Model 55).

Four main temperature baths (40 L) were positioned below the header tanks, outfitted with independent temperature controls, and were maintained at 10°, 12°, 14°, and 16°C, respectively. Water temperature was adjusted with two Aqueon® Submersible Aquarium heaters controlled by Elitech® STC-1000 temperature controllers that sustained targets within ± 0.3°C. Submersible pumps (Hydor Koralia Nano 240) circulated water within temperature baths to prevent hot-spots and promote temperature equilibration within cups and tubing (see below).

Embryos were reared in customized polyethylene cups (0.5 L) that were fitted with nylon mesh bottoms (300 μm) and were floated within the temperature baths (Figure S2). pCO<sub>2</sub>-adjusted seawater was gravity fed into individual cups using 8 feet (2.4 m) of thin plastic tubing that was coiled and submerged within the temperature baths to equalize pCO<sub>2</sub>-adjusted seawater with the treatment temperature before entering rearing cups (Figure S2). Flow rate into rearing cups was set at ~30-40 ml per minute by adjusting a stopcock at the end of each tube. Daily temperature and pH values were measured and recorded using an Orion Star™ pH<sub>NBS</sub> conductivity meter calibrated daily with NBS-buffers (Fisher Chemical®) at 10°C. Seawater samples were collected and preserved regularly for analysis of carbonate chemistry parameters (see below).

### 2.3.2 Experimental design

In winter we applied four temperature treatments (10°, 12°, 14°, and 16°C) crossed with two pCO<sub>2</sub> levels (600 and 2000 μatm) for a total of eight treatment combinations. Each treatment was allotted six rearing cups; three cups received 50 embryos each for quantification of developmental and survival traits while the other three cups received approximately 250 embryos to be tested in the CT<sub>max</sub> and respirometry trials. All embryos were dispersed to rearing cups within two hours of fertilization. Initial temperatures were set to 10°C for all water baths and then gradually increased to the set treatment temperatures treatments over four hours.

In spring the 12° and 14°C temperature treatments were removed to focus on the two extremes (10° and 16°C) that were crossed with two pCO<sub>2</sub> levels (600 and 2000 μatm) for a total of four treatment combinations. A total of eight rearing cups were allotted to each treatment combination; four cups contained 50 embryos for quantification of survival and developmental traits and the remaining four cups received approximately 250 embryos for CT<sub>max</sub> trials. Selected embryos were immediately introduced to rearing cups after determination of developmental stage. The 16°C bath was warmed to the target level from an initial temperature of 10°C over four hours.

## 2.4 Carbonate chemistry

Seawater samples were drawn from all header tanks every other day and immediately measured for spectrophotometric pH (total

scale, pH<sub>T</sub>) and dissolved inorganic carbon (DIC) following Love et al. (2017). Seawater was sampled from individual rearing cups every other day during the experiments and preserved in 20 ml scintillation vials (winter) or 40 ml volatile organic chemical (VOC) vials (spring) to which we added 10-μL of a saturated solution of mercuric-chloride (HgCl<sub>2</sub>), stored at 2°C, and analyzed within 3 weeks post-experiment for pH<sub>T</sub> and DIC. A diode array spectrophotometer (Ocean Optics S-UV-VIS Flame) was used to assess duplicate measurements of pH<sub>T</sub>. Seawater samples were brought to 25°C in a water bath and systematically transferred into a jacketed 5 cm cuvette. The samples received two 20-μL aliquots of m-cresol indicator dye to determine absorbance at three wavelengths (730 nm, 578 nm, 434 nm; Dickson et al., 2007). Dye impurities were corrected according to Douglas and Byrne (2017). DIC was determined by acidification of duplicate aliquots of seawater samples, followed by nitrogen extraction and gas phase analysis (Apollo SciTech AS-C3). Room temperature and salinity measurements were used to calculate density. The carbonate chemistry program CO<sub>2</sub> SYS (Lewis et al., 1998) used direct measurements of pH<sub>T</sub>, DIC, typical nutrient concentrations of the seawater source, and the treatment temperature at time of sampling to calculate *in situ* pCO<sub>2</sub> (μatm), total alkalinity (A<sub>T</sub>, kg<sup>-1</sup>), and carbonate and bicarbonate ion concentrations (CO<sub>3</sub><sup>-2</sup>, HCO<sub>3</sub><sup>-</sup>; μmol kg<sup>-1</sup>). See Tables S1, S2 for a complete summary of carbonate chemistry parameters.

## 2.5 Critical thermal maximum protocol

### 2.5.1 Winter experiment

CT<sub>max</sub> trials were performed on embryos reared under the two temperature extremes of 10° and 16°C. Embryos were sampled for the trials during the early stages of segmentation at 43- and 69-hours post-fertilization (hpf) at 16° and 10°C, respectively (~25% of full development, Stages F and G in Kawakami et al., 2011). A total of 210 normally developing embryos were drawn from each of the three replicate cups per treatment group and divided into seven 10 ml vials (30 embryos per vial) that were filled with seawater adjusted to source treatment pCO<sub>2</sub> and temperature conditions with 100% dissolved oxygen saturation. Of these seven vials, one was held at treatment conditions as a control and the remaining vials were exposed to one of the six CT<sub>max</sub> temperature × duration combinations (20°C for 1, 2, or 3 hours; 25°C for 1, 2, or 3 hours). The replicate and treatment combinations resulted in a total of 84 vials (7 vials × 3 replicate rearing cups × 2 temperatures × 2 pCO<sub>2</sub> treatments). However, since the trials for each temperature were held on different days, only 42 vials were used for each temperature trial.

The CT<sub>max</sub> trials were conducted using a high precision water bath (Versa Model V-HC) and target temperatures were verified using a thermistor (Fluke 1523 Thermometer). Embryos from 10°C treatments were warmed to 16°C by increasing the bath temperature by 1°C every 5 minutes. After reaching 16°C the temperature of all vials was increased by 1°C every 15 minutes. Upon reaching 20°C, three vials per replicate were removed and placed in a separate water bath set to 20°C. Three vials per replicate



were removed after 1, 2, and 3 hours, respectively, and placed in a separate water bath for re-acclimation to the original incubation temperature at a rate of  $-1^{\circ}\text{C}$  every 15 minutes. The remaining three vials per treatment underwent further heating to  $25^{\circ}\text{C}$  (by  $1^{\circ}\text{C}$  every 15 minutes) and again, 1 vial per replicate was removed after 1, 2, and 3 hours, respectively, and re-acclimated to the original rearing temperature as noted above. After trials were completed, embryos were transferred to petri dishes filled with  $p\text{CO}_2$  adjusted seawater and placed within large temperature-controlled units set to the original incubation temperatures. Seawater was exchanged regularly to maintain high oxygen and treatment  $p\text{CO}_2$  levels. Embryo survival was assessed 37 hours post-exposure (hpe) for  $16^{\circ}\text{C}$  treatments and 68 hpe for  $10^{\circ}\text{C}$  treatments when embryos were predicted to have reached 50% of full development (3.5 and 5.5-days post-fertilization at  $16^{\circ}\text{C}$  and  $10^{\circ}\text{C}$ , respectively). Eggs were inspected using a SZ40 stereomicroscope (Olympus<sup>®</sup>) and normally developing embryos with heartbeats were considered alive, while developmentally arrested, coagulated, or embryos with obvious developmental anomalies (e.g., shortened body length, twisted notochord, missing eyes) were designated as dead.

### 2.5.2 Spring experiment

$CT_{max}$  trials for the spring experiment were conducted using specimens at a later developmental stage ( $\sim 60\%$  of full development with appearance of eye pigmentation; stage I-J in Kawakami et al., 2011) to allow the wild-collected embryos to develop under experimental treatments for at least two days. The methodology for the  $CT_{max}$  trials was kept constant between the winter and spring experiments. Due to the addition of one replicate cup per treatment, the spring experiment contained 24 more vials than winter (7 vials  $\times$  4 replicates  $\times$  2 temperatures  $\times$  2  $p\text{CO}_2$  treatments) for a total of 112 vials, 56 vials for each temperature trial. In contrast with the winter trials, after the  $CT_{max}$  trial embryos were held in 20 ml vials and submerged in the original experimental temperature tanks until assessment in order to reduce the need for additional temperature control units. Due to the spring  $CT_{max}$  assessment taking place at a later developmental stage, mortality was assessed  $\sim 24$  hours post-exposure and prior to hatching. The methods for the assessment of mortality were the same in the winter and spring studies.

## 2.6 Respirometry

A subset of living embryos from each temperature  $\times$   $p\text{CO}_2$  treatment were used to estimate oxygen consumption rates ( $\text{MO}_2$ ) during the winter experiment.  $\text{MO}_2$  was not evaluated in spring due to logistical constraints. Trials were conducted separately for each temperature treatment when embryos reached 50% epiboly (Stage C in Kawakami et al., 2011; 24, 26, 31, and 46 hpf at  $16^{\circ}$ ,  $14^{\circ}$ ,  $12^{\circ}$ , and  $10^{\circ}\text{C}$ , respectively). An early developmental stage was chosen because previous work with this species showed limited effects of high  $p\text{CO}_2$  on the  $\text{MO}_2$  of older eyed-staged embryos (Murray and Klinger, 2022), and because evidence from work with other

temperate fish species indicated that earlier embryonic stages may be more sensitive to elevated  $p\text{CO}_2$  levels (Dahlke et al., 2020).

Respirometry methods followed Murray and Klinger (2022). Ten embryos were collected from three replicate cups per treatment and placed in customized 2-ml glass respirometry vials, prefilled with autoclaved seawater (readjusted to 32 psu) that was adjusted to treatment temperature and  $p\text{CO}_2$  conditions and 100% oxygen saturation. Three blank vials were filled with the same autoclaved water and a single glass mixing bead (2.4 mm diameter) and were measured alongside the 6 embryo vials for a total of 9 vials per trial (3 replicate cups  $\times$  2 treatments + 3 blanks). Sample vials did not receive a mixing bead because the embryos fulfilled this purpose.

Respirometry vials were equipped with a single planar trace oxygen sensor spot (PreSens<sup>®</sup>) that allows for optical measurements of dissolved oxygen through the glass. Trials were performed in a temperature-controlled walk-in chamber to maintain experimental temperature during sampling. Vials were submerged in a temperature-controlled water bath adjusted to the appropriate treatment temperature. Vials were then measured for oxygen concentrations ( $\mu\text{mol/L}$ ) every 10 minutes using a Fibox 4 oxygen meter with a PSt3 fiber optic sensor (PreSens<sup>®</sup>) running the PreSens<sup>®</sup> Measurement Studio 2 Microsoft program. All vials were inverted every 5 minutes to avoid oxygen stagnation. The trials were terminated after 10 measurements had been taken. After the trials, the exact mass of water in a vial was estimated using a precision micro balance (Mettler Toledo AT21 Comparator) and converted into volume using the known density of water within each vial. Post-trial, embryos were inspected and then preserved in a 4% formalin/freshwater solution for storage.

Oxygen consumption data were calculated following Murray and Klinger (2022). Linear regressions between oxygen consumption and time were fit for each respirometry vial. Bonferroni outlier tests were used to identify outlying oxygen measurements that deviated significantly from the linear fit. All outliers were removed from the oxygen time series and the regression was refit. Resulting slopes were used to calculate  $\text{MO}_2$  for an individual embryo ( $\text{nmol O}_2\text{h}^{-1}$ ) after controlling for background oxygen change (calculated as the mean  $\Delta\text{O}_2$  of all blank vials), the precise seawater volume of the vial, and the number of embryos per vial.

## 2.7 Heart contractions

Heart contraction measurements were used to assess cardiac stress in embryos from the spring experiment  $CT_{max}$  trials. Surviving embryos were held in temperature-controlled baths at treatment  $p\text{CO}_2$  conditions for 3 days post  $CT_{max}$  trial (2 days post mortality assessment) and then imaged. Embryos from the control and  $25^{\circ}\text{C}$  exposure treatments (1, 2, and 3 hours) were selected for measurements. Individual embryos were recorded for 60 seconds using a digital camera that captured up to 30 frames per second (MC170 HD, Leica<sup>®</sup>) attached to a SZ40 stereomicroscope (Olympus<sup>®</sup>). All surviving embryos were tested, up to a maximum of 10 per replicate. Videos were then used to quantify

embryonic heart rates (beats per minute, BPM), which were then compared between treatments.

## 2.8 Embryo survival and hatching morphometrics

Starting at 6 dpf, all survival cups were checked daily for newly hatched larvae starting at 6 dpf. Hatchlings were counted, euthanized with an overdose of MS-222 (1000 mg/L, [Dinnel et al., 2008](#)), and immediately photographed individually at 8× magnification to produce calibrated digital images for the identification of developmental malformations (SZ40 stereomicroscope, Olympus®). For the spring experiment, hatchlings were first preserved in 5% buffered formalin, then stored and imaged later. Daily hatch, cumulative hatch, time to first hatch (TFH), time to peak hatch (TPH), and hatching success were analyzed for each survival replicate cup. Daily hatch was calculated as the ratio of larvae (healthy + malformed) that hatched each day post fertilization (dpf) over the total starting embryos (N = 50). Cumulative hatch was calculated as the number of larvae (healthy + malformed) that hatched across time over the total starting embryos. TFH was calculated as the number of days post fertilization where hatching first occurred. TPH was calculated by determining the day on which the highest quantity of eggs hatched. Percent hatching success was calculated as the ratio of healthy hatched larvae (cumulative hatch minus malformed larvae) to the number of starting embryos. Identification of larval malformations included developmental anomalies such as shortening, curvatures in the body that surpassed 90°, swelling or distortions of the yolk sac, underdeveloped jaws, and spinal deviation ([Murray and Klinger, 2022](#); [Figure S3](#)). Larval malformation rate was defined two ways: 1) the number of malformed larvae relative to the number of starting embryos, and 2) the number of malformed larvae relative to the total number of hatchlings. Differences in survival and hatching were only examined within experiments.

## 2.9 Statistical analysis

All statistical analyses were performed in R using RStudio version 1.3.1073 ([R Core Team, 2022](#)). Ratio data (hatching success and malformation rates) were logit transformed prior to analysis ([Warton and Hui, 2011](#)). Linear mixed-effects models (*nlme* package) were constructed to test for temperature × CO<sub>2</sub> on hatching success, malformation rates, time to first hatch, and MO<sub>2</sub> with “header tank” assigned as a random factor and fit by the restricted maximum likelihood method (REML). However, the header tank only accounted for a small amount of total variance in each trait (<1%). Hatching success, malformation rates, and MO<sub>2</sub> were therefore assessed using two-way analysis of variance (ANOVA) with temperature and pCO<sub>2</sub> set as fixed factors. Tukey’s HSD (*multcomp* package) was used for *post hoc* multiple comparison tests.

Statistical assessments of  $CT_{max}$  survival were performed separately for embryos incubated at 10° and 16°C. In a separate analysis incubation temperatures were tested for significant differences in survival. Survival (%) was logit transformed and tested in a three-factor ANOVA, with pCO<sub>2</sub> and  $CT_{max}$  temperature set as fixed factors and duration set as a numeric factor. The *multcomp* package was used for *post hoc* comparisons (Tukey’s HSD), which assessed significance values within treatments.

A linear mixed-effects model (LME) was used to analyze the treatment effects on heart contraction data using “replicate cup” as a random factor. A fully factorial model was used with incubation temperature, pCO<sub>2</sub> and  $CT_{max}$  temperature as factors and duration as a numeric predictor. pCO<sub>2</sub> and all pCO<sub>2</sub> interactions were non-significant, and therefore a second LME was constructed using data from combined pCO<sub>2</sub> treatments.

## 3 Results

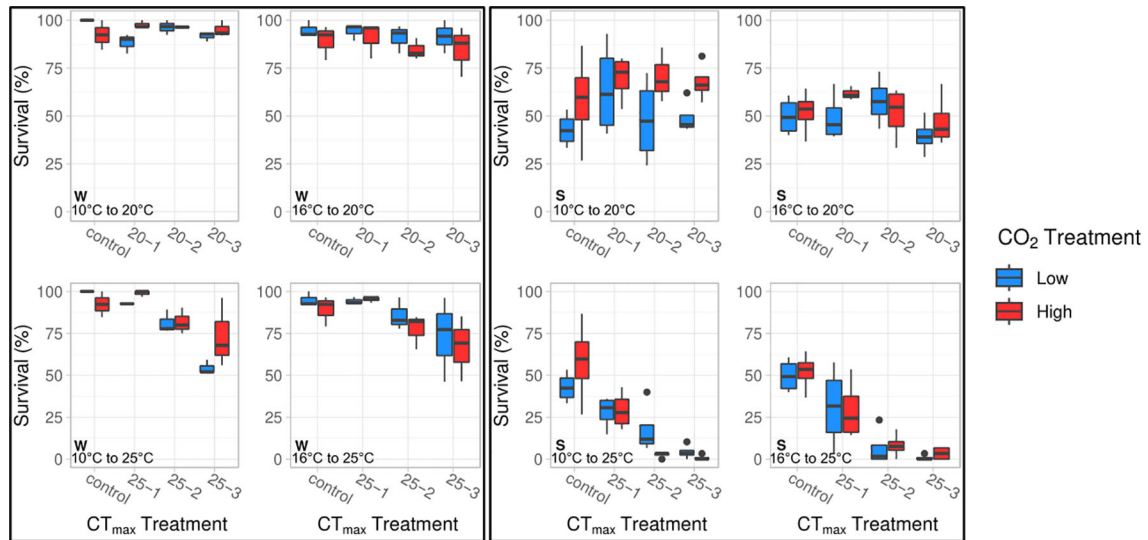
### 3.1 Critical thermal maximum

#### 3.1.1 Winter experiment

We found that embryos incubated at 10° and 16°C responded similarly to the  $CT_{max}$  trials with respect to survival ([Figure 1](#)). In both groups, post  $CT_{max}$  survival was significantly influenced by an interactive effect between  $CT_{max}$  temperature and duration (ANOVA,  $df = 1$ ,  $p < 0.04$ , [Table 1](#)). Acute exposure to 20°C for one, two, and three hours did not reduce survival relative to control groups. However, for clutches tested at a  $CT_{max}$  of 25°C, survival after one hour was similar to that seen in control embryos but decreased after two hours of exposure (by 21% for 10°C embryos and 22% for 16°C embryos) and decreased further after three hours (by 41% for 10°C embryos and 34% for 16°C embryos). We found that pCO<sub>2</sub> treatment had small but opposing effects on  $CT_{max}$  survival between 10° and 16°C embryo groups ([Figure 1](#)). For embryos reared under 10°C there was a significant interaction effect between pCO<sub>2</sub> and  $CT_{max}$  temperature (ANOVA,  $df = 2$ ,  $p = 0.007$ , [Table 1](#)), as high pCO<sub>2</sub> increased the survival of embryos exposed to 25°C by 11% relative to low pCO<sub>2</sub> embryos when averaged across all durations. For the 16°C treatment we found an overall negative effect of pCO<sub>2</sub> (ANOVA,  $df = 1$ ,  $p = 0.008$ , [Table 1](#)) where high pCO<sub>2</sub> exposure reduced survival by 10% in comparison to low pCO<sub>2</sub> conspecifics when averaged across all combinations of  $CT_{max}$  temperature and duration ([Figure 1](#)).

#### 3.1.2 Spring experiment

Overall, results from the springtime  $CT_{max}$  trial were similar to those seen in the winter trial ([Figure 1](#)), as survival was primarily affected by an interaction between  $CT_{max}$  temperature and the duration of exposure (ANOVA,  $df = 1$ ,  $p \leq 0.001$ , [Table 2](#)). Embryos from 10° and 16°C rearing treatments showed no reduction in survival under a  $CT_{max}$  of 20°C across durations. However, exposure to a  $CT_{max}$  of 25°C progressively reduced



**FIGURE 1**  
The interactive effects of  $CT_{max}$  temperature (20°, 25°C) and exposure duration (control, 1, 2, 3 hrs.) on embryo survival (%) in winter (left) and spring (right) experiments at two incubation temperatures (10°, 16°C).  $CT_{max}$ , duration, and  $CT_{max} \times$  duration significantly impacted survival in winter and spring embryos.  $pCO_2$  was significant in winter at 16°C (ANOVA,  $df = 1, p = 0.008$ ).  $CT_{max} \times pCO_2$  significantly affected survival in 10°C embryos in winter and spring (ANOVA,  $df = 2, p \leq 0.008$ ).

**TABLE 1** Winter ANOVA results for embryo survival in Critical Thermal Maximum ( $CT_{max}$ ) experiments at incubation temperatures of 10° and 16°C. Parameters include  $pCO_2$  (600 and 2000  $\mu atm$ ),  $CT_{max}$  temperature (20 or 25°C), duration of exposure to  $CT_{max}$  (1, 2, or 3 hours), and the interaction effects of these parameters. Significant factors are indicated in bold. Arrow indicates the direction of trait effect trend with increasing factor.

ANOVA			
10°C	Df	F	p
$pCO_2$	1	2.496	0.124
$CT_{max} \downarrow$	2	28.172	< 0.001
Duration ↓	1	24.912	< 0.001
$CT_{max} \times$ Duration ↓	1	18.114	0.001
$pCO_2 \times$ Duration	1	3.206	0.083
$CT_{max} \times pCO_2 \uparrow$	2	5.885	0.007
$CT_{max} \times pCO_2 \times$ Duration	1	0.166	0.687
16°C	Df	F	p
$pCO_2 \downarrow$	1	8.040	0.008
$CT_{max} \downarrow$	2	9.573	< 0.001
Duration ↓	1	6.786	0.014
$CT_{max} \times$ Duration ↓	1	5.096	0.031
$pCO_2 \times$ Duration	1	0.243	0.625
$CT_{max} \times pCO_2$	2	0.045	0.956
$CT_{max} \times pCO_2 \times$ Duration	1	0.646	0.427

**TABLE 2** Spring ANOVA results for embryo survival in Critical Thermal Maximum ( $CT_{max}$ ) experiments at incubation temperatures of 10° and 16°C. Parameters include  $pCO_2$  (600 and 2000  $\mu atm$ ),  $CT_{max}$  temperature (20 or 25°C), duration of exposure to  $CT_{max}$  (1, 2, or 3 hours), and the interaction effects of these parameters. Significant factors are indicated in bold. Arrow indicates the direction of trait effect trend with increasing factor.

ANOVA			
10°C	Df	F	p
$pCO_2$	1	0.019	0.890
$CT_{max} \downarrow$	2	82.073	< 0.001
Duration ↓	1	26.627	< 0.001
$CT_{max} \times$ Duration ↓	1	13.928	< 0.001
$pCO_2 \times$ Duration	1	0.0001	0.992
$CT_{max} \times pCO_2 \uparrow$	2	5.368	0.008
$CT_{max} \times pCO_2 \times$ Duration	1	1.691	0.200
16°C	Df	F	p
$pCO_2$	1	0.741	0.394
$CT_{max} \downarrow$	2	68.828	< 0.001
Duration ↓	1	29.169	< 0.001
$CT_{max} \times$ Duration ↓	1	12.089	0.001
$pCO_2 \times$ Duration	1	0.001	0.976
$CT_{max} \times pCO_2$	2	0.079	0.924
$CT_{max} \times pCO_2 \times$ Duration	1	0.469	0.497

survival with duration, resulting in near complete mortality after 2 and 3 hours (Figure 1). However, overall survival during the spring  $CT_{max}$  trial was considerably lower than in the winter trial, as the survival of even the control groups was reduced by a factor of 50% (Figure 1). The only significant effect of  $pCO_2$  during spring was an interaction effect between  $CT_{max}$  and  $pCO_2$  for embryos reared under 10°C (ANOVA,  $df = 2$ ,  $p = 0.008$ , Table 2). High  $pCO_2$  slightly increased survival of embryos exposed to a  $CT_{max}$  of 20°C across all durations, whereas high  $pCO_2$  reduced  $CT_{max}$  survival for embryos exposed to 25°C, particularly after 2 hours (Figure 1).

### 3.2 Oxygen consumption rate

During the winter experiment we found that both temperature and  $pCO_2$  significantly affected the  $MO_2$  of early developing embryos (ANOVA,  $p < 0.01$ , Table S3; Figure 2). *Post hoc* testing indicated that embryos reared at 10°C showed significantly lower  $MO_2$  values by an average of 41.97% in comparison to those held at 12° and 16°C (Tukey's HSD,  $p < 0.03$ ). No significant difference was found between the 10° and 14°C treatments. Embryos in the high  $pCO_2$  treatment displayed significantly higher oxygen consumption

rates in comparison to those exposed to ambient  $pCO_2$  conditions by 24.5% when averaged across temperature regimes (ANOVA,  $df = 1$ ,  $p = 0.012$ , Figure 2; Table S3).

### 3.3 Heart contractions

Heart contractions were not affected by  $pCO_2$  or any  $pCO_2$  interaction (ANOVA,  $p > 0.05$ ) and analyses were therefore completed on combined  $pCO_2$  data (high  $pCO_2$  + low  $pCO_2$ ) within each treatment category. The average heart contraction rate (BPM) for control samples kept at their original incubation temperatures were similar between the 10°C ( $87.95 \pm 14.57$ ) and 16°C ( $92.25 \pm 14.75$ , Figure 3) treatments. Heart contraction rates increased linearly for embryos held at a  $CT_{max}$  of 25°C for 1, 2, and 3 hours (LMM,  $df = 195$ ,  $p = 0.001$ , Table S4; Figure 3). However, a significant interaction effect of incubation temperature and duration of  $CT_{max}$  exposure indicated that the slope of heart rate increase was nearly significantly greater for embryos reared at 10°C (LMM,  $df = 198$ ,  $p = 0.053$ , Table S4). At 3 hours of exposure the average heart rate was  $119 \pm 7.63$  BPM (10°C) and  $103.85 \pm 15.25$  (16°C) (Figure 3).

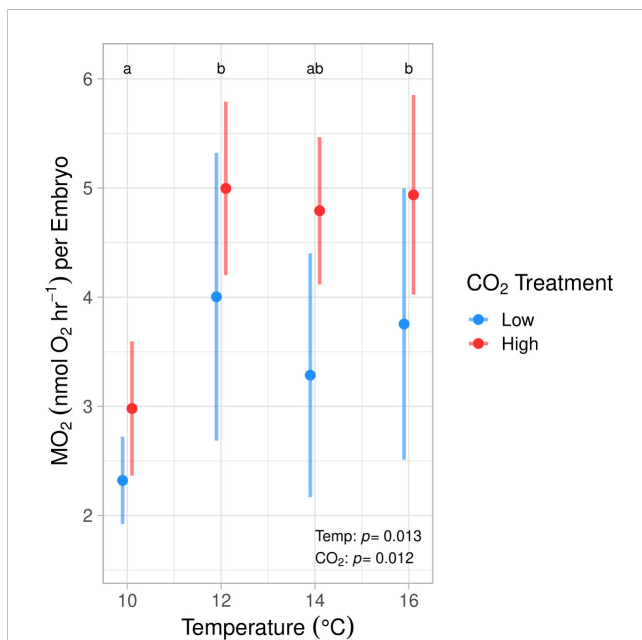


FIGURE 2

Embryonic oxygen consumption rates ( $MO_2$ ) at 50% epiboly development (stage C in Kawakami et al., 2011) at 4 discrete temperatures and 2 discrete  $pCO_2$  treatments in the winter experiment (means  $\pm$  std. dev).  $MO_2$  was significantly impacted by temperature and  $pCO_2$ , yet no  $pCO_2$  effect was found within any temperature group.  $MO_2$  was significantly lower at 10°C (a) compared to 12° and 16°C (b) and was not significantly different from 14°C (ab) (Tukey's HSD,  $p < 0.03$ ). Across temperature treatments,  $MO_2$  was significantly higher at high  $pCO_2$  compared to ambient (ANOVA,  $df = 1$ ,  $p = 0.012$ ).

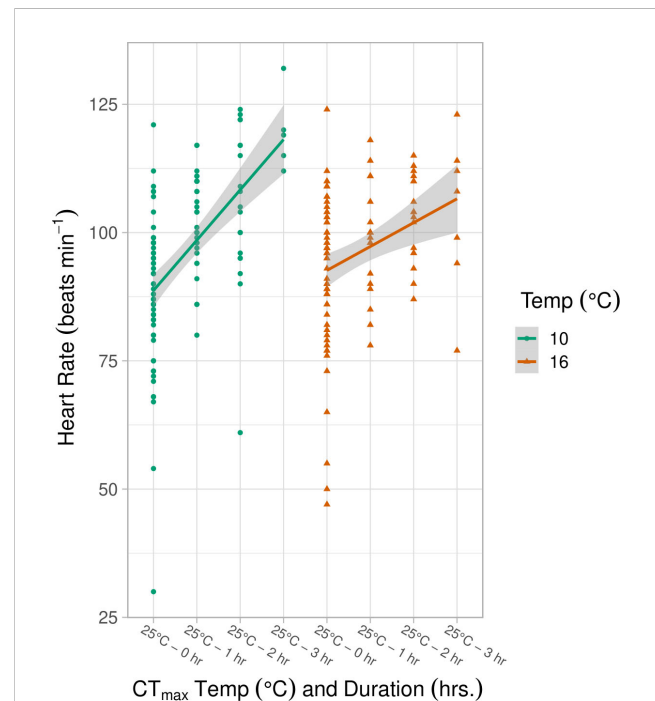
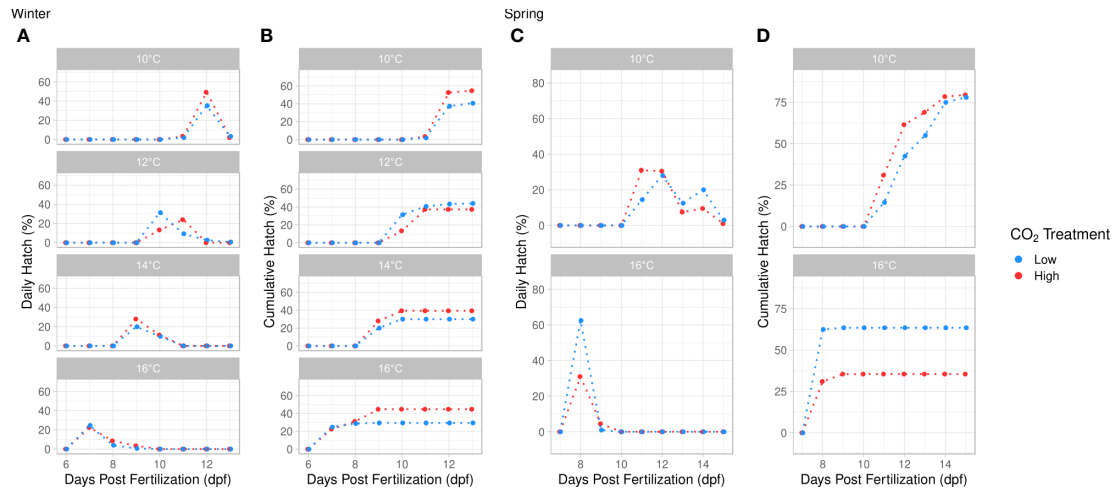


FIGURE 3

Heart rate (beats  $min^{-1}$ ) at incubation temperature (10°, 16°C) measured 3 days post  $CT_{max}$  exposure at 25°C with duration (control, 1, 2, 3 hrs.) in spring. Duration of  $CT_{max}$  exposure at 25°C and the interaction of duration and incubation temperature significantly impacted heart rate (LMM,  $p \leq 0.05$ , Table S4), as seen in the differing slopes of the best fit lines for each temperature treatment. The shaded area indicates the 95% confidence interval.





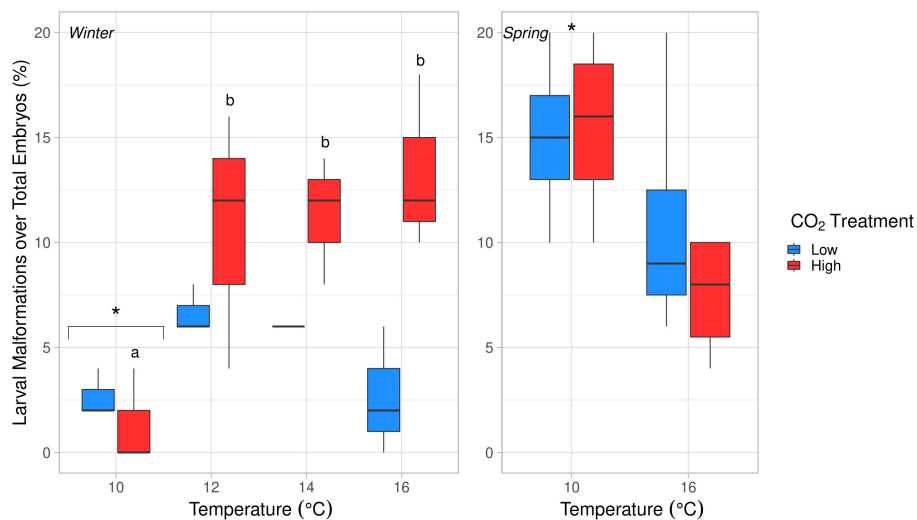
**FIGURE 4** Daily hatch (%) and cumulative hatch (%) versus days post fertilization (dpf) within each incubation temperature treatment. (A, B) represent the daily hatch (%) and cumulative hatch (%) in the winter experiment at 4 discrete temperatures (10°, 12°, 14°, 16°C). (C, D) represent the daily hatch (%) and cumulative hatch (%) in the spring experiment at 2 discrete temperatures (10°, 16°C). pCO<sub>2</sub> treatment is indicated by color (blue = ambient, red = high). Temperature significantly reduced time to first hatch (TFH) and time to peak hatch (TPH) in both winter and spring (ANOVA,  $p < 0.001$ ). TFH was significantly reduced by the temperature  $\times$  pCO<sub>2</sub> interaction at 12°C in winter (Tukey's HSD,  $p < 0.001$ ). TPH was significantly faster at low pCO<sub>2</sub> in winter (ANOVA,  $df = 1$ ,  $p = 0.039$ ). Cumulative hatch was significantly lower at 16°C in spring (ANOVA,  $df = 1$ ,  $p = 0.003$ ).

### 3.4 Hatching success

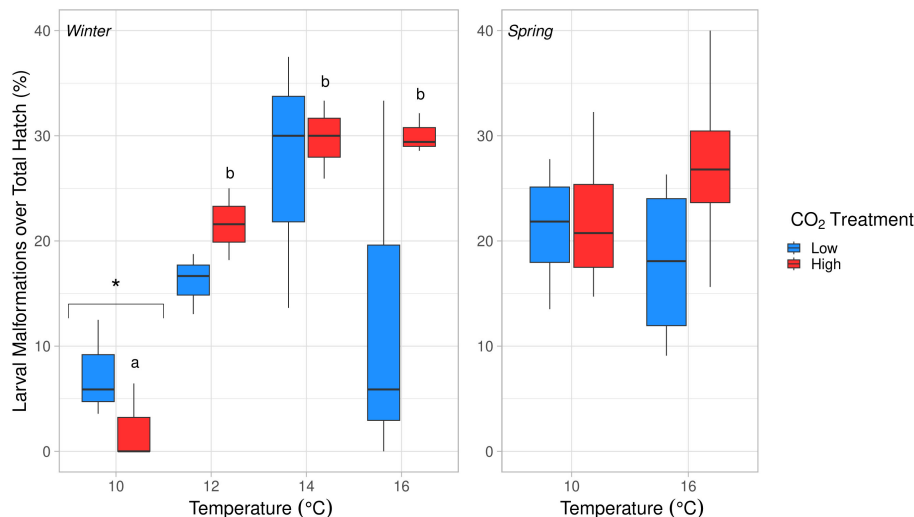
#### 3.4.1 Winter experiment

Time to first hatch was influenced by temperature and the temperature  $\times$  pCO<sub>2</sub> interaction. Larvae emerged first at 7 days post fertilization (dpf) when kept at 16°C and at 11 dpf at 10°C

(ANOVA,  $df = 3$ ,  $p < 0.001$ , Figure 4; Table S5). Time to first hatch was significantly longer under elevated pCO<sub>2</sub> at 12°C, although no pCO<sub>2</sub> effects were found at other temperatures (Tukey's HSD,  $p < 0.001$ , Figure 4). Time to peak hatch decreased significantly with elevated temperature (ANOVA,  $df = 3$ ,  $p < 0.001$ , Figure 4; Table S5) and was significantly faster in the ambient pCO<sub>2</sub> treatment (ANOVA,  $df = 1$ ,  $p = 0.039$ , Figure 4). Cumulative hatch



**FIGURE 5** Effects of temperature on larval malformations over total embryos per replicate (%) in winter (left) and spring (right) experiments. Temperature, pCO<sub>2</sub>, and their interaction had significant effects in winter (ANOVA,  $p < 0.04$ ). Malformations were significantly less common at 10°C in comparison to all other temperatures in winter (Tukey's HSD,  $p < 0.03$ ). Letters (a, b) denote significant difference between temperatures in the high pCO<sub>2</sub> condition (Tukey's HSD,  $p < 0.04$ ). Temperature had a significant, negative effect in spring (ANOVA,  $df = 1$ ,  $p = 0.023$ ). Significantly different temperature treatments are marked with an asterisk (\*).



**FIGURE 6** Effects of temperature on larval malformations over total hatch count (%) in winter (left) and spring (right). Temperature had a significant, positive effect in winter (ANOVA,  $df = 3, p = 0.003$ ). Malformations were significantly lower at 10°C compared to all other temperatures in winter (Tukey’s HSD,  $p < 0.03$ ). Letters (a, b) denote significant differences between temperatures in the high  $pCO_2$  condition (Tukey’s HSD,  $p < 0.05$ ). No significant differences were found in spring (ANOVA,  $p > 0.05$ ). The significantly different temperature treatment in winter is marked with an asterisk (\*).

was not significantly impacted by temperature,  $pCO_2$ , or their interaction (ANOVA,  $p > 0.05$ , Table S5).

Larval malformation rates (compared to total embryos per replicate cup) were significantly affected by temperature,  $pCO_2$ , and their interaction (ANOVA,  $p < 0.04$ , Table S5). Malformation rates were significantly lower at 10°C than all other temperature treatments (Tukey’s HSD,  $p \leq 0.02$ ). As temperature increased, malformation rates remained low under low  $pCO_2$  (0–8%) but increased significantly to ~12% for embryos exposed to high  $pCO_2$  at 12–16°C (Tukey’s HSD,  $p < 0.03$ , Figure 5). When malformation rates were analyzed relative to the number of total hatched larvae and excluded embryos that did not hatch, malformations were seen to increase with temperature only (ANOVA,  $df = 3, p = 0.003$ , Table S5 and Figure 6). After accounting for malformation rates, we found that temperature had a near significant effect, with generally lower hatching success under warmer treatments (ANOVA,  $df = 3, p = 0.054$ , Table S5), yet no significant  $pCO_2$  effect was found (Figure 4; Table 3). Embryos reared at 14°C had a significantly lower hatching

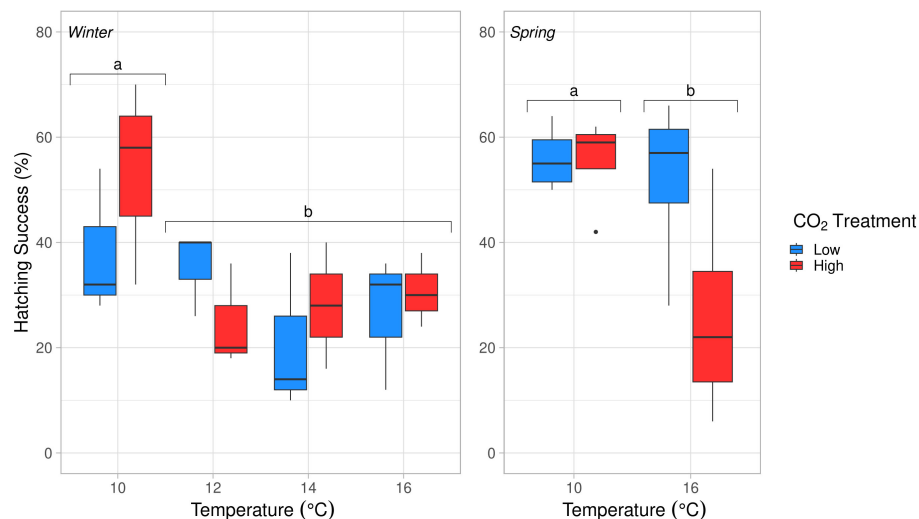
success than those reared at 10°C (Tukey’s HSD,  $p = 0.048$ , Figure 7) and no other pairwise comparison between temperature treatments were significant (Tukey’s HSD,  $p > 0.10$ ).

### 3.4.2 Spring experiment

Embryos reared at 16°C showed a significantly reduced time to first hatch and peak hatch (ANOVA,  $df = 1, p < 0.001$ , Table S6) and no  $pCO_2$  effect was found (Figure 4). Cumulative hatch and hatching success for embryos held at 10°C were substantially higher than those for embryos held at 16°C (ANOVA,  $df = 1, p < 0.04$ , Figures 4, 7; Table S6). Within each temperature treatment, TFH was observed in a single day, resulting in standard deviations of zero and preventing the calculation of F-values (Table S6). Overall,  $pCO_2$  and the temperature  $\times pCO_2$  interaction did not significantly affect any of the hatching parameters examined in the spring experiment (ANOVA,  $df = 1, p > 0.05$ , Table S6).

**TABLE 3** Average hatching success (%  $\pm$  1 SD) at different incubation conditions in winter and spring experiments. Treatment combinations of  $pCO_2$  + temperature are presented at ambient and high  $pCO_2$  values and 4 discrete temperatures. Percent hatching is negatively impacted by temperature treatment with no significant  $pCO_2$  effect for both winter and spring. The arrow indicates that hatching success was significantly higher in the 10°C treatment compared to all other temperatures.

Temp (°C)	Winter Experiment		Spring Experiment	
	Ambient $pCO_2$	High $pCO_2$	Ambient $pCO_2$	High $pCO_2$
10 ↑	40.67 $\pm$ 13.32	54.67 $\pm$ 20.03	78.00 $\pm$ 5.89	79.50 $\pm$ 10.50
12	44.00 $\pm$ 10.39	37.33 $\pm$ 10.07	–	–
14	30.00 $\pm$ 19.29	39.33 $\pm$ 15.01	–	–
16	29.33 $\pm$ 09.87	44.67 $\pm$ 10.26	63.50 $\pm$ 18.86	35.50 $\pm$ 21.56



**FIGURE 7**  
Hatching success (%) at 4 discrete temperature treatments for winter (10°, 12°, 14°, 16°C; left) and two discrete temperatures for spring (10°, 16°C; right) experiments. Temperature had a significant effect on hatching success in winter (ANOVA,  $df = 3$ ,  $p = 0.054$ ) and spring (ANOVA,  $df = 1$ ,  $p = 0.039$ ). The  $p\text{CO}_2$  and temperature  $\times$   $p\text{CO}_2$  treatments were not significant in either experiment. Embryos at 10°C had significantly higher hatching success than all other temperature treatments, indicated with letters (a, b) (Tukey's HSD,  $p < 0.05$ ).

Springtime larvae exhibited inverse effects of temperature on total embryo malformation rates in comparison to winter specimens, with significantly higher proportions of malformations occurring in larvae reared at 10°C in comparison to those reared at 16°C (ANOVA,  $df = 1$ ,  $p = 0.023$ , Figure 5; Table S6). In contrast to the winter experiment, no significant temperature  $\times$   $p\text{CO}_2$  interaction effects were found. When malformation rates were measured using only hatched larvae, we saw no significant difference between temperatures in spring (Figure 7; Table S6).

## 4 Discussion

The purpose of this study was to determine the effects of ocean acidification, temperature rise, and extreme heating events on the physiology and survival of embryonic Pacific herring. Early life stages of fishes tend to have narrower thermal tolerances and lower resistance to  $p\text{CO}_2$  fluctuations relative to juveniles and adults because their regulatory pathways and central organ systems are not fully developed (Pörtner et al., 2006). Even small changes in growth and mortality during early fish development are known to impact the recruitment success of marine fishes at the population level (Pörtner and Peck, 2010).

### 4.1 Critical thermal maximum

The significant decline in survival at 25°C and the downward trend in survival that accompanied increasing hours of exposure to high temperatures indicates that extended hours/days of exposure at or around 25°C could have severe impacts on embryo survival that would reduce recruitment success and herring population biomass. These conditions are more likely to be encountered by

late spawning populations as the potential for marine heat waves increases (Frölicher et al., 2018). We found no significant effect of incubation temperature on survival rates during the  $CT_{max}$  trials, which indicates that changes in embryonic rearing temperature up to 16°C do not extend the upper limits of thermal tolerance. In contrast to our findings, Moyano et al. (2017) found that  $CT_{max}$  was significantly higher in Atlantic herring larvae acclimated to elevated incubation temperatures throughout their development (~60 days). This suggests that longer developmental periods under warmer conditions may have a greater effect on the ability of herring offspring to withstand extreme temperature fluctuations. Extending the current study to include more larval stages would allow for a useful comparison between these two species.

While Pacific herring embryos from late spawning populations are more likely to encounter unfavorable temperatures, our data do not clearly resolve the question of whether fish from different stocks are likely to exhibit differences in their physiological responses to these conditions. Although we cannot statistically compare the winter (Port Gamble) embryos with the spring (Cherry Point) embryos, the patterns in the survival data for the  $CT_{max}$  trials are similar for the two populations. This suggests that they may exhibit similar responses to short term temperature excursions. Survival was higher for all tested  $CT_{max}$  parameters in winter spawners in comparison to spring spawners, including the controls. It is unclear as to why spring control embryos exhibited lower survival rates in comparison to their winter counterparts, but differences in methodology (lab fertilization versus field collection of embryos) may have contributed to the variation in survival between experiments, as wild spawned embryos could have lower tolerance to laboratory manipulations. Moreover, spring embryos underwent the  $CT_{max}$  trials at a later developmental stage in comparison with winter embryos, which could be associated with lower thermal plasticity and diminished survival (Eme et al., 2015).

There is some evidence of temperature acclimation and higher survival in the Cherry Point stock in comparison to two other Salish Sea herring stocks that spawn in the Puget Sound (Marshall, 2012), but further work is necessary to identify population-level differences in the susceptibility of Pacific herring to global climate change.

Acidification seems to have limited effects on the thermal window of Pacific herring. Among the 16°C winter embryos, those maintained at ambient  $p\text{CO}_2$  exhibited significantly higher survival than the high  $p\text{CO}_2$  treatment. This may predict a lethal physiological effect of combined increases in  $p\text{CO}_2$  and incubation temperature on wild herring embryos, especially during marine heat stress events. However, for embryos incubated at 10°C, exposure to high  $p\text{CO}_2$  resulted in higher survival after the  $CT_{max}$  trial. This finding could indicate that ocean acidification conditions can activate protective mechanisms that reduce mortality under moderate heat stress. Similar effects have been observed in studies where a moderate heat shock or contaminant resulted in a protective effect against subsequent physiological challenges (Brown et al., 1992; Renfro et al., 1993; Iwama et al., 1998).

## 4.2 Oxygen consumption rates

We found significantly higher embryonic  $\text{MO}_2$  values at high  $p\text{CO}_2$  levels in comparison to ambient  $p\text{CO}_2$  at all temperatures. These findings contrast with prior studies of Atlantic cod, Atlantic herring, and other recent work with Pacific herring, where  $p\text{CO}_2$  was not found to influence embryonic oxygen consumption (Dahlke et al., 2017; Leo et al., 2018; Murray and Klinger, 2022). However, in this study we analyzed the effects of  $p\text{CO}_2$  at an earlier developmental stage in comparison to previous work on Pacific herring (Murray and Klinger, 2022) by taking  $\text{MO}_2$  measurements from embryos during gastrulation; a developmental stage that has been identified as a critical window of sensitivity to elevated  $p\text{CO}_2$  in Atlantic cod (Dahlke et al., 2020). Our results indicate a similar pattern may exist in Pacific herring embryos. Acclimation to elevated  $p\text{CO}_2$  prior to organogenesis requires a substantial increase in metabolic rate, likely due to the upregulation and maintenance of metabolically costly acid/base regulatory proteins to buffer against intracellular acidosis (Dahlke et al., 2020). Given that embryonic development operates on a tight metabolic budget, such a shift in energetic allocation may have contributed to the increased rates of developmental malformations observed in embryos exposed to elevated  $p\text{CO}_2$  and warmer temperatures. By contrast, prior work on Atlantic herring found a direct effect of temperature on  $\text{MO}_2$  with no  $p\text{CO}_2$  effect in measurements performed at similar development stages (Leo et al., 2018). The impact of acidification on  $\text{MO}_2$  should be studied further to determine how energetic restraints imposed by global change may affect fish metabolism during the early stages of embryonic development.

Many temperate fishes show a parabolic relationship between  $\text{MO}_2$  and temperature (Lefevre, 2016). In our study we saw significantly lower  $\text{MO}_2$  values at 10°C, and similar values at 12–16°C, with a plateau which may indicate that this is the peak of a parabolic relationship between temperature and  $\text{MO}_2$ . The oxygen

consumption rates of Atlantic cod (*Gadus morhua*) were influenced by an interaction effect of  $p\text{CO}_2$  and temperature, where embryos exposed to elevated  $p\text{CO}_2$  at cold and intermediate temperatures (0–9°C) exhibited an increase in  $\text{MO}_2$  relative to embryos at low  $p\text{CO}_2$  levels, yet  $\text{MO}_2$  significantly declined in fish exposed to both the highest temperature (12°C) and highest  $p\text{CO}_2$  combination (Dahlke et al., 2017).

Although we did not identify an interaction effect of  $p\text{CO}_2$  and temperature, we did not assess the effects on  $\text{MO}_2$  at elevated  $p\text{CO}_2$  or temperatures nearing the upper thermal limit of this species. Doing so might conceivably produce a response pattern similar to those reported for Atlantic cod by Dahlke et al. (2017). In that study, metabolic activity under extreme warm conditions was compromised by functional defects in the mitochondrial electron transport chain and a reduction in ATP production (Dahlke et al., 2017). A parabolic relationship between temperature and  $\text{MO}_2$  implies the existence of oxygen supply limits at the plateau and subsequent drops in oxygen demand during prolonged exposure above optimal temperatures, possibly because of cardiac disfunction and physiological damage (Pörtner and Knust, 2007).

## 4.3 Heart contractions

Heart rate was significantly higher in post-exposure measurements of  $CT_{max}$  embryos in comparison to control embryos kept at a baseline incubation temperature, which indicates that acute exposure to critical temperatures can affect cardiac function, regardless of initial incubation temperature. This suggests that heat stress events could have long-term impacts on the cardiac function of surviving embryos that could reduce the health and fitness of later life stages. For each hour of  $CT_{max}$  exposure, heart rate increased linearly when measured two – three days post-exposure, which suggests that this is not a threshold effect, but the result of damage accumulated during longer exposures. Embryos do not depend on blood circulation for oxygen delivery since they do not yet have functioning gills, but chronically elevated heart rates may indicate the presence of long-term, negative impacts on cardiac function (Steinhausen et al., 2008).

Differences in embryonic heart rate are tied to how their systems respond to environmental cues and to their overall stress response but does not reflect differences in oxygen demand (Barrionuevo and Burggren, 1999; Burggren, 2004). We found that embryos incubated under the lower temperature regime of 10°C showed a larger persistent increase in heart rate after acute exposure to 25°C compared to embryos incubated at 16°C. This indicates a more effective recovery or perhaps a reduced maximum heart rate for embryos acclimated to elevated basal temperature. It also suggests that embryos incubated at 10°C suffered an enhanced stress response that prohibited a return to normal function. Alfonso et al. (2020) found that fish acclimated to elevated basal temperatures had lower cortisol levels than those reared at ambient temperatures, indicating that temperature exposure history can alter the thermal stress response. Although rearing temperature did not have a strong independent effect on increasing heart rates as it did in Villalobos et al. (2020), this dataset was not

designed to detect differences between basal conditions, but to examine the responses to simulated acute heat waves.

While embryonic mortality was not impacted by original incubation temperature, deleterious sub-lethal effects on hatched larvae, such as chronically elevated heart rates, could result in diminished recruitment due to reduced allocation of energy to feeding, predator avoidance, and other behaviors critical to survival (Leo et al., 2018). This finding is consistent with recent work on Pacific herring, which showed that chronic exposure to heat wave conditions significantly reduced metabolic efficiency of developing embryos (Murray and Klinger, 2022). On the molecular scale, a review on the expression of heat shock proteins in fish found that increased expression of heat shock proteins from environmental conditions led to a decrease in metabolic capacity, which indicates that stress responses may impair other biological pathways (Iwama et al., 1999). Future research examining heart rates at the time of acute temperature exposure could assess the relationship between basal temperature acclimation and heart rate, which may supply additional evidence of short and long-term cardiac effects.

#### 4.4 Hatching parameters

We found lower hatching success at higher rearing temperatures in both winter and spring spawning stocks, which is consistent with the findings of a previous Pacific herring study (Villalobos et al., 2020). An interesting feature of our data is the lower mean hatching success seen in winter embryos (40%) in comparison with those from the spring (64%). Although we did not determine why hatching success was lower in winter spawners, there may be variation in the viability of offspring from different parents. Egg viability also differed between artificially fertilized (winter) versus field collected embryos (spring), which was likely in part due to the different methods used. Hatching success in other Pacific herring studies ranged from 61% to 78% at  $\sim 10^{\circ}\text{C}$  (Villalobos et al., 2020; Murray and Klinger, 2022, respectively). The decrease in hatching success seen at elevated temperatures aligns with our understanding of temperature-dependent hatching dynamics in herring (Alderdice and Velsen, 1971; Leo et al., 2018; Villalobos et al., 2020; Murray and Klinger, 2022; Toomey et al., 2023).

Our results show that  $p\text{CO}_2$  and its interaction with temperature did not significantly impact hatching success or cumulative hatch, which is broadly consistent with previous Pacific herring studies (Villalobos et al., 2020; Frommel et al., 2022; Murray and Klinger, 2022). However, our data suggest there may be a negative trend of reduced hatching success with elevated  $p\text{CO}_2$  in the spring experiment that was non-significant due to high variability. Similarly, Murray and Klinger (2022) found a trend towards lower hatching success in Pacific herring in embryos exposed to a heat wave  $\times$  high  $p\text{CO}_2$  in comparison to heat wave  $\times$  low  $p\text{CO}_2$ , though this trend was not statistically significant. Both studies suggest a potential small  $p\text{CO}_2$  effect on embryo viability, perhaps through an increased frequency of developmental malformations.

#### 4.5 Malformation rates

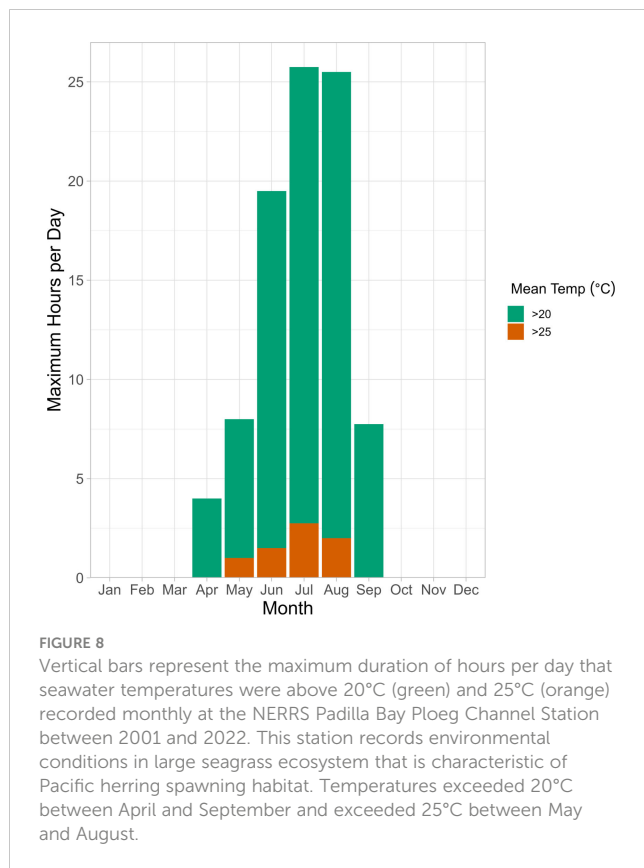
Malformations in winter larvae became more frequent at increased temperatures, as expected based on the general literature, regardless of count methodology. The low malformation rates at both  $p\text{CO}_2$  levels at ambient temperature, and sharp increase in the combined effects of elevated temperature and high  $p\text{CO}_2$  treatments suggest that the effect of  $p\text{CO}_2$  on malformation rates in herring could be associated with increased energy allocation to pH buffering, and reduced levels of ATP available for growth, development, and homeostasis (Pörtner, 2008; Dahlke et al., 2017). Although temperature is considered a main driver of developmental defects, acidification can impair fish development by causing them to expend energy on regulating their internal pH at the expense of other processes (Pörtner, 2008; Dahlke et al., 2017). Developmental malformations can also result from ATP shortages at critically high or low temperatures (Leo et al., 2018; Dahlke et al., 2020) and from thermal damage to proteins at extreme temperatures (Somero, 2010), which may cause more damage in development compared to adult fish (Finn and Kapoor, 2008). Our winter malformation results align with those of previous studies on herring (Franke and Clemmesen, 2011; Murray and Klinger, 2022) yet contrast with a study on Atlantic herring in which malformations were higher in groups exposed to elevated  $p\text{CO}_2$ , but where no differences in the prevalence of malformation was seen among temperature treatments (Leo et al., 2018).

Spring larvae exhibited a decrease in malformations at elevated temperatures when malformation rates were calculated as a percentage of total starting embryos. This appears to be atypical, since previous studies saw either an increase in malformations with elevated temperature (Dahlke et al., 2017; Murray and Klinger, 2022) or no effect (Leo et al., 2018; Villalobos et al., 2020). We postulate that elevated temperatures may lead to increased embryo mortality, but since we did not assess embryo malformations of unhatched eggs, we may have underestimated true malformation rates in offspring that survived to hatch. It is likely that heightened temperatures prevent some damaged larvae from hatching, resulting in lower hatching success and, as a result, reduced malformation rates. Malformation counts over total hatching did not exhibit the decrease in malformation rate at high temperature, which supplies evidence for an embryo mortality effect related to malformation before hatching. Thus, it is probable that both populations exhibit a higher frequency of developmental malformations under a combined exposure to warm temperatures and elevated  $p\text{CO}_2$ .

#### 4.6 Summary

Our findings indicate that critical thermal tolerance of embryos will be influenced by duration of extreme heat exposure, which are likely to be variable in nature. We found that Pacific herring embryos from both winter and spring spawning populations can withstand temperatures of  $\sim 20^{\circ}\text{C}$  for 3+ hours regardless of





incubation temperature and  $p\text{CO}_2$  level. Resilience to acute heat stress diminished at 25°C, where survival decreased by ~36% in winter and ~95% in spring when exposed for 3+ hours. Nearshore temperatures in the Puget Sound frequently exceed 20°C in late-spring and summer and can reach temperatures above 25°C (NOAA, 2023; Figure 8). High temperature exposures typically last for 1-3 hours during low spring tides at Cherry Point and sometimes are associated with air exposure of similar duration (Love et al., Unpubl. data), which may have some beneficial effects on hatching success and embryonic survival at ambient  $p\text{CO}_2$  levels (Frommel et al., 2022).

Marine heat waves are 9× as prevalent today as they were in preindustrial times (Frölicher et al., 2018) and their frequency is projected to increase because of anthropogenic climate change. The combination of marine and atmospheric heat waves will increase the potential for acute temperature rise in shallow, tidal habitats, particularly during low tide when atmospheric heat waves can rapidly warm shallow water (Schlegel et al., 2017). Our results suggest that embryonic survival during acute temperature change is both duration and temperature sensitive. Therefore, if heat wave duration and intensity (maximum temperature) are increasing, then their impacts on early life stage mortality, annual stock recruitment, and the overall abundance of Pacific herring may also increase in the coming years. Although variation in temperature and  $p\text{CO}_2$  is common in nearshore spawning habitats, heat waves nearing 25°C will expose embryos to temperatures at the edge of their thermal window for hours and/or days. This is especially alarming for

herring stocks that spawn in the spring, such as the Cherry Point population, because they experience greater maximum temperatures. However, the observed interactive effects of incubation temperature and duration of exposure to heat stress on embryo heart rates suggest that acclimation to warmer temperatures during development could help herring withstand acute temperature fluctuations.

Increased oxygen consumption at elevated temperatures and  $p\text{CO}_2$  levels indicates that oxygen supply could become limiting much faster under these conditions in comparison to ambient conditions. Although there has been some research into the effects of temperature on  $\text{MO}_2$  in Pacific herring, the  $p\text{CO}_2$  effect found in this study contributes to our understanding of the physiological impacts of acidification during the early life stages in this species. Although the mechanism that produces increased  $\text{MO}_2$  at elevated temperatures is well understood, the heightened  $\text{MO}_2$  response to elevated  $p\text{CO}_2$  should be investigated further.

Adult Pacific herring and their eggs and larvae are available in the Salish Sea throughout most of the winter and spring, which provides an important food resource for a wide variety of organisms over an extended time period. This contributes to their importance as a forage fish species and reinforces interest in the effects of global change on Pacific herring populations (Stick et al., 2014; Sandell In prep). The results presented here can inform practices and policy related to the conservation of this species.

## Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: All data have been published with SEANO. <https://doi.org/10.17882/96693> <https://doi.org/10.17882/96694> <https://doi.org/10.17882/96696> <https://doi.org/10.17882/96697> <https://doi.org/10.17882/96698>.

## Ethics statement

The animal study was approved by Animal Care and Use Committee, Western Washington University. The study was conducted in accordance with the local legislation and institutional requirements.

## Author contributions

NS: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Project administration, Writing – original draft, Writing – review & editing. BL: Conceptualization, Funding acquisition, Investigation, Methodology, Resources, Supervision, Writing – review & editing. CM: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Supervision, Writing – review & editing. KS: Supervision,

Validation, Writing – review & editing. WC: Supervision, Validation, Writing – review & editing.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2023.1307617/full#supplementary-material>

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